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**Complexity of the genomic landscape of renal cell carcinoma: Implications for targeted therapy and precision immuno-oncology**

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**Key Points/Highlights:**

1. Genetic heterogeneity within renal cell carcinoma is of growing interest due to its potential effects on therapeutic options and drug efficacy.
2. While several hallmark truncal molecular aberrations are well known, recent studies have identified spatially heterogeneous, subclonal mutations which may contribute to tumor progression and the relatively poor efficacy of current treatment options.
3. The importance of multifocal tumor sampling to appropriately assess the extent of transcriptomic and genomic profile is significant, as single biopsy sampling is likely insufficient.
4. While pathways associated with traditional truncal molecular aberrations (e.g. VHL) remain fundamental targets for treatment in renal cell carcinoma, a growing understanding of subclonal mutations and intratumoral heterogeneity may offer new options for treating clinicians and their patients.

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### Abstract

The topic of tumoral heterogeneity at the genetic level has become relevant in various solid origin tumors, particularly in an age of targeted treatment. Renal cell carcinoma is known for a sizable subset of tumors presenting at advanced clinical stage, further highlighting the importance and timeliness of this topic and its potential impact on adjuvant therapy. Recent studies have shown that molecular aberrations in renal cell carcinoma go beyond known truncal mutations and that downstream, subclonal aberrations are spatially heterogeneous. Intratumoral heterogeneity as well as the differences in the molecular landscape between primary and metastatic lesions remains underappreciated, often due to inadequate sampling of tumors. The overall effect of these factors on the efficacy of current treatment options in renal cell carcinoma remains unknown; however, several recent studies have attempted to elucidate the extent and impact genetic heterogeneity in renal cell neoplasia may have on patient treatment and prognosis.

## 1. Introduction

The topic of tumoral heterogeneity is not unique to renal cell carcinoma (RCC). Various studies have evaluated intratumoral heterogeneity in malignancies involving the pancreas, breast, lung, brain, and gynecologic tract by different molecular modalities including chromosomal mutational and copy number analyses[1-5]. RCC continues to be associated with a relatively poor prognosis given that 20 to 30% of cases present with metastatic disease and subsequent poor five-year survival (5 to 10%)[6]. In the setting of adjuvant therapy in RCC, an overwhelming majority of agents show modest to poor results in their effects limiting disease progression and improving overall survival[7-9]. Acquisition of intratumoral heterogeneity as a tumor evolves has been postulated as a contributing factor[10].

## 2. Morphologic and Molecular Intratumoral Heterogeneity

Even prior to analysis at the molecular level, morphologic heterogeneity within RCCs has been extensively studied[11]. While it may have been reasonable to presume differentiation of tumors by routine light microscopy should correlate with molecular heterogeneity, this may not be the case. For example, in studies comparing conventional carcinoma morphologies with sarcomatoid differentiation, preservation of truncal mutations as well as concordant allelic loss and X-chromosome inactivation have been shown despite obvious morphologic differences[12]. Conversely, a study by Ito et al. identified more complex genetic profiles and increased chromosomal aberrations in sarcomatoid RCC when compared to conventional clear cell, papillary, or chromophobe RCCs[13]. Various methods have been used to assess for intratumoral variability at the molecular level, including assessment of copy number aberrations[14]. While a majority of studies investigating tumoral heterogeneity have focused on clear cell RCC, studies

evaluating papillary and chromophobe RCCs have also been performed. In a study by Brunelli et al, chromophobe RCC with sarcomatoid features demonstrated unique and increased chromosomal changes that differed from those identified in conventional chromophobe RCC. Interestingly, the same study found that primary and metastatic lesions shared the same genetic pattern[15,16].

### **3. Truncal versus Subclonal Molecular Aberrations in Intratumoral Heterogeneity**

Tumor progression following truncal-type events (e.g. chromosome 3p loss in clear cell RCC) is comprised of the acquisition of subclones[14]. von Hippel-Lindau (VHL) serves as tumor suppressor gene (predominantly through the hypoxia-inducible pathway[HIF]) is located within chromosome 3p[17]. Other tumor suppressor genes, such as SETD2, PBRM1, and BAP1 are notably located on the short arm of chromosome 3p (all within a 50-Mb region)[18-20]. Mutations in the mammalian target of rapamycin (mTOR) pathway have been reported to occur downstream from VHL mutations/3p loss[21,22]. It is also postulated that these mTOR alterations have some effect on the HIF pathway as well[23-25]. VHL function serves an important role in cellular proliferation, angiogenesis, and preservation of epithelial differentiation[17]. In PRCC, besides polysomies (chromosome 7/17), mutations have been identified including MET (on chromosome 7), NF2 (in the Hippo signaling pathway), and PNKD (paroxysmal nonkinesigenic dyskinesia)[26]. While PRCC types 1 and 2 have been shown to be genetically and clinically distinct, a substantial majority show chromosomal gains of 7 and 17[27,28]. Chromophobe renal cell carcinoma (chRCC) is most notably associated with loss of chromosomes 1, 2, 6, 10, 13, 17, and 21[29]. Lesser known chRCC mutations, including Tp53, PTEN, and FAAH2 have also been identified[13,15,29-31].

In the distinction between linear progression of tumor evolution and branched and/or braided evolution patterns, the acquisition of mTOR pathway mutations downstream from truncal mutations likely explains the success with tyrosine kinase-targeting **therapeutic** agents[32] (**Figure 1**). The persistence of preserved truncal mutations (e.g. VHL, trisomy 7/17, etc.) despite the intratumoral heterogeneity among subclonal mutations has supported the hypothesis of a “braided progression” of molecular heterogeneity. In this hypothesis, the truncal mutation serves as a possible source for all subsequent mutations, which can be unique, rather than the previously thought linear or parallel-type progression of tumor molecular aberrations[33]. The “braided” model also hypothesizes that spatially heterogenous mutations may happen at different points in time but the overall genomic profile inevitably becomes similar[34].

One key challenge when assessing the role of intratumoral heterogeneity is the balance between acquired heterogeneity, typically through a subclonal population, while also assessing for the preservation of truncal events in all areas of the tumor[35]. Intratumoral heterogeneity likely explains how despite “classic” truncal mutations being seen in various RCC subtypes, individual tumors may behave very differently in spite of these common molecular aberrations[10]. While Gerlinger et al. found previously reported VHL mutations as well as chromosome 3p deletions in all clear cell RCCs in their study, mutations in pathways such as mTOR, BAP1, PTEN, p53, and SETD2 were identified solely in subclonal populations and were spatially heterogenous[36]. Despite acquired subclonal populations in varying tumor regions, Kouba et al. have demonstrated the concordance of truncal mutational status in primary and metastatic sites, even in the setting of sarcomatoid differentiation[6]. It remains unclear if the preservation of truncal mutations in residual disease or metastatic sites serves as an indication for

targeted treatment or if this aggressive behavior is unrelated to the tumor still bearing these truncal mutations[36,37]. Additionally, it raises further questions whether assessing the molecular landscape of the primary tumor serves any benefit in the setting of metastatic disease. Since the development of metastases is associated with a poor prognosis, some have postulated whether molecular analysis for treatment options should focus entirely on the genetic landscape of the metastatic disease[36].

The spatial separation of subclones and particularly apparent isolation of various subclonal driver mutations supports the argument that RCCs can undergo unique evolutionary pathways simultaneously, despite arising from the same truncal mutation[36] (**Figure 2**). Gulati et al. have demonstrated significant intratumoral heterogeneity, particularly in subclones harboring distinct somatic copy number aberrations and driver mutations, within different tumor foci as well as with matched metastatic sites[38]. The apparent ability of tumors to develop diverse and spatially unique subclonal changes could explain how RCCs adapt to external pressures, such as immune responses, hypoxia, or even therapeutic intervention[39].

#### **4. Tumor Sampling**

Numerous studies have identified that single biopsy assessment for molecular aberrations is insufficient, particularly in assessment for use of certain targeted therapeutic agents as well as assessment for known aberrations with prognostic significance [6,10,12,14,36,37]. Furthermore, the importance of multifocal sampling to appropriately assess the extent of transcriptomic and overall genomic profile of tumors cannot be understated, as single biopsy sampling is insufficient[38] (**Figure 3A**). Gerlinger et al. notably applied exome sequencing, analysis for chromosome aberrations, and DNA ploidy profiling to a cohort of RCC primary and metastatic

lesions to assess the genetic landscape of these tumors[10] (**Figure 3B**). Interestingly, the authors embarked on a multifocal approach, to not only compare tumors between patients, but to in fact, compare different regions of the same tumor. This multifocal approach using various molecular analysis modalities translated to a seminal paper in assessing tumor heterogeneity in RCC[10]. While focal sampling (e.g. single biopsy) is suboptimal in assessment of tumor heterogeneity, even multifocal exome-sequencing may be suboptimal as differences in noncoding regions may be missed[40]. Early findings in many of these studies are promising, but the molecular landscape in RCC appears to be vastly underappreciated even with multifocal sampling.

Advances in sampling have entertained the possibility of non-invasive assessment of tumoral genetic landscape via circulating tumor DNA (ctDNA)[41,42] . Previously, ctDNA assessment by simple peripheral blood sampling has shown promise in assessing molecular aberrations in other solid tumors organ and hematopoietic malignancies. Pal et. al have recently published data on ctDNA findings prior to and then following treatment with systemic therapeutic agents in the setting of metastatic RCC[43]. While the data is limited, it does offer a potentially novel alternative to conventional core biopsy/needle aspiration sampling, which has proved to be suboptimal in many cases.

## **5. Treatment Implications due to Intra- and Intertumoral Molecular Heterogeneity**

While variant histology within RCC may be derived from the same cell of origin, acquisition of unique genetic aberrations within subclonal cell populations may still harbor obstacles to therapeutic treatment despite preservation of truncal mutations[12]. Adjuvant therapy in RCC has typically been reserved for metastatic disease; however, identification of



molecular aberrations that may predict metastatic potential could have significant influence on treatment plans[9,35]. Tyrosine kinase inhibitors that target the VEGF pathways include sunitinib, sorafenib, pazopanib, axitinib, levatinib, and cabozantinib and remain first line therapy in metastatic RCC[8,34,44-49]. Bevacizumab (Avastin) is a pure anti-VEGF therapy which is different from tyrosine kinase inhibitors that indirectly target the VEGF pathway and related proteins[50,51]. Currently, mTOR inhibitors, including everolimus and temsirolimus, are second line therapy; however, have been used as 1st line therapy in poor risk patients[34]. **With the advent of new immunotherapeutic options and the persistence of anti-angiogenic agents as first choice, mTOR inhibitors have trended towards becoming third line therapy[52].** Some evidence has been shown to suggest **therapeutic** agents used to treat CCRCC, including sunitinib, sorafenib, and mTOR inhibitors such as everolimus and temsirolimus, could also show efficacy in other RCC subtypes including PRCC and chRCC. Of note, PRCC Type 2 has shown enrichment of CCRCC-associated pathways including, mTOR, VEGF, and HIF-alpha[28]. In patients who initially showed response with mTOR inhibitors (e.g. everolimus and temsirolimus), retrospective tumor analysis highlighted that mutations in the mTOR pathway were spatially heterogenous, which supported the need for multifocal sampling of tumors[35].

Foretinib, which is a mutikinase agent targeting MET, AXL, and other receptors have been used in patients with PRCC in lieu of CCRCC therapies[29]. Foretinib appears to benefit patients with germline MET mutations compared to all PRCC patients[53]. Resistance to first line VEGF inhibitors has been attributed to mutations in tyrosine kinase pathways (e.g. MET or AXL). Combination therapy or use of drugs that target both VEGF and MET pathways (e.g. cabozantinib) would in theory address this issue, but toxicity with agents remains a serious issue in RCC treatment[54]. Currently, there are no readily accepted chemotherapeutic **or anti-**

**angiogenic** drugs specific for treating RCC with sarcomatoid differentiation; however, theories behind the efficacy of anti-angiogenic agents, particularly anti-VEGF drugs, in sarcomatoid RCC include the increased proliferation index and persistent HIF-pathway expression in these tumors[25,55].

Immunotherapy has previously been used in treating RCC, most notably interferon-alpha and interleukin-2[56]. These treatments had severe adverse effects and minimal efficacy. Programmed cell death protein 1 (PD-1) and its ligand (PD-L1) being expressed in a majority of RCC has resurfaced interest in using immunotherapy as treatment[54]. Interest in therapeutic options using anti-PD-1 immune checkpoint inhibitors, such as nivolumab, has been noted in RCC, following success in other solid tumors, such as lung adenocarcinoma[57-59]. Initially, treatment with immune checkpoint inhibitors was reserved for refractory patients who had tumor progression after failure with first- and second-line **therapy** regimens[60]. With subsequent studies, use of nivolumab in patients with disease progression in metastatic RCC has shown some success in lesion stabilization and even tumor reduction[59]. **The introduction of new therapeutic options, particularly with immunotherapies (e.g targeting PD-1 and CTLA-4 pathways) has raised arguments for use as second or third-line options in patients with resistant metastatic RCC[52]. Current trends show that improved data likely supports immunotherapies as second line treatment in metastatic RCC, replacing everolimus [61].**

Specific dosing and possibility for combination therapy with **therapeutic agents** are currently being studied[57]. **Combination therapy as first line treatment is not recognized in any current guidelines; however, several options in the setting of treatment resistance have been proposed. Lenvatinib (a multi-target tyrosine kinase inhibitor) has been suggested for use in combination with everolimus in patients with metastatic RCC who have developed resistance to**

more common first-line tyrosine kinase inhibitors [62]. Benefits using newer immunotherapeutic agents in combination with first line antiangiogenic agents remain unclear and currently data is lacking to support the vast expense associated with this treatment option [62].

Unfortunately, overall efficacy of immune checkpoint inhibitors in RCC has been mild at best, with some arguing that response rates do not correlate with levels of PD-L1/PD-1 expression[61,63,64]. Combination with another immunotherapy, ipilimumab (a CTLA-4 inhibitor of cytotoxic T cells), is also currently being explored as a treatment option in metastatic RCC[65-67]. Currently, several late stage clinical trials may offer clinicians more information combination treatment options. Newer anti-PD1/PD-L1 agents, such as Atezolizumab and Pembrolizumab, are being tested in combination with anti-VEGF agents to see how patients respond compared to traditional first-line therapy with sunitinib [68,69]. Additionally, in a possible shift from antiangiogenic based treatment, the combination of nivolumab and ipilimumab could provide the first viable immunotherapy-only as first-line therapy in metastatic RCC [54,68,70]. Progressive understanding of the immunotherapeutic landscape, new agents, and potential combination therapies are positive signs in treatment of high-stage RCC. Unfortunately, the limited number of patients showing clinical (and lasting) benefit with treatment and the immense cost has posed difficult challenges for patients and treating clinicians [71]. Potential benefit from combination therapy using tradition first-line agents such as antiangiogenic drugs could bridge treatment regimens until further progress is made with newer targeted agents [72].

The complexities of the immunophenotypic landscape of RCC poses similar challenges in treatment as previously seen with chemotherapeutic/anti-angiogenic agents[66]. Of note, the higher number of mutations for PD-L1 identified in metastases could explain efficacy seen when

using immunotherapeutic agents in this setting, but further studies are required[56,73,74].

Analysis of the genomic landscape in RCC patients status post immunotherapy treatment remains significantly limited. The majority of data currently available comes from the melanoma literature, where immunotherapy has shown high response rate but also high toxicity in patients, particularly in combination therapy with PD-1 and CTLA-4 inhibitors [65,75,76]. The proposed synergistic responses of these two agents, one by activating antigen-specific T cells (e.g. anti-CTLA4 pathway) and the other by removing inhibition on T cells to attack cancer cells (e.g. anti-PD1 pathway) shows great promise in RCC[77,78]. Unfortunately, these checkpoint inhibitors are associated with high cost and significant toxicity and currently benefit only a small subset of all patients [79]).

An additional challenge facing patients is the genomic landscape variability between treatment-naïve tumor samples, versus metastatic tumor samples, versus tumor post-treatment sampling (either antiangiogenic agents or immunotherapy)[80]. In the short term, identifying patients who will actually benefit from these therapies remains a key priority [72]). A study by Chevrier et al. using mass spectrometry to profile the immunologic microenvironment in ccRCC has provided a strong foundation to guide further development of potential biomarkers and targets [66]. Despite variable modalities for assessing PD-1/PD-L1 expression in RCC, inherently positive expression of PD-1/PD-L1 does not always correlate with treatment efficacy. Resistance to immunotherapeutic agents may, in fact, be secondary to non-immunogenic factors such as metabolic factors which block attacking immune cells or possibly improve cancer cell fitness [81].

Additionally, subclones that may be responsible for therapeutic resistance could possibly be clustered in certain un- or under-sampled tumor regions or of low enough frequency to evade

detection with single or possibly even multi-site sampling[14]. Determining the effectiveness of targeting ubiquitous truncal driver mutations (e.g. VHL, trisomy 7/17) relies heavily on the tumor's dependence on them for survival; however, if subclonal drivers in fact are the cause of tumor progression, the relative ineffectiveness of many drugs could be explained[36]. Furthermore, this would make the relative preservation of truncal mutations in metastatic sites irrelevant, as they are no longer the factors driving disease advancement.

Increased intratumoral heterogeneity accompanied with increased copy number variations (genomic instability) has been hypothesized to possibly favor improved overall outcome. Andor et al. have argued this could be secondary increased immune response or the possibility of generating defective daughter cells[40]. It may be that the same genomic instability driving tumor progression and poor outcomes could also have a negative effect if proliferating tumor cells are nonviable[36]. Despite the obvious morphologic, immunophenotypic, and now molecular differences in various RCC subtypes, the treatment approach is essentially the same regardless. Regardless of spatial heterogeneity seen in RCC, the relative preservation of truncal driver mutations will likely continue to serve as optimal targets for treatment therapeutic agents until the role of subclonal populations in tumor progression is better understood[7-10,36,37].

## 6. Conclusion

The concept of intra- and intertumoral genetic heterogeneity remains a timely yet underappreciated topic in renal cell carcinoma. While traditional truncal molecular aberrations have been well-known for some time, particularly in clear cell and papillary RCCs, recent findings have shown that these mutations may not be optimal targets for therapeutic treatment in advanced disease. The most significant challenge remains that despite these frequent and

apparently ubiquitous alterations in VHL-VEGF and mTOR pathways, overall efficacy of targeted drugs does not seem to correlate with mutational status. Further study of the molecular landscape in renal cell carcinoma, particularly in acquired subclonal aberrations and driver mutations, may provide invaluable information for future treatment options and overall prognosis.

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### Figure Legends

**Figure 1:** Traditional thinking in terms of tumor evolution has changed in recent years with the linear model (A) now being replaced with a “braided” evolution pattern (B). In the “braided” pattern, while mutations such as von Hippel Lindau or loss of chromosome 3p may be truncal events, subsequent mutations likely interact and persistence of truncal mutations is possible.

**Figure 2:** The spacial heterogeneity of subclones acquired in renal cell neoplasia remains unclear, despite several hypotheses. The interaction of subclones in malignant renal tumors could be complete independence via geographic separation (A), survival of the strongest subclones via competition (B), or an interactive-symbiotic relationship (C).

**Figure 3:** Various studies have argued that single biopsy sampling of renal tumors is likely inadequate to assess the genetic heterogeneity. Furthermore, concordance and/or discordance of molecular aberrations between metastatic disease and primary tumors may offer insights into efficacy of various therapeutic treatment options.