

# Combinatorial properties of the numbers of tableaux of bounded height

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## Abstract

We introduce an infinite family of lower triangular matrices  $\Gamma^{(s)}$ , where  $\gamma_{n,i}^s$  counts the standard Young tableaux on  $n$  cells and with at most  $s$  columns on a suitable subset of shapes. We show that the entries of these matrices satisfy a three-term row recurrence and we deduce recursive and asymptotic properties for the total number  $\tau_s(n)$  of tableaux on  $n$  cells and with at most  $s$  columns.

## 1 Introduction

The first simple expressions of the number of standard Young tableaux of given shape were given by Frobenius and Young (see [6] and [15]) and by Frame-Robinson-Thrall [5]. More recently, the number of standard Young tableaux has been studied according to the height of their shape. Regev [12] gave asymptotic values for the numbers  $\tau_s(n)$  of standard Young tableaux whose shape consists of  $n$  cells and at most  $s$  columns and Stanley (see [14]) discussed the algebraic or differentiably finite nature of the corresponding generating functions. More recently, many authors entered this vein. For example, D.Gouyou-Beauchamps gave both exact forms in [13] and recurrence formulas (see [9]) for the numbers  $\tau_s(n)$  when  $s \leq 5$  by combinatorial tools, while F.Bergeron and F.Gascon [4] found some recurrence formulas for the numbers  $\tau_s(n)$  by analytic argumentations.

In this paper we present a different approach to the subject. Our starting point is the remark that the elements of the Ballot matrix introduced

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by M.Aigner in [1] correspond bijectively to the integers counting standard Young tableaux of a given shape with at most 2 columns. Firstly, we arrange the entries of the Ballot Matrix in a new lower triangular matrix  $A$  in such a way that the entries of the  $n$ -th row count standard Young tableaux with precisely  $n$  cells. The integer  $\tau_2(n)$  can be therefore recovered by summing the elements of the  $n$ -th row of  $A$ .

In the following sections, we extend the results to the general case of standard Young tableaux with at most  $s$  columns. For every fixed  $s \in \mathbb{N}$  we define an infinite matrix  $\Gamma^{(s)}$  whose  $(n, i)$ -th entry is the total number of standard Young tableaux with at most  $s$  columns and such that the difference between the length of the second and of the third column is  $i$ . The total number  $\tau_s(n)$  of tableaux on  $n$  cells with at most  $s$  columns is the  $n$ -th row sum of the matrix  $\Gamma^{(s)}$ . The matrix  $\Gamma^{(s)}$  presents a three-term row-recurrence property, which yields a recurrence law satisfied by the integers  $\tau_s(n)$ . This recurrence allows to get a combinatorial interpretation of the asymptotic behaviour of the ratio

$$\frac{\tau_s(n)}{\tau_s(n-1)}.$$

In Section 3 we treat more extensively the case  $s = 3$ , in order to exhibit recursive and asymptotic properties for the integers  $\tau_3(n) = M_n$ , where  $M_n$  denotes the  $n$ -th Motzkin number.

## 2 The two-column case

In the following, we will denote the shape of a standard Young tableau  $T$  as a list containing the length of the first, second,  $\dots$ , last column of  $T$ .

We first consider the standard Young tableaux whose shape consists of at most two columns. We define an infinite, lower triangular matrix  $A = (\alpha_{n,i})$ , where  $\alpha_{n,i}$  is the number of standard Young tableaux whose shape corresponds to the list  $(n-i, i)$ , with  $i \in \mathbb{N}$ .

The matrix  $A$  can be recursively constructed as follows:

**Proposition 1** *The matrix  $A$  is defined by the following initial conditions:*

- i)  $\alpha_{i,0} = 1$  for every  $i \geq 0$ ;*
- ii)  $\alpha_{1,i} = 0$  for every  $i > 0$ ;*

iii)  $\alpha_{n,i} = 0$  for every  $i > \lfloor \frac{n}{2} \rfloor$

and by the recurrence:

$$\alpha_{n,i} = \alpha_{n-1,i} + \alpha_{n-1,i-1} \quad \text{for } n \geq 1, 1 \leq i \leq \lfloor \frac{n}{2} \rfloor. \quad (1)$$

**Proof** The initial conditions follow immediately by the definition of the matrix  $A$ . In order to prove the recurrence, remark that a Young tableau with  $n$  cells can be obtained from a tableau with  $n - 1$  cells by adding a new box containing the symbol  $n$  in a corner position. In particular, in a Young tableau of shape  $(n - i, i)$ ,  $i > 0$ , the symbol  $n$  can be placed in at most two corner cells. Hence, the entry  $\alpha_{n,i}$  is the sum of the two integers  $\alpha_{n-1,i-1}$  and  $\alpha_{n-1,i}$ , that count the Young tableaux of appropriate shape.  $\square$

The recurrence formula (1) has as an immediate fallout the following result:

**Corollary 2** *The entries of the matrix  $A$  satisfy the following columnwise recurrence:*

$$\alpha_{n,i} = \sum_{h=2i-1}^{n-1} \alpha_{h,i-1}$$

for every  $n, i > 0$ .

We remark that the elements of the matrix  $A$  correspond bijectively to the entries of the Ballot Matrix defined by Aigner in [1]. More precisely, the Ballot Matrix  $\tilde{A} = (\tilde{\alpha}_{i,j})$  can be obtained rearranging the entries of the matrix  $A$  as follows:

$$\tilde{\alpha}_{j,k} = \alpha_{2j-k,j-k}.$$

As a consequence, for every  $n$ , the number of standard Young tableaux with two columns of the same length  $n$  is the  $n$ -th Catalan number  $C_n$ :

$$\alpha_{2n,n} = C_n \quad (2)$$

.

Denote by  $\tau_2(n)$  the number of Young tableaux with  $n$  cells and at most two columns. Obviously, we have:

$$\tau_2(n) = \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \alpha_{n,i}.$$

In the next theorem we deduce a recurrence formula for the sequence  $\tau_2(n)_{n \geq 1}$ :

**Theorem 3** *The integers  $\tau_2(n)$ ,  $n \geq 1$ , satisfy the recurrence*

$$\tau_2(n) = 2\tau_2(n-1) - E(n-1) \cdot C_{\frac{n-1}{2}}, \quad (3)$$

where  $C_i$  denotes the  $i$ -th Catalan number and

$$E(n) = \begin{cases} 1 & \text{if } n \text{ is even} \\ 0 & \text{otherwise.} \end{cases}$$

**Proof** By Proposition 1, we have:

$$\begin{aligned} \tau_2(n) &= \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} a_{n,i} = \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} a_{n-1,i-1} + \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} a_{n-1,i} = \\ &= 2\tau_2(n) - E(n-1) \cdot \alpha_{n-1, \frac{n-1}{2}} = 2\tau_2(n) - E(n-1) \cdot C_{\frac{n-1}{2}}, \end{aligned}$$

as desired. □

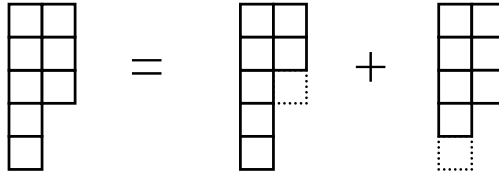


Figure 1: The recurrence formula for the entry  $\alpha_{8,3}$ .

The preceding result yields an alternative proof of the following theorem, originally proved by Regev in [12]:

**Theorem 4** *The number of standard Young tableaux with exactly  $n$  cells and at most two columns is*

$$\tau_2(n) = \binom{n}{\lfloor \frac{n}{2} \rfloor}.$$

**Proof** It is well known that the central binomial coefficients  $\binom{n}{\lfloor \frac{n}{2} \rfloor}$  satisfy the recurrence (3). Remarking that  $\tau_2(0) = 1 = \binom{0}{0}$ , we have the assertion.  $\square$

Moreover, identity (3) yields the following asymptotic property of the integers  $\tau_2(n)$ :

**Proposition 5** *We have:*

$$\lim_{n \rightarrow \infty} \frac{\tau_2(n)}{\tau_2(n-1)} = 2$$

**Proof** By (3) we get:

$$\frac{\tau_2(n)}{\tau_2(n-1)} = \frac{2\tau_2(n-1) - E(n+1) \cdot C_{\frac{n-1}{2}}}{\tau_2(n-1)}.$$

This implies that the assertion is proved as soon as we show that

$$\lim_{n \rightarrow \infty} \frac{E(n+1) \cdot C_{\frac{n-1}{2}}}{\tau_2(n-1)} = 0.$$

If  $n$  is even, this identity trivially holds. If  $n$  is odd, the described correction term is negligible with respect to  $\tau_2(n-1)$  as  $n$  goes to infinity. In fact, we get:

$$\lim_{n \rightarrow \infty} \frac{\frac{1}{n} \binom{n-1}{\frac{n-1}{2}}}{\binom{n-1}{\frac{n-1}{2}}} = \lim_{n \rightarrow \infty} \frac{1}{n} = 0,$$

as required.  $\square$

### 3 The three-column case

We now extend the results of Section 2 to the case of standard Young tableaux with at most three columns. Define a matrix  $B = (\beta_{n,i})$  such that the  $(n, i)$ -th entry of  $B$  is the total number of standard Young tableaux of shape  $(n-i-2k, i+k, k)$ , for every possible value of  $k$ . In other terms, the integer  $\beta_{n,i}$  is the cardinality of the set  $Y_{n,i}^3$  containing all standard tableaux on  $n$

cells with at most 3 columns such that the difference between the second and third column is  $i$ .

The entries of the matrix  $B$  satisfy a three-term recurrence:

**Proposition 6** *The integers  $\beta_{n,0}$  satisfy the identity:*

$$\beta_{n,0} = \beta_{n-1,0} + \beta_{n-1,1}. \quad (4)$$

Moreover, for every  $1 \leq i \leq \lfloor \frac{n}{2} \rfloor$ , we have:

$$\beta_{n,i} = \beta_{n-1,i-1} + \beta_{n-1,i} + \beta_{n-1,i+1} - r_{n,i}, \quad (5)$$

where

$$r_{n,i} = \begin{cases} \text{number of tableaux of shape } (\frac{n+i-2}{3}, \frac{n+i-2}{3}, \frac{n-2i+1}{3}) & \text{if } n - 2i \equiv_3 2 \\ 0 & \text{otherwise.} \end{cases}$$

**Proof** Let  $T$  be a standard Young tableau  $T$  with 3 columns of shape  $(n - i - 2k, i + k, k)$ , for some  $k$ , and let  $T'$  be the tableau obtained from  $T$  by removing the cell containing the symbol  $n$ . Obviously, the tableau  $T'$  has either shape  $(n - i - 2k - 1, i + k, k)$  or  $(n - i - 2k, i + k - 1, k)$  or  $(n - i - 2k, i + k, k - 1)$ . This implies that the correspondence  $T \mapsto T'$  maps the set  $Y_{n,i}^3$  into the union  $Y_{n-1,i-1}^3 \cup Y_{n-1,i}^3 \cup Y_{n-1,i+1}^3$ . However, such map is not in general surjective. In fact, it is easy to check that the difference between the set  $Y_{n-1,i-1}^3 \cup Y_{n-1,i}^3 \cup Y_{n-1,i+1}^3$  and the image of the map consists exactly of the tableaux of shape  $(\frac{n+i-2}{3}, \frac{n+i-2}{3}, \frac{n-2i+1}{3})$ . This proves (5). The first recurrence can be proved by the same arguments, observing that, in this case, the map defined above is surjective.  $\square$

The preceding result yields a recurrence formula satisfied by the total number  $\tau_3(n)$  of standard Young tableaux with  $n$  cells and at most 3 columns:

**Theorem 7** *The numbers  $\tau_3(n)$ ,  $n \geq 3$ , satisfy the recurrence*

$$\tau_3(n) = 3\tau_3(n-1) - R(n) - E(n-1) \cdot C_{\frac{n-1}{2}} - \beta_{n-1,0}, \quad (6)$$

where

$$R(n) = \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} r_{n,i}.$$

**Proof** By definition of  $\beta_{n,i}$  we have:

$$\tau_3(n) = \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \beta_{n,i}.$$

Hence,

$$\tau_3(n) = \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \beta_{n-1,i-1} + \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \beta_{n-1,i} + \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \beta_{n-1,i+1} - \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} r_{n-1,i-1}. \quad (7)$$

Remark that

$$\tau_3(n-1) = \sum_{i=0}^{\lfloor \frac{n-1}{2} \rfloor} \beta_{n-1,i}.$$

The proof is an immediate consequence of the following considerations:

- the first summand in the right hand side of Identity (7) is equal to  $\tau_3(n-1)$  if  $n$  is even. In case of  $n$  odd, instead, this term exceeds  $\tau_3(n-1)$  by the Catalan number  $C_{\frac{n-1}{2}}$ ,
- the second summand in the right hand side of Identity (7) is exactly  $\tau_3(n-1)$ ,
- the third summand in the right hand side of Identity (7) exceeds the integer  $\tau_3(n-1)$  exactly by  $\beta_{n-1,0}$ ,
- the fourth summand in the right hand side of Identity (7) is obviously equal to  $R(n-1)$ .

□

Remark that an explicit evaluation of the integers  $Q(n)$  and  $R(n)$  can be obtained via the well known Hook Length Formula.

Previous considerations lead to the following result concerning the asymptotic behaviour of the sequence  $\tau_3(n)$ :

**Proposition 8** For every integer  $n \geq 1$ , we have:

$$\frac{\tau_3(n)}{\tau_3(n-1)} < 3. \quad (8)$$

Moreover,

$$\lim_{n \rightarrow \infty} \frac{\tau_3(n)}{\tau_3(n-1)} = 3. \quad (9)$$

**Proof** Inequality (8) follows directly by previous considerations. In order to prove (9) we remark that, by Theorem (7), the ratio  $\frac{\tau(n)}{\tau(n-1)}$  can be written as:

$$\frac{\tau_3(n)}{\tau_3(n-1)} = 3 - (U_1(n-1) + U_2(n-1) + U_3(n-1)),$$

where

$$\begin{aligned} U_1(n-1) &= \frac{E(n+1) \cdot C_{\frac{n-1}{2}}}{\tau_3(n-1)} \\ U_2(n-1) &= \frac{\beta_{n-1,0}}{\tau_3(n-1)} \\ U_3(n-1) &= \frac{R(n-1)}{\tau_3(n-1)}. \end{aligned}$$

The statement will be proved as soon as we show that each summand  $U_i(n-1)$  goes to 0 as  $n$  goes to infinity. The numerator of each  $U_i(n-1)$  is a sum of a suitable finite number  $f_\lambda$ . For every  $f_\lambda$  appearing in one of these expression, we can single out an appropriate subset  $S_\lambda$  of summands whose cardinality grows as  $n$  goes to infinity, such that:

$$\lim_{n \rightarrow \infty} \frac{f_\lambda}{\tau_3(n-1)} \leq \lim_{n \rightarrow \infty} \frac{f_\lambda}{\sum_{\mu \in S_\lambda} f_\mu} = 0.$$

For instance, consider the rectangular shape  $\rho$  over  $n-1$  blocks, namely, the shape corresponding to the values  $i=0, k=\frac{n-1}{3}$ . Obviously, such a shape exists only if  $n-1 \equiv 0 \pmod{3}$ . In this case, the family  $S_\rho$  consists of the shapes over  $n-1$  cells and corresponding to the values  $i=h, k=k=\frac{n-1}{3}-h$ , where  $h$  ranges from 1 to  $k=\frac{n-1}{6}$ . By Hook Length Formula, we get:

$$\lim_{n \rightarrow \infty} \frac{f_\rho}{\tau_3(n-1)} \leq \lim_{n \rightarrow \infty} \frac{f_\rho}{\sum_{\mu \in S_\rho} f_\mu} = \lim_{n \rightarrow \infty} \frac{f_\rho}{\sum_{h=1}^{\lfloor \frac{n-1}{6} \rfloor} (h+1)^3 f_\rho} \leq \lim_{n \rightarrow \infty} \frac{1}{8^{\lfloor \frac{n-1}{6} \rfloor}} = 0.$$



The sets  $S_\nu$  corresponding to the other summand of each of the numerators of the  $U_i(n-1)$  can be described analogously.  $\square$

As proved in [12],  $\tau_3(n) = M_n$ , where  $M_n$  is the  $n$ -th Motzkin number. Hence, these last arguments yield a combinatorial proof of Propositions 4 and 5 in [2].

## 4 The general case

In this section we extend the previous argumentations to the general case of standard Young tableaux with at most  $s$  columns. Define a matrix  $\Gamma^{(s)} = (\gamma_{n,i}^{(s)})$  such that the  $(n, i)$ -th entry is the total number of standard Young tableaux with at most  $s$  columns and such that the difference between the length of the second and of the third column is  $i$ .

Also in this case, when  $s \geq 4$  and  $n \geq s$ , the entries of this matrix satisfy a three-term recurrence. In fact, the following proposition can be proved by the same arguments used to prove Proposition 6:

**Proposition 9** *The integers  $\gamma_{n,0}^{(s)}$  satisfy the identity:*

$$\gamma_{n,0}^{(s)} = (s-2)\gamma_{n-1,0}^{(s)} + \gamma_{n-1,1}^{(s)} - \sum_{j=3}^{s-1} r_j^{(s)}(n-1, 0). \quad (10)$$

Moreover, for every  $1 \leq i \leq \lfloor \frac{n}{2} \rfloor$ , we have:

$$\begin{aligned} \gamma_{n,i}^{(s)} = & \gamma_{n-1,i-1}^{(s)} + (s-2)\gamma_{n-1,i}^{(s)} + \gamma_{n-1,i+1}^{(s)} - r_1^{(s)}(n-1, i-1) \\ & - \sum_{j=3}^{s-1} r_j^{(s)}(n-1, i), \end{aligned} \quad (11)$$

where  $r_j^{(s)}(n-1, i)$  is the number of standard Young tableaux with at most  $s$  columns such that the difference between the length of the second and of the third column is  $i$  and the  $j$ -th and the  $(j+1)$ -th columns have the same length.

$\square$

Similarly, exploiting the same arguments as in Proposition 8, we get:

**Proposition 10** *The numbers  $\tau_s(n)$  satisfy the recurrence:*

$$\tau_s(n) = s\tau_s(n-1) - E(n-1)C_{\frac{n-1}{2}} - \gamma_{n-1,0}^{(s)} - R^{(s)}(n-1), \quad (12)$$

where  $R^{(s)}(n-1)$  is the sum of all the correction terms appearing in Formula (11).

□

Now we can easily deduce from these identities some information concerning the asymptotic behaviour of the sequence  $\tau_s(n)$ :

**Proposition 11** *The sequence  $\tau_s(n)$  satisfies the following properties:*

$$\frac{\tau_s(n)}{\tau_s(n-1)} < s$$

$$\lim_{n \rightarrow \infty} \frac{\tau_s(n)}{\tau_s(n-1)} = s.$$

□

## Acknowledgments

We thank Elisa Pergola and Renzo Pinzani for many useful conversations.

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