

Pickersgill, A. E., Flemming, R. L. and Osinski, G. R. (2015) Toward quantification of strain-related mosaicity in shocked lunar and terrestrial plagioclase by in situ micro-X-ray diffraction. *Meteoritics and Planetary Science*, 50(11), pp. 1851-1862.

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Deposited on: 14 December 2017

1 **Toward quantification of strain-related mosaicity in shocked lunar**
2 **and terrestrial plagioclase by *in situ* micro-X-ray diffraction**

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1 **Abstract** – Studies of shock metamorphism of feldspar typically rely on qualitative petrographic
2 observations, which, while providing invaluable information, can be difficult to interpret.
3 Shocked feldspars, therefore, are now being studied in greater detail by various groups using a
4 variety of modern techniques. We apply *in situ* micro-X-ray diffraction (μ XRD) to shocked lunar
5 and terrestrial plagioclase feldspar in order to contribute to the development of a quantitative
6 scale of shock deformation for the feldspar group. Andesine and labradorite from the Mistastin
7 Lake impact structure, Labrador, Canada, and anorthite from Earth's moon, returned during the
8 Apollo program, were examined using optical petrography and assigned to subgroups of the
9 optical shock level classification system of Stöffler (1971). Two-dimensional μ XRD patterns
10 from the same samples revealed increased peak broadening in the chi dimension (χ), due to
11 strain-related mosaicity, with increased optical signs of deformation. Measurement of the full
12 width at half maximum along χ ($\text{FWHM}\chi$) of these peaks provides a quantitative way to measure
13 strain-related mosaicity in plagioclase feldspar.

14

1 INTRODUCTION

2 Studies of shocked minerals from meteorites, terrestrial impact craters, and returned lunar
3 samples have answered many questions regarding the expulsion history of meteorites, the
4 formation of impact craters, and processes that have affected not only the surface of the Moon,
5 but the surface of the other rocky planets as well. In terrestrial samples, the “go-to” mineral for
6 shock barometry is quartz (e.g., Schneider and Hornemann 1976; Ferrière et al. 2009; French and
7 Koeberl 2010; Fritz et al. 2011), as it is optically simple, resistant to alteration, and present in
8 many common crustal rocks. As a result, the effects of shock metamorphism on quartz have been
9 extensively studied and it is an excellent tool by which to determine pressure history of shock-
10 metamorphosed rocks. However, in many of the systems listed above, such as meteorites, the
11 surface of the Moon, and the surface of Mars, quartz is much less prevalent than it is on Earth.
12 One of the most promising but understudied minerals for shock barometry, in the absence of
13 quartz, is the feldspar group, particularly the plagioclase series, which is nearly ubiquitous in
14 most planetary systems.

15 Thus far, studies of shock effects in the feldspar group have been limited, due to their
16 relatively complex crystal structures and the rapid rate at which they weather, making them
17 difficult to study using conventional optical techniques (e.g., French and Koeberl 2010;
18 Pickersgill et al. 2015). As a result, the effects of shock on feldspar are being increasingly
19 investigated using a wider range of investigative techniques such as Raman spectroscopy (e.g.,
20 Fritz et al. 2005; Jaret et al. 2014), cathodoluminescence (e.g., Gucsik et al. 2004; Kayama et al.
21 2012), and now micro-X-ray diffraction (μ XRD). In X-ray diffraction (XRD) studies, increased
22 strain causes peak broadening in the 2-theta (2θ) direction (Fig.1) due to progressive deformation
23 of the crystal lattice and the resultant variation in d-spacing of the crystal. At pressures lower
24 than those that cause peak broadening in 2θ , deformation of the crystal as a result of non-uniform
25 pressure is also seen through the existence of multiple closely related diffracting subdomains,
26 termed strain-related mosaicity. Strain-related mosaicity is evidenced in micro- and single-
27 crystal XRD studies as an extension or streaking of the pattern along the Debye rings (chi (χ)
28 direction) (Fig. 1). Lengthening along the χ direction progresses from single equant spots
29 (undeformed), to short streaks, to longer streaks, to short rows of spots (asterism) with increasing
30 pressure, ultimately to full rings (polycrystalline due to pulverization) or amorphous bands (due
31 to pressure-related amorphization) (Hörz and Quaide 1973; Flemming 2007; Izawa et al. 2011;
32 Vinet et al. 2011). *In-situ* micro-X-ray diffraction (μ XRD) has immense value over destructive
33 techniques for examining precious planetary materials. This contribution adds to the growing
34 body of knowledge about shock in feldspars, using μ XRD to quantify the level of strain-related
35 mosaicity experienced by shock-metamorphosed plagioclase feldspar through measurement of
36 the full-width-at-half-maximum (FWHM χ) of streaks in degrees chi ($^{\circ}\chi$) and correlation with
37 optically derived signs of shock metamorphism. This is a technique that has been previously
38 applied successfully to study strain-related mosaicity in enstatite (Izawa et al. 2011) and olivine
39 (McCausland et al. 2010; Vinet et al. 2011), but is being applied to plagioclase for the first time
40 in this work.

1 **GEOLOGICAL SETTING**

2 **Mistastin Lake impact structure**

3 The Mistastin Lake impact structure is located in central Labrador, Canada (55°53'N;
4 63°18'W). It is a complex crater structure of approximately 28 km diameter (Grieve 2006). Mak
5 et al. (1976) provide a whole rock $^{40}\text{Ar}/^{39}\text{Ar}$ age of 36 ± 4 Ma. Its hypervelocity impact origin
6 was confirmed by Taylor and Dence (1969) through the discovery of planar deformation features
7 (PDFs) in quartz and feldspar, diaplectic quartz and feldspar glasses, and poorly developed
8 shatter cones. The structure is located within the Mistastin Lake batholith, which is composed of
9 three main lithologies: anorthosite, granodiorite, and a pyroxene-rich quartz monzonite
10 (sometimes called mangerite) (Currie 1971; Emslie and Stirling 1993). While all three lithologies
11 are feldspar rich, both the granodiorite and the monzonite are heavily weathered and prone to
12 alteration, while the anorthosite has remained relatively unaltered. It is the presence of this large
13 anorthosite body that makes the Mistastin Lake structure an excellent scientific lunar analogue,
14 as anorthosite is also the main constituent of the lunar highlands.

15 **Apollo Landing sites**

16 Earth's moon is our nearest planetary neighbor, and preserves a rich and extended
17 geological history, due to minimal erosion and lack of crustal recycling. It is a primary
18 exploration target for space agencies the world over and the only planetary body, other than
19 Earth, from which samples have been purposefully collected and returned. Between 1969 and
20 1972, six Apollo missions returned 2196 individual samples (381.7 kg) from the near-side
21 surface of the Moon (Hiesinger and Head 2006). Samples from five of these missions (11, 12,
22 15, 16, and 17) were used in this study. A brief summary of the geological setting of each
23 mission's landing site is given below.

24 Apollo 11 (July 1969) landed at Mare Tranquillitatis (0.7°N, 24.3°E) and largely collected
25 basalt samples but also included pieces of anorthosite that are interpreted to be from the nearby
26 highlands. The majority of samples collected at this location are interpreted to be ejecta from
27 West Crater (Beaty and Albee 1978).

28 Apollo 12 (November 1969) landed in southeastern Oceanus Procellarum (3.2°N,
29 23.4°W), near the Surveyor 3 landing site. This site is interpreted to be younger than the Apollo
30 11 site, based on the relative abundance of craters. At this location there is a relatively thin layer
31 of basalt over non-mare lithologies (Head 1977; Hiesinger and Head 2006). Non-volcanic rocks
32 here originate from a prominent ray from Copernicus crater, which crosses the landing site. The
33 majority of the samples collected from this site are basalts (Hiesinger and Head 2006).

34 Apollo 15 (July-August 1971) landed in the Hadley-Apennine region (26.1°N, 3.7°E).
35 Samples were collected from the massifs and highlands of the Imbrium rim, and mare of Palus
36 Putredinis (Hiesinger and Head 2006). The site is largely basalts, overlain by rays from
37 Autolycus and Aristillus craters. Both mare and non-mare rocks were collected here, including
38 two types of lava, anorthosites, plutonic rocks, impact melt rocks, granulites, and regolith
39 breccias.

40 Apollo 16 (May 1972) landed near Descartes Crater (9°S, 15.5°E) in the lunar highlands,
41 the only true highland landing site of the Apollo program (Hiesinger and Head 2006). There are
42 numerous overlapping craters at this site. As a result, all of the returned samples are impactites,

1 most are impact melt rocks or fragmental breccias, with some anorthosite samples. Samples from
 2 this site are largely interpreted to be ejecta from the Imbrium, Serenitatis, and Nectaris basin
 3 forming events (e.g., Spudis 1984; Haskin et al. 2002).

4 Apollo 17 (December 1972) landed at the Taurus-Littrow Valley (20.2°N, 30.8°E). This
 5 site is at the highland/mare boundary near the southeastern rim of the Serenitatis basin. Samples
 6 collected from this site include basalts, impact melt rocks (either from Serenitatis, or Imbrium),
 7 and plutonic rocks (Head 1974; Haskin et al. 2002; Hiesinger and Head 2006; Spudis et al.
 8 2011).

9 METHODS AND SAMPLES

10 Thirty-one polished thin sections from Mistastin Lake were selected from samples
 11 collected during three field seasons (2009–2011) (Pickersgill et al. 2015). The selected samples
 12 are mainly anorthosite or monomict anorthosite breccia. Grains selected for μ XRD were
 13 purposely chosen to display the widest possible range of shock metamorphic effects based on the
 14 petrographic study outlined in Pickersgill et al. (2015).

15 Twenty-two polished thin sections from lunar samples were selected from those returned
 16 from Apollo missions 11, 12, 15, 16, and 17. Sample selection was based on proportion of
 17 plagioclase contained within each thin section, as determined from a literature review, review of
 18 the lunar sample catalogue, and inspection of prospective samples at the NASA Johnson Space
 19 Centre. The samples are mainly anorthosite, but some gabbro, basalt, impact melt rock, and
 20 breccia are also included (see Table 1). Samples were specifically selected to collect the widest
 21 possible range of optical deformation (shock effects).

22 All lunar plagioclase grains observed were perfect structural matches for anorthite by
 23 μ XRD, an observation which agrees with reported compositions of An₈₉₋₉₉ for these samples
 24 (e.g., Steele and Smith 1973; Dixon and Papike 1975; Warren and Wasson 1977, 1978; Warren
 25 et al. 1982). Plagioclase grains from Mistastin matched μ XRD patterns of andesine and
 26 labradorite, with composition confirmed by EPMA analyses of An₃₁₋₄₉ (andesine) and An₅₀₋₅₅
 27 (labradorite) (Pickersgill et al. 2015).

28 Polished thin sections were examined for microscopic shock metamorphic effects, using a
 29 Nikon Eclipse LV100POL compound petrographic microscope, as described in Pickersgill et al.
 30 (2015). Micro-X-ray diffraction (μ XRD) analyses were performed on individual grains in
 31 polished thin sections at the Department of Earth Sciences at The University of Western Ontario,
 32 Canada, using a Bruker D8 Discover diffractometer with theta-theta instrument geometry, which
 33 enabled the sample to remain horizontal and stationary while the source and detector were
 34 rotated. The geometry of the machine results in only reflected X-rays being detected. It has a
 35 sealed Cobalt source (CoK α : $\lambda = 1.7889 \text{ \AA}$), Gobel mirror parallel beam optics, an exchangeable
 36 pinhole collimator (100 or 300 μm), and two-dimensional (2-D) General Area Detector
 37 Diffraction System (GADDS). Omega scans were used, wherein the source and detector were
 38 rotated simultaneously, both clockwise, through a specified number of degrees (Omega angle,
 39 $^\circ\omega$) to simulate rotation of the sample. Counting time was 30 minutes for GADDS frame 1
 40 ($\theta_1=14.5^\circ$, $\theta_2=16^\circ$, $\omega=6^\circ$) and 45 minutes for GADDS frame 2 ($\theta_1=30^\circ$, $\theta_2=40^\circ$, $\omega=23^\circ$). The
 41 fraction of the total χ -ring detected varies with the settings for each frame, resulting in a
 42 detection limit of $104^\circ\chi$ for Frame 1 and $49^\circ\chi$ for Frame 2. Beam diameter was nominally 300
 43 μm for the majority of samples, and 100 μm for the remainder. Where the same spots were run
 44 using each beam diameter, there was no significant difference in the resulting FWHM χ

1 measurements. Large grains of plagioclase (generally $>300 \mu\text{m}$) were selected for analysis in
 2 order to ensure that the X-ray beam was interacting with only (or mainly) the chosen grain,
 3 enabling optically observed signs of strain-related mosaic spread (undulose extinction) to be
 4 directly correlated with μXRD patterns. This allowed for observation of the same effect with two
 5 different techniques, enabling quantification of optical observations of strain-related mosaicity.

6 Using 2-D GADDS images, spots or streaks were integrated along the length of the
 7 Debye rings (chi dimension, χ). The resulting lineshapes had their background subtracted and
 8 were smoothed by a factor of 0.15 using a Savitzky-Golay algorithm (Savitzky and Golay 1964)
 9 to reduce interference of the noise on measuring the full width at half maximum along χ
 10 (FWHM_χ) (Fig. 1). Streak length was quantified by measuring FWHM_χ of each peak using
 11 Bruker AXS DiffracPLUS EVA software (Bruker-AXS 2010) in the manner of Izawa et al.
 12 (2011). In cases of asterism, the FWHM_χ of each individual peak along the Debye ring was
 13 measured and then the individual values for a single set were summed to reconstruct the width of
 14 the original peak prior to subdomain formation, as a proxy for the original strain-related mosaic
 15 spread, in the manner of Vinet et al. (2011). Data smoothing and FWHM_χ measurement
 16 functions are built-in operations of the Bruker AXS DiffracPLUS EVA software. Further details
 17 on the μXRD and FWHM_χ technique and are given by Flemming (2007), Izawa et al. (2011),
 18 and Vinet et al. (2011).

19 Error in the FWHM_χ value comes from a systematic measurement error of $\pm 0.01^\circ \chi$,
 20 based on the measurement resolution of the software, and from the signal to noise ratio, based on
 21 the crystallinity of the sample and the diffraction run-time. Signal to noise error was calculated
 22 by measuring the FWHM_χ with the baseline at three different locations: the top of the noise, the
 23 middle of the noise, and the bottom of the noise. The difference between the maximum/minimum
 24 measured FWHM_χ and the middle FWHM_χ was taken for the positive/negative error,
 25 respectively. Error is reduced to near 0 with high signal to noise ratio, as observed with high-
 26 intensity spots or streaks. However, intensity decreases with increased strain-related mosaicity
 27 (increased streak length), so that longer streaks tend to have a lower signal to noise ratio and,
 28 therefore, greater error associated with the measurement of the FWHM_χ . The average error is
 29 less than 0.5° , with the maximum error being 2.5° .

30 Observed lattice planes were indexed using the following ICDD cards: 01-079-1148 (C)-
 31 Andesine; 00-041-1486 (*)-Anorthite; and 01-083-1417 (C)-Labradorite. Eight Miller indices
 32 (equal to unique values of 2θ) were analyzed in total: $(\bar{2} 02) = 25.6^\circ 2\theta$, $(004) = 32.7^\circ 2\theta$, $(1 \bar{5}$
 33 $2) = 47.2^\circ 2\theta$, $(53 \bar{6}) = 74.7^\circ 2\theta$, $(\bar{3} 14) = 41.8^\circ 2\theta$, $(42 \bar{4}) = 55.4^\circ 2\theta$, $(0 \bar{6} 4) = 58.4^\circ 2\theta$, and
 34 $(2 \bar{7} 3) = 73.9^\circ 2\theta$, these peaks were chosen because they occur the most frequently among all of
 35 the collected data.

36 RESULTS

37 A wide variety of optical signs of shock were observed in both Mistastin and Apollo
 38 samples, ranging from uniform extinction to full isotropism (diaplectic plagioclase glass).
 39 Individual crystals of andesine, labradorite, and anorthite were divided into five groups (A-E)
 40 based on common optical indicators of strain (Figs. 2, 3). Letters assigned to the groups
 41 intentionally increase from A to E in order of increasing apparent degree of deformation.

42 The FWHM_χ of streaks from the eight most-commonly-detected Miller indices of
 43 andesine, labradorite, and anorthite grains were measured to quantify shock-induced strain-

1 related mosaic spread in a similar manner to that employed for enstatite by Izawa et al. (2011).
2 The results of these measurements are summarized in Fig. 4 and Tables 1 and 2. As there is
3 significant overlap in each group compared, we report only average values, not upper or lower
4 boundaries for each group (Table 2). Measurements from the four most commonly occurring
5 Miller indices are exhibited in Fig. 4.

6 **Group A – Uniform Extinction**

7 Grains exhibiting uniform extinction are characterized by the entire grain becoming
8 extinct at the same time on rotation of the stage under cross-polarized light (Fig. 2A). All grains
9 in this group showed low degrees of fracturing, distinctly less than those of other groups.
10 GADDS images of grains in this group clearly show individual spots (Fig. 2A). The average
11 FWHM χ was 0.67 $^{\circ}\chi$ for Mistastin Lake, and 0.79 $^{\circ}\chi$ for Apollo.

12 **Group B – Slight Undulose Extinction**

13 Grains exhibiting slightly undulose extinction are characterized by rotation of the stage
14 by only 1 to 2 $^{\circ}$, causing a wave of extinction to pass through the entire grain (Fig. 2B). Most
15 grains in this group show irregular fracturing. GADDS images of grains in this group show spots
16 which are beginning to streak out into ‘lozenges’ that are slightly longer in the χ dimension than
17 they are in the 2θ dimension. The average FWHM χ was 0.89 $^{\circ}\chi$ for Mistastin Lake, and 0.93 $^{\circ}\chi$
18 for Apollo.

19 **Group C – Undulose Extinction**

20 Grains exhibiting undulose extinction are characterized by a wave of extinction passing
21 through the grain on rotation of the stage by ~5 to 30 $^{\circ}$ (Fig. 2C), typical of ‘classic’ undulose
22 extinction. The upper limit to this group is grains that are beginning to show signs of mosaic
23 extinction or ‘mosaicism’, in which waves of extinction pass through different parts of the grain
24 in different directions (appearing ‘patchy’). The majority of these grains exhibit irregular
25 fracturing; approximately half show bent and/or offset twins. GADDS images of grains in this
26 group clearly show streaks, which are much longer than they are wide, and some have begun to
27 show asterism, in which the streaks have resolved into short rows of spots (Fig. 2C). The average
28 FWHM χ was 1.07 $^{\circ}\chi$ for Mistastin Lake, and 2.58 $^{\circ}\chi$ for Apollo.

29 **Group D – Partially Isotropic**

30 Grains that have become partially isotropic are characterized by only part of the crystal
31 being optically isotropic, while the remainder remains birefringent under cross-polarized light. In
32 the Apollo samples for this group, there appears to be no crystallographic control on which parts
33 are isotropic (Fig. 3A), meaning that the isotropic areas are not confined by linear or planar
34 elements. In the Mistastin samples, there is generally no apparent crystallographic control on
35 which part of the grain becomes isotropic. Occasionally, however, it is only the alternate twins
36 that are amorphized, leaving the remainder of the crystal birefringent. In these cases, no
37 appreciable difference in chemical composition between twin lamellae was observed (Pickersgill
38 et al. 2015). These grains exhibit irregular fracturing and undulose extinction in the remaining

1 birefringent part. GADDS images of grains in this group show clear streaks (Fig. 3A), very
 2 similar to those exhibited by Group C. The average FWHM_χ was $2.54^\circ\chi$ for Mistastin Lake, and
 3 $3.14^\circ\chi$ for Apollo.

4 **Group E – Diaplectic Glass (Fully Isotropic)**

5 Grains that have become fully isotropic were not found in any of the Apollo samples
 6 examined for this study, but were present in many of the Mistastin thin sections (Fig. 3B). They
 7 are characterized by continuous extinction of the *entire* grain on rotation under cross-polarized
 8 light, the production of an amorphous X-ray pattern, and a homogenous chemical composition
 9 matching that of plagioclase feldspar (Pickersgill et al. 2015). Due to the amorphous pattern
 10 produced by μXRD , no streaks or spots occur in the resulting GADDS image (Fig. 3B); as a
 11 result no measurement in χ is possible for these samples.

12 **FWHM χ Measurements**

13 As seen in Fig. 4, there is significant overlap in FWHM_χ between the various groups;
 14 however, the maximum values, and the average values, in each optical group, form a general
 15 upward trend in both the Apollo and Mistastin suites. Optical groups have been purposely
 16 arranged in order of increasing apparent deformation (based on petrographic observations). A
 17 deviation in the trend of maximum values is clear in Group C of the Apollo suite, in which the
 18 maximum value is nearly twice the maximum value of Group D. However, the average values
 19 for Groups C and D are the same within error. In each optical group, the maximum streak length
 20 is higher in the Apollo suite than in the Mistastin suite, though the difference is so slight as to be
 21 dwarfed by the measurement error in all but Group C. There is significant scatter in Group C in
 22 both suites.

23 The biggest variation in streak length with optical group is apparent in these Miller
 24 indices: $(\overline{2} 02)$, (004) , $(1 \overline{5} 2)$, and $(53 \overline{6})$ ($2\theta = 25.6^\circ$, 32.7° , 47.2° , and 74.7° , respectively).
 25 The Miller indices displayed in Fig. 4 were chosen based on their occurrence in all optical
 26 groups. These also showed the widest range in streak lengths (e.g., these indices varied over $>1-$
 27 2°FWHM_χ across optical groups). Some indices were not present in every optical group, and
 28 these were commonly those with higher integers as part of their Miller index (e.g., $(2 \overline{7} 3)$,
 29 $(42 \overline{4})$ ($2\theta = 73.9^\circ$, 55.4° respectively)). They have been left out of Fig. 4, but included in the
 30 calculations of average FWHM_χ values. The paucity of reflections at these points is addressed
 31 further in the discussion section.

32 The average values for FWHM_χ are very similar between the Apollo and Mistastin suites
 33 (Table 2). There is an overall correlation between increased strain-related mosaicity and
 34 increased average streak length in χ (Fig. 4). The variations between sample suites in Groups A
 35 and C, however, suggest that further study is required to constrain the significance of these
 36 values, including the effects of orientation of the crystal relative to the X-ray beam which is
 37 currently under investigation.

38 Due to the large beam size, relative to the width of most polysynthetic twins, it is
 39 apparent that several GADDS images picked up both sets of twins. This is evidenced by
 40 repetition of the pattern at lower intensity slightly offset from the higher intensity spots or streaks
 41 from the twin occupying the majority of the area with which the beam interacted. In these cases,

1 or when adjacent twins were both analyzed intentionally (in order to determine if alternate twins
 2 deform differently from each other under shock conditions), the GADDS images indicate that
 3 alternating sets of twins typically exhibit the same amount of strain-related mosaicity as one
 4 another. Notable exceptions to this are cases in which alternate twin deformation is optically
 5 apparent such as preferential isotropization of alternate twin sets. Preferential alteration of
 6 alternate twin lamellae to a zeolite phase has also been observed in samples from Mistastin Lake,
 7 and is discussed in detail in Pickersgill et al. (2015).

8 DISCUSSION

9 As evidenced by Fig. 4, $\text{FWHM}\chi$ measurements along the Debye rings of $(\overline{2} 02)$, (004) ,
 10 $(\overline{1} \overline{5} 2)$, and $(\overline{53} \overline{6})$ ($2\theta = 25.6^\circ, 32.7^\circ, 47.2^\circ$, and 74.7° , respectively) show a general upward
 11 trend with optically observed indicators of increasing shock. Other Miller indices (those which
 12 had higher integers as part of their index such as $(\overline{3} 14)$, $(\overline{42} \overline{4})$, $(\overline{0} \overline{6} 4)$, $(\overline{2} \overline{7} 3)$ ($2\theta = 41.8^\circ$,
 13 $55.4^\circ, 58.4^\circ$, and 73.9° , respectively)) sometimes did not occur in all optical groups, and were
 14 most frequently lacking in group D, particularly in the Apollo suite. As a result they graphically
 15 appear to have less variation, but this is likely due to the aforementioned fact that several Miller
 16 indices are missing entirely from one or more optical groups, and variation between two groups
 17 is less apparent than variation between many groups. As a result, only those indices appearing in
 18 all (or most) optical groups in both suites have been shown in Fig. 4. We hypothesize that the
 19 lack of high Miller indices measured in Group D is a result of eradication of these planes at
 20 lower pressures due to increasing destruction of long-range order, as seen by Hörz & Quaide
 21 (1973).

22 The minimum values observed for strain-related mosaicity show similarly-shaped trends
 23 of increasing mosaic spread with increasing shock stage across all Miller indices. However, very
 24 high ‘outlier’ strain-related mosaicities are only observed for low 2θ reflections (low Miller
 25 index), e.g. $(\overline{2} 02)$ and (004) , which are more readily detectible using this technique. This is
 26 because at high 2θ the detector is restricted to sampling a smaller range of χ angles (The 6 inch
 27 detector samples a smaller angular proportion of the cone of diffraction, which has a larger
 28 circumference at higher 2θ). Therefore long streaks, as produced by highly shocked samples, will
 29 trend outside of the perimeter of the detector and will not be measurable and therefore will be
 30 systematically omitted. To minimize this effect, only small 2θ angle lattice planes should be
 31 used, where the detector samples a larger proportion of the χ angle and therefore a greater
 32 proportion of the streaks will be fully observed within the limit of the detector. Alternatively,
 33 reported mosaicity could be considered to be a minimum.

34 Comparisons of $\text{FWHM}\chi$ measurements of neighboring twins indicate that adjacent twin
 35 sets generally deform in a similar fashion, as evidenced by matching streak lengths from each
 36 twin. This suggests that the difference in lattice orientation relative to the shockwave, that allows
 37 some twins to isotropize or develop planar deformation features (Taylor and Dence 1969;
 38 Stöffler 1966; Jaret et al. 2014; Pickersgill et al. 2015), while leaving others crystalline, occurs
 39 over a very narrow range of orientations.

1 Scatter in FWHM χ measurements

2 There is a high degree of scatter in FWHM χ measurements from groups B to D. Scatter
3 seems to increase with increasing apparent optical shock level. There are two possible
4 explanations for this: subjectivity of optical group determination, and orientation of the sample.

5 *Subjectivity of optical group determination:* The optical groups created for this study
6 were based on observations of commonly occurring characteristics across the 189 grains
7 examined in this study (102 from the Apollo suite, 87 from the Mistastin Lake suite). Overlap in
8 streak lengths is accounted for by the highly gradational difference between categories, such as
9 uniform extinction (Group A) and slight undulose extinction (Group B) and between slight
10 undulose extinction (Group B) and undulose extinction (Group C).

11 *Orientation of the sample:* As a result of the geometry of μ XRD as applied to *in-situ*
12 samples, the possible orientations of the crystal lattice relative to the X-ray beam are necessarily
13 restricted by the orientation of the crystal within the sample and, in the case of thin sections, by
14 the orientation of the crystal relative to the plane of the cut sample surface. This necessarily
15 induces scatter in the measurements, because not only is passage of the shockwave through
16 materials known to be heterogeneous, but there will be an orientational dependence of strain-
17 related mosaicity. As a result, if the X-ray beam is interacting with the crystal lattice
18 *perpendicular* to the direction of maximum non-uniform stress (producing maximum non-
19 uniform strain), the degree of streaking will be more extensive than if the X-ray beam is aligned
20 in the *same* direction as the maximum non-uniform stress. The use of randomly-oriented crystals
21 in this study means that statistically the bulk of the FWHM χ measurements will fall somewhere
22 between this minimum value (X-rays parallel to the direction of non-uniform strain) and the
23 maximum value (X-rays perpendicular to the direction of non-uniform strain). As the crystals are
24 not all oriented in the same way relative to the X-rays, this undoubtedly creates a great deal of
25 scatter in the measured FWHM χ . Simple statistics may also play a role in the scatter of Apollo
26 Group C when compared with Mistastin Group C, as more grains populated this category for
27 Apollo samples (65) than for Mistastin samples (15).

28 In terms of investigating the degree of scatter within individual grains, several spots were
29 measured in individual grains, however no significant difference was observed. This might be a
30 result of the beam diameter relative to the size of the crystal, because even when the nominal
31 beam diameter is 100 μ m, at low angles the footprint can be higher; as a result measurements
32 would often include the whole grain regardless of where the beam is centered.

33 Subdivision of the lower end of the shock scale

34 The wide variation in streak length exhibited by grains within Group C (undulose
35 extinction), particularly in the Apollo sample suite, indicates that there is more variation in
36 strain-related mosaicity as a function of shock level than is apparent using conventional optical
37 microscopy. Micro-X-ray diffraction (μ XRD) is therefore an excellent tool by which to
38 subdivide the lower end of the shock scale. This is of particular importance in the case of
39 plagioclase as the most widely-used shock scale for plagioclase currently consists of only
40 essentially three categories: 0 – unshocked; I – undulose extinction, PDFs; II – diaplectic glass
41 (Stöffler 1971). Stöffler et al. (1986) use measurements of the refractive indices of shocked
42 plagioclase from the Shergotty meteorite to gain higher resolution division of maskelynite,

1 however we still have only a limited ability to constrain shock information prior to plagioclase
2 isotropization, although the majority of samples fall into this intermediate zone.

3 Streak lengthening in χ on 2D μ XRD GADDS images displaying strain-related mosaicity
4 demonstrates that there is a wide range of streak lengths displayed by grains which show
5 optically undulose extinction (Group C). While this is not a unique indicator of shock
6 metamorphism, this technique has the potential to enable the subdivision of the low end of the
7 pressure scale due to the large range of streak lengths. A consistent, quantifiable, and easily-
8 applicable system to define the level of undulosity optically is currently lacking. One method
9 could be to record the angular difference between the onset of extinction of the first part of the
10 grain and its completion, as the last part of the grain goes extinct; however, this would also need
11 to take into account the size of the grain in question, as smaller grains would necessarily be
12 rotated to a lesser degree than larger grains, in order to sweep through the entire range of
13 extinction angles.

14 **Comparison of deformation in lunar and terrestrial plagioclase**

15 As seen in Fig. 4, the samples from the Apollo suite show much higher degrees of strain-
16 related mosaicity in Group C than those of the Mistastin suite. Our preferred explanation is that
17 the higher degree of strain-related mosaicity in lunar samples, as compared with terrestrial
18 samples, is a result of multiple impacts which undoubtedly affected many of the Apollo samples;
19 whereas, we know that the Mistastin samples have only experienced one impact and that there
20 was no other tectonic activity in the region to account for multiple generations of strain-related
21 mosaicity. With respect to the question of why lunar samples would exhibit higher strain-related
22 mosaicity than terrestrial samples without becoming isotropic (maximum in Group C of Apollo
23 suite is nearly twice that of Group D in Apollo suite), we suggest that the answer may be
24 compositional, as supported by the variation in onset pressure of isotropism in high-Ca vs
25 medium-Ca plagioclase given by Fritz et al. (2011). Apollo samples are anorthite (high-Ca
26 plagioclase); whereas Mistastin samples have compositions from labradorite to andesine
27 (medium-Ca plagioclase). Thus, the increased maxima in Group C of the Apollo suite (Fig. 4) is
28 suggested to be linked to multiple impact events, resulting in higher overall strain, and to the
29 increased Ca content of the Apollo suite as compared to the Mistastin suite. Due to the smaller
30 maximum streak lengths in Group D as compared to Group C in the Apollo suite, we suggest that
31 the partial isotropization of these crystals has relieved enough pressure to allow the remaining
32 birefringent part of the grain to remain relatively unstrained.

33 **CONCLUDING REMARKS**

34 We have shown that the degree of strain-related mosaicity in plagioclase feldspar can be
35 quantified through the use of *in-situ* micro-X-ray diffraction. One should be mindful, however,
36 that streaking in χ can result from non-uniform strain caused by multiple factors, including
37 endogenic tectonic deformation, and not only by the passage of a shockwave during meteorite
38 impact.

39 An ideal follow-up would be to experimentally shock each composition of feldspar to
40 various peak pressures and then conduct μ XRD and petrographic studies on those samples to
41 calibrate shock effects for each group using known pressures and to compare the results of each
42 group to each other, in order to better understand how shock affects different compositions (and

1 therefore mineral structures) as seen by strain-related mosaicity. Additionally, examining the
 2 same spots targeted in this study using additional techniques would provide an excellent
 3 additional quantitative dataset with which to compare the μ XRD-generated FWHM χ values
 4 reported herein. Raman spectra, for example, show increased peak broadening and decreased
 5 intensity with increasing shock level (Fritz et al. 2005); if Raman spectra were to be gathered
 6 from the same spots as used in this study, the FWHM of the Raman bands could be plotted
 7 against the FWHM χ of the μ XRD patterns and this might better constrain the groups used in this
 8 study, as well as possibly illuminating trends or clusters which are not currently distinguishable.
 9 It is possible that a follow-up study of this kind would result in clear natural divisions becoming
 10 apparent for the lower end of the shock scale (level I according to Stöffler, 1971).

11 Pursuant to increasing the statistical reliability of this technique for quantification of
 12 shock and shock scale subdivision, measuring more grains may help to constrain which Miller
 13 indices are most useful, and to better define ranges of streak lengths for each optical group.

14 *Acknowledgements* – the Natural Sciences and Engineering Research Council of Canada, the
 15 Northern Scientific Training Program, and the Canadian Space Agency are thanked for the
 16 generous funding they provided to make this project possible. Marianne Mader, Cassandra
 17 Marion, Marc Beauchamp, Alaura Singleton and Rodney Dammeier are thanked for their support
 18 in the field and sharing samples from previous field seasons. The Nanofabrication Facility at the
 19 University of Western Ontario is thanked for funding and training for using their SEM. The
 20 Earth and Planetary Materials Analysis (EPMA) Laboratory, also at Western, is gratefully
 21 acknowledged for the EPMA work. CAPTEM and the Lunar Sample Laboratory at Johnson
 22 Space Centre are gratefully acknowledged for allocating Apollo samples to us. Fred Hörz and
 23 Jörg Fritz are thanked for their helpful and thorough reviews of this paper.

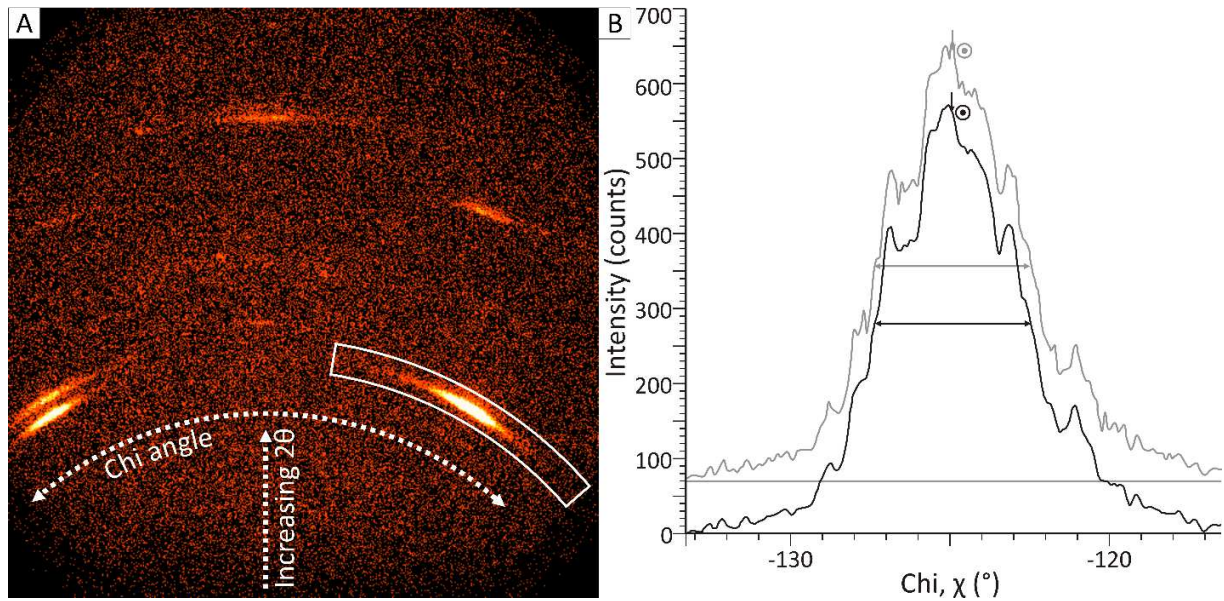
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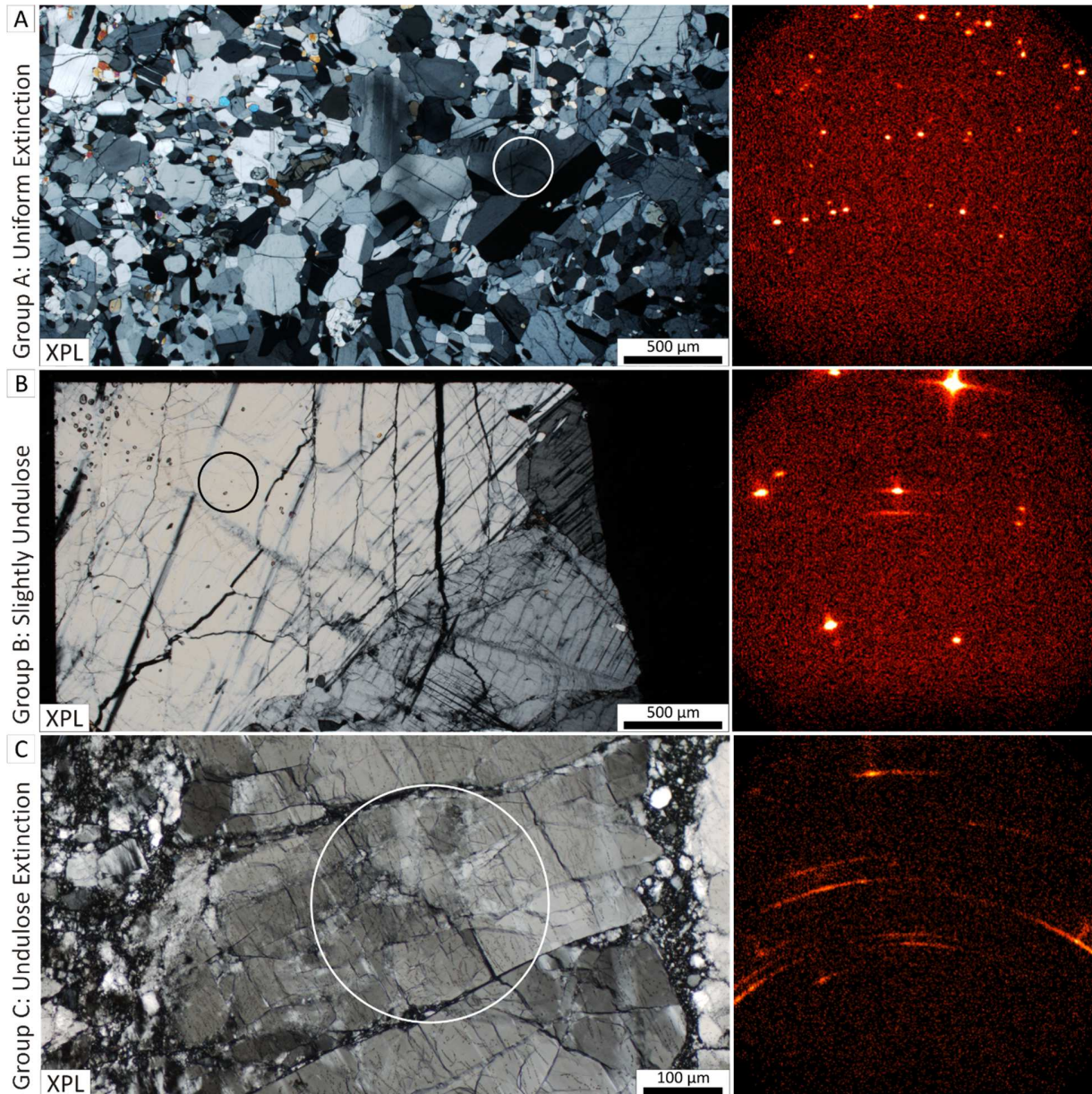
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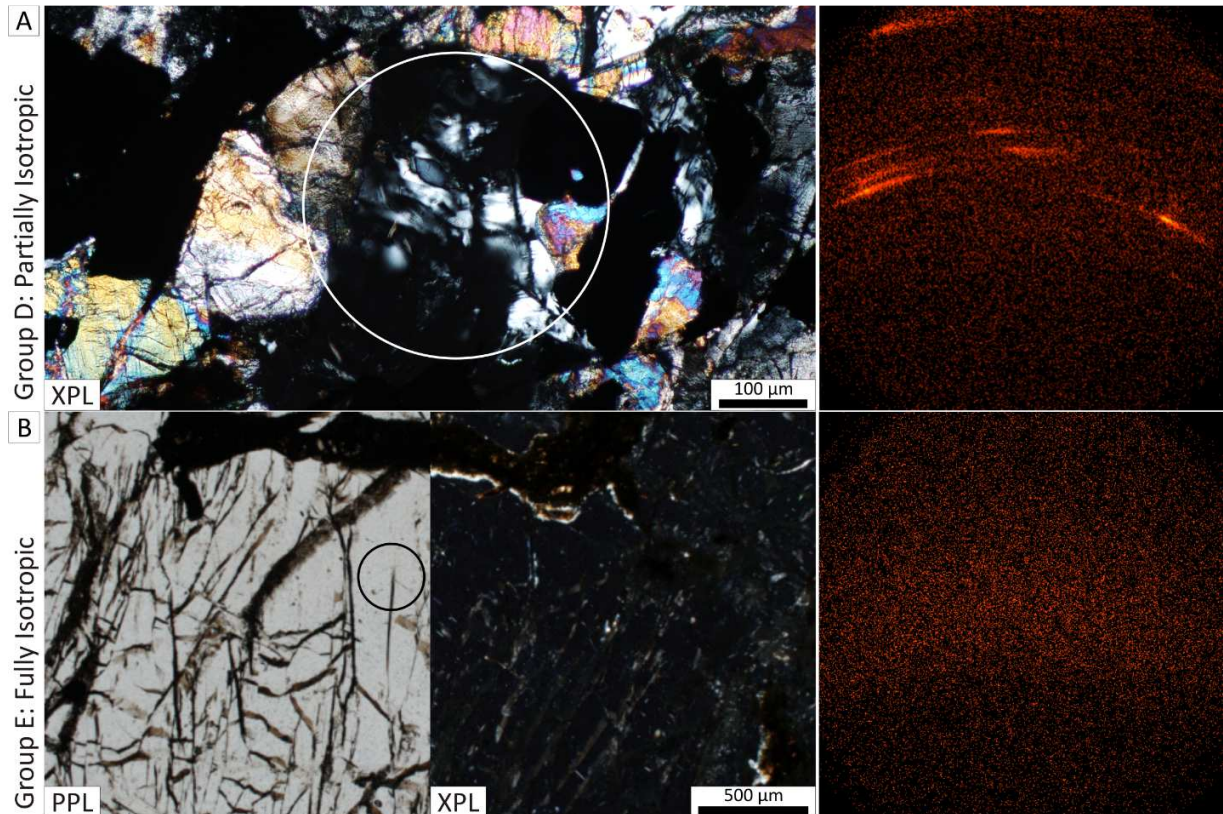
1 FIGURES



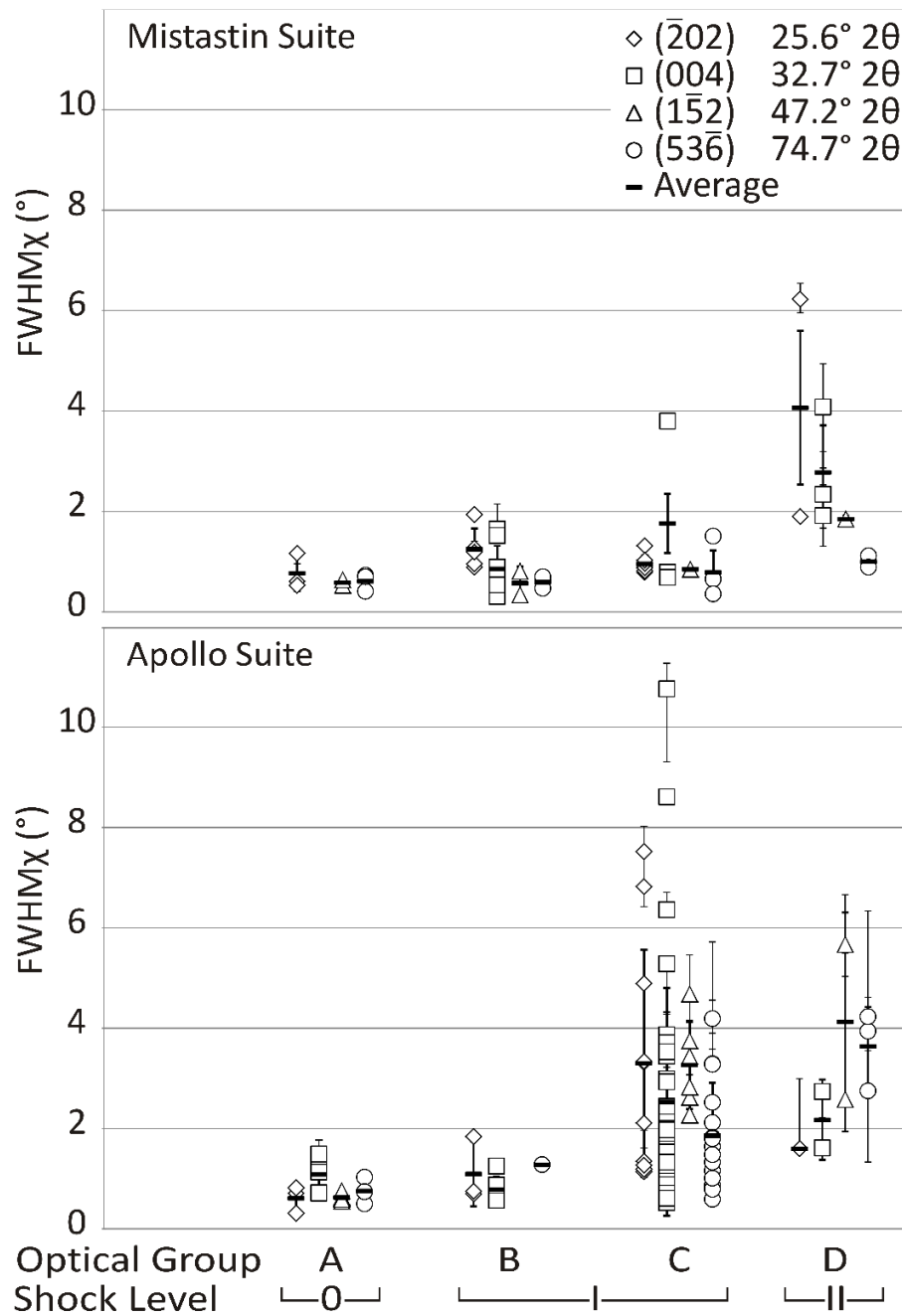
2
 3 Fig. 1. μ XRD GADDS image and stacked plots of intensity vs. $^{\circ}\chi$. A) μ XRD GADDS image of
 4 an anorthite crystal in Apollo sample 60015,114. Arrows indicate the direction of χ and
 5 increasing 2θ . White box highlights the streak, which is integrated over a narrow range of 2θ and
 6 plotted as a function of χ , as displayed in (B). B) Stacked plots of intensity vs. $^{\circ}\chi$ showing raw
 7 (grey), smoothed and background subtracted (black) lineshapes, and streak length measurement
 8 (FWHM χ) for both. In this case, the raw (grey) FWHM χ is 4.92° and the processed (black)
 9 FWHM χ is 4.90° .



1
 2 Fig. 2. Representative photomicrographs in cross-polarized light (XPL) of optical groups A-C,
 3 correlated with GADDS images from each grain pictured. Note how the pattern on the GADDS
 4 images goes from spots (A) to short streaks (B) to long streaks (C). The location of the analysis
 5 is indicated by a circle on each image, the circle represents the nominal beam diameter of
 6 300 μm . A) Apollo sample 60619,2 shows uniform extinction under cross polarized light, and
 7 spots on the GADDS image. B) Apollo sample 15415,90 shows slight undulose extinction, and
 8 the beginning of streaks on the GADDS image in which the bright spots are slightly longer than
 9 they are wide – ‘lozenge-shaped’. C) Apollo sample 76335,55 shows extremely undulose
 10 extinction, bordering on ‘mosaicism’, and long streaks with the start of asterism on the GADDS
 11 image.



1
 2 Fig. 3. Representative photomicrographs in cross-polarized light (XPL) of optical groups D and
 3 E, correlated with GADDS images from each grain pictured. Note how the pattern on the
 4 GADDS images goes from long streaks (A) to an amorphous diffuse band (B). The location of
 5 the analysis is indicated by a circle on each image, the circle represents the nominal beam
 6 diameter of 300 μm . A) Apollo sample 79155,58 shows a grain which has become partially
 7 isotropic (black), while part remains birefringent (centre of circle); the GADDS image, which
 8 was centred on the remaining birefringent part of the crystal, shows longer streaks than those in
 9 Fig. 2B. B) Mistastin sample MM10-38 has had all plagioclase converted to diaplectic glass. The
 10 left photomicrograph shows preservation of textures in plane polarized light and the right image
 11 shows total extinction of plagioclase under cross-polarized light. The GADDS image shows a
 12 diffuse band through the center of the image, indicative of an amorphous XRD pattern.



1
2 Fig. 4. Graphs of $FWHM_{\chi}$ vs. optical group for samples from the Mistastin suite (top) and the
3 Apollo suite (bottom). The four Miller indices displayed (in brackets) are those which are
4 represented in every optical group. Different symbols indicate the Miller index of streaks
5 measured from diffraction of different sets of crystal planes. The average of each set is indicated
6 by a black bar, with bold error bars indicating $\pm 2\sigma$. A=Uniform extinction; B=Slight undulose
7 extinction; C=Undulose extinction; and D=Grains which have become partially isotropic. Group
8 E (grains which have become fully isotropic) is not shown due to amorphous nature of the
9 μ XRD pattern. Also indicated is the shock level of each set according to Stöffler (1971). For
10 clarity, measurements from Miller indices which do not appear in every optical group are not

1 shown here. Note that in both suites there is a general upward trend from group A to group D
2 (which are arranged in order of increasing apparent optical deformation). In Group C, there is
3 significant difference between FWHM_χ measurements in the Apollo suite as compared to the
4 Mistastin suite. There is significant scatter in the FWHM_χ values for group C in the Apollo suite.
5 Error bars on individual measurements (thin lines) are the difference between the
6 widest/narrowest possible FWHM_χ (baseline set to bottom/top of noise, respectively) and the
7 average FWHM_χ (baseline set to middle of noise).

TABLES

Table 1. Apollo sample list: signs of strain; number of grains in each group per thin section; and FWHM χ measurements.

Sample number	Origin (Apollo mission)	Rock type	Optical effects					Optical Group (# of grains)				Average FWHM ($^{\circ}\chi$)		
			Fracture	Undulose extinction	Mosaicism	Bent twins	Partially Isotropic	A	B	C	D			
10047,16	Adjacent to LM (11)	Ilmenite basalt		x						1				0.79
12054,126	Surveyor Crater (12)	Ilmenite basalt	x	x								2		6.19
15362,11	Spur Crater (15)	Anorthosite (F)	x	x						1	4			1.76
15415,90	Spur Crater (15)	Anorthosite (F)	x	x		x						4		1.59
15684,4	Station 9A (15)	Basalt	x	x				x				1	3	3.41
60015,114	~30 m from LM* (16)	Anorthosite	x	x	x							6		6.76
60025,230	~15 m from LM (16)	Anorthosite	x	x	x							3		1.53
60055,4	~170 m from LM (16)	Anorthosite	x	x								6		0.89
60215,13	Station 10 (16)	An breccia	x	x	x	x				1	4			1.52
60618,4	~70 m from LM (16)	Anorthosite	x	x		x						5		2.41
60619,2	70 m from LM (16)	Anorthosite	x							6	3			0.75
60629,2	Near LM (16)	Anorthosite (F)	x	x		x						3		3.26
62237,21	Buster Crater, St. 2 (16)	Anorthosite (F)	x	x						2	15			1.62
67075,41	North Ray Crater (16)	Anorthosite (F)	x	x		x				1	4			1.47
67415,113	North Ray Crater (16)	Anorthosite (N)	x	x						1	5	1		0.89
67746,12	North Ray Crater (16)	Anorthosite (N)		x						6				0.57
68035,6	Station 8 (16)	Anorthosite	x	x		x						7		3.12
69955,27	Station 9 (16)	Anorthosite	x	x	x							6		4.99
69955,29	Station 9 (16)	Anorthosite	x	x	x							6		5.13
73215,193	Lara Crater (17)	Impact melt breccia	x	x						1	1	5		3.41
76335,55	Station 6 (17)	Anorthosite (M)	x	x								6		1.97
79155,58	Station 9 (17)	Gabbro	x	x				x					6	4.81

Abbreviations: LM=Lunar Module; F=Ferroan; M=Magnesian; N=Noritic; An=Anorthosite

*Probable collection location, but details of its collection, situation, and orientation are not known.

Table 2. Average FWHM χ measurements across all Miller indices for optical groups.

O.G.	Description	Apollo	Average FWHM χ ($^{\circ}\chi$)			Number of Spots	
			s.d.	Mistastin	s.d.	Apollo	Mistastin
A	Uniform extinction	0.79	0.32	0.67	0.23	16	8
B	Slight undulose extinction	0.93	0.40	0.89	0.46	10	18
C	Undulose extinction	2.58	2.03	1.07	0.80	65	15
D	Partially isotropic	3.14	1.39	2.54	1.77	8	8
E	Diaplectic glass	N/A		N/A		N/A	N/A

Abbreviations: O.G.=Optical Group; s.d. = standard deviation (1σ); N/A = Not applicable