

Ranzani, OT; Rodrigues, LC; Waldman, EA; Carvalho, CRR (2017) Estimating the impact of tuberculosis anatomical classification on treatment outcomes: A patient and surveillance perspective analysis. PLoS One, 12 (11). e0187585. ISSN 1932-6203 DOI: https://doi.org/10.1371/journal.pone.0187

Downloaded from: http://researchonline.lshtm.ac.uk/4645698/

DOI: 10.1371/journal.pone.0187585

Usage Guidelines

 $Please \ refer \ to \ usage \ guidelines \ at \ http://researchonline.lshtm.ac.uk/policies.html \ or \ alternatively \ contact \ researchonline@lshtm.ac.uk.$

Available under license: http://creativecommons.org/licenses/by/2.5/

Check for updates

Citation: Ranzani OT, Rodrigues LC, Waldman EA, Carvalho CRR (2017) Estimating the impact of tuberculosis anatomical classification on treatment outcomes: A patient and surveillance perspective analysis. PLoS ONE 12(11): e0187585. https://doi. org/10.1371/journal.pone.0187585

Editor: Daniela Flavia Hozbor, Universidad Nacional de la Plata, ARGENTINA

Received: May 5, 2017

Accepted: October 23, 2017

Published: November 22, 2017

Copyright: © 2017 Ranzani et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: The data cannot be publicly shared since it contains sensitive patient information and due to ethical restrictions imposed by the Health Department in São Paulo State, Brazil. The data could be requested from: http:// www.saude.sp.gov.br/cve-centro-de-vigilanciaepidemiologica-prof.-alexandre-vranjac/areas-devigilancia/tuberculose/ or by email: dvtbc@saude. sp.gov.br.

Funding: OTR is a Master's Training Fellowship in Public Health and Tropical Medicine from the

RESEARCH ARTICLE

Estimating the impact of tuberculosis anatomical classification on treatment outcomes: A patient and surveillance perspective analysis

Otavio T. Ranzani^{1,2*}, Laura C. Rodrigues², Eliseu A. Waldman³, Carlos R. R. Carvalho¹

1 Pulmonary Division, Heart Institute (InCor), Hospital das Clinicas (HCFMUSP), Faculdade de Medicina da Universidade de Sao Paulo, São Paulo, Brazil, 2 London School of Hygiene & Tropical Medicine (LSHTM), London, United Kingdom, 3 Department of Epidemiology, Faculty of Public Health, University of São Paulo, São Paulo, Brazil

* otavioranzani@yahoo.com.br

Abstract

Introduction

Tuberculosis anatomical classification is inconsistent in the literature, which limits current tuberculosis knowledge and control. We aimed to evaluate whether tuberculosis classification impacts on treatment outcomes at patient and aggregate level.

Methods

We analyzed adults from São Paulo State, Brazil with newly diagnosed tuberculosis from 2010–2013. We used an extended clinical classification of tuberculosis, categorizing cases as pulmonary, pulmonary and extrapulmonary, extrapulmonary and miliary/disseminated. Our primary outcome was unsuccessful outcome of treatment. To investigate the reported treatment outcome at the aggregate level, we sampled 500 different "countries" from the dataset and compared the impact of pulmonary and extrapulmonary classifications on the reported treatment success.

Results

Of 62,178 patients, 49,999 (80.4%) were pulmonary, 9,026 (14.5%) extrapulmonary, 1,651 (2.7%) pulmonary-extrapulmonary and 1,502 (2.4%) miliary/disseminated. Pulmonary-extrapulmonary cases had similar unsuccessful outcome of treatment compared with pulmonary (adjusted-OR 1.00, 95%Cl, 0.88–1.13, p = 0.941), while extrapulmonary were associated with better (adjusted-OR 0.65, 95%Cl, 0.60–0.71, p<0.001) and miliary/disseminated with worse outcomes (adjusted-OR 1.51, 95%Cl, 1.33–1.71, p<0.001). We found that 60 (12%) countries would report a difference \geq 10% in treatment success depending on whether they reported all clinical forms together (current WHO recommendation) or pulmonary forms alone, overestimating the treatment success of pulmonary forms.



Wellcome Trust (grant number 104006/Z/14/Z, https://wellcome.ac.uk/). All authors carried out the research independently of the funding body. The findings and conclusions in this manuscript reflect the opinions of the authors alone.

Competing interests: The authors have declared that no competing interests exist.

Conclusions

The expanded anatomical classification of tuberculosis was strongly associated with treatment outcomes at the patient level. Remarkably, pulmonary with concomitant extrapulmonary forms had similar treatment outcomes compared with pulmonary forms after adjustment for potential confounders. At the aggregate level, reporting treatment success for all clinical forms together might hide differences in progress between pulmonary and extrapulmonary tuberculosis control.

Introduction

Tuberculosis (TB) is an ancient disease still responsible for a high burden and high mortality worldwide[1]. TB can manifest in any tissue of the human body and the most common clinical presentation of active TB is pulmonary (PTB), representing, on average, around 80–85% of cases[1–5]. However, the proportion of extrapulmonary TB (EPTB) cases is sometimes higher in several low, middle and high-income countries[1, 2, 6, 7]: 43% in Cambodia[1] and 53% in England and Wales[3]. The differential distribution of some risk factors (e.g., aging and immunosuppression status) in each country is partly responsible for these discrepancies between PTB and EPTB burdens[4–6, 8]. Additionally, recent studies reported a relative increase in the proportion of EPTB cases, attributing it to the use of new immunosuppressive therapies, availability of diagnostic methods and better control of PTB[2, 3, 7, 9].

The definitions of PTB and EPTB are inconsistent in the literature[10]. Based mainly on the risk of transmission and guided by anatomy, the World Health Organization (WHO) classifies all patients into two categories: PTB (i.e., pulmonary, pulmonary with concomitant extrapulmonary, laryngeal and miliary disease) and EPTB (i.e., disease involving organs other than the lungs)[11]. However, other definitions from international societies and national organizations contain an extended anatomical TB classification and, additionally, report a third category labelled disseminated TB (i.e., miliary, two or more non-contiguous extrapulmonary sites or positive blood culture)[7, 12, 13]. This inconsistency in TB anatomical classification has direct implications for both the interpretation of TB epidemiology and program evaluations[4, 5, 7, 10], and for treatment decisions, with potential impact on treatment outcomes[13, 14].

The variations in EPTB classification constitute a public health problem that is neither widely recognized nor well understood. Although efforts have been made to improve diagnostic methods, EPTB has been considered a neglected disease[7, 10, 15]. We conducted this study with the primary aim of investigating whether an extended anatomical TB definition better identifies a patient's likelihood of an unfavourable treatment outcome. Our secondary aim was to evaluate whether the definition impacts on the reported TB treatment outcome at the aggregate level as a public health perspective.

Methods

Study population

We conducted an observational retrospective analysis of routinely collected tuberculosis data from São Paulo State, Brazil on 62,178 patients with newly diagnosed TB from 2010 to 2013. São Paulo State has around 44 million inhabitants[16] and is responsible for the highest number (20%) of TB cases in Brazil, with an estimated TB incidence of 38 per 100,000 person years [17].

We included patients aged \geq 15 years, newly diagnosed with TB (i.e. who had never been treated for TB or who had taken anti-TB drugs \leq 1 month)[11] from 2010 to 2013. We excluded presumptive TB patients whose diagnosis had changed during the follow-up period and patients still on treatment at the time of database acquisition.

Data source

We used data from the dedicated electronic system of the São Paulo State TB Program–"Tbweb". This dedicated electronic platform includes all notified cases of bacteriologically or clinically confirmed cases of TB from residents in São Paulo State. TB notification is compulsory in Brazil and only notified cases can start treatment. Tbweb also receives continuous input regarding patient and treatment status from health-care units responsible for patient care. The platform has several steps for data quality, accuracy and consistency[17].

We had official written permission to use the data from the Data Guardians, the Health Department of São Paulo State and Ethical Approval from the local Ethics Committee (Comitê de Ética em Pesquisa da Faculdade de Medicina da Universidade de São Paulo—protocol 270/ 14).

Definitions

Clinical TB form: the attending clinician determined the site of the disease according to a prespecified list of sites (pulmonary, pleural, lymphatic, bone, genital, urinary tract, central nervous system, intestinal, ocular, skin, laryngeal, other, or multiple organs/miliar). The electronic system allowed the clinician to enter up to a maximum of three sites. Using the data available in the database, we derived two different clinical classifications (Table 1):

Classification 1 (following the WHO classification) has two potential forms: 1) Pulmonary (i.e., lung parenchyma, pulmonary with any concomitant extrapulmonary site, laryngeal and miliary TB) and 2) Extrapulmonary (any other site occurrence and combinations)[11].

Classification 2 (following international societies' classification) has four potential forms: 1) Pulmonary only, 2) Pulmonary and any concomitant extrapulmonary sites and combinations, 3) Extrapulmonary only and 4) Miliary/Disseminated (disseminated defined as occurrence of two or more sites not including lung parenchyma and/or a positive blood culture)[4, 5, 7, 10, 13, 18, 19].

Classification 2 was our exposure of interest, because we hypothesized that it better discriminates patients by their risk of worse outcomes.

Treatment outcomes: we used the 2013 WHO definitions, adapting them to the "TBweb". This definition consists of 6 outcomes (Table A in <u>S1 File</u>), grouped into treatment success ("desired" outcomes) and unsuccessful treatment outcomes ("undesirable" outcomes).

	Classification 1 (WHO)	Classification 2
Pulmonary (lung parenchyma)	РТВ	PTB only
Pulmonary and any concomitant extrapulmonary forms	РТВ	PTB + EPTB
Miliary	РТВ	Miliary/Disseminated
Laryngeal	РТВ	EPTB only
Extrapulmonary – 1 organ affected	EPTB	EPTB only
Extrapulmonary – 2 or more non-contiguous organs affected	EPTB	Miliary/Disseminated

 Table 1. Two clinical classifications of active tuberculosis.

Abbreviations: EPTB—extrapulmonary tuberculosis; PTB—pulmonary tuberculosis; WHO—World Health Organization

https://doi.org/10.1371/journal.pone.0187585.t001

Treatment success is defined as the sum of "Cured" and "Treatment completed". Briefly, "Cured" is defined for patients with PTB with bacteriologically confirmed TB at the beginning of treatment who had proven negative microbiological results upon treatment completion. "Treatment completed" is defined for patients with TB who completed treatment without evidence of clinical failure, but with no record to show negative microbiological results upon treatment completion, either because tests were not done or because no biological material was available (e.g., patient without sputum production). Unsuccessful outcomes comprised four possible outcomes: treatment failure, death, loss to follow-up and not evaluated[11, 17]. Full description of each outcome is described on the supplementary file (Table A in S1 File).

Potential confounders: we selected a priori potential confounders to be adjusted for, including those related to patients (age, sex, country of birth, self-reported race, homelessness, education level, alcohol and drug use, diabetes mellitus, mental disorder, HIV status, immunosuppression other than HIV) and those related to disease and treatment (place of diagnosis, chest x-ray and microbiologic status at diagnosis, initial drug scheme and directly observedtreatment—DOT). HIV status was classified as HIV negative, positive or unknown, as recommended by the WHO 2013 definitions[11].

Data analysis

We described continuous variables with mean (SD) or median [IQR], depending on the variable distribution. Categorical variables were described as counts and percentage and compared using Fisher's exact test or a chi-square test, as appropriate.

We used logistic regression models to evaluate the impact of the four different forms of TB disease (Classification 2) on the unsuccessful outcome of treatment ("primary outcome") and death ("secondary outcome") at patient level. First, we obtained crude odds ratios (OR) with 95% confidence interval (CI). We then obtained adjusted ORs (adjOR) allowing for all the potential confounding factors defined a priori in a multivariable logistic regression model. We evaluated a potential interaction between our exposure and HIV status in the final model[17]. Multicollinearity was assessed by the amount of variation on the standard errors of parameters on the logarithmic scale. To deal with missing data, we investigated the missingness patterns among variables and described the number of missing values and their associations (Tables B and C in <u>S1 File</u>). We assumed the missing values to be missing at random (MAR) and explored whether the missing values were conditioned on observed variables, suggesting a MAR mechanism. We did not expect a missing not at random pattern[20]. Our main analysis was based on five multiple imputed datasets. We also report the complete case analysis in a sensitivity analysis. For the patient level analysis, we excluded patients who were diagnosed upon necropsy, because our aim was to evaluate TB treatment outcomes[17, 21].

We conducted two additional analyses to investigate the impact of different TB classifications on the aggregate reported data at country level for a public health perspective. We generated 500 datasets with different sample sizes, simulating different countries, by sampling our data using bootstrapping, taking account of the four TB clinical presentations (Classification 2) and HIV status. In each dataset, we compared the difference in treatment success for each simulated country that would be reported for pulmonary and extrapulmonary TB between the two classifications (e.g., treatment success of PTB as defined in Classification 2 minus treatment success of PTB as defined by WHO). Finally, we compared the impact on treatment success at country level according to whether it was reported as currently recommended by WHO (treatment success of PTB + EPTB altogether) or as PTB and EPTB separately. In both analyses at country level, we reported the crude treatment success and the adjusted one by fitting the final multivariate model in each dataset. We followed the STROBE guidelines and all analyses were conducted in STATA 13.1 (StataCorp-Texas).

Results

Of 67,044 patients with newly diagnosed TB, we excluded 2,384 (3.6%) patients aged <15 years, 2,023 (3.0%) patients whose diagnosis had changed and 459 (0.7%) still on treatment. Finally, we analyzed 62,178 patients with newly diagnosed TB from 2010 to 2013 from São Paulo State.

General characteristics of patients and clinical presentation

Most patients were classified as PTB only (n = 49,999; 80.4%), followed by EPTB only (n = 9,026; 14.5%). A further 1,651 (2.7%) patients had concomitant PTB-EPTB, while 1,502 (2.4%) had miliary/disseminated form (1,071–71.3% Miliary and 431–28.7% disseminated).

Table 2 describes the characteristics of patients over the four clinical presentations. Patients with PTB only were younger, less frequently white and had lower education levels. PTB only patients were mainly diagnosed in primary care, had higher microbiological confirmation and higher frequency of DOT. Patients with EPTB only had the highest female/male ratio, and lower prevalence of homelessness, alcohol and drug use. Miliary/disseminated cases were older, had higher prevalence of HIV positive status and other immunosuppression, and were more frequently diagnosed at necropsy. PTB-EPTB patients were nearly three times more likely to have HIV positive status or other immunosuppression than PTB only, and were mainly diagnosed during hospitalization.

Site of the disease

Pleural and lymphatic were the most common extrapulmonary TB sites, followed by central nervous system. Most sites were comparable among patients with PTB-EPTB and EPTB only. However, ocular and skin sites were more common in EPTB only compared with PTB-EPTB (5.3% vs. 1.0% and 2.4% vs. 0.8%, p<0.001, respectively). In contrast, intestinal and laryngeal sites were less common in EPTB only than PTB-EPTB (2.2% vs. 4.2% and 0.6% vs. 5.4%, p<0.001, respectively) (Fig 1).

Treatment outcomes at patient level

The overall percentage of unsuccessful outcome of treatment was 18.9% (n = 11,744), mainly due to losses to follow-up (9.7%) and death (7.9%). EPTB only had the highest rate of treatment success (84.0%), followed by PTB only (81.5%), PTB-EPTB (73.2%) and miliary/disseminated (58.6%). Losses to follow-up were equally distributed (around 10%) among clinical forms, except for EPTB only at 7.5%. PTB-EPTB patients had two times higher mortality (14.2%) than PTB only and EPTB only, whereas miliary/disseminated had around four times higher mortality (30.8%). Table 3 shows the treatment outcomes for all patients stratified by different classifications.

The crude and adjusted associations between clinical forms and unsuccessful outcomes are shown in Table 4. EPTB only had lower odds of unsuccessful outcome of treatment and death compared with PTB only (adjOR 0.65, 95% CI, 0.60–0.71, p<0.001 and adjOR 0.59, 95% CI, 0.52–0.67, p<0.001, respectively). In contrast, miliary/disseminated were positively associated with unsuccessful outcome of treatment and death compared with PTB only (adjOR 1.51, 95% CI, 1.33–1.71, p<0.001 and adjOR 1.99, 95% CI, 1.72–2.31, p<0.001, respectively). However, PTB-EPTB had similar odds to unsuccessful outcome of treatment and death than PTB only

	ONE
--	-----

Variable	Values	Pulmonary TB (n = 49,999)	Pulmonary and Extrapulmonary TB (n = 1,651)	Extrapulmonary TB (n = 9,026)	Miliary/ Disseminated TB (n = 1,502)	P value
Age, years	15–25	10,305 (20.6%)	249 (15.1%)	1,520 (16.9%)	153 (10.2%)	<0.001
	25.1–35	13,414 (26.9%)	436 (26.4%)	2,312 (25.6%)	350 (23.3%)	
	35.1–45	9,593 (19.2%)	384 (23.3%)	1,988 (22.0%)	432 (28.8%)	
	45.1–55	8,218 (16.5%)	292 (17.7%)	1,484 (16.5%)	278 (18.5%)	
	55.1–65	4,963 (9.9%)	175 (10.6%)	940 (10.4%)	161 (10.7%)	
	65.1–75	2,262 (4.5%)	79 (4.8%)	478 (5.3%)	75 (5.0%)	
	75.1–85	981 (2.0%)	32 (1.9%)	230 (2.6%)	44 (2.9%)	
	85.1–105	211 (0.4%)	4 (0.2%)	68 (0.8%)	7 (0.5%)	
	Missing	52 (0.1%)	-	6 (0.1%)	2 (0.1%)	
Sex	Female	13,935 (27.9%)	512 (31.0%)	3,634 (40.3%)	463 (30.8%)	<0.001
	Male	36,064 (72.1%)	1,139 (69.0%)	5,392 (59.7%)	1,039 (69.2%)	
Country of birth	Brazil	41,557 (97.3%)	1,370 (96.8%)	7,536 (97.7%)	1,211 (97.4%)	0.124
	Not Brazil	1,177 (2.7%)	45 (3.2%)	180 (2.3%)	32 (2.6%)	
	Missing	7,265 (14.5%)	236 (14.3%)	1,310 (14.5%)	259 (17.2%)	
Self-reported race	White	22,119 (51.0%)	841 (56.2%)	4,646 (58.1%)	794 (58.6%)	<0.001
	Black	5,085 (11.7%)	171 (11.4%)	872 (10.9%)	182 (13.4%)	
	Brown/Mixed	15,361 (35.4%)	459 (30.7%)	2,333 (29.2%)	363 (26.8%)	
	Asian	452 (1.0%)	21 (1.4%)	117 (1.5%)	12 (0.9%)	
	Indigenous	353 (0.8%)	5 (0.3%)	33 (0.4%)	5 (0.4%)	
	Missing	6,629 (13.3%)	154 (9.3%)	1,025 (11.4%)	146 (9.7%)	
Education	Illiterate	1,591 (3.9%)	41 (3.2%)	199 (2.8%)	39 (3.6%)	<0.001
	1–3 years	4,879 (12.1%)	122 (9.5%)	598 (8.3%)	116 (10.6%)	
	4–7 years	15,488 (38.4%)	428 (33.2%)	2,071 (28.6%)	404 (36.7%)	
	8–11 years	14,864 (36.8%)	540 (41.8%)	3,136 (43.3%)	417 (37.9%)	
	12–14 years	2,468 (6.1%)	110 (8.5%)	774 (10.7%)	67 (6.1%)	
	\geq 15 years	1,094 (2.7%)	50 (3.9%)	464 (6.4%)	57 (5.2%)	
	Missing	9,615 (19.2%)	360 (21.8%)	1,784 (19.8%)	402 (26.8%)	
Homelessness	No	48,616 (97.2%)	1,610 (97.5%)	8,962 (99.3%)	1,458 (97.1%)	<0.001
	Yes	1,383 (2.8%)	41 (2.5%)	64 (0.7%)	44 (2.9%)	
Alcohol	No	42,138 (84.3%)	1,395 (84.5%)	8,349 (92.5%)	1,281 (85.3%)	<0.001
	Yes	7,861 (15.7%)	256 (15.5%)	677 (7.5%)	221 (14.7%)	
Diabetes mellitus	No	46,858 (93.7%)	1,567 (94.9%)	8,617 (95.5%)	1,439 (95.8%)	<0.001
	Yes	3,141 (6.3%)	84 (5.1%)	409 (4.5%)	63 (4.2%)	
Drug user	No	44,459 (88.9%)	1,487 (90.1%)	8,609 (95.4%)	1,357 (90.3%)	<0.001
	Yes	5,540 (11.1%)	164 (9.9%)	417 (4.6%)	145 (9.7%)	

Table 2. Comparison of general characteristics of patients newly diagnosed with tuberculosis classified in four clinical forms (n = 62,178).

(Continued)



Table 2. (Continued)

Variable	Values	Pulmonary TB (n = 49,999)	Pulmonary and Extrapulmonary TB (n = 1,651)	Extrapulmonary TB (n = 9,026)	Miliary/ Disseminated TB (n = 1,502)	P value	
Mental disorder	No	49,061 (98.1%)	1,610 (97.5%)	8,871 (98.3%)	1,467 (97.7%)	0.102	
	Yes	938 (1.9%)	41 (2.5%)	155 (1.7%)	35 (2.3%)		
HIV status	Negative	38,470 (76.9%)	993 (60.2%)	6,370 (70.6%)	684 (45.5%)	<0.001	
	Positive	4,364 (8.7%)	461 (27.9%)	1,389 (15.4%)	633 (42.1%)		
	Unknown	7,165 (14.3%)	197 (11.9%)	1,267 (14.0%)	185 (12.3%)		
Other immunosuppression	No	49,616 (99.2%)	1,614 (97.8%)	8,875 (98.3%)	1,463 (97.4%)	<0.001	
	Yes	383 (0.8%)	37 (2.2%)	151 (1.7%)	39 (2.6%)		
Place of diagnosis	PHC/Ambulatory	31,929 (64.8%)	568 (34.6%)	3,963 (44.6%)	420 (28.3%)	<0.001	
	Emergency service	10,699 (21.7%)	385 (23.5%)	1,733 (19.5%)	338 (22.8%)		
	Hospital	6,148 (12.5%)	670 (40.9%)	3,104 (35.0%)	638 (43.0%)		
	Necropsy	512 (1.0%)	17 (1.0%)	79 (0.9%)	88 (5.9%)		
	Missing	711 (1.4%)	11 (0.7%)	147 (1.6%)	18 (1.2%)]	
Microbiological status	Negative	6,790 (14.3%)	486 (32.8%)	4,218 (72.8%)	611 (51.0%)	<0.001	
	Positive	40,861 (85.7%)	996 (67.2%)	1,580 (27.3%)	586 (49.0%)		
	Missing	1,836 (3.7%)	152 (9.3%)	3,149 (35.2%)	217 (15.4%)		
Chest-X-ray	Not done	7,782 (16.4%)	117 (7.4%)	1,572 (18.8%)	120 (8.9%)	<0.001	
	Normal	1,718 (3.6%)	87 (5.5%)	2,342 (28.0%)	166 (12.4%)		
	Additional pathology	178 (0.4%)	24 (1.5%)	291 (3.5%)	30 (2.2%)		
	Suspect of TB	28,146 (59.5%)	1,154 (73.0%)	3,960 (47.3%)	928 (69.1%)		
	Suspect of TB + cavitation	9,503 (20.1%)	198 (12.5%)	206 (2.5%)	100 (7.4%)		
	Missing	2,160 (4.4%)	54 (3.3%)	576 (6.4%)	70 (5.0%)		
Initial drug scheme	Other	898 (1.8%)	59 (3.6%)	249 (2.8%)	58 (4.1%)	<0.001	
	RHZ	489 (1.0%)	16 (1.0%)	111 (1.2%)	19 (1.3%)		
	RHZE	48,100 (97.2%)	1,559 (95.4%)	8,587 (96.0%)	1,337 (94.6%)		
Directly observed treatment- DOT	No	11,892 (24.1%)	733 (45.2%)	3,687 (41.5%)	622 (44.5%)	<0.001	
	Yes	37,352 (75.9%)	890 (54.8%)	5,204 (58.5%)	775 (55.5%)		
	Missing	243 (0.5%)	11 (0.7%)	56 (0.6%)	17 (1.2%)		

Abbreviations: PHC-primary health care clinic; R-rifampicin; H-isoniazid; Z-pyrazinamide; E-ethambutol

https://doi.org/10.1371/journal.pone.0187585.t002

after adjusting for potential confounders. Similar results were found in the complete case analysis (Table D in <u>S1 File</u>). We did not find an effect modification between the extended anatomical classification and HIV status.

Treatment success at country-level

The average characteristics of the 500 samples ("500 countries") are described in Table E in S1 File. The median sample size was 60,781 patients [36,447–88,689], with minimum 10,935







https://doi.org/10.1371/journal.pone.0187585.g001

cases and maximum 165,641 cases. We found considerable variability in the distribution of the four clinical forms of TB and HIV status.

The differences in treatment success that would be reported depending on whether each country reported PTB or EPTB only (Classification 2) instead of PTB or EPTB as Classification 1 are shown in Fig 2. Although the average differences would be around 1 or 2% (Fig 2; Table F in S1 File), for individual countries we can observe important differences. For instance, we observed 5 countries where the treatment success for "PTB only" would be over or underestimated by more than 5% (Table G in Si File). A country with 68% PTB and 32% EPTB (Classification 1) and high prevalence of HIV, would have reported a crude difference of 7.87% for PTB. Similarly, a country with 96.5% of PTB and 3.5% of EPTB (Classification 1) and low prevalence of HIV, would have reported a crude difference of 6.83% for EPTB (Fig 2, Table G in S1 File). The expected adjusted differences are shown in Fig A, Tables F and G in S1 File.

The differences in treatment success that would be reported if each country reports PTB and EPTB (Classification 1) separately instead of the overall rate between PTB and EPTB together are shown in Fig 3, Fig B and Table H in S1 File. We observed gaps between the treatment success achieved for each form (PTB or EPTB) and the treatment success of both forms



		Classificatio	on 1 (WHO)	Classification 2			
Outcomes	Overall	PTB	EPTB	PTB only	PTB-EPTB	EPTB only	Miliary/ Disseminated
	(n = 62,178)	(n = 52,773)	(n = 7,810)	(n = 49,999)	(n = 1,651)	(n = 9,026)	TB (n = 1,502)
Treatment success	50,434	42,624	7,810	40,761	1,208	7,585	880
	(81.1%)	(80.8%)	(83.0%)	(81.5%)	(73.2%)	(84.0%)	(58.6%)
Unsuccessful outcome	11,744	10,149	1,595	9,238	443	1,441	622
	(18.9%)	(19.2%)	(17.0%)	(18.5%)	(26.8%)	(16.0%)	(41.4%)
Treatment failure	306	302	4	285	13	3	5
	(0.5%)	(0.6%)	(0.1%)	(0.6%)	(0.8%)	(0.1%)	(0.3%)
Death	4,925	4,115	810	3,526	235	702	462
	(7.9%)	(7.8%)	(8.6%)	(7.1%)	(14.2%)	(7.8%)	(30.8%)
Loss to follow-up	6,003	5,283	720	5,003	176	679	145
	(9.7%)	(10.0%)	(7.7%)	(10.0%)	(10.7%)	(7.5%)	(9.7%)
Not evaluated	510	449	61	424	19	57	10
	(0.8%)	(0.9%)	(0.7%)	(0.9%)	(1.2%)	(0.6%)	(0.7%)

Table 3. Treatment outcomes stratified by Classification 1 and 2 for newly diagnosed tuberculosis in São Paulo State, Brazil.

Abbreviations: EPTB—extrapulmonary tuberculosis; PTB—pulmonary tuberculosis; WHO—World Health Organization

https://doi.org/10.1371/journal.pone.0187585.t003

together as evaluated by the WHO. Although the average gap was more evident for countries with a lower proportion of PTB, there were also huge gaps for individual countries with a high proportion of PTB. For instance, in a country with 60% PTB, the treatment success for both forms was 10% higher than that observed for PTB. There were 60 (12%) countries for PTB and 181 (36.2%) for EPTB where this gap would be more than 10% (Table I in <u>S1 File</u>). The expected adjusted differences are shown in Fig C, Tables H and I in <u>S1 File</u>.

Discussion

We report three important findings regarding the impact of different clinical classifications of new TB cases. We demonstrated that the clinical classification had a strong association with treatment outcomes at the patient level. We showed that the difference in the reported treatment success at the country level would have a minor impact whether reporting PTB as

Variable	Unsuccessful outcome of treatment		Death		
Crude	Crude OR (95% CI)	P value	Crude OR (95% CI)	P value	
Pulmonary TB	Reference		Reference		
Pulmonary and Extrapulmonary TB	1.65 (1.47–1.84)	<0.001	2.37 (2.05–2.75)	<0.001	
Extrapulmonary TB	0.84 (0.79–0.89)	<0.001	1.15 (1.06–1.26)	0.002	
Miliary/Disseminated TB	2.84 (2.54–3.16)	<0.001	5.55 (4.90-6.28)	<0.001	
Adjusted*	Adjust. OR (95% CI)	P value	Adjust OR (95% CI)	P value	
Pulmonary TB	Reference		Reference		
Pulmonary and Extrapulmonary TB	1.00 (0.88–1.13)	0.941	1.05 (0.89–1.24)	0.591	
Extrapulmonary TB	0.65 (0.60–0.71)	<0.001	0.59 (0.52–0.67)	<0.001	
Miliary/Disseminated TB	1.51 (1.33–1.71)	<0.001	1.99 (1.72–2.31)	<0.001	

Table 4. Crude and adjusted associations between clinical forms of TB and unsuccessful outcome of treatment and death (n = 62,178).

*Adjusted for age, sex, country of birth, race, education, homelessness, alcohol and drugs use, diabetes, mental disorder, HIV status, other immunosuppression, place of diagnosis, microbiologic diagnosis, Chest-X-Ray pattern at diagnosis, initial treatment drug and initial offer of directly observed treatment. Adjusted model from 5 multiple imputed datasets.

Abbreviations: CI-confidence intervals; OR-odds ratios; TB-tuberculosis.

https://doi.org/10.1371/journal.pone.0187585.t004

A. Treatment success of PTB only minus PTB-WHO

B. Treatment success of EPTB only minus EPTB-WHO



Fig 2. Over or underestimation in tuberculosis treatment success between the two clinical classifications at country level for 500 simulated countries. Panel A: difference in treatment success between Pulmonary TB only minus Pulmonary TB as classified by WHO (Classification 1); Panel B: difference in treatment success between Extrapulmonary TB only minus Extrapulmonary TB as classified by WHO (Classification 1).

https://doi.org/10.1371/journal.pone.0187585.g002

A. Treatment success by PTB, EPTB and Both

Classification 1 (WHO) compared with PTB only as Classification 2. However, we showed that reporting the treatment success of both forms as currently recommended hides any difference in progress in PTB and EPTB. This has direct implications for national programs, because PTB is the main source of transmission, and in some countries the treatment success rate for PTB is lower than the overall success reported.

EPTB has rarely been studied; and when it is studied, the focus is on risk factors for EPTB [4, 5] and diagnostic methods [2], and few studies focused on treatment outcomes of EPTB [22]. One main barrier to interpreting previous studies is the lack of consistency between the clinical classifications used. Some reports excluded cases with pulmonary and concomitant extrapulmonary TB, others studied each form separately or mixed the disseminated forms into pulmonary or extrapulmonary classification [4, 8, 10]. In this study, we analyzed all forms



B. Treatment success for Both forms minus PTB

Fig 3. Over or underestimation in tuberculosis treatment success at country level for 500 simulated countries between overall (both forms) and each form of tuberculosis as classified by WHO (Classification 1). Panel A: Average treatment success for both forms, pulmonary and extrapulmonary tuberculosis; Panel B: difference in treatment success between overall (both forms) minus pulmonary TB as classified by WHO (Classification 1).

https://doi.org/10.1371/journal.pone.0187585.g003

100

70

80 90

<10%

• 10-30%

≥ 30%

classified in a proposed extended classification. Using this classification, we showed that after adjustments for potential confounders, patients with PTB only and PTB-EPTB had a very similar percentage of unsuccessful outcome of treatment and death. This was unexpected, requires replication and shows the importance of not excluding these patients from observational studies. In contrast, patients with EPTB only (mainly pleural and lymphatic disease) had better treatment outcomes. Current treatment guidelines have few evidence-based indications of customized treatment for different forms of TB, and it is likely that approaching TB treatment, taking into account its clinical presentation, will have an impact on treatment decisions and outcomes[13, 23, 24].

Miliary presentation is a classical form of TB defined by diffuse miliar infiltration on chest-X-ray and is attributed to a massive lymphohaematogeneous dissemination of the bacilli[25]. Although some classifications and clinicians still focus on the chest-X-ray pattern to classify miliary TB, it is well known that chest-X-ray has low sensitivity to diagnose diffuse micronodules[25, 26]. In addition, other forms of disseminated TB (also labelled bacteraemic, cryptic or generalized) have similar pathophysiology of miliary TB, with challenging diagnosis and paucity of symptoms, leading to delayed treatment and worse outcomes[25, 27, 28]. We showed that although a great part of the association between miliary/disseminated TB and worse outcomes was due to confounding factors, disseminated forms were still associated with unsuccessful outcome of treatment and death. We believe it should be a priority to treat disseminated forms of TB separately in official reports, guidelines and clinical studies, due to their particular features and worse outcomes.

The extended anatomical classification is likely to be applicable in clinical practice because it is simple and based on normally collected data in National TB Programs. However, it does not encompass other important features present in the complex pathogen-host interaction [29]. Indeed, the classification does not take account markers of immune response, or additional characteristics such as bacillary burden, and radiological pattern for pulmonary cases [29, 30]. Similarly, HIV positive patients deserve special attention, because of their expected worse outcomes and their TB clinical presentation, that could be unusual, limiting our extended "classical" anatomic classification. We did not observe an effect modification for HIV status, however we have not data about HIV stage (e.g., CD4 count and viral load) and antiretroviral therapy[31]. We believe that taking into account all these other important features, as well as using data-driven techniques in our "Big data era" (e.g., latent-variable analysis or machine learning)[32], a new classification based on clusters and phenotypes might show better performance compared with the extended anatomical classification.

TB remains a major public health problem and, although improvements have been observed in recent decades, the goals regarding TB control have not been achieved [1, 33]. In particular, several countries, including Brazil, did not achieve the expected treatment success rate of 85% recommended by WHO[1]. Since PTB is the main source of transmission, national TB programs should ensure that targets are monitored for these patients to decrease the risk of transmission. We hypothesized that reporting the overall treatment success (PTB+EPTB), as requested by the WHO, could hinder accurate monitoring of PTB, thereby distorting the evaluation of national programs. Our results clearly showed that both over and underestimation of treatment success for PTB and EPTB can happen in several scenarios when using the WHO classification at the aggregate level. Although some of the simulated scenarios are unlikely to occur (such as countries with less than 40% of PTB), a substantial percentage of countries could be reporting \geq 10% difference in treatment success rates for PTB. Importantly, we showed that we have small changes in treatment success if reporting PTB only (Classification 2) instead of PTB as in Classification 1. The difference is expected to be small as PTB only is the major form of PTB in Classification 1, while other forms contribute very few cases, and poor outcomes occurred mainly in miliary disease, which corresponds to less than 2% of cases. WHO leaves the decision of whether to report treatment outcomes separately for PTB and EPTB to national programs[34].

We suggest, based on our results, that WHO should require all countries to analyze and report treatment outcomes separately for PTB and for EPTB. Indeed, the Centers for Disease Control and Prevention (CDC), the European Centre for Disease Prevention and Control (ECDC) and some national programs (e.g., Public Health England) already report TB epidemiology using an approach similar to the extended classification (Classification 2)[12, 19].

Our study used population-representative data from a high-burden country. We overcame previous literature limitations by including in our analysis the four clinical forms of TB. Additionally, we showed that reports and audits from national programs could be improved by separating treatment outcomes into TB forms. However, our study has some limitations. We included only new cases of TB in order to analyze a homogeneous population, but WHO considers both relapses and new cases to evaluate treatment outcomes. Further studies should assess the association of the extended anatomical classification and treatment outcomes in different settings, and not only in new cases, but also including relapses. Second, we used the standardized treatment outcomes as suggested by the WHO, but previous studies showed the impact on treatment success rate using different treatment outcomes classification[21]. The impact of the extended anatomical classification on treatment outcomes should be evaluated using other treatment outcomes definitions. Indeed, there is a gap on how to appropriately evaluate treatment outcomes for EPTB, and further research should focus on it, for a feasible and comparable treatment outcome definitions. Third, we excluded TB cases in children, a population where disseminated TB has an important impact on treatment outcomes. Fourth, we did not evaluate the treatment outcome for the EPTB group stratifying by each site (e.g., renal, adrenal, central nervous system). The fact that some sites have particular natural courses, such as long quiescent times, might explain in part our findings for better outcomes for EPTB. Finally, our main analysis was based on multiple imputation assuming a missing at random mechanism, that is an untestable assumption. However, we have not indications of missing not at random mechanism, based on the missingness pattern and on the researcher's experience with the São Paulo TB database. Importantly, our results remained similar in our sensitivity analysis.

In summary, we observed that the anatomical classification of TB was strongly associated with treatment outcomes. We hope our results will encourage a discussion of how to better address the classification of TB for individual treatment and for public health reporting by the international community responsible for establishing policies, guidelines recommendations, research and for monitoring TB indicators.

Supporting information

S1 File. (PDF)

Acknowledgments

OTR is a Master's Training Fellowship in Public Health and Tropical Medicine from the Wellcome Trust (grant number 104006/Z/14/Z, https://wellcome.ac.uk/).

We are grateful to the dedicated staff of the Division of the Control of Tuberculosis from the Centre of Epidemiology Surveillance-"Prof. Alexandre Vranjac" at the Health Department

in São Paulo State, who oversaw the TB Program in São Paulo State and management of the database.

Author Contributions

Conceptualization: Otavio T. Ranzani, Laura C. Rodrigues, Eliseu A. Waldman, Carlos R. R. Carvalho.

Data curation: Otavio T. Ranzani.

Formal analysis: Otavio T. Ranzani.

- **Funding acquisition:** Otavio T. Ranzani, Laura C. Rodrigues, Eliseu A. Waldman, Carlos R. R. Carvalho.
- Methodology: Otavio T. Ranzani, Laura C. Rodrigues, Eliseu A. Waldman, Carlos R. R. Carvalho.
- Project administration: Otavio T. Ranzani, Laura C. Rodrigues, Eliseu A. Waldman, Carlos R. R. Carvalho.

Supervision: Laura C. Rodrigues, Carlos R. R. Carvalho.

Validation: Otavio T. Ranzani, Eliseu A. Waldman.

Visualization: Otavio T. Ranzani.

Writing - original draft: Otavio T. Ranzani.

Writing - review & editing: Laura C. Rodrigues, Eliseu A. Waldman, Carlos R. R. Carvalho.

References

- World Health Organization-WHO. Global tuberculosis report 2015. [http://apps.who.int/iris/bitstream/ 10665/191102/1/9789241565059_eng.pdf?ua=1]. Geneva2015. http://apps.who.int/iris/bitstream/ 10665/191102/1/9789241565059_eng.pdf?ua=1.
- Solovic I, Jonsson J, Korzeniewska-Kosela M, Chiotan DI, Pace-Asciak A, Slump E, et al. Challenges in diagnosing extrapulmonary tuberculosis in the European Union, 2011. Euro Surveill. 2013; 18(12). PMID: 23557946.
- Kruijshaar ME, Abubakar I. Increase in extrapulmonary tuberculosis in England and Wales 1999–2006. Thorax. 2009; 64(12):1090–5. https://doi.org/10.1136/thx.2009.118133 PMID: 19850965.
- Peto HM, Pratt RH, Harrington TA, LoBue PA, Armstrong LR. Epidemiology of extrapulmonary tuberculosis in the United States, 1993–2006. Clin Infect Dis. 2009; 49(9):1350–7. https://doi.org/10.1086/ 605559 PMID: 19793000.
- Gomes T, Reis-Santos B, Bertolde A, Johnson JL, Riley LW, Maciel EL. Epidemiology of extrapulmonary tuberculosis in Brazil: a hierarchical model. BMC Infect Dis. 2014; 14:9. https://doi.org/10.1186/ 1471-2334-14-9 PMID: 24400848.
- Kingkaew N, Sangtong B, Amnuaiphon W, Jongpaibulpatana J, Mankatittham W, Akksilp S, et al. HIVassociated extrapulmonary tuberculosis in Thailand: epidemiology and risk factors for death. Int J Infect Dis. 2009; 13(6):722–9. https://doi.org/10.1016/j.ijjd.2008.11.013 PMID: 19196530.
- Sandgren A, Hollo V, van der Werf MJ. Extrapulmonary tuberculosis in the European Union and European Economic Area, 2002 to 2011. Euro Surveill. 2013; 18(12). PMID: 23557943.
- Webster AS, Shandera WX. The extrapulmonary dissemination of tuberculosis: A meta-analysis. Int J Mycobacteriol. 2014; 3(1):9–16. https://doi.org/10.1016/j.ijmyco.2014.01.003 PMID: 26786217.
- Sama JN, Chida N, Polan RM, Nuzzo J, Page K, Shah M. High proportion of extrapulmonary tuberculosis in a low prevalence setting: a retrospective cohort study. Public Health. 2016. <u>https://doi.org/10. 1016/j.puhe.2016.03.033</u> PMID: 27137870.
- Shandera WX, Merchant O. The inconsistent definitions used for tuberculosis in the medical literature. Int J Mycobacteriol. 2015; 4(2):158–60. https://doi.org/10.1016/j.ijmyco.2015.03.001 PMID: 26972886.
- 11. World Health Organization-WHO. Definitions and reporting framework for tuberculosis—2013 revision (updated December 2014). WHO Publications. 2013:1–47.

- U.S. Department of Health and Human Services—Centers for Disease Control and Prevention—CDC. Reported tuberculosis in the United States, 2014. Atlanta, GA: Division of Tuberculosis Elimination; 2015. https://www.cdc.gov/tb/statistics/reports/2014/pdfs/tb-surveillance-2014-report.pdf.
- Nahid P, Dorman SE, Alipanah N, Barry PM, Brozek JL, Cattamanchi A, et al. Official American Thoracic Society/Centers for Disease Control and Prevention/Infectious Diseases Society of America Clinical Practice Guidelines: Treatment of Drug-Susceptible Tuberculosis. Clin Infect Dis. 2016. <u>https://doi.org/10.1093/cid/ciw376 PMID: 27516382</u>.
- Kulchavenya E. Extrapulmonary tuberculosis: are statistical reports accurate? Ther Adv Infect Dis. 2014; 2(2):61–70. https://doi.org/10.1177/2049936114528173 PMID: 25165556.
- Pollett S, Banner P, O'Sullivan MV, Ralph AP. Epidemiology, Diagnosis and Management of Extra-Pulmonary Tuberculosis in a Low-Prevalence Country: A Four Year Retrospective Study in an Australian Tertiary Infectious Diseases Unit. PLoS One. 2016; 11(3):e0149372. https://doi.org/10.1371/journal. pone.0149372 PMID: 26963244.
- Brazilian Institute of Geography and Statistics. Demographic census 2010. Brazil. Accessed 15 nov. http://www.ibge.gov.br/.
- Ranzani OT, Carvalho CR, Waldman EA, Rodrigues LC. The impact of being homeless on the unsuccessful outcome of treatment of pulmonary TB in Sao Paulo State, Brazil. BMC Med. 2016; 14:41. https://doi.org/10.1186/s12916-016-0584-8 PMID: 27006009.
- 18. Diagnostic Standards and Classification of Tuberculosis in Adults and Children. This official statement of the American Thoracic Society and the Centers for Disease Control and Prevention was adopted by the ATS Board of Directors, July 1999. This statement was endorsed by the Council of the Infectious Disease Society of America, September 1999. Am J Respir Crit Care Med. 2000; 161(4 Pt 1):1376–95. https://doi.org/10.1164/ajrccm.161.4.16141 PMID: 10764337.
- European Centre for Disease Prevention and Control/WHO Regional Office for Europe. Tuberculosis surveillance and monitoring in Europe 2016. Stockholm: European Centre for Disease Prevention and Control (ECDC); 2016. http://ecdc.europa.eu/en/publications/publications/ecdc-tuberculosissurveillance-monitoring-europe-2016.pdf.
- Sterne JA, White IR, Carlin JB, Spratt M, Royston P, Kenward MG, et al. Multiple imputation for missing data in epidemiological and clinical research: potential and pitfalls. BMJ. 2009; 338:b2393. https://doi. org/10.1136/bmj.b2393 PMID: 19564179.
- Ditah IC, Reacher M, Palmer C, Watson JM, Innes J, Kruijshaar ME, et al. Monitoring tuberculosis treatment outcome: analysis of national surveillance data from a clinical perspective. Thorax. 2008; 63 (5):440–6. https://doi.org/10.1136/thx.2006.073916 PMID: 17615085.
- Vasankari T, Holmstrom P, Ollgren J, Liippo K, Ruutu P. Treatment outcome of extra-pulmonary tuberculosis in Finland: a cohort study. BMC Public Health. 2010; 10:399. <u>https://doi.org/10.1186/1471-</u> 2458-10-399 PMID: 20604926.
- Fuentes ZM, Caminero JA. [Controversies in the treatment of extrapulmonary tuberculosis]. Arch Bronconeumol. 2006; 42(4):194–201. PMID: 16735017.
- Yone EW, Kengne AP, Moifo B, Kuaban C. Prevalence and determinants of extrapulmonary involvement in patients with pulmonary tuberculosis in a Sub-Saharan African country: a cross-sectional study. Scand J Infect Dis. 2013; 45(2):104–11. <u>https://doi.org/10.3109/00365548.2012.714905</u> PMID: 22992019.
- Sharma SK, Mohan A, Sharma A, Mitra DK. Miliary tuberculosis: new insights into an old disease. Lancet Infect Dis. 2005; 5(7):415–30. https://doi.org/10.1016/S1473-3099(05)70163-8 PMID: 15978528.
- Kwong JS, Carignan S, Kang EY, Muller NL, FitzGerald JM. Miliary tuberculosis. Diagnostic accuracy of chest radiography. Chest. 1996; 110(2):339–42. PMID: 8697830.
- Vasankari T, Liippo K, Tala E. Overt and cryptic miliary tuberculosis misdiagnosed until autopsy. Scand J Infect Dis. 2003; 35(11–12):794–6. PMID: 14723351.
- Martineau AR, Lowey H, Tocque K, Davies PD. Decreasing tuberculosis case fatality in England and Wales, 1988–2001. Int J Tuberc Lung Dis. 2004; 8(6):737–42. PMID: 15182144.
- 29. Lawn SD, Zumla AI. Tuberculosis. Lancet. 2011; 378(9785):57–72. https://doi.org/10.1016/S0140-6736(10)62173-3 PMID: 21420161.
- Waitt CJ, Squire SB. A systematic review of risk factors for death in adults during and after tuberculosis treatment. Int J Tuberc Lung Dis. 2011; 15(7):871–85. <u>https://doi.org/10.5588/ijtld.10.0352</u> PMID: 21496360.
- Pai M, Behr MA, Dowdy D, Dheda K, Divangahi M, Boehme CC, et al. Tuberculosis. Nat Rev Dis Primers. 2016; 2:16076. https://doi.org/10.1038/nrdp.2016.76 PMID: 27784885.

- **32.** Shankar-Hari M, McAuley DF. Acute Respiratory Distress Syndrome Phenotypes and Identifying Treatable Traits. The Dawn of Personalized Medicine for ARDS. Am J Respir Crit Care Med. 2017; 195(3):280–1. PMID: 28145757.
- Lonnroth K, Raviglione M. The WHO's new End TB Strategy in the post-2015 era of the Sustainable Development Goals. Trans R Soc Trop Med Hyg. 2016; 110(3):148–50. https://doi.org/10.1093/trstmh/ trv108 PMID: 26884490.
- World Health Organization-WHO. Frequently asked questions (FAQ). Definitions and reporting framework for tuberculosis—2013 revision (updated December 2014). 2013 [cited 2016 09/12/2016]. <u>http://</u> www.who.int/tb/publications/definitions_faq/en/-epoutcomes.