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# Abstract

2	Northern Hemisphere winter storm tracks and their relation to winter weather are investi-
3	gated using CFSR data. Storm tracks are described by isentropic PV maxima within a Lagran-
4	gian framework; these correspond well with those described in previous studies. Our diagnostics
5	focus on strong-storm tracks, which are comprised of storms that achieve a maximum PV ex-
6	ceeding the mean value by one standard deviation. Large increases in diabatic heating related to
7	deep convection occur where the storm tracks are most intense. The cyclogenesis pattern shows
8	that strong storms generally develop on the upstream sectors of the tracks. Intensification hap-
9	pens towards the eastern North Pacific and all across the North Atlantic Ocean, where enhanced
10	storm track-related weather is found.
11	In this study, the relation of storm tracks to near-surface winds and precipitation is evalu-
12	ated. The largest increases in storm track-related winds are found where strong storms tend to de-
13	velop and intensify, while storm precipitation is enhanced in areas where the storm tracks have
14	the highest intensity. Strong storms represent about 16% of all storms but contribute 30-50% of
15	the storm precipitation in the storm track regions. Both strong-storm related winds and precipita-
16	tion are prone to cause storm-related losses in the eastern US and North American coasts. Over
17	the oceans, maritime operations are expected to be most vulnerable to damage offshore of the US
18	coasts. Despite making up a small fraction of all storms, the strong-storm tracks have a signifi-
19	cant imprint on winter weather in North America potentially leading to structural and economic
20	loss.

#### 1 1. INTRODUCTION

Two well-documented mid-latitude winter storm tracks in the Northern Hemisphere (NH) 2 affect North American weather and climate: the Pacific storm track which extends eastward 3 across the North Pacific Ocean, and the Atlantic storm track which extends northeastward across 4 5 the North Atlantic Ocean. Elsewhere in the NH mid-latitudes there is the Mediterranean storm track which spans eastward across the Mediterranean Sea to the Middle East (Hoskins and 6 7 Hodges 2002). The storm tracks are characterized as large narrow bands of high baroclinic insta-8 bility along which individual storms tend to propagate, and are maintained by the continuous downstream development of baroclinic disturbances (Simmons and Hoskins 1979; Wallace et al. 9 10 1988; Orlanski and Chang 1993). The upper-tropospheric winds (i.e., the 200-hPa jet stream) and divergence aloft produce cyclonic circulation poleward of the zonal flow, enhancing cyclonic 11 12 shear and generating upstream confluence that can predominantly maintain the mean baroclinicity needed for continued downstream eddy activity (Wallace et al. 1988; Hoskins and Valdes 13 1990). Even in cases of weaker instability, the downstream radiation of kinetic energy in the 14 form of ageostrophic geopotential fluxes contributes to the growth and intensification of new ed-15 16 dies at the expense of upstream decaying eddies (Simmons and Hoskins 1979; Orlanski and Chang 1993). Baroclinic disturbances propagate downstream as large-scale wave packets with a 17 group velocity that primarily dictates the speed at which new eddies develop (Orlanski and 18 19 Chang 1993).

Many factors influence the NH storm track distribution, including sea surface temperature (SST) gradients, uneven heating, and orography (Hoskins and Valdes 1990; Held 1993;

Brayshaw et al. 2008, 2009; Chang 2009). A strong mid-latitude SST gradient alongside a reduced subtropical SST gradient will generally strengthen the storm tracks and shift them poleward (Brayshaw et al. 2008). In the North Atlantic the large SST gradient formed by the protrusion of the warm Gulf Stream into the cool higher latitude ocean induces surface wind convergence on the warm side of the Gulf Stream front, intensifying the vertical wind velocity and vertical instability, in turn enhancing convection and storm development (Minobe et al. 2008, 2010).

Uneven diabatic heating induced in part by land-sea temperature contrasts plays a role in 28 storm track modulation (Hoskins and Valdes 1990; Chang 2009). As cool westerly flow off the 29 land passes over warmer western oceans, the surface air warms rapidly, triggering the generation 30 of surface sensible heat fluxes that act to destabilize the atmosphere (Mak 1998). The sensible 31 32 heat fluxes counter the damping effect of poleward eddy heat fluxes, preserving baroclinicity at 33 the surface and maintaining the storm tracks through the development of unstable waves aloft 34 (Hotta and Nakamura 2011). Asymmetries in diabatic heating partly account for the greater 35 strength of the Atlantic storm track compared to the Pacific storm track, despite the lower baro-36 clinicity in the Atlantic (Chang 2009). For instance, the large land-sea temperature gradient in 37 winter induced by strong air mass contrasts between cold air over northeastern North America 38 and warmer air over the Gulf Stream form a region of particularly high baroclinic instability 39 along an axis that follows the North American east coast (Brayshaw et al. 2009). Storms tend to 40 deepen and intensify leeward of the Appalachian Mountains (Colucci 1976), and the baroclinic 41 zone over the North American east coast promotes the further amplification of storms, including nor'easters (Davis and Dolan 1993). Additionally, the strength and areal width of marine storms 42 are determined by the intensity of the diabatic heating (Mak 1998). 43

As for orographic influences, mountainous terrain mainly acts to suppress storm track ac-44 tivity by blocking or deflecting the westerly flow over land (Chang 2009). The Rocky Mountains 45 deflect westerly Pacific cyclones/storms southward which leads to a southwest-northeast (SW-46 NE) tilt in the upper tropospheric jet, the subsequent downstream flow, and the Atlantic storm 47 track, dynamically separating the Northern Hemisphere storm tracks (Brayshaw et al. 2009; 48 49 Chang 2009). The Atlantic track lies coincident with the SW-NE axis of the low-level baroclinic zone that follows the North American east coast, further enhancing cyclonic activity in the re-50 gion of the Atlantic storm track (Brayshaw et al. 2009). 51

During winter, the Pacific and Atlantic storm tracks are collocated with climatological 52 precipitation maxima that exceed 6 mm day<sup>-1</sup> (Adler et al. 2003; Hawcroft et al. 2015; Xie et al. 53 54 2017). Extremely high precipitation is produced primarily by extratropical storms with the most heavily precipitating storms contributing substantially to the winter climatological precipitation 55 (Maddox et al. 1979; Hawcroft et al. 2012; Pfahl and Wernli 2012). In general in the NH, over 56 57 half of the mean total winter precipitation in the mid-latitudes is associated with frontal systems 58 and related cyclonic activity (Catto et al. 2012). Specifically in North America, over 70% of win-59 ter precipitation is associated with low-level cyclonic activity (Hawcroft et al. 2012). It has also 60 been found that precipitation and upper-level zonal flow are highly correlated over the mid-lati-61 tude oceans and over land upstream of high orography, supporting the notion that strong baro-62 clinic cyclones aloft lead to large accumulations of precipitation at the surface (Maddox et al. 63 1979; Garreaud 2007; Pfahl and Wernli 2012). Accordingly, storm track modulation can be associated with changes in the frequency of extreme precipitation and wind events, which can pro-64 foundly affect a region's climate (Chang et al. 2002; Ma and Chang 2017). This can happen if a 65 66 northward shift and deepening of the semi-permanent Aleutian Low in the high latitudes of the

- 67 North Pacific Ocean occurs as it can then draw the Pacific storm track poleward and subse-
- 68

quently amplify winter precipitation in northwestern North America (Salathé 2006).

69 Previous studies have used different variables and metrics to represent storm tracks, including mean sea level pressure (MSLP), geopotential height, and the meridional component of 70 the upper tropospheric wind (e.g., Gulev et al. 2001; Hoskins and Hodges 2002; Raible 2007). 71 MSLP and 500-hPa geopotential height are dominated by large scales, making small-scale, high-72 frequency features like cyclones difficult to identify without bias toward larger, slower disturb-73 ances (Wallace et al. 1988; Hoskins and Hodges 2002). The upper-level meridional wind tends to 74 better capture the higher frequencies and reveals downstream-developing wave trains along the 75 storm tracks (Chang and Orlanski 1993; Berbery and Vera 1996). Low-level relative vorticity 76 77 and isentropic potential vorticity (PV) are also useful to track storms because of their dependence 78 on higher order derivatives that allows for the detection of small-scale features such as cyclogenesis and cyclolysis (Hoskins and Hodges 2002). PV, in particular, is an ideal dynamical tracer 79 80 because of its conservation properties in an adiabatic, frictionless flow (Holton 2004). In the 81 Northern Hemisphere, a positive (cyclonic) PV anomaly, which generally corresponds to an up-82 per-tropospheric pressure trough, induces a vortex with cyclonic circulation (Hoskins et al. 1985; 83 Hoskins and Hodges 2002). Because PV considers both absolute vorticity and static stability, it 84 encapsulates many of the dynamic and thermodynamic properties of the atmospheric circulation while also conforming to the principle of invertibility, which establishes that the 3-dimensional 85 86 wind and temperature fields are induced by the PV structure if relatively fast-moving waves are neglected (Hoskins et al. 1985; Hoskins 1997). 87

This study discusses the characteristics of the storm tracks as constituted by storms that achieve high potential vorticity and will thus be called "strong-storm tracks". The primary objectives of the study address the following questions: (1) how do strong-storm tracks relate to surface weather and diabatic heating distributions?, and (2) what are the potential damaging effects of very high near-surface winds and precipitation rates associated with the strong-storm tracks that could lead to structural and economic loss in North America? We also discuss the robustness of the results by using an independent dataset of observed precipitation.

95 The structure of the article is as follows: Section 2 describes the datasets and cyclone 96 tracking method used. Section 3 discusses the properties of the strong-storm tracks that affect 97 North America's winter weather, while Section 4 examines the relation between the strong-storm 98 tracks and the potential destructive effects of the associated wind and precipitation. Section 5 99 summarizes the key findings.

100

### 101 2. DATA AND METHODOLOGY

102 *a. Datasets* 

The National Centers for Environmental Prediction (NCEP) Climate Forecast System Reanalysis (CFSR; Saha et al. 2010) product is the most recent complete compilation of global reanalysis data generated by NCEP. The CFSR couples the atmosphere, ocean, land surface, and sea ice to provide our best 4D view of the Earth's natural state, constrained by observations, every 6 hours. The global atmospheric data have a horizontal grid spacing of 38 km, 64 vertical sigmapressure levels and are archived on a  $0.5^{\circ} \times 0.5^{\circ}$  latitude-longitude grid. The gridded statistical interpolation (GSI) scheme assimilates atmospheric variables including global precipitation rates 110 derived from rain gauge and satellite observations into CFSR. The coupled assimilation provides a more complete and better-quality dataset of precipitation than past NCEP reanalyses that ne-111 glect coupling in the data assimilation, with better correspondence between the model physics 112 and observed precipitation (Saha et al. 2010; Wang et al. 2011). The accuracy of CFSR is im-113 proved over past NCEP reanalyses in part because of higher spatial and temporal resolutions, the 114 115 assimilation of bias corrected observations, and the coupling to sea ice and the ocean (Saha et al. 2010). Despite the improvements in CFSR, an artificial discontinuity around October 1998 in the 116 wind and precipitation time series has previously been found. The ingestion of satellite observa-117 118 tions often marks the onset of artificial trends in other reanalysis datasets (Bengtsson et al. 2004), 119 and CFSR is no different. The discontinuity in CFSR is thought to be due to the introduction of the assimilation of data from the low-earth polar-orbiting Advanced TIROS Operational Vertical 120 121 Sounder (ATOVS) satellite, which contributes to less spin-up of the initial moisture, resulting in a more humid atmosphere after 1998 (Saha et al. 2010; Chelliah et al. 2011; Wang et al. 2011; 122 Zhang et al. 2012). Our study uses CFSR data for DJF from 1980-2010 and examines this dis-123 124 continuity to assess the potential effects on our results.

125 The Global Precipitation Climatology Project (GPCP; Huffman et al. 2009) 1-degree 126 daily dataset of precipitation accumulations centered on 12:00 UTC is used to complement the 127 reanalysis information. Since October 1996, the GPCP has provided high quality, high resolution 128 global precipitation data. The daily dataset is derived from the GPCP Version-2 Monthly Precipi-129 tation Analysis by combining in situ data (i.e., surface rain gauges) with histograms of 3-hourly infrared brightness temperatures from geosynchronous-orbit satellite infrared data and precipita-130 tion derived from atmospheric parameters retrieved from low earth orbit satellites (Huffman et 131 132 al. 2001; Adler et al. 2003; Pendergrass 2015). In this study, GPCP daily precipitation is used for

DJF from 1999-2010 and is taken as "ground truth" although some estimates, particularly overoceans, may be less reliable (Adler et al. 2012).

135

136 *b. Tracking of Storms* 

137 Small scale isentropic potential vorticity maxima at the 320 K level (hereafter PV<sub>320</sub>) at 6-hourly intervals are objectively tracked in DJF for 1980-2010 following the Lagrangian ap-138 proach discussed in Hoskins and Hodges (2002). The method first identifies cyclones as PV<sub>320</sub> 139 anomalies that exceed 0.5 Potential Vorticity Units (PVU, where 1 PVU =  $10^{-6}$  K m<sup>2</sup> kg<sup>-1</sup> s<sup>-1</sup>) on 140 a NH polar stereographic projection, which helps to prevent latitudinal bias in the identification 141 of cyclones at high latitudes (Sinclair 1997). The PV<sub>320</sub> threshold of 0.5 PVU is significantly low 142 to account for most possible storms: in this case about 296 cyclones per DJF season are identi-143 fied that satisfy the post tracking filters (discussed below). The 320 K isentrope is chosen as the 144 145 level of analysis as it resides in the mid-upper troposphere near the upper-level jet stream (Fig. 1) where Rossby wave-induced baroclinic instability tends to occur (Hoskins 1991). The PV<sub>320</sub> 146 anomalies are produced by applying a spherical harmonic analysis to the PV<sub>320</sub> field and remov-147 148 ing the background planetary scale waves with total wavenumbers less than or equal to 5 and reducing the resolution to T42 to reduce noise. Additionally, a spectral taper is applied to the spec-149 150 tral coefficients to further reduce noise (Hoskins and Hodges 2002). This has been found to be a conservative but useful approach when examining fields that are dominated by a large scale 151 152 background and are very noisy at high resolutions and focuses on the synoptic spatial scales of cyclones. The identified PV<sub>320</sub> maxima are initially linked using a nearest neighbor method to 153 form tracks and are then refined using a constrained optimization approach which swaps points 154

between tracks to maximize the track smoothness (Hodges 1994, 1995). Constraints are applied
adaptively for maximum propagation speed and track smoothness (Hodges 1999) suitably chosen
for the extra-tropics.

Following completion of the tracking, a filter is applied to retain only those cyclones that 158 159 last at least 2 days and travel farther than 1000 km. These conditions act as spatial and temporal 160 filters to remove short duration or semi-stationary eddies. Considering that extratropical storms at 320 K in the NH have an average  $PV_{max} = 3 PVU$  and a standard deviation  $(PV_{max})_{SD} = 1.3$ 161 PVU, we define "all-storm tracks" as those shaped by storms with maximum PV that exceed a 162 low threshold of  $\overline{PV}_{max} - 1.5 \times (PV_{max})_{SD} \approx 1$  PVU. As apparent in Fig. 2, this threshold cap-163 164 tures weak cyclogenesis and provides a large number of cases for the analysis: on average, about 259 storms per season that satisfy the post tracking filters comprise the extratropical NH all-165 storm tracks. 166

Storms in the Pacific and Atlantic Oceans have an average PV<sub>max</sub> of 3.8 PVU with a 167 standard deviation of 1 PVU (Both regions have the same values, despite being computed sepa-168 rately). "Strong-storm tracks" represent those storms in the Pacific and Atlantic Oceans with 169 maximum PV that exceeds a higher threshold of  $\overline{PV}_{max} + 1 \times (PV_{max})_{SD} = 3.8 \text{ PVU} + 1 \times$ 170 171 1 PVU = 4.8 PVU as also noted in Fig. 2. Strong storms represent about 16% of all storms that 172 develop in both regions and correspond to similar percentiles of the storm strength distribution in 173 each basin. On average, 9 (6) strong storms per season develop in the storm track region over the Pacific (Atlantic) Ocean (Table 1). 174

175 The statistics of a large number of the cyclone trajectories describe the main properties of176 the Northern Hemisphere storm tracks, including the track density, genesis density, lysis density,

177 and mean storm track intensity. Following Hoskins and Hodges (2002), the track density statistic is calculated by using a single point from each track nearest to each estimation point for each PV 178 cyclone trajectory; the genesis density statistic uses the first detected positions of the cyclones; 179 180 likewise, the lysis density statistic uses the last detected positions of the cyclones, and the spherical kernel density estimator method (Hodges 1996; Hodges 2008). The genesis and lysis densi-181 ties are computed as probability density functions (pdf) and scaled to number densities (per unit 182 area per month) by multiplying by the number of points and scaling to a unit area equivalent to a 183  $5^{\circ}$  spherical cap (~ $10^{6}$  km<sup>2</sup>); in the case of the track density, the raw statistic is not a pdf but is 184 scaled to number density by multiplying by the number of tracks and scaled to a unit area equiv-185 alent to a 5° spherical cap. The mean intensity statistic is calculated using a kernel regression es-186 timator (Hodges 1996) applied to the PV intensity for all points along the cyclone trajectories. 187 For both the density and regression estimators adaptive smoothing is used (Hodges 1996). 188

Sensitivity tests were carried out to assess the robustness of the results in relation to (a) the isentropic level of the analysis on which to describe the storm tracks and (b) the PV intensity threshold, used for the initial identification, above which to consider a cyclone (not shown). An analysis of storm tracks on different isentropic surfaces (not shown) resulted in the choice of the 320 K isentrope as it is a good intermediate level on which the storm track features are best represented. The structures and relative intensities of the storm tracks exhibit a lack of sensitivity to the PV intensity threshold (not shown).

In general, the storm tracks and the diabatic heating in the corresponding regions act symbiotically in that the presence of the heating helps to maintain the baroclinicity needed for cyclone activity, which in turn influences the 3-dimensional diabatic heating distribution

(Hoskins and Valdes 1990). With this co-dependence between the storm tracks and diabatic heating in mind, our study explores the direct relationship between the heating and the storm tracks
that influence North America's weather. The diabatic heating is computed diagnostically at each
level between 900 and 100-hPa as the residual in the thermodynamic equation (e.g., Hoskins et
al. 1989; Barlow et al. 1998; Holton 2004):

204 
$$\frac{\dot{Q}(x,y,p,t)}{c_p} = \frac{\partial T}{\partial t} + \mathbf{v} \cdot \nabla T + \omega \left(\frac{\partial T}{\partial p} - \frac{RT}{c_p p}\right), \quad (1)$$

where  $\dot{Q}/c_p$  is the residual heating (K day<sup>-1</sup>), T the temperature, **v** the horizontal wind vector,  $\omega$ the vertical wind in pressure coordinates, R the gas constant for dry air,  $c_p$  the specific heat for dry air at constant pressure, and p the pressure level. The residual is then vertically averaged to yield daily diabatic heating estimates of the free atmosphere.

To establish the relationship between the storm tracks and diabatic heating, near-surface 209 210 winds, and precipitation, we follow a similar approach to that discussed in Hawcroft et al. (2012) and related literature. Each variable is considered to be associated with a cyclone if it is found 211 within a particular circular area around the cyclone center. Precipitation from both reanalysis and 212 observations is considered to be associated with a storm if it is found within a 12° circular area 213 around each storm center, as this is a typical storm precipitation footprint size in the Northern 214 Hemisphere winter (Hawcroft et al. 2012). The reanalysis precipitation is associated with storm 215 216 centers identified at corresponding 6-hourly time steps, while the GPCP observations are associ-217 ated with storm center positions at 12:00 UTC each day. Other variables have been reported to be greatly affected within the core of a cyclone represented by a 5° cyclone radius (Hawcroft et 218 219 al. 2012, 2015), and this is the choice we consider for diabatic heating and near-surface winds

which are associated with the storm centers every 6 hours. The storm-related heating, winds, and
precipitation fields in the figures are masked out at grid points where the average number of
storms is below some very low number (in this case 0.5 storms per unit area per month) in order
to highlight the mid-latitude main activity storm track regions.

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#### 225 c. Storm Loss Metrics

To examine the relation between strong-storm tracks and high wind speeds that could lead to potential damage at the surface, we employ a metric defined by Klawa and Ulbrich (2003). The metric is represented by a loss index that highlights areas where strong storms are likely to produce considerable damage by way of winds that exceed the local 98<sup>th</sup> percentile. Following Klawa and Ulbrich (2003),

231 Loss Index = 
$$\sum_{\text{seasons}} N_{\text{pop}} \left(\frac{v}{v_{98}} - 1\right)^3$$
 for  $v \ge v_{98}$ , (2)

where  $N_{pop}$  is the local population number, v the local wind speed related to the storm tracks, and  $v_{98}$  the local wind speed at the 98<sup>th</sup> quantile for 1980-2010. Use of this metric has led to the successful reproduction of storm loss in Germany at the end of the 20<sup>th</sup> century, in turn leading to a storm loss risk assessment for the nation in the 21<sup>st</sup> century (Klawa and Ulbrich 2003; Donat et al. 2011).

237 Precipitation and storm severity are inherently linked in part by condensational heating238 and the enhancement of moisture flux convergence (Trenberth et al. 2003). To our knowledge

and unlike for winds, a general relationship between storm track precipitation and damaging effects has not yet been established. We adopt a simple approach in which we assume that areas
that are most likely to experience loss are those where the storm track precipitation exceeds the
local 98<sup>th</sup> percentile.

243

# 244 3. DYNAMICS OF STORM TRACKS

245 a. Environment

246 The Eady Growth Rate, used in this study, combines information of the static stability and the wind vertical shear for the layer 850-700 hPa, and it is frequently used as a measure of 247 baroclinic instability (Lindzen and Farrell 1980). Following Hoskins and Valdes (1990), Fig. 3 248 249 shows that (1) regions of large baroclinic instability are found over the western Pacific and Atlantic Oceans (Fig. 3a), and (2) the largest region of low-level baroclinic instability lies poleward 250 of the 200-hPa jet stream (Fig. 3b). Note that a region of high instability in the western Pacific is 251 zonal in orientation and parallels the strong 200-hPa jet. In the western Atlantic, the region of 252 253 lower troposphere instability also parallels the local upper-level jet maximum with a SW-NE ori-254 entation that follows the eastern North American coastline. A secondary region of baroclinic instability is found in the southeast of the Mediterranean Sea and is also poleward of the corre-255 sponding local upper-level jet maximum. 256

The characteristics of the 1980-2010 strong winter storm tracks (as stated, those with 259 260  $PV_{max} \ge 4.8 PVU$ ) are depicted in Fig. 4. In Fig. 4a, the mid-latitude trajectories of individual 261 strong storms converge into quasi-zonal bands of high cyclonic activity that form the strongstorm tracks. The number of individual strong storms per unit area, or strong-storm track density 262 (Fig. 4b), is largest over the Pacific, North American-Atlantic (NAA), and Mediterranean re-263 gions. As expected, and in agreement with Wallace et al. (1988) and Hoskins and Valdes (1990), 264 the three regions of strong-storm tracks are concentrated poleward of the upper-level jets where 265 266 there is amplified cyclonic shear and enhanced downstream development of baroclinic disturbances (Figs. 3b, 4b). Fig. 4b also highlights that the strong-storm track density (shades) resem-267 268 bles the track density for all winter storms (as stated, those with  $PV_{max} \ge 1$  PVU, the threshold 269 for all-storm tracks, contours), the latter of which is consistent with those presented in Hoskins 270 and Hodges (2002) and other studies. This is particularly evident over the North Atlantic where 271 the NAA storm tracks for both strong storms and all storms extend northeastward from central North America into the higher latitudes near Iceland. The mean intensity statistic denotes the av-272 273 erage strength of the strong-storm tracks identified in DJF (Fig. 4c). The strong-storm tracks are 274 most intense where the corresponding track densities are highest (i.e., in the eastern North Pa-275 cific and western North Atlantic Oceans, and the Mediterranean Sea). The Pacific strong-storm 276 track intensity (shades) shows an eastward shift relative to the corresponding all-storm track 277 (contours). The substantial increase in the strength of strong Pacific storms towards the eastern ocean is indicative of their potential destructive power as they move eastward and hit the North 278 279 American west coast. Unlike the Pacific track, the NAA strong-storm track retains its high inten-

sity across its respective ocean basin. This suggests that the colocation of the low-level baroclinic zone with the highly active NAA strong-storm track helps to invigorate intense storms in
the western Atlantic; in turn, the storms act to reinforce the intensity of the storm track as they
propagate across the ocean.

Figs. 4d-e illustrate the general temporal evolution of strong storms (shades) that follow the storm tracks. The genesis density statistic in Fig. 4d highlights regions of cyclogenesis, i.e., the location of the strong storms' initial development. Regions of strong-storm decay are represented by the lysis density statistic (Fig. 4e). Corresponding characteristics of the all-storm tracks are also shown by contours in Figs. 4d-e to display the similarity in behavior between the allstorm and strong-storm tracks.

290 Strong storms that can affect North American weather tend to develop in small groups near low-level baroclinic zones westward of where the storm tracks peak in intensity (Figs. 3a, 291 292 4d). The storms propagate eastward and become strongest over the eastern North Pacific and 293 western North Atlantic Oceans (Fig. 4c). As they continue to move eastward the strong storms 294 tend to decay (Fig. 4e), in part as they encounter high orography and become disorganized and either dissipate or reorganize leeward of the orography and reinvigorate (Fig. 4d-e). Fig. 4d also 295 shows and supports that strong storms, e.g., intense winter nor'easter storms, which in part are 296 influenced by heat fluxes over the Gulf Stream, tend to develop over the western North Atlantic 297 298 Ocean near the northeastern United States (Kuo et al. 1991; Davis and Dolan 1993; Yao et al. 299 2008).

300 In the analysis of strong-storm tracks that influence North American weather, it is desira-301 ble to take into account the corresponding patterns of diabatic heating for the atmospheric column. Figs. 5a-c present the diabatic heating climatology, the heating during all storm activity, 302 303 and the heating during strong storm activity, respectively. The climatology shows positive heat-304 ing rates in the western North Pacific and western North Atlantic Oceans (Fig. 5a), and this pat-305 tern resembles the low-level baroclinic instability (Figs. 3a). The distribution of positive heating rates in the Northern Hemisphere winter is influenced by the distribution of the warm Kuroshio 306 and Gulf Stream currents in the western North Pacific and North Atlantic Oceans, respectively, 307 308 and by the zonal asymmetry of the land-ocean distribution (Brown 1964; Geller and Avery 1978; Wei et al. 1983). In contrast to the climatology, the heating during all storm activity increases in 309 310 strength and spreads across the North Pacific and North Atlantic Oceans in the mid-latitudes (Fig. 5b). The heating is even more intense during strong storm activity (Fig. 5c). In the North 311 Pacific, the heating further intensifies in the east where the Pacific strong-storm track is most in-312 313 tense, and it remains strong as it spreads up and down the west coast of North America. In rela-314 tion to the NAA strong-storm track, the heating is most intense over the western North Atlantic and remains strong across the ocean where the storm track retains its high intensity. 315

Fig. 5d presents the ratio of the positive heating rates related to strong-storm activity to the positive heating rates related to all-storm activity. This comparison between the strong-storm and all-storm heating reveals that the heating related to the strong-storm tracks is at least 25% more intense than the heating related to the all-storm tracks over the Pacific and Atlantic Oceans where the storm tracks are strongest. Moreover, in the lower mid-latitudes, the strong-storm heating is up to 3 times more intense than the all-storm heating.

Deep convection associated with strong-storm activity is obtained directly as a diagnostic from the CFSR database (Fig. 6). High positive heating rates associated with deep convection are found in each of the strong-storm track regions and are highest where the storm tracks are most intense (see Figs. 4b-c). Furthermore, the heating from deep convection largely resembles the diabatic heating distribution in the strong-storm track regions (Figs. 5c), suggesting that deep convective processes dominate the strong-storm tracks in the free atmosphere.

The strong-storm diabatic heating in the western North Atlantic corresponds with the higher track density and is more intense than the heating in the North Pacific (Figs. 4b, 5c). Similar relationships are found in the deep convection associated with strong-storm tracks (Fig. 6). Along with the local SW-NE oriented low-level baroclinic zone and upper-level jet near the east coast of North America (Fig. 3), the stronger heating in the Atlantic promotes greater instability and increased cyclonic activity (Fig. 4b), supporting the findings of Brayshaw et al. (2009).

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## 335

## 4. RELATION OF STORM TRACKS TO SURFACE WEATHER

The near-surface wind distribution can change dramatically during the evolution of intense extratropical cyclones, and this is cause for concern for two reasons. First, in populated areas there is great potential for the wind to inflict serious damage and put lives in jeopardy, and second, over open waters strong near-surface winds have great impacts where maritime transportation, fishing vessels, and manned offshore oil and gas production units are most vulnerable (Bell et al. 2017). Strong storms can also change the winter precipitation distribution by generating excessive amounts in a relatively short amount of time (days to a week). Lasting and possibly devastating effects like major flooding and wind damage may result leading to states of emergency, especially when the cumulative impacts and insurance losses from several storms occurring in rapid succession are considered (Mailier et al. 2006). The patterns of intense near-surface
winds and heavy precipitation rates in strong-storm tracks over North America are explored next.

347

#### 348 a. Near-surface Winds

The relation between the storm tracks and near surface winds will be discussed next with 349 350 the support of Figs. 7 and 8. In the absence of storm activity (Fig. 7a), near-surface winds achieve magnitudes of about 4-6 m s<sup>-1</sup> and resemble the pattern of the upper-level jet presented 351 in Fig. 3b with primarily eastward and northeastward directions in the North Pacific and North 352 Atlantic Oceans, respectively. Fig. 7b shows that for both the Pacific and NAA all-storm tracks 353 (i.e., storms with  $PV_{max} \ge 1 PVU$ ), the near-surface winds intensify where the storm tracks are 354 355 strongest and shift eastward in the eastern ocean basins. The winds associated with the strongstorm tracks (i.e.,  $PV_{max} \ge 4.8 PVU$ ) presented in Fig. 7c show further intensification and a 356 stronger eastward shift over the oceans. 357

The increases in wind speed related to the all-storm tracks are better seen in Figs. 8a and 8b that depict the difference and ratio, respectively, between the all-storm related wind speeds and the no-storm wind speeds. Likewise, Figs. 8c and 8d depict the wind speed difference and ratio between strong-storm and no-storm events. In the North Pacific Ocean, wind speeds increase in the eastern basin where the all-storm track is strongest (Fig. 8a), particularly in the lower and higher mid-latitudes where they are over 5 times more intense (Fig. 8b). The winds over the ocean further intensify during strong storm activity (Figs. 8c-d), helping to drive strong 365 storms eastward to the North American coast. Moving to the Atlantic sector, wind speeds are 366 found to intensify across the North Atlantic but particularly in the west and lower mid-latitudes with a secondary maximum towards the northeastern sector (Figs. 8a-b; also seen in Fig 7c). 367 368 During strong storm activity, wind speeds are further enhanced, specifically in the west just offshore of North America (Figs. 8c-d). Over land, near-surface wind speeds related to the all-storm 369 tracks increase east of the Rocky Mountains (Figs. 8a-b). Greater intensification in the wind 370 speeds is evident during strong-storm events (Figs. 8c-d), specifically in the eastern US where 371 the corresponding strong-storm track strengthens (see Fig. 4c). 372

Overall the strong-storm tracks leave greater imprints in the near-surface wind field in the North Atlantic than in the North Pacific (Fig. 7c), most notably just offshore of North America where maritime shipping and oil platforms are exposed. Increases in wind speeds near the coasts are also more pronounced in the western North Atlantic (Figs. 8c-d), consistent with the distributions of diabatic heating and deep convection that indicate greater baroclinic instability in the region (see Figs. 5c, 6). This would suggest that maritime operations in the western North Atlantic are more at risk to damage by way of near-surface winds associated with the strong-storm tracks.

The potential damage associated with extratropical strong-storm tracks over land in North America is assessed taking into account very high near-surface storm wind speeds, i.e., those that exceed the local 98<sup>th</sup> percentile (Fig. 9). Areas east of high orography experience the highest percent of strong-storm days with near-surface wind speeds above the 98<sup>th</sup> percentile (Fig. 9a). As seen in Fig. 9b, these same areas also experience the most intense wind speeds related to the strong-storm tracks. 386 Intense near-surface winds do not necessarily imply damage, unless they occur over populated areas. Fig. 9c presents the 2010 population number obtained from the LandScan Global 387 Population Project following the methodology in Dobson et al. (2000), which is used for the cal-388 culation of the storm loss index presented in section 2c. The storm loss estimate (Fig. 9d) high-389 lights the regions that are most vulnerable to damages from very high storm winds. Within North 390 391 America, these areas are in the eastern US spanning from the Midwest to the east coast states, as well as along the southwestern US coast. A comparison with Fig. 9a reveals that storm wind loss 392 in these areas is associated with up to 16% of strong storms in winter. 393

394

#### 395 *b. Precipitation*

Figure 10 presents the relation between the storm tracks and surface precipitation. Figs. 396 397 10a and 10b show the precipitation distributions related to all-storm tracks and to strong-storm 398 tracks, respectively. Consistent with the findings in Hawcroft et al. (2012), the all-storm and strong-storm precipitation maxima are found in the North Pacific and North Atlantic Oceans as 399 400 well as along the west coast of North America. Secondary precipitation maxima are found in the 401 southeastern United States. Overall the storm tracks leave greater imprints in the precipitation in 402 the North Atlantic than in the North Pacific, possibly associated with the warmer waters that fa-403 vor increased baroclinic instability and deep convection (see Figs. 3a, 6).

The difference and ratio between the strong-storm and all-storm track precipitation (Figs. 10c and 10d respectively) indicate the noticeable increases in precipitation that result from the fewer but stronger storms. These increases are evident over the oceans where the storm tracks are most intense. The percent contribution of strong-storm precipitation to the all-storm precipitation 408 (Fig. 10e) shows that strong storms represent about 16% of all storms, yet they contribute 3050% of the precipitation associated with the Pacific and NAA storm tracks (discussed further be410 low).

As expected, precipitation associated with strong-storm activity in the eastern Pacific 411 Ocean is more intense than that associated with all-storm activity (Figs. 10a-c). Towards the US 412 west coast, precipitation increases during strong-storm activity (Figs. 10c-d) and contributes to 413 414 almost half of the all-storm precipitation in the region (Fig. 10e), exposing local fishing and other maritime operations to potential damage. Further increases are found as North America's 415 land contrasts and orographic effects come into play: in the western US, increases of 50% are 416 417 found during strong storm activity (Figs. 10d-e). This supports the notion that cyclones aloft lead 418 to large accumulations of precipitation upstream of great mountain ranges and other high orography (Garreaud 2007). Farther east, strong-storm tracks are also associated with more intense pre-419 cipitation rates (Fig. 10c-d), contributing to over 30% of the all-storm precipitation (Fig. 10e). 420 421 Orography in the northeastern United States can further boost the precipitation from strong 422 storms. Similar results are found in the western North Atlantic where the enhanced strong-storm 423 precipitation contributes to 30% of the all-storm precipitation (Figs. 10c-e).

The susceptibility to damage from heavy precipitation, i.e., precipitation rates exceeding the local 98<sup>th</sup> percentile, during strong storm activity is investigated for North America (Fig. 11). The percent of strong-storm days with precipitation rates exceeding the local 98<sup>th</sup> percentile is presented in Fig. 11a. Distributions of heavy precipitation related to the all-storm and strongstorm tracks are shown in Figs. 11b and 11c, respectively. The heaviest precipitation related to the all-storm and strong-storm tracks is found along the west coast and in the southeastern US

430 (Figs. 11b-c). The strong-storm tracks in particular likely play key roles in shaping the precipita-431 tion distribution in the southeastern US as the region experiences a relatively high percentage of strong-storm days with precipitation exceeding the 98<sup>th</sup> percentile (Fig. 11a). Along the west 432 433 coast where there are fewer strong-storm days with heavy precipitation, it is likely that in addition to the strong-storm tracks, other factors such as topography and land-ocean contrasts may 434 influence the distribution of heavy precipitation. In the central US, the high percentage of strong-435 436 storm days with heavy precipitation corresponds to low strong-storm precipitation rates (Figs. 11a,c). This indicates that in winter the region is relatively dry during strong-storm activity and 437 is therefore less likely to experience loss associated with precipitation exceeding the 98<sup>th</sup> percen-438 tile. 439

440 Fig. 11d highlights the differences between the heavy strong-storm and all-storm track precipitation, and Fig. 11e presents the percent contribution of strong storms to all-storm precipi-441 tation that exceeds the 98<sup>th</sup> percentile. Substantial increases in precipitation rates during strong 442 443 storm activity are found in the southeastern US and near the US east coast (Fig. 11d). Areas with 444 the largest increases in heavy precipitation correspond to regions where strong storms contribute 445 well over 30% of the all-storm precipitation (Fig. 11e), indicating their vulnerability to damage 446 related to heavy strong-storm precipitation. The southeastern US is particularly vulnerable as 447 precipitation is greatly enhanced during strong storm activity and contributes almost 50% of 448 heavy all-storm precipitation in the region.

449

450 c. Reanalysis vs. observed precipitation related to the Storm Tracks

The precipitation blending algorithm in CFSR combines pentad Climate Prediction Cen-451 452 ter (CPC) Merged Analysis of Precipitation (CMAP) and daily gauge precipitation analyses of varying spatial resolutions with background 6-hourly precipitation from the Global Data Assimi-453 454 lation System, GDAS (Saha et al. 2010). The blending algorithm in CFSR is latitude dependent: 455 in the tropics it tends to the CMAP analysis, in the mid-latitudes to a gauge analysis, and in the high latitudes to the model precipitation. Therefore, despite CFSR including precipitation in its 456 assimilation cycle, deviations from observations may occur. During 1999-2010, daily GPCP pre-457 cipitation rates are considerably less intense than the daily reanalysis precipitation rates (not 458 459 shown, but almost identical to the 1980-2010 reanalysis precipitation rates), particularly north of 460 60°N along the southern coastlines of Alaska and Greenland. As stated, this and other differences in winter precipitation between CFSR and GPCP may be due to multiple reasons, including the 461 precipitation blending algorithm in CFSR but also inadequate satellite-driven estimations of pre-462 cipitation at high latitudes included in the daily GPCP dataset (Bolvin et al. 2009). 463

464 We examine whether the relation of the strong-storm tracks with the daily reanalysis pre-465 cipitation is maintained over North America when using precipitation derived from observations, 466 that is, the daily precipitation from GPCP (section 2). To this end, and despite that GPCP became 467 available in 1996, the period 1999-2010 is examined to avoid any eventual spurious effects due 468 to the 1998 discontinuity found in CFSR. The 1999-2010 daily precipitation distributions associ-469 ated with the all-storm and strong-storm tracks for GPCP are shown in Figs. 12a and 12b, respec-470 tively. Comparison with the reanalysis precipitation (Figs. 10a-b) indicates that they share similar spatial distributions with local maxima over the eastern North Pacific Ocean, the western 471 North Atlantic Ocean, the west coast of North America, and the southeastern United States. Nev-472 473 ertheless, the GPCP precipitation does exhibit weaker intensities, particularly in the Pacific and

474 NAA storm track regions over the oceans. It is likely that the discrepancy in magnitude results
475 from uncertainties in the oceanic observations of precipitation described in Adler et al. (2012).

476 Similar inferences can be noted in the difference (Fig. 12c) and ratio (Fig. 12d) of the observed precipitation related to the all-storm and strong-storm tracks. Differences in the reanalysis 477 478 and observed precipitation metrics are noted particularly in the western North Atlantic Ocean 479 where the observed precipitation related to the strong-storm tracks is shown to decrease (Fig. 480 12c). As already stated, the uncertainties in oceanic observations may play a role in this discrepancy. Over land, the observed precipitation differences and ratios in Figs. 12c and 12d show in-481 creases along the US west coast and in the southeastern US, consistent with the reanalysis (see 482 Figs. 10c-d). The contribution of strong storms to the observed all-storm precipitation is pre-483 484 sented in Fig. 12e. As depicted in the reanalysis (Fig. 10e), observations show that strong storms 485 contribute over 30% of the all-storm precipitation over land and the oceans.

486 We also analyze the relation of the storm tracks with precipitation from GPCP that ex-487 ceeds the local 98<sup>th</sup> percentile in North America (Fig. 13). Comparison of the reanalysis (Figs. 11b-e) and observational metrics reveal similarities despite the weaker GPCP intensities. The in-488 tense precipitation observed over the continent (Figs. 13a-b) corresponds qualitatively well with 489 490 the reanalysis, in particular in the eastern US and along the North American west coast where the 491 precipitation is further enhanced during strong storm activity (Fig. 13c). According to Fig. 13d, strong storms contribute over 30% of the all-storm precipitation that exceeds the 98<sup>th</sup> percentile 492 in regions where large increases are observed. The results indicate that the eastern US and the 493 west coast of North America are most prone to damage from heavy strong-storm precipitation, 494

495 consistent with the findings using CFSR (section 4b). In general, we find that the reanalysis pre496 cipitation distributions related to the all-storm and strong-storm tracks are consistent with obser497 vations.

498

#### 499 *d.* The 1998 CFSR data discontinuity and the Storm Tracks

It was earlier stated that the reanalysis data show a discontinuity in the wind and precipi-500 tation fields in October 1998 thought to be due to the ingestion of data from ATOVS at the time. 501 502 For instance, after 1998 there is a marked decrease in the intensity of low-level winds in the trop-503 ics and an increase in the global average precipitation (Chelliah et al. 2011; Wang et al. 2011). We investigate what impact, if any, this jump has on the results. To this end, the subset periods 504 of 1980-1998 (hereafter, the early period) and 1999-2010 (hereafter, the later period) are ana-505 lyzed. Table 1 displays relevant strong-storm statistics for the early and later periods to assess 506 507 any change in the strong winter storm tracks that could impact the North American climate. The 508 statistics are normalized to units per season and include the number of strong storms identified, 509 the mean intensity of the strong storms, and the average maximum intensity reached by the 510 strong storms during each period. Furthermore, each decade between 1980 and 2010 is examined 511 to explore the possibility of a trend in the storm tracks regardless of the discontinuity.

The more important feature noted in Table 1a is that no noticeable variations are found in the statistical means between the early and later periods and among the decades within 1980-2010. This indicates that the CFSR discontinuity does not significantly influence NH storm track

behavior. Further, the effect of the discontinuity on the Pacific and NAA strong-storm tracks separately is investigated (Tables 1b-c), and it is found again that the behavior of each of the storm
tracks is unaffected.

A related evaluation was performed for the relation between the strong-storm tracks and the near-surface wind and precipitation distributions (not shown). Again, it was found that the 1998 CFSR discontinuity has little or no influence on the results corresponding to North American high impact weather. The wind speed associated with strong-storm tracks in each of these periods resembles that for the entire period and the same is true for the strong-storm precipitation. In summary, it is found that the CFSR discontinuity does not affect any of the features discussed in this article.

525

## 526 5. CONCLUDING REMARKS

The behavior of strong winter storm tracks and their imprint on storm track-related 527 weather in North America are discussed using 31 years of data from the Climate Forecast System 528 529 Reanalysis and 12 years of precipitation data from the Global Precipitation Climatology Project. 530 It is found that a data discontinuity in October 1998 in CFSR does not affect the behavior of the 531 Northern Hemisphere storm tracks, nor does it influence their relation with North American winter weather. Storms are defined as maxima in potential vorticity and objectively tracked through 532 533 their lifecycles following a Lagrangian approach. Two types of storm tracks are discussed: the 534 first one, "all-storm tracks", includes all extratropical cyclones whose maximum PV intensities 535 exceed a low threshold of 1 PVU; the second type, "strong-storm tracks", only includes storms 536 that achieve a maximum potential vorticity of at least 4.8 PVU, which is the value exceeding the

537 mean intensity of storms comprising the Pacific and NAA storm tracks by one standard devia-538 tion. These more intense extratropical cyclones make up about 16% of all winter storms. Both all-storm tracks and strong-storm tracks are found to correspond well with those described in 539 540 previous studies: over the North Pacific Ocean and over the North Atlantic Ocean (as well as a weaker one over the Mediterranean Sea). In addition to detecting larger structures like the mean 541 542 intensity of the storm tracks, and because of the dependence of PV on higher order derivatives, small-scale features of the storm tracks are easily differentiated, i.e., regions of cyclogenesis and 543 cyclolysis. The cyclogenesis pattern shows that strong storms generally develop near low-level 544 545 baroclinic zones. The cyclolysis pattern reveals that the strong storms tend to dissipate in the eastern North Pacific Ocean, the western North Atlantic Ocean near eastern Canada, and a sec-546 ondary area over the central United States. The symbiotic relation between storm tracks and dia-547 batic heating is evidenced in the large increases in diabatic heating associated with deep convec-548 tive processes. The heating increases occur where the strong-storm tracks are most intense, in 549 550 particular over the oceans.

551 The analysis of the relation of strong-storm tracks to the near-surface wind distribution 552 indicates that the winds shift eastward during strong storm activity. Furthermore, the wind 553 speeds increase over the oceans where the storm tracks are most intense, i.e., in the eastern North 554 Pacific and western North Atlantic Oceans. Over North America, areas east of the Rockies ex-555 hibit large increases in wind speed during strong storm activity. It is found that the precipitation 556 associated with strong-storm tracks is most intense where they are strongest. Moreover, the precipitation during strong storm activity is more intense than that during all storm activity, espe-557 cially in the North Atlantic Ocean where the NAA storm track density is particularly high. While 558

strong-storms make up about 16% of all-storms, they contribute 30-50% of the all-storm precipitation over the oceans and over North America. Calculations based on an observed precipitation
dataset (GPCP) confirm results based only on CFSR products and thus support the robustness of
the findings.

563 The analysis of very high wind speeds and heavy precipitation related to the strong-storm 564 tracks provides an inference of their destructive potential in North America. While the most in-565 tense strong-storm wind speeds are found in the central United States, areas most likely to experience the greatest storm wind-related loss span from the Midwest to the east coast states as well 566 as along the southwestern US coast. Heavy precipitation is further enhanced during strong storm 567 activity, with the largest increases occurring along the west coast, in the southeastern US, and 568 569 near the US east coast. In these areas, strong storms contribute over 30% of the all-storm precipitation that exceeds the local 98<sup>th</sup> percentile, indicating their vulnerability to damages from heavy 570 precipitation during strong storm activity. 571

572 Our findings indicate that strong-storm tracks leave a significant imprint on winter 573 weather in North America, despite making up a small fraction of all storms that develop. This 574 imprint depends not only on dynamical features but also on the density of the population, thus 575 showing the greatest loss in the eastern US and North American coasts. Over the water, it would 576 be expected that oil platforms and maritime shipping and fishing craft are most vulnerable to 577 storm-related damages just offshore of the US coasts.

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гог	The storm trainstories used in this study have been made freely evoilable online in ASCII
202	The storm trajectories used in this study have been made freery available online in ASCI
586	form on the Cooperative Institute for Climate and Satellites-Maryland (CICS-MD) website,
587	http://cicsmd.umd.edu/data-downloads/data-sets/
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# 744 Table

745

	(a) Northern Hemisphere	1980-2010	1980-1998	1999-2010	1981-1990	1991-2000	2001-2010
	Number of Strong Storms (season <sup>-1</sup> )	26	24	29	26	24	30
	Mean Intensity (PVU)	3.8	3.8	3.8	3.8	3.8	3.8
	Average Max Intensity (PVU)	5.4	5.4	5.4	5.4	5.4	5.4
746							
	(b) Pacific storm track	1980-2010	1980-1998	1999-2010	<i>1981-1990</i>	1991-2000	2001-2010
	Number of Strong Storms (season <sup>-1</sup> )	9	9	9	10	7	9
	Mean Intensity (PVU)	3.8	3.8	3.8	3.8	3.7	3.8
	Average Max Intensity (PVU)	5.3	5.3	5.3	5.3	5.3	5.3
747							
	(c) North American-Atlantic storm track	1980-2010	1980-1998	1999-2010	<i>1981-1990</i>	1991-2000	2001-2010
	Number of Strong Storms (season <sup>-1</sup> )	6	5	8	5	6	8
	Mean Intensity (PVU)	3.8	3.8	3.8	3.8	3.8	3.9
	Average Max Intensity (PVU)	5.3	5.3	5.3	5.3	5.3	5.3
748							
749							

**Table 1**: Statistics for the DJF strong-storm tracks for (a) the entire Northern Hemisphere, (b) the Pacific storm track, and (c) the

751 North American-Atlantic storm track. In (b) and (c), only strong storms that develop within the specified storm track domain are in-

rsc cluded. The first column shows the values for the entire 31-year period. The following two columns denote the early and later periods.

753 The last three columns highlight the values for each decade.

## 754 Figure Caption List

Figure 1: DJF mean zonal state in the Northern Hemisphere for 1980-2010. The mean zonal 755 wind is shaded with 5.0 m s<sup>-1</sup> intervals. Line contours indicate the vertical distribution of 756 mean zonal isentropic surfaces at a 10 K contour interval. The bold black line highlights 757 the  $\theta$ =320K surface on which the mid-latitude storm tracks are defined. 758 759 Figure 2: Histogram of all DJF storms binned by maximum intensity in the Northern Hemisphere 760 for 1980-2010. Maximum intensity bins are shown in the x-direction at an interval of 0.2 PVU. Storms included in the all-storm track analysis have maximum intensities of 1 PVU 761 or greater. Strong storms that follow the Pacific (PAC) or North American-Atlantic 762 (NAA) storm tracks have maximum intensities of 4.8 PVU or greater and are highlighted 763 764 in warm colors. In parentheses in the labels, NH signifies the statistics for the Northern Hemisphere, while ST indicates the statistics for the PAC and NAA storm tracks. 765 Figure 3: (a) 1980-2010 DJF Eady growth rate average for the 850-700 hPa layer. Values ex-766 ceeding 0.2 day<sup>-1</sup> are shaded at 0.2 day<sup>-1</sup> intervals. Masked areas over the continents indi-767 768 cate regions where the land extends above the 850-hPa surface. (b) Zonal mean wind at 200-hPa. Values exceeding 15 m s<sup>-1</sup> are shaded. 769 770 Figure 4: Storm track statistics in the Northern Hemisphere DJF season for 1980-2010. All-storm 771 tracks properties are depicted in contours, while the strong-storm track properties are 772 shaded. (a) Individual trajectories of strong storms; (b) Track density for all-storm tracks 773 (contours at intervals of 3.0 storms per 10e6  $\text{km}^2$  per month) and strong-storm tracks (shaded at intervals of 0.5 storms per 10e6 km<sup>2</sup> per month); (c) Mean intensity of all-774 storm tracks (contour intervals of 0.4 PVU) and strong-storm tracks (shaded at intervals 775 776 of 0.2 PVU); (d) cyclogenesis density for all-storm tracks (contours at intervals of 0.4

777	storms per 10e6 km <sup>2</sup> per month) and strong-storm tracks (shaded at intervals of 0.05
778	storms per 10e6 km <sup>2</sup> per month); (e) as (d) but for cyclolysis.
779	Figure 5: DJF 1980-2010 vertically averaged 900-100 hPa diabatic heating: (a) Climatology; (b)
780	during all storm activity; and (c) during strong storm activity. (d) The ratio (%) of the
781	strong-storm diabatic heating to the all-storm diabatic heating. Shaded regions in (d) indi-
782	cate areas where the all-storm and strong-storm heating rates are positive.
783	Figure 6: Mean heating from deep convection during strong storm activity averaged between
784	900-100 hPa in the Northern Hemisphere DJF season for 1980-2010. Contour interval is
785	1.0 K day <sup>-1</sup> . Regions outside the all-storm track regions are masked out.
786	Figure 7: Mean near-surface wind distributions on the hybrid level 1 in DJF for 1980-2010 (a)
787	during no storm activity, (b) during all storm activity, and (c) during strong storm activ-
788	ity. Shaded intervals are 2.0 m s <sup>-1</sup> . In (b) and (c), regions outside the all-storm track re-
789	gions are masked out.
790	Figure 8: Wind speed comparisons based on Fig. 7. (a) Difference between all-storm wind speed
791	and no-storm wind speed. (b) Ratio (%) of the all-storm wind speed to the no-storm wind
792	speed. (c) Difference between strong-storm wind speed and no-storm wind speed. (d) Ra-
793	tio (%) of strong-storm wind speed to the no-storm wind speed. In (a) and (c), shaded in-
794	tervals are 1.0 m s <sup>-1</sup> . In (b) and (d), values exceeding 100% are shaded with intervals of
795	50%. Regions outside the all-storm track regions are masked out.
796	Figure 9: Analysis of intense near-surface wind speeds in DJF for 1980-2010 in North America.
797	(a) Percent of strong-storm days with wind speeds exceeding the local 98 <sup>th</sup> percentile.
798	Shaded intervals are $2\%$ . (b) Mean strong-storm wind speeds exceeding the local $98^{th}$

800 1e4 people. (d) The strong-storm wind speed loss index with an interval of 5e5 and all801 positive values shaded.

- Figure 10: Analysis of CFSR precipitation rates (PR) during DJF for 1980-2010. (a) The mean 802 803 precipitation during all storm activity, and (b) the mean precipitation during strong storm activity. In (a) and (b), shaded intervals are 1.0 mm day<sup>-1</sup>. (c) The difference between 804 strong-storm precipitation and all-storm precipitation with an interval of 0.5 mm day<sup>-1</sup>. 805 (d) The ratio (%) of strong-storm precipitation to all-storm precipitation with an interval 806 of 10% and values exceeding 100% are shaded. (e) Percent contribution of strong storms 807 to all-storm precipitation with an interval of 5%. For all panels, areas outside the all-808 storm track regions are masked out. 809 Figure 11: Analysis of CFSR intense precipitation rates (PR) in DJF for 1980-2010 in North 810 811 America. (a) The percent of strong-storm days with precipitation exceeding the local 98<sup>th</sup> percentile. Shaded intervals are 1%. (b) The all-storm precipitation that exceeds the local 812 98<sup>th</sup> percentile. Shaded intervals are 10 mm day<sup>-1</sup>. (c) As in (b) but for strong-storm pre-813 814 cipitation. (d) The difference between strong-storm precipitation and all-storm precipitation. Shaded intervals are 2.0 mm day<sup>-1</sup>. (e) Percent contribution of strong storms to all-815 storm precipitation with an interval of 5% and all values exceeding 10% shaded. Masking 816 for all panels indicates areas where storm precipitation falls below the local 98<sup>th</sup> percen-817 tile. 818 Figure 12: As in Fig. 10 but for GPCP precipitation for 1999-2010. 819 Figure 13: As in Figs. 11b-e but for GPCP precipitation for 1999-2010. 820
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Figure 1: DJF mean zonal state in the Northern Hemisphere for 1980-2010. The mean zonal wind is shaded with 5.0 m s<sup>-1</sup> intervals. Line contours indicate the vertical distribution of mean zonal isentropic surfaces at a 10 K contour interval. The bold black line highlights the  $\theta$ =320K surface on which the mid-latitude storm tracks are defined. 



**Figure 2**: Histogram of all DJF storms binned by maximum intensity in the Northern Hemi-

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parentheses in the labels, NH signifies the statistics for the Northern Hemisphere, while ST indi-

cates the statistics for the PAC and NAA storm tracks.



Figure 3: (a) 1980-2010 DJF Eady growth rate average for the 850-700 hPa layer. Values exceeding 0.2 day<sup>-1</sup> are shaded at 0.2 day<sup>-1</sup> intervals. Masked areas over the continents indicate regions where the land extends above the 850-hPa surface. (b) Zonal mean wind at 200-hPa. Values exceeding 15 m s<sup>-1</sup> are shaded.







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during all storm activity; and (c) during strong storm activity. (d) The ratio (%) of the strongstorm diabatic heating to the all-storm diabatic heating. Shaded regions in (d) indicate areas

860 where the all-storm and strong-storm heating rates are positive.



Figure 6: Mean heating from deep convection during strong storm activity averaged between 900-100 hPa in the Northern Hemi sphere DJF season for 1980-2010. Contour interval is 1.0 K day<sup>-1</sup>. Regions outside the all-storm track regions are masked out.



Figure 7: Mean near-surface wind distributions on the hybrid level 1 in DJF for 1980-2010 (a)

- during no storm activity, (b) during all storm activity, and (c) during strong storm activity.
- 873 Shaded intervals are 2.0 m s<sup>-1</sup>. In (b) and (c), regions outside the all-storm track regions are
- 874 masked out.



Figure 8: Wind speed comparisons based on Fig. 7. (a) Difference between all-storm wind speed and no-storm wind speed. (b) Ratio
(%) of the all-storm wind speed to the no-storm wind speed. (c) Difference between strong-storm wind speed and no-storm wind
speed. (d) Ratio (%) of strong-storm wind speed to the no-storm wind speed. In (a) and (c), shaded intervals are 1.0 m s<sup>-1</sup>. In (b) and
(d), values exceeding 100% are shaded with intervals of 50%. Regions outside the all-storm track regions are masked out.



Figure 9: Analysis of intense near-surface wind speeds in DJF for 1980-2010 in North America. (a) Percent of strong-storm days with
 wind speeds exceeding the local 98<sup>th</sup> percentile. Shaded intervals are 2%. (b) Mean strong-storm wind speeds exceeding the local 98<sup>th</sup>
 percentile. Shaded intervals are 2 m s<sup>-1</sup>. (c) 2010 population number with an interval of 1e4 people. (d) The strong-storm wind speed
 loss index with an interval of 5e5 and all positive values shaded.



Figure 10: Analysis of CFSR precipitation rates (PR) during DJF for 1980-2010. (a) The mean precipitation during all storm activity, and (b) the mean precipitation during strong storm activity. In (a) and (b), shaded intervals are 1.0 mm day<sup>-1</sup>. (c) The difference between strongstorm precipitation and all-storm precipitation with an interval of 0.5 mm day<sup>-1</sup>. (d) The ratio (%) of strong-storm precipitation to allstorm precipitation with an interval of 10% and values exceeding 100% are shaded. (e) Percent contribution of strong storms to all-storm precipitation with an interval of 5%. For all panels, areas outside the allstorm track regions are masked out.



Figure 11: Analysis of CFSR intense precipitation rates (PR) in DJF for 1980-2010 in North America. (a) The percent of strongstorm days with precipitation exceeding the local 98<sup>th</sup> percentile. Shaded intervals are 1%. (b) The all-storm precipitation that exceeds the local 98<sup>th</sup> percentile. Shaded intervals are 10 mm day<sup>-1</sup>. (c) As in (b) but for strongstorm precipitation. (d) The difference between strong-storm precipitation and allstorm precipitation. Shaded intervals are 2.0 mm day<sup>-1</sup>. (e) Percent contribution of strong storms to all-storm precipitation with an interval of 5% and all values exceeding 10% shaded. Masking for all panels indicates areas where storm precipitation falls below the local 98<sup>th</sup> percentile.



**Figure 12**: As in Fig. 10 but for GPCP precipitation for 1999-2010.



**Figure 13**: As in Figs. 11b-e but for GPCP precipitation for 1999-2010.