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Statistics of silicate units in binary glasses

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In this paper, we derive a new model to determine the distribution of silicate units in binary glasses (or liquids). The model is based on statistical mechanics and assumes grand canonical ensemble of silicate units which exchange energy and network modifiers from the reservoir. This model complements experimental techniques, which measure short range order in glasses such as nuclear magnetic resonance (*NMR*) spectroscopy. The model has potential in calculating the amounts of liquid-liquid phase segregation and crystal nucleation, and it can be easily extended to more complicated compositions. The structural relaxation of the glass as probed by *NMR* spectroscopy is also reported, where the model could find its usefulness. *Published by AIP Publishing*. [<http://dx.doi.org/10.1063/1.4963341>]

I. INTRODUCTION

In binary alkali (R^{+1} ; $R \in \{\text{Li, Na, K, Rb, Cs}\}$) or alkaline earth (R^{+2} ; $R \in \{\text{Mg, Ca, Sr, Ba}\}$) silicate glasses (or liquids), silicates form tetrahedral structures that are connected to each other by corner sharing.¹ The oxygens in these glasses exist in three forms, namely, (1) free oxygens (*FOs*, O^{-2}), (2) non-bridging oxygens (*NBOs*, O^{-1}), and (3) bridging oxygens (*BOs*, O^0). Though, at lower concentrations of R_2O (or $\dot{R}O$), the amount of *FOs* in the composition is negligible.^{2,3} Providentially, these compositions are of interest to the glass science because of their glass forming ability. The *BOs* and *NBOs* are present on the corners of silicate tetrahedra where the *BOs* act as connectors between two tetrahedra, while the *NBOs* terminate the connectivity of a given tetrahedron. Therefore, depending upon the number of *NBOs* and *BOs* on a given silicate tetrahedron, the tetrahedron can be classified by Q_n notation where $n \in \{[0,4] \cap \mathbb{N}\}$ is the number of *BOs* on a given silicate tetrahedron.

Studies on the distribution of Q_n units are ubiquitous in the field of silicate based glasses. Techniques such as nuclear magnetic resonance (*NMR*) and Raman spectroscopies are routinely employed to assess the distribution of structural units. Also, there are many mathematical models that theoretically address this issue to gain fundamental understanding of this distribution. The binary model presumes only two types of Q_n units at each composition without taking account of the speciation reaction (R1); therefore, it only describes the distribution that corresponds only to crystalline silicates but not glasses. A pure statistical model based on binomial distribution was suggested, supposing a completely random distribution of *BOs* and *NBOs*.⁴ However, this model does not take into account the temperature effects. Further, Brandriss *et al.*⁵ suggested

a thermodynamic model to take temperature effects into consideration. In this model, equilibrium constants (k_n) are experimentally measured by assuming a speciation reaction (R1) and using the van't Hoff equation ΔH_n is calculated as follows:



$$k_n(T) = \frac{[Q_{n+1}][Q_{n-1}]}{[Q_n]^2} \Gamma,$$

$$\frac{\partial \ln k_n(T)}{\partial T} = \frac{\Delta H_n}{RT^2},$$

$$\frac{\Delta H_n}{R} = \frac{\ln k_n(T_2) - \ln k_n(T_1)}{\left(\frac{1}{T_1} - \frac{1}{T_2}\right)},$$

where $\Gamma \approx 1$ corresponds to a function of activity coefficients. By measuring k_n at any two different temperatures by *NMR* or Raman spectroscopy, ΔH_n is evaluated, and using the value of ΔH_n , k_n at other temperatures could be calculated. Another thermodynamic model of associated solutions was proposed, which employs rigorous thermodynamic theory of affinity.⁶⁻⁹ This model uses Gibbs free energy of formation for all the crystalline compounds formed in a particular glass system. Nevertheless, all these models use either pure statistics or macroscopic thermodynamics and therefore have their own limitations. A statistical mechanical model was proposed by Mauro¹⁰ for the glass systems having a single network modifier and multiple network formers. This model is based on non-central hypergeometric distribution where the bias is weighted by Boltzmann factors. The model provides a mathematical description for the distribution network modifiers among various network formers; however, it does not address the problem of Q_n distribution.

Therefore, in this paper we introduce a new statistical mechanical model for binary silicate glass systems in order to address the problem of Q_n distribution from a fundamental standpoint. The model assumes presence of

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no *FOs*. The model has a huge technological importance and has a potential to deal with some of the open problems in the field of glass science such as liquid-liquid phase segregation (*LLPS*), crystal nucleation, and structural relaxation.

II. FORMULATION OF THE MODEL

A. Defining silicate units

As described in the Introduction, silicate units are defined by the Q_n notation based on the number of *BO*(s) that surround a given Si atom. However, there have been a number of suggestions from *NMR* spectroscopy that in glass compositions, silicate units can be further described by considering the next-nearest neighbors.^{11–13} Based on this new description, the units can be defined as Q_4^{ijkl} (35), Q_3^{ijk} (20), Q_2^{ij} (10), Q_1^i (4), and Q_0 (1), where $i, j, k, l \in \{[1,4] \cap \mathbb{N}\}$. For example, a Q_3^{334} unit would have three *BOs*, out of which, two are connected to Q_3 units and one is connected to a Q_4 unit (Fig. 1). According to this new definition, there would be 70 different types of silicate units, from all the combinations of the superscripts as listed in Table I. However, in this paper we introduce a new S_n^m notation that is more suitable for the derivation of the model where $n \in \{[0,4] \cap \mathbb{N}\}$, while $m \in \{[1, m(n)] \cap \mathbb{N}\}$. Here n has same meaning as in Q notation, corresponding to the internal structure of the unit, i.e., the amount of alkali or alkaline metal ions present in it. While m corresponds to the external structure, i.e., the types of units a given silicate unit is connected to, and m maps a particular combination of $ijkl$ of a Q notation. A comparison between Q notation and S notation is shown in Table I. In this paper, both notations are used interchangeably according to the convenience (Fig. 1). We also define different types of *BOs* in the glass by O_{ij} notation,

where O_{ij} is a *BO* connecting Q_i and Q_j ($i, j \in \{[1,4] \cap \mathbb{N}\}$) units together.

B. Statistical treatment

Consider a liquid of either alkali (R^{+1}) or alkaline earth (R^{+2}) silicate composition given by

$$R_2O \text{ or } \dot{R}O : x, \\ SiO_2 : 1.$$

Here, the amount of SiO_2 is scaled to unity and the addition of the network modifiers is given by the variable x , where $x \in [0,2]$, which corresponds to $R_2O\% \in [0,2/3]$. If P_n^m is probability (or fraction) of occurrence of a S_n^m microstate, then the constraints (1)–(3) must hold, which are constraints corresponding to the amounts of SiO_2 , energy, and R_2O or $\dot{R}O$, respectively,

$$\sum_{n,m} P_n^m = 1, \quad (1)$$

$$\sum_{n,m} E_n^m P_n^m = \langle E \rangle, \quad (2)$$

$$\sum_{n,m} n P_n^m = 2[2 - \langle x \rangle] = \langle N_{BO} \rangle, \quad (3)$$

where E_n^m is the energy of a given S_n^m microstate, while $\langle E \rangle$, $\langle x \rangle$ and $\langle N_{BO} \rangle \in [0,4]$ are the expected values of energy, composition, and the amount of *BOs* for a given ensemble. Additionally, because S_n^m notation takes into consideration the network linkages with its neighbors, there would be 10 more additional internal constraints connecting the probabilities of different S_n^m microstates corresponding to the 10 different types of *BOs* (O_{ij}). The equations are presented in Subsection 1 of the Appendix and they take the form given by the following:

$$\sum_{n,m} (i,j)_n^m P_n^m = 0. \quad (4)$$

The coefficients $(i,j)_n^m$ represent the number of network connections between Q_i and Q_j silicate units originating from a given S_n^m unit. The following examples illustrate the physical meaning of these coefficients:

- The value of $(3,2)_3^8$ which corresponds to the microstate S_3^8 (or Q_3^{224}) would be 2 because there are two $3 \rightarrow 2$ connections.
- The value of $(4,3)_3^1$ which corresponds to the microstate S_3^1 (or Q_3^{444}) would be -3 because there are three $3 \rightarrow 4$ connections, and the negative sign implies the reversal of the originating direction.
- The value of $(4,3)_3^{20}$ which corresponds to the microstate S_3^{20} (or Q_3^{111}) would be 0 because of the non-existence of any $4 \rightarrow 3$ connections.

All the values of the coefficients $(i,j)_n^m$ are presented in Table I. Basically, Equations (3) and (4) represent constraints corresponding to chemical composition and network connectivity, respectively. The entropy generated by

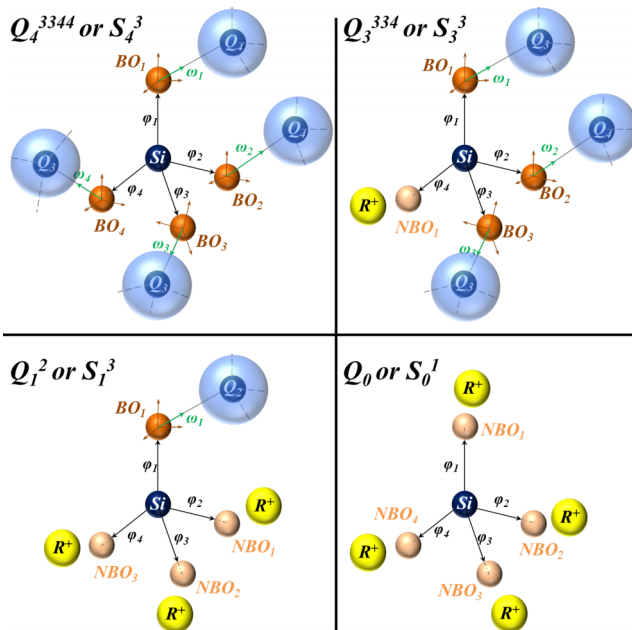


FIG. 1. Examples of silicate units and basis vectors corresponding to ϕ and ω .

TABLE I. Comparison between Q and S notations, and constants associated to network connectivity.

No.	S_n^m	$Q_n^{j\dots}$	$(4,3)_n^m$	$(4,2)_n^m$	$(4,1)_n^m$	$(3,2)_n^m$	$(3,1)_n^m$	$(2,1)_n^m$
Units of Q_4^{ijkl}								
1	S_4^1	Q_4^{4444}	0	0	0	0	0	0
2	S_4^2	Q_4^{3444}	1	0	0	0	0	0
3	S_4^3	Q_4^{3344}	2	0	0	0	0	0
4	S_4^4	Q_4^{3334}	3	0	0	0	0	0
5	S_4^5	Q_4^{3333}	4	0	0	0	0	0
6	S_4^6	Q_4^{2444}	0	1	0	0	0	0
7	S_4^7	Q_4^{2344}	1	1	0	0	0	0
8	S_4^8	Q_4^{2334}	2	1	0	0	0	0
9	S_4^9	Q_4^{2333}	3	1	0	0	0	0
10	S_4^{10}	Q_4^{2244}	0	2	0	0	0	0
11	S_4^{11}	Q_4^{2234}	1	2	0	0	0	0
12	S_4^{12}	Q_4^{2233}	2	2	0	0	0	0
13	S_4^{13}	Q_4^{2224}	0	3	0	0	0	0
14	S_4^{14}	Q_4^{2223}	1	3	0	0	0	0
15	S_4^{15}	Q_4^{2222}	0	4	1	0	0	0
16	S_4^{16}	Q_4^{1444}	0	0	1	0	0	0
17	S_4^{17}	Q_4^{1344}	1	0	1	0	0	0
18	S_4^{18}	Q_4^{1334}	2	0	1	0	0	0
19	S_4^{19}	Q_4^{1333}	3	0	1	0	0	0
20	S_4^{20}	Q_4^{1244}	0	1	1	0	0	0
21	S_4^{21}	Q_4^{1234}	1	1	1	0	0	0
22	S_4^{22}	Q_4^{1233}	2	1	1	0	0	0
23	S_4^{23}	Q_4^{1224}	0	2	1	0	0	0
24	S_4^{24}	Q_4^{1223}	1	2	1	0	0	0
25	S_4^{25}	Q_4^{1222}	0	3	1	0	0	0
26	S_4^{26}	Q_4^{1144}	0	0	2	0	0	0
27	S_4^{27}	Q_4^{1134}	1	0	2	0	0	0
28	S_4^{28}	Q_4^{1133}	2	0	2	0	0	0
29	S_4^{29}	Q_4^{1124}	0	1	2	0	0	0
30	S_4^{30}	Q_4^{1123}	1	1	2	0	0	0
31	S_4^{31}	Q_4^{1122}	0	2	2	0	0	0
32	S_4^{32}	Q_4^{1114}	0	0	3	0	0	0
33	S_4^{33}	Q_4^{1113}	1	0	3	0	0	0
34	S_4^{34}	Q_4^{1112}	0	1	3	0	0	0
35	S_4^{35}	Q_4^{1111}	0	0	4	0	0	0
Units of Q_3^{ijk}								
36	S_3^1	Q_3^{444}	-3	0	0	0	0	0
37	S_3^2	Q_3^{344}	-2	0	0	0	0	0
38	S_3^3	Q_3^{334}	-1	0	0	0	0	0
39	S_3^4	Q_3^{333}	0	0	0	0	0	0
40	S_3^5	Q_3^{244}	-2	0	0	1	0	0
41	S_3^6	Q_3^{234}	-1	0	0	1	0	0
42	S_3^7	Q_3^{233}	0	0	0	1	0	0
43	S_3^8	Q_3^{224}	-1	0	0	2	0	0
44	S_3^9	Q_3^{223}	0	0	0	2	0	0
45	S_3^{10}	Q_3^{222}	0	0	0	3	0	0
46	S_3^{11}	Q_3^{144}	-2	0	0	0	1	0
47	S_3^{12}	Q_3^{134}	-1	0	0	0	1	0
48	S_3^{13}	Q_3^{133}	0	0	0	0	1	0
49	S_3^{14}	Q_3^{124}	-1	0	0	1	1	0
50	S_3^{15}	Q_3^{123}	0	0	0	1	1	0
51	S_3^{16}	Q_3^{122}	0	0	0	2	1	0
52	S_3^{17}	Q_3^{114}	-1	0	0	0	2	0
53	S_3^{18}	Q_3^{113}	0	0	0	0	2	0
54	S_3^{19}	Q_3^{112}	0	0	0	1	2	0
55	S_3^{20}	Q_3^{111}	0	0	0	0	3	0

TABLE I. (Continued.)

No.	S_n^m	$Q_n^{ij\dots}$	$(4,3)_n^m$	$(4,2)_n^m$	$(4,1)_n^m$	$(3,2)_n^m$	$(3,1)_n^m$	$(2,1)_n^m$
Units of Q_2^{ij}								
56	S_2^1	Q_2^{44}	0	-2	0	0	0	0
57	S_2^2	Q_2^{34}	0	-1	0	-1	0	0
58	S_2^3	Q_2^{33}	0	0	0	-2	0	0
59	S_2^4	Q_2^{24}	0	-1	0	0	0	0
60	S_2^5	Q_2^{23}	0	0	0	-1	0	0
61	S_2^6	Q_2^{22}	0	0	0	0	0	0
62	S_2^7	Q_2^{14}	0	-1	0	0	0	1
63	S_2^8	Q_2^{13}	0	0	0	-1	0	1
64	S_2^9	Q_2^{12}	0	0	0	0	0	1
65	S_2^{10}	Q_2^{11}	0	0	0	0	0	2
Units of Q_1^i								
66	S_1^1	Q_1^4	0	0	-1	0	0	0
67	S_1^2	Q_1^3	0	0	0	0	-1	0
68	S_1^3	Q_1^2	0	0	0	0	0	1
69	S_1^4	Q_1^1	0	0	0	0	0	0
Units of Q_0								
70	S_0^1	Q_0	0	0	0	0	0	0

a given distribution of S_n^m microstates is given by

$$S = -k_B \sum_{n,m} [P_n^m \ln P_n^m], \quad (5)$$

where k_B is the Boltzmann constant. Maximizing Eq. (5) by subjecting to the constraints Eqs. (1)–(4) using the method of Lagrange multipliers would yield (Subsection 2 of the Appendix),

$$P_n^m = \frac{1}{Z_{gr}} e^{-\frac{\sum_{i \geq j} (i,j)_n^m \mu_{ij} + n \mu - E_n^m}{k_B T}}, \quad (6)$$

where μ and μ_{ij} are the chemical potentials associated to the exchange of network modifiers (R^+ or \tilde{R}^{2+}) and network connections, respectively, T is the temperature, and Z_{gr} is the grand canonical partition function given by

$$Z_{gr} = \sum_{n,m} e^{-\frac{\sum_{i \geq j} (i,j)_n^m \mu_{ij} + n \mu - E_n^m}{k_B T}}. \quad (7)$$

C. Energy consideration and quantization

The energy associated with a given S_n^m microstate would be vibrational energy.¹⁴ The frequencies of the vibrational normal modes associated to a particular S_n^m microstate could be obtained by appropriately choosing the interatomic potentials derived from quantum mechanical calculations and then solving the *characteristic equation*. If each S_n^m microstate has N_n^m number of normal modes associated to it, labelled by $\nu \in \{[1, N_n^m] \cap \mathbb{N}\}$, then a given S_n^m unit can be considered to be an N_n^m dimensional quantum harmonic oscillator. Consequently, we can represent the vibrational state of the S_n^m unit existing in some stationary state by a state vector $|S_n^m(\mathbf{k}_n^m)\rangle$ where \mathbf{k}_n^m is vector $\in \mathbb{Z}^{N_n^m}$ in positive

orthant subspace, the meaning of which would be apparent subsequently. When the Hamiltonian (\hat{H}) acts on the state vector $|S_n^m(\mathbf{k}_n^m)\rangle$, it would yield

$$\hat{H} |S_n^m(\mathbf{k})\rangle = \left[\sum_{\nu=1}^{N_n^m} \left(\frac{1}{2} + k_n^m(\nu) \right) \hbar \omega_n^m(\nu) \right] |S_n^m(\mathbf{k}_n^m)\rangle, \quad (8)$$

where \hbar is the Dirac constant, $k_n^m(\nu) \in \mathbb{N}$ and $\omega_n^m(\nu)$ are the quantum numbers and the angular frequency associated to the ν th mode of the quantum harmonic oscillator. Here, the vector \mathbf{k}_n^m corresponds to a set of quantum numbers associated to all the normal modes ($k(1), k(2), \dots, k(N_n^m)$). In the quantum mechanical framework, the statistical probability is given by the density operator ($\hat{\rho}$), which is based on Eq. (6) and would take the form

$$\hat{\rho} = \frac{1}{Z_{gr}} e^{-\frac{\sum_{I \geq J} \widehat{IJ} \mu_{ij} + \hat{n} \mu - \hat{H}}{k_B T}}. \quad (9)$$

Here, two new operators \widehat{IJ} and \hat{n} are introduced; they act on the state vector $|S_n^m\rangle$ and give Eigen values $(i, j)_n^m$ and n , respectively. Both, \widehat{IJ} and \hat{n} operators commute with the Hamiltonian. Further, the partition function Z_{gr} is given by

$$Z_{gr} = \text{Tr} \left(e^{-\frac{\sum_{I \geq J} \widehat{IJ} \mu_{ij} + \hat{n} \mu - \hat{H}}{k_B T}} \right). \quad (10)$$

When $\hat{\rho}$ acts on the state vector $|S_n^m\rangle$, it gives the probability P_n^m ,

$$\begin{aligned} \hat{\rho} |S_n^m(\mathbf{k})\rangle &= \frac{1}{Z_{gr}} e^{-\frac{\sum_{I \geq J} \widehat{IJ} \mu_{ij} + \hat{n} \mu - \hat{H}}{k_B T}} |S_n^m(\mathbf{k}_n^m)\rangle \\ &= \frac{1}{Z_{gr}} e^{-\frac{\sum_{i \geq j} (i,j)_n^m \mu_{ij} + n \mu - \sum_{\nu=1}^{N_n^m} \left(\frac{1}{2} + k_n^m(\nu) \right) \hbar \omega_n^m(\nu)}{k_B T}} |S_n^m(\mathbf{k}_n^m)\rangle. \end{aligned} \quad (11)$$

The partition function can be evaluated as

$$\begin{aligned}
 Z_{gr} &= \sum_{n,m} \sum_{k_n^m} e^{\frac{\sum_{i \geq j} (i,j)_n^m \mu_{ij} + n \mu - \sum_{\nu=1}^{N_n^m} (\frac{1}{2} + k_n^m(\nu)) \hbar \omega_n^m(\nu)}{k_B T}} \\
 &= \sum_{n,m} \sum_{k_n^m} e^{\frac{\sum_{i \geq j} (i,j)_n^m \mu_{ij} + n \mu}{k_B T}} e^{-\frac{\sum_{\nu=1}^{N_n^m} (\frac{1}{2} + k_n^m(\nu)) \hbar \omega_n^m(\nu)}{k_B T}} \\
 &= \sum_{n,m} e^{\frac{\sum_{i \geq j} (i,j)_n^m \mu_{ij} + n \mu}{k_B T}} \prod_{\nu=1}^{N_n^m} \left(\frac{1}{2 \sinh \left(\frac{\hbar \omega_n^m(\nu)}{2k_B T} \right)} \right) \\
 &= \sum_{n,m} e^{\frac{\sum_{i \geq j} (i,j)_n^m \mu_{ij} + n \mu}{k_B T}} Z_n^m, \quad (12)
 \end{aligned}$$

where Z_n^m is the canonical partition function associated to a given S_n^m microstate. It can also be written in terms of Helmholtz free energy (F_n^m) of the quantum harmonic oscillator as

$$Z_n^m = e^{-\frac{F_n^m}{k_B T}}. \quad (13)$$

Therefore, the probability distribution of S_n^m microstates is given by

$$P_n^m = \frac{1}{Z_{gr}} e^{\frac{\sum_{i \geq j} (i,j)_n^m \mu_{ij} + n \mu - F_n^m}{k_B T}}. \quad (14)$$

Comparing Eqs. (14) and (6), it can be noticed that, by using the semi-quantum mechanical approach, E_n^m is changed to F_n^m .

D. Ensemble averages

The ensemble averages for energy ($\langle E \rangle$), entropy (S), and composition ($\langle N_{BO} \rangle$) are related to the grand partition function by

$$\langle E \rangle = -k_B T \ln Z_{gr} + TS + \langle N \rangle \mu. \quad (15)$$

The entropy of the liquid is split into configurational and vibrational contributions given by

$$S = -k_B \sum_{n,m} P_n^m \ln P_n^m + \sum_{n,m} P_n^m S_n^m = S_{conf} + S_{vib}. \quad (16)$$

The derivations for Eqs. (15) and (16) are presented in Subsection 3 of the Appendix. The vibrational energy and entropy of a S_n^m microstate are given by¹⁵

$$\begin{aligned}
 E_n^m &= \sum_{\nu=1}^{N_n^m} \hbar \omega_n^m(\nu) \left[\frac{1}{2} + \frac{1}{e^{\frac{\hbar \omega_n^m(\nu)}{k_B T}} - 1} \right], \\
 S_n^m &= \frac{E_n^m - F_n^m}{T}. \quad (17)
 \end{aligned}$$

And, the chemical composition of the glass (from Eq. (3)) is given by

$$\begin{aligned}
 R_2O \text{ or } RO \text{ (\%)} &= \frac{\langle x \rangle}{1 + \langle x \rangle} = \frac{4 - \langle N_{BO} \rangle}{6 - \langle N_{BO} \rangle}, \\
 SiO_2 \text{ (\%)} &= \frac{1}{1 + \langle x \rangle} = \frac{2}{6 - \langle N_{BO} \rangle}. \quad (18)
 \end{aligned}$$

III. DISCUSSION

A. Generalization of the model

The current model describes the probability distribution of silicate units in a binary alkali or alkaline earth silicate glasses where each microstate assumes a single structural configuration. However, the model can be further extended to take into account all structural configurations by labeling a microstate as $S_n^m(\Phi, \Omega)$, where Φ accounts for the complete internal structure of the silicate unit, encompassing all the vectors from φ_1 to $\varphi_4 \in \mathbb{R}^3$ as shown in Fig. 1. While Ω takes into account how the neighboring units are connected to a given unit, encompassing all the vectors from ω_1 to $\omega_4 \in \mathbb{R}^3$ (Fig. 1). Together, Φ and Ω consider all variations in the bond lengths and bond angles that are associated to a given silicate unit, acknowledging all possible structural configurations. Though, n and m have a discrete probability distribution, Φ and Ω could assume a continuous probability distribution. In this case, Equations (1)–(3) change to

$$\iint_{\Phi, \Omega} \sum_{n,m} P_n^m(\Phi, \Omega) d\Phi d\Omega = 1, \quad (19)$$

$$\iint_{\Phi, \Omega} \sum_{n,m} E_n^m(\Phi, \Omega) P_n^m(\Phi, \Omega) d\Phi d\Omega = \langle E \rangle, \quad (20)$$

$$\iint_{\Phi, \Omega} \sum_{n,m} n P_n^m(\Phi, \Omega) d\Phi d\Omega = \langle N_{BO} \rangle. \quad (21)$$

In the model derived in Section II, $\Phi = \Phi_T$, where Φ_T is the associated vector to a silicate tetrahedron, and Ω would assume some expected value with some variance. Then, integrating $P_n^m(\Phi_T, \Omega)$ over the entire space of Ω would yield the value for P_n^m as shown in the following:

$$P_n = \sum_m P_n^m = \sum_m \iint_{\Phi, \Omega} P_n^m(\Phi_T, \Omega) d\Phi d\Omega. \quad (22)$$

It is also possible that Φ and Ω take discrete values in the case when structural units are confined to local minima. Consequently, the integrals over Φ and Ω (Eqs. (19)–(21)) would be replaced with summation over all the states of local minima. When multi-component silicate liquid compositions are used, if the added components are network formers (e.g., Al_2O_3 or B_2O_3 added to silicates), then they could be modelled as additional network units. If units are considered to be atoms of different kind, then one can ignore the internal structure of the unit by dropping off n and Φ . In this case, the model could be applicable to metallic glasses. For other oxide glasses such as borate and phosphate systems, similarly, appropriate internal structures and external correlations should be chosen.

B. LLPS and crystallization

The introduction of S_n^m (or $Q_n^{ij\dots}$) notation as opposed to previous Q_n notation is essential for answering questions concerning LLPS and crystallization. Because of this new notation, which takes into consideration the type of units

that surround a given unit, the mixing of different units is automatically considered. Consequently, by obtaining probability distribution of S_n^m units in a given composition by the current model, the amount of *LLPS* could be calculated. This idea has been experimentally tested using the double quantum (*DQ*) *NMR* spectroscopy technique, where the probability distribution of $Q_n^{ij\dots}$ units was measured and the amount of *LLPS* was estimated.^{11,16}

Concerning crystallization, if a particular set of units, which corresponds to a set of points in the *nm*-plane (Fig. 4(a)), undergoes crystallization, then the probability distribution $P_n^m(\Phi, \Omega)$, for each $S_n^m(\Phi, \Omega)$ microstate in the $\Phi\Omega$ -space, will be sharply peaked, and given by Dirac delta function as

$$P_n^m(\Phi, \Omega) = \delta(\Phi - \Phi') \delta(\Omega - \Omega'), \quad (23)$$

where Φ' and Ω' are constants corresponding to a particular crystal structure. Therefore, crystallization (or crystal nucleation) of a particular set of S_n^m units in a supercooled liquid corresponds to a collection of S_n^m units and sharpening of the $P_n^m(\Phi, \Omega)$ peak in the $\Phi\Omega$ -space.

In the glass forming liquids, the time scales required to access the crystalline states are large. Therefore, these states can be eliminated by assuming some broad distribution of probabilities in the $\Phi\Omega$ -space for a given S_n^m unit. This subject of *LLPS* and crystallization within the framework of the current model will be expounded in a subsequent paper.

C. Structural relaxation

In the last two decades, huge advances have been made in the understanding of the nature of glass and structural relaxation using the potential energy landscape (*PEL*) approach.^{17–20} The *PEL* approach uses a canonical ensemble of various structural configurations of large number of atoms. Our present model is fundamentally different; it employs a grand canonical ensemble of structural units that build the glass network and exchange network modifiers and energy from the reservoir. However, the problem of relaxation can be addressed in a similar way as in the *PEL* approach using the concept of *continuously broken ergodicity* (*CBE*) as proposed by Mauro *et al.*²¹ Here, we consider conditional probabilities $f_{I,J}(t)$, which correspond to a system occupying a microstate J after starting in a known state I with subsequent evolution of time t , accounting for the actual transition rates between different states. The conditional probabilities would satisfy the following:

$$\sum_J f_{I,J}(t) = 1, \quad (24)$$

where I and J are different $S_n^m(\Phi, \Omega)$ microstates. In the limit of zero and infinite time evolution, the conditional probabilities reduce to the Kronecker delta function ($\delta_{I,J}$) and the equilibrium probabilities, respectively, given by

$$\lim_{t \rightarrow 0} f_{I,J}(t) = \delta_{I,J}, \quad (25)$$

$$\lim_{t \rightarrow \infty} f_{I,J}(t) = P_J. \quad (26)$$

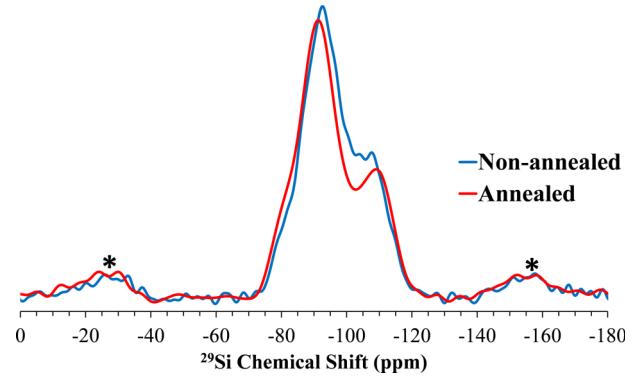


FIG. 2. *NMR* spectra of annealed and non-annealed (as quenched) $28\text{Li}_2\text{O}-72\text{SiO}_2$ glass, showing structural relaxation. Asterisks indicate spinning side bands.

The conditional entropy is given by

$$S_J(t) = -k_B \sum_J f_{I,J}(t) \ln f_{I,J}(t). \quad (27)$$

The time evolution of the expected value of the configurational is calculated by

$$S(t) = \sum_I P_I S_J(t). \quad (28)$$

The time dependent conditional probabilities $f_{I,J}(t)$ can be obtained by solving hierarchical master equations given by:

$$\begin{aligned} \frac{df_{I,J}(t)}{dt} = & \sum_{K \neq J} W_{K \rightarrow J}(T(t)) f_{I,K}(t) \\ & - \sum_{K \neq J} W_{J \rightarrow K}(T(t)) f_{I,J}(t), \end{aligned} \quad (29)$$

where $W_{K \rightarrow J}$ and $W_{J \rightarrow K}$ are the associated reaction rate constants. After a time evolution t , the probability of the state J is given by

$$P_J(t) = \sum_I P_I f_{I,J}(t). \quad (30)$$

The relaxation takes place over the entire phase space Γ_s subjected to available thermal energy and observational time (τ_{obs}). Here we report structural relaxation in a lithium silicate glass from the perspective of the current model using *NMR* spectroscopy. Figure 2 shows *1D-NMR* spectra of a binary lithium silicate glass of composition 28% $\text{Li}_2\text{O}-72\%$ SiO_2 (in moles). One spectrum was recorded on the glass directly quenched from the melt and the other was recorded on the glass quenched and then annealed at 460 °C for 75 h. The two spectra show clear differences indicating the structural relaxation. The details of the experimental procedure can be found in Subsection 4 of the [Appendix](#).

D. Test of the model

In this section, we show how the proposed model can be used in studying silicate based glasses (or liquids) in conjunction with *NMR* spectroscopy by using an example. The purpose of this section is for the illustration of the usefulness and applicability of the current model.

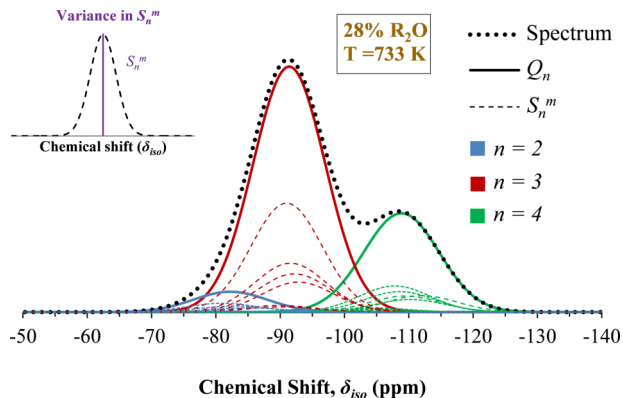


FIG. 3. Simulated NMR spectrum of a hypothetical system using the current model.

The chemical shielding on a particular ^{29}Si nucleus depends on the chemical environment around that nucleus. Therefore, the ^{29}Si isotropic chemical shift (δ_{iso}) of nucleus would be function of all the structural parameters n , m , Φ and Ω : $\delta_{iso}(n, m, \Phi, \Omega)$. Since Φ and Ω have variance with some expected value, δ_{iso} also would have corresponding variance (σ^2) and an expected value, $\langle \delta_{iso} \rangle$. The variance is given by²²

$$\sigma(n, m)^2 = \langle \delta_{iso}(n, m) \rangle^2 - \langle \delta_{iso}(n, m) \rangle^2. \quad (31)$$

We can assume that the variation in δ_{iso} for a given S_n^m unit approximated to a normal distribution (Fig. 3, variance in S_n^m). This would be a component of the spectrum associated to a particular S_n^m unit; and the spectrum of the whole sample, a sum of individual components (Eq. (32)), is shown in Fig. 3. This spectrum corresponds to a hypothetical composition with 28% R_2O and is generated by calculating the probabilities P_n^m in Eq. (14) by assuming some realistic values of F_n^m , $\delta_{iso}(n, m)$, and $\sigma(n, m)$ (the procedure is presented in Subsection 5 of the Appendix). Then, the intensity $I(\delta_{iso})$ of the NMR spectrum is given by

$$I(\delta_{iso}) \propto \sum_{n,m} \frac{P_n^m}{\sigma(n, m) \sqrt{2\pi}} e^{-\frac{(\delta_{iso} - \langle \delta_{iso}(n, m) \rangle)^2}{2\sigma(n, m)^2}}. \quad (32)$$

This way, using the current model, NMR spectrum of a given sample could be theoretically computed. Further,

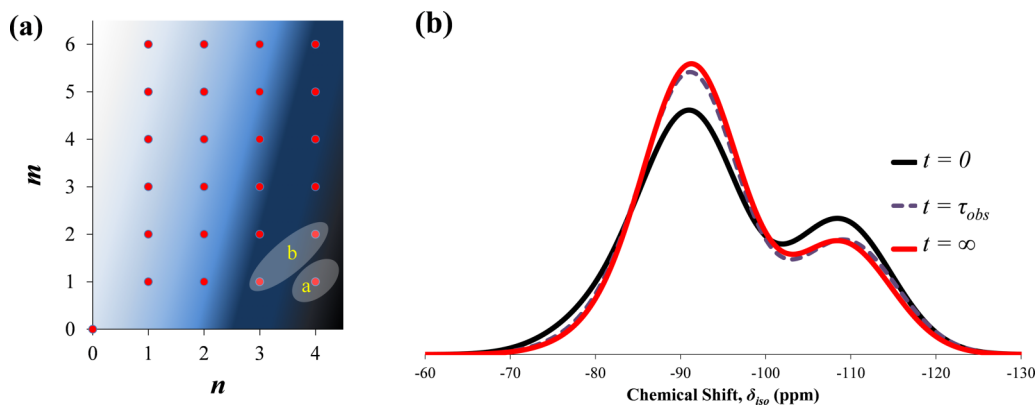


FIG. 4. (a) Phase space in n and m showing the gradient of polymerization: decreasing from dark to light. (b) Relaxation of silicate structural units with time.

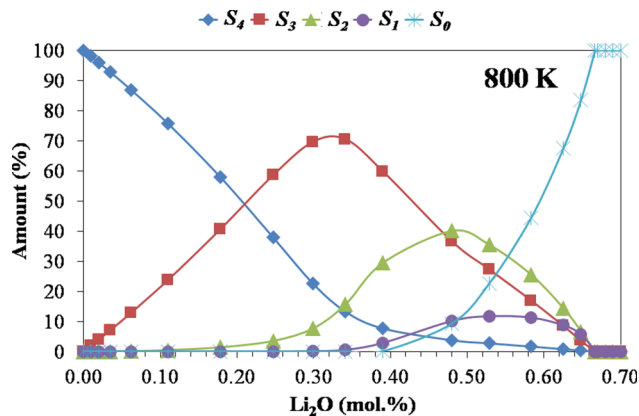


FIG. 5. Variation of probability distribution with composition at 800 K. Dots represent the simulated data points and the lines are just connecting the points to guide the eyes.

using the probability distribution, properties of the liquids can be computed. The variation of properties with temperature for specific heat, entropy, and molar volume is presented in the [supplementary material](#). In order to show relaxation behavior of silicate units of this hypothetical composition, we used a relatively simple concept called *broken ergodicity* (*BE*) proposed by Palmer²³ as opposed to *CBE* discussed in Sec. III C. In *BE*, we divide the phase space Γ_s into set of non-ergodic disjoint components where within each component internal ergodicity still exists. In this present example, we divided the phase space (nm -plane, Fig. 4(a)) into three components: (a) $\Gamma_1 = \{S_4^1\}$, (b) $\Gamma_2 = \{S_4^2, S_3^1\}$, and (c) $\Gamma_3 = \Gamma_s \cap \{S_4^1, S_4^2, S_3^1\}$. The reason for selecting these components is because the structural units belonging to Γ_1 and Γ_2 exist in highly polymerized network; therefore they would not have sufficient time to maintain the ergodicity during the fast quenching of the melt. By enforcing *BE*, probability distribution at some observational time (τ_{obs}) is obtained (Fig. 4(a)). The NMR spectra in Fig. 4(b) are generated by

1. Probability distribution at high temperature (1600 K) was obtained (which corresponds to $t = 0$).
2. Then under the *BE* condition, new probability distribution at 775 K was obtained (which corresponds to $t = \tau_{obs}$).

3. Probability distribution without *BE* condition would yield equilibrium probability at 775 K (corresponds to $t = \infty$).

The relaxation behavior simulated in Fig. 4(b) shows characteristics similar to the experimental observations shown in Fig. 2. Therefore, the as quenched glass without annealing contains a lot of memory effects which can be probed by *NMR* spectroscopy. This behavior needs to be evaluated for multiple compositions in future studies. Using the same vibrational frequencies, the variation of probability distribution with composition is plotted in Fig. 5.

IV. CONCLUSIONS

We have presented in this paper a new model based on statistical mechanics to describe the distribution of various silicate units in glasses. We considered the system to be a grand canonical ensemble of silicate units which exchange energy and network modifiers with the reservoir. Several applications where the current model could find its usefulness are mentioned. These include *LLPS*, crystal nucleation, and glass relaxation. Since statistical mechanics uses microscopic properties to obtain macroscopic properties, several bulk properties of the glass can be easily calculated using the current model.

SUPPLEMENTARY MATERIAL

See [supplementary material](#) for vibrational frequencies used in the simulations and corresponding probability distributions obtained at different temperatures. It also contains plots illustrating the effect of network speciation on several properties of the glass.

ACKNOWLEDGMENTS

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APPENDIX: DERIVATION OF NETWORK CONSTRAINTS, PROBABILITY DISTRIBUTION AND ENTROPY, AND EXPERIMENTAL PROCEDURE

1. Network connectivity constraints

In a given glass composition, *BOs* characterized by O_{ij} must be conserved. Therefore Equations (A1)–(A10) corresponding to 10 different O_{ij} oxygens must hold, where

p_4^{ijkl} , p_3^{ijk} , p_2^{ij} , and p_1^i are the probabilities (notice lower case “*p*” as opposed to upper case “*P*” in *S* notation) associated with Q_4^{ijkl} , Q_3^{ijk} , Q_2^{ij} , and Q_1^i units. The *Q* notation is employed here because it is easier to see the connection between right and left hand sides of the equations,

$$O_{11}: p_1^1 = p_1^1, \quad (A1)$$

$$O_{12}: p_1^2 = \sum_{j \neq 1} p_2^{1j} + 2p_2^{11}, \quad (A2)$$

$$O_{13}: p_1^3 = \sum_{j,k \neq 1} p_3^{1jk} + 2 \sum_{k \neq 1} p_3^{11k} + 3p_3^{111}, \quad (A3)$$

$$O_{14}: p_1^4 = \sum_{j,k,l \neq 1} p_4^{1jkl} + 2 \sum_{k,l \neq 1} p_4^{11kl} + 3 \sum_{l \neq 1} p_4^{111l} + 4p_4^{1111}, \quad (A4)$$

$$O_{22}: \sum_{j \neq 2} p_2^{2j} + 2p_2^{22} = \sum_{j \neq 2} p_2^{2j} + 2p_2^{22}, \quad (A5)$$

$$O_{23}: \sum_{j \neq 3} p_2^{3j} + 2p_2^{33} = \sum_{j,k \neq 2} p_3^{2jk} + 2 \sum_{k \neq 2} p_3^{22k} + 3p_3^{222}, \quad (A6)$$

$$O_{24}: \sum_{j \neq 4} p_2^{4j} + 2p_2^{44} = \sum_{j,k,l \neq 2} p_4^{2jkl} + 2 \sum_{k,l \neq 2} p_4^{22kl} + 3 \sum_{l \neq 2} p_4^{222l} + 4p_4^{2222}, \quad (A7)$$

$$O_{33}: \sum_{j,k \neq 3} p_3^{3jk} + 2 \sum_{k \neq 3} p_3^{33k} + 3p_3^{333} = \sum_{j,k \neq 3} p_3^{3jk} + 2 \sum_{k \neq 3} p_3^{33k} + 3p_3^{333}, \quad (A8)$$

$$O_{34}: \sum_{j,k \neq 4} p_3^{4jk} + 2 \sum_{k \neq 4} p_3^{44k} + 3p_3^{444} = \sum_{j,k,l \neq 4} p_4^{3jkl} + 2 \sum_{k,l \neq 4} p_4^{33kl} + 3 \sum_{l \neq 4} p_4^{333l} + 4p_4^{3333}, \quad (A9)$$

$$O_{44}: \sum_{j,k,l \neq 4} p_4^{4jkl} + 2 \sum_{k,l \neq 4} p_4^{44kl} + 3 \sum_{l \neq 4} p_4^{444l} + 4p_4^{4444} = \sum_{j,k,l \neq 4} p_4^{4jkl} + 2 \sum_{k,l \neq 4} p_4^{44kl} + 3 \sum_{l \neq 4} p_4^{444l} + 4p_4^{4444}. \quad (A10)$$

Equations (A1)–(A10) can be represented as follows:

$$\sum_{n,m} (i,j)_n^m P_n^m = k_{ij}. \quad (A11)$$

The coefficients $(i,j)_n^m$ are constants associated to each equation representing a given O_{ij} BO. Further, according to (A1)–(A10), the values of $(i,j)_n^m = 0 \forall i = j$ and $k_{ij} = 0 \forall i, j$. The values of the constants are presented in Table I.

2. Derivation for the probabilities P_n^m

The solution given by Eq. (6) is obtained from the Lagrange function $\mathcal{L}(P_n^m)$ with the Lagrange multipliers α , β and γ given by

$$\begin{aligned} \mathcal{L}(P_n^m) &= k_B \sum_{n,m} (P_n^m \ln P_n^m) + \alpha \left[\sum_{n,m} P_n^m - 1 \right] \\ &+ \beta \left[\sum_{n,m} E_n^m P_n^m - \langle E \rangle \right] + \gamma \left[\sum_{n,m} n P_n^m - 2 \langle N_{BO} \rangle \right] \\ &+ \sum_{i,j} \gamma_{ij} \left[\sum_{n,m} (i,j)_n^m P_n^m - k_{ij} \right]. \end{aligned} \quad (\text{A12})$$

Differentiating $\mathcal{L}(P_n^m)$ with respect to P_n^m would equal zero,

$$\begin{aligned} \frac{\partial \mathcal{L}(P_n^m)}{\partial P_n^m} &= k_B (1 + \ln P_n^m) + \alpha + \beta E_n^m \\ &+ \gamma n + \sum_{i,j} \gamma_{ij} (i,j)_n^m = 0. \end{aligned}$$

Rearranging,

$$\ln P_n^m = -\ln Z_{gr} - \frac{\beta E_n^m}{k_B} - \frac{n\gamma}{k_B} - \frac{\sum_{i,j} (i,j)_n^m \gamma_{ij}}{k_B}, \quad (\text{A13})$$

where $\ln Z_{gr} = \frac{(\alpha + k_B)}{k_B}$ and substituting Eq. (A13) in Eq. (6)

$$\begin{aligned} S &= -k_B \sum_{n,m} \left(-P_n^m \ln Z_{gr} - P_n^m \frac{\beta E_n^m}{k_B} - P_n^m \frac{n\gamma}{k_B} \right. \\ &\left. - P_n^m \frac{\sum_{i,j} \gamma_{ij} (i,j)_n^m}{k_B} \right). \end{aligned}$$

Solving the above equation using Equations (1)–(4) gives

$$S = k_B \ln Z_{gr} + \beta \langle E \rangle + 2\gamma \langle N_{BO} \rangle + \sum_{i,j} \gamma_{ij} k_{ij}.$$

Rearranging,

$$\langle E \rangle = \frac{1}{\beta} S - \frac{k_B}{\beta} \ln Z_{gr} - \frac{\gamma}{\beta} (2 \langle N_{BO} \rangle) - \sum_{i,j} \frac{\gamma_{ij}}{\beta} k_{ij}.$$

Differentiating,

$$d \langle E \rangle = \frac{1}{\beta} dS - \frac{k_B}{\beta} d \ln Z_{gr} - \frac{\gamma}{\beta} d(2 \langle N_{BO} \rangle) - \sum_{i,j} \frac{\gamma_{ij}}{\beta} dk_{ij}.$$

Comparing the above equation with the *fundamental thermodynamic relation*²⁴

$$dE = TdS - PdV + \sum \mu_i dn_i \quad (\text{A14})$$

would yield

$$\beta = \frac{1}{T}, \quad (\text{A15})$$

$$\gamma = -\frac{\mu}{T}, \quad (\text{A16})$$

$$\gamma_{ij} = -\frac{\mu_{ij}}{T}. \quad (\text{A17})$$

Therefore, substituting Eqs. (A15)–(A17) into Eq. (A13) and rearranging gives

$$P_n^m = \frac{1}{Z_{gr}} e^{\frac{\sum_{i,j} (i,j)_n^m \mu_{ij} + n\mu - E_n^m}{k_B T}}. \quad (\text{A18})$$

3. Entropy of the liquid

The entropy of the liquid is given by

$$S = -k_B \sum_{n,m,k} P_n^m(\mathbf{k}) \ln P_n^m(\mathbf{k}),$$

$$\begin{aligned} S &= -k_B \sum_{n,m,k} P_n^m(\mathbf{k}) \left[-\ln Z_{gr} + \frac{\sum_{i \geq j} (i,j)_n^m \mu_{ij}}{k_B T} + \frac{n\mu}{k_B T} \right. \\ &\left. - \sum_{\nu=1}^{N_n^m} \left(\frac{1}{2} + k(\nu) \right) \frac{\hbar \omega_n^m(\nu)}{k_B T} \right], \end{aligned}$$

$$\begin{aligned} S &= k_B \ln Z_{gr} - \frac{\langle N_{BO} \rangle \mu}{T} + \sum_{n,m,k} P_n^m(\mathbf{k}) \sum_{\nu=1}^{N_n^m} \left(\frac{1}{2} + k(\nu) \right) \\ &\times \frac{\hbar \omega_n^m(\nu)}{T}, \end{aligned}$$

$$S = k_B \ln Z_{gr} - \frac{\langle N_{BO} \rangle \mu}{T} + \sum_{n,m} P_n^m \sum_{\nu=1}^{N_n^m} \frac{\hbar \omega_n^m(\nu)}{T}$$

$$\times \left[\frac{1}{2} + \frac{1}{e^{\frac{\hbar \omega_n^m(\nu)}{k_B T}} - 1} \right],$$

$$S = k_B \ln Z_{gr} - \frac{\langle N_{BO} \rangle \mu}{T} + \sum_{n,m} \frac{P_n^m E_n^m}{T},$$

$$S = k_B \ln Z_{gr} - \frac{N_{BO} \mu}{T} + \frac{E}{T}.$$

Further, the entropy can be split into configurational and vibrational parts

$$S = k_B \ln Z_{gr} - \frac{\langle N_{BO} \rangle \mu}{T} + \sum_{n,m} \frac{P_n^m E_n^m}{T},$$

$$S = k_B \ln Z_{gr} - \frac{\langle N_{BO} \rangle \mu}{T} + \sum_{n,m} \frac{P_n^m (F_n^m + T S_n^m)}{T},$$

$$S = \sum_{n,m} P_n^m \left[k_B \ln Z_{gr} - \frac{\sum_{i \geq j} (i,j)_n^m \mu_{ij}}{T} - \frac{n\mu}{T} + \frac{F_n^m}{T} + S_n^m \right],$$

$$S = -k_B \sum_{n,m} P_n^m \left[\ln Z_{gr} + \frac{\sum_{i \geq j} (i,j)_n^m \mu_{ij}}{k_B T} + \frac{n\mu}{k_B T} - \frac{F_n^m}{k_B T} \right]$$

$$+ \sum_{n,m} P_n^m S_n^m,$$

$$S = -k_B \sum_{n,m} P_n^m \ln P_n^m + \sum_{n,m} P_n^m S_n^m,$$

$$S = S_{conf} + S_{vib}.$$

4. Experimental procedure

For the preparation of the glass, SiO₂ and Li₂CO₃ with purity >99% were weighed in required amounts, mixed by ball milling, and then calcined at 800 °C in alumina crucibles for 1 h in air. The calcined powder was crushed in a mortar and transferred to a Pt crucible for melting at a temperature of 1550 °C for 1 h in air. Bulk (monolithic) bar shaped glasses were prepared by pouring the melt on a bronze mold. One sample was annealed at 460 °C for 75 h. X-ray diffraction analysis (not shown) confirmed that the samples were fully amorphous.

^{29}Si MAS-NMR spectra were recorded on both annealed and non-annealed glass samples crushed into fine powders. The NMR spectrometer (BRUKER Avance III) was operated at a Larmor frequency of 79.5 MHz with a 9.4 T magnetic field, using a 7 mm rotor rotating at 5 kHz. The samples were excited with a 90° flip angle using 900 s delay time. Both spectra were obtained after Fourier transformation of 64 scans of free induction decays (FID). Tetramethylsilane was used as chemical shift reference at 0 ppm.

5. Details of simulation

The NMR spectrum obtained from the annealed glass was deconvoluted using DMfit software²⁵ for the units Q_2 , Q_3 , and Q_4 using mixed Gaussian/Lorentzian line shapes. The amounts of the units obtained were 6%, 66%, and 28% for the units Q_2 , Q_3 , and Q_4 , respectively. Using the current model, the S_n^m distribution was simulated by fitting the appropriate ω_n^m values in order to simulate a realistic probability distribution that is in agreement with the experimentally measured distribution. The fitted ω_n^m values and the probability distributions are presented in Tables S1 and S2, respectively.

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