

1 **Breeding for behavioural change in farm animals: Practical, economic and ethical**
2 **considerations**

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11
12 **Abstract**

13
14 In farm animal breeding, behavioural traits are rarely included in selection programmes
15 despite their potential to improve animal production and welfare. Breeding goals have
16 been broadened beyond production traits in most farm animal species to include health
17 and functional traits, and opportunities exists to increase the inclusion of behaviour in
18 breeding indices.

19
20 On the technical level, breeding for behaviour presents some particular challenges
21 compared to physical traits. It is much more difficult and time-consuming to directly
22 measure behaviour in a consistent and reliable manner in order to evaluate the large
23 numbers of animals necessary for a breeding programme. For this reason, the
24 development and validation of proxy measures of key behavioural traits is often required.
25 Despite these difficulties, behavioural traits have been introduced by some breeders. For
26 example, ease of handling is now included in some beef cattle breeding programmes.

27
28 While breeding for behaviour is potentially beneficial, ethical concerns have been raised.
29 Since animals are adapted to the environment rather than the other way around, there may
30 be a loss of 'naturalness' and/or animal integrity. Some examples such as breeding for

31 good maternal behaviour could enhance welfare, production and naturalness, although
32 dilemmas emerge where improved welfare could result from breeding away from natural
33 behaviour. Selection against certain behaviours may carry a risk of creating animals
34 which are generally un-reactive (“zombies”), although such broad effects could be
35 measured and controlled. Finally breeding against behavioural measures of welfare could
36 inadvertently result in resilient animals (“stoics”) that do not show behavioural signs of
37 low welfare yet may still be suffering. To prevent this, other measures of the underlying
38 problem should be used, although cases where this is not possible remain troubling.

39 **Keywords**

40 Animal Welfare, Economics, Ethics, Genetics, Proxy measures, Selection index

41 ***Introduction***

42

43 Breeding to change behaviour in farm animals has a number of possible benefits
44 including improving production and product quality, reducing labour costs and improving
45 handler safety (Jones & Hocking 1999; Boissy et al 2005; Grandinson 2005; Turner &
46 Lawrence 2007; Macfarlane et al 2009). Breeding for behaviour could also be used to
47 improve animal welfare, since many welfare problems may result from a mismatch
48 between the environment and animal’s range of coping responses (Fraser et al 1997).
49 Normally, animal welfare scientists try to identify ways to correct this mismatch by
50 changing the environment, although changing the animal by some means such as through
51 genetic selection (Muir & Craig 1998; Jones & Hocking 1999; Kanis et al 2004) is a
52 logical alternative.

53

54 Animal behaviour has undergone alteration throughout the history of domestication, and
55 at first this was not deliberate: only relatively docile members of a species could be
56 captured and/or herded, unmanageable animals were eaten rather than kept for breeding
57 (Price 1984; Mignon-Grasteau et al 2005). Over the centuries selection became more
58 deliberate, and is now carried out according to scientific principles in most farm animals,
59 primarily to ‘improve’ production traits. Initially, relatively few traits such as growth rate,

60 egg or milk yield were selected, but breeding goals have been refined by the addition of
61 further traits relating to efficiency (feed conversion efficiency), or product quality (lean
62 meat %, carcass composition, protein content of milk). In recent years, ‘functional’ traits
63 relating to health, biological functioning and longevity have come to be included
64 alongside traditional production traits in breeding indices, typically with an economic
65 weighting (Lawrence et al 2004).

66

67 In general there is growing interest in how breeding may affect animal welfare in a
68 negative or positive way. The Standing committee of the European convention for the
69 protection of animals kept for farming purposes which covers all major farmed species
70 (e.g. T-AP 1995; T-AP 1999; T-AP 2005a; T-AP 2005b) includes in its recommendations
71 an article on ‘changes of genotype’ which emphasises that breeding goals should include
72 health and welfare. Behavioural traits typically have heritability of a similar magnitude to
73 traits already included in breeding programmes, making it technically possible to include
74 behaviour, which is indeed already happening in some breeding programmes.

75

76 In this paper we will discuss a number of potential practical, economic and ethical issues
77 which affect the feasibility and desirability of genetic selection for behaviour. We begin
78 by outlining the process of animal breeding, introducing and defining concepts such as
79 heritability, genetic correlation and selection indices. We then introduce the evidence that
80 behaviour can be changed by genetic selection, discuss which behavioural traits have
81 been investigated at the genetic level in farm animals, and which of these have been
82 implemented in practice. We then describe some practical and economic factors affecting
83 implementation, and finally discuss some ethical considerations.

84 **Modern livestock breeding**

85 The scientifically-based breeding (quantitative genetics) used in most farm animal
86 species combines several desirable characteristics into a ‘breeding index’ or ‘selection
87 index’ of overall merit (Hazel 1943). The relative emphasis placed on each trait depends
88 on the other traits in the breeding objective. The rate of genetic change in a trait is
89 therefore determined by its heritability (defined below), its genetic correlation with other

90 traits in the index (defined below), the amount of variation seen in the population under
91 selection and the relative importance placed on the trait by the breeder (usually
92 determined by an overall breeding goal which is economic in the first instance).

93

94 The heritability of a trait can be described as the proportion of total variation that is
95 genetic (rather than environmental) in origin on a scale of 0 to 1, and is used to determine
96 an upper limit for how much genetic progress can be expected during selection. Traits
97 with a high heritability are usually more readily altered through selection. The genetic
98 correlation between two traits is a measure of the extent to which the same genes are
99 responsible for influencing both traits, on a scale of -1 to +1. Although it is easier to
100 make genetic progress with positively correlated traits, using selection index
101 methodology, it is possible to make progress with traits that are antagonistically
102 (unfavourably) correlated as long as the correlation between them is not close to 1.

103 **Research into the genetics of behaviour**

104 Behaviour is much more affected than physical traits by environmental influences either
105 at the time (e.g. presence of group-mates or humans) or in advance of behaviour (e.g.
106 learning or developmental influences). Nevertheless, there is still considerable evidence
107 for genetic influences on behaviour. This evidence comes from the existence of species
108 and breed differences, and studies involving quantitative genetics, artificial selection and
109 gene knock-out studies (reviewed by Reif & Lesch 2003; Mormède 2005; Van Oers et al
110 2005). The variety and extent of behavioural change that has been documented in
111 laboratory animal genetic studies (e.g. Miczek et al 2001; Finn et al 2003) indicates the
112 potential for similar genetic changes in behaviour in farm animals.

113

114 In farm animals, heritability has been estimated for a number of behavioural traits that are
115 of interest (most affect some aspect of production or welfare; Table 1). In many cases,
116 estimated heritabilities are of comparable magnitude to traits already included in breeding
117 programmes (around 0.1 to 0.4 REF), suggesting that selection for behaviour would be
118 possible in principle.

119

120 TABLE 1 ABOUT HERE

121

122 In addition to the individual behaviours outlined in Table 1, other authors have proposed
123 breeding goals which would be expected to affect more general aspects of behaviour.

124 Such approaches include breeding to reduce fearfulness (Jones & Hocking 1999; Boissy
125 et al 2005), stress reactivity (Mormède 2005), adaptability (Mignon-Grasteau et al 2005)
126 or robustness (Kanis et al 2004). Concerns have been raised about the risks of breeding
127 for traits with such wide effects (Mignon-Grasteau et al 2005).

128

129 A ‘group selection’ approach has been proposed as an indirect means to reduce negative
130 social behaviour between animals. The idea here is that conventional quantitative genetic
131 approaches can be altered to include the effect that animals have on each others’
132 production (Bijma et al 2007a; 2007b; Rodenburg et al 2009). In this way, negative
133 behaviours such as damaging behaviour (feather pecking, cannibalism, tail biting) or
134 aggressive behaviour (causing stress and excluding others from feeding) which affect
135 production variables (survival, growth, egg production) can be indirectly reduced.

136

137 For example, groups of laying hens were left with their beaks not trimmed and entire
138 groups were selected on the basis of longevity and egg production, resulting in lines
139 which did not require beak trimming (Muir & Craig 1998). Considerable mortality was
140 involved in this method which therefore should give rise to ethical concerns. A similar
141 methodology has been applied to pigs (Bergsma et al 2008; Canario et al 2008). The
142 actual effect on behaviour of applying this methodology can be assumed but as yet has
143 not been studied in much detail. It may be expected that the methodology will result in
144 general changes affecting more than one behaviour (Canario et al 2008; Rodenburg et al
145 2009).

146

147

148 **Genetic selection for farm animal behaviour**

149 For mink genetic research into various aspects of behaviour (exploration, fear of humans,
150 aggression, activity, stereotypy, pelt- and tail-biting; reviewed by Vinke et al 2002) has
151 shown that selection for behaviour is feasible; and selection experiments producing low
152 fear (Malmkvist & Hansen 2001) and low stereotypy (Svendsen et al 2007) have taken
153 place in Denmark. In Danish mink production animals are now selected against fur
154 chewing (Malmkvist & Hansen 2001) and in the Dutch production they are selected
155 against stereotypy and tail biting (Vinke et al 2002). The Standing committee of the
156 European convention for the protection of animals kept for farming purposes (T-AP
157 1999), now recommends that for fur animals: “Strongly fearful animals should not be
158 included in the breeding stock.”

159

160 Cattle may be dangerous to handle, and temperament in response to human handlers
161 (docility) has been used a criterion for genetic selection by the Limousin breed societies
162 in Ireland and Australia and is now being introduced in Britain (Irish Limousin Cattle
163 Society 2009; Australian Limousin Breeders Society 2009; British Limousin Cattle
164 Society 2009). The methods used vary, but in Ireland, a 1-10 scale (aggressive to docile)
165 is used depending on the response to a standard behavioural test in which a handler
166 attempts to move an animal to one corner of a pen and hold it there (Le Neindre et al
167 1995). In many countries, temperament is scored in dairy cattle and recorded for
168 inclusion in breeding indices. In the UK farmers rate their impressions of a cow on a 1-9
169 scale based on responses to milking (nervous to quiet, Pryce et al 2000).

170

171 Maternal behaviour in sheep (measured by a scoring system based around the proximity
172 to the lamb during tagging) has been shown to have a heritability of around 0.13 (Lambe
173 et al 2001). Efforts to improve this and other aspects of lamb vigour and maternal
174 behaviour around parturition are now being implemented in the UK sheep industry
175 (Conington et al 2009; Macfarlane et al 2009).

176

177 Although not actually selecting for behaviour, the change in breeding goal from litter size
178 at birth to litter size at day 5 in the Danish pig industry (Su et al 2007) is likely to have a

179 positive effect on aspects of maternal and neonatal behaviour that contribute to piglet
180 survival.

181 **Practical issues affecting the implementation of selection for** 182 **behaviour**

183

184 Measuring behaviour on the thousands of animals necessary to implement a breeding
185 programme raises a number of practical issues. The labour costs of measuring behaviour
186 by observation are high even for R&D, but are often prohibitive for practical
187 implementation. To reduce these costs, quick behavioural tests (e.g. 'stick' test in mink,
188 Malmkvist & Hansen 2001), automated measurement (e.g. flight speed from a crush in
189 Beef Cattle, Burrow 1997) or proxy traits (e.g. skin lesion number as a proxy for
190 aggressive behaviour; Turner et al 2006a; 2009a; 2009b) could be used. The use of proxy
191 traits as indicators of a more difficult-to-measure breeding goal trait is common practice
192 in breeding programmes (e.g. white blood cell counts in milk as an indirect indicator of
193 mastitis in dairy cows; Pryce et al 1998). Behavioural problems which occur in sudden
194 unpredictable outbreaks (e.g. hysteria, cannibalism and feather pecking in poultry; tail,
195 ear and flank biting in pigs) are particularly problematic to study. There is a need for
196 validated proxy measures that can be applied to animals in a 'baseline' state which are
197 predictive of their behaviour during an outbreak (e.g. Breuer et al 2001; Statham et al
198 2006).

199

200 There may however be unintended consequences of using proxy measures. For example,
201 breeding for slow flight speed in cattle in the hope of selecting calm animals might result
202 in animals which were slow for another reason (e.g. because they were lame), or that
203 breeding for few skin lesions 24hrs after mixing to reduce aggression in pigs could result
204 in blunt teeth rather than less fighting. To avoid these sorts of problems, the goal trait
205 must be clearly defined, and the genetic correlation between the goal and proxy trait
206 should be re-examined as breeding progresses.

207

208 Regardless of the recording method (behavioural observations, tests, scoring systems)
209 inter-observer reliability could be more of an issue for behaviour in comparison to simple
210 to measure traits such as weight or milk yield. This is especially a problem for multiple
211 farm breeding programmes where there is a single (different) scorer on each farm with
212 limited cross-checking (e.g. beef or sheep). In practice, even with these problems,
213 behaviour traits are heritable albeit at a low level (e.g. Pryce et al 2000). Poorly designed
214 scoring systems for behaviour, are likely to result in unexplained non-genetic sources of
215 variability in a trait and hence low heritability making it unlikely that a trait will be
216 adopted by breeders. Well designed, research-based objective scoring systems
217 (Macfarlane et al 2009) or (validated) use of automation (e.g. image analysis for feather
218 scoring or skin lesion scoring) provide potential solutions.

219

220 Potentially, the use of molecular markers or genome-wide selection could provide a cost-
221 effective way of selecting for behaviour, once the initial (expensive) research to identify
222 the genetic signature of a behaviour has been done (Désautés et al 2002; Mormède 2005;
223 Quilter et al 2007; Gutierrez-Gil et al 2008). However, as with any proxy trait, there is an
224 ongoing need to check the results against the actual behavioural phenotype for certain
225 animals every 2-3 generations. The genes or genome regions affecting differences in
226 behaviour are likely to vary with breed/country so there is a need for validation against
227 phenotype in each case.

228

229 Regardless of the trait and the method of measurement, genetic progress will be more
230 rapid if we better estimate the genetic component of variance; this is perhaps an
231 especially important point for behavioural selection given the sensitivity of behaviour to
232 short and long-term environmental influences. This requires environmental conditions to
233 be standardised or at least recorded (Mormède 2005) so that they can be included in the
234 statistical models used for genetic analysis.

235 **Economic drivers and bottlenecks affecting the implementation of** 236 **selection for behaviour**

237

238 In most farmed species, breeding goals are primarily aimed at production traits and the
239 relative weighting of traits in the selection index depends on their economic importance
240 (Brascamp et al 1985; Dekkers & Gibson 1998). There are a number of examples where
241 this has resulted in reduced welfare through unfavourable outcomes in health, welfare and
242 fitness characteristics, (see reviews by Rauw et al 1998; Jones & Hocking 1999; Sandøe
243 et al 1999). These traits were not recorded so the effects of breeding on them were
244 unknown or ignored. To address these problems, breeding goals have been broadened in a
245 number of species (e.g. sheep and dairy cows) to include more traits (Simm 1998;
246 Lawrence et al 2004; Pryce et al 2004).

247

248 It is important to note that many behavioural traits have an economic value. Thus by
249 analogy one reason to include health traits in Scandinavian dairy breeding is that for the
250 farmer, costs associated with mastitis (veterinary treatment, rejected milk) may offset the
251 gains from increased production (Christensen 1998). Although inclusion of behavioural
252 traits in breeding indices may constitute an improvement on animal welfare relative to not
253 including them, their inclusion at economically determined weights may only result in
254 slowing or halting in the growth of a problem, in particular if heritability is low or there is
255 unfavourable genetic correlation with other traits in the index (Nielsen et al 2006; Nielsen
256 & Amer 2007).

257

258 Some behavioural traits such as neonate survival or maternal behaviour may be of
259 sufficient economic weight to result in positive changes in animal welfare if
260 implemented. For other behavioural traits though, the economic value might be more
261 difficult to quantify, even though the outcomes might be desirable for farmers. For
262 example, large animals which are calm rather than reactive during handling could have
263 benefits for reduced labour costs, increased handler safety and meat quality (Turner &
264 Lawrence 2007) which are difficult to quantify in economic terms.

265

266 Society might wish behavioural traits to be improved more rapidly or even desire the
267 inclusion of some traits that enhance welfare at the expense of production (Olesen et al
268 2000; McInerney 2004). How could this be achieved? Methods to quantify the societal

269 benefits of broader breeding programmes and to estimate the non-market value of various
270 traits have been proposed (Olesen et al 2000; Nielsen et al 2006; Nielsen & Amer 2007).
271 Nevertheless, some traits will not have any economic value for the individual farmer, and
272 including them in the breeding goal may even come at an economic cost, as this slows
273 down the progress for traits that directly affect producer-income. Implementation of
274 breeding for such traits will only take place if special incentives are provided. Analogous
275 problems arise for other kinds of traits related to public goods such as reduced
276 environmental impact (Olesen et al 2000; Kanis et al 2005).

277

278 Rules to ensure animal welfare relating to animal transport, housing and slaughter
279 conditions are set by legislators, assurance schemes and retailers. Currently despite the
280 existence of recommendations on breeding by a number of bodies including FAWC
281 (2004), AEBC (2002) and the EU's T-AP committee (e.g. T-AP 1995; T-AP 1999; T-AP
282 2005a; T-AP 2005b) there is, however, very little regulation of breeding goals (Lawrence
283 et al 2004). Existing EU legislation in this area has so far been ineffective (Olsson et al
284 2006).

285

286 Decision making over breeding goals varies according to the species involved. In pigs
287 and poultry, a few global breeding companies control breeding and determine the
288 breeding goals (in response to customer needs). Dairy cattle breeding is much more
289 diverse in terms of ownership of pedigree animals, although genetic evaluations are
290 centralised. Estimated breeding values for each bull for each trait are published, allowing
291 farmers (to some extent) to make decisions about which traits to focus on when
292 purchasing semen.

293

294 In the UK sheep and beef industries, some farmers make use of schemes which enable
295 breeding index methodology to be applied to systematically improve certain traits, but a
296 substantial number of pedigree breeders do not. Thus, there is for these breeds some room
297 not only for breeding organizations but also for individual farmers to consider additional
298 traits other than production traits in breeding.

299

300 In the EU, there has been an initiative of self-regulation by breeders: the Code of Good
301 Practice for European Farm Animal Breeding and Reproduction (CODE-EFABAR,
302 Neeteson-van Nieuwenhoven et al 2006) and some voluntary engagement by individual
303 breeding companies with ethicists (Olsson et al 2006).

304

305 Presently under schemes such as organic, Freedom Foods or Products of Protected
306 Origin, consumers pay premium prices for products with perceived added value in terms
307 of production system. However, as opposed to production systems, consumers are
308 unlikely to be aware of the role of breeding, and it being such a small part of the
309 production process will probably make it difficult to justify a price increase (Olsson et al
310 2006). This may however be different if existing labelling schemes would also
311 incorporate breeding as part of their requirements. At present, this is only done indirectly,
312 as when assurance programs require animals of a certain breed such as slow-growing
313 broilers (Cooper & Wrathall 2009) or locally adapted animals.

314

315 ***Ethical issues arising from selection for behaviour***

316

317 Many people feel that limits should be placed on our interference with nature (Banner
318 1995; AEBC 2002; Macnaghten 2004). And it should be expected that this feeling might
319 be strong in cases where we are tangling with complex aspects of animals' natures such
320 as the genetic basis for their behaviour. Along with an animal's feelings and state of
321 health (Fraser et al 1997), the opportunity to express normal (or natural) behaviour is seen
322 as an important aspect of animal welfare, and it is one of FAWC's five freedoms (FAWC
323 2004).

324

325 The call for ethical limits can be defended in two rather different ways. It can be claimed
326 either that we should refrain from interfering because we cannot accurately foresee the
327 consequences of what we are doing and may therefore bring about some kind of disaster,
328 or alternatively that we should leave nature as it is because untouched nature has a value
329 of its own (Banner 1995; AEBC 2002; Macnaghten 2004).

330

331 According to the first line of thought the problem with interfering is that we cannot
332 properly predict the long-term consequences of what we are doing. If we try to
333 manipulate nature on the basis of ‘grand plans’ for the future, there is a real danger that
334 unexpected and harmful consequences occur – as indeed it has sometimes happened for
335 example when species of animals have been introduced by humans in new territory.

336

337 According to the other line of thought the problem with interfering with nature is that we
338 should respect what is seen as the *integrity* of nature. It is seen as perverse and wrong that
339 we try to shape animals according to our plans rather than leaving them to be the kind of
340 creatures they are. Of course, in the context of farm animal breeding it may sound a bit
341 weird to appeal to the idea that it is wrong to change animals to fit our goals – since that
342 in a way is the *raison d’etre* of animal breeding. However, some argue that integrity
343 comes in degrees and that it is a bigger concern to manipulate the behaviour of a dairy
344 cow than it is to manipulate its disease resistance or length of calving intervals (Siipi
345 2008).

346

347 **Changing the holes or the pegs?**

348

349 Animal welfare problems often result where there is a mismatch between an animal’s
350 coping ability and the range of challenges offered by the environment (Fraser et al 1997).
351 Bernard Rollin (2002) has characterised intensively farmed animals as square pegs forced
352 into round holes; and breeding to make the animals fit the environment may be seen as an
353 attempt to change the pegs rather than the holes.

354

355 Changing the environment to suit the animal is usually seen as the solution, but why is
356 this ethically preferable to changing the animal to suit the environment? Concerns over
357 animal naturalness or integrity are the issue here. In addition, since biology appears to
358 impose few limitations on what is possible, changing the animal to suit the environment
359 raises the question of the ethical acceptability of the environment. In a discussion of how
360 breeding could be used to improve pig welfare, Kanis et al (2004) recognised that

361 breeding animals adapted to tolerate poor environments might result in a decline in
362 housing or husbandry practices.

363

364 To address this problem, Lawrence et al (2001) proposed that we should begin by
365 defining 'Ethical Environment Envelopes' and then breed animals to have good welfare
366 within these. There are a number of examples where breeding for behaviour could suit
367 animals to more extensive housing systems which may be viewed as ethically more
368 desirable than the alternative intensive housing systems. For example, selection for good
369 maternal and neonatal behaviour in pigs could facilitate a move away from confinement
370 housing, and selection to reduce feather pecking in barn and free-range laying hens will
371 make the move away from cages easier and might reduce the need for beak trimming.
372 Similarly, extensive systems for sheep could be made easier by breeding for animals that
373 are disease resistant and do not require shearing, tail docking or close supervision at
374 lambing (Conington et al 2009).

375

376 In intensive systems, even though it is more controversial, an argument could be made for
377 pragmatism and accepting genetic selection for behaviour as part of the solution for
378 welfare problems. For example, tail-biting in pigs could be reduced by the provision of
379 more space and particularly improved access to substrates for rooting and chewing.
380 However, the vast majority of pig farms in the EU do not provide adequate substrates and
381 painful tail docking is widely applied. Tail docking removes the welfare problem for the
382 bitten pig, but not for the biter- it simply masks the fact that these pigs still lack a suitable
383 outlet for their motivation to root and chew on something.

384

385 Selection to reduce tail biting is ethically less attractive than providing suitable substrates,
386 since it compromises the pig's integrity, particularly if accompanied by a correlated
387 reduction in other behaviours which could be seen as being central to 'pigness' such as
388 rooting and chewing. On the other hand if the alternative is tail docking breeding to
389 reduce tail biting may be seen as the smaller of two evils. Thus a balance needs to be
390 struck. If we accept that pigs are going to continue to be kept in systems without suitable

391 substrates, then should we select against tail biting to improve pig welfare and removing
392 the need for tail docking at the risk of compromising the pigs' integrity?

393

394 To take a different example, are we content with the 'unnatural wolf' (the dog) which is
395 happier in a domestic setting because it has no desire to hunt? Isn't this better than a
396 'natural' wolf-like dog which is prevented from hunting? Of course, it may be argued that
397 much effort is put into ensuring that dogs live reasonable lives; whereas breeding against
398 tail biting in pigs could be seen as a too easy solution to the problem.

399

400 **Zombies**

401 One specific scenario, of particular concern to those concerned with animal integrity, is
402 that animals may become extremely inactive or generally un-reactive to external stimuli
403 as a result of breeding for behaviour. To simplify matters let us call these animals
404 "zombies".

405

406 Reduced responsiveness to humans in particular (docility) and to environmental stimuli in
407 general has been a major feature of behavioural change throughout domestication (Price
408 1984), so further change in this direction could be thought of as purely a continuation of
409 the domestication process (Jones & Hocking 1999). Some authors have proposed
410 selection for animals that are less reactive to stress or less fearful across a wide range of
411 situations (Jones & Hocking 1999; Mignon-Grasteau et al 2005), and the 'zombie'
412 criticism would apply to this kind of breeding. Indeed, Mignon-Grasteau et al. (2005)
413 acknowledge the need for an ethical debate in wider society before such proposals could
414 be taken forward. Even when a single trait is the focus of selection, genetic correlations
415 between traits mean that the impact could be wider: Pigs which were genetically less
416 aggressive at mixing were also less reactive at weighing (D'Eath et al 2009; Turner et al
417 2009a).

418

419 The issue of Zombies is clearly a problem for those advocating animal integrity. But why
420 should it matter from the point of view of an animal that it has a smaller number of
421 preferences and desires – as long as the desires that the animal does have are being

422 satisfied? After all isn't animal welfare all about making sure that there is a fit between
423 what an animal needs or prefers and what it gets? (Sandøe 1996)

424

425 To answer this question one may seek inspiration from the utilitarian philosopher John
426 Stuart Mill (1863) who argued that "*It is better to be a human being dissatisfied than a
427 pig satisfied; better to be Socrates dissatisfied than a fool satisfied. And if the fool, or the
428 pig, are a different opinion, it is because they only know their own side of the question.*"

429 The idea would be that breeding zombie animals is problematic because it means
430 reducing the value of the animal lives that comes out of the process.

431

432 The thought experiment of deliberately breeding animals with reduced sentience (a
433 reduced capacity for higher mental states) was considered in the Banner report (Banner
434 1995) as being 'objectionable in its own right'. Others have expressed concern that
435 reduced sentience could inadvertently result from selection for behavioural change
436 (Paragraph 110, FAWC 2004).

437

438 Of course, since animal sentience is difficult to prove or measure it is difficult to address
439 these issues in practice. However even in theory they may be a disagreement between
440 those who think that animal welfare is all about making sure that animals get what they
441 need and want and those who think that a higher level of needs and wants makes room for
442 a richer and better life. The authors of this paper tend to side with the former.

443

444 **Stoics**

445 A very different scenario from the one just discussed is that animals are being bred to
446 change behaviour, but they still experience the negative feelings associated with the
447 unwanted behaviour. These animals we shall here call stoics, because outward signs of
448 suffering appear to be reduced. This scenario could perhaps be thought of as falling
449 within the ethical concern of 'unintended consequences'.

450

451 In relation to disease or parasitism, the concepts of resistance and resilience have subtly
452 different meanings. Resistant animals do not become infected at all, while resilient

453 animals are able to function better (growing and reproducing) despite being infected
454 (Albers et al 1987). If one were to infect a population and just measure growth rate, these
455 two classes of animals might appear similar, while from a welfare perspective resistance
456 is surely preferable to resilience.

457

458 An analogous situation could occur when breeding to change behaviour, where stoics
459 could be thought of as similar to resilient animals. Genetic selection directly on a trait
460 which is used to measure welfare might mean that the trait becomes a less reliable
461 indicator of welfare: A thought experiment here might be, that selection to improve
462 locomotion score in lame animals could result in animals which still have the underlying
463 problem (with bad feet or joint problems) but which do not show it. Selection to change
464 behaviour without understanding the mechanism of that change could result in the mental
465 equivalent of lameness (e.g. high fearfulness could result in inactivity).

466

467 Whenever possible, direct examination of the source of the problem is important to
468 prevent such undesired effect (e.g. Conington et al 2009). However, as illustrated by the
469 discussion around the example provided by Mills and co-workers (Mills et al 1985a;
470 1985b), this may not be straightforward. These researchers reduced stereotypic pacing
471 behaviour in poultry by selecting against the amount of pre-laying pacing. Mason et al
472 (2007) argued that this would be more likely to result in an improvement in welfare than
473 selection against the stereotypy itself, because pre-laying pacing was an indicator of
474 motivation to find a nest, so the root cause of the stereotypy had been altered. However,
475 Appleby and Hughes (1991) argued that it had not been established whether reduced pre-
476 laying pacing indicated that these animals actually experienced less frustration in the
477 absence of a nest.

478

479 Muir and Craig (1998) describe another example: “Duncan and Filshie (1980) showed
480 that a flighty strain of birds that exhibited avoidance and panic behaviour following
481 stimulation returned to a normal heart beat sooner than a line of more docile birds,
482 implying that the docile birds may be too frightened to move”. Different species of
483 penguins (in the wild) differ in their behavioural reactivity to approaching humans

484 (Holmes 2007), but even penguins who show little behavioural reaction may show
485 prolonged elevations in heart rate, suggesting that they experience an emotional response
486 (Nimon et al 1995; Ellenberg et al 2006). Thus the link between emotional state and
487 outward behaviour is not straightforward and must be understood before beginning on a
488 selection programme to change behaviour.

489

490 When a welfare end-point, such as the level of stereotypic behaviour is directly selected
491 against (e.g. by the mink industry in the Netherlands; Vinke et al 2002), this could
492 present an example of selecting only against the symptoms while masking an underlying
493 problem (Mason et al 2007). Indeed high stereotyping mink often have lower endocrine
494 stress responses than low stereotyping mink, suggesting that it is a successful coping
495 mechanism (Mason & Latham 2004). Svendsen et al (2007) found that low stereotypy
496 was associated with high levels of fear of humans.

497

498 Kanis et al (2004) propose that experiments in which animals learn a task to express their
499 environmental preferences could be used in selection. “It could be a practical option to
500 breed for pigs which are less motivated to improve or change their situation and are thus
501 sufficiently satisfied”. There is a risk that this approach might result in stoical pigs which
502 do not act to remove themselves from stress, apathetic inactive pigs, or even those which
503 are poor at learning such tasks.

504

505 There is thus some technical support for this ethical concern of ‘meddling with what we
506 don’t understand’. For example, Mormède (2005) in a review of the opportunities to use
507 molecular genetics in breeding for behaviour states that “However, a major limit to these
508 studies is the limited basic knowledge about psycho-biological dimensions underlying
509 behavioural trait variability, and the availability of reliable and meaningful measures of
510 these,”

511

512 In summary, we believe that this issue that we have discussed under the heading of
513 ‘stoics’ represents a real ethical issue, where an illusion of improved welfare might mask
514 a continuing underlying problem, such as thwarted motivation.

515

516 **Conclusions and Animal Welfare Implications**

517 We have argued that breeding to change behaviour offers potential for improving
518 production and welfare. It is technically possible to do, although there are various
519 practical issues that need to be addressed for successful implementation. Primarily there
520 is a need for well-validated abbreviated methods of recording behaviour or its proxies.
521 Economics as the key driver for breeders will always be a barrier to implementation of
522 behavioural traits relating to non-economic welfare traits, although there are of course a
523 number of win-win traits where there is less conflict between profit and welfare such as
524 reducing neonatal mortality.

525

526 Ethical concerns over ‘meddling with nature’ when breeding for behaviour need to be
527 considered. In particular the issues of unforeseen consequences of selection (for example
528 due to antagonistic genetic correlations between traits) and the reduction of animal
529 integrity or naturalness are important. In terms of naturalness, domesticated animals are
530 already compromised in this regard, making clear-cut definitions difficult. Where the
531 environment for which selection occurs is seen as ethically desirable (e.g. extensive, free-
532 range), there may be fewer problems, but decisions over selection to change behaviour in
533 intensive environments could involve balancing between opportunities to improve animal
534 welfare and the risk of reduced animal integrity.

535

536 Our position is that the resulting animal welfare (animal feelings) is of paramount
537 importance here. The specific concern that selection for behaviour could result in
538 extremely docile “Zombies” may give rise to disagreement between those who like the
539 authors of the present paper are mainly concerned about preventing welfare problems for
540 the animals and those who care about animal integrity and see excessively docile animals
541 as lacking something of significant value. Breeding for “Zombies” could be guarded
542 against in a selection programme by ensuring that a variety of behaviours are recorded,
543 and the genetic correlations among them and other breeding goals are understood. A
544 more important concern is the issue of “stoical” animals where breeding against

545 behavioural (or other) indicators of welfare could mask a problem without really solving
546 it, unless great care is taken in identifying accurate measures of the underlying problem,
547 which may not always be possible when unobservable mental states are the ultimate
548 indicator of a problem.

549

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552

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825

Behaviour		Poultry	Pigs	Sheep	Cattle	Fur animals
Social	Aggression	Selection line studies (Craig et al 1965)	0.17-0.46 (Løvendahl et al 2005; Turner et al 2006b; Turner et al 2008; Turner et al 2009b)		0.28-0.36 (Silva et al 2006)	
	Sociality	Selection line studies (Mills & Faure 1991)		0.02 – 0.39* (Wolf et al 2008)		
Abnormal	Damaging conspecifics	Feather pecking 0.11-0.38 (Kjaer & Sorensen 1997; Rodenburg et al 2003); Selection line studies (Craig & Muir 1993; Buitenhuis & Kjaer 2008)	Tail biting 0.05 (Breuer et al 2005)			Fur chewing 0.30 (Nielsen & Therkildsen 1995; cited by Malmkvist & Hansen 2001)
	Stereotypy	Selection line studies (Mills et al 1985b)				Selection line studies (Hansen 1993a; Jeppesen et al 2004)
Fear	of humans/ handling ease	0.08 – 0.34 (Craig & Muir 1989); Tonic immobility selection line studies (Faure & Mills 1998)	0.38 (Hemsworth et al 1990) 0.03 – 0.17 (D'Eath et al 2009)	0.02 – 0.39* (Wolf et al 2008)	0.06-0.44 (Beef, Le Neindre et al 1995; Phocas et al 2006; Kadel et al 2006)	0.38 (Hansen 1993b; cited by, Malmkvist & Hansen 2001)

				0.07 (Dairy, Pryce et al 2000)
	of novel objects or places	tonic immobility selection lines (Mills & Faure 1991); Open field 0.10 – 0.49 (Rodenburg et al 2003)	0.16 (Beilharz & Cox 1967)	
Reproductive	Maternal behaviour		0.01-0.08 (Grandinson et al 2003; Løvendahl et al 2005)	0.13 (Lambe et al 2001) 0.06 – 0.09 (defensive aggression, reviewed by Burrow 1997)

Table 1: Examples of evidence for a genetic component of behaviour traits in farm animals. Evidence of successful selection experiments or estimates of heritability (h^2) from pedigree studies are given. Where a range of values is reported, this reflects both the use of multiple variables or test ages within one study, and differences across studies. *This study is difficult to classify as it recorded behaviour in a test of conflicting motivations (avoid a human vs. seek flock mates).