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STARLIKE MAPPINGS OF ORDER α ON THE UNIT BALL IN COMPLEX BANACH SPACES

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ABSTRACT. In this paper, we will give the growth theorem of starlike mappings of order α on the unit ball B in complex Banach spaces. We also give an analytic sufficient condition for a locally biholomorphic mapping on B to be a starlike mapping of order α .

1. INTRODUCTION

It is well known that the classical growth theorem of normalized biholomorphic mappings on the unit disc Δ in **C** cannot be generalized to normalized biholomorphic mappings on the Euclidean unit ball in \mathbf{C}^n . Barnard, FitzGerald and Gong [1] and Chuaqui [3] extended the classical growth theorem to normalized starlike mappings on the Euclidean unit ball in \mathbf{C}^n . Dong and Zhang [4] generalized the above result to normalized starlike mappings on the unit ball in complex Banach spaces. The first and second authors [7] generalized the above result to spirallike mappings of type α on the unit ball *B* in an arbitrary complex Banach space. The second author [12], [13] gave a growth theorem of normalized starlike mappings of order α on the Euclidean unit ball in \mathbf{C}^n .

On the other hand, Becker [2] showed that if a holomorphic function f on Δ satisfies

$$\left|\frac{zf''(z)}{f'(z)}\right| \le \frac{1}{1 - |z|^2},$$

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then f is univalent on Δ . Pfaltzgraff [18] generalized the above result for normalized locally biholomorphic mappings on the Euclidean unit ball \mathbf{B}^n in \mathbf{C}^n . He showed that if a normalized locally biholomorphic mapping f on \mathbf{B}^n satisfies

$$||(Df(z))^{-1}D^2f(z)(z,\cdot)|| \le \frac{1}{1-||z||^2},$$

then f is univalent on \mathbf{B}^n and

$$\frac{\|z\|}{(1+\|z\|)^2} \le \|f(z)\| \le \frac{\|z\|}{(1-\|z\|)^2}$$

The third author [16] showed that if a locally biholomorphic mapping f on \mathbf{B}^n satisfies

$$||(Df(z))^{-1}D^2f(z)(z,\cdot)|| < \frac{1}{1+||z||},$$

then f is a starlike mapping on \mathbf{B}^n .

In this paper, we will give the growth theorem of normalized starlike mappings of order α on the unit ball B in complex Banach spaces. As a generalization of the result in [16], we also give a sufficient condition for locally biholomorphic mappings on the unit ball B to be starlike of order α .

2. Preliminaries

Let X be a complex Banach space with norm $\|\cdot\|$. The open ball $\{x \in X : \|x\| < r\}$ is denoted by B_r and the unit ball is abbreviated by $B_1 = B$. Let $\mathcal{L}(X, X)$ be the space of all continuous linear operators from X into X with the standard operator norm. By I we denote the identity in $\mathcal{L}(X, X)$. Let G be a domain in X and let $f : G \to X$. f is said to be holomorphic on G, if for any $z \in G$, there exists a $Df(z) \in \mathcal{L}(X, X)$ such that

$$\lim_{h \to 0} \frac{\|f(z+h) - f(z) - Df(z)h\|}{\|h\|} = 0.$$

A holomorphic mapping $f: G \to X$ is said to be locally biholomorphic on G if its Fréchet derivative Df(z) is nonsingular at each $z \in G$. A holomorphic mapping $f: G \to X$ is biholomorphic if the inverse f^{-1} exists, is holomorphic on an open set $V \subset X$ and $f^{-1}(V) = G$.

A holomorphic mapping $f : B \to X$ is said to be normalized if f(0) = 0and Df(0) = I. Let X^* be the dual space of X. For each $z \in X \setminus \{0\}$, we define

$$T(z) = \{ z^* \in X^* : \|z^*\| = 1, z^*(z) = \|z\| \}.$$

By the Hahn-Banach theorem, T(z) is nonempty.

DEFINITION 2.1. A holomorphic mapping $f: B \to X$ is said to be starlike if f is biholomorphic, f(0) = 0 and $e^{-t}f(B) \subset f(B)$ for all $t \ge 0$.

The following theorem is proved in Gurganus [6] (cf. [20]).

THEOREM 2.1. Let $f : B \to X$ be a locally biholomorphic mapping with f(0) = 0. If f is a starlike mapping, then

$$\operatorname{Re} z^*([Df(z)]^{-1}f(z)) > 0$$
 (2.1)

for $z \in B \setminus \{0\}$, $z^* \in T(z)$. Moreover, if $\|[Df(z)]^{-1}f(z)\|$ is bounded on B_r for each r with 0 < r < 1 and (2.1) holds, then f is a starlike mapping.

REMARK. In Gurganus [6], he claimed that if $f : B \to X$ is a locally biholomorphic mapping with f(0) = 0 and (2.1) holds, then f is starlike. For the proof, he uses Theorem 2.1 of Pfaltzgraff [18]. However, to apply Theorem 2.1 of [18], $||[Df(z)]^{-1}f(z)||$ should be bounded on B_r for each rwith 0 < r < 1.

Now, we will define a subclass of starlike mappings.

DEFINITION 2.2. Let $f : B \to X$ be a starlike mapping. Let $\alpha \in \mathbf{R}$ with $0 < \alpha < 1$. We say that f is a starlike mapping of order α if

$$\left|\frac{1}{\|z\|}z^*\left([Df(z)]^{-1}f(z)\right) - \frac{1}{2\alpha}\right| < \frac{1}{2\alpha}$$

for $z \in B \setminus \{0\}, z^* \in T(z)$.

This definition generalizes the definition of starlike mappings of order α on the unit disc and on the Euclidean unit ball in \mathbf{C}^n [11].

Let Δ denote the unit disc in **C**. The following lemma is proved in [9], [17].

LEMMA 2.3. Let $k \ge 1$ and let $g : \Delta \to \mathbf{C}$ be a holomorphic function with $g(0) = g'(0) = \cdots = g^{(k-1)}(0) = 0$. If there exists a $z_0 \in \Delta \setminus \{0\}$ such that

$$|g(z_0)| = \max\{|g(z)| : |z| \le |z_0|\} > 0,$$

then there exists a real number $m \ge k$ such that

$$z_0g'(z_0) = mg(z_0).$$

3. Growth theorem of normalized starlike mappings of order α

In this section, we will prove the following theorem (cf. [12], [13]).

THEOREM 3.1. Let $\alpha \in \mathbf{R}$ with $0 < \alpha < 1$. Let f be a normalized starlike mapping of order α from B to X. Then

$$\frac{\|z\|}{(1+\|z\|)^{2(1-\alpha)}} \le \|f(z)\| \le \frac{\|z\|}{(1-\|z\|)^{2(1-\alpha)}}$$

PROOF. Let $w(z) = [Df(z)]^{-1}f(z)$. Let $z \in B \setminus \{0\}, z^* \in T(z)$ be fixed and let

$$g(\zeta) = \frac{1}{\zeta} z^* \left(w\left(\zeta \frac{z}{\|z\|}\right) \right), \ \zeta \in \Delta \setminus \{0\}$$

and g(0) = 1. Then g is a holomorphic function on Δ and

$$\left|g(\zeta) - \frac{1}{2\alpha}\right| < \frac{1}{2\alpha}, \ \zeta \in \Delta.$$

Hence $\operatorname{Re}(1/g(\zeta)) > \alpha$, $\zeta \in \Delta$, which is equivalent to

$$\operatorname{Re}\frac{\frac{1}{g(\zeta)} - \alpha}{1 - \alpha} > 0, \quad \zeta \in \Delta.$$

It is easy to see that the above inequality implies the following relation (see, for example [5], [19]):

$$\frac{1+|\zeta|}{1+(2\alpha-1)|\zeta|} \ge \operatorname{Re}g(\zeta) \ge \frac{1-|\zeta|}{1-(2\alpha-1)|\zeta|}, \quad \zeta \in \Delta$$

Letting $\zeta = ||z||$ in the above inequality, we obtain

$$||z|| \frac{1+||z||}{1+(2\alpha-1)||z||} \ge \operatorname{Re} z^*(w(z)) \ge ||z|| \frac{1-||z||}{1-(2\alpha-1)||z||}.$$
 (3.1)

Since z was arbitrarily chosen, we deduce that the inequality (3.1) holds for all $z \in B \setminus \{0\}$.

Let $0 < r_1 < r_2 < 1$. Let z_2 be a point such that $||z_2|| = r_2$. Since f is starlike, the curve $c(t) = \exp(-t)f(z_2)$ is contained in f(B) for all $t \ge 0$. Also $c(t) \to 0$ as $t \to \infty$. Since f is biholomorphic, the curve $f^{-1}(c(t))$ is well-defined and intersects the sphere $||z|| = r_1$ at some point $z_1 = f^{-1}(c(t_1))$. For a C^1 curve $\gamma : [a, b] \to X$, let

$$s = \int_{a}^{b} \left\| \frac{d\gamma}{dt}(t) \right\| dt$$

be the arc length of γ . We will parameterize the curve $f^{-1}(c(t))$ $(0 \le t \le t_1)$ by the arc length from z_1 and write it as z(s). Then $f(z(s)) = \exp(u(s))f(z_1)$, where u(0) = 0 and u' > 0. Differentiating $z(s) = f^{-1}(\exp(u(s))f(z_1))$, we have

$$\frac{dz}{ds} = [Df(z(s))]^{-1}u'(s)f(z(s)) = u'(s)w(z(s)).$$

Since z(s) is parameterized by the arc length, we have

$$||u'(s)w(z(s))|| = 1.$$

Therefore,

$$u'(s) = \frac{1}{\|w(z(s))\|}$$

Then

$$\frac{dz}{ds} = \frac{1}{\|w(z(s))\|} w(z(s))$$
(3.2)

and

$$\frac{df(z(s))}{ds} = u'(s)f(z(s)) = \frac{1}{\|w(z(s))\|}f(z(s))$$

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Let $g(s) = \|f(z(s))\|$. Since $\|f(z(s))\| = \exp(u(s))\|f(z_1)\|$, we have

$$\frac{dg}{ds} = \frac{1}{\|w(z(s))\|}g$$

on $(0, s_1)$, where $z(s_1) = z_2$. Let $v(t) = f^{-1}(c(t))$. Then

$$\frac{dv}{dt} = -[Df(v(t))]^{-1}f(v(t)).$$

Then v(t) satisfies the following integral equation:

For any $0 \leq s$

$$v(t) = z_2 - \int_0^t [Df(v(\tau))]^{-1} f(v(\tau)) d\tau.$$

< $s' \le s_1$, let $z(s) = v(t_1 - t)$ and $z(s') = v(t_1 - t')$. Then
 $\left| \|z(s)\| - \|z(s')\| \right| \le \|z(s) - z(s')\|$
 $= \|v(t_1 - t) - v(t_1 - t')\|$
 $\| \|f_1^{t_1 - t'} du(\tau) \|$

$$= \|v(t_1 - t) - v(t_1 - t) - v(t_1 - t) - v(t_1 - t) \|$$

$$= \left\| \int_{t_1 - t'}^{t_1 - t'} \frac{dv(\tau)}{d\tau} d\tau \right\|$$

$$\leq \int_{t_1 - t'}^{t_1 - t} \left\| \frac{dv(\tau)}{d\tau} \right\| d\tau$$

$$= \int_{s}^{s'} \left\| \frac{dz(s)}{ds} \right\| ds$$

$$= \int_{s}^{s'} 1 ds$$

$$= |s - s'|.$$

This implies that ||z(s)|| is an absolutely continuous function on $[0, s_1]$. Thus, d||z(s)||/ds exists a.e., integrable on $[0, s_1]$ and

$$\frac{d\|z(s)\|}{ds} = \operatorname{Re}z(s)^*\left(\frac{dz}{ds}\right)$$

for $z(s)^* \in T(z(s))$ a.e. on $[0, s_1]$ by Lemma 1.3 of Kato [10]. Then

$$\|w(z(s))\|\frac{d\|z(s)\|}{ds} = \operatorname{Re}z(s)^*(w(z(s)))$$
(3.3)

by (3.2). By (3.1) and (3.3), we have

$$\begin{split} \frac{1+(2\alpha-1)\|z(s)\|}{\|z(s)\|(1+\|z(s)\|)} \frac{d\|z(s)\|}{ds} \leq & \frac{1}{g} \frac{dg}{ds} = \frac{1}{\|w(z(s))\|} \\ \leq & \frac{1-(2\alpha-1)\|z(s)\|}{\|z(s)\|(1-\|z(s)\|)} \frac{d\|z(s)\|}{ds}. \end{split}$$

Since ||z(s)|| is strictly increasing on $[0, s_1]$ by (3.1) and (3.3), we have

$$\log g(s) - \log g(0) \leq \int_{0}^{s} \frac{1 - (2\alpha - 1) \|z(s)\|}{\|z(s)\| (1 - \|z(s)\|)} \frac{d\|z(s)\|}{ds} ds$$

$$= \int_{\|z(0)\|}^{\|z(s)\|} \frac{1 - (2\alpha - 1)x}{x(1 - x)} dx$$

$$= \log \|z(s)\| - 2(1 - \alpha) \log(1 - \|z(s)\|) - \{\log \|z(0)\| - 2(1 - \alpha) \log(1 - \|z(0)\|)\}$$

and

$$\log g(s) - \log g(0) \geq \log ||z(s)|| - 2(1 - \alpha) \log(1 + ||z(s)||) -\{\log ||z(0)|| - 2(1 - \alpha) \log(1 + ||z(0)||)\}.$$

Then

$$\begin{aligned} \frac{(1 - \|z(s)\|)^{2(1-\alpha)}}{\|z(s)\|(1 - \|z(0)\|)^{2(1-\alpha)}} \|f(z(s))\| &\leq \frac{\|f(z(0))\|}{\|z(0)\|} \\ &\leq \frac{(1 + \|z(s)\|)^{2(1-\alpha)}}{\|z(s)\|(1 + \|z(0)\|)^{2(1-\alpha)}} \|f(z(s))\|. \end{aligned}$$

If we put $s = s_1$, we have

$$\frac{(1 - ||z_2||)^{2(1-\alpha)}}{||z_2||(1 - ||z(0)||)^{2(1-\alpha)}} ||f(z_2)|| \le \frac{||f(z(0))||}{||z(0)||} \le \frac{(1 + ||z_2||)^{2(1-\alpha)}}{||z_2||(1 + ||z(0)||)^{2(1-\alpha)}} ||f(z_2)||.$$

Letting $r_1 \to 0$, we obtain that

$$\frac{(1 - ||z_2||)^{2(1-\alpha)}}{||z_2||} ||f(z_2)|| \le 1 \le \frac{(1 + ||z_2||)^{2(1-\alpha)}}{||z_2||} ||f(z_2)||,$$

since

$$\lim_{z \to 0} \frac{\|f(z)\|}{\|z\|} = \lim_{z \to 0} \frac{\|Df(0)z\|}{\|z\|} = 1.$$
 This completes the proof.

EXAMPLE 3.1. When

$$X = \ell_p = \{ z = (z_1, z_2, \ldots) : \| z \|^p = \sum_{n=1}^{\infty} |z_n|^p < \infty \},\$$

where $p\geq 1,$ the estimates in Theorem 3.1 are sharp. We will show that the holomorphic mapping

$$f(z) = (f_1(z_1), f_2(z_2), \ldots)',$$

where

$$f_j(z_j) = \frac{z_j}{(1-z_j)^{2(1-\alpha)}},$$

is a normalized starlike mapping of order α which attains the equalities in Theorem 3.1. Since

$$Df(z)x = \left(\frac{(1-2\alpha)z_1+1}{(1-z_1)^{3-2\alpha}}x_1, \frac{(1-2\alpha)z_2+1}{(1-z_2)^{3-2\alpha}}x_2, \ldots\right)',$$

f is a normalized locally biholomorphic mapping. Moreover,

$$2\alpha [Df(z)]^{-1}f(z) - z = \left(\frac{z_1(2\alpha - 1 - z_1)}{(1 - 2\alpha)z_1 + 1}, \frac{z_2(2\alpha - 1 - z_2)}{(1 - 2\alpha)z_2 + 1}, \dots\right)'.$$
 (3.4)

When 1 , <math>T(z) $(z \neq 0)$ consists of one element

$$z^*(y) = \sum_{j=1}^{\infty} \frac{|z_j|^p}{|z_j| |z|^{p-1}} y_j.$$

Then

$$\begin{aligned} |z^*(2\alpha[Df(z)]^{-1}f(z) - z)| &= \left| \sum_{j=1}^{\infty} \frac{|z_j|^p}{\|z\|^{p-1}} \frac{2\alpha - 1 - z_j}{(1 - 2\alpha)z_j + 1} \right| \\ &\leq \frac{1}{\|z\|^{p-1}} \sum_{j=1}^{\infty} |z_j|^p \left| \frac{2\alpha - 1 - z_j}{(1 - 2\alpha)z_j + 1} \right| \\ &< \frac{1}{\|z\|^{p-1}} \sum_{j=1}^{\infty} |z_j|^p \\ &= \|z\|. \end{aligned}$$

When p = 1, T(z) $(z \neq 0)$ consists of those functionals z^* given by

$$z^{*}(y) = \sum_{z_{j} \neq 0} \frac{|z_{j}|}{z_{j}} y_{j} + \sum_{z_{j} = 0} \alpha_{j} y_{j},$$

where $|\alpha_j| \leq 1$. Then we can show that $|z^*(2\alpha[Df(z)]^{-1}f(z) - z)| < ||z||$ as above. Since $||[Df(z)]^{-1}f(z)||$ is bounded on B_r for each r with 0 < r < 1by (3.4), f is a starlike mapping of order α . For $z = (r, 0, 0, ...) \in B$, we have $||f(z)|| = ||z||/(1 - ||z||)^{2(1-\alpha)}$, and for $z = (-r, 0, 0, ...) \in B$, we have $||f(z)|| = ||z||/(1 + ||z||)^{2(1-\alpha)}$.

REMARK. Let $f: B \to X$ be a normalized convex mapping. That is, f is a biholomorphic mapping from B onto a convex domain with f(0) = 0, Df(0) = I. Then we can show that f is a starlike mapping of order 1/2. Then we obtain the following growth theorem from the above theorem.

$$\frac{\|z\|}{1+\|z\|} \le \|f(z)\| \le \frac{\|z\|}{1-\|z\|}.$$

For details, see Theorem 2.1 of [8] (cf. [11], [12]).

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4. A sufficient condition to be starlike of order α

In this section, we will give a sufficient condition for locally biholomorphic mappings on the unit ball in complex Banach spaces to be starlike of order α .

First, we will generalize Lemma 2.3 to complex Banach spaces (cf. [14], [15]).

THEOREM 4.1. Let B be the unit ball in a complex Banach space X. Let $f : B \to X$ be a holomorphic mapping with f(0) = 0. Suppose that there exists an $a \in B \setminus \{0\}$ such that

$$||f(a)|| = \max\{||f(\zeta a)|| : |\zeta| \le 1\} > 0.$$

Then there exists a real number $s \ge 1$ such that

$$||Df(a)(a)|| = s||f(a)||.$$

Moreover, if Df(0) = 0, then $s \ge 2$.

PROOF. Let b = f(a) and let $F(\zeta) = b^*(f(\zeta a/||a||))$, where $b^* \in T(b)$. Then F is a holomorphic function on Δ and F(0) = 0. Since F(||a||) = ||f(a)||and $|F(\zeta)| \leq ||f(a)||$ for $|\zeta| \leq ||a||$, there exists a real number $m \geq 1$ such that ||a||F'(||a||) = mF(||a||) by Lemma 2.3. This implies that $b^*(Df(a)(a)) = m||f(a)||$. Since $||b^*|| = 1$, we can find a real number s with $s \geq m \geq 1$ such that ||Df(a)(a)|| = s||f(a)||.

If Df(0) = 0, then F'(0) = 0. Then by Lemma 2.3, $s \ge m \ge 2$. This completes the proof.

The following theorem generalizes the result of third author's paper [16].

THEOREM 4.2. Let B be the unit ball in a complex Banach space X. Let $f: B \to X$ be a locally biholomorphic mapping with f(0) = 0. Assume that f satisfies one of the following two conditions:

(i) $1/2 < \alpha < 1$ and

$$||(Df(z))^{-1}D^2f(z)(z,\cdot)|| < \frac{1 - (2\alpha - 1)||z||}{1 + ||z||};$$

(ii) $\alpha = 1/2$ and

$$||(Df(z))^{-1}D^2f(z)(z,\cdot)|| < \frac{2}{1+||z||}$$

Then f is starlike of order α . Moreover, if f is normalized, then

$$\frac{\|z\|}{(1+\|z\|)^{2(1-\alpha)}} \le \|f(z)\| \le \frac{\|z\|}{(1-\|z\|)^{2(1-\alpha)}}$$

PROOF. Let $p(z) = 2\alpha [Df(z)]^{-1} f(z) - z$. First we show that

$$\|p(z)\| < 1, z \in B.$$
(4.1)

If the inequality (4.1) does not hold, then there exists a point $a \in B \setminus \{0\}$ such that

$$||p(a)|| = \max\{||p(\zeta a)|| : |\zeta| \le 1\} = 1.$$

By Theorem 4.1, there exists a real number $s \ge 1$ such that

$$|Dp(a)(a)|| = s||p(a)|| = s \ge 1$$

When $\alpha = 1/2$, Dp(0) = 0 and therefore, $s \ge 2$. Since

$$[Df(z)]^{-1}D^2f(z)(p(z) + z, \cdot) + Dp(z) = (2\alpha - 1)I$$

we have

$$\begin{split} s &\leq \|Dp(a)(a)\| &= \|(2\alpha - 1)a - [Df(a)]^{-1}D^2f(a)(p(a) + a, a)\| \\ &\leq (2\alpha - 1)\|a\| + \|[Df(a)]^{-1}D^2f(a)(a, \cdot)\|\|p(a) + a\| \\ &\leq (2\alpha - 1)\|a\| + \|[Df(a)]^{-1}D^2f(a)(a, \cdot)\|(1 + \|a\|). \end{split}$$

Then

$$\frac{s - (2\alpha - 1) \|a\|}{1 + \|a\|} \le \|[Df(a)]^{-1} D^2 f(a)(a, \cdot)\|$$

This is a contradiction. So, ||p(z)|| < 1 on B. Since p(0) = 0, $||p(z)|| \le ||z||$ for $z \in B$ by the Schwarz lemma. For fixed $z \in B \setminus \{0\}$, $z^* \in T(z)$, let w = z/||z|| and let

$$g(\zeta) = z^* \left(\frac{p(\zeta w)}{\zeta}\right)$$

Then g is a holomorphic function on Δ with $|g(\zeta)| \leq 1$. Since $g(0) = z^*(Dp(0)w) = 2\alpha - 1$, |g(0)| < 1. Then $|g(\zeta)| < 1$ by the maximum principle. This implies that

$$\frac{1}{2\alpha}|g(||z||)| = \left|\frac{1}{||z||}z^*\left([Df(z)]^{-1}f(z)\right) - \frac{1}{2\alpha}\right| < \frac{1}{2\alpha}$$

Since $||[Df(z)]^{-1}f(z)||$ is bounded on *B* from (4.1), *f* is a starlike mapping of order α . By Theorem 3.1, we obtain the growth theorem. This completes the proof.

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