1 Osmotic Power with Pressure Retarded Osmosis: Theory, Performance and Trends – a

- 2 **Review**
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Abstract: A great quantity of renewable energy can be potentially generated when waters of 10 different salinities are mixed together. The harnessing of this energy for conversion into 11 power can be accomplished by means of Pressure Retarded Osmosis (PRO). This technique 12 uses a semipermeable membrane to separate a less concentrated solution, or solvent, (for 13 example, fresh water) from a more concentrated and pressurized solution (for example sea 14 water), allowing the solvent to pass to the concentrated solution side. The additional volume 15 increases the pressure on this side, which can be depressurized by a hydroturbine to produce 16 power - thus the term 'osmotic power'. This paper reviews technical, economical, 17 environmental and other aspects of osmotic power. The latest available research findings are 18 19 compiled with the objective of demonstrating the rapid advancement in PRO in the last few vears - particularly concerning membrane development - and encouraging continued 20 21 research in this field. Also, the hurdles involved in the effectuation of PRO plants and the 22 research gaps that need to be filled are analyzed in this article. Additionally, osmotic power 23 production using configurations other than the traditional pairing of river water and sea water are discussed. It is hoped that this review will promote further research and development in 24 25 this new and promising source of renewable energy.

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27 Keywords: osmosis, salinity, pressure retarded osmosis, renewable energy, ocean energy

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1. Introduction

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Global energy supply for human activities is dominated by fossil fuel combustion [1], which due to high emissions of greenhouse gases, is accelerating changes in our climate towards dangerous long-term effects [2, 3]. It is estimated that only 13% of our energy is sourced by renewable resources, mainly shared between biomass and waste (75%), hydro (17%) and solar and wind (6%) [1]. Geothermal, wave and tidal energies account for the rest of the share 36 (2%). To reduce the reliance on fossil fuels while also satisfying growing energy
37 requirements, new alternative sources have to be explored and embraced, particularly
38 renewable sources due to the smaller impact on our environment.

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A type of renewable and gas emission-free energy that has just recently been given credibility
is salinity-gradient energy, which is based on the release of free energy upon mixing of
waters with different salt concentrations, as between rivers and oceans. When appropriately
harnessed, this energy can be used to produce power [4].

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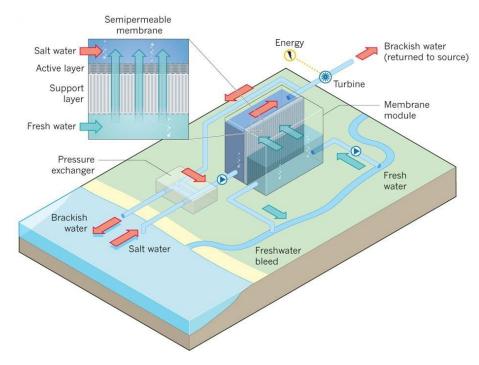
In the context of this review, the process of harnessing salinity-gradient energy is best 45 explained in terms of osmotic pressure. Osmosis occurs when two solutions of different 46 concentrations (for example, different salinities) are separated by a membrane which will 47 selectively allow some substances through it but not others. If these two solutions are fresh 48 water and sea water, for example, and they are kept separated by a semipermeable membrane 49 50 that is only permeable to water, then water from the less concentrated solution side (fresh water) will flow to the more concentrated solution side (sea water). This flow will continue 51 52 until the concentrations on both sides of the membrane are equalized or the pressure on the 53 concentrated solution side is high enough to stop further flow. Under no flow conditions, this pressure will be equal to the osmotic pressure of the solution. Osmotic pressure of a given 54 55 solution is therefore not a pressure that the solution itself exerts, but a pressure that must be applied to the solution (but not the solvent) from outside in order to just prevent osmotic 56 57 flow.

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Pressure Retarded Osmosis (PRO) is the process through which osmotic energy can be harnessed and power generated [5]. Putting it simply, in PRO, a water flow is diverted at low pressure into a module wherein a semipermeable membrane keeps it separated from a pressurized and saltier water flow. The saltier water flow draws the less concentrated water through the semipermeable membrane due to its higher osmotic pressure, increasing the volume of the flow. A turbine is coupled to the pipe containing the increased pressure flow to generate power. Power generated via PRO is referred to as 'osmotic power'.

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The most known and studied application of PRO technology for power generation is the pairing of river water (less concentrated solution or feed solution) and sea water (more concentrated solution or draw solution), as schematized in Figure 1. Under this arrangement, 70 incoming river water and seawater are both diverted into adjacent chambers of a membrane module. The two flows are separated by a semipermeable membrane with the active layer 71 72 facing the seawater side, allowing only river water to flow through it. This process increases the volume of water on the seawater side. The resultant high-pressure, brackish water is then 73 74 split into two paths: part of the flow is used to drive a turbine, and generate power, and the 75 other part returns to the pressure exchanger. The pressure exchanger is designed to transfer 76 pressure energy from the pressurized brackish water to the incoming sea water. Similarly, sea water could also be used as feed solution, paired with a more concentrated solution, such as 77 78 brine from seawater desalination plants [6, 7, 8], or hypersaline water from salt lakes or salt domes [9, 10]. 79



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Figure 1. Schematic diagram of a PRO plant run on river water *vs* sea water. Figure retrieved from Ref. [11].

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PRO was invented by Prof. Sidney Loeb in 1973 at the Ben-Gurion University of the Negev, 84 Beersheba, Israel, with his first publication released in 1975 [5]. The method has been 85 improving over the years, particularly after the opening of the first osmotic power plant 86 prototype by the Norwegian state-owned power company, Statkraft, in 2009 [12]. This 87 prototype has been designed to develop and test new PRO technologies, particularly novel 88 semipermeable membranes, and is projected to become the first large-scale osmotic power 89 production facility in the world by 2015 [13]. The plant operates using river water and sea 90 91 water, as shown in Figure 1.

92 This article analyses technical, economical, environmental and other aspects of PRO. It combines the findings of the latest research, outlining the advancements achieved in the last 93 94 few years and the hurdles that need to be overcome for the effectuation of osmotic power production on a commercial scale. This article also discusses some combinations of water 95 solutions under which osmotic power could be produced, beyond the traditional pairing of 96 river water and sea water. It is also an objective of this paper to provide an informative 97 98 document that encourages governments, research institutions and private investors to combine efforts to accelerate the development of PRO technology and its availability as a 99 100 renewable energy source.

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2. World's potential for osmotic power 102

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Salinity-gradient energy is the energy released when waters with different salt concentrations 104 are mixed together. Presumably, this energy can be easily encountered at the interface 105 between waters of differing salt concentrations, for instance where rivers meet the ocean. 106 Approximately 0.70 - 0.75 kWh (2.5 - 2.7 MJ) is dissipated when 1 m³ of fresh water flows 107 into the sea [14, 15], meaning that $1 \text{ m}^3 \text{ s}^{-1}$ of fresh water can potentially generate 2.5 - 2.7 108 MW). Table 1 summarizes the maximum energy that could be theoretically extracted from 109 the mixing of fresh water with saline water from five different sources. 110

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Table 1. Maximum extractable energy from the mixing of fresh water with saline water from 112

113 different sources

| | Osmotic Pressure (bar) | Theoretical Energy ¹ (kWh m ⁻³) | Theoretical Power ¹ MW (m ³ /s) ⁻¹ | Osmotic Pressure (source) |
|--------------------|------------------------------|--|---|--|
| Sea water | 27 | 0.75 | 2.7 | Calculated using Eq. 1, assuming 0.55 M NaCl concentration |
| SWRO brine | 54 | 1.5 | 5.4 | Calculated using Eq. 1, assuming 1.1 M NaCl concentration |
| Salt-dome solution | 316 | 8.8 | 31.6 | Logan and Elimelech [11] |
| Great Salt Lake | 375 | 10.4 | 37.5 | Wick and Isaacs [16] |
| Dead Sea | 507 | 14.1 | 50.7 | Wick and Isaacs [16]; Loeb [5] |

114 115 116 ¹The theoretical energy and power are calculated from the osmotic pressure of the solution (converted to Pa) and the unit volumetric flow $(m^3 s^{-1})$

Considering the average discharge of all the world's rivers into the ocean, it can be estimated 117 that the energy released when this mixing occurs is equivalent to each river ending in a 225 118 meters high waterfall [17]. The global potential for osmotic power is reported to be 1,650 119 TWh y⁻¹ [15, 18]. This is equivalent to about half the current annual hydropower generation, 120

reported to be 3,551 TWh y^{-1} [19]. In the United States, as another example, the total surface 121 runoff of water from streams and rivers into the ocean is about 1,700 km³ y⁻¹ [17]. which 122 could generate about 55 GW, assuming an energy conversion efficiency of 40% (i.e., an 123 output of 1.0 MW per $m^3 s^{-1}$ of river water [15]). This is enough power for a PRO system to 124 supply electricity to around 40 million people in the US, assuming an average electricity 125 consumption of 1,400 W per person [20]. The Mississippi River alone accounts for about 126 127 one-third of the total US runoff [17], and if 10% of the Mississippi flow was used, this volume would be enough to deliver around 1,800 MW of power assuming 40% energy 128 conversion efficiency. Wick [21] reports that the osmotic power that could be generated from 129 the Columbia River (USA and Canada) discharge into the Pacific Ocean is around 2,300 MW 130 when considering an energy conversion efficiency of 30% and half of the river flow. 131

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Table 2 summarizes the power due to salinity gradients that could be generated from the 133 major sources of fresh water in the world in a hypothetical mixing with sea water (NaCl 134 concentration \approx 3%), in a PRO system with energy conversion efficiency of 40% and using 135 10% of the river flow. The sites were suggested by Wick [21]. 136

137

138 Table 2. Osmotic power production capacity from some major rivers across the world

| Source of fresh water | Average flow rate (m ³ s ⁻¹) | Power (MW) ² | Electricity supply (thousands of households) |
|------------------------------------|--|-------------------------|--|
| World | 1.2 x 10 ⁶ | 124,800 | N/A |
| Amazon River, Brazil | 2 x 10 ⁵ | 20,800 | 77,600 |
| La Plata – Parana River, Argentina | 8 x 10 ⁴ | 8,320 | 29,100 |
| Congo River, Congo Angola | 5.7 x 10 ⁴ | 5,930 | 282,300 |
| USA | 5.4 x 10 ⁴ | 5,620 | 4,000 |
| Yangtze River, China | 2.2 x 10 ⁴ | 2,290 | 5,800 |
| Ganges River, Bangladesh | 2 x 10 ⁴ | 2,080 | 74,300 |
| Mississippi River, USA | 1.8 x 10 ⁴ | 1,870 | 1,300 |
| Columbia River, USA | 7.5 x 10 ³ | 780 | 550 |

¹Based on household's average consumption per country reported in Central Intelligence Agency [20]

139 140 141 ² Power was estimated by using 10% of the river discharge and assuming a power output of 1 MW per m³ s⁻¹ of river water (i.e., 40% energy conversion efficiency).

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The energy released through the mixing of fresh water and salt water can be more easily 146 explained using the osmosis effect, hence the name 'osmotic energy'. Osmosis is the 147 transport of water across a semipermeable membrane from a solution of higher chemical 148 potential (i.e., lower osmotic pressure or lower salt concentration) – typically referred to as 149 150 the 'feed solution' – to a solution of lower chemical potential (i.e., higher osmotic pressure or higher salt concentration) – referred to as the 'draw solution'. This semipermeable membrane 151 152 allows passage of feed solution, rejecting solute molecules or ions. Osmotic pressure is the pressure that would cease the passage of feed solution across the semipermeable membrane if 153 applied to the draw solution. The osmotic pressure (π) of any solution can be calculated using 154 the *van't Hoff* equation, as shown below: 155

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$$157 \qquad \pi = i \ c \ R \ T \tag{1}$$

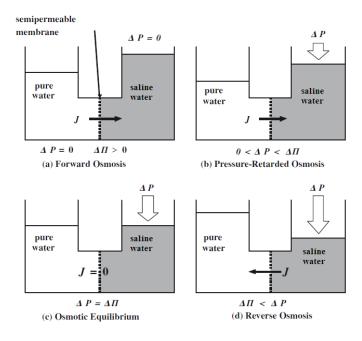
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where c is the molar concentration (mol L^{-1}), R is the universal gas constant (8.31441 N m 159 $mol^{-1} K^{-1}$, T is the absolute temperature (K) and i is the number of osmotically active 160 particles in the solution, given as $i = 1 + \alpha (v - 1)$, with α being the degree of dissociation 161 and v, the stoichiometric coefficient of dissociation reaction (for NaCl, $\alpha = 1$ and v = 2, thus i 162 = 2). The resulting unit for π in Eq. 1 is the kPa. For sea water, for example, where the NaCl 163 concentration ranges from 3.0% to 4.0% (or approximately 30 - 40 g L⁻¹, or 0.51 to 0.68 mol 164 L^{-1}), the osmotic pressure is between 25 and 33 bar, for a temperature of 25°C. Other 165 solutions with higher osmotic pressures would be, for instance, concentrated brine remaining 166 from reverse osmosis (RO) desalination plants and hypersaline waters from salt lakes, such as 167 the Great Salt Lake (USA), the Aral Sea (Kazakhstan and Uzbekistan), the Dead Sea (Israel) 168 and Lake Eyre (Australia). Concentrated brines from RO desalination plants have typical salt 169 concentrations ranging from 6% to 7%, meaning osmotic pressures between 50 and 59 bar. 170 The salinity of salt lakes ranges from 24% (Great Salt Lake) to 34% (Dead Sea), meaning an 171 osmotic pressure variation from 200 to 290 bar. For fresh water, the osmotic pressure is close 172 to zero. 173

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Figure 2 represents four possible osmotic processes that occur from the contact of pure water
and saline water via a semipermeable membrane. Forward osmosis (FO), or simply osmosis,
occurs when the only driving force for the flux of water through the membrane (*J*) is the

osmotic pressure differential ($\Delta \pi$) between the feed and the draw solutions (Figure 2(a)). In 178 FO, $\Delta \pi$, is non-zero and positive, that is, $\Delta \pi > 0$, and the solutions are either not pressurized 179 or pressurized at the same magnitude, making $\Delta P = 0$. It should be noted that the osmotic 180 pressure differential depends on the concentration of each solution, as described by Eq. 1. For 181 example, if the feed solution is clean fresh water ($\pi \approx 0$) and the draw solution is sea water 182 (i.e., salt concentration \approx 3.0%, and $\pi \approx$ 26 bar), the osmotic pressure differential is 26 bar, 183 which is equivalent to a hydrostatic pressure from a 265-m high water column. This said, 184 water moves through the membrane from the left (less concentrated) to the right (more 185 186 concentrated) side, driven solely by the osmotic pressure differential between the two solutions. 187



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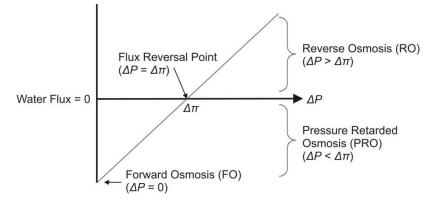
Figure 2. Schematic representations of four osmotic processes. Figure adapted from Ref. [6].

191 Once the water starts moving from the less concentrated to the more concentrated side, the 192 hydrostatic pressure on the more concentrated side gradually increases and, eventually, the 193 osmotic flow *J* will cease. More precisely, when a pressure equivalent to a 265-m high water 194 column has built up on the salty side of the membrane, the osmotic flow will stop. 195 Mathematically, the flux will cease when ΔP equals $\Delta \pi$ (i.e., $\Delta \pi - \Delta P = 0$, and therefore J =196 0). This condition determines the state of osmotic equilibrium and is illustrated in Figure 2(c). 197

198 At any stage when the hydrostatic pressure differential ΔP is between 0 and $\Delta \pi$, the water 199 flux is still driven by the osmotic pressure differential, $\Delta \pi$, but the flux slows down due to the 200 increasing ΔP as a result of the increase in water level on the draw solution side. This effect is 201 illustrated in Figure 2(b) and is termed Pressure Retarded Osmosis (PRO). In PRO, the feed (less concentrated) solution flows towards the draw solution side because of the positive 202 203 osmotic pressure differential, for as long as this difference remains greater than the hydrostatic pressure difference (ΔP). It is on this principle that the production of osmotic 204 power is based. For steady power production, the salty water side has to be maintained at 205 constant pressure and concentration while the feed solution provides a constant flow through 206 207 the membrane, increasing the volume flow on the salty water side. This additional flow can then be used to generate power. 208

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The fourth osmotic phenomenon occurs when $\Delta P > \Delta \pi$, and is illustrated in Figure 2(d). This 210 condition is achieved when pressure is applied to the draw solution side, with this pressure 211 being greater than the osmotic pressure difference between the two sides. In this case, the 212 water flux occurs from the salty water to the freshwater side, resulting in a negative flux. This 213 process is called reverse osmosis (RO) because the water moves in the opposite direction to 214 that of a natural osmotic process. It is on this principle that most modern seawater 215 desalination plants operate. Sea water is pressurized to a magnitude that is greater than its 216 osmotic pressure, forcing it to flow through the semipermeable membrane. The membrane 217 218 stops the flux of salts and only fresh water permeates, which can later be safely consumed by end-users. The relationship between the four cases described above in terms of water fluxes 219 and pressures is illustrated in Figure 3. 220



221

Figure 3. Direction of water flux as a function of applied pressure in FO, PRO and RO. FO takes place when the hydrostatic pressure differential, ΔP , is zero and the flux is driven by the osmotic pressure differential, $\Delta \pi$. PRO occurs when the hydrostatic pressure differential is non-zero and less than the osmotic pressure differential. RO takes place when the applied hydrostatic pressure differential is greater than the osmotic pressure differential. Figure adapted from Ref. [22]. The potential flux through the membrane is calculated as a function of the difference in osmotic pressure between the two solutions ($\Delta \pi$, in bar), the difference in hydrostatic pressure (ΔP , in bar) and the intrinsic water permeability coefficient of the membrane (A, typically in L m⁻² h⁻¹ bar⁻¹):

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233
$$J = A \left(\Delta \pi - \Delta P \right) \tag{2}$$

234

where *J* is the water flux (typically in L m⁻² h⁻¹), $\Delta \pi = \pi_D - \pi_F$, where π_D is the osmotic pressure in the draw solution and π_F is the osmotic pressure in the feed solution, and $\Delta P = P_D$ $- P_F$.

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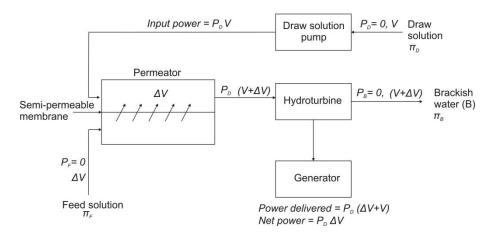
- 239 4. Osmotic power with PRO
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The concept of harvesting the energy generated from mixing waters of different salinities was 241 first reported by Pattle [4], and then re-investigated in the mid 1970s, when the world's 242 energy crisis prompted further research into energy supply alternatives. The discussions on 243 PRO expanded rapidly after 1970 particularly due to the theoretical and experimental 244 publications of Sidney Loeb [5, 9], showing the feasibility of PRO. Loeb [9] was the first to 245 report that osmotic energy could indeed be harnessed using the principles of this technology. 246 However, research slowed down again in the 80s and 90s due to the expensive prices of the 247 available membranes, which would make osmotic power generation financially unviable. 248

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250 With recent advances in membrane technology, resulting from increasing demands for desalination and water treatment, there has also been advancement in membrane production 251 technology and a subsequent reduction in membrane prices. Consequently, experimental 252 investigations on PRO were resumed in the late 2000s by Skilhagen et al. [23], Gerstandt et 253 al. [24] and Thorsen and Holt [15]. Encouraged by new research findings, Statkraft opened 254 the world's first PRO power plant prototype in November 2009 in Norway. This prototype 255 has proved that the PRO concept can be used to generate electricity. The plant is being used 256 to test different types of membranes and plant configurations and has been key for the 257 advance of osmotic power. 258

260 Figure 4 shows an idealized arrangement for a PRO power plant with continuous, steady state flow. First, a concentrated solution of volume V and with osmotic pressure π_D , such as sea 261 water, is pumped into the plant at a hydraulic pressure P_D . The power input is given by the 262 product of the volume flow (V) and the input hydraulic pressure P_D . At the same time, less 263 concentrated water, for example, river water, enters the permeator on the other side of the 264 membrane module at osmotic and hydraulic pressures that are low in comparison to these 265 quantities on the concentrated side. Water permeates the membrane from the less 266 concentrated side to the more concentrated side at a rate ΔV (note $\Delta V = J A_m$, where A_m is the 267 membrane area and J is the water flux from Eq. 2) and acquires a pressure of P_D . The mixture 268 of the feed and draw solutions creates a new solution of brackish water, with much lower 269 osmotic pressure. The brackish water (volume $V + \Delta V$) enters a hydroturbine in which the 270 hydraulic pressure P_D is reduced to zero, as it delivers power of magnitude $P_D (V + \Delta V)$. 271





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Figure 4. Continuous PRO system – idealized by assuming: 1. 100% efficiency for rotating
components. 2. No friction losses in plant streams. 3. Membranes perfectly semipermeable.
Figure modified from Ref. [9].

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The maximum net power (PW_{NET}^{MAX}) that could be produced under this ideal PRO scheme is the difference between the quantity delivered by the hydroturbine, P_D ($V + \Delta V$), and the power input into the system, $P_D V$:

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282
$$PW_{NET}^{MAX} = P_D(V + \Delta V) - P_D V = P_D \Delta V$$
(3)

where $P_D \Delta V$ is the net power. It should be noted that this net power is achieved for 100% mechanical efficiency for all components and no energy losses. This scheme also assumes that the feed solution enters the system by gravity.

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The ideal operating pressure for maximum power output is half the osmotic pressure differential, as will be demonstrated later in this article. Therefore, for a river water *vs* sea water PRO scheme, where the osmotic pressure differential is about 26 bar, the ideal operating pressure would be 13 bar, and the maximum net power output, 1.3 MW per m³ s⁻¹ of permeate.

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For mechanical efficiencies less than 100% for PRO system components, which is what would be expected in reality, the net power would be:

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DEAL

$$297 \qquad PW_{NET}^{REAL} = P_D \Delta V \eta \tag{4}$$

298

where η is the mechanical efficiency of the system, which is dependent upon the efficiencies 299 of the rotating components such as pumps, motors, turbines and generators, the friction losses 300 301 in the flow passages of the permeator, and the configuration of the equipment in the plant [25]. For example, assuming that approximately 20% of the maximum theoretical net power 302 achievable from a fresh water vs sea water PRO system (i.e., 20% of 1.3 MW per m³ s⁻¹ of 303 permeate) is lost from inefficiencies in the PRO system components [14], a river water vs sea 304 water scheme could generate around 1.0 MW of net power per m³ s⁻¹ of river water 305 (assuming that the only parasitic power consumption is the pressurization of the incoming sea 306 water). This means an overall efficiency of 40% when compared to the maximum extractable 307 energy from mixing of sea water and fresh water (i.e., 2.7 MW per m³ s⁻¹ of river water). 308 Furthermore, an efficiency of 81% has been reported for a below sea-level plant that relies on 309 gravity, rather than pumps, to pressurize the incoming sea water [26]. 310

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312 It follows, therefore, that the actual power output of a PRO plant will be dependent upon [10,313 25]:

- The frictional pressure drop across the salt water side of the PRO permeator;

- The frictional pressure drop across the freshwater side of the PRO permeator;

- the configuration of the equipment in the plant;

- The inefficiencies of all pumping and rotating components (hydroturbine-generator,
 freshwater pump-motor, seawater pump-motor, and the flushing solution pump motor);
- All power inputs into the system, including those for pressurizing the incoming fresh
 water and sea water and for pre-treatment;
- The fact that current membranes are not perfectly semipermeable.
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As seen in Eq. 3, the net maximum theoretical power $(P_D \Delta V)$ does not depend on the volume 324 325 of the draw solution (V). It only depends on the operating pressure (P_D) and on the flux of water through the permeator, J (note $\Delta V = J A_m$), which is essentially a function of the 326 membrane type (parameter A - permeability) and the osmotic pressure differential, as shown 327 by Eq. 2. Therefore, one could infer that in order to generate high net powers, great pressures 328 (P_D) should be applied to the draw solution. However, in a real PRO system, it should be 329 noted that the volume flow rate of the incoming draw solution (V), to which P_D is applied, 330 will be relevant to the inefficiencies of the system. A low draw solution flow (low applied 331 pressure) will increase the contribution of membrane costs (capital cost) to power costs 332 because of the decrease in hydraulic pressure, and consequently, power output, which is 333 334 undesirable. A high draw solution flow will be similarly undesirable due to the higher input power into the system, which will cause damage to the membranes [25]. Loeb et al. [9, 10] 335 found that for a system to be energy efficient, the volume of the draw solution (V) has to be 336 equal to but not higher than twice the volume of the permeate (ΔV) . 337

338

It should be noted that the efficiency of a PRO power plant nowadays can be significantly 339 improved by using energy recovery devices (pressure exchangers) to pressurize the incoming 340 draw solution [15, 23-28]. Loeb [27] was the first to acknowledge and demonstrate the 341 importance of pressure exchangers in enabling cost-effective PRO systems, due to the 342 substantial reduction of parasitic power consumption. Without energy recovery devices, the 343 value of the energy generated would barely outweigh the costs with the pressurization of the 344 incoming solutions (particularly the draw solution) [15]. According to Skilhagen and Aaberg 345 [26], with improved membranes, optimized flows and minimized energy losses, an efficiency 346 of 70% for a terrestrial sea-level plant with pressure exchangers can be achieved. A 347 schematic diagram showing the configuration of a PRO plant with pressure exchangers is 348 349 shown in Figure 5.

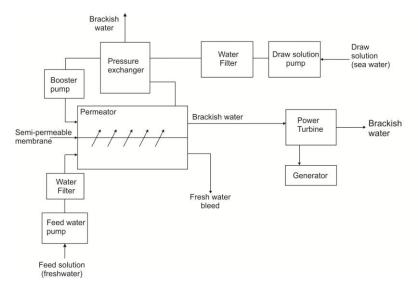


Figure 5. Power production from a PRO scheme powered by pressure exchangers. Diagrambased on Ref. [5, 11, 28].

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354 5. Membrane performance

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Membrane performance in PRO is usually measured in terms of power output per unit area of 356 357 membrane - referred to as membrane power density. The power density of the membranes is particularly important as it will directly affect the costs of osmotic power. The higher the 358 359 power output per unit area of membrane, the cheaper the costs with installation, maintenance and plant operation. It should be noted, however, that the power generation capacity of an 360 361 osmotic power plant is not limited by the power density of the membranes, but rather by the availability of feed solution in the environment, making it important that the plant operates at 362 high efficiency (i.e., high power output per $m^3 s^{-1}$ of feed solution). Nevertheless, the power 363 density may limit the activity by increasing the costs of the power production to a level that 364 makes it unprofitable. Since the late 2000s, PRO research has been focusing on finding an 365 existent or developing a new membrane that would generate at least 5 W m⁻² of power. This 366 power density has been demonstrated to be the break-even point for osmotic power to be 367 profitable after an nth-of-a-kind plant has been built [23, 29, 30]. The main problem with the 368 development of such membrane is concentration polarization, referred to the reduced 369 concentration gradient created by salt molecules which cannot pass through the membrane. 370 This issue greatly reduces membrane water fluxes and power densities in PRO, as discussed 371 in the next sections. 372

5.1. Concentration polarization

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Initial studies on osmotic power were based on RO membranes installed in laboratory scale PRO modules [31-35]. This continued until the discovery of the concentration polarization phenomenon, an important issue that occurs in osmotically driven membrane processes [36-379 38, 39]. This phenomenon was found to drastically decrease the theoretical water flux *J* through RO membranes (refer to Eq 2). The reduction in water flux further decreases the power outputs of the membranes.

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This concentration polarization issue was discovered by Mehta and Loeb [32, 33] and Lee et 383 al. [35] after their PRO experiments revealed power outputs that were far below the outputs 384 estimated based on theoretical osmotic pressure differentials. Mehta and Loeb [32, 33] 385 observed a sharp decline in the water permeation rate after about two hours of testing with 386 RO membranes. They attributed this issue to concentration polarization. External 387 concentration polarization (ECP) was referred to as the concentration of salt that occurs over 388 time on the external side of the membrane (represented by C_1 and C_2 in Figure 6), while 389 390 internal concentration polarization (ICP) was defined as the accumulation of salt within the 391 active layer of the membrane (C_3 in Figure 6). It was found that salt concentration build-up significantly reduces the effective osmotic pressure differential that drives the flux of water 392 through the membrane, decreasing its power efficiency [32, 33, 39]. This means that, instead 393 of being driven by the bulk osmotic pressure differential between C_D and C_F , the water flux is 394 395 actually driven by the osmotic pressure differential due to C_1 and C_3 .

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In Figure 6, J represents the flux of water from the less to the more concentrated side. As 397 water permeates the dense active layer of the RO-membrane (facing the draw solution), the 398 399 draw solution is diluted, and the concentration on the membrane-draw solution interface is reduced to C_1 . Concurrently, as membranes are not perfectly semipermeable, there is a 400 counter flux of sea water (J_s in Figure 6) to the feed solution side. During this process, salt 401 accumulates at the interface of the membrane layers, reducing the effective osmotic pressure 402 differential – that is, the driving force of the water flux – and consequently, the membrane 403 power output. 404

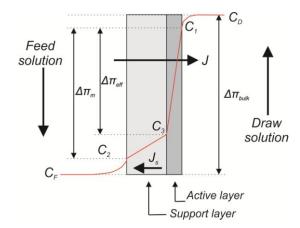




Figure 6. External and internal membrane concentration polarizations that occur during PRO. C_D and C_F are the salt concentrations of the bulk feed and draw solutions, respectively. C_1 and C_2 are the salt concentrations due to external concentration polarization, resulting in a reduced osmotic difference $\Delta \pi_m$. C_3 is the salt concentration due to internal concentration polarization, resulting in an effective osmotic pressure differential of $\Delta \pi_{eff}$. Figure adapted from Ref. [22].

Recent studies have confirmed that ICP is the main cause of the substantial flux decline through membranes that are applied in PRO [18, 40] and consequently, of the reduced power outputs of the membranes. ECP, in turn, has demonstrated a relatively small effect on reducing the osmotic pressure driving force under low flux conditions [41]. The phenomenon, however, becomes more important under high flux conditions (i.e., high membrane power densities), as demonstrated by Yip and Elimelech [40].

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It should be noted that the requirements of membranes for PRO are quite different from the 421 requirements of membranes for RO. In RO, the membranes have to withstand high applied 422 423 pressures, since sea water is forced through the membrane, against the natural gradient of the osmotic pressure. For this reason, as shown in Figure 6, the porous support layer of the RO 424 425 membrane has to be thick, dense and highly resistant [42]. It should also be noted that concentration polarization is not as important in RO as it is in PRO, as in RO both water and 426 427 salt flows occur in the same direction, as opposed to PRO, where salt and water flows occur 428 in opposite directions. Loeb et al. [43] and Cath et al. [22] were the first to report that 429 commercial RO membranes would unlikely be suitable for PRO and that a membrane for this purpose would have to be made much thinner and deprived of a fabric support layer to allow 430 431 for higher water flux. In this context, FO membranes, because of their thinner support layer, are significantly less susceptible to concentration polarization [36], and so are more oftenused in PRO studies [e.g., 38, 41, 44].

- 434
- 435 5.2. Membrane flux and power density
- 436

As discussed above, concentration polarization greatly reduces the flux of water through 437 membranes used in PRO systems, and this reduction further decreases power output. As 438 demonstrated by Eq. 2, the ideal (potential) volume flux through the membrane (J) is a 439 function of the balance of hydrostatic and osmotic pressures between the feed and the draw 440 solution sides of the membrane, and the intrinsic water permeability of the membrane (A). 441 Therefore, if the effective osmotic pressure is reduced due to concentration polarization, the 442 flux and power are also reduced. This can be understood by analyzing the equation for the 443 ideal power output: 444

$$W = J \ \Delta P = A \left(\Delta \pi - \Delta P \right) \Delta P \tag{5}$$

446

where the power density of the membrane is given in W m⁻², the flux *J* is in m³ m⁻² s⁻¹, the hydrostatic pressure ΔP , in Pa, and the membrane permeability, *A*, in m³ m⁻² s⁻¹ Pa⁻¹. Note that for a river water and sea water combination, where the osmotic and hydrostatic pressures of the incoming river water are approximately zero, Eq. 5 can be re-written as:

451

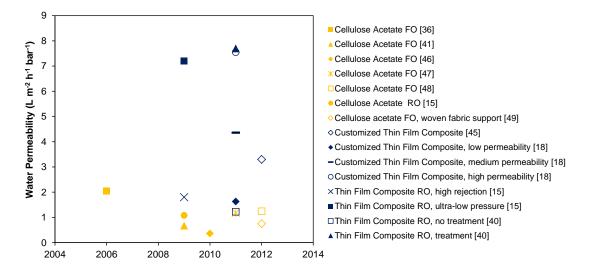
452
$$W = J P_D = A(\pi_D - P_D)P_D$$
 (6)

453

By differentiating Eq. 5 and Eq. 6 with respect to ΔP and P_D , respectively, it can be shown that *W* reaches a maximum when $\Delta P = \Delta \pi/2$, or in the case of a fresh water *vs* sea water system, when $P_D = \pi_D/2$. For instance, the osmotic pressure potential of a river *vs* sea water PRO system corresponds to a pressure of 26 bar whereas the optimal working pressure is half of this, that is., 13 bar.

459

460 As for the intrinsic membrane permeability parameter *A*, typical values range from 0.40 L m⁻² 461 h⁻¹ bar⁻¹ to 7.7 L m⁻² h⁻¹ bar⁻¹, depending on the characteristics of the membranes and 462 conditions under which the parameter was determined, as shown by different sources 463 summarized in Figure 7. Cellulose acetate FO membranes have an average permeability of 464 around 1.0 L m⁻² h⁻¹ bar⁻¹. Conventional thin-film composite RO membranes have an average 465 permeability of about 1.50 L m⁻² h⁻¹ bar⁻¹. Modified or treated thin-film composite 466 membranes (which alter the structure and morphology of the membrane [18, 45]) can reach 467 7.7 L m⁻² h⁻¹ bar⁻¹, leading to an increase in water flux *J*, and consequently in membrane 468 performance for power generation.





470 Figure 7. Water permeability values reported in the literature for different FO and RO
471 membranes. The light (yellow) symbols represent cellulose acetate membranes and the dark
472 (blue) symbols, thin-film composite membranes.

473

474 Using the published values of A, and assuming that sea water is pressurized at 13 bar, and that J is solely a function of the pressure differential and the membrane permeability (Eq. 2), 475 membrane fluxes of fresh water would theoretically range from 5.0 L m⁻² h⁻¹ to 100 L m⁻² h⁻¹, 476 meaning that power outputs could be in the range of 1.8 to 36 W m⁻². However, as discussed 477 before, the effective pressure differential $\Delta \pi$ is actually less than the theoretical osmotic 478 pressure differential due to ICP, ECP and the reverse flux of salts. Lee et al. [35] were the 479 first to modify Eq. 2 to develop a model to estimate the actual flux through the membrane 480 (J_{act}) , accounting for the effects of ICP. More recently, Yip et al. [18] modified the existing 481 model to also incorporate the effect of ECP and the reverse permeation of salt: 482

483

4

$$484 \qquad J_{act} = A \left(\frac{\pi_D \exp\left(-\frac{J}{k}\right) - \pi_F \exp\left(\frac{JS}{D}\right)}{1 + \frac{B}{J} \left[\exp\left(\frac{JS}{D}\right) - \exp\left(-\frac{J}{k}\right) \right]} - \Delta P \right)$$
(7)

where A is in m³ m⁻² s⁻¹ bar⁻¹, J is in m³ m⁻² s⁻¹, J_{act} is the actual water flux through the 486 membrane (m³ m⁻² s⁻¹), π_F is the osmotic pressure (bar) of the bulk feed solution (e.g., fresh 487 water), π_D is the osmotic pressure (bar) of the bulk draw solution (e.g., sea water), B is the 488 salt permeability coefficient of the membrane active layer (in $m^3 m^{-2} s^{-1}$), k is the mass 489 transfer coefficient (in $m^3 m^{-2} s^{-1}$) and D is the diffusion coefficient of salt in the membrane 490 substrate $(m^2 s^{-1})$. The parameter S (in m) represents the resistance to salt transport in the 491 porous substrate (support layer of the membrane) and is given by $\tau t/\epsilon$, where τ , t and ϵ are the 492 tortuosity (dimensionless), thickness (m) and porosity (dimensionless) of the porous substrate 493 494 respectively [15, 18]. Hence, the actual power density of the membrane will then be defined 495 as:

496

$$497 \qquad W_{act} = J_{act} \Delta P \tag{8}$$

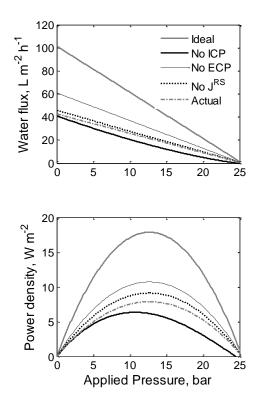
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499 with ΔP in Pa and W_{act} in W m⁻².

500

501 As with A, the other membrane parameters to feed the model (Eq. 7) are also customarily determined for RO and FO membranes and can be found in the literature. This model has 502 503 been extensively used in the search for membranes that allow for higher flux and power densities. The main parameters that have been under study are the support layer structural 504 parameter (S), the active layer salt permeability (B) and the active layer water permeability 505 (A). The structural parameter determines the extent of ICP and has to be minimized to 506 produce a higher water flux [40]. RO membranes have a large S value (which means they are 507 thick and dense), because the membranes have to withstand high applied hydraulic pressures. 508 In PRO, however, the support layer can be much thinner and with larger porous, which would 509 increase the flux of water though the membrane. B is a measure of the reverse flux of draw 510 solution. Ideally, this value should be as minimal as possible to avoid salt build-up in the 511 membrane layers, because this would reduce the osmotic pressure differential. A, on the other 512 hand, has to be increased as much as possible, to allow for more feed solution flux. However, 513 as noted by Yip et al. [18], an increase in water permeability is always accompanied by an 514 increase in salt permeability, which is undesirable. Increasing the value of A up to a certain 515 516 point will benefit PRO because the water flux will increase; after this point, the reverse flux of the draw solution will increase, overwhelming the effect of the water permeability. 517

The ratio between the theoretical (Eq. 2) and the actual (Eq. 7) power outputs was referred to as the "Loss Factor" by Yip and Elimelech [40]. The same authors analyzed in detail the separate effects of each of the performance limiting phenomena – ICP, ECP and reverse flux of salt – on PRO performance as illustrated in Figure 8.



523

Figure 8. Water flux and power density as a function of applied hydraulic pressure. The ideal 524 525 water flux and power density without any detrimental effect are indicated by the solid gray line and calculated using Eq. 2 (for the water flux) and Eq. 5 (for the power output), with A =526 4.0 L m⁻² h⁻¹ bar⁻¹. The solid dark line represents the actual water flux and power density 527 calculated using Eq. 7 and 8 with parameters derived for a thin-film composite membrane. 528 529 The dashed lines indicate the water flux and power densities when each of the detrimental effects are absent (ICP = internal concentration polarization, ECP = external concentration 530 polarization and J^{RS} = reverse flux of salt). The calculations were performed for a fresh 531 water vs sea water system. Figure adapted from Ref. [40]. 532

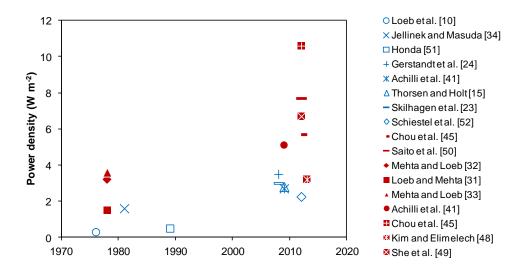
533

From Figure 8, it can be seen that ICP, ECP and the reverse flux of salt have a significant detrimental effect on PRO performance. The ideal water flux for this hypothetical membrane simulated on a fresh water *vs* sea water PRO system is around 50 L m⁻² h⁻¹. The peak – and ideal – power output is about 18 W m⁻². However, actual water flux and actual power output

are only about 20 L m⁻² h⁻¹ and 6 W m⁻², respectively, due to the reduced osmotic pressure 538 differential caused by ICP, ECP and the reverse flux of salts. It should be noted that the 539 540 modeled actual power output is significantly higher than the power outputs measured in laboratory conditions for thin-film composite membranes. This can be attributed to the 541 542 parameters adopted in the calculations, particularly the structural parameter, S, in which the value chosen was 350 μ m [40]. According to Yip and Elimelech [40], conventional thin-film 543 544 composite membranes have a thick and dense support layer to withstand the pressure of RO with typical S values around 10,000 μ m. Nevertheless, the model shows that high power 545 outputs can be obtained with the improvement of membrane properties. The latest finding on 546 membrane development is that of Chou et al. [45], who modified the structure of a thin-film 547 composite hollow fiber membrane and achieved a water flux of 32 L m⁻² h⁻¹ bar⁻¹ for a fresh 548 water vs sea water system, which projects to a power density of 5.7 W m^{-2} . 549

550

Figure 9 shows the projected power densities calculated from water fluxes measured in 551 different experimental conditions since 1976. Under an osmotic pressure differential similar 552 to a fresh water vs sea water scheme, power densities up to 5.7 W m^{-2} have been achieved in 553 laboratory conditions using thin-film composite membranes [45] and 2.7 W m⁻² using 554 cellulose triacetate membranes [41]. Under an osmotic pressure differential similar to fresh 555 water vs brine at 6% NaCl concentration, Saito et al. [50] reported power densities of 7.7 W 556 m⁻² from a PRO module prototype made of cellulose triacetate hollow fiber membranes. 557 Achilli et al. [41] projected a power density of 5.1 W m⁻² for a flat-sheet cellulose triacetate 558 membrane designed for FO. Chou et al. [45] achieved a power output of 10.6 W m⁻² using a 559 customized thin-film composite hollow fiber membrane. This is the highest power output 560 ever found under laboratory conditions for a fresh water vs brine scheme. The latest reported 561 results are from Kim and Elimelech [48], who achieved a power density of 3.2 W m⁻² for a 562 flat-sheet, cellulose-based FO membrane, using a draw solution of brine at 20% NaCl and sea 563 water as the feed solution. It is also notable the increase in power densities achieved in the 564 late 2000s in comparison to the initial results reported in the late 70s using RO membranes. 565



567

Figure 9. Comparison of existing experimental PRO power density results. The unfilled, blue symbols represent the maximum power densities achieved using draw solutions with concentrations similar to sea water, and the filled, red symbols represent the maximum power densities achieved using draw solutions with concentrations higher than sea water. Figure updated from Ref. [53].

Table 3 shows water fluxes and respective projected power densities obtained from recent 574 laboratory experiments using different membranes and sea water as the draw solution. The 575 bottom section of the table refers to modeled results, using parameters obtained for modified 576 thin-film composite membranes presented by Yip et al. [18] and Eq. 7 and Eq. 8. It can be 577 observed that under laboratory conditions, water fluxes up to 32 L m⁻² h⁻¹ have been reported, 578 which translates to a power density of 5.7 W m^{-2} . This refers to a modified thin-film 579 composite membrane with a thinner support layer and higher water permeability and salt 580 rejection as compared to conventional thin-film RO membranes. As seen from the modeled 581 results (last section of Table 3), power performances of up to 9.2 W m⁻² could be achieved 582 with a membrane with high water permeability of the active layer combined with a moderate 583 salt permeability and high salt rejection of the support layer [18]. Table 4 shows water fluxes 584 and respective power densities obtained under laboratory conditions for various membranes 585 using draw solutions with salt concentration higher than sea water, and either fresh water, 586 brackish water or sea water as the feed solution. The last section of the table refers to 587 modelled results with parameters for an existing thin-film composite membrane. 588

Table 3. Summary of recent experimental results using combinations of solutions 590 591 representing either fresh water vs sea water or brackish water vs sea water PRO schemes

| | - | | | | | |
|----------------------|----------------------------------|--------------------------------|---|---|---|--|
| | Feed solution | Operating pressure (bar) | Water flux (Lm ⁻² h ⁻¹) | Power density (Wm ⁻²) | Membrane type | Source |
| | River water (< 0.06% NaCl) | 11-15 | 4.8 | 1.0 | Modified thin-film composite membrane for PRO | Gerstandt et al. [24], Skilhagen et al. [23], Skilhagen [29] |
| | River water (< 0.06% NaCl) | 5.0 | 32.0 | 5.7 | Customized TFC hollow fiber membrane for PRO | Wang et al. [54], Chou et al. [45] |
| | Waste water (≈ 0.2% NaCl) | 8.9 | 22.7 | 5.6 | Customized TFC hollow fiber membrane for PRO | Wang et al. [54], Chou et al. [45] |
| | Waste water (≈ 0.5% NaCl) | 8.9 | 16.7 | 4.1 | Customized TFC hollow fiber membrane for PRO | Wang et al. [54], Chou et al. [45] |
| | DI water (0.0% NaCl) | 9.7 | 10 | 2.7 | Commercial flat sheet cellulose triacetate FO membrane from HTI | Achilli et al. [41] |
| esults | Brackish water (≈ 0.25% NaCl) | 9.7 | 9.0 | 2.4 | Commercial flat sheet cellulose triacetate FO membrane from HTI | Achilli et al. [41] |
| Experimental results | Brackish water (≈ 0.5% NaCl) | 9.7 | 8.2 | 2.2 | Commercial flat sheet cellulose triacetate FO membrane from HTI | Achilli et al. [41] |
| | Fresh water (< 0.06% NaCl) | 12 | 1.03 | 0.35 | RO Aromatic Polyamide hollow fiber membrane | Loeb et al. [10] |
| | Fresh water (< 0.06% NaCl) | 8 | 10 | 2.25 | Improved flat sheet cellulose triacetate membrane | Schiestel et al.[52] |
| | Fresh water (< 0.06% NaCl) | N/A | N/A | 1.3 | Modified cellulose acetate membrane | Gerstandt et al.[24] |
| | Fresh water (< 0.06% NaCl) | N/A | N/A | 3.5 | Modified thin-film composite membrane | Gerstandt et al.[24] |
| | Fresh water (< 0.06% NaCl) | 7 | 8.2 | 1.6 | Commercial cellulose acetate membrane from Osmonics | Thorsen and Holt [15] |
| | Fresh water (< 0.06% NaCl) | 12 | 8.1 | 2.7 | Thin-film composite membrane from GKSS, Germany | Thorsen and Holt [15] |
| | Fresh water (< 0.06% NaCl) | 9 | 5 | 1.2 | Commercial asymmetric cellulose acetate membrane | She et al. [49] |
| | River water (< 0.06% NaCl) | 9.6 | 74 | 7.4 | Modified thin-film composite membranes, with A = 7.7 L m ⁻² h ⁻¹ bar ⁻¹ , B = 7.7 L m ⁻² h ⁻¹ and S = 350 μ m | Efraty [55] |
| | River water (< 0.06% NaCl) | 12.5 | 16.7 | 5.8 ^ª | Modified thin-film composite membranes, with A = 1.6 L m ⁻² h ⁻¹ bar ⁻¹ , B = 0.1 L m ⁻² h ⁻¹ and S = 349 μ m | Yip et al. [18] |
| sults | River water (< 0.06% NaCl) | 12.5 | 26.5 | 9.2 ^b | Modified thin-film composite membranes, with A = 4.4 L m ⁻² h ⁻¹ bar ⁻¹ , B = 0.76 L m ⁻² h ⁻¹ and S = 340 μ m | Yip et al. [18] |
| Modelled results | River water (< 0.06% NaCl) | 9.7 | 23 | 6.2 ^c | Modified thin-film composite membranes, with A = 7.6 L m ⁻² h ⁻¹ bar ⁻¹ , B = 4.5 L m ⁻² h ⁻¹ and S = 360 μ m | Yip et al. [18] |
| Moo | Brackish water (≈ 0.25% NaCl) | 12.5 | 14.4 | 5.0 ^a | Modified thin-film composite membranes, with A = 1.6 L m ⁻² h ⁻¹ bar ⁻¹ , B = 0.1 L m ⁻² h ⁻¹ and S = 349 μ m | Yip et al. [18] |
| | Brackish water (≈ 0.25% NaCl) | 12.5 | 21 | 7.3 ^b | Modified thin-film composite membranes, with A = 4.4 L m ⁻² h ⁻¹ bar ⁻¹ , B = 0.76 L m ⁻² h ⁻¹ and S = 340 μ m | Yip et al. [18] |
| | Brackish water (≈ 0.25% NaCl) | 9.7 | 19.3 | 5.2 ^c | Modified thin-film composite membranes, with A = 7.6 L m ⁻² h ⁻¹ bar ⁻¹ , B = 4.5 L m ⁻² h ⁻¹ and S = 360 μ m | Yip et al. [18] |

Experimental results

592 593 594

^a – average of three types of membranes with lower water and salt permeabilities subjected to no treatment
 ^b - average of three types of membranes with medium water and salt permeabilities subjected to treatment
 ^c - average of three types of membranes with high water and salt permeabilities subjected to treatment

| 595 | Table 4. Summary of exp | perimental re | sults using | $_{\rm S}$ NaCl concentrations > 6% as draw solution |
|-----|-------------------------|---------------|-------------|--|
| | PRO scheme | Operating | Wator flux | Power |

| | PRO scheme (feed solution <i>vs</i> draw solution) | Operating pressure (bar) | Water flux (Lm ⁻² h ⁻¹) | Power density (Wm ⁻²) | Membrane type | Source |
|----------------------|---|--------------------------------|---|---|--|--|
| | River water (< 0.06% NaCl) vs seawater brine (≈ 6% NaCl) | 8.4 | 47.2 | 11 | Customized TFC hollow fiber membrane for PRO | Wang et al. [54], Chou et al. [45]) |
| | Waste water brine (0.23% NaCl) vs seawater brine (≈ 6% NaCl) | 9 | 42.5 | 10.6 | Customized TFC hollow fiber membrane for PRO | Wang et al. [54], Chou et al. [45] |
| | Concentrated waste water brine (≈ 0.5% NaCl) vs seawater brine (≈ 6% NaCl) | 9.1 | 33.3 | 8.4 | Customized TFC hollow fiber membrane for PRO | Wang et al. [54], Chou et al. [45] |
| | DI water (0.0% NaCl) <i>vs</i> 6% NaCl solution | 9.7 | 19.0 | 5.1 | Commercial flat sheet cellulose triacetate FO membrane from HTI | Achilli et al. [41] |
| | Brackish water (≈ 0.25% NaCl) vs seawater brine (≈ 6% NaCl) | 9.7 | 16.2 | ≈4.0 | Commercial flat sheet cellulose triacetate FO membrane from HTI | Achilli et al. [41] |
| 0 | Brackish water - concentrated (≈ 0.5% NaCl) vs seawater brine (≈ 6% NaCl) | 9.7 | 16.2 | ≈4.0 | Commercial flat sheet cellulose triacetate FO membrane from HTI | Achilli et al. [41] |
| lesal | Waste water (≈ 0.06% NaCl) vs RO brine (6-7% NaCl) | 25 | N/A | 7.7 | Commercial hollow fiber modules from Toyobo Co. Ltd. | Saito et al. [50] |
| Alla | Water (< 0.06% NaCl) vs 12% NaCl solution | 19.2 | 2.92 | 1.6 | FRL composite membrane | Loeb and Mehta |
| Experimental results | Water (< 0.06% NaCl) with 0.2% formaldehyde vs 10% NaCl solution | 40.5 | 2.92 | 3.3 | RO Aromatic polyamide hollow fiber membrane | Mehta and Loeb [33] |
| | Water (< 0.06% NaCl) vs 10% NaCl solution | 40.5 | 2.92 | 3.10 | RO Aromatic polyamide hollow fiber membrane | Mehta and Loeb [32] |
| | 3% NaCl solution <i>vs</i> 6% NaCl solution | 9.30 | 2.83 | 0.73 | Commercial flat-sheet cellulose triacetate FO membrane from HTI | Kim and Elimelech [48] |
| | 3% NaCl solution <i>vs</i> 10% NaCl solution | 12.6 | 5.91 | 2.1 | Commercial flat-sheet cellulose triacetate FO membrane from HTI | Kim and Elimelech [48] |
| | 3% NaCl solution <i>vs</i> 12% NaCl solution | 12.6 | 9.23 | 3.2 | Commercial flat-sheet cellulose triacetate FO membrane from HTI | Kim and Elimelech [48] |
| | Water (< 0.06% NaCl) vs 6% NaCl solution | 13 | 11 | 3.8 | Commercial asymmetric cellulose acetate membrane from HTI | She et al. [49] |
| | Water (< 0.06% NaCl) vs 12% NaCl solution | 13 | 19.0 | 6.7 | Commercial asymmetric cellulose acetate membrane from HTI | She et al. [49] |
| | River water (< 0.06% NaCl) vs brine from ocean RO (7% NaCl) | 19.4 | 149 | 30.6 | Thin-film composite membranes, with A = 7.7 L m ⁻² h ⁻¹ bar ⁻¹ , B = 7.7 L m ⁻² h ⁻¹ and S = 350 μ m | Efraty [55] |
| Modelled results | River water (< 0.06% NaCl) vs Mediterranean sea (4% NaCl) | 11 | 84 | 9.8 | Thin-film composite membranes, with A = 7.7 L m ⁻² h ⁻¹ bar ⁻¹ , B = 7.7 L m ⁻² h ⁻¹ and S = 350 μ m | Efraty [55] |
| | River water (< 0.06% NaCl) vs Great Salt Lake (24% NaCl) | 67.2 | 518 | 367 | Thin film composite membranes, with A = 7.7 L m ⁻² h ⁻¹ bar ⁻¹ , B = 7.7 L m ⁻² h ⁻¹ and S = 350 μ m | Efraty [55] |
| | River water (< 0.06% NaCl) vs hypersaline domains such as Lake Van (Turkey), Lake Eyre (Australia), Lake Urmia (Iran), 33% NaCl | 92.5 | 712 | 696 | Thin film composite membranes, with A = 7.7 L m ⁻² h ⁻¹ bar ⁻¹ , B = 7.7 L m ⁻² h ⁻¹ and S = 350 μ m | Efraty [55] |

As shown in Table 4, for a scheme based on fresh water and brine at 6% NaCl, the maximum recorded water flux using a modified thin-film composite membrane has been 47.2 L m⁻² h⁻¹, corresponding to a power output of 11 W m⁻² [45, 54]. It is interesting to note that in 1976-1978 (refer to references 31, 32 and 33 in Table 4), power outputs for similar osmotic pressure differentials were all below 3.1 W m⁻². This again demonstrates the advances of research and development towards improved membranes for osmotic power.

603

Within the context exposed, it is also important to mention the experiments with ammonium 604 605 salt solutions carried out by McCutcheon et al. [36], and McGinnis et al. [38]. McCutcheon et al. [36] tested the flux of water through cellulose triacetate membranes under FO conditions 606 subjected to high osmotic pressure differentials created by various solutions of ammonium 607 salts. Driving forces up to 250 bar were tested. The water flux through the membrane was 608 observed to increase with the increase of the osmotic pressure differential. However, while 609 theoretical results showed that increases in osmotic pressure differential would lead to 610 proportional increases in water flux, this proportionality was not observed in practice. For 611 instance, when increasing the osmotic pressure differential by five times, the water flux was 612 only increased by three times. Water fluxes through the membrane reached 36 L $m^{-2} h^{-1}$ for 613 an osmotic pressure differential of 250 bar, representing only 7% of the theoretical (potential) 614 flux. It was concluded that draw solutions with high salinities severely increased 615 616 concentration polarization - particularly internal concentration polarization - which is the reason why the flux was significantly affected. Similarly, McGinnis et al. [38] tested the flux 617 of deionized water through a FO membrane under PRO conditions using a range of draw 618 solution concentrations made of ammonium salts. A maximum water flux of 90 L $m^{-2} h^{-1}$ was 619 recorded for a driving force of 250 bar, representing only around 18% of the maximum 620 theoretical flux. As internal concentration polarization was minimized due to the use of 621 622 deionized water, the low performance was attributed to external concentration polarization caused by dilution of the draw solution at the membrane surface on the permeate side of the 623 membrane. Nevertheless, the power output projected from the recorded water flux could be in 624 excess of 250 W m^{-2} , which is quite high compared to the power densities expected for river 625 vs seawater salinity PRO power plants. 626

- 627
- 628

- 5.3. Research and development trends in PRO membranes
- 630

As discussed above, in order to increase water flux and consequently power density, membranes with the combination of higher permeability, low salt permeability in the skin layer and a high rate of salt diffusion in the porous substrate have to be developed. Also, PRO membranes should be hardy enough to withstand the constant pressure of water flowing through them. When compared to RO membranes, PRO membranes should have a much thinner porous support layer to minimize internal salt build-up [22].

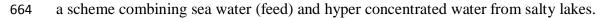
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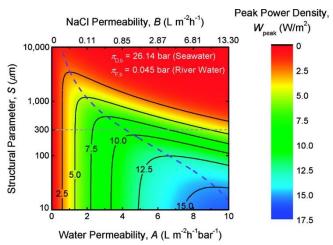
Finding an appropriate membrane for PRO means finding an optimum combination of the 638 membrane properties: A, B and S. According to Yip and Elimelech [40], a membrane 639 designed for maximum power output would have to preferably possess a balance between 640 parameters A and B (active layer properties) as shown in Figure 10. The magnitude of this 641 combination, however, is constrained by the support layer parameter S [40]. The lower the S642 value, the maximum the power output for a given combination of A and B. For thin-film 643 polyamide composite membranes commercially available for RO, typical values of S are 644 645 about 10,000 μ m (which means a very dense support layer), but values down to 100 μ m have 646 been reported in the literature [40], which is encouraging information for PRO membrane developers. For the desired power output of 5 W m^{-2} , a membrane with an S value lower than 647 1,000 μ m would be preferred. 648

649

650 At this point in time, research has proven that existing membranes can be improved in terms of the parameters A, B and S, allowing for higher water fluxes and consequently, for higher 651 osmotic power outputs. Modeling studies have found that peak power densities of around 9 652 W m⁻² could be achieved in a fresh water vs sea water PRO scheme if membranes of medium 653 water and salt permeabilities, and with a thin, porous, resistant support layer, could be 654 fabricated [18, 40]. As discussed earlier, a minimum power density of 5 W m⁻² has been 655 demonstrated to be ideal for a fresh water vs sea water PRO system to be profitable after a 656 nth-of-a-kind large-scale plant has been established [23, 29, 30]. Below this power output, the 657 cost of membrane installation would not justify the construction of a power plant. If a scheme 658 combining river water and concentrated brine from RO desalination (NaCl concentration \approx 659 6-7%) was considered, modeling results have estimated that power outputs of around 30 W 660 m⁻² could be reached with an improved membrane [41, 55]. What is more, according to the 661 modeling results presented by Efraty [55], a thin-film composite membrane, with A = 7.7 L 662

663 $\text{m}^{-2} \text{h}^{-1} \text{bar}^{-1}$, $B = 7.7 \text{ L} \text{ m}^{-2} \text{ h}^{-1}$ and $S = 350 \ \mu\text{m}$, would yield a power output of 697 W m⁻² for





665

Figure 10. Maximum power density (W_{peak}) as a function of the membrane parameters *A*, *B* and *S*. The dotted horizontal line represents a structural parameter *S* of 300 μ m. The diagonal violet line represents the optimal active layer properties to achieve peak power density for the specific structural parameter. The mass transfer coefficient in the draw solution side boundary layer was set as $k = 38.5 \ \mu m \ s^{-1}$. Simulations were for a fresh water *vs* sea water scheme. Figure retrieved from Ref. [40].

672

It follows, therefore, that the main reason for the low inefficiency of the currently available membranes is simply the fact that they have not been designed for the purpose of osmotic power production. Also, as the initial results on PRO were based on RO or FO membrane experiments, the real potential of osmotic power production via PRO has yet to be demonstrated.

678

It is also important to point out that, in addition to the development of better membranes, 679 there is also significant progress to be made regarding the design and development of 680 membrane modules. As described in the literature [29, 56, 57], standard spiral wound module 681 682 designs have severe limitations in relation to the internal flow pattern and pressure losses, and are not adequate for scaling up to larger units due to their low membrane area. Since an 683 684 osmotic power plant will require several million square meters of membrane, the membrane 685 modules should contain several hundreds or even thousands of square meters [29]. In this respect, the authors have set several design criteria for new membrane elements. These 686 include that the elements should have the ability to convey flow on both sides of the 687

membrane, should possess a much larger membrane area and should be much less susceptibleto membrane fouling compared with the current membrane modules.

690

With the opening of the first osmotic power prototype plant in 2009, which proved that the 691 692 concept of PRO can indeed be used for power generation, research institutions such as Yale University (Connecticut, USA), the Singapore Membrane Technology Centre at the Nanyang 693 694 Technological University and the University of Nevada (USA) have come forward to help find a suitable membrane for use in a real osmotic power plant and have been making major 695 696 contributions in the field, from PRO modeling to PRO membrane development. Private initiative has also been a driving force in PRO development. Hydration Technology 697 Innovations (HTI), Arizona USA, for example, is planning to supply membranes for PRO in 698 the near future [58]. HTI FO membranes have been tested widely for power generation [e.g., 699 700 22, 36, 38], although a desired power density has not yet been achieved. Recently, Statkraft signed an agreement with the Japanese company Nitto Denko/Hydranautics for the 701 development and supply of membranes for PRO [56, 59, 60]. According to Nitto 702 Denko/Hydranautics, the development of more efficient membranes will contribute to 703 704 making PRO competitive with other new, renewable energy sources and will bring osmotic 705 power further towards future commercialization [56]. Even more recently, Statkraft and Hydro-Québec (Canadian government-owned public utility for generation, transmission and 706 707 distribution of electricity) entered a collaboration agreement to study mechanisms of pretreatment of fresh water in an osmotic power plant [61]. The Canadian company has 708 709 identified 12 GW of osmotic power potential along the Hudson Bay, James Bay and St Lawrence estuary that could add 25% to its current power generation capacity [59]. Other 710 711 companies involved in the development of membranes for RO, such as General Electric, the 712 Dow Chemical Co., Toray Industries and Koch Membrane Systems, are also likely to be 713 involved in the development of membranes for PRO in the near future [58].

714

Membranes for use in sea water *vs* hypersaline water schemes will probably come after the development of membranes for fresh water *vs* sea water systems, as the former will have much more severe concentration polarization problems, as well as requiring more resistance to withstand the higher operating pressures. According to Achilli et al. [41], a sea water *vs* hypersaline water scheme would require a membrane with a tenth of the thickness of the current membranes, water permeability of a nanofiltration membrane and extremely low salt permeability to overcome the issues with concentration polarization and reverse flux of salt. 722 Moreover, according to She et al. [49], and Kim and Elimelech [48], maximum water fluxes and projected optimum power densities for sea water vs hypersaline water schemes are 723 724 difficult to obtain in laboratory conditions with commercially available membranes due to 725 their inability to withstand high pressures. For instance, a pressure of approximately 13 bar 726 was reported to be the maximum supported by a cellulose triacetate membrane [49]. Beyond this value, the applied pressure would cause deformation of the membrane, with consequent 727 728 blockage of the feed channels. In this sense, one may be tempted to consider RO membranes for this purpose, but these membranes, albeit resistant to high pressures, would perform 729 730 poorly in PRO conditions due to their high susceptibility to concentration polarization.

731

732 Noticeably, all these problems are more easily overcome in fresh water vs sea water schemes. Nevertheless, if appropriate membranes were available for PRO systems using draw solutions 733 with concentrations higher than sea water, it would be clear that the production of osmotic 734 power would become more attractive than the production of osmotic power from the 735 736 traditional pairing of fresh water and sea water, as the power output per unit membrane would 737 be fourfold. This is supported by the fact that using high concentration solutions, for example 738 sea water vs hypersaline water from salt lakes, would not affect our fresh water resources, as 739 opposed to a river water vs sea water scheme. Also, the power production would not be limited by the availability of feed solution because sea water is plentiful and practically 740 741 unlimited. This is in contrast to a fresh water vs sea water system which would require large volumes of fresh water which cannot always be relied upon, particularly in areas with high 742 743 water demands, where the resource has to be shared with other water users, some of them 744 with higher priority than power production. Nonetheless, should the fresh water be in ready 745 and regular supply and in sufficient quantity, the situation would presumably be different. It is important to note that in general, availability of fresh water is more limited by average 746 747 river discharge than by competition for drinking water or irrigation.

748

MIK Technology, from Texas, USA, has identified numerous hypersaline domains that could be used in combination with sea water to produce power under PRO conditions [62-67]. Loeb [5] had already suggested that hypersaline lakes, such as the Dead Sea and the Great Salt Lake, could be used as sources of draw solution for osmotic power generation if membranes for this purpose could be manufactured. Based on the osmotic pressure differential of the proposed domains (summarized in Table 5), it is unquestionable that these are potential sources of this new type of renewable energy. Several white papers have been written by MIK Technology detailing the pumping and pipe systems, the potential for osmotic power production at different sites, the environmental and other aspects, in the search for investors for the business [62-67]. However, the mechanism for harnessing the potential energy (and this includes, of course, the membranes) has not been revealed by the author and one could argue about the technical viability of these projects, at least within the next few years. Nevertheless, it is important that these sites have been already identified and once the technology becomes available, that some of those projects can be implemented.

763

| 764 | Table 5 | Deteration | les ve a ve a live a | dama | fam | a area a tia | marrian mucharian | - |
|-----|-----------|------------|----------------------|---------|-----|--------------|-------------------|---|
| 764 | I able 5. | Potential | nypersanne | domains | IOT | OSIDOLIC | power production | 1 |
| | 1 4010 01 | | | | | 001110110 | poner procession | - |

| Salt water source ¹ | Salt (%) ¹ | Conjugate low salinity water ¹ | Salt (%) ¹ | Approximate osmotic pressure difference – $\Delta \pi$ (bar) ¹ | Power (MW) ^{1,2} | Electricity supply (thousands of households) ³ |
|--|--------------------------|---|--------------------------|---|------------------------------|---|
| Great Salt Lake, USA | 24 | Bear, Weber or Jordan Rivers | < 0.1 | 200 | 400 | 300 |
| Lake Torrens, Australia, Phase I | 32 | Indian Ocean | 3.5 | 240 | 2,000 | 1,770 |
| Lake Torrens, Australia, Phase II | 32 | Indian Ocean | 3.5 | 240 | 4,000 | 3,500 |
| Lake Eyre, Australia | 33 | Indian Ocean | 3.5 | 245 | 3,300 | 2,900 |
| Lake Gairdner, Australia | Salt bed | Indian Ocean | 3.5 | N/A | 1,500 | 1,300 |
| Sebjet Tah, Western Sahara | Lowland | Atlantic Ocean | 3.5 | N/A | 400 | 4,000 |
| Lake Assal, Djibouti | 35 | Ghoubbet al- Kharab Hot Springs | 3.5 | 270 | 200 | 6,250 |
| The Aral Sea, Kazakhstan | 30 | The Caspian Sea | 0.1- 0.12 | 250 | 16,000 | 26,000 |
| Zaliv Kara-Bogaz- Gol, Turkmenistan | 33 | The Caspian Sea | 1.0-1.5 | 270 | 4,500 | 15,000 |
| Lake Baskunchak, Russia | 30 | The Volga River / Caspian Sea | 0.1- 0.12 | 250 | 40 | 60 |
| Chott el Jerid, Tunisia | 32 | The Mediterranean | 3.5 | 240 | 3,000 | 2,000 |
| Chott Melrhir, Algeria | Salt bed | The Mediterranean | 3.5 | N/A | 3,000 | 3,000 |
| Qattara Depression, Egypt | Lowland | The Mediterranean | 3.5 | N/A | 3,000 | 3,000 |
| Lake Urmia, Iran | 33 | Zarrineh & Simineh / Caspian Sea | < 0.1 | 280 | 800- 1,400 | 2,500-4,400 |
| Lake Tuz, Turkey | 33 | Kizil Irmak River | < 0.1 | 280 | 400 | 1,600 |
| Arabian Peninsula | Lowland | Red Sea, Persian Gulf | 0.45 | N/A | Varies | Varies |
| The Dead Sea, Israel/Jordan | 33 | The Mediterranean / The Red Sea | 3.5/4.5 | 240 | 60-200 | 200-650 |
| Gran Bajo de San Julian | 33 | Atlantic Ocean – Argentina shore | 3.5 | 245 | Pending | - |
| Laguna Salgada, Mexico | Salt bed | Gulf of California | 3.5/4.5 | N/A | 500 | 2,600 |

⁷⁶⁵ 766 767

¹ Information compiled from Ref. [62, 64, 65]

² Calculation method described in Ref. [66]
 ³ The number of supplied households was calculated using the average electricity consumption per capita in each country [20]

6. The existing PRO pilot power plant

771 Until 2009, PRO studies had only been conducted in laboratory scale and no one had tested 772 the feasibility of the technology in real scale. In 2009, the first osmotic power plant prototype 773 based on the PRO technology was finally opened in Tofte, Norway. The plant prototype belongs to Statkraft and was built driven by the encouraging results demonstrated by 'The 774 775 Osmotic Power Project' (2001-2004), funded by the European Union and conducted by a joint effort of Statkraft, ICTPOL of Portugal, SINTEF of Norway, GKSS-Forschungszentrum 776 of Germany, and the Helsinki University of Technology of Finland [68]. As mentioned 777 778 previously, membrane developer Nitto Denko/Hydranautics has recently signed an agreement with Statkraft to develop and supply membranes designed specifically for PRO [56, 59, 60]. 779 One of the main objectives of this collaboration is to develop membranes that have a 780 production capacity equivalent to the break-even point of 5 W m⁻² [23, 24, 29, 56, 69, 70]. 781 Statkraft will also construct a pilot facility in Sunndalsøra, Norway, in the coming years with 782 an installed power capacity of 2 MW [71, 72]. In 2012, Japan also started to carry out 783 research on osmotic power production using a plant prototype built in Fukuoka City [50], but 784 785 limited results have been published so far. The prototype and related research is a partnership 786 between Kyowakiden Industry Co. (a Japanese industrial infrastructure, maintenance and operation provider), Tokyo Institute of Technology and the Nagasaki University [59]. 787

788

The prototype built in Norway is equipped with 2,000 m^2 of membranes, and is reported by 789 Statkraft to have a membrane output of 1 W m^{-2} [73], meaning an overall output capacity of 2 790 kW. With the development of improved membranes (i.e., power output of at least 5 W m^{-2}) 791 the same prototype will be able to generate 10 kW. A general sketch of the power plant is 792 793 shown in Figure 1. The plant works essentially similar to a saltwater RO desalination plant 794 running backwards. The river water enters the plant at low pressure, passes through a 795 mechanical filtration system to remove impurities and enters the permeator. Concurrently, sea water is pumped into the plant, filtered and pressurized with the aid of a pressure exchanger 796 797 before entering the permeator. Due to the osmotic pressure differential between the fresh water and the sea water in the permeator, the fresh water permeates the membranes, 798 increasing the volume of water in the pressurized pipe system. Part of this pressurized flow is 799 diverted into a turbine to generate power and part is diverted to the pressure exchanger to add 800 pressure to the incoming sea water. The prototype is described to utilize 20 L s⁻¹ of sea water 801 and 13 L s⁻¹ of freshwater [57, 74]. 802

The first generation of PRO membranes (2009-2010) in the prototype, as described by 804 805 Statkraft [29, 73], was based on conventional flat sheet cellulose acetate membranes in spiral wound elements, but their performances were reported to be only about 0.5 W m^{-2} [56, 73]. 806 The second and current generation of membranes is based on RO spiral wound thin-film 807 composite membrane elements [73]. The power performance of these membranes has been 808 demonstrated in laboratory conditions to be around 2.7-3.0 W m⁻² under modified conditions 809 of the porous structure (support layer) [15, 29]. The most recent reference is that Statkraft's 810 plant has been able to actually produce 1 W m^{-2} with this type of membrane [73]. As seen 811 previously, the main problem with RO membranes is the high susceptibility to membrane 812 813 concentration polarization as a result of their dense support layer structure. While this structure is necessary in RO to withstand the high operating pressures, it is undesirable in 814 PRO as the salt concentration in the layer substantially reduces the osmotic pressure gradient, 815 reducing the flux of fresh water through the membrane. 816

817

Crucial for the power performance and reduction of membrane fouling in the prototype is the 818 819 pre-treatment of the incoming fresh water, which according to Statkraft [75] is based on 820 mechanical filtration. Rivers usually contain significant amounts of organic matter and silt with contents that may vary considerably during the seasons. In Statkraft's power plant, the 821 822 pre-treatment system for the river water is comprised of a 50- μ m pore size filter and a cellulose acetate UF plant, similar to what is used for river water treatment [75]. The sea 823 824 water, in turn, is supplied through water pipes from approximately 35 meters below sea level [74], with a pre-treatment based solely on a 50- μ m pore size filter [75]. As the volume of 825 826 incoming sea water significantly exceeds the volume of fresh water, it has been very 827 important to demonstrate that it is possible to operate the plant with minimal pre-treatment of 828 the sea water [75]. Also, the plant has been showing that mechanical filtration in combination with a standard cleaning and maintenance cycle of the membranes is enough to sustain the 829 membrane performance for 7 - 10 years [76]. Also important for the efficiency of the system 830 is the use of pressure exchangers [26-28, 76]. Pressure exchangers or energy recovery devices 831 have been extensively used in desalination plants to reuse the pressure that would be wasted 832 under normal conditions. These devices have been proved to save around 60% of the energy 833 input in these systems [58]. 834

836 The current membrane power output of the Statkraft prototype is still very far from the target of 5 W m⁻². With an output of only 1 W m⁻², a commercial power plant would have to rely on 837 a large area of membranes, increasing capital, maintenance and operating costs, and making 838 the business financially unviable. For instance, the total membrane area for a 2 MW and a 20 839 MW power plants would have to be 2 km² and 20 km², respectively. With 2,000 m² of 840 membranes, the Statkraft power plant prototype has been able to produce a minor output 841 842 power of 2 kW, which is just enough to operate an electric kettle [77]. However, the project has been vital as it is proving that the PRO technology works and osmosis can indeed 843 844 produce electricity. Moreover, the plant has been establishing the necessary theoretical and practical know-how at a pre-engineering level for the future commercialization of the 845 technology [76]. 846

847

848 7. Environmental impacts of osmotic power

849

Overall, osmotic power with PRO is claimed to have very limited to non-existent 850 environmental impacts in comparison to current power production methods [e.g., 26, 29, 56, 851 69]. This is mainly attributable to emission-free energy production and to the fact that the 852 853 brackish water discharged from the plant would mimic the natural discharge of a river into the ocean [78]. The water from the river diverted into the plant would not be consumed, but 854 855 only cycled through the plant [58]. However, as PRO is still an immature technology, it should be recognized that a large research gap persists regarding its actual environmental 856 857 impacts, and that any application of PRO on a large scale would require thorough study to 858 quantify the actual impacts on the local receiving environment.

859

Following the example of the Statkraft plant, the river water for an ordinary osmotic power plant would be deviated before the point of its natural discharge into the ocean. At the same time, sea water would be diverted from a deep and distant location offshore. The two fluids (river and sea waters) would be mixed during the power production process, generating brackish water which, after running through the plant, would be discharged near the river mouth, as shown in Figure 11. As a result of these deviations and discharge, some environmental impacts may arise.

867

Walday et al. [79], and Staalstrom and Gitmark [74] have warned about three main potential impacts of the discharge of brackish water from osmotic power plants using river and sea 870 water as incoming solutions. The first impact is related to the release of brackish water in superficial layers of the ocean. At the Statkraft plant, sea water is pumped up from 35 meters, 871 872 run through the plant, and released at the surface of the ocean. As is well known, nutrient concentration is usually greater in deep waters compared with shallow waters. As such, this 873 874 discharge would release nutrients at the surface layer, and subsequently lead to local eutrophication. Kleverud [57] has reported that eutrophication effects, particularly due to the 875 876 addition of phosphates, will be the main concern in up-scaled osmotic power plants. After three years of monitoring and investigation, water samples from the saltwater intake of the 877 878 prototype indicate that the phosphorous concentration is often higher at 35 m depth than in 879 the euphotic layer, which suggests that there will be a net supply of phosphorous to this layer 880 under a large scale PRO process, an issue that requires further investigation.

881

The second issue proposed by Walday et al. [79], and Staalstrom and Gitmark [74] is temperature changes in the surface water due to the brackish water discharge. The temperature of deep waters is usually more stable than shallow waters. As a consequence, the brackish water discharge would be warmer than the ambient water in winter and relatively colder in summer. This may lead to changes in the local aquatic ecosystems, which warrants further research.

888

The third potential issue is from the chemical cleaning of the membranes. The cleaning agents used in PRO are usually similar to those utilized in the desalination and water treatment industries [23, 76]. While these chemicals do not usually accumulate in the environment, there is a potential danger of local toxic effects if concentrations exceed acceptable limits [74, 80]. On the positive side however, at the Statkraft plant, biological investigations have shown no impacts of the discharge water on the local benthic communities in the last three years [57, 79].

896

In addition to nutrients, temperature and chemicals, regular discharges of brackish water may also alter the local aquatic environment due to salinity changes [74, 81]. Recent monitoring of salinity near the discharge point of the Norwegian power plant prototype has shown that the discharge of brackish water is usually responsible for an increase in surface salinity as a result of the high salinity levels of the deep sea water that is diverted into the plant [74]. While an important finding, this cannot be fully generalized to other plants and locations, as the salinities of deep sea waters could be greatly affected by local winds and currents. As 904 such, salinity reductions, rather than increases, may sometimes occur in deep sea water, resulting in an opposite effect. While some variation in salinity is normal, high fluctuations 905 906 may result in severe changes in the communities of animals and plants if some species cannot tolerate the salinity change. This could further result in imbalances in the local ecosystem. 907 908 Fernandez-Torquemada et al. [82], for example, have shown that the discharge of brine from RO desalination plants is diluted at much lower rates than usually accepted levels, affecting 909 910 marine communities in surrounding areas. It was found that this discharge has severe impacts on important Mediterranean seagrass species and associated organisms [83]. On the other 911 912 hand, however, other studies on the impacts of the discharge of brine from desalination plants have found no significant variations attributable to brine discharges [e.g., 84, 85]. For PRO 913 plants, one could expect low changes in local salinity as compared with RO desalination 914 plants, owing to the discharge of brackish water rather than brine. Nevertheless, given the 915 level of uncertainty in the field, the impacts of the salinity change should always be 916 quantified for new PRO establishments, as each location will have its own influencing factors 917 on salinity, as well as species with different responses to salinity changes. Overall, salinity, 918 temperature and nutrient changes and, to some extent, chemical effects may be avoided by 919 920 positioning the outlet plume below the euphotic zone [57, 79].

921

The deviation of fresh water from a river to feed an osmotic power plant is also of great 922 923 concern to the natural environment surrounding a PRO plant. At large scale, an osmotic power plant would rely on great quantities of fresh water. A full scale plant will possibly 924 have a fresh water volume flux greater than 10 $\text{m}^3 \text{s}^{-1}$ [74]. Assuming a power production of 925 0.75 MW per m³ s⁻¹ of incoming fresh water, and osmotic power facilities of 2 MW and 20 926 MW capacities - which are typical outputs of power plants based on renewable sources -927 these facilities would have to pump in freshwater at rates of 2.7 $m^3~s^{\text{-1}}$ and 26.7 $m^3~s^{\text{-1}}$ 928 respectively, which are considerably high amounts of fresh water. For comparison, the River 929 Thames in London, England, and the Rio Grande River, in the US, each have an average 930 discharge of approximately 65 m³ s⁻¹, and the Yarra River in Melbourne, Australia, has an 931 average discharge of 37 m³ s⁻¹. Under such large freshwater intakes, osmotic power plant 932 933 developers must ensure that the rivers retain the minimum flow required downstream of the deviation point. In some cases, it may be even necessary to change the hydraulic system and 934 935 water management rules, because of the substantial amount of water needed [86]. In addition, 936 other interests of the water bodies used in the PRO system, such as navigation and recreation, as well as infrastructural works should be taken into account [86]. 937

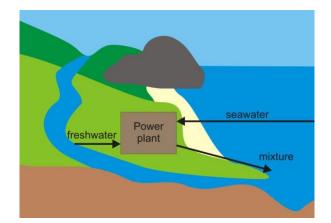




Figure 11. Inlet and outlet locations of a typical osmotic power plant that uses river water and
sea water. The project should comply with the ecological flow (minimum flow) requirement
between the inlet of fresh water and the outlet of the mixture.

942

Intuitively, if an osmotic power plant was paired with a desalination plant as suggested in the 943 944 literature [7, 8], this could help reduce the potential environmental impacts of the disposal of 945 brine from the desalination process. In a conventional desalination plant, brine is generated and disposed of into the sea. For some locations, this disposal has been shown to have 946 947 adverse effects on the local aquatic environment [e.g., 82, 83]. If the brine could be used as a draw solution for an osmotic power plant rather than being immediately disposed of, it would 948 be diluted by the permeated fresh water prior to its disposal, and the impacts of the discharge 949 950 would be significantly reduced. Moreover, osmotic power, for its zero carbon-dioxide footprint, would indirectly reduce the environmental impact of the desalination process by 951 reducing its reliance on fossil fuel consumption [8] and consequently, diminish the discharge 952 of greenhouse gases into the atmosphere. 953

954

955 Other impacts from osmotic power plants could be associated with the building of the facilities, access roads, channels and connections to the electricity grid [29]. It should be 956 957 noted, however, that osmotic power plants are usually described as requiring a relatively small footprint area. For instance, a facility with a power production capacity of 25 MW 958 would have the size of a football field [87]. Some experts in osmotic power have suggested 959 underground, partially-underground or below sea-level plants to minimize the visual and 960 physical impacts on the local environment [3, 23, 25, 26, 29, 69]. Below sea-level facilities 961 would also increase the efficiency of the power production as the incoming sea water could 962 963 be pressurized by gravity [25, 26]. Additionally, many authors have suggested that as most of 964 the river mouths have already been occupied by adjacent urban or industrial developments,

the majority of the osmotic power plants could be established without damaging unspoiled areas, such as river deltas or protected areas [26, 69]. These authors further argue that in developed areas the estuaries have already been affected by the anthropic occupation. As such, under a controlled and careful design and building of an osmotic power plant, the present environmental conditions of the river, the estuary and the sea could even be enhanced [69].

971

972 Some more ambitious and far-reaching osmotic power projects which are based on sea water 973 and concentrated saline water from salt lakes [e.g., 63, 65, 88, 89] would probably involve 974 many more risks to the environment. Most of these projects would require the construction of 975 long seawater canals, to transport the sea water into the salt lakes and into the plants since salt 976 lakes are not always located close to the ocean. In Australia, for example, if Lake Eyre or 977 Lake Torrens were to be used as sites for osmotic power production, a 350-km canal would 978 have to be built for seawater transport [65].

979

An alternative method of producing osmotic power with minimum environmental impact would be the use of subterranean brine near a source of fresh water or sea water. As an example, Wick [21] suggests several salt domes in the northern Gulf of Mexico, from which osmotic power could be produced at a rate of 1,000 MW for approximately 10 years.

984 985

986

8. The economics of PRO

As with some other ocean energy technologies, it is difficult to estimate the cost of osmotic 987 power due to the absence of large-scale plants to validate cost assumptions. The main 988 989 advantage of PRO in relation to other renewable energy sources lies in its reliable baseload power, which can make the annual energy costs comparable and competitive with other 990 991 renewables. Under a constant supply of feed and draw solutions, it is anticipated that an osmotic power plant can be designed to operate continuously for more than 8,000 hours 992 993 annually, yielding a very high power generation capacity for each MW installed [68, 69] and reducing PRO energy costs to an attractive level [15]. 994

995

Provided a membrane with at least 5 W m⁻² of power output can be fabricated cheaply, the cost of fresh water *vs* sea water osmotic power will fall between 0.065 - 0.13 kWh⁻¹ by 2030, making it competitive with other renewables [58]. Moreover, as a renewable energy source with high environmental performance, it is expected that PRO will qualify for subsidy programs and other government incentives similar to those already seen today for wind and solar power. With subsidies included, the osmotic power cost could drop to 0.05 - 0.06kWh⁻¹ in 2015 [26].

1003

1004 The most recent publication on osmotic power cost is perhaps that of Skilhagen [56], which 1005 gives a levelized cost of energy between \$0.09 kWh⁻¹ and \$0.11 kWh⁻¹ projected for a nth-of-1006 a-kind large osmotic power plant (i.e., including cost reductions due to technology 1007 improvements, economy of scale and learning rates). Of this levelized cost, membranes are 1008 projected to account for more than 35%.

1009

It has been demonstrated by Kleiterp [90] that intake and outfall systems, pre-treatment 1010 facilities, and membranes all combined would account for 76% of the total installation cost. 1011 1012 As pointed out by Loeb [27], capital amortization would amount to more than 60% of the total energy cost. In conventional power-generating plants, as would be expected in PRO 1013 1014 power plants, operation and maintenance would be only a small fraction of the total power 1015 costs. The main components of the operation and maintenance costs are those related to the 1016 pressurization of incoming solutions as well as the filtration required for pre-treatment of the water before it reaches the membrane. 1017

1018

1019 The following sections of this article discuss the two economic metrics of most interest for 1020 power generation, namely the cost per installed kW and the cost per kWh of electricity 1021 produced, with focus on PRO systems.

- 1022
- 1023 10.1. Capital costs
- 1024

Undoubtedly, commercial osmotic power plants today would incur an extremely high capital 1025 1026 cost as they would require a large membrane area to overcome the low power densities produced by the current membranes. For example, assuming a cost per unit area of installed 1027 membrane of \$30 [91], the difference between the membrane costs for a 1 W m⁻²-membrane 1028 plant and for a 5 W m⁻²-membrane plant would be approximately \$500 million for a 20-MW 1029 capacity power plant. In addition to membranes, the large capital costs would also be 1030 attributable to the pre-treatment facilities, hydroturbines, pumps, pressure exchangers and 1031 1032 other devices.

1033 A unit capital cost can be estimated through the following relationship:

1034

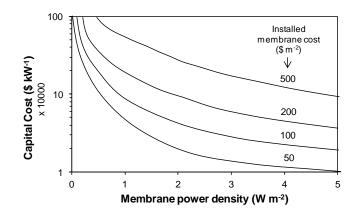
1035
$$C_c = \frac{C_m}{W}$$
(9)

1036

1037 where C_c is the unit capital cost (\$ kW⁻¹), C_m is the installed membrane cost which includes 1038 all equipment costs (\$ m⁻² of membrane), and *W* is the power density of the installed 1039 membranes (kW m⁻²).

1040

1041 The unit capital costs as a function of the membrane power outputs for various installed 1042 membrane costs are presented in Figure 12. The installed membrane costs were derived from 1043 the desalination industry, which use similar technology to osmotic power plants [35].



1044

Figure 12. PRO capital costs *vs* membrane power outputs for various installed membranecosts. Figure adapted from Ref. [35].

1047

As seen in Figure 12, the capital cost for a 1 W m⁻² membrane could vary from \$50,000 kW⁻¹, 1048 for an installed membrane cost of \$50 m⁻², to \$400,000 kW⁻¹, for an installed membrane cost 1049 of \$500 m⁻². If a 5 W m⁻² membrane were available, the capital cost would be reduced to 1050 $10,000 \text{ kW}^{-1}$ and $100,000 \text{ kW}^{-1}$ for capital investments of 50 m^{-2} and 500 m^{-2} , 1051 respectively. A more recent study reported in Harrysson et al. [92] utilized an installed 1052 membrane cost of \$60 m⁻² for a power plant containing at least 2 km² of membrane. For a 1053 power density of 5 W m^{-2} this plant would have an installed capacity of 10 MW and a unit 1054 capital cost of \$12,000 kW⁻¹, which is significantly lower than the installed membrane costs 1055 of \$100 m⁻² reported in 1981 [35]. An even more recent study reported installed membrane 1056 costs for desalination plants ranging from \$20 to \$40 m⁻² [91]. The lowest value of the range 1057 would incur a capital cost of \$4,000 kW⁻¹ for a membrane power density of 5 W m⁻². For the 1058

current achievable power density (1 W m⁻², based on the most recent outputs reported by 1059 Statkraft), the resulting capital cost is around \$20,000 kW⁻¹. These capital costs are all above 1060 1061 those associated with wind power, but some are competitive with solar. The International Renewable Energy Agency reports installation costs of onshore wind farms varying from 1062 \$1,700 to \$2,450 kW⁻¹[93], whereas Hinkley et al. [94] reports installation costs for solar 1063 power in the order of \$6,800 to \$7,700 kW⁻¹. Therefore, to make osmotic power generation 1064 competitive with solar power, a combination of power density of 5 W m^{-2} and a maximum 1065 installed membrane cost of \$35 m^{-2} would be required. If power density is lower, for instance 1066 3 W m⁻², the installed cost would have to decrease to 20 m^{-2} . 1067

1068

1069 When compared with other forms of ocean energy, osmotic power costs seem similar to (or 1070 even less than) those for other ocean energy sources, such as tidal energy. According to the 1071 International Energy Agency, the capital costs of tidal technologies vary between \$7,000 kW⁻¹ 1072 ¹ and \$10,000 kW⁻¹ [95]. Moreover, Statkraft's current cost estimates also demonstrate that 1073 osmotic power generation can be developed to become cost-competitive with bio-power 1074 sources [26].

1075

1076 More optimistically, the capital cost for a power plant based on a hypersaline draw solution 1077 could be up to 40 times less than that of a seawater draw solution [5]. Therefore, provided 1078 technical barriers are overcome (i.e., the development of a membrane able to withstand high pressure differentials), it seems there is more potential for the development of osmotic power 1079 1080 based on salinity gradients greater than that of a fresh water vs sea water scheme. Loeb [88] studied investment costs for a PRO plant that would use brine from an RO plant as the feed 1081 1082 solution, and brine from the Dead Sea as the draw solution. Due to the considerably larger area of membranes required within an osmotic power plant, compared to a typical 1083 desalination plant, the author used a scale-up factor that reduced the capital cost per 1084 membrane area from \$42 m⁻² to \$18.6 m⁻². With a power output of 4.7 W m⁻², which is a 1085 reasonable output for this particular salinity differential, the resulting unit capital cost was 1086 estimated as \$3,980 kW⁻¹. In the pairing of river water and brine from the Great Salt Lake, 1087 Loeb [89] estimated a unit capital cost of \$9,000 kW⁻¹, assuming an installed membrane cost 1088 of \$160 m⁻² and a membrane power density of 17 W m⁻². 1089

1090

1091 As pointed out by Loeb [27], capital amortization can amount to more than 60% of total 1092 electricity costs. While it is clear that a reduction in capital expenditure would greatly impact 1093 on the cost of this technology, it is important to acknowledge there are significant differences 1094 between RO (on which PRO cost studies are based) and PRO. A dedicated cost analysis to 1095 PRO has been made by Kleiterp [90], who broke down all the costs involved in the PRO 1096 process for three hypothetical fresh water vs sea water osmotic power plants designed for 1097 three locations in the Netherlands. The power plants' capacities were 1 MW, 25 MW and 200 MW, and the assumed membrane power output was 2.4 W m⁻². The main new inclusions in 1098 this cost analysis study were the costs related to the intake and outfall systems and the pre-1099 treatment of incoming solutions. The objective was to verify whether a levelized cost of 1100 energy of \$0.08 kWh⁻¹ would ever be possible. The capital costs of a 25 MW and a 200 MW 1101 PRO plants were predicted to be around \$32,000 kW⁻¹ and \$29,200 kW⁻¹, respectively. These 1102 high capital costs were attributed to the inclusion of intake and outfall systems costs, pre-1103 treatment facility costs, land acquisition, power plant building and electrical installations and 1104 grid connection costs – which had not been included in the cost analyses from prior studies. 1105 The main components of the capital costs were found to be the membranes, intake and outfall 1106 systems and the pre-treatment facilities, collectively accounting for 76% of the total 1107 installation cost. 1108

- 1109
- 1110 10.2. Total energy cost
- 1111

When studying the costs of producing power from brine generated from desalination plants as feed solution, and brine from the Dead Sea as draw solution, Loeb [88] concluded that power could be generated at a cost of \$0.07 kWh⁻¹. In the pairing of river water and brine from the Great Salt Lake, Loeb [89] estimated a unit energy cost of \$0.09 kWh⁻¹. These unit costs are comparable with the reported costs of \$0.06 - \$0.14 kWh⁻¹ for wind power [93] and much below the reported values for solar power (\$0.23 kWh⁻¹ [94]).

1118

However, pre-treatment costs were not included in the studies by Loeb [88, 89], and according to Ramon et al. [96] and Kleiterp [90] these would be major contributors to the final production cost. Pre-treatment is necessary for both the feed and draw solutions to avoid membrane fouling and shortening of the membrane lifetime [14, 40, 97, 98].

1123

1124 Achilli and Childress [53] estimated the revenue per unit area of installed membrane and 1125 compared it with actual installed membrane costs reported in the literature. At an energy 1126 price of 0.10 kWh^{-1} , a power density of 5 W m⁻², and an expected membrane lifetime of five 1127 years, the authors estimated a resulting membrane revenue of 22 m^{-2} . This is at the lowest 1128 range of estimated costs of membranes per square meter, $20 - 40 \text{ m}^{-2}$ [91], demonstrating 1129 that the technology is not economically feasible at the current membrane costs and power 1130 densities. However, when considering a membrane lifetime of 10 years, membrane revenue 1131 could increase to 40 m^{-2} .

1132

In the study by Kleiterp [90], who analyzed capital and unit energy costs for both 25 and 200 MW osmotic power plants in the Netherlands using a membrane output of 2.4 W m⁻², a unit energy cost of \$1.21 kWh⁻¹ resulted from the 25 MW osmotic power plant analysis, and \$1.0 kWh⁻¹ from the 200 MW plant. These values demonstrate that osmotic power is financially unviable compared to the levelized cost for alternative renewable energy sources.

1138

1139 Kleiterp [90] also analyzed the feasibility of a 1 MW PRO plant integrated with a sewage 1140 treatment plant, resulting in a unit energy cost of \$0.25 kWh⁻¹. This was shown to be the most 1141 cost-effective configuration for a PRO plant under current technological conditions. The 1142 sewage treatment plant would provide the feed solution to the power plant, and the 1143 integration of the two plants would allow for a considerable reduction in the costs of pre-1144 filtration, intake and outfall systems.

1145

1146 According to Kleiterp [90], when considering developments in membrane technology – such as an increase in membrane power density to 5 W m^{-2} and a reduction in membrane prices – 1147 plus reductions in the capital costs related to the intake and outfall systems (by, for instance, 1148 reducing the distance between the fresh and salt water sources), and reductions in costs 1149 1150 related to land acquisition, plant building and pre-filtration, the energy production costs for the power plants could be significantly reduced. The unit energy costs could potentially be as 1151 low as \$0.12 kWh⁻¹ and \$0.07 kWh⁻¹ for the 25 and the 200 MW PRO plants, respectively. 1152 The unit energy cost of the 1 MW plant integrated into the sewage treatment plant could be 1153 reduced to \$0.08 kWh⁻¹. These are all marketable values of the energy unit rate. It should be 1154 noted that all modifications to the original design are feasible, provided membrane and pre-1155 treatment technologies are improved [90]. Using a similar approach, Skilhagen [56] predicts 1156 that the levelized cost of energy for a demonstration osmotic power plant (25 MW) will settle 1157 at \$0.16 kWh⁻¹ when factoring in cost reductions due to technology improvements and 1158 economy of scale. More encouragingly, the cost could reach \$0.09 kWh⁻¹ in 2030 based on a 1159 nth-of-a-kind plant, with the accountability of cost reductions as technology manufacturers 1160

1161 accumulate experience [56]. The cost predicted by Skilhagen [56] for a demonstration plant (\$0.16 kWh⁻¹) is higher than the reported energy costs for wind power by the International 1162 Renewable Energy Agency (\$0.06 - \$0.14 kWh⁻¹) [93], but comparable to wind energy costs 1163 reported by other sources such as Tanioka et al. [6] (\$0.16 - \$0.28 kWh⁻¹) and Syed et al. [99] 1164 (\$0.11 - \$0.22 kWh⁻¹). As for solar power, osmotic power is comparable to the costs reported 1165 by Hinkley et al. [94] (\$0.23 kWh⁻¹), and more economical than the costs presented by 1166 Tanioka et al. [6] (\$0.86 kWh⁻¹) and Syed et al. [99] (\$0.30 - \$0.74 kWh⁻¹). Table 6 1167 summarizes all osmotic power costs found in the literature in terms of the two most important 1168 economic metrics – cost per installed kW and total energy cost per KWh. 1169

- 1170
- 1171 10.3. Cost trends
- 1172

1173 Membrane modules are the main component of the capital costs of an osmotic power plant, and if these are to be reduced, a high power density membrane (able to be supplied at a 1174 1175 reasonable cost) would be required in the market. Moreover, the improved membrane will have to present a low susceptibility to fouling to increase its lifetime and, consequently, 1176 1177 reduce operation and maintenance costs. Unfortunately, at this stage of development, PRO application is still limited by the absence of such an ideal membrane. Therefore, although it 1178 1179 must be acknowledged that PRO technology has been significantly improving since the 2000s, osmotic power remains economically unviable with the current membranes. 1180

1181

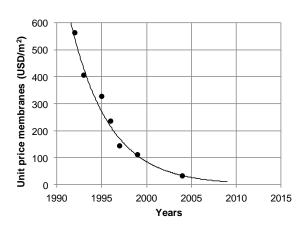
1182 Encouragingly, however, advancing research for improved membranes indicates that osmotic 1183 power will soon become as or more competitive than the current common sources of 1184 renewable energy such as wind and solar. Figure 13 shows evidence of the reducing prices of desalination membranes over the years, with the prices including pressure vessels and 1185 connections. According to Kleiterp [90], the current average membrane price is 6.6 m^{-2} ; but 1186 experts have indicated that within a few years it will be possible to produce membranes at a 1187 cost price of \$2.6 m⁻² [69]. Installed membrane costs have been reported to vary between \$20 1188 to \$40 m⁻² [91]. 1189

1190

1191 Table 6. Summary of the capital and total costs of osmotic power reported in the literature

| Feed vs draw solutions | Assumed membrane power density (W m ⁻²) | Assumed installed membrane cost (\$ m ⁻²) | Capital cost (\$ kW ⁻¹) | Energy cost (\$ kWh ⁻¹) | Source |
|---|---|---|---|---|--|
| Fresh water vs sea water | 1 | 20 | 20,000 | N/A | Estimated in this study based on relations shown in[35] |
| Fresh water vs sea water | 1 | 40 | 40,000 | N/A | Estimated in this study based on relations shown in[35] |
| Fresh water vs sea water | 1 | 50 | 50,000 | N/A | Lee et al. [35] |
| Fresh water vs sea water | 1 | 500 | 400,000 | N/A | Lee et al. [35] |
| Fresh water vs sea water with development | 5 | N/A | N/A | 0.09-0.16 | Skilhagen [56] |
| Treated sewage vs sea water | 2.4 | 15 | 6,000 | 0.25 | Kleiterp [90] |
| Fresh water vs sea water | 2.4 | 70-77 | 30,000- 32,000 | 1.00 – 1.21 | Kleiterp [90] |
| Fresh water vs sea water | 3 | 20 | 7,000 | N/A | Estimated in this study based on relations shown in[35] |
| Fresh water vs sea water | 3 | 40 | 13,000 | 0.18 | Dinger et al. [100] |
| Brine from RO <i>vs</i> brine from the Dead Sea | 4.7 | 18.6 | 4,000 | 0.07 | Loeb [88] |
| Fresh water vs sea water – with development | 5 | N/A | N/A | 0.12 – 0.07 | Kleiterp [90] |
| Treated sewage vs sea water – with development | 5 | N/A | N/A | 0.08 | Kleiterp [90] |
| Fresh water vs sea water | 5 | 20 | 4,000 | N/A | Estimated in this study based on relations shown in[35] |
| Fresh water vs sea water | 5 | 35 | 7,000 | N/A | Estimated in this study based on relations shown in[35] |
| Fresh water vs sea water | 5 | 40 | 8,000 | N/A | Estimated in this study based on relations shown in[35] |
| Fresh water vs sea water | 5 | 50 | 10,000 | 0.15 | Lee et al. [35] |
| Fresh water vs sea water | 5 | 60 | 12,000 | N/A | Harrysson et al. [92] |
| Fresh water vs sea water | 5 | 500 | 100,000 | 0.30 | Lee et al. [35] |
| Fresh water vs sea water | 6 | 40 | 7,000 | N/A | Estimated in this study based on relations shown in[35] |
| Fresh water vs sea water | 7.7 | 92 | 12,000 | 0.06 | Ramon et al. [96] |
| Fresh water vs brine from the Great Salt Lake | 17 | 160 | 9,000 | 0.09 | Loeb [89] |
| Fresh water vs sea water | N/A | N/A | N/A | 0.21 | Tanioka et al. [6] |
| Fresh water vs brine from desalination plants | N/A | N/A | N/A | 0.16 | Tanioka et al. [6] |





1193

1194 Figure 13. The trend in membrane prices over the years. Figure adapted from Ref. [90].

1195 It can be speculated that the costs involved with osmotic power production will be driven 1196 down by many factors, and the desalination industry will be a key player in this process. The 1197 prices of membranes (as shown in Figure 13) have reduced abruptly over the last decade, and 1198 the same trend could be expected for membranes employed in PRO. The desalination 1199 industry is also the driver of technological advances and cost reductions of other equipment 1200 (such as pressure exchangers, pressure vessels, filters, pumps and pipes) that can be 1201 transferred to the osmotic power industry with only minor modifications [68].

1202

Similarly, the water treatment industry is also believed to be driving down the costs of the processes and equipment used in the industry, which will have a direct impact upon the costs of the pre-treatment of the incoming solutions of an osmotic power plant. According to Greenlee et al. [101], membrane pre-treatment systems, which would be more effective in removing solids from the water, are in general decreasing in capital cost and are now becoming cost-competitive with conventional systems.

1209

1210 Osmotic power can also be seen as a new business potential for suppliers of the desalination 1211 and water treatment industries. For a 1 MW installed capacity, an osmotic power plant will require about 200,000 m² of membrane (assuming a power density of 5 W m⁻²), which 1212 appears to be a very attractive number for membrane manufacturers. According to The 1213 1214 Salinity Project Group [68], the replacement market for the same power plant would be up to four times this amount over the lifetime of the power plant. The same source states the 1215 European continent alone could have 700 million m^2 of membrane in operation at any time, if 1216 only 10% of the continent's fresh water vs seawater salinity-gradient potential was exploited. 1217 1218 Expectedly, the exploitation of the global salinity gradient potential would drive a major 1219 increase in demand for membranes and other related equipment and consequently, in the 1220 market of equipment suppliers. The increased competition among suppliers will then put downward pressure on equipment prices. 1221

1222

As reported by Bræin et al. [70], Statkraft has established a detailed economic model based on a hypothetical large-scale osmotic power plant to estimate the cost forecast for the production of osmotic power. The model uses the costs of existing 'off-the-shelf' equipment and installations, such as membranes, from the desalination industry. This estimate also uses the existing prices for engineering, construction, known components, and also considers the scales and improvements expected for all components related to osmotic power. The model 1229 also takes into account the cost decrease of new components as a function of the building of 1230 additional plants. The estimate is based on the assumption that 30 plants will be built by 1231 2030. This economic analysis has yielded a unit energy cost in the range of 0.065 - 0.13kWh⁻¹ by 2030, a range also reported by Kho [58]. Such energy cost will make osmotic 1232 1233 power comparable and competitive with the other renewable energy sources. Moreover, being a renewable energy source with a high environmental performance, PRO is expected to 1234 1235 be subject to subsidy programs and other government incentives similar to those already seen for wind and solar power today. If subsidies are included in the analyses, the power cost 1236 could drop to $0.05 - 0.06 \text{ kWh}^{-1}$ [26]. 1237

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- 1239

10.4. Keeping costs at minimum

1240

Apart from higher power density and cheaper membranes, there are other important factors 1241 1242 that would decrease osmotic power costs even further. Transmission costs, for example, 1243 could be reduced by choosing a strategic location for the installation of the plant, preferably near the energy consumption centers [87]. In this sense, a fresh water vs sea water scheme 1244 would be advantageous as compared to a sea water vs hypersaline lake scheme. This is due to 1245 the fact that most settlements occur near the shore, at locations where rivers flow into oceans. 1246 1247 Similarly, most desalination plants are also located near the shore and settlements, making an 1248 integrated desalination/osmotic power plant favorable and less cost-intensive [8].

1249

The design of the osmotic power plant is also of great importance. A couple of different designs have been proposed by Skilhagen and Aaberg [26], Loeb et al. [25] and Honda and Barclay [39]. A traditional design would be placing a power plant at sea level, with fresh water taken from a nearby river and sea water fed into the plant by underground pipes. An alternative design to the traditional one, which would allow for a substantial reduction in costs, would be locating the plant below sea-level, where sea water would be pressurized by gravity, avoiding the use of feed pumps in the plant.

1257

Another factor in cost reduction is to avoid locations requiring long intake and outfall tunnels. Ideally, the distance between the feed and the draw solution sources should be the shortest possible. Kleiterp [90] studied the impacts of reducing the piping and tunneling systems on the energy production costs of an osmotic power plant. Downsizing the plant's pipe system from 10 km to 100 m would result in a reduction of costs ranging from 27 to39%, depending on the plant size.

1264

1265 Fouling is a key issue affecting productivity, and thus costs, in any membrane processes [14, 1266 97, 102]. As such, fouling is also expected to be a problem in PRO. Fouling could be reduced, to some extent, with pre-treatment of the incoming solutions. This could be 1267 1268 accomplished with the use of physical separation processes such as filtration. Pre-treatment would be particularly important for the feed solution as this solution would face the porous 1269 1270 layer of the membrane, making fouling more prevalent on this side than on the draw solution 1271 side [103, 104]. The energy applied in the pre-treatment process however, would incur reduction in net power, increasing the energy costs of the PRO plant. In this context, Yip and 1272 1273 Elimelech [14] suggest that groundwater could have an important advantage over river water, 1274 as the former would be naturally filtered through the subsurface, reducing energy consumption in the pre-treatment process, and consequently the chance of membrane fouling. 1275 Yip and Elimelech [103] also suggest that intermittent osmotic backwashing of fouled 1276 membranes could be another effective way of performance recovery, requiring only nominal 1277 1278 pumping energy and posing negligible operational disruption. Nevertheless, fouling caused 1279 by natural organic matter seems to be an issue that should be addressed in membrane development (by developing fouling-resistant membranes, for instance) rather than in pre-1280 1281 treatment or cleaning technology development. As such, fouling still remains an important challenge in PRO. 1282

1283

As discussed in this study, a combination of a desalination and an osmotic power plant seems 1284 1285 another option for a financially viable PRO application [7, 8, 58]. In this combination 1286 scheme, each plant would supply resources that the other needs. This symbiotic relationship 1287 would be more feasible than two separate plants, as desalination plants can provide clean brine to the osmotic power plant, reducing the costs of the pre-treatment of the incoming 1288 solution. At the same time, the power plant provides part of the energy required for the 1289 1290 desalination process, thus reducing the cost of water production. Sim et al. [7] estimated a reduction of up to 23% in energy consumption via a hybrid process based on desalination and 1291 PRO. Moreover, as similar technology is employed in both processes, it could be expected 1292 1293 that maintenance and operation costs would be also minimized.

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- 1295

1296 9. Final considerations

1297

1298 The world should reduce its dependence on fossil fuel combustion by increasing the 1299 production of renewable energy. Continued reliance on fossil fuel to meet our growing 1300 energy demands is unsustainable due to its finite availability [40] and the fact that it is accelerating climate change towards long-term, dangerous effects [2, 3]. The harnessing of 1301 1302 the salinity-gradient energy originated at the interface between waters of different salt concentrations through the PRO technology could make an important contribution to energy 1303 1304 supply and to the mitigation of climate change in the coming decades, provided the technical 1305 challenges identified in this study can be overcome, and costs reduced.

1306

This study identified that the most important advantages of the PRO technology are its ability to generate a constant and reliable supply of power compared to other renewable sources like wind and solar, and its low environmental impacts. As long as a PRO power plant is located in the proximity of sources of constant fresh water (such as a river) and salt water, the system will be able to provide steady baseload power. Alternatively, a power plant could also operate on salinity gradients existing between sea water and concentrated brine from desalination plants, or even between sea water and hypersaline waters or groundwater.

1314

1315 This article has demonstrated that the PRO technology has been improving rapidly, particularly in recent years. However, although membrane prices have been declining over 1316 1317 time, at the current stage of development, osmotic power outputs remain below expectation and a technical barrier to an economical energy production. Osmotic power will become 1318 financially viable when membranes that output a minimum power of 5 W m⁻² are available 1319 'off-the-shelf'. Once this is achieved, the activity will be as or even more cost-effective than 1320 1321 the currently-available renewable energy sources, such as wind and solar power. Custommade membranes have already been produced on a small scale, and proved to generate the 1322 minimum required power. This is certainly encouraging towards further research and 1323 development. The agreement set between Statkraft and Nitto Denko/Hydranautics to produce 1324 a specialized membrane for osmotic power appears to be the first step towards upgrading 1325 PRO from laboratory and prototype scales to a commercial large-scale plant. 1326

1327

Since no full-scale plants exist at this stage, it is difficult to determine the costs incurred in osmotic power production. From the analysis presented in this article, it can be concluded that the unit energy cost of an osmotic power plant would be dependent upon numerousfactors, such as:

- i) The salinity gradient between the feed and draw solutions (e.g., river water *vs* sea
 water or river water *vs* brine from desalination plants). A scheme based on river
 water *vs* concentrated brine seems to involve lower costs, as more flux will occur
 through the membranes, generating more power per unit membrane area.
 However, it needs to be noted that higher flux may exacerbate membrane fouling;
- 1337 ii) The water quality of the feed and draw solutions (e.g., muddy water *vs* clean
 1338 water), as well as the pre-treatment system utilized in the plant. Feed solutions
 1339 derived from clean rivers or from groundwater will incur lower pre-treatment
 1340 costs and allow for increased membrane lifetimes;
- 1341 iii) The power density of the membranes. High power outputs per membrane area will
 1342 result in less installed membrane area, and thus lower capital costs;
- iv) The production rate (economy of scale). High capacity plants will have a lower
 capital cost per unit of installed power as compared to low capacity plants;
- 1345 v) The distance between the sources of the feed and draw solutions. Long piping 1346 systems will result in high capital costs as well as high energy losses, increasing 1347 costs as well as efficiency. Ideally, a plant should be placed in a strategic location 1348 where the costs for the construction of tunnels and pipes used to convey the two 1349 solutions into the plant are minimized;
- vi) Government subsidies. The inclusion of osmotic power in subsidy programs will
 reduce energy costs.
- 1352

At the current membrane efficiency and cost, it seems PRO is still unable to produce energy at a competitive rate. To increase its competitiveness, a substantial increase in power density, decrease in membrane cost, or increase in membrane life (or some combination thereof) must be achieved [53]. Furthermore, government subsidies for alternative energy sources (including PRO) may be needed in order to sustain continuing development of this technology until technical issues can be overcome.

1359

As already demonstrated, when cheap and power-effective membranes become commercially available, desalination plants will most likely be the primary markets for osmotic power, as these systems employ similar technology and require vast amounts of energy to create fresh water [58]. Reducing energy costs is one of the main challenges in the desalination industry, and as such, there has been a growing trend toward employing renewable energy in the desalination process [105]. While traditional renewable energy sources tend to have a variable power output, PRO provides a constant baseload power, and therefore could be highly beneficial for the desalination industry. In this combined scheme, part of the energy required for the desalination process would be provided by the PRO plant, while this plant would utilize the remaining concentrated brine from the desalination process as draw solution for power generation.

1371

According to Kleverud et al. [57], there are a few technical areas of improvement towards reducing the costs of osmotic power, and targets have been set such that a levelized unit cost, that is competitive to conventional renewable energy sources, can be achieved. These areas are:

- i) Membrane power output: this must be increased from the current power output in production of 1 W m⁻² to at least 5 W m⁻²;
- 1378 ii) Membrane elements: these must be able to accommodate about $5,000 \text{ m}^2$ of 1379 membrane area, as compared to the current element size average of 30 m^2 , which 1380 incurs higher capital and maintenance costs;
- iii) System efficiency: this must be incremented from the current efficiency of 40% to
 an improved efficiency of 80% which could be done with the development of
 less energy-intensive systems for water conveyance and treatment, and the
 reduction in energy losses in the piping system;
- iv) Scale-up: the system, as well as the components, must be scaled up as a wholefrom laboratory to pilot, then into commercial production.
- 1387

Apart from the above issues, the high susceptibility of membranes to fouling is also a problem that should be overcome for the success of PRO. This problem could reduce the efficiency of a commercial power plant significantly over the years – an issue that laboratory and prototype scales have been unable to demonstrate as yet.

1392

Further barriers to the success of PRO include, for instance, the difficulty companies will face in obtaining permits to build an osmotic power plant, particularly given that osmotic power is a new and immature type of technology. Also, the process of connecting the plants to existing grids will probably be lengthy, complex and expensive. Therefore, more research is needed in this area, together with an increase in the number of prototypes that could be progressivelyscaled up to commercial units.

1399

Another potential problem will be how to attract investors to this new business [58] given these systems will have a large capital cost, and the uncertainties involved. Even with a satisfactorily-working prototype, and the main technical issues being progressively overcome, other factors, such as the lifetime of the membranes and the maintenance costs, will still be difficult to determine. Therefore, investors will probably remain unattracted to osmotic power as long as these systems show potential risks of failure.

1406

Additional shortcomings are the entrenched competition from conventional renewable energy
sources and other general impediments for new renewable energy types. These include
governmental policies favoring fossil-fuel technologies, and market prices not reflecting
public benefit of renewable energy [106].

1411

1412 Nonetheless, the world has great potential for osmotic power generation due to the abundance 1413 of fresh water that could be mixed with sea water or sea water that could be paired with more 1414 concentrated solutions. The major problem is still how to harness this energy with great 1415 efficiency and at low cost. Provided technical issues are overcome, it seems reasonable to 1416 think the other issues related to osmotic power will be naturally resolved. In this respect, the existing prototype has been a major player by contributing to technological improvements in 1417 1418 order to reach cost-effectiveness, as well as by building knowledge towards the further 1419 scaling-up of its components.

1420

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1422

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1426

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