

Variability of stalagmite-inferred Indian monsoon precipitation over the past 252,000 y

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A speleothem $\delta^{18}\text{O}$ record from Xiaobailong cave in southwest China characterizes changes in summer monsoon precipitation in Northeastern India, the Himalayan foothills, Bangladesh, and northern Indochina over the last 252 kyr. This record is dominated by 23-kyr precessional cycles punctuated by prominent millennial-scale oscillations that are synchronous with Heinrich events in the North Atlantic. It also shows clear glacial-interglacial variations that are consistent with marine and other terrestrial proxies but are different from the cave records in East China. Corroborated by isotope-enabled global circulation modeling, we hypothesize that this disparity reflects differing changes in atmospheric circulation and moisture trajectories associated with climate forcing as well as with associated topographic changes during glacial periods, in particular redistribution of air mass above the growing ice sheets and the exposure of the "land bridge" in the Maritime continents in the western equatorial Pacific.

Indian summer monsoon | stalagmite | $\delta^{18}\text{O}$ | precipitation | glacial-interglacial

The Indian summer monsoon (ISM), a key component of tropical climate, provides vital precipitation to southern Asia. The ISM is characterized by two regions of precipitation maxima: a narrow coastal region along the Western Ghats, denoted by ISM_A, with moisture from the Arabian Sea, and a broad "Monsoon Zone" around 20°N in northeastern India, denoted by ISM_B, where storms emanate from the Bay of Bengal and whose rainfall variability is well correlated with that of "All India" rainfall (1). Multiple proxies obtained from Arabian Sea sediments have revealed the variability of summer monsoon winds on timescales of 10¹ to 10⁵ y (e.g., refs. 2–6). Our understanding of the paleo-precipitation variability of ISM_B remains incomplete, owing to the scarcity of long and high-resolution records. Here we present a 252,000-y-long speleothem $\delta^{18}\text{O}$ record from Xiaobailong cave, southwest China and characterize variability in the ISM_B precipitation on multiple timescales.

Xiaobailong (XBL, "Little White Dragon") cave is located in Yunnan Province, southwestern China, near the southeastern edge of the Tibetan Plateau (103°21'E, 24°12'N, ~1,500 m above sea level; *SI Appendix*, Fig. S1). Local climate is characterized by warm/wet summers and cool/dry winters. The mean annual precipitation of ~960 mm (1960–2000) falls mostly from June through September (~80%) (*SI Appendix*, Fig. S2), indicating the summer monsoon rainfall dominates the annual precipitation at the cave site. The temperature in the cave is 17.2 °C, close to local mean annual air temperature (17.3 °C).

Eight stalagmites were collected from the inner chamber (~350 m from the entrance) of the cave, where humidity is ~100% and ventilation is confined to a small crawl-in channel to the outer chamber. One hundred four ²³⁰Th dates were determined on inductively coupled plasma mass spectrometers with typical relative error in age (2 σ) of less than 1% (*Methods* and *SI*

Appendix, Table S1 and Figs. S3 and S4). The ages vary monotonically with depth in the stalagmites (*SI Appendix*, Fig. S4) and the ²³⁰Th dates were linearly interpolated to establish chronologies. Measurements of calcite $\delta^{18}\text{O}$ ($\delta^{18}\text{O}_c$) were made by isotope ratio mass spectrometer on a total of 1,896 samples from the eight stalagmites (*Methods* and *SI Appendix*, Table S2). By matching the chronology established by the absolute ²³⁰Th dates the $\delta^{18}\text{O}_c$ time series of the different stalagmites were combined to form a single time series. The resulting XBL record (Fig. 1) covers the past 252,000 y, with an average resolution of 70 y between 5.0 and 80.0 thousand years before the present (ka BP, before 1950 AD) and 260 y between 80.0 and 252.0 ka BP, excluding several interruptions of calcite deposition (e.g., during the periods of 52.4–59.8, 164.0–167.2, 204.5–214.1, and 216.8–222.2 ka BP).

In principle, variations in calcite $\delta^{18}\text{O}_c$ of stalagmites could capture variations of $\delta^{18}\text{O}$ in precipitation ($\delta^{18}\text{O}_p$), cave temperature, which is close to the surface annual mean temperature, and kinetic loss of CO₂ and evaporation of water during the calcite deposition. We rule out the kinetic fractionation processes, because $\delta^{18}\text{O}_c$ records from different stalagmites in the XBL cave agree with one another within quoted dating errors over contemporaneous growth periods (Fig. 1), and $\delta^{13}\text{C}$ records also replicate across speleothems within the cave, suggesting

Significance

This paper presents a new long speleothem $\delta^{18}\text{O}$ time series from Xiaobailong cave in southwest China that characterizes changes in a major branch of Indian summer monsoon precipitation over the last 252 kyrs. This record shows not only 23-kyr precessional cycles punctuated by prominent millennial-scale weak monsoon events synchronous with Heinrich events in the North Atlantic, but also clear glacial-interglacial variations that are consistent with marine records but different from the cave records in East China. The speleothem records of Xiaobailong and other caves in East China show that the relationship between the Indian and the East Asian summer monsoon precipitation is not invariant, but rather varies on different timescales depending on the nature and magnitude of the climate forcing.

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the shortest to the longest (*SI Appendix, Fig. S9*). For the XBL record, the dominant variability (47.3% of the total variance) is captured by the component C9-10, and shows variability with a $\delta^{18}\text{O}_c$ range of $\sim 5\text{‰}$ that is coincident with NHSI at the precessional timescale (~ 23 kyr) (Fig. 2). The next component (C11-13) captures 33% of the variance, with a $\delta^{18}\text{O}_c$ range of $\sim 4\text{‰}$. It peaks around 120 ka and has a broad minimum during the ice ages. Millennial scale variability (C6-8) has a $\delta^{18}\text{O}_c$ range of $\sim 4\text{‰}$ and captures 19% of the variance.

A similar EEMD analysis was applied to the HL-DG-SB-LZ calcite $\delta^{18}\text{O}_c$ time series from eastern China (7, 18–20) (Fig. 2). The dominant modes of variability at the East China caves are on precessional (69% of variance, $\delta^{18}\text{O}_c$ range $\sim 6\text{‰}$) and millennial (19% of the variance, $\delta^{18}\text{O}_c$ range $\sim 4\text{‰}$) timescales. Although these modes are similar to those at XBL, on glacial–interglacial timescales the HL-DG-SB-LZ $\delta^{18}\text{O}_c$ range is only $\sim 1\text{‰}$ compared with $\sim 4\text{‰}$ at XBL and captures only 11% of the variance.

The correlation coefficient (r) between XBL and HL-DG-SB-LZ EEMD components is 0.8 on precessional timescale. The synchrony between the ISM_B and East Asian Summer Monsoon (EASM), as revealed by EEMD analysis of the long XBL and HL-DG-SB-LZ $\delta^{18}\text{O}_c$ time series (Figs. 1 and 2), confirms previous observations in intermittent speleothem records from South Asia and East Asia (21–25), thus strengthening the hypothesis that both the Indian and East Asian summer monsoons vary directly in response to changes in NHSI on precessional timescales (7, 26). Our results contradict the hypothesis that winter precipitation affects the phase of EASM cave $\delta^{18}\text{O}_c$ signals

(27) on precessional timescale, because changes in $\delta^{18}\text{O}_c$ are synchronous between East China sites and XBL, where the contribution of winter precipitation is negligible. Instead, we point out that the phase of speleothem $\delta^{18}\text{O}_c$ relative to the insolation signal could vary by up to several thousand years, depending on the choice of reference month(s).

There is no significant correlation ($r = 0.2$) between XBL and HL-DG-SB-LZ $\delta^{18}\text{O}_c$ on a millennial timescale, even though both show increased $\delta^{18}\text{O}_c$ values that are synchronous with Heinrich events within quoted errors. Changes in the North Atlantic Ocean during Heinrich events led to circulation changes over the entire Northern Hemisphere (28), and moisture from the Indian Ocean is hypothesized to dominate the isotope composition of precipitation in East Asia (e.g., refs. 28–30). However, two features may preclude attaining a significant correlation on millennial timescales between the two records: First, dating uncertainty in each record may affect the alignment between the two records, especially during the penultimate glacial–interglacial period, and second, several gaps in the XBL record are filled by linear interpolation and do not contain information on millennial timescales. If we correlate the two records at millennial timescales only for the period from 5.4 to 52.4 ka BP where both records are complete and the errors are relatively small, the correlation increases to $r = 0.46$, which is significant at the 0.01 level.

Different Responses of South and East Asian Speleothem $\delta^{18}\text{O}$ on Glacial–Interglacial Timescales

Significant differences exist between the XBL and HL-DG-SB-LZ $\delta^{18}\text{O}_c$ records. For example, the glacial–interglacial ranges, for example between marine isotope stages (MISs) 5 and 3, in $\delta^{18}\text{O}_c$ are large and distinct at XBL and barely discernible in the HL-DG-SB-LZ record (Figs. 1 and 2). Furthermore, between MIS 5a and 5c, XBL shows an increasing trend, opposite to that in HL-DG-SB-LZ. The EEMD analysis also shows no significant relationship between XBL and East China speleothem $\delta^{18}\text{O}_c$ records on the ~ 100 -kyr glacial–interglacial timescale: the correlation coefficient between the two EEMD components is only ~ 0.1 .

To understand the glacial–interglacial differences, we analyze the output of two runs of an isotope-enabled general circulation model with prescribed boundary conditions both for present day and for the LGM (*SI Appendix, SI Materials and Methods* and ref. 31). In the model, $\delta^{18}\text{O}$ of ocean water was specified to be 0.5‰ for the present day and 1.7‰ during the LGM because of the loss of water depleted in heavy isotopes to the ice sheets. Relative to present day, LGM summer precipitation decreased substantially over the Indian Ocean and Indian subcontinent, but stratiform precipitation increased over the exposed continental shelf of East Asia (Fig. 3A). Similarly, LGM precipitation $\delta^{18}\text{O}_p$ was higher, by 2.0‰ – 4.0‰ , throughout the Indian Ocean and South Asia (including the XBL cave site) but showed either minor increases or decreases of 1.0‰ – 2.0‰ in East Asia (east of 105°E) (Fig. 3B).

To estimate the calcite $\delta^{18}\text{O}_c$ from the modeled temperature and precipitation $\delta^{18}\text{O}_p$, we first used a temperature fractionation of calcite $\delta^{18}\text{O}_c$ of $\sim -0.23\text{‰}/^\circ\text{C}$ and modeled LGM temperature decreases at the cave sites (i.e., $\sim 4^\circ\text{C}$ at XBL and $\sim 6^\circ\text{C}$ at HL-DG-SB-LZ) (*SI Appendix, Fig. S10*), yielding a temperature-based $\sim 1.0\text{‰}$ and $\sim 1.5\text{‰}$ increase in $\delta^{18}\text{O}_c$ during the LGM at XBL and at HL-DG-SB-LZ, respectively. The combined temperature and precipitation effect would yield a LGM calcite $\delta^{18}\text{O}_c$ increase of $\sim 3.0\text{‰}$ – 5.0‰ ($\sim 2.0\text{‰}$ – 4.0‰ + 1.0‰) at XBL and little change (~ 0.5 to -0.5‰ , or -1.0 to -2.0‰ + 1.5‰) at HL-DG-SB-LZ caves. The model results are thus consistent with the observations in speleothem records.

Our model, like other models in the Paleoclimate Modeling Intercomparison Project Phase II suite (9), shows a major

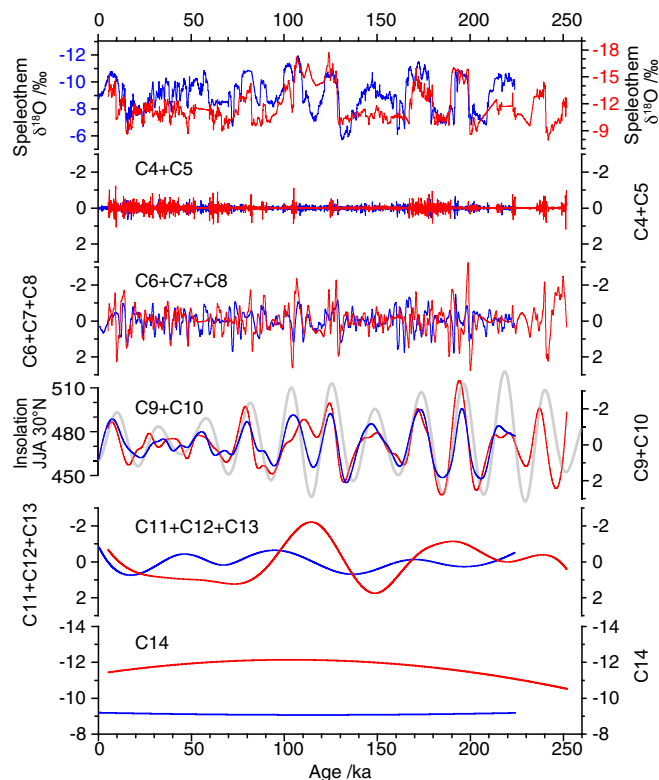


Fig. 2. EEMD components of the XBL (red) and Hulu-Dongge-Sanbao (blue) composite time series over the last 252 kyr. During decomposition, noise of 0.4 (0.2 SD of the data) is added for the ensemble calculation, and the ensemble number is 300. Five EEMD components (i.e., sum of components 4–5, sum of components 6–8, sum of components 9–10, sum of components 11–13, and component 14) are presented. Component 14 indicates the overall trend. The individual components are shown in *SI Appendix, Fig. S9*.

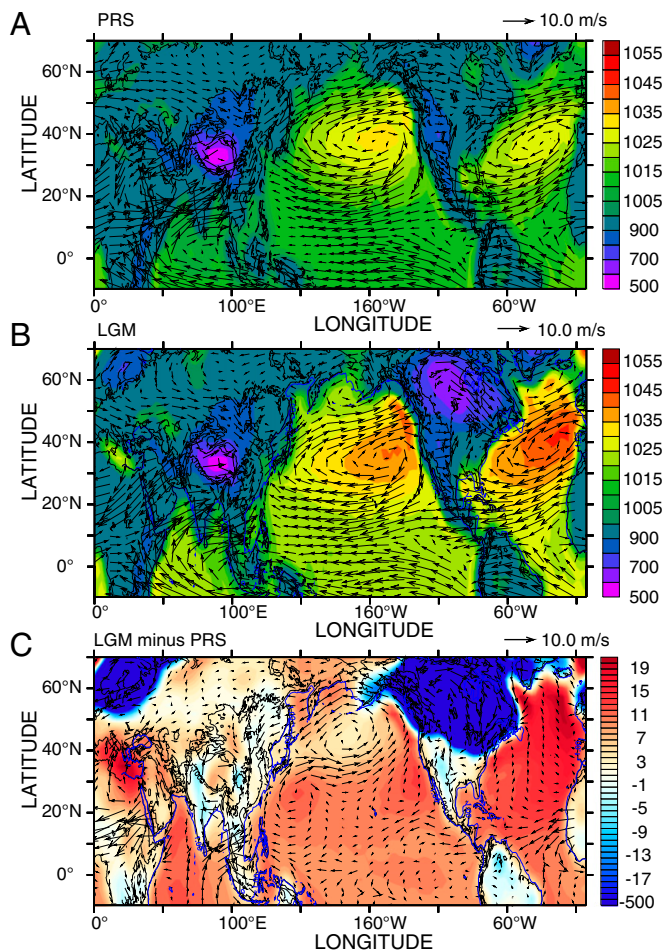


Fig. 5. Modeled June–August surface pressure for (A) the present day and (B) the LGM and (C) the departure of LGM surface pressure from the present day (color, hectopascals). The arrows denote the corresponding near-surface winds (averaged over the lowest four layers, ~ 300 hPa thick, of the model atmosphere). Topography (meters) is contoured. The thick blue line denotes the LGM coastline with a 120-m drop in sea level.

over the southern Bay of Bengal. This path contributed $\sim 30\%$ and 15% of the LGM precipitation at Dongge and Hulu, respectively (28). The trajectory passed over the land bridge, where evaporation was reduced and stratiform precipitation increased relative to the present day (Fig. 3A), contributing to depleted $\delta^{18}\text{O}_v$ of the vapor (Fig. 4B and C) and lowered $\delta^{18}\text{O}_p$ values downstream at Dongge, Hulu, and other East China cave sites.

Moisture advection to the Hulu cave region in East China was more complicated during the LGM. Moisture advection from the South China Sea and the tropical Pacific was significantly increased compared with the present day, despite the $\sim 40\%$ decrease in atmospheric water vapor in the LGM atmosphere ($7\%/K$ for 6-K decrease) (SI Appendix, Fig. S11). This is because southerly and southeasterly winds increased in response to a strengthened east–west pressure gradient between the continent and the Pacific Ocean as well as due to the enhancement of the subtropical high pressure system in the Pacific Ocean (Fig. 5), resulting from the redistribution of air mass from the continents to the oceans as glaciers grew. This increase in moisture flux was countered by an anomalous northeasterly flow from the North Pacific, as a result of greater surface pressure increase over the Bering Sea (~ 10 hPa) than over the midlatitude ocean (~ 5 hPa) (Fig. 5). This pattern of pressure difference induced anomalous southeasterly and northeasterly flows toward Eastern China and

together these increased the moisture from the Pacific by $\sim 10\%$ (SI Appendix, Fig. S11). As a result of the circulation changes, moisture convergence over the region of the East China caves ($110\text{--}120\text{E}$, $20\text{--}35\text{N}$) increased and precipitation increases followed (SI Appendix, Fig. S11). In East China, the decrease in $\delta^{18}\text{O}_v$ from increased precipitation competed with the increase in $\delta^{18}\text{O}$ of the ocean source, resulting in little change in $\delta^{18}\text{O}_p$ at HL-DG-SB-LZ.

Taken all together, the circulation changes together with more enriched ocean water result in an unchanged and/or slightly depleted $\delta^{18}\text{O}_p$ over East China during the LGM, consistent with the observations at cave sites in East China during glacial periods.

Concluding Remarks

The XBL $\delta^{18}\text{O}_c$ record documents the variability of the ISM_B precipitation on glacial–interglacial, precessional, and millennial timescales over the last 252,000 y. Unlike speleothem records from East China, XBL is concordant with records of the ISM_B from marine sediments and loess records on a glacial–interglacial timescale.

Our modeling results show that glacial–interglacial changes in atmospheric circulation and rainfall are manifested in isotopically different ways at XBL and in East China, with more depleted precipitation $\delta^{18}\text{O}_p$ during interglacial than during glacial periods at XBL versus nearly unchanged precipitation $\delta^{18}\text{O}_p$ in East China. We further suggest that reduced evaporation over exposed continental shelf during the LGM could have contributed to the depleted precipitation $\delta^{18}\text{O}_p$ downstream at some of the cave sites in East China.

Our study, along with other paleoclimate modeling studies, puts forth pieces of the puzzle of how regional circulation and hydrology respond to different climate forcings or perturbations. The model results taken all together show that variations in $\delta^{18}\text{O}_p$ and, by inference, $\delta^{18}\text{O}_c$ of speleothems, reflect regional and hemispheric scale variations in vapor flux as well as in situ condensation and evaporation in the atmospheric column (33–36).

The Indian Ocean moisture path to East Asia seems robust, even though the magnitudes of the fluxes vary, in the comparison of the present day and the LGM here and in a comparison of the LGM with or without the Heinrich perturbation (28). In this study, changes in the tropical and North Pacific moisture fluxes dominated the $\delta^{18}\text{O}_p$ changes in East China during the LGM. Our result that the land bridge exposed during the LGM led to enhanced summer large-scale stratiform precipitation is not inconsistent with the reduction in annual mean convection over the Sunda Shelf (e.g., ref. 34). Although the complexity of circulation changes in influencing precipitation changes of a site or a region is not a surprise, the long speleothem records, such as the XBL $\delta^{18}\text{O}_c$ data presented here, present unique opportunities for improving climate models and for testing hypotheses about past hydroclimate changes.

Methods

U-Series Dating and Stable Isotope Analysis. All stalagmites were cut into halves along the growth axis and their surfaces were polished. SI Appendix, Fig. S3 illustrates images of the stalagmites and the ^{230}Th dating positions. Subsamples were drilled along growth axes for ^{230}Th dating at the Minnesota Isotope Laboratory on the inductively coupled plasma mass spectrometers (Thermo-Finnigan ELEMENT and Thermo Fisher NEPTUNE, refs. 37 and 38, respectively). The chemical procedures used to separate the uranium and thorium for ^{230}Th dating are similar to those described in ref. 39.

Subsamples for stable isotope analysis were collected in two ways: (i) drilling with a dental drill bit 0.5 mm in diameter directly from the polished half of the stalagmite at an average interval of 2, 1, or 0.5 mm along stalagmite axes, depending on sample growth rates, and (ii) cutting the stalagmite into a $1\text{-}\times\text{0.5-cm}$ slab using a diamond saw and then scraping off perpendicularly to the growth axes of the stalagmites at a mean resolution of ~ 20 subsamples per millimeter. The second method was applied for sections between 0 and 95 mm from the top of stalagmite XBL-29. We

performed the stable isotopic analysis on all subsamples collected through the first method and every 10th sample from the second method. A total of 1,896 oxygen isotopic values were obtained on a Finnigan MAT-252 mass spectrometer equipped with Kiel Carbonate Device III at the Institute of Earth Environment, Chinese Academy of Sciences. International standard NBS19 and interlaboratory standard TTB1 were run for every 10–15 samples and arbitrarily selected duplicate measurements were conducted every 10–20 samples, respectively, to check for homogeneity and reproducibility. All oxygen isotopic values are reported in δ notation, the per mil deviation relative to the Vienna Pee Dee Belemnite (VPDB) standard ($\delta^{18}\text{O} = [((^{18}\text{O}/^{16}\text{O})_{\text{sample}} / (^{18}\text{O}/^{16}\text{O})_{\text{standard}} - 1) \times 1000]$). Standard results show that the precision of $\delta^{18}\text{O}_c$ analysis is better than 0.15‰ (2 σ).

Isotope-Enabled General Circulation Model Simulations. The climate model used in this project incorporated HDO and H_2^{18}O into the NCAR CAM2. We then ran this isotope-enabled model with fixed sea surface temperature (SST) and sea ice distributions for the present day and the LGM (40). SST in the present-day run is given by the climatological monthly mean derived from observations from 1949 to 2001. In the LGM run, we used monthly SST

and sea ice distribution simulated by the fully coupled atmosphere-land-ocean-ice Community Climate System Model (41) with atmospheric carbon dioxide (CO_2), methane (CH_4), and nitrous oxide (N_2O) at 185 ppmv, 350 ppbv, and 200 ppbv, respectively. The LGM ICE-5G reconstruction is used for the continental ice sheet extent and topography prescription. Surface ocean $\delta^{18}\text{O}$ values for the present day and LGM are prescribed as 0.5‰ (42) and 1.7‰ (43), respectively. The isotope-CAM LGM simulation is initialized using the atmospheric state from the equilibrium simulation of the CCSM LGM run and is integrated forward for 20 y using SSTs and glacial and sea ice extents from CCSM as boundary conditions. The present-day simulation was integrated for 15 y. In both cases, averages of the last 10 y were used for the analysis.

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