

MESTRADO INTEGRADO EM MEDICINA

2015/2016

Maria Francisca Torcato Ribeiro Dias de Freitas

Molecular mechanisms underlying

hyperoxia-induced acute lung injury

março, 2016





Maria Francisca Torcato Ribeiro Dias de Freitas Molecular mechanisms underlying hyperoxia-induced acute lung injury

Mestrado Integrado em Medicina

Área: Fisiologia Tipologia: Monografia

Trabalho efetuado sob a Orientação de: Doutor Roberto Roncon-Albuquerque

Trabalho organizado de acordo com as normas da revista: Respiratory Medicine

março, 2016





Eu, Maria Francisca Torcato Ribeiro Dias de Freitas, abaixo assinado, nº mecanográfico 200907007, estudante do 6º ano do Ciclo de Estudos Integrado em Medicina, na Faculdade de Medicina da Universidade do Porto, declaro ter atuado com absoluta integridade na elaboração deste projeto de opção.

Neste sentido, confirmo que **NÃO** incorri em plágio (ato pelo qual um indivíduo, mesmo por omissão, assume a autoria de um determinado trabalho intelectual, ou partes dele). Mais declaro que todas as frases que retirei de trabalhos anteriores pertencentes a outros autores, foram referenciadas, ou redigidas com novas palavras, tendo colocado, neste caso, a citação da fonte bibliográfica.

Faculdade de Medicina da Universidade do Porto, 23/03/2016

Assinatura conforme cartão de identificação:

FRANCISCO FRANTOS



Projecto de Opção do 6º ano – DECLARAÇÃO DE REPRODUÇÃO

NOME

MARIA FRANCISCA TORCATO RIBEIRO DIAS DE FREITAS

NÚMERO DE ESTUDANTE

DATA DE CONCLUSÃO

200907007

DESIGNAÇÃO DA ÁREA DO PROJECTO

FISIOLOGIA

TÍTULO DISSERTAÇÃO/MONOGRAFIA (riscar o que não interessa)

ŝ

MOLECULAR MECHANISMS UNDERLYING HYPEROXIA-INDUCED ALLITE LUNG INJURY

ORIENTADOR

DR. ROBERTO RONCON - ALBUQUERQUE

COORIENTADOR (se aplicável)

ASSINALE APENAS UMA DAS OPÇÕES:

É AUTORIZADA A REPRODUÇÃO INTEGRAL DESTE TRABALHO APENAS PARA EFEITOS DE INVESTIGAÇÃO, MEDIANTE DECLARAÇÃO ESCRITA DO INTERESSADO, QUE A TAL SE COMPROMETE.	
É AUTORIZADA A REPRODUÇÃO PARCIAL DESTE TRABALHO (INDICAR, CASO TAL SEJA NECESSÁRIO, Nº MÁXIMO DE PÁGINAS, ILUSTRAÇÕES, GRÁFICOS, ETC.) APENAS PARA EFEITOS DE INVESTIGAÇÃO, MEDIANTE DECLARAÇÃO ESCRITA DO INTERESSADO, QUE A TAL SE COMPROMETE.	
DE ACORDO COM A LEGISLAÇÃO EM VIGOR, (INDICAR, CASO TAL SEJA NECESSÁRIO, Nº MÁXIMO DE PÁGINAS, ILUSTRAÇÕES, GRÁFICOS, ETC.) NÃO É PERMITIDA A REPRODUÇÃO DE QUALQUER PARTE DESTE TRABALHO.	

Faculdade de Medicina da Universidade do Porto, 15/03/2016

Assinatura conforme cartão de identificação: <u>Francisca</u> Frairas

Aos os meus pais, irmãos e Ricardo pelo apoio incondicional

Molecular mechanisms underlying hyperoxia-induced acute lung injury

Francisca Freitas¹, MD, and Roberto Roncon-Albuquerque Jr, MD PhD^{1, 2}

¹ Department of Physiology and Cardiothoracic Surgery, Faculty of Medicine of Porto, Porto, Portugal

² Department of Emergency and Intensive Care Medicine, Hospital de S. João, Porto, Portugal

Correspondence address:

Roberto Roncon-Albuquerque Jr, MD PhD

Department of Physiology and Cardiothoracic Surgery, Faculty of Medicine of Porto and

Department of Emergency and Intensive Care Medicine Hospital de S.João

Al. Prof. Hernâni Monteiro; 4200-319, Porto; PORTUGAL

Tel.: +351 916 454 074

Fax: +351 225 025 766

E-mail: rra jr@yahoo.com

ABSTRACT

The management of acute hypoxemic respiratory failure frequently includes the use of supraphysiological fractions of inspired oxygen (FiO₂), which can be beneficial in the short-term but not without risks in the long-term causing acute lung injury (ALI). Over the last few years much attention has been focused on the intracellular signalling transduction pathways that lead to hyperoxia-induced cell damage, particularly MAP kinase cascades. Identification of involved signalling molecules and understanding the regulation of the main signal transduction pathways might provide the basis for improving the outcome of the patients under high FiO2 through more effective therapeutic interventions. This review, which includes studies published from 1987 to 2015, presents an overview on recent progresses in the hyperoxia ALI field with special emphasis to potential therapeutic targets and clinical approaches based on the molecular mechanisms underlying hyperoxia-induced inflammation. Further studies are needed to gain deeper insight into controversial molecular mechanisms underlying hyperoxiainduced cell death, which may play a critical role in future pharmacological interventions, as well as into hyperoxia-induced cell damage, that could monitor and therefore prevent hyperoxia ALI.

Keywords: acute lung injury; fraction of inspired oxygen; hyperoxia; MAP kinase.

INTRODUCTION

The management of acute hypoxemic respiratory failure frequently includes the use of supraphysiological oxygen concentrations in inspired air (pO₂ (a) > 300 mmHg) to ensure adequate blood oxygenation. [1, 2] Although potentially lifesaving in the short-term, prolonged hyperoxia is not without risks and has been implicated in organ toxicity such as ALI. [3-5] On the one hand, O₂ plays a vital role in ATP synthesis, on the other hand it is responsible for the production of ROS capable of damaging alveolar epithelial cells causing disturbances in pulmonary system and gas exchange impairment. There is a narrow margin between therapeutic and deleterious effects of high concentrations of inspired oxygen suggesting that the potential benefits and risks of ventilation with high FiO₂ (0.8–1.0) must be weighed since the question whether exposure to supranormal PaO₂ is safe in critically ill patients remains unanswered.

PMNs are the major inflammatory cells involved in the process of ALI, generating ROS, leading to alveolar epithelial cells death. [6-9] ROS have been shown to participate in the pathogenesis of many human diseases; however, the biochemical mechanisms by which ROS cause cell damage and ultimately organ dysfunction are not completely understood. [1, 2, 10, 11] Under hyperoxic conditions, excessive ROS act as direct cell toxins as well as secondary messengers by inducing the activation of intracellular transduction pathways and secretion of proinflammatory cytokines by the alveolar epithelial cells. [12] This leads to destruction of the alveolar-capillary barrier, increased pulmonary permeability, endothelial and epithelial cell death and PMNs influx into alveolar spaces. [12-15]

Abbreviations: ALI (acute lung injury); AP-1 (activator protein 1); ATP (adenosine triphosphate); CHI3L (chitinase 3-like 1); DPI (diphenyleneiodonium); DUOX (dual oxidase); Egr-1 (early growth response gene-1); ENac (epithelial sodium channel); EP (ethylpyruvate); ERK (extracellular signal-regulated kinase); HMGB-1 (high mobility group box-1); HS (hydrogen-rich saline); JNK (c-Jun N-terminal kinase); LC3B (protein 1 light chain 3B); MAPK (MAP kinase); MIP-2 (macrophage inflammatory protein-2); mtALDH (mitochondrial aldeyde dehydrogenase); NF-Kb (nuclear factor Kb); NOX (NADPH oxidase); Nrf2 (nuclear fator-like 2); PAI-1 (plasminogen activator inhibitor-1); ROS (reactive oxygen species); PKC δ (protein Kinase C δ); PMNs (polymorphonuclear leucocytes); RA (retinoic acid); SP (substance P); TGF β 1 (transforming growth-factor beta-1); TNF- α (tumor necrosis factor alpha); Trx (thioredoxin).

Over the last few years much attention has been focused on the signalling pathways and proinflammatory cytokines that lead to hyperoxia-exposed cells death during supplemental oxygen therapy. [16, 17] The comprehension of the molecular mechanisms responsible for the development of ALI is based on the identification of signaling molecules that are crucial in response to lung injury. A thorough understanding into the regulation of the main signal transduction pathways that can lead to alveolar epithelial cell injury after prolonged hyperoxia might provide the basis for improving the outcome of the patients under high FiO₂ through more effective therapeutic interventions.

The purpose of this review is to provide a critical evaluation of the recent bibliography on hyperoxia ALI, focusing on recently described intracellular molecular pathways and exploring potential therapeutic targets and future clinical applications.

METHODS

Eligible studies were identified by an electronic search of PubMed, involving studies published from 1987 to 2015. The sensitive search strategy combined the following keywords: *molecular mechanisms; hyperoxia;* and *acute lung injury*. All articles and cross-referenced studies from retrieved articles were screened for pertinent information and reviewed by both Authors. Inclusion criteria consisted in experimental and systematic review articles, published as original studies, with available abstract. Publications not written in English were excluded.

SIGNAL TRANSDUCTION PATHWAYS

ROS generated by NOX family enzymes play a pivotal role in hyperoxiainduced ALI. [2, 13] Although the effect of ROS in ALI has not been completely defined, previous studies demonstrated direct pulmonary cells injury through lipid peroxidation, enzyme inactivation, DNA damage, and cellular reducing agents decrease. [18] Distinct NOX enzymes, may specifically activate a range of different signaling pathways by modulating their subcellular location, expression level and interaction with membrane proteins. [18-22] However, previous studies have reported NOX1 as the main participant member of NOX family in ALI by stimulating ROS generation and cell death in lung epithelium and endothelium, whether NOX2 and NOX4 induce cell migration and cell death, although controversy exists. [22-24]

DUOXs are major NOX homologues and a significant source of ROS in lung epithelium. [2] Previous studies have suggested that DUOX-generated ROS play a central role in the regulation of innate immune responses and modulation of cell death in airway epithelial cells exposed to prolonged hyperoxia, rather than NOX1. [2, 18, 25]

Generation of ROS under supraphysiological concentrations of oxygen is reported to be one of the main damaging stimuli that can induce or mediate phosphorylation of MAPK signal transduction pathways by altering the structure and function of signaling proteins through modification of critical aminoacid residues. [19, 26, 27] MAPK signal transduction pathways regulate a wide range of vital cellular mechanisms, such as cell growth, proliferation, differentiation, stress responses, and ultimately survival and apoptosis through modulation of distinct intracellular and nuclear substrates. [28, 29] To date, several studies described four distinct MAPK cascades: ERK 1/2, JNK, p38 and ERK 5. [28]

Hyperoxia-induced ROS, together with NOX1 activation, are responsible for the triggering of ERK 1/2 pathway characterized by the activation of a wide range of substrates in distinct locations, particularly the nucleus (fig. 1). [28, 30] In addition to direct interaction between ROS and ERK 1/2, ROS are responsible for decreasing mtALDH activity and stimulating CHI3L. [28] As a defense mechanism against the damaging effects induced by hyperoxia, phosphorylated ERK 1/2 pathway promotes survival genes transcription, such as Egr-1, Nrf2, and AP-1. [28] Between the survival genes transcripted in the ERK 1/2 signaling pathway, previous studies demonstrated that decreased transcription of Nrf2 promotes increased ALI and mortality through suppressed expression of several antioxidant enzymes and superoxide dismutase, which are involved in cellular protection against hyperoxia-induced inflammation. [2, 20, 30] Although most studies describe ERK 1/2 cascade as pro-survival signal transduction pathway in hyperoxia-exposed cells, additional reports have mentioned alveolar macrophage apoptosis under other conditions that can be ascribed to the specific cell type involved. [10, 27] Thus, in hyperoxic conditions, ERK 1/2 phosphorylation might have a dual role as either promoter or inhibitor of apoptosis, and these roles appear depend on the cell type and culture conditions. [31]

As a key member of the MAPK family, JNK activation in hyperoxia-exposed cells is induced by ROS and NOX1 (fig. 2). [19] Once activated, JNK induces phosphorylation of several substrates located in the cytoplasm and nucleus promoting transcription of apoptotic genes as well as immune and stress responses. [28] Several studies have reported AP-1 complex as a major target of phosphorylated JNK. [28] Activated in several mechanisms, including oxidant signaling, immune responses, and cell differentiation and apoptosis, AP-1 complex has been shown to play an important role in modulating both cell proliferation and death in a cell-type and stimulus-

dependent manner. [29, 32] However, Romashko et al. demonstrated that sustained activation of AP-1 is more closely associated with apoptosis. [32] Together with AP-1 complex, TGF β 1 is involved in inflammation and hyperoxia-induced cell death as well as impaired alveolarization. [33] Although the final effect of JNK activation supports necrosis and apoptosis, Porzionato et al. suggested that JNK phosphorylation might stimulate pro-survival mediators such as microtubule-associated LC3B. [28] Thus, in hyperoxia-exposed cells, the effect of JNK pathway activation is distinct, depending on the stimuli and strength as well as duration of phosphorylation, and can range from apoptosis to increased survival. [28, 34] Most studies propose JNK as a pro-apoptotic factor; however a protective role of JNK activation in response to hyperoxia has already been described. [35]

Similar to other members of MAPK family, p38 activation caused by stress stimuli and signals transmitted through the recruitment of specific receptors, plays an important role in hyperoxia-induced lung injury (fig. 3). [28, 32] Li et al. reported p38 has a central regulator of immunological effects, cell apoptosis, senescence, and survival as well as cell-cycle checkpoints. [34] Apart from direct activation from ROS, p38 activity is stimulated by ROS-induced PKCδ and CH3IL. [28] To date, p38 activation role in ALI is variable. Further analysis will be needed to identify the contributing factors for the variability of p38 activation under hyperoxic conditions. The role of p38 activation in hyperoxia-exposed cell and consequent lung damage is yet unclear, while some reports have described protective effects, most studies have reported detrimental actions. [36, 37]

Under hyperoxic conditions, ROS-mediated cell death is partially dependent on caspase-mediated signaling pathways (fig. 4). [19, 29] Hyperoxia-induced activation of signal transduction pathways leads to either necrosis or apoptosis. [14, 38, 39] Indeed,

both mechanisms can co-exist, once they share similar induction agents in similar cell types. [32, 38] ROS induce death receptor Fas activation with resultant stimulation of initiator caspase 8. [10] This triggers a range of events as activation of Bax, Bid, Bim and Back which increase PKC δ expression. [10] As an apoptotic modulator, PKC δ stimulates cleavage of executioner caspases 3 and 9, culminating in cell death by apoptosis and/or necrosis. [10] Caspase 3 is considered the most important of the effector caspases. [40] The main role of apoptosis in epithelial damage under hyperoxic conditions remains unclear, however several mechanisms underlying hyperoxia-induced cell death have already been proposed. [41]

Pro-inflammatory cytokines have been implicated in mediating neutrophil influx into hyperoxic lungs; however, molecular processes underlying PMNs recruitment remain unclear. [10, 40] Such pro-inflammatory cytokines, including TNF- α , MIP-2, PAI-1, IL-1 β , IL-6 and IL-8, are crucial mediators in the early stages of inflammatory response. [1, 42] The transcriptional factor NF- κ B is often described as the factor required for maximal expression of numerous cytokines implicated in the HALI. [32, 40] Entezari et al. determined that hyperoxia-exposed cell injury is characterized by increased extracellular HMGB-1 production. [9] A DNA-binding protein, extracellular HMGB-1 triggers an overwhelming late inflammatory response that promotes the progression of ALI. [43-46] Indeed, extracellular HMGB-1 promotes the release of cytokines such has IL-1 β , TNF- α , MIP-2 and macrophage migration inhibitory factor, and conversely, cytokines control further release of HMGB-1 to both plasma and lung epithelial lining fluids. [9]

POTENTIAL THERAPEUTIC TARGETS

Understanding the molecular mechanisms may be useful for the identification of potential therapeutic targets as well as biomarkers to be monitored in the course of HALI (Table 1).

Regarding the described role of DUOX 2 in lung injury after prolonged hyperoxia, Kim et al. reported that an acute reduction of DUOX 2 expression is sufficient to inhibit ALI and consequent cell death. [2] Simultaneously, Xu et al. demonstrated that the overexpression of mtALDH attenuates hyperoxia-induced cell death by inducing ERK 1/2 phosphorylation, and inhibition of ERK 1/2 cascade partially suppresses the positive effect of mtALDH, reporting ERK 1/2 activation through mtALDH as a defense mechanism against hyperoxia. [47] As well as mtALDH overexpression, CHI3L knock-down, and increased survival genes transcription has been shown to play a protective role from hyperoxia-induced apoptosis by increasing ERK 1/2 phosphorylation. [28, 48] In addition, Kim et al. demonstrated increased survival in CHI3L-deficient cells through p38 attenuation. [48] These findings may prove helpful in developing potential therapies based on DUOX 2 specific inhibitors in the treatment of ALI.

JNK cascade exposure to supranormal concentrations of O₂ activates morphological and biochemical markers, such as LC3B. [49] Tanaka et al. reported that LC3B overexpression confers cytoprotection against hyperoxia injury through autophagy stimulation and inhibition of caspase-3 cleavage. [28, 49] Meanwhile, several studies described the selective inhibition of p38 as a sufficient mechanism to increase cell survival in hyperoxic-exposed cells. [36, 37]

CLINICAL IMPLICATIONS

Over the last few years, much progress has been made in developing possible therapeutic strategies involved in hyperoxia-induced ALI (table 2). Regarding the described role of NOX enzymes, their inhibition may have significant effect in improving therapies to alleviate hyperoxia-induced lung damage through suppression of endothelial and epithelial cell death. Papaiahgari et al. demonstrated that inhibition of NOX by DPI considerably reduces the generation of intracellular and extracellular ROS, suggesting that NOX actively contributes to the conversion of oxygen into O_2^- and its inhibition may be helpful as a potential therapeutic target for ALI by reducing oxidative stress induced by hyperoxia. [2, 30]

Porzionato et al. have identified numerous exogenous stimulators of MAPK family members as protective modulators of hyperoxic stimuli. [28] Incubation or administration of hyperoxia-exposed cells with ATP, inosine and laminin substrates results in increased survival cell response through ERK 1/2 phosphorylation. [28] Ahmad et al. demonstrated that ATP release and subsequent ATP-mediated signaling events are vital for cell survival in hyperoxia. [50] In addition, Buckley et al. described the protective role of inosine treatment during hyperoxic exposure. [51] Meanwhile, Buckley et al. also demonstrated that culture of cells on laminin substrates, with respect to other plastic supports, resulted in increased phosphorylation of ERK 1/2. [52] Huan et al. added that the addition of SP to cell cultures can promote proliferation and inhibit apoptosis by suppressing JNK and p38 signal pathways after hyperoxia exposure, which attenuates induced oxidative lung injury. [53, 54] In addition, Li et al. and Chen et al. reported ERK 1/2, JNK and p38 significant increase under prolonged hyperoxic conditions. [55, 56] Additional studies demonstrated that RA and Trx treatment induced JNK and p38 decline and ERK 1/2 further elevation. [55, 56]

Sureshbabu et al. have reported TGF β 1 as a critical mediator of ALI. [33] Indeed, Tamarapu et al. described TGF β 1 as a modulator of ENac that reduces its expression, and alveolar sodium transport in epithelial cells. [57] The inhibitory effect of TGF β 1 on ENac, the main determinant of alveolar fluid clearance across the alveolar epithelium, is mediated by ERK 1/2 cascade activation. [57] The same study has identified serotonin as an endogenous inhibitor of ENac through TGF β 1 expression stimulation. [57] Therefore, miR-16, as a molecule that regulates serotonin system and upregulates ENac should be considered as a potential therapeutic approach to modulate ENac expression and restore alveolar fluid balance in ALI. [57]

Regarding p38 cascade, once activated by oxidative stress, PKCδ has been reported as an important apoptosis modulator through increased production of the caspase-induced PKCδ cleavage products. [28] Interestingly, Grinnell et al. demonstrated that PKCδ chemical inhibitor (rottlerin) significantly attenuated p38 activation as well as apoptosis, suggesting a potential dual role for PKCδ in ROSinduced apoptosis. [28, 58] As mentioned, rottlerin acts as an upstream regulator of p38 activation and as an inducer of DNA damage, through its caspase-3-dependent cleavage fragment, what may prove helpful in developing future therapies based on PKCδ chemical inhibitors in the treatment of ALI. [58] Simultaneously, Otterbein et al. reported the protective role of low concentration CO in hyperoxic lung injury, extending survival and exerting potent anti-inflammatory effects with reduced inflammatory cell influx into the lungs and marked attenuation in the expression of pro-inflammatory cytokines. [59] Indeed, exogenous administration of CO limits the progress of histopathological changes and attenuates cytokine expression induced by hyperoxia. [28, 59] Xie et al. demonstrated decreased caspase 3 activity after H_2 or HS treatment, suggesting a preventing role of H_2 treatment in lung cell apoptosis. [40] The same study added that the effective therapeutic role of H_2 or HS in hyperoxia-induced ALI also occurred through downregulation of inflammation and apoptosis via suppressing NF- κ B activation. [40] Most of the data suggested endogenous NO, similarly to H_2 , exerts an effective therapeutic role in many disorders including oxygen toxicity through decreasing oxidative stress, inflammation, and apoptosis, although controversy exists. [10, 40]

Entezari et al. suggested a link between extracellular HMGB-1 and ALI pathogenesis once low levels of extracellular HMGB-1 decreased inflammation. [9] In addition, they have shown that inhibition of HMGB-1 by neutralizing anti-HMGB-1 antibodies and small molecule EP significantly protects lung tissue against hyperoxia-induced extracellular HMGB-1. [9] In addition, heparin was shown to modulate infiltration of neutrophils and improve gas exchange. [1] Thus, Li et al. demonstrated that pharmacological inhibition with enoxaparine reduced HMGB-1 and PAI-1 production during prolonged hyperoxia. [1]

CONCLUSION

The present review focused on recent progresses in the ALI field with special emphasis to molecular mechanisms underlying hyperoxia-induced inflammation after high FiO₂ ventilation. The wide involvement of signal transduction pathways in lung responses to hyperoxia suggests their modulation may have significant effect in improving therapies to alleviate ALI in patients on oxygen therapy through suppression of endothelial and epithelial cell death. In summary, further analysis is needed to gain deeper insight into controversial molecular mechanisms underlying hyperoxia-induced cell death which may play critical role in future pharmaceutical interventions as well as biomarkers monitoring targeted at prevention or resolution of ALI.

REFERENCES

[1] Li, L.F., et al., Low-molecular-weight heparin reduces hyperoxia-augmented ventilator-induced lung injury via serine/threonine kinase-protein kinase B. Respir Res, 2011. 12: p. 90.

[2] Kim, M.J., et al., *Dual oxidase 2 in lung epithelia is essential for hyperoxiainduced acute lung injury in mice*. Antioxid Redox Signal, 2014. 21(13): p. 1803-18.

[3] Ware, L.B. and M.A. Matthay, *The acute respiratory distress syndrome*. N Engl J Med, 2000. 342(18): p. 1334-49.

[4] Kallet, R.H. and M.A. Matthay, *Hyperoxic acute lung injury*. Respir Care, 2013.58(1): p. 123-41.

[5] Sinclair, S.E., et al., *Augmented lung injury due to interaction between hyperoxia and mechanical ventilation*. Crit Care Med, 2004. 32(12): p. 2496-501.

[6] Cross, C.E., et al., *Oxygen radicals and human disease*. Ann Intern Med, 1987.107(4): p. 526-45.

[7] Biteau, B., J. Labarre, and M.B. Toledano, *ATP-dependent reduction of cysteine-sulphinic acid by S. cerevisiae sulphiredoxin*. Nature, 2003. 425(6961): p. 980-4.

[8] Barazzone, C. and C.W. White, *Mechanisms of cell injury and death in hyperoxia: role of cytokines and Bcl-2 family proteins*. Am J Respir Cell Mol Biol, 2000. 22(5): p. 517-9.

[9] Entezari, M., et al., *Inhibition of extracellular HMGB1 attenuates hyperoxiainduced inflammatory acute lung injury*. Redox Biol, 2014. 2: p. 314-22.

15

[10] Bhandari, V., *Molecular mechanisms of hyperoxia-induced acute lung injury*.Front Biosci, 2008. 13: p. 6653-61.

[11] Ho, Y.S., et al., *Targeted disruption of the glutaredoxin 1 gene does not sensitize adult mice to tissue injury induced by ischemia/reperfusion and hyperoxia*. Free Radic Biol Med, 2007. 43(9): p. 1299-312.

[12] Reddy, S.P., P.M. Hassoun, and R. Brower, *Redox imbalance and ventilatorinduced lung injury*. Antioxid Redox Signal, 2007. 9(11): p. 2003-12.

[13] Carnesecchi, S., J.C. Pache, and C. Barazzone-Argiroffo, *NOX enzymes: potential target for the treatment of acute lung injury*. Cell Mol Life Sci, 2012. 69(14):p. 2373-85.

[14] Mantell, L.L., et al., *Hyperoxia-induced cell death in the lung--the correlation of apoptosis, necrosis, and inflammation.* Ann N Y Acad Sci, 1999. 887: p. 171-80.

[15] Sanders, S.P., et al., *Hyperoxic sheep pulmonary microvascular endothelial cells generate free radicals via mitochondrial electron transport*. J Clin Invest, 1993. 91(1):
p. 46-52.

[16] Horowitz, S., *Pathways to cell death in hyperoxia*. Chest, 1999. 116(1 Suppl): p. 64S-67S.

[17] O'Reilly, M.A., DNA damage and cell cycle checkpoints in hyperoxic lung injury: braking to facilitate repair. Am J Physiol Lung Cell Mol Physiol, 2001. 281(2):p. L291-305.

[18] Joo, J.H., et al., Dual oxidase 2 is essential for the toll-like receptor 5-mediated inflammatory response in airway mucosa. Antioxid Redox Signal, 2012. 16(1): p. 57-70.

[19] Carnesecchi, S., et al., *NADPH oxidase-1 plays a crucial role in hyperoxiainduced acute lung injury in mice.* Am J Respir Crit Care Med, 2009. 180(10): p. 972-81.

[20] Cho, H.Y., et al., *Role of NRF2 in protection against hyperoxic lung injury in mice*. Am J Respir Cell Mol Biol, 2002. 26(2): p. 175-82.

[21] Brown, D.I. and K.K. Griendling, *Nox proteins in signal transduction*. Free Radic Biol Med, 2009. 47(9): p. 1239-53.

[22] Pendyala, S., et al., *Role of Nox4 and Nox2 in hyperoxia-induced reactive oxygen species generation and migration of human lung endothelial cells.* Antioxid Redox Signal, 2009. 11(4): p. 747-64.

[23] Chowdhury, A.K., et al., *Src-mediated tyrosine phosphorylation of p47phox in hyperoxia-induced activation of NADPH oxidase and generation of reactive oxygen species in lung endothelial cells.* J Biol Chem, 2005. 280(21): p. 20700-11.

[24] Pendyala, S., et al., *Nrf2 regulates hyperoxia-induced Nox4 expression in human lung endothelium: identification of functional antioxidant response elements on the Nox4 promoter*. Free Radic Biol Med, 2011. 50(12): p. 1749-59.

[25] Koff, J.L., et al., *Multiple TLRs activate EGFR via a signaling cascade to produce innate immune responses in airway epithelium*. Am J Physiol Lung Cell Mol Physiol, 2008. 294(6): p. L1068-75.

[26] Zhang, X., et al., *Reactive oxygen species and extracellular signal-regulated kinase 1/2 mitogen-activated protein kinase mediate hyperoxia-induced cell death in lung epithelium.* Am J Respir Cell Mol Biol, 2003. 28(3): p. 305-15.

[27] Petrache, I., et al., *Mitogen-activated protein kinase pathway mediates hyperoxia-induced apoptosis in cultured macrophage cells*. Am J Physiol, 1999. 277(3 Pt 1): p. L589-95.

[28] Porzionato, A., et al., *Effects of hyperoxic exposure on signal transduction pathways in the lung.* Respir Physiol Neurobiol, 2015. 209: p. 106-14.

[29] Lee, P.J. and A.M. Choi, *Pathways of cell signaling in hyperoxia*. Free Radic Biol Med, 2003. 35(4): p. 341-50.

[30] Papaiahgari, S., et al., *NADPH oxidase and ERK signaling regulates hyperoxiainduced Nrf2-ARE transcriptional response in pulmonary epithelial cells.* J Biol Chem, 2004. 279(40): p. 42302-12.

[31] Zaher, T.E., et al., *Hyperoxia-induced signal transduction pathways in pulmonary epithelial cells*. Free Radic Biol Med, 2007. 42(7): p. 897-908.

[32] Romashko, J., 3rd, et al., *MAPK pathways mediate hyperoxia-induced oncotic cell death in lung epithelial cells*. Free Radic Biol Med, 2003. 35(8): p. 978-93.

[33] Sureshbabu, A., et al., *Conditional overexpression of TGFbeta1 promotes pulmonary inflammation, apoptosis and mortality via TGFbetaR2 in the developing mouse lung.* Respir Res, 2015. 16: p. 4.

[34] Wagner, E.F. and A.R. Nebreda, *Signal integration by JNK and p38 MAPK pathways in cancer development*. Nat Rev Cancer, 2009. 9(8): p. 537-49.

[35] Li, Y., et al., Inhibition of c-Jun N-terminal kinase pathway improves cell viability in response to oxidant injury. Am J Respir Cell Mol Biol, 2003. 29(6): p. 779-83.

[36] Plotnikov, A., et al., *The MAPK cascades: signaling components, nuclear roles and mechanisms of nuclear translocation.* Biochim Biophys Acta, 2011. 1813(9): p. 1619-33.

[37] Parinandi, N.L., et al., *Hyperoxia-induced NAD(P)H oxidase activation and regulation by MAP kinases in human lung endothelial cells*. Am J Physiol Lung Cell Mol Physiol, 2003. 284(1): p. L26-38.

[38] Pagano, A. and C. Barazzone-Argiroffo, *Alveolar cell death in hyperoxiainduced lung injury*. Ann N Y Acad Sci, 2003. 1010: p. 405-16.

[39] Mantell, L.L. and P.J. Lee, *Signal transduction pathways in hyperoxia-induced lung cell death.* Mol Genet Metab, 2000. 71(1-2): p. 359-70.

[40] Xie, K., et al., Molecular hydrogen ameliorates lipopolysaccharide-induced acute lung injury in mice through reducing inflammation and apoptosis. Shock, 2012.
37(5): p. 548-55.

[41] Bhargava, M., et al., *Protein expression profile of rat type two alveolar epithelial cells during hyperoxic stress and recovery*. Am J Physiol Lung Cell Mol Physiol, 2013. 305(9): p. L604-14.

[42] Jobe, A.H. and M. Ikegami, *Mechanisms initiating lung injury in the preterm*. Early Hum Dev, 1998. 53(1): p. 81-94. [43] Abraham, E., et al., *HMG-1 as a mediator of acute lung inflammation*. J Immunol, 2000. 165(6): p. 2950-4.

[44] Lin, X., et al., *Alpha-chemokine receptor blockade reduces high mobility group box 1 protein-induced lung inflammation and injury and improves survival in sepsis.* Am J Physiol Lung Cell Mol Physiol, 2005. 289(4): p. L583-90.

[45] Mantell, L.L., W.R. Parrish, and L. Ulloa, *Hmgb-1 as a therapeutic target for infectious and inflammatory disorders*. Shock, 2006. 25(1): p. 4-11.

[46] Ueno, H., et al., *Contributions of high mobility group box protein in experimental and clinical acute lung injury*. Am J Respir Crit Care Med, 2004. 170(12):p. 1310-6.

[47] Xu, D., et al., *Mitochondrial aldehyde dehydrogenase attenuates hyperoxiainduced cell death through activation of ERK/MAPK and PI3K-Akt pathways in lung epithelial cells.* Am J Physiol Lung Cell Mol Physiol, 2006. 291(5): p. L966-75.

[48] Kim, M.N., et al., *Involvement of the MAPK and PI3K pathways in chitinase 3like 1-regulated hyperoxia-induced airway epithelial cell death*. Biochem Biophys Res Commun, 2012. 421(4): p. 790-6.

[49] Tanaka, A., et al., *Hyperoxia-induced LC3B interacts with the Fas apoptotic pathway in epithelial cell death.* Am J Respir Cell Mol Biol, 2012. 46(4): p. 507-14.

[50] Ahmad, S., et al., *Extracellular ATP-mediated signaling for survival in hyperoxia-induced oxidative stress.* J Biol Chem, 2004. 279(16): p. 16317-25.

[51] Buckley, S., et al., *In vivo inosine protects alveolar epithelial type 2 cells against hyperoxia-induced DNA damage through MAP kinase signaling*. Am J Physiol Lung Cell Mol Physiol, 2005. 288(3): p. L569-75.

[52] Buckley, S., et al., *ERK activation protects against DNA damage and apoptosis in hyperoxic rat AEC2*. Am J Physiol, 1999. 277(1 Pt 1): p. L159-66.

[53] Huang, B., et al., Neuropeptide substance P attenuates hyperoxia-induced oxidative stress injury in type II alveolar epithelial cells via suppressing the activation of JNK pathway. Lung, 2009. 187(6): p. 421-6.

[54] Huang, B., et al., *Substance P protects against hyperoxic-induced lung injury in neonatal rats.* Exp Lung Res, 2015. 41(1): p. 12-20.

[55] Li, W., et al., *Mechanism of retinoic acid and mitogen-activated protein kinases regulating hyperoxia lung injury*. J Huazhong Univ Sci Technolog Med Sci, 2006. 26(2): p. 178-81.

[56] Chen, Y., et al., *Thioredoxin protects fetal type II epithelial cells from hyperoxia-induced injury*. Pediatr Pulmonol, 2010. 45(12): p. 1192-200.

[57] Tamarapu Parthasarathy, P., et al., *MicroRNA 16 modulates epithelial sodium channel in human alveolar epithelial cells*. Biochem Biophys Res Commun, 2012.
426(2): p. 203-8.

[58] Grinnell, K., et al., *Heterogeneity in apoptotic responses of microvascular endothelial cells to oxidative stress.* J Cell Physiol, 2012. 227(5): p. 1899-910.

[59] Otterbein, L.E., et al., *MKK3 mitogen-activated protein kinase pathway mediates carbon monoxide-induced protection against oxidant-induced lung injury.* Am J Pathol, 2003. 163(6): p. 2555-63.

Reference	Year	Model	Molecule	Mechanism	Role in ALI
[2]	2014	Mice	DUOX 2	ERK 1/2 and JNK phosphorylation	Deleterious
[28]	2015	Mice	Survival genes	ERK 1/2 phosphorylation	Protector
[47]	2006	Mice	mtALDH	ERK 1/2 phosphorylation	Protector
[48]	2012	Mice	CH3IL	ERK 1/2 phosphorylation and p38 inhibition	Deleterious
[49]	2012	Mice	LC3B	autophagy stimulation and caspase-3 cleavage inhibition	Protector

Table 1. Overview of therapeutic targets in hyperoxia-induced ALI.

Reference	Year	Model	Mechanism	Future therapy
[1]	2011	Mice	PAI-1 and HMGB-1 inhibition	Enoxaparin
[9]	2014	Mice	HMGB-1 inhibition	Anti-HMGB-1 and EP
[40]	2012	Mice	Caspase 3/9 and Nf κ B inhibition	H_2 and HS
[50]	2004	Mice	ERK 1/2 phosphorylation	ATP
[51]	2005	Mice	ERK 1/2 phosphorylation	Inosine
[52]	1999	Mice	ERK 1/2 phosphorylation	Laminin substrates
[53]	2009	Mice	JNK and p38 inhibition	SP
[55]	2006	Mice	ERK 1/2 phosphorylation JNK and p38 inhibition	RA
[56]	2010	Mice	JNK and p38 inhibition	Trx
[59]	2015	Mice	p38 inhibition	СО
[30]	2004	Mice	NOX inhibition	DPI
[58]	2012	Mice	PKC δ inhibition	rottlerin
[57]	2012	Mice	TGF β 1 inhibition	miR-16

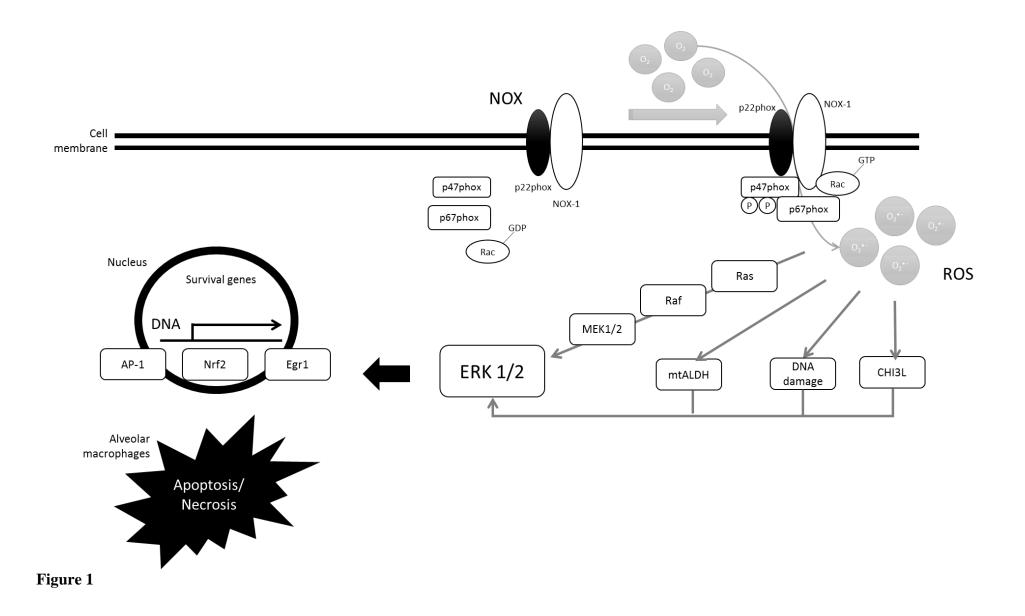
Table 2. Overview of potential pharmacological treatments oh hyperoxia-induced ALI.

FIGURE LEGENDS:

Figure 1. Schematic diagram of the extracelular signal-regulated kinase (ERK) signaling pathway in lung cells exposed to prolonged hyperoxia: supraphysiological oxygen concentrations in inspired air can lead to reactive oxygen species (ROS) production via NADPH oxidase (NOX) phosphorylation. ROS promote stimulation of mitochondrial aldeyde dehydrogenase (mtALDH) and chitinase 3-like 1 (CHI3L), and cause DNA damage. The signal is also transduced to small GTP-binding proteins (Ras), which in turn activate the core unit of the cascade composed of a MAPKKK (Raf), a MAPKK (MEK1/2), and MAPK (Erk). Activated ERK leads to transcription of survival genes, like activator protein 1 (AP-1), early growth response gene-1 (Egr-1), nuclear factor-like 2 (Nrf2), which inhibit necrotic and apoptotic cell death. In contrast, ERK activation might induce apoptosis/necrosis of alveolar macrophages.

Figure 2. Schematic diagram of the c-Jun N-terminal kinase (JNK) signaling pathway in lung cells exposed to prolonged hyperoxia: supraphysiological oxygen concentrations in inspired air can lead to reactive oxygen species (ROS) production via NADPH oxidase (NOX) phosphorylation. ROS stimulate JNK activation through the membrane proximal kinase MAPKKK, typically MEKK1–4, that phosphorylates and activates MKK4 or MKK7, the JNK kinases. Once phosphorylated, JNK promotes inflammation and impaired alveolarization through induction of activator protein 1 (AP-1) and transforming growth factor-beta 1 (TGF β 1), respectively. Thus, the final effect of JNK activation supports necrosis and apoptosis. JNK might stimulate microtubule associated protein 1 light chain 3B (LC3B) factor, which would induce autophagic mechanisms to protect the cell against hyperoxia. **Figure 3.** Schematic diagram of the p38 signaling pathway in lung cells exposed to prolonged hyperoxia: supraphysiological oxygen concentrations in inspired air can lead to reactive oxygen species (ROS) production, via NADPH oxidase (NOX) phosphorylation. ROS induce p38 activation through protein kinase C δ (PKC δ), chitinase 3-like 1 (CHI3L), and also MAPKKK, typically MEKK 1 to 4 or a mixed lineage kinase (MLK) 2 or 3, that phosphorylate and activate MKK3 or 6, the p38 MAPK kinases. Once activated, p38 supports necrosis and apoptosis.

Figure 4. Schematic diagram of the apoptosis/necrosis signaling pathway in lung cells exposed to prolonged hyperoxia: supraphysiological oxygen concentrations in inspired air can lead to Fas receptor phosphorylation and further activation of caspase 8, which induces the activation of the pro-apoptotic proteins Bax, Bid, Bim and Back, resulting in increased protein kinase C δ (PKC δ) expression. Once activated, PKC δ stimulates caspases 3 and 9, culminating in cell death by necrosis or apoptosis.



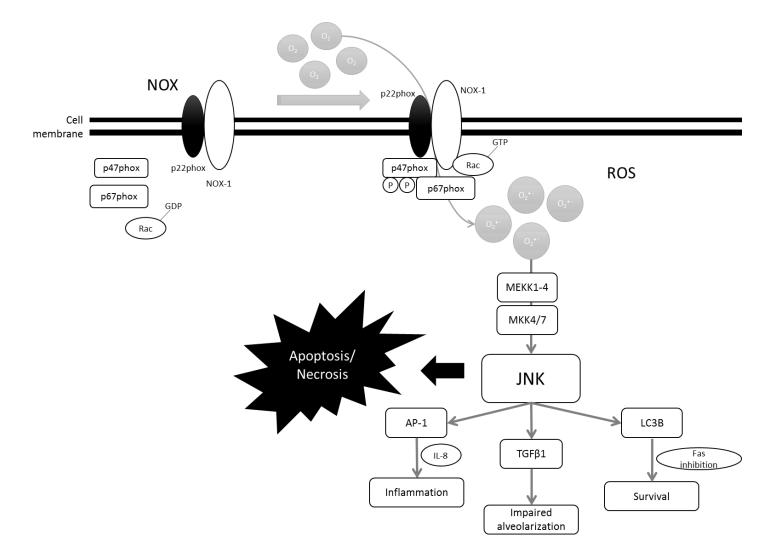


Figure 2

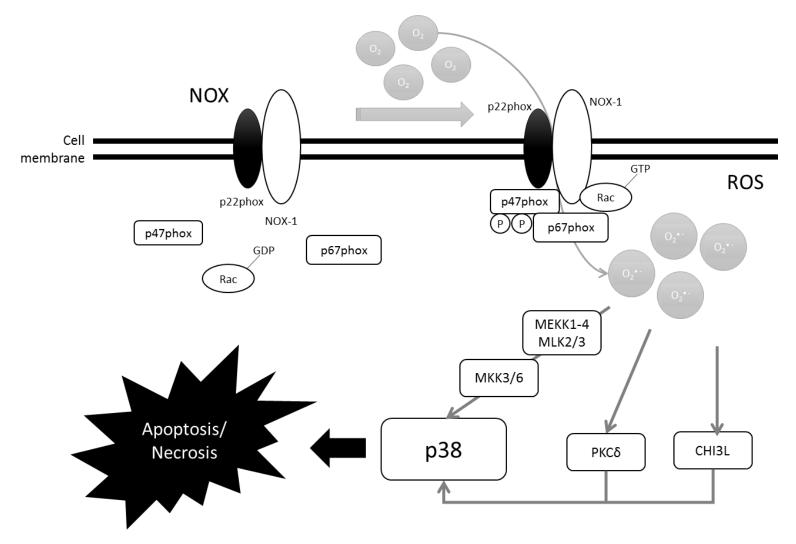
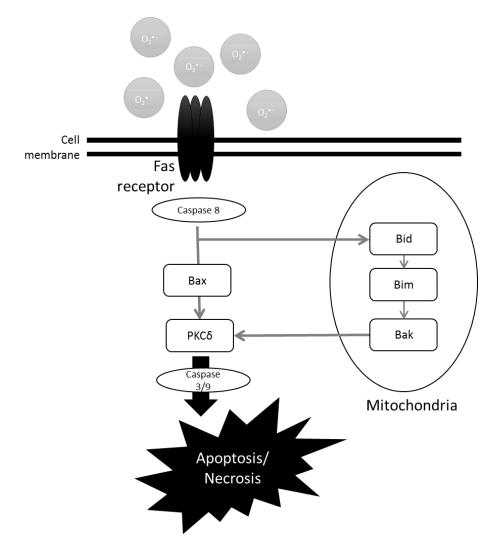


Figure 3





Agradecimentos

Ao Doutor Roberto Roncon-Albuquerque, orientador desta Tese de Mestrado, os meus agradecimentos pelo rigor científico, disponibilidade e incentivo constante que me transmitiu na supervisão deste trabalho.

Aos meus pais, pela confiança e compreensão, agradeço o apoio constante nas fases boas e menos boas do meu percurso académico e pessoal, os valores que me transmitiram e por terem tornado este momento possível.

Aos meus irmãos, Luís, Joana e Fernando, que sempre me fizeram sentir capaz, obrigada pela paciência e compreensão.

Ao Ricardo, pelo apoio e força transmitida, amor e carinho demostrados, por ser um ouvinte paciente de todas as minhas dúvidas, inquietações e desânimos, muito obrigada.

À Mafalda, pela sua incansável amizade e apoio a qualquer hora e momento, obrigada.

ANEXOS



RESPIRATORY MEDICINE

AUTHOR INFORMATION PACK

TABLE OF CONTENTS

- Description
- Impact Factor
- Abstracting and Indexing
- Editorial Board
- Guide for Authors



ISSN: 0954-6111

DESCRIPTION

Contact the Editorial Office respiratorymedicine@elsevier.com

Respiratory Medicine is an internationally-renowned journal devoted to the rapid publication of clinically-relevant **respiratory medicine** research. It combines cutting-edge original research with state-of-the-art reviews dealing with all aspects of **respiratory diseases** and **therapeutic interventions**. Topics include adult and paediatric medicine, epidemiology, immunology and cell biology, physiology, occupational disorders, and the role of **allergens** and **pollutants**.

p.1

p.1

p.1

p.2

p.3

Respiratory Medicine is increasingly the journal of choice for publication of phased trial work, commenting on effectiveness, dosage and methods of action.

To order this journal online, visit http://www.journals.elsevierhealth.com/periodicals/yrmed/pricing.

IMPACT FACTOR

2014: 3.086 © Thomson Reuters Journal Citation Reports 2015

ABSTRACTING AND INDEXING

AIDS Abstracts Current Contents/Life Sciences and Clinical Medicine MEDLINE® EMBASE Science Citation Index Excerpta Medica Current Awareness in Biological Sciences SIIC Data Bases Scopus

EDITORIAL BOARD

Chief Editor

J Virchow, Department of Pneumology, Medizinische Universitatsklinik, Ernst-Heydemann Str.6, 18055, Rostock, Germany

Deputy Editor

Nicola Hanania, Asthma Clinical Research Center, Section of Pulmonary, Critical Care and Sleep Medicine, , Baylor College of Medicine, 1504 Taub Loop, Houston, 77030, Texas, USA

Past Editor

Leif Bjermer, Department of Respiratory Medicine and Allergology, University Hospital Lund, Sweden

Associate Editors

D. Arenberg, University of Michigan, Ann Arbor, Michigan, USA

- P. Bakke, University of Bergen, Bergen, Norway
- L. Bjermer, Lund University, Lund, Sweden
- A. Catanzaro, University of California at San Diego (UCSD), San Diego, California, USA
- M. Cazzola, Università di Roma "Tor Vergata", Rome, Italy
- W. B. Davis, Medical College of Georgia, Augusta, Georgia, USA
- Z. Diamant, Lund University Hospital, Lund, Sweden

C. Donner, Mondo Medico, Borgomanero, Italy

M. Judson, Albany Medical College, Albany, New York, USA

S Lau, Charité - Universitätsmedizin Berlin, Berlin, Germany

B Lundback, University of Gothenburg, Gothenburg, Sweden

D Mapel, Lovelace Clinic Foundation, Albuquerque, New Mexico, USA

SP Peters, Wake Forest University, Winston-Salem, North Carolina, USA

T. Welte, Medizinische Hochschule Hannover (MH Hannover), Hannover, Germany

B Yawn, University of Minnesota at Rochester, Rochester, Minnesota, USA

Editorial Advisors

N. Ambrosino, Pisa, Italy J. Behr, Munich, Germany D.W. Cockroft, Saskatoon, Canada, Saskatchewan D. Culver, Cleveland, Ohio, USA E. Dagli, Istanbul, Turkey G.D. D'Amato, Napoli, Italy M. Gaga, SHEFFIELD, UK, Scotland M. Gappa, Hannover, Germany J. Lin, Beijing, China H. Matthys, Freiburg, Germany A. Nieto, Madrid, Spain C.P. Page, London, UK, England N.M. Siafakas, Heraklion, Greece D. Tashkin, Los Angeles, California, USA E. Tufvesson, Lund, Sweden N.S. Zhong, Guangzhou, China

Editorial Office

Susan Hurren

GUIDE FOR AUTHORS

Your Paper Your Way

We now differentiate between the requirements for new and revised submissions. You may choose to submit your manuscript as a single Word or PDF file to be used in the refereeing process. Only when your paper is at the revision stage, will you be requested to put your paper in to a 'correct format' for acceptance and provide the items required for the publication of your article.

To find out more, please visit the Preparation section below.

Respiratory Medicine is an internationally-renowned, clinically-oriented journal, combining cuttingedge original research with state-of-the-art reviews dealing with all aspects of respiratory diseases and therapeutic interventions, but with a clear clinical relevance. The journal is an established forum for the publication of phased clinical trial work at the forefront of interventive research. As well as fulllength original research papers, the journal publishes reviews, correspondence, and short reports. The Journal also publishes regular supplements on areas of special interest.

BEFORE YOU BEGIN

Ethics in publishing

For information on Ethics in publishing and Ethical guidelines for journal publication see https://www.elsevier.com/publishingethics and https://www.elsevier.com/journal-authors/ethics.

Conflict of interest

All authors must disclose any financial and personal relationships with other people or organizations that could inappropriately influence (bias) their work. Examples of potential conflicts of interest include employment, consultancies, stock ownership, honoraria, paid expert testimony, patent applications/registrations, and grants or other funding. If there are no conflicts of interest then please state this: 'Conflicts of interest: none'. See also https://www.elsevier.com/conflictsofinterest. Further information and an example of a Conflict of Interest form can be found at: http://service.elsevier.com/app/answers/detail/a_id/286/supporthub/publishing.

Submission declaration and verification

Submission of an article implies that the work described has not been published previously (except in the form of an abstract or as part of a published lecture or academic thesis or as an electronic preprint, see https://www.elsevier.com/sharingpolicy), that it is not under consideration for publication elsewhere, that its publication is approved by all authors and tacitly or explicitly by the responsible authorities where the work was carried out, and that, if accepted, it will not be published elsewhere in the same form, in English or in any other language, including electronically without the written consent of the copyright-holder. To verify originality, your article may be checked by the originality detection service CrossCheck https://www.elsevier.com/editors/plagdetect.

Authorship

All authors should have made substantial contributions to all of the following: (1) the conception and design of the study, or acquisition of data, or analysis and interpretation of data, (2) drafting the article or revising it critically for important intellectual content, (3) final approval of the version to be submitted.

Changes to authorship

Authors are expected to consider carefully the list and order of authors **before** submitting their manuscript and provide the definitive list of authors at the time of the original submission. Any addition, deletion or rearrangement of author names in the authorship list should be made only **before** the manuscript has been accepted and only if approved by the journal Editor. To request such a change, the Editor must receive the following from the **corresponding author**: (a) the reason for the change in author list and (b) written confirmation (e-mail, letter) from all authors that they agree with the addition, removal or rearrangement. In the case of addition or removal of authors, this includes confirmation from the author being added or removed.

Only in exceptional circumstances will the Editor consider the addition, deletion or rearrangement of authors **after** the manuscript has been accepted. While the Editor considers the request, publication of the manuscript will be suspended. If the manuscript has already been published in an online issue, any requests approved by the Editor will result in a corrigendum.

Clinical trial results

In line with the position of the International Committee of Medical Journal Editors, the journal will not consider results posted in the same clinical trials registry in which primary registration resides to be prior publication if the results posted are presented in the form of a brief structured (less than 500 words) abstract or table. However, divulging results in other circumstances (e.g., investors' meetings) is discouraged and may jeopardise consideration of the manuscript. Authors should fully disclose all posting in registries of results of the same or closely related work.

When submitting a Clinical Trial paper to the journal via the online submission system please select Clinical Trial Paper as an article type.

Reporting clinical trials

Randomized controlled trials should be presented according to the CONSORT guidelines. At manuscript submission, authors must provide the CONSORT checklist accompanied by a flow diagram that illustrates the progress of patients through the trial, including recruitment, enrollment, randomization, withdrawal and completion, and a detailed description of the randomization procedure. The CONSORT checklist and template flow diagram can be found on http://www.consort-statement.org.

Registration of clinical trials

Registration in a public trials registry is a condition for publication of clinical trials in this journal in accordance with International Committee of Medical Journal Editors (ICMJE, http://www.icmje.org) recommendations. Trials must register at or before the onset of patient enrolment. The clinical trial registration number should be included at the end of the abstract of the article. A clinical trial is defined as any research study that prospectively assigns human participants or groups of humans to one or more health-related interventions to evaluate the effects of health outcomes. Health-related intervention used to modify a biomedical or health-related outcome (for example drugs, surgical procedures, devices, behavioural treatments, dietary interventions, and process-of-care changes). Health outcomes include any biomedical or health-related measures obtained in patients or participants, including pharmacokinetic measures and adverse events. Purely observational studies (those in which the assignment of the medical intervention is not at the discretion of the investigator) will not require registration.

Article transfer service

This journal is part of our Article Transfer Service. This means that if the Editor feels your article is more suitable in one of our other participating journals, then you may be asked to consider transferring the article to one of those. If you agree, your article will be transferred automatically on your behalf with no need to reformat. Please note that your article will be reviewed again by the new journal. More information about this can be found here: https://www.elsevier.com/authors/article-transfer-service.

Copyright

Upon acceptance of an article, authors will be asked to complete a 'Journal Publishing Agreement' (for more information on this and copyright, see https://www.elsevier.com/copyright). An e-mail will be sent to the corresponding author confirming receipt of the manuscript together with a 'Journal Publishing Agreement' form or a link to the online version of this agreement.

Subscribers may reproduce tables of contents or prepare lists of articles including abstracts for internal circulation within their institutions. Permission of the Publisher is required for resale or distribution outside the institution and for all other derivative works, including compilations and translations (please consult https://www.elsevier.com/permissions). If excerpts from other copyrighted works are included, the author(s) must obtain written permission from the copyright owners and credit the source(s) in the article. Elsevier has preprinted forms for use by authors in these cases: please consult https://www.elsevier.com/permissions.

For open access articles: Upon acceptance of an article, authors will be asked to complete an 'Exclusive License Agreement' (for more information see https://www.elsevier.com/OAauthoragreement). Permitted third party reuse of open access articles is determined by the author's choice of user license (see https://www.elsevier.com/OAauthoragreement).

Author rights

As an author you (or your employer or institution) have certain rights to reuse your work. For more information see https://www.elsevier.com/copyright.

Role of the funding source

You are requested to identify who provided financial support for the conduct of the research and/or preparation of the article and to briefly describe the role of the sponsor(s), if any, in study design; in the collection, analysis and interpretation of data; in the writing of the report; and in the decision to submit the article for publication. If the funding source(s) had no such involvement then this should be stated.

Funding body agreements and policies

Elsevier has established a number of agreements with funding bodies which allow authors to comply with their funder's open access policies. Some authors may also be reimbursed for associated publication fees. To learn more about existing agreements please visit https://www.elsevier.com/fundingbodies.

After acceptance, open access papers will be published under a noncommercial license. For authors requiring a commercial CC BY license, you can apply after your manuscript is accepted for publication.

Open access

This journal offers authors a choice in publishing their research:

Open access

• Articles are freely available to both subscribers and the wider public with permitted reuse

• An open access publication fee is payable by authors or on their behalf e.g. by their research funder or institution

Subscription

• Articles are made available to subscribers as well as developing countries and patient groups through our universal access programs (https://www.elsevier.com/access).

• No open access publication fee payable by authors.

Regardless of how you choose to publish your article, the journal will apply the same peer review criteria and acceptance standards.

For open access articles, permitted third party (re)use is defined by the following Creative Commons user licenses:

Creative Commons Attribution-NonCommercial-NoDerivs (CC BY-NC-ND)

For non-commercial purposes, lets others distribute and copy the article, and to include in a collective work (such as an anthology), as long as they credit the author(s) and provided they do not alter or modify the article.

The open access publication fee for this journal is **USD 3300**, excluding taxes. Learn more about Elsevier's pricing policy: https://www.elsevier.com/openaccesspricing.

Green open access

Authors can share their research in a variety of different ways and Elsevier has a number of green open access options available. We recommend authors see our green open access page for further information (http://elsevier.com/greenopenaccess). Authors can also self-archive their manuscripts immediately and enable public access from their institution's repository after an embargo period. This is the version that has been accepted for publication and which typically includes author-incorporated changes suggested during submission, peer review and in editor-author communications. Embargo period: For subscription articles, an appropriate amount of time is needed for journals to deliver value to subscribing customers before an article becomes freely available to the public. This is the embargo period and it begins from the date the article is formally published online in its final and fully citable form.

This journal has an embargo period of 12 months.

Language (usage and editing services)

Please write your text in good English (American or British usage is accepted, but not a mixture of these). Authors who feel their English language manuscript may require editing to eliminate possible grammatical or spelling errors and to conform to correct scientific English may wish to use the English Language Editing service available from Elsevier's WebShop (http://webshop.elsevier.com/languageediting/) or visit our customer support site (http://support.elsevier.com) for more information.

Submission

Our online submission system guides you stepwise through the process of entering your article details and uploading your files. The system converts your article files to a single PDF file used in the peer-review process. Editable files (e.g., Word, LaTeX) are required to typeset your article for final publication. All correspondence, including notification of the Editor's decision and requests for revision, is sent by e-mail.

Submit your article

Please submit your article via http://ees.elsevier.com/yrmed.

Submissions are allocated to a handling editor, typically an Associate Editor. Should the paper be considered suitable for peer review, appropriate reviewers will be recruited. Authors are required to provide the name and full contact details of 2 potential reviewers, though choice of reviewers is at the discretion of the handling editor.

The final decision-making responsibility lies with the handling editor, who reserves the right to reject the paper despite favourable reviews depending on the priorities of the journal.

Reviews

The journal welcomes submission of state-of-the-art reviews on important topics with a clinical relevance. Potential review authors are encouraged to contact the Deputy Editor Dr N. Hanania hanania@bcm.tmc.edu in advance with their review proposals.

Case Reports

Case reports will no longer be considered for publication in Respiratory Medicine, but instead should be directed to the sister publication Respiratory Medicine Case Reports. Please note that this is a separate publication. Case reports should be submitted for consideration by Respiratory Medicine Case Reports via http://ees.elsevier.com/rmcr/. Respiratory Medicine Case Reports is an open access journal and all authors will be required to pay a £250 processing fee to cover the costs of publishing the article, which authors will be required to pay once an article has passed peer review.

<Brief Communications

These should be submitted as detailed above but should not exceed 1000 words, and may normally contain only one illustration or table. Brief communications containing new information may be selected for rapid peer review and publication at the discretion of the editor and editorial board.

PREPARATION

NEW SUBMISSIONS

Submission to this journal proceeds totally online and you will be guided stepwise through the creation and uploading of your files. The system automatically converts your files to a single PDF file, which is used in the peer-review process.

As part of the Your Paper Your Way service, you may choose to submit your manuscript as a single file to be used in the refereeing process. This can be a PDF file or a Word document, in any format or layout that can be used by referees to evaluate your manuscript. It should contain high enough quality figures for refereeing. If you prefer to do so, you may still provide all or some of the source files at the initial submission. Please note that individual figure files larger than 10 MB must be uploaded separately.

References

There are no strict requirements on reference formatting at submission. References can be in any style or format as long as the style is consistent. Where applicable, author(s) name(s), journal title/book title, chapter title/article title, year of publication, volume number/book chapter and the pagination must be present. Use of DOI is highly encouraged. The reference style used by the journal will be applied to the accepted article by Elsevier at the proof stage. Note that missing data will be highlighted at proof stage for the author to correct.

Formatting requirements

There are no strict formatting requirements but all manuscripts must contain the essential elements needed to convey your manuscript, for example Abstract, Keywords, Introduction, Materials and Methods, Results, Conclusions, Artwork and Tables with Captions.

If your article includes any Videos and/or other Supplementary material, this should be included in your initial submission for peer review purposes.

Divide the article into clearly defined sections.

Figures and tables embedded in text

Please ensure the figures and the tables included in the single file are placed next to the relevant text in the manuscript, rather than at the bottom or the top of the file.

REVISED SUBMISSIONS

Use of word processing software

Regardless of the file format of the original submission, at revision you must provide us with an editable file of the entire article. Keep the layout of the text as simple as possible. Most formatting codes will be removed and replaced on processing the article. The electronic text should be prepared in a way very similar to that of conventional manuscripts (see also the Guide to Publishing with Elsevier: https://www.elsevier.com/guidepublication). See also the section on Electronic artwork.

To avoid unnecessary errors you are strongly advised to use the 'spell-check' and 'grammar-check' functions of your word processor.

Article structure

Subdivision - unnumbered sections

Divide your article into clearly defined sections. Each subsection is given a brief heading. Each heading should appear on its own separate line. Subsections should be used as much as possible when cross-referencing text: refer to the subsection by heading as opposed to simply 'the text'.

Introduction

State the objectives of the work and provide an adequate background, avoiding a detailed literature survey or a summary of the results.

Material and methods

Provide sufficient detail to allow the work to be reproduced. Methods already published should be indicated by a reference: only relevant modifications should be described.

Results

Results should be clear and concise.

Discussion

This should explore the significance of the results of the work, not repeat them. A combined Results and Discussion section is often appropriate. Avoid extensive citations and discussion of published literature.

Conclusions

The main conclusions of the study may be presented in a short Conclusions section, which may stand alone or form a subsection of a Discussion or Results and Discussion section.

Appendices

If there is more than one appendix, they should be identified as A, B, etc. Formulae and equations in appendices should be given separate numbering: Eq. (A.1), Eq. (A.2), etc.; in a subsequent appendix, Eq. (B.1) and so on. Similarly for tables and figures: Table A.1; Fig. A.1, etc.

Essential title page information

• *Title.* Concise and informative. Titles are often used in information-retrieval systems. Avoid abbreviations and formulae where possible.

• **Author names and affiliations.** Please clearly indicate the given name(s) and family name(s) of each author and check that all names are accurately spelled. Present the authors' affiliation addresses (where the actual work was done) below the names. Indicate all affiliations with a lower-case superscript letter immediately after the author's name and in front of the appropriate address. Provide the full postal address of each affiliation, including the country name and, if available, the e-mail address of each author.

• **Corresponding author.** Clearly indicate who will handle correspondence at all stages of refereeing and publication, also post-publication. **Ensure that the e-mail address is given and that contact details are kept up to date by the corresponding author.**

• **Present/permanent address.** If an author has moved since the work described in the article was done, or was visiting at the time, a 'Present address' (or 'Permanent address') may be indicated as a footnote to that author's name. The address at which the author actually did the work must be retained as the main, affiliation address. Superscript Arabic numerals are used for such footnotes.

Structured abstract

A structured abstract, by means of appropriate headings, should provide the context or background for the research and should state its purpose, basic procedures (selection of study subjects or laboratory animals, observational and analytical methods), main findings (giving specific effect sizes and their statistical significance, if possible), and principal conclusions. It should emphasize new and important aspects of the study or observations.

A list of three to six keywords should be supplied: full instructions are provided when submitting the article online.

Abbreviations

Define abbreviations that are not standard in this field in a footnote to be placed on the first page of the article. Such abbreviations that are unavoidable in the abstract must be defined at their first mention there, as well as in the footnote. Ensure consistency of abbreviations throughout the article.

Acknowledgements

Collate acknowledgements in a separate section at the end of the article before the references and do not, therefore, include them on the title page, as a footnote to the title or otherwise. List here those individuals who provided help during the research (e.g., providing language help, writing assistance or proof reading the article, etc.).

Units

Follow internationally accepted rules and conventions: use the international system of units (SI). If other units are mentioned, please give their equivalent in SI.

Math formulae

Please submit math equations as editable text and not as images. Present simple formulae in line with normal text where possible and use the solidus (/) instead of a horizontal line for small fractional terms, e.g., X/Y. In principle, variables are to be presented in italics. Powers of e are often more conveniently denoted by exp. Number consecutively any equations that have to be displayed separately from the text (if referred to explicitly in the text).

Footnotes

Footnotes should be used sparingly. Number them consecutively throughout the article. Many word processors build footnotes into the text, and this feature may be used. Should this not be the case, indicate the position of footnotes in the text and present the footnotes themselves separately at the end of the article.

Artwork

Electronic artwork

General points

- Make sure you use uniform lettering and sizing of your original artwork.
- Preferred fonts: Arial (or Helvetica), Times New Roman (or Times), Symbol, Courier.
- Number the illustrations according to their sequence in the text.
- Use a logical naming convention for your artwork files.
- Indicate per figure if it is a single, 1.5 or 2-column fitting image.

• For Word submissions only, you may still provide figures and their captions, and tables within a single file at the revision stage.

• Please note that individual figure files larger than 10 MB must be provided in separate source files. A detailed guide on electronic artwork is available on our website:

https://www.elsevier.com/artworkinstructions.

You are urged to visit this site; some excerpts from the detailed information are given here. Formats

Regardless of the application used, when your electronic artwork is finalized, please 'save as' or convert the images to one of the following formats (note the resolution requirements for line drawings, halftones, and line/halftone combinations given below):

EPS (or PDF): Vector drawings. Embed the font or save the text as 'graphics'.

TIFF (or JPG): Color or grayscale photographs (halftones): always use a minimum of 300 dpi.

TIFF (or JPG): Bitmapped line drawings: use a minimum of 1000 dpi.

TIFF (or JPG): Combinations bitmapped line/half-tone (color or grayscale): a minimum of 500 dpi is required.

Please do not:

• Supply files that are optimized for screen use (e.g., GIF, BMP, PICT, WPG); the resolution is too low.

- Supply files that are too low in resolution.
- Submit graphics that are disproportionately large for the content.

Color artwork

Please make sure that artwork files are in an acceptable format (TIFF (or JPEG), EPS (or PDF), or MS Office files) and with the correct resolution. If, together with your accepted article, you submit usable color figures then Elsevier will ensure, at no additional charge, that these figures will appear in color online (e.g., ScienceDirect and other sites) regardless of whether or not these illustrations are reproduced in color in the printed version. For color reproduction in print, you will receive information regarding the costs from Elsevier after receipt of your accepted article. Please indicate your preference for color: in print or online only. For further information on the preparation of electronic artwork, please see https://www.elsevier.com/artworkinstructions.

Illustration services

Elsevier's WebShop (http://webshop.elsevier.com/illustrationservices) offers Illustration Services to authors preparing to submit a manuscript but concerned about the quality of the images accompanying their article. Elsevier's expert illustrators can produce scientific, technical and medical-style images, as well as a full range of charts, tables and graphs. Image 'polishing' is also available, where our illustrators take your image(s) and improve them to a professional standard. Please visit the website to find out more.

Figure captions

Ensure that each illustration has a caption. A caption should comprise a brief title (**not** on the figure itself) and a description of the illustration. Keep text in the illustrations themselves to a minimum but explain all symbols and abbreviations used.

Tables

Please submit tables as editable text and not as images. Tables can be placed either next to the relevant text in the article, or on separate page(s) at the end. Number tables consecutively in accordance with their appearance in the text and place any table notes below the table body. Be sparing in the use of tables and ensure that the data presented in them do not duplicate results described elsewhere in the article. Please avoid using vertical rules.

References

Citation in text

Please ensure that every reference cited in the text is also present in the reference list (and vice versa). Any references cited in the abstract must be given in full. Unpublished results and personal communications are not recommended in the reference list, but may be mentioned in the text. If these references are included in the reference list they should follow the standard reference style of the journal and should include a substitution of the publication date with either 'Unpublished results' or 'Personal communication'. Citation of a reference as 'in press' implies that the item has been accepted for publication.

Reference links

Increased discoverability of research and high quality peer review are ensured by online links to the sources cited. In order to allow us to create links to abstracting and indexing services, such as Scopus, CrossRef and PubMed, please ensure that data provided in the references are correct. Please note that incorrect surnames, journal/book titles, publication year and pagination may prevent link creation. When copying references, please be careful as they may already contain errors. Use of the DOI is encouraged.

Web references

As a minimum, the full URL should be given and the date when the reference was last accessed. Any further information, if known (DOI, author names, dates, reference to a source publication, etc.), should also be given. Web references can be listed separately (e.g., after the reference list) under a different heading if desired, or can be included in the reference list.

Reference management software

Most Elsevier journals have their reference template available in many of the most popular reference management software products. These include all products that support Citation Style Language styles (http://citationstyles.org), such as Mendeley (http://www.mendeley.com/features/reference-manager) and Zotero (https://www.zotero.org/), as well as EndNote (http://endnote.com/downloads/styles). Using the word processor plug-ins from these products, authors only need to select the appropriate journal template when preparing their

article, after which citations and bibliographies will be automatically formatted in the journal's style. If no template is yet available for this journal, please follow the format of the sample references and citations as shown in this Guide.

Users of Mendeley Desktop can easily install the reference style for this journal by clicking the following link:

http://open.mendeley.com/use-citation-style/respiratory-medicine

When preparing your manuscript, you will then be able to select this style using the Mendeley plugins for Microsoft Word or LibreOffice.

Reference formatting

There are no strict requirements on reference formatting at submission. References can be in any style or format as long as the style is consistent. Where applicable, author(s) name(s), journal title/book title, chapter title/article title, year of publication, volume number/book chapter and the pagination must be present. Use of DOI is highly encouraged. The reference style used by the journal will be applied to the accepted article by Elsevier at the proof stage. Note that missing data will be highlighted at proof stage for the author to correct. If you do wish to format the references yourself they should be arranged according to the following examples:

Reference style

Text: Indicate references by number(s) in square brackets in line with the text. The actual authors can be referred to, but the reference number(s) must always be given.

Example: '.... as demonstrated [3,6]. Barnaby and Jones [8] obtained a different result'

List: Number the references (numbers in square brackets) in the list in the order in which they appear in the text.

Examples:

Reference to a journal publication:

[1] J. van der Geer, J.A.J. Hanraads, R.A. Lupton, The art of writing a scientific article, J. Sci. Commun. 163 (2010) 51–59.

Reference to a book:

[2] W. Strunk Jr., E.B. White, The Elements of Style, fourth ed., Longman, New York, 2000. Reference to a chapter in an edited book:

[3] G.R. Mettam, L.B. Adams, How to prepare an electronic version of your article, in: B.S. Jones, R.Z. Smith (Eds.), Introduction to the Electronic Age, E-Publishing Inc., New York, 2009, pp. 281–304. Reference to a website:

[4] Cancer Research UK, Cancer statistics reports for the UK. http://www.cancerresearchuk.org/ aboutcancer/statistics/cancerstatsreport/, 2003 (accessed 13.03.03).

Journal abbreviations source

Journal names should be abbreviated according to the List of Title Word Abbreviations: http://www.issn.org/services/online-services/access-to-the-ltwa/.

Video data

Elsevier accepts video material and animation sequences to support and enhance your scientific research. Authors who have video or animation files that they wish to submit with their article are strongly encouraged to include links to these within the body of the article. This can be done in the same way as a figure or table by referring to the video or animation content and noting in the body text where it should be placed. All submitted files should be properly labeled so that they directly relate to the video file's content. In order to ensure that your video or animation material is directly usable, please provide the files in one of our recommended file formats with a preferred maximum size of 150 MB. Video and animation files supplied will be published online in the electronic version of your article in Elsevier Web products, including ScienceDirect: http://www.sciencedirect.com. Please supply 'stills' with your files: you can choose any frame from the video or animation or make a separate image. These will be used instead of standard icons and will personalize the link to your video data. For more detailed instructions please visit our video instruction pages at https://www.elsevier.com/artworkinstructions. Note: since video and animation cannot be embedded in the print version of the journal, please provide text for both the electronic and the print version for the portions of the article that refer to this content.

AudioSlides

The journal encourages authors to create an AudioSlides presentation with their published article. AudioSlides are brief, webinar-style presentations that are shown next to the online article on ScienceDirect. This gives authors the opportunity to summarize their research in their own words and

to help readers understand what the paper is about. More information and examples are available at https://www.elsevier.com/audioslides. Authors of this journal will automatically receive an invitation e-mail to create an AudioSlides presentation after acceptance of their paper.

Supplementary material

Supplementary material can support and enhance your scientific research. Supplementary files offer the author additional possibilities to publish supporting applications, high-resolution images, background datasets, sound clips and more. Please note that such items are published online exactly as they are submitted; there is no typesetting involved (supplementary data supplied as an Excel file or as a PowerPoint slide will appear as such online). Please submit the material together with the article and supply a concise and descriptive caption for each file. If you wish to make any changes to supplementary data during any stage of the process, then please make sure to provide an updated file, and do not annotate any corrections on a previous version. Please also make sure to switch off the 'Track Changes' option in any Microsoft Office files as these will appear in the published supplementary file(s). For more detailed instructions please visit our artwork instruction pages at https://www.elsevier.com/artworkinstructions.

Submission checklist

The following list will be useful during the final checking of an article prior to sending it to the journal for review. Please consult this Guide for Authors for further details of any item.

Ensure that the following items are present:

One author has been designated as the corresponding author with contact details:

- E-mail address
- Full postal address

All necessary files have been uploaded, and contain:

- Keywords
- All figure captions
- All tables (including title, description, footnotes)

Further considerations

- Manuscript has been 'spell-checked' and 'grammar-checked'
- All references mentioned in the Reference list are cited in the text, and vice versa

• Permission has been obtained for use of copyrighted material from other sources (including the Internet)

Printed version of figures (if applicable) in color or black-and-white

• Indicate clearly whether or not color or black-and-white in print is required.

For any further information please visit our customer support site at http://support.elsevier.com.

AFTER ACCEPTANCE

Use of the Digital Object Identifier

The Digital Object Identifier (DOI) may be used to cite and link to electronic documents. The DOI consists of a unique alpha-numeric character string which is assigned to a document by the publisher upon the initial electronic publication. The assigned DOI never changes. Therefore, it is an ideal medium for citing a document, particularly 'Articles in press' because they have not yet received their full bibliographic information. Example of a correctly given DOI (in URL format; here an article in the journal *Physics Letters B*):

http://dx.doi.org/10.1016/j.physletb.2010.09.059

When you use a DOI to create links to documents on the web, the DOIs are guaranteed never to change.

Online proof correction

Corresponding authors will receive an e-mail with a link to our online proofing system, allowing annotation and correction of proofs online. The environment is similar to MS Word: in addition to editing text, you can also comment on figures/tables and answer questions from the Copy Editor. Web-based proofing provides a faster and less error-prone process by allowing you to directly type your corrections, eliminating the potential introduction of errors.

If preferred, you can still choose to annotate and upload your edits on the PDF version. All instructions for proofing will be given in the e-mail we send to authors, including alternative methods to the online version and PDF.

We will do everything possible to get your article published quickly and accurately. Please use this proof only for checking the typesetting, editing, completeness and correctness of the text, tables and figures. Significant changes to the article as accepted for publication will only be considered at this

stage with permission from the Editor. It is important to ensure that all corrections are sent back to us in one communication. Please check carefully before replying, as inclusion of any subsequent corrections cannot be guaranteed. Proofreading is solely your responsibility.

Offprints

The corresponding author, at no cost, will be provided with a personalized link providing 50 days free access to the final published version of the article on ScienceDirect. This link can also be used for sharing via email and social networks. For an extra charge, paper offprints can be ordered via the offprint order form which is sent once the article is accepted for publication. Both corresponding and co-authors may order offprints at any time via Elsevier's WebShop (http://webshop.elsevier.com/myarticleservices/offprints). Authors requiring printed copies of multiple articles may use Elsevier WebShop's 'Create Your Own Book' service to collate multiple articles within a single cover (http://webshop.elsevier.com/myarticleservices/booklets).

AUTHOR INQUIRIES

You can track your submitted article at https://www.elsevier.com/track-submission. You can track your accepted article at https://www.elsevier.com/trackarticle. You are also welcome to contact Customer Support via http://support.elsevier.com.

© Copyright 2014 Elsevier | http://www.elsevier.com