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# Preliminary Evaluation of Smart and Sustainable Water Distribution Systems in The Gambia

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*Abstract*: An estimated one-third of handpumps in rural sub-Saharan Africa are non-functioning at any one time because of lack of upkeep. Citizens are left without access to clean drinking water and this has multiple knock-on developmental impacts. An innovative 'e-Tap' based water pre-payment technology and management system, in operation in The Gambia since April 2016, cycles revenue back into operation and maintenance and collects accurate and real-time data on consumption and tap failures. Preliminary research has begun on evaluating this innovation. Technical tests were conducted to examine the efficiency of the e-Tap under varying conditions. Water use trends were then analysed using the cloud-collected data transmitted from operational e-Taps. Further, a baseline survey to investigate social parameters was undertaken on 20 user households. This exploratory research shows the e-Taps to work efficiently in the lab and The Gambia with negligible failures, and to reduce distances users must travel for clean water and time they spend collecting.

## Keywords: Innovation; Rural Water Supply; Smart Technology; The Gambia, e-Tap

# I. INTRODUCTION

The challenge of rural water supply in sub-Saharan Africa is well-documented. Globally, 89% of the world's population used at least a basic drinking water service, defined by the WHO/UNICEF Joint Monitoring Programme as no more than a 30 minute round trip to collect water from an improved source. However, this proportion falls to 58% in sub-Saharan Africa [1]. The region is making the slowest progress towards improved rural water supply [2]. This lack of progress is compounded by broader trends such as population growth, urbanisation, economic inequality and poverty, along with increasing environmental pressures on water resources such as climate change and pollution [3]–[6]. Access to sustainable and clean rural water supply is required to reduce the burden of disease, general health, gender equality, economic development, food security, education and poverty reduction. The proportion of non-functioning handpumps across sub-Saharan Africa at any time ranges from 10% to 67% with an average of around one third, caused mostly be a lack of operation and maintenance (O&M) [7]–[9].

There is agreement in the recent literature that the unsustainability of the predominant 'community management' model of rural water supply is the main reason for lack of O&M [10]–[11]. Communities do not have sufficient capacity, finances or support. New thinking and innovation is required to meet SDG Target 6.1: to '*by 2030, achieve universal and equitable access to safe and affordable drinking water for all*'. A pre-payment sustainable rural water supply system using 'e-Taps' has been developed and operational since April 2016. The effectiveness of the e-Taps has not yet been investigated or reported on in the literature. The present study aims to test the technical operation of the e-Taps and evaluate their usage by water users in the context of rural sub-Saharan Africa. In order to do this, a series of laboratory based technical tests were conducted, usage data transmitted from operating e-Taps were analysed and users were surveyed on their usage patterns. The study was conducted with a particular focus on The Gambia.

# II. METHODOLOGY

# A. Outline of the e-Tap based system

The e-Taps allow for continuous clean water access from standpipes by close contact with a user-specific electronic token, using Near Field Communication (NFC) technology. Water flows from the e-Tap when the token is placed in contact with the e-Tap, and until it is removed, as shown in Figure 1. The tokens contain editable and readable user-specific data. They are preloaded by users with affordable water credits by contactless pay technology from a local vendor, at the time worth approximately 0.5 pence per 20 litres used. The majority of funds collected are then used for O&M of e-Taps that break, carried out by locally employed technicians. Internet of Things (IoT) connectivity provides instant notification via cloud data management and a dashboard interface of e-Tap failures allowing rapid O&M and real-time tracking of revenue, usage and flow-rates. A photovoltaic array provides power. This study focuses on version 1.0 of the e-Tap operational in The Gambia in from April to July 2016.



Figure 1. e-Tap and token in contact releasing water

## B. Overview of The Gambia and villages under study

The Joint Monitoring Programme scored access to water in The Gambia as 'high risk' (10-20% of the population without access to improved drinking water sources). The World Resources Institute scores groundwater stress as 'low' [12]. Disparity between rural and urban water supply is high and emblematic of sub-Saharan Africa; 32% of the rural population in The Gambia were without at least basic drinking water service in 2015, as illustrated in Figure 2. This compares to around 12% of the urban population. Figure 3 demonstrates that in 2015 the majority of the improved drinking water supply in rural communities in The Gambia is from piped sources, which is an improvement from 2000 where the majority was non-piped.

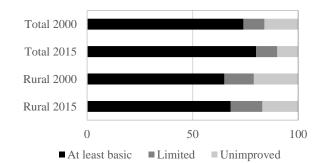


Figure 2. Percentage of Gambian population using different drinking water service levels in The Gambia. Adapted from: Joint Monitoring Programme; www.washdata.org

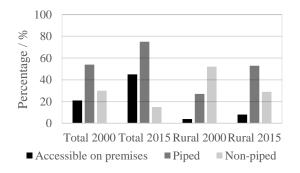


Figure 3. Percentage of total and rural Gambia populations using improved drinking water supply. Adapted from: Joint Monitoring Programme; www.washdata.org

Four villages with 52 e-Taps (Kerr Lein, 3 e-Taps; Jafai Koto, 7 e-Taps; Jarreng, 28 e-Taps; and Brikama Ba, 18 e-Taps) with seasonal average-temperature ranges of 23–30 °C have been the subject of our preliminary investigations.

#### C. Technical testing

The e-Tap performs under variable conditions in the environment outside the laboratory. These are likely to differ from the conditions that the manufacture's specifications were measured in, which are outlined in Table 1. The e-Tap interior design is illustrated in Figure 4. To investigate how reliable the performance of the e-Taps is under variable conditions, a number of tests were conducted. These are described as below.

Pressure range	0 - 2.8 bar
Temperature range	0-60 °C
Fluid temperature	25 °C
Flow direction	Unidirectional
Flow	$1 - 151 \text{ min}^{-1}$
Inlet diameter	<sup>3</sup> / <sub>4</sub> inch
Outlet diameter	1 inch
Power Supply	5-28 voltage DC

Table 1: Manufacturer's specifications of the e-Tap version 1.
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Figure 4. CAD illustration of the e-Tap interior design

*Pressure flow test:* A pressure flow curve was plotted using flow tests through the e-Tap (see Figure 4) at varying pressures of 0.1 - 1.8 bar. These were compared against the manufacturing specification curve and available field observations, to define where e-Taps function efficiently.

*Durability test:* Average e-Tap use frequency per household in the four study villages was found to be 7 times per day. Average use of each e-Tap per day was 50. Therefore the e-Taps are subject to multiple on-off switching events and must therefore demonstrated durability to these. Multiple on-off switching events were tested at varying time intervals (10, 15, 30 and 60 minutes) at different pressures (0.6 and 1.8 bar) and time to failure was investigated. Testing at different pressures was considered to represent changing conditions outside of the laboratory.

Sand retention test: In two of the villages, around 5% of e-Taps were previously observed to malfunction because of sand particles infiltrating from the elevated water tank or via piping. e-Tap failure time was investigated when continuously pumping water loaded with 50 g and 100 g of 1–2 mm diameter (mean 1.37 mm) sand particles at 0.6 bar across different flow rates through the e-Tap. This was conducted using a volume of water too large for accurate sand particle concentration calculations, and amount of sand not concentration was the parameter of the experiment.

#### D. Water usage pattern analysis

Water usage data was collected via the cloud system mentioned in Section A between 1st April 2016 and 31st July 2016 from 52 operational e-Taps, with 925 households registered with electronic tokens. These were used to investigate usage patterns. Identity of the token owner, amount of water dispensed, the date and time, and the e-Tap identification were collected. To supplement this data, a survey was conducted across 10 households in each of Brikama Ba (18 e-Taps) and Jarreng (28 e-Taps) to investigate usage patterns on a household and token-holder level, in English. 24 households were sampled and 20 surveys of sufficient quality were selected. Households were chosen at random from a wider sample of confirmed users of e-Taps. Households were questioned on their source of water, time and frequency of collection, amount collected, distance travelled and household members who collect. Water quality parameters of smell, taste and colour were questioned, and questions on income and affordability of water, ease of e-Tap use and reliability of the e-Tap were included. Anonymised information on gender, age, number of children, income and literacy was recorded alongside.

#### **III.** RESULTS AND DISCUSSION

### A. Technical testing results

*Pressure flow test:* The pressure curve plotted using average values from five tests per pressure, fits well with the manufactures specifications, as seen in Figure 5. This is unsurprising and demonstrates uniformity between e-Taps. Increased flow rates from field observations may be from different measurement methodologies practiced in the field that constantly give higher values. The alignment with laboratory measurements at 0.1 bar and high increases at 0.6 bar and 1.8 bar, however, indicate outliers. More replicable measurements are needed to infer conclusions. Insignificant leakage from the e-Tap's outlet connection was measured over the length of the experiment, and it was 3 ml at 0.5 bar and 14 ml at 1 bar. Therefore, secure fitting is required in the field.

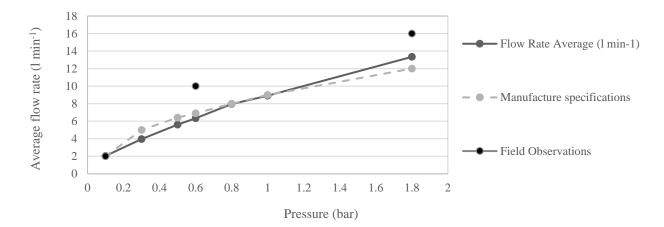


Figure 5. Pressure flow test curve against manufacture specifications and field observations.

*Durability test:* It was reported in the household survey that no e-Taps in The Gambia failed during the four months of the study in any of the study villages. In the technical testing, no e-Tap failure was observed at any pressure. Average values of number of on-off switching events that could be conducted across different time intervals are reported in Table 2, none of which saw e-Tap failure, therefore demonstrating high reliability over a high number of potential usage events.

Table 2: On-off switching events conducted without failures			
Pressure (bar)	Time intervals (min)	Average number of on-off switching events conducted in time intervals (no failure observed)	
0.6	10	308	
1.8	10	101.75	
0.6	15	239	
1.8	15	151.5	
0.6	30	523.25	
1.8	30	302	
0.6	60	3112	
1.8	60	1008	

*Sand retention test:* No operational failure of the e-Tap was observed at any flow rate at either mass of sand particles (50 g, 100 g) throughout the continuous pumping through the e-Tap. A minor decrease in outlet flow rate was observed at lower inlet flow rates indicating reduced capacity under high sand particle load, which requires further investigation. Understanding and implementing a solution to sand particle infiltration would reduce water collection time and potentially improve water quality.

The e-Taps are noted to have worked robustly and efficiently. They are durable to multiple use. However, this can only be reported over for preliminary laboratory based technical testing. Further technical testing under real-life conditions is required to verify these findings.

## B. Documented e-Tap technical challenges in The Gambia

The e-Taps work almost universally effectively in The Gambia over the study period, however some minor failures have been observed. In cases where the electric power drops below 5 volts, the circuit and outlet remain open and water is dispensed without activation from a token and thus wasted. Drops in voltage have been caused by the solar array lacking sufficient direct sunlight. Additionally, water has been observed to reach the e-Tap's circuit board either through seepage or condensation, rendering them inoperable. Conformal coating spray that forms isolation on the circuit boards can solve this.

#### C. Documented e-Tap technical challenges in The Gambia

The comprehensiveness of accurate and timely data collection was demonstrated. Overall withdrawal volumes across different selected time periods are reported in Table 3.

Table 3: Withdrawal volumes between 1st April and 31st July 2016			
Average withdrawal			
Across 52 e-Taps	572,520 l month <sup>-1</sup>		
Per household	620 l month <sup>-1</sup>		
Per capita	12.9 – 18.8 l day-1		

Per capita withdrawal falls below what has been recommended as a basic water requirement for human activities of 50 l capita<sup>-1</sup> day<sup>-1</sup> [13]. Table 4 shows that there was greater withdrawal (litres person<sup>-1</sup> month<sup>-1</sup>) observed in Brikama Ba. It is hypothesised that the population of Brikama Ba use more water for the functions of drinking, cooking, bathing and other daily uses due to increased awareness of the value of using clean potable water. 67% of Brikama Ba's population attended school in comparison to Jarreng, where more than 55% of the population lack education.

Table 4: Comparison of Jarreng and Brikama Ba				
	e-Taps	Number of token owners	Withdrawal (litres person <sup>-1</sup> month <sup>-1</sup> )	
Jarreng	28	640	55	
Brikama Ba	18	270	79	

#### D. Variability of withdrawal

Monthly and daily withdrawal variability were plotted in Figure 6. July was selected as the month that shows most variability in precipitation and temperature at the start of the rainy season. High and low withdrawal rates correlate with the weather in the region on the day. The 11th July 2016 is reported to have had relatively high temperatures requiring high water withdrawal rates, while the 30th July 2016 had relatively high levels of precipitation thus reducing water withdrawal requirements.

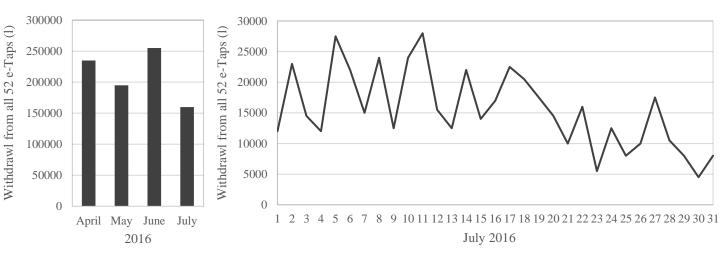


Figure 6. Withdrawal variability over the months April to July 2016, and over July

Hourly withdrawal variability was plotted in Figure 7. Despite peak times in the morning and evening, there is variability on an individual token-owner level, and further analysis of individual's water collection patterns would reveal dependency throughout the day, therefore demonstrating the importance of a durable and reliable water supply.

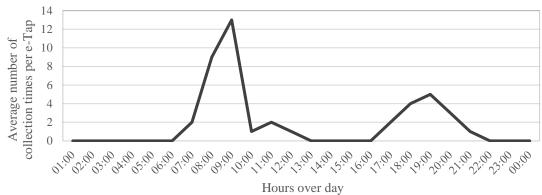


Figure 7. Hourly withdrawal variability of 28 e-Taps over one day in Jarreng

## E. Household level

In the survey sample, the average size of households is reported to be 10.4, with 5 adults and 5 children (<12 years). Average expenditure per household on potable water is 307 Dalasi (4.84 GBP) per month from an average income of 4900 Dalasi (77.30 GBP) per month. Household collection demographics show that women collect the majority of water, with girls and to a lesser extent boys also participating, as reported in Table 5. This correlates with the pattern across sub-Saharan Africa where women and girls are responsible for collecting water in 8 out of 10 households with water sources away from the home. This is lengthy and takes time from education or other productive work, and also impacts long-term health [1]. It is estimated that women in many developing countries walk for an average of about 6 km each day to collect water, and spend up to 40% of their daily calorific intake in carrying water [14]-[15].

Average distance between two e-Taps is 300 m, which is significantly less than previous distances that women must travel to collect. Thus, e-Taps have the potential to impact women's development and gender equality [15]. It is also reported that 55.5% of survey respondents could not use the e-Taps and top up credits easily without training. Both findings require further investigation.

Table 5: Distribution of household water collectors		
Woman, adult	66.6%	
Man, adult	0.00%	
Girl, child	22.2%	
Boy, child	11.1%	

### F. Discussion on how to improve e-Tap effectiveness

There are 48 local handpumps in Jarreng which are used apart from e-Taps. Around 20% of these were reported as failed at the time of the study. The success of the e-Tap compares favourably to this background situation.

Version 1.0 of the e-Tap is shown to work robustly and reliably over the study period, both in varying laboratory conditions and in the field. Future research on effectiveness should focus more on sustainability in the longer-term, perhaps over years or decades, rather than remedial technical trouble-shooting. This could include predictive modelling techniques alongside an integration with research on the developmental and environmental context. Considering that the fundamental failure of rural water supply in sub-Saharan Africa is the unsustainability of infrastructure [8]–[9], this should form a key part of further research and development. The technical aspects of the e-Taps only partly influence sustainability, however. Rural water supply in sub-Saharan Africa is a complex system with multiple inputs and interactions, and social, economic, political, cultural and environmental factors will all influence e-Tap sustainability. These must be fully understood in order to maximise long-term effectiveness of e-Taps.

Variability in usage patterns over the study period indicates that longer term fluctuations may be significant, which may impact long-term effectiveness or uptake by communities. For instance, long wet periods or periods of reduced income may reduce the need or affordability of e-Taps to an extent where they lose financial viability and therefore become unsustainable for the communities. This will require longer-term research. Additionally, a key component of a sustainability analysis should be an investigation of how external and systemic factors influence e-Tap use and effectiveness. Increased environmental (e.g. precipitation) variability [5] is likely to impact the variability in usage patterns. This is partly demonstrated here by correlation of withdrawal volumes with daily weather. For instance, a year of very low rainfall as a result of regional climatic changes could see an increase in e-Tap water withdrawal for the year, which could lead to expended coverage of e-Taps across neighbouring communities as a result of increased revenues. However if the following year sees heavily increased rainfall, these newly installed e-Taps could not be used enough to be financially viable, and thus be unsustainable.

The e-Tap and novel management system has the potential to provide a new model of rural water supply that moves away from failed community management, so long as this is implemented in a sustainable manner with full consideration of the system it operates within. This will require more holistic and long-term research.

#### IV. SUMMARY AND OPPORTUNITIES

The effective use of the e-Taps and their durability is noted and they have made a significant positive impact on rural water access in The Gambia, and therefore high potential more generally in sub-Saharan Africa. This preliminary study demonstrates key areas where the e-Tap based systems will require further research. This is important because as more e-Taps are becoming operational, there will be significantly more data available for analysis across different regions, requiring new analytical techniques. If relevant user ID information is collected, data mining and other big data techniques could be considered [16]. Such techniques could provide information on who is using the e-Taps, specifically the time, volumes and frequency of usage by different users over a study group. Geographical information could also be integrated, for instance the distance travelled of specific groups of users to e-Taps, or variations across different regions. This could inform the development of e-Tap based systems that better serve communities. This information would have to remain anonymised for privacy reasons. As seen in Figure 6, there is some observed alignment of water withdrawal patterns with weather. Therefore, meteorological forecasts may be useful for predicting future e-Tap use and therefore benefit planning of O&M, for example. This future planning could therefore benefit sustainability. This could perhaps utilise machine learning techniques that integrate meteorological forecasts with the e-Tap based system's dashboard.

The negligible failure rate in the laboratory based technical testing conducted here suggests that rigorous evaluation of the e-Taps in real-life conditions would be most representative. However, far more on-off switching events were recorded without any failures than would be done in the village setting, over the reported time periods. Data on individual e-Taps, perhaps with a combined analysis of different e-Tap use over a day, would allow for more location-oriented enquiry. Likewise, data on variation of e-Tap used by each token owner could allow for analysis of user preference and positioning of e-Taps. Drops in voltage requires testing to understand how this can be avoided in the villages. Further research will require a synthesis of technical testing and socioeconomic study to better understand sustainable and up-scaled implementation of e-Taps in the complex system that comprises rural water supply in sub-Saharan Africa.

### V. DISCLAIMER

The findings presented here are based on limited research and the authors do not take any responsibility for their use directly or indirectly. Since the research was conducted, a version 2 e-Tap has been developed. The lessons learnt from the reported study have been incorporated in the design of the improved version of the e-Tap. Further lab-based testing in planned and findings will be reported accordingly.

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