

1 **Role of riparian wetlands and hydrological connectivity in the dynamics of stream thermal**  
2 **regimes**

3 **Short title:** Role of riparian wetlands in the dynamics of stream thermal regimes

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10 **Abstract**

11 Stream temperature is a fundamental physical characteristic of rivers, influencing biological  
12 productivity and water quality. Given the implications of climate warming for stream  
13 thermal regimes, it is an important consideration in river management plans. Energy  
14 exchanges at the water-air interface, channel geomorphology, riparian vegetation and  
15 advective heat transport from the different sources of discharge can all influence stream  
16 temperature. A simple mixing equation was used to investigate heat transport and to  
17 estimate daily mean and maximum stream temperatures on the basis of mixing  
18 groundwater (GW) and near-surface flows (NSF) from riparian wetlands as end-members in  
19 a peatland catchment. The resulting data was evaluated against energy balance components  
20 and saturation extent to investigate the importance of riparian wetlands in determining  
21 stream temperatures. Data fit was generally good in periods with extensive saturation; and  
22 poorest in dry periods with less hydrological connectivity, when reduced saturation and low

23 flows increased the relative influence of energy exchange at the stream-atmosphere  
24 interface. These findings have implications in terms of climate change and land  
25 management, where the planting of riparian buffer strips to moderate water temperatures  
26 may be less effective when saturation area is extensive and hydrological connectivity is high.

27 Key words: stream temperatures, riparian areas, mixing models, peatlands.

28

## 29 **1. Introduction**

30 Stream temperature is a critical riverine water quality characteristic, strongly influencing  
31 biogeochemistry, ecological productivity and species distribution (Isaak and Hubert 2001;  
32 Malcolm et al. 2004; Caissie 2006). It is principally controlled by hydroclimatic factors (e.g. net  
33 radiation fluxes at the atmosphere-stream interface) and modulated by landscape  
34 characteristics (Caissie 2006). Landscape effects on stream temperatures have been a  
35 research focus, including the effects of shading (by riparian vegetation and topography),  
36 elevation and channel morphology (Mosley 1983; Imholt et al. 2013). Recent work has also  
37 considered coupled heat transfers in groundwater–surface water systems to assess how  
38 spatio-temporal dynamics in the magnitude, connectivity and thermal properties of various  
39 runoff sources affect stream temperatures (Kurylyk et al. 2014).

40

41 Interests in energy exchange and heat transfer have focused on riparian areas where energy  
42 exchange processes have greatest potential to affect stream temperature (Garner et al.  
43 2015). These include vegetation shading (Brown et al. 2010), groundwater (GW) inflows  
44 (Constantz 1998), and hyporheic exchange (Birkel et al. 2016). An important part of many

45 headwaters are wetland-dominated riparian areas where GW discharge is strong and the  
46 water table is close to the ground surface (Ingram 1983; Geris et al. 2014). High GW tables  
47 create areas of dynamic saturation that can expand and contract, depending on antecedent  
48 hydrometeorological conditions (Dunne et al. 1975; Birkel et al. 2010). The spatial extent and  
49 connectivity of such riparian wetlands determine the water sources generating stream flow  
50 and the relative importance of near-surface flow (NSF) paths and GW inflows (Tetzlaff et al.  
51 2014, Dick et al. 2014). Under wet conditions, riparian wetlands are strongly connected to the  
52 stream network and the saturation zone may expand to upslope areas (Blumstock et al. 2016).  
53 Such saturation zones form extensive areas for atmosphere – water energy exchange, away  
54 from the channel network, affecting the thermal characteristics of such runoff sources (Dick  
55 et al. 2014). In extensive riparian wetlands, up to 80% of annual streamflow can be generated  
56 from NSF paths (Tetzlaff et al. 2014), which may have a significant influence on stream  
57 temperatures (Dick et al. 2015). Given the spatial extent and downstream influence of low  
58 order streams, the implications may extend beyond headwaters (Bishop et al. 2008). To date,  
59 there has been limited work on the importance of such saturated areas in catchment  
60 thermoscapes.

61

62 This research gap has implications for river management decisions. Recent interest has  
63 centred on riparian areas, where management has focused on creation of buffer strips, to  
64 improve freshwater quality and the aquatic environment (Osborne and Kovacic 1993). The  
65 ability to focus riparian management on specific areas as “hot spots” represents its main  
66 attraction, given it is likely to yield the best cost-benefit ratios (Hrachowitz et al. 2010).  
67 Riparian areas have been the focus for re-forestation as resilience-building measures to

68 mitigate climate change which is projected to lead to an increase in temperatures of over 2°C  
69 in eastern Scotland by 2080 under low emission scenarios (Murphy et al. 2009) and has  
70 potential to increase stream temperatures (Zwieniecki and Newton 1999; Broadmeadow et  
71 al. 2011). Usually, financial constraints of such schemes dictate that bankside planting is  
72 limited to areas immediately fringing streams to maximise the shading effect (Johnson and  
73 Wilby 2015). However, this approach may have limitations in environments where riparian  
74 wetlands result in prolonged and spatially extensive saturation for water – atmosphere  
75 energy exchanges to cross (Kuglerová et al. 2014). In such cases, a broader view of catchment  
76 thermoscapes may be needed to understand the dynamics of surface saturation and its effect  
77 on heat transfer to streams.

78

79 In this work, we focus on a peatland-dominated catchment in the Scottish Highlands. Previous  
80 work has shown that the peatland is the main hydrological source area contributing to the  
81 dynamics of stream flow generation (Soulsby et al. 2015). Also, data has been collected on  
82 the catchment thermoscapes in the stream and various source waters to assess the wider  
83 catchment controls on stream temperatures (Dick et al. 2014). Here, we use a simple mixing  
84 model to assess the importance of well-connected riparian wetlands for stream  
85 temperatures. Such mixing models have been useful for investigating the role of changing  
86 water sources in catchments and have been widely applied in hydrograph separations (e.g.  
87 Buttle (1994); McNamara et al. (1997)) typically involving the mixing of assumed conservative  
88 solutes to quantify contributing sources waters (e.g. Ockenden et al. 2014). Earlier work  
89 utilised contrasting thermal characteristics of different source waters as a tracer in mixing

90 equations (Shanley and Peters 1988); and similar approaches have been used to identify point  
91 source inputs of GW along streams (Selker et al. 2006).

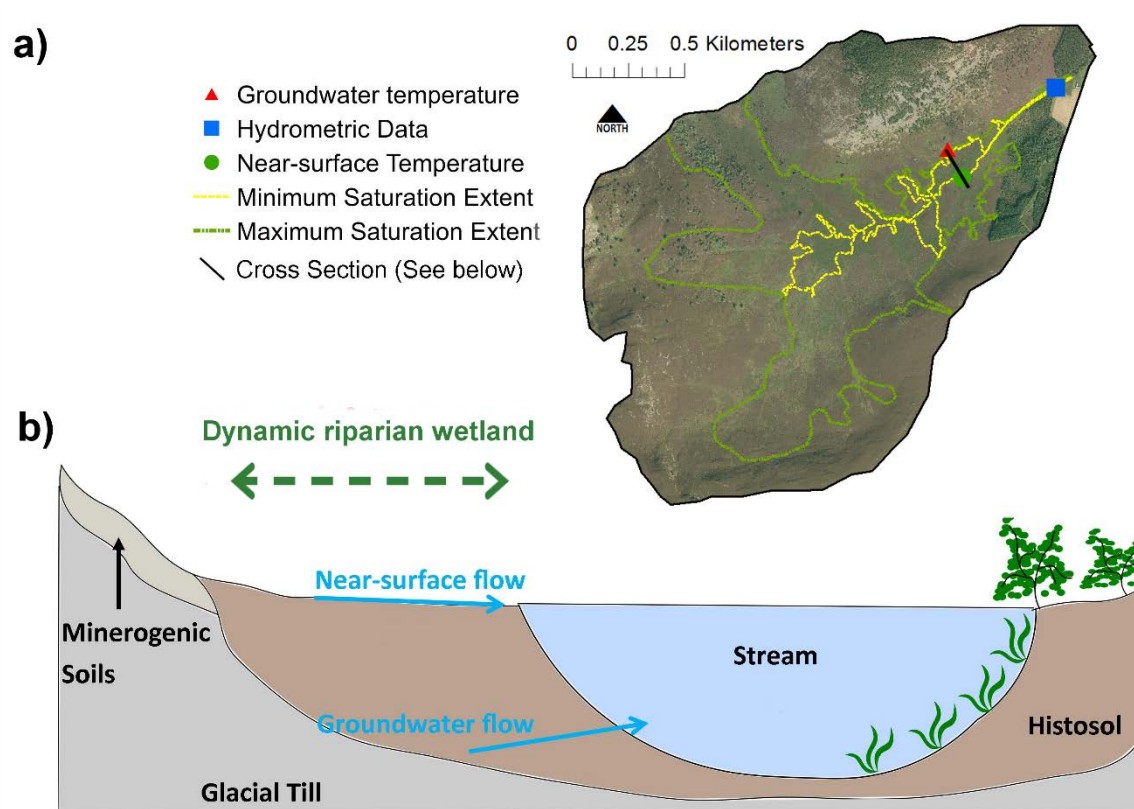
92 The specific objectives were to:

- 93 (i) Predict mean and maximum daily stream temperatures using a simple two component  
94 mixing model to assess the extent to which the fluxes and thermal properties of NSF  
95 and GW can explain stream water temperatures.
- 96 (ii) Ascertain how temporal variations in riparian wetland extent and hydrological  
97 connectivity influence the stream thermal regime.
- 98 (iii) Address the implications of these findings for riparian management strategies used to  
99 curb the effects of climate change on stream temperatures.

100

## 101 **2. Study site**

102 The Bruntland Burn (Figure 1) is a 3.2 km<sup>2</sup> catchment in the Scottish Highlands, described in  
103 detail by (e.g. Tetzlaff et al. 2007; Birkel et al. 2011). The catchment is of glacial origin with a  
104 wide flat valley bottom receiving drainage from steeper hillslopes. Elevation spans 248 to 539  
105 m.a.s.l., with mean slopes of 13°. Land cover is mostly heather (*Calluna vulgaris* and *Erica*  
106 *tetralix*) moorland on steeper slopes, with limited forest cover. The only significant riparian  
107 tree shading is at the catchment outlet, where a plantation fringes the south of the stream,  
108 though the channel dimensions coupled with shrub growth can give local areas of shading  
109 (Dick et al. 2014). Riparian areas cover ~10% of the catchment and are characterised by  
110 *Sphagnum spp*, and purple moor-grass (*Molinia caerulea*) on 1-2m deep peats (histosols).



111

112 Figure 1: Study site (a) showing minimum and maximum spatial extents of the saturated riparian  
 113 wetland along with measurement locations. The transect line on the map corresponds to schematic  
 114 cross section (b) through the dynamic riparian wetlands showing components of water and  
 115 temperature fluxes.

116 Mean annual precipitation is  $\sim 1000$  mm, mostly from low intensity frontal events. Mean  
 117 annual runoff is 700 mm and potential evapotranspiration is 400 mm per year. Mean annual  
 118 air temperature is  $\sim 6$  °C, with daily means ranging between 12 °C and 1 °C in July and January,  
 119 respectively (Dick et al. 2015).

120 A dominant feature of the hydrology of the catchment are extensive riparian saturation zones  
 121 that dynamically expand and contract in response to precipitation (Figure 1). The saturated  
 122 area covers 2-60% of the catchment, depending on antecedent conditions (Birkel et al. 2010).

123 Most precipitation events instigate a streamflow response, as water is displaced from these  
124 riparian zones as saturation-excess overland flow (Birkel et al. 2010) which contributes  
125 around 80% of annual streamflow (Soulsby et al. 2015). Runoff coefficients are typically <10%,  
126 increasing in wetter periods to >40% as the saturated zone in the riparian wetland expands  
127 (Tunaley et al. 2016), and connects lateral flow in the upper horizons of the hillslope podzols  
128 to the channel network (Tetzlaff et al. 2014). Shallow (<0.5m) peats cover the lower hillslopes  
129 (~22% of the area). Steeper slopes are covered by podzols with a 0.1-0.2m deep O horizon  
130 overlying free-draining mineral sub-soil, which facilitates deeper GW recharge. Direct GW  
131 inputs to the stream account for 20% of annual runoff (Ala aho et al. 2017).

132

133 The stream channel is narrow (0.5 – 1 m) and deep (0.5 – 1.5 m), with a limited hyporheic  
134 zone, due to being lined by peat or the underlying glacial drift (Figure 1). Point source influxes  
135 of surface waters draining from the adjacent riparian wetlands are located throughout the  
136 stream network.

137

138 Dick et al. (2015) measured stream temperature at 11 locations throughout the catchment,  
139 and GW and surface water (each at 4 locations). Their measurements showed little spatial  
140 variability in stream water temperature, which exhibited an annual average of 6.3 °C (range  
141 of 18.2 °C). The dynamic riparian wetland NSF temperatures showed most variability, with an  
142 average temperature of 6.4 °C and range of 23.8 °C (Dick et al. 2015). The deeper GW had the  
143 least variable temperature with a range of 3.2 °C and an average of 7.0 °C. Shallower GW fell

144 between the NSF and deeper GW, and its temperature range decreased with depth (Dick et  
145 al. 2015).

146

### 147 **3. Data and Methods**

148 Hydrometric monitoring covered ~2 years between 1<sup>st</sup> July 2012 and 30<sup>th</sup> September 2014.  
149 Seasons were defined meteorologically (summer starting 1<sup>st</sup> June; autumn 1<sup>st</sup> September;  
150 winter 1<sup>st</sup> December; spring 1<sup>st</sup> March). An automatic weather station 2km away measured  
151 precipitation (Campbell ARG100 tipping bucket rain gauge; error of 0.05 mm), air  
152 temperatures (Campbell HMP35AC probe; error of 0.2 °C), radiation (NR Lite net radiometer;  
153 error of 5%), humidity (Campbell HMP35AC probe; error of 1-3%) and wind speed (Vector  
154 A100R anemometer; error of 0.25 m s<sup>-1</sup>) (Hannah et al. 2004). We used relative air pressure  
155 from the Met Office weather station at Braemar (ca. 30km away) to estimate the energy  
156 balance components of latent and sensible heat. Discharge was estimated at the catchment  
157 outlet (Hydrometric data – Figure 1) at 15 minute intervals using a rating equation in a stable  
158 section with stream stage height measured with a water level recorder (Odyssey data  
159 recording loggers; resolution of around 0.8 mm).

160

161 GW, NSF and Q water temperatures were measured using TinyTag TGP-4017 loggers (Gemini  
162 data loggers; precision of 0.5 °C) (Figure 1). Due to logistical and storage constraints, a one  
163 hour recording interval was used, accounting for thermistor response time (25 minutes), and  
164 download frequency. Loggers were laboratory calibrated across a greater than natural  
165 temperature range before and after installation, maintaining 0.5°C accuracy. Stream



166 temperature was measured on the bed, with loggers enclosed in white shields, reducing  
167 effects of incident radiation. NSF temperature was measured on the surface of the dynamic  
168 riparian wetland in the riparian zone, again within radiation shields. GW temperature was  
169 measured in a borehole, situated in a spring on the north side of the catchment (Figure 1).

170

### 171 **3.1 Modelling and analysis**

172 A two component mixing equation (Kendall and McDonnell 1998) was used to explore the  
173 extent to which stream temperature variations could be explained by mixing NSF and GW  
174 inputs to the stream. We hypothesised that the ability to estimate stream temperature would  
175 relate to wetness (i.e. saturation area extent) and atmospheric energy inputs. Daily mean and  
176 maximum stream water temperatures  $T_S$  were predicted using the water temperature,  
177 discharge ( $Q_S$ ), and flux end-members representing NSF ( $Q_{NSF}T_{NSF}$ ) and GW ( $Q_{GW}T_{GW}$ ).

$$178 \quad T_S = \frac{Q_{NSF}T_{NSF} + Q_{GW}T_{GW}}{Q_S} \quad \text{(Equation 1)}$$

179 For the temperatures of each flux ( $T_{NSF}$  and  $T_{GW}$ ), daily means (or daily maximum) of the NSF  
180 and GW temperature measurements were used. The sensors chosen to represent the end  
181 member were selected based on previous analysis (Dick et al. 2015), which showed the  
182 loggers to be representative of the end members as defined by this study. They were  
183 specifically chosen as the NSF was highly variable and the GW low variability. The non-  
184 parametric Wilcoxon signed rank test was used to assess the temperature difference between  
185 stream loggers, and showed no significant difference (p-value = 0.97). Therefore,  
186 measurements from the logger at the outlet was used for  $T_S$ . The NSF observation site was  
187 specifically chosen as it was the closest to the stream location where most NSF fluxes from

188 the saturated area occur (Soulsby et al., 2015). The four NSF temperature loggers were not  
189 significantly different ( $p$ -value = 0.05) (Dick et al. 2015). For GW, recent work (Scheliga et al.  
190 2017) has shown similarly damped thermal regimes in four deep GW wells , therefore, the  
191 GW spring shown in Figure 1 was deemed representative as an endmember.

192

193 GW and NSFs water fluxes ( $Q_{NSF}$  and  $Q_{GW}$ ) were impractical to measure directly and are  
194 temporally variable; therefore we used modelled estimates from a tracer-aided conceptual  
195 model of Soulsby et al. (2015). The model simulated streamflow  $Q_S$ ,  $Q_{NSF}$ , and  $Q_{GW}$  from  
196 conceptual storages representing the riparian saturation zone and deeper GW. It was  
197 calibrated to stream and soil water isotope data, soil moisture and GW levels (Birkel et al.  
198 2015). To assess uncertainty in the modelled fluxes from each landscape unit, the 5<sup>th</sup> and 95<sup>th</sup>  
199 percentiles of the NSF and GW flux estimates from the calibrated model (from 500 retained  
200 parameter sets) were used.

201

202 Importantly, we also estimated a daily time-series of the spatial extent of the saturation area  
203 in the riparian wetland, using an algorithm based on precipitation, antecedent wetness and a  
204 soil moisture parameter over the previous seven days described by Birkel et al. (2010). The  
205 procedure was calibrated against field mapping. For validation, the extent of wetness was  
206 surveyed in the field under five different sets of hydrological conditions and regressed against  
207 past hydrometeorological conditions to estimate the relationship between precipitation,  
208 discharge and evapotranspiration in the previous seven days. Once the relationship was  
209 established, high frequency measurements of precipitation, discharge and the calculation of

210 evapotranspiration enabled the construction of a time series. Additionally, a detailed soil  
211 survey broadly linked the minimum saturation extent to the permanently saturated deep  
212 peats, and the maximum saturation extent to the temporarily saturated gleysols. The  
213 saturation extent was a good proxy for the connected area of the catchment contributing  
214 directly to stream flow through NSFs, and was central to the analysis This is because the  
215 extent of saturation determines the area of the catchment contributing to streamflow via  
216 NSFs, which may be up to 70% in the wettest periods (Dick et al. 2014; Blumstock et al. 2015).  
217 We also used GW levels in the riparian wetlands as a qualitative way of linking saturation  
218 extent with near-surface hydrological conductivity. The findings of Blumstock et al. (2015), (in  
219 a study in the same catchment) found a strong link between soil water, GW, and stream water  
220 chemistry and GW level for the same catchment.

221

222 Data was analysed for the whole period and by meteorological seasons (summer: June-  
223 August, autumn: September-November, winter: December-February, spring: March-May).  
224 However, as the period was 2 years and 2 months, summer 2012 only comprised July and  
225 August and autumn 2014 only September. Our analysis was therefore extended to include  
226 the greatest variability in hydroclimate.

227

228 To assess goodness-of-fit between measured and estimated stream temperatures the Nash-  
229 Sutcliffe (NSE) and Kling-Gupta (KGE) efficiency statistics were used (Nash and Sutcliffe 1970;  
230 Gupta et al. 2009) (as they compare the mean square error to the variance) along with the  
231 Root Mean Square Error (RMSE) and Coefficient of Variation (CV). We also used the

232 Spearman's rank order correlation test with the estimated daily mean and maximum stream  
233 temperature data to assess the correlation of the difference between daily measured and  
234 estimated temperatures with the saturation area extent, GW levels and energy balance  
235 components, in order to evaluate their potential influence. This analysis was conducted for  
236 the whole study period, each season, and for saturation extents less than 12% of the area.

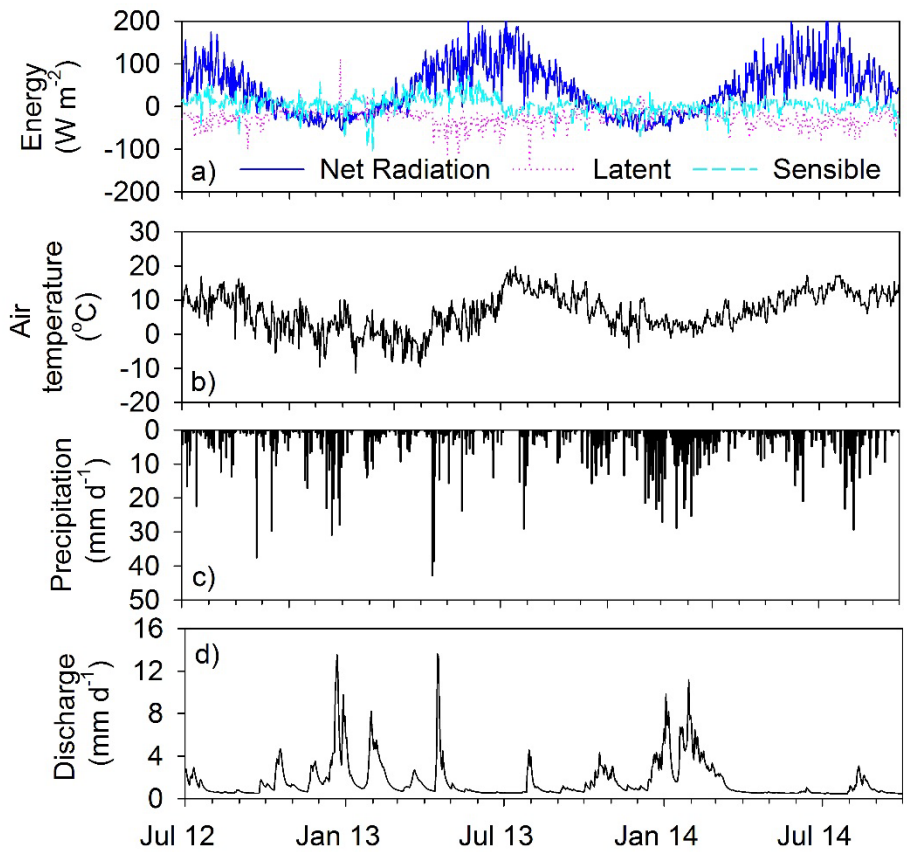
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## 238 **4. Results**

### 239 **4.1 Stream thermal regime and catchment hydrological dynamics**

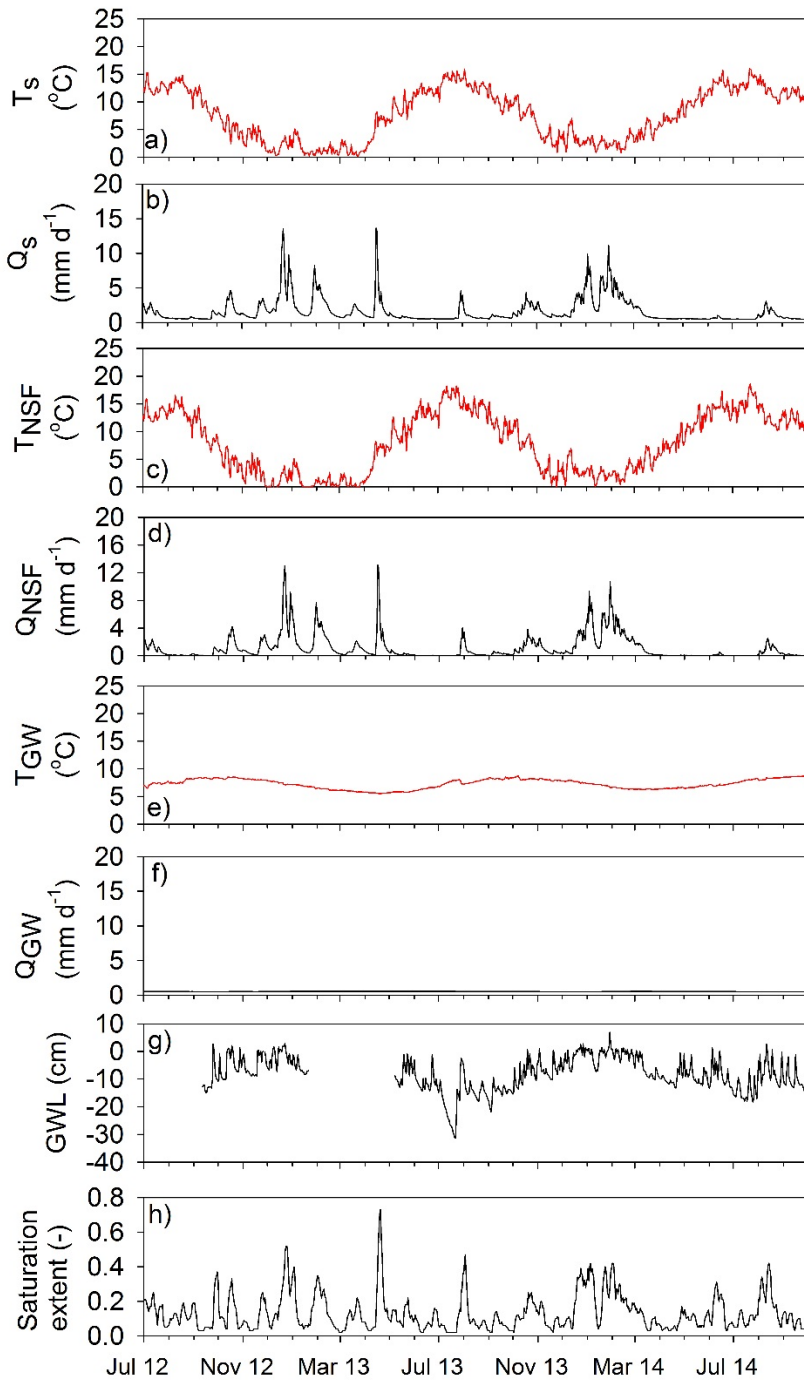
240 Air (Figure 2b) and stream temperatures (Figure 3a) followed seasonal patterns, reflecting  
241 variations in incoming radiation (Figure 2a). Summer 2012 had the highest June/July rainfall  
242 in NE Scotland for 100 years with 404 mm of rain which was 167% of the average (1981-  
243 2010), and below average temperatures of 12 °C, against an average of 12.2 °C (Met Office  
244 2012). The large rainfall events caused high early summer stream fluxes, followed by low  
245 fluxes during late summer and early autumn (Figure 2d). Fluxes increased in mid-autumn  
246 and early winter in response to larger precipitation events. Below average temperatures  
247 then followed in late winter and spring 2013 (0.2 °C, and 1.5 °C below average respectively).  
248 These below-average temperatures persisted until mid-spring (Met Office 2013a; 2013b).  
249 Summer 2013 was the warmest in 10 years with average temperatures of 13.8 °C (1.1 °C  
250 higher than the average), and 183 mm of rainfall (73% of the average) (Met Office 2013c),  
251 however, it was punctuated by significant rainfall at the end of July. Drought conditions  
252 afterwards persisted until rewetting in autumn. Winter in 2013-2014 had above average  
253 rainfall of 587 mm (177% of the average), with large December-January rainfall events (10

254 year return period) generating increased flows (Met Office 2014). Spring 2014 followed with  
255 227 mm of rain (93% of the average), ahead of a summer which had above-average rainfall  
256 of 301 mm (or 120% of the average).



257

258 Figure 2: Hydrometric data. a) Radiative exchanges, b) Air temperature; c) precipitation; d) discharge



259

260 Figure 3: Time-series graphs for the measured stream water temperature (a) and discharge (b). The  
 261 lower plots show the input data for near surface component (NSF) water temperature (c) and water  
 262 flux (d); and the groundwater (GW) components of temperature (e) and water flux (f). Panel (g) is  
 263 the GW level in the valley bottom peat, and (h), the catchment saturation extent as fraction of the  
 264 catchment.

265 Riparian GW levels remained high throughout the autumn, winter and spring periods (Figure  
266 3g), fluctuating between ~1-2 cm above the surface in wettest conditions and ~20cm below  
267 the surface when dry, though in summer 2013 they fell to ~30 cm deep. GW levels generally  
268 reflected the seasonal dynamics of the extent of the saturated area (with higher GW levels  
269 reflecting the wetter winters), though short-term rainfall events caused ~10cm increases in  
270 water levels in response to NSF in the peat. During periods with high rainfall, saturation was  
271 estimated to have reached a spatial extent of >35% of the catchment (Figure 3h) (e.g. Dec  
272 2012, early 2013, April/May 2013 and Dec 2013/Jan 2014). More generally the saturation  
273 extent was <20% and >5% for the driest periods.

274

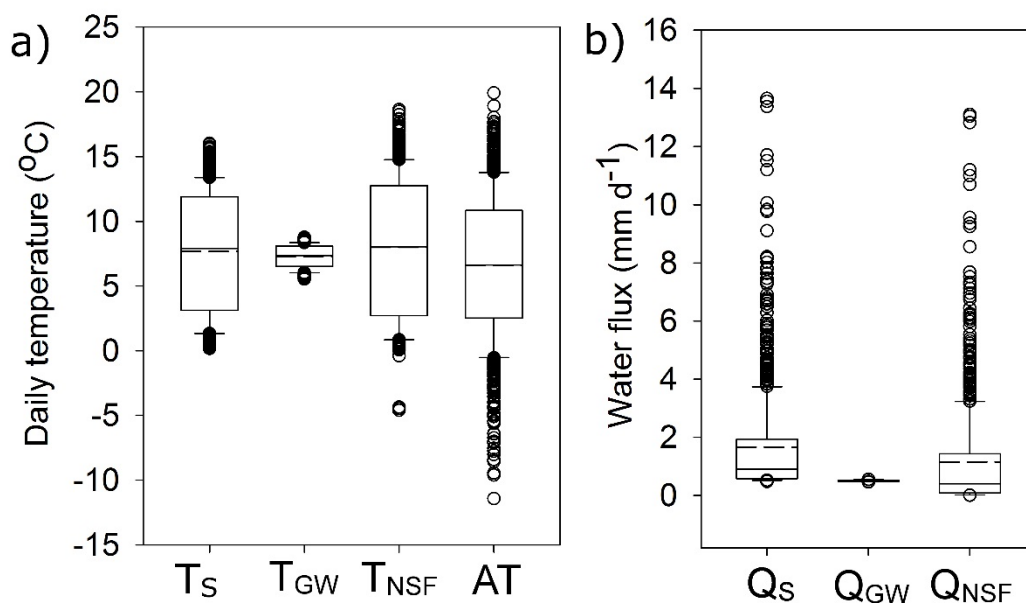
#### 275 **4.2 Dynamics in input data and energy balance components**

276 Figure 3 shows the time series of stream temperatures and flow (Figure 3a and b) in relation  
277 to measured temperatures and modelled fluxes of NSFs (Figure 3c and d) and GW (Figure 3e  
278 and f). Descriptive summary statistics are presented in figure 4. The temperatures time series  
279 were tested using the Wilcoxon signed rank test, and were significantly different ( $p = 0.05$ ).  
280 Stream flux response (Figure 3b) was similar to modelled NSF response, reflecting the  
281 dominance of overland flow from the riparian wetland in generating storm runoff (Figure 3d),  
282 when the extent of the saturation zone was highest (Figure 3h). The driest spell in the study  
283 period was summer 2013 (Figure 3b and d) (Met Office 2013c), when NSFs decreased and  
284 eventually ceased, leading to decreased discharge, as the riparian wetlands disconnected  
285 from the stream network (c.f. Figure 3d and 3h) (see Dick et al. 2014), leading to deeper GW  
286 fluxes dominating runoff generation remaining stable with low variability through the year  
287 (Figure 3f).

288

289 Both stream and NSF temperatures followed seasonal patterns, but also exhibited day-to-day  
290 variability reflecting prevailing hydroclimatic conditions (Figure 3a and c). Stream  
291 temperature was damped (showing a reduced range) when compared to NSF, reflecting  
292 moderation by more stable GW temperatures (Figure 4). In contrast, GW temperatures varied  
293 little, with a mean of 7.0 °C, being ~1°C higher in summer and ~1 °C lower in winter (Figure  
294 3e). Previous work has shown that GW is mainly derived from glacial drift deposits which are  
295 up to 30 m deep and well-mixed isotopically (Soulsby et al. 2016). Thus, recharge  
296 temperatures usually are preserved and moderated as different recharge sources mix  
297 (Scheliga et al., 2017).

298



299



300 Figure 4: Box plots of the daily temperatures (a) and water fluxes (b). The whiskers represent  
301 the 90<sup>th</sup> and 10<sup>th</sup> percentiles, the box limits are the 75<sup>th</sup> and 25<sup>th</sup> percentiles, the solid centre  
302 line is the median and the dashed line the mean. The hollow points are the outliers.

303 Figure 2a shows the dynamics of different energy balance components from the Girnock  
304 weather station. Net radiation dominates with an average of:  $42 \text{ W m}^{-2}$  over the study period,  
305 being an energy source in summer (with an average of:  $45 \text{ W m}^{-2}$ ), also a sink in winter when  
306 short wave is low (with an average of  $-21 \text{ W m}^{-2}$ ), given the northerly ( $57^\circ$ ) latitude. Latent  
307 heat transfers were a sink in summer ( $-36 \text{ W m}^{-2}$ ), but occasionally a source during winter  
308 (with an average of  $-13 \text{ W m}^{-2}$ , but highs of  $\sim 20 \text{ W m}^{-2}$ ). Sensible heat fluctuated around  $0 \text{ W}$   
309  $\text{m}^{-2}$ .

310

### 311 **4.3 Measured versus estimated stream temperatures**

312 It was evident that stream temperatures were not fully described as a simple mix of GW and  
313 NSFs in the two component mixing equation, though many features were captured  
314 Differences between measured and estimated temperatures are positive and negative due to  
315 underestimation and overestimation of stream water temperatures, respectively (Figure 5).  
316 Over the study period, estimated daily mean stream temperatures versus measured had an  
317 NSE of 0.64 (1 = good fit) and RMSE of  $2.76 \text{ }^\circ\text{C}$  (0 = good fit). The fit for maximum daily stream  
318 temperatures was poorer (NSE of 0.53; RMSE of  $3.55 \text{ }^\circ\text{C}$ ) (Table 1, Figure 5). As the study  
319 period was characterised by highly variable conditions, we also analysed annual and seasonal  
320 periods. The NSEs were lowest during summer, when stream temperatures were usually  
321 underestimated, with negative NSEs and high coefficient of variations (CV) (Table 1).

322 However, there were differences in goodness-of-fit between the drier summer of 2013 (lower  
323 saturation extents, and as such less hydrological connectivity) and the wetter summer of  
324 2014. In some winter periods (typically with reduced saturation extents), stream water  
325 temperatures were overestimated. Large events after or during dry conditions caused  
326 increased saturation extent, with sudden improvements in the estimated data fit for short  
327 periods such as the large, transient increase in saturation extent in summer 2013. Overall,  
328 during periods of high saturation (i.e. high connectivity), the model fit was good for both  
329 maximum and mean daily temperatures (i.e. NSEs of 0.82 for mean and 0.78 for maximum  
330 temperatures in autumn 2013).

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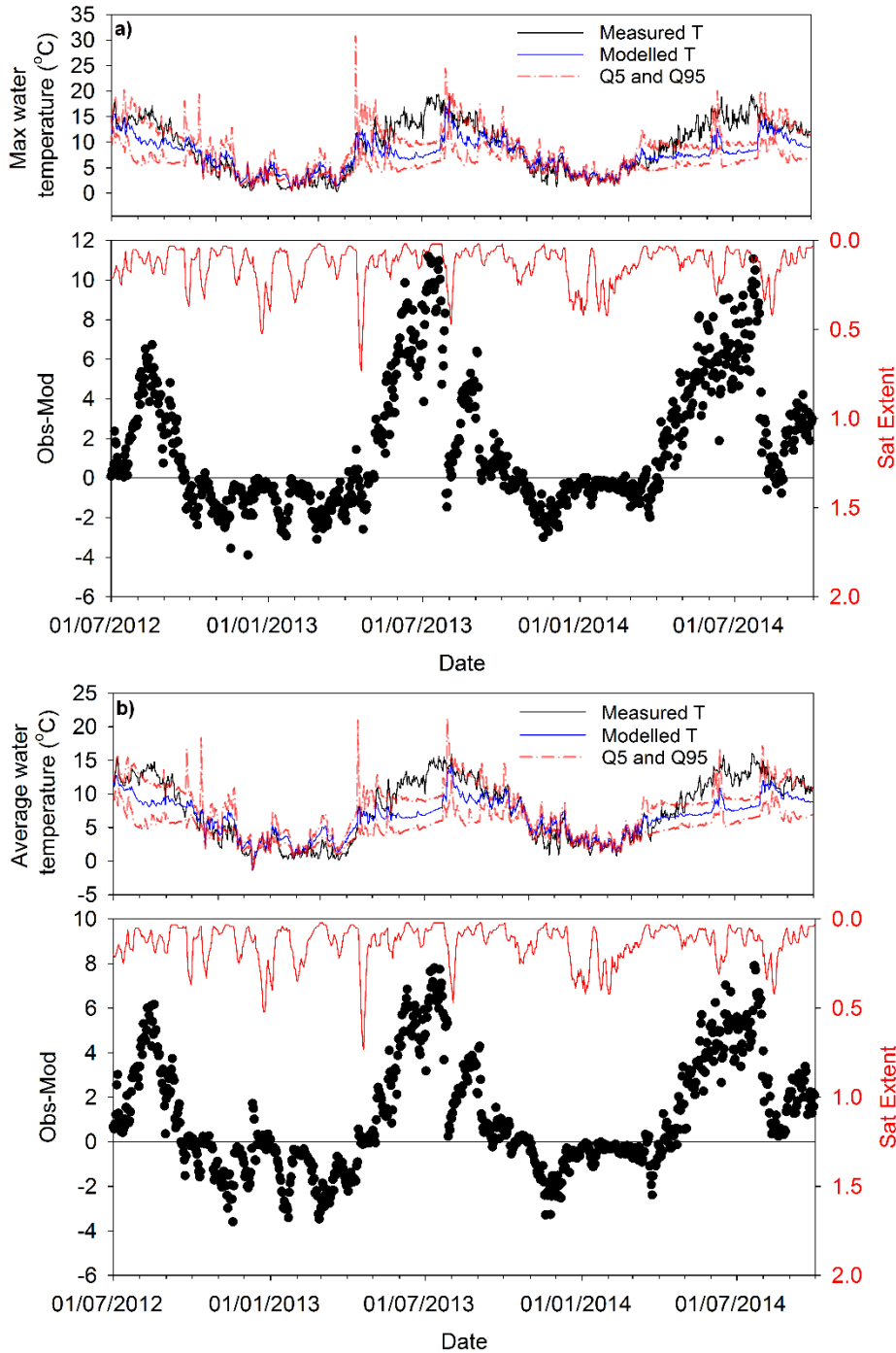
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Period	Mean temperature				Max temperature			
	NSE	KGE	RMSE (°C)	CV	NSE	KGE	RMSE (°C)	CV
<b>Full time series</b>	0.64	0.56	2.76	0.61	0.53	0.53	3.55	0.61
<b>Jul12-Jul13</b>	0.71	0.59	2.52	0.61	0.67	0.61	2.95	0.65
<b>Jul13-Jul14</b>	0.6	0.55	2.72	0.59	0.44	0.49	3.70	0.58
<b>Summer 12</b>	-8.18	-0.05	3.48	1.12	-5.55	0.14	3.51	1.32
<b>Autumn 12</b>	0.76	0.65	1.46	0.66	0.71	0.63	1.70	0.66
<b>Winter 12-13</b>	-0.66	0.24	1.48	1.06	-0.14	0.43	1.41	0.92
<b>Spring 13</b>	0.72	0.55	1.88	0.55	0.75	0.66	2.20	0.68
<b>Summer 13</b>	-13.39	0.11	4.87	1.59	-11.31	-0.07	6.68	1.50
<b>Autumn 13</b>	0.82	0.64	1.32	0.65	0.78	0.63	1.62	0.64
<b>Winter 13-14</b>	0.58	0.76	0.82	1.09	0.68	0.81	0.78	1.08
<b>Spring 14</b>	0.3	0.32	2.16	0.36	-0.27	0.10	3.33	0.21
<b>Summer 14</b>	-9.08	-0.17	4.41	1.03	-8.52	-0.28	5.75	1.15
<b>Autumn 14</b>	-6.37	0.35	2.27	0.44	-7.64	0.59	2.83	0.79

335

336 Table 1: Performance measures for each of the data period. NSE: Nash-Sutcliffe efficiency; KGE:

337 Kling-Gupta efficiency; RMSE: Root mean square error; CV: Coefficient of variation.



338

339 Figure 5: a (max temperature) and b (mean temperature): Time series of the measured versus

340 simulated stream temperature, with stream temperatures estimated using the 95<sup>th</sup> and 5<sup>th</sup>

341 percentiles (Q5 and Q95) of the modelled NSF and GW flow estimates. The lower plot shows the  
342 difference between measured and estimated temperature (measured minus the estimated), and  
343 catchment saturation extent as fraction of the catchment. 0 equals perfect data fit. Negative and  
344 positive values reflect an overestimation and underestimation of stream water temperatures,  
345 respectively.

346

347 In the autumn and spring the model fits depended on wetness. In autumn, there were  
348 generally very good predictions with high NSEs (Table 1). In contrast, spring was more  
349 variable; in the wet spring of 2013 NSEs were high with 0.72 (mean) and 0.75 (max), but lower  
350 (0.3 and -0.27 for mean and max temperature, respectively) in the drier spring of 2014 (Table  
351 1). In short, the two end members adequately estimated stream water temperatures during  
352 wet conditions, but other factors influence stream water temperatures during dry conditions.

353

#### 354 **4.4 Effect of saturation extent and atmospheric energy components on model performance**

355 The correlations (Spearman's rank order) of the difference between measured and estimated  
356 temperatures for the daily mean and maximum temperatures with various energy balance  
357 components are given in Table 2. Results showed that there was no significant correlation  
358 with the saturated area extent for the whole period, though there were significant ( $p < 0.05$ )  
359 positive and negative correlations for wet and dry periods, respectively (Table 2). For the  
360 entire study period, net radiation was most strongly correlated with the difference between  
361 measured minus estimated data, with poorest model fits in periods where net radiation was  
362 highest (e.g. Summer 2013 and summer 2014). However, there was a significant ( $p < 0.05$ )

363 negative correlation with GW levels, i.e. during wetter conditions and high water tables, data  
 364 differences were lowest. Latent and sensible heat fluxes had lower correlations and were only  
 365 significant ( $p < 0.05$ ) for the former.

366

<b>Variable</b>	<b>Correlation</b>
<b>Full period</b>	
Saturation extent	-0.04
GW level	-0.56*
Net radiation	0.72*
Latent heat	-0.42*
Sensible heat	-0.05
<b>Summer dry period (June-September 2013)</b>	
Saturation extent	-0.64*
GW level	-0.39*
Net radiation	0.58*
Latent heat	0.11
Sensible heat	-0.17
<b>Winter wet period (December 2013 – March 2014)</b>	
Saturation extent	0.62*
GW level	0.44*
Net radiation	0.04
Latent heat	0.03
Sensible heat	0.09
<b>* = Statistically significant</b>	

367

368 Table 2: Correlation and significance of measured and estimated data differences versus saturation  
369 extent, groundwater level, net radiation, latent heat, and sensible heat for the full data period, a  
370 typical dry period (Summer 2013) and a typical wet period (Winter 2013-2014).

371

372 During the dry summer of 2013, data differences were negatively correlated with the  
373 saturation area extent ( $r = -0.64$ ). Likewise, lower correlations between difference between  
374 measured and estimated temperatures and GW level were also negative and significant ( $p$   
375  $< 0.05$ ), with a correlation coefficient of  $-0.39$ . Data differences were positively correlated with  
376 net radiation ( $r = 0.58$ ;  $p < 0.05$ ). Together with the negative correlation with saturation area  
377 extent, this would be consistent with greater importance of energy exchange at the  
378 atmosphere–stream interface, as the riparian area becomes disconnected during drier  
379 conditions.

380

381 In the wetter winter period 2013-14, predicted temperatures were best when the catchment  
382 was wettest, punctuated by periods of low saturation extent, lower connectivity and over  
383 prediction of stream water temperatures (Table 1 & Figure 5). Consequently, there were  
384 strong positive correlations ( $\sim 0.62$ ) between saturation extent and differences between  
385 measured and estimated data; with differences increasing as saturation extent decreased.  
386 Correlations were also positive between measured and estimated temperature differences  
387 and GW level during the winter (e.g. 0.44 in the winter of 2012-2014), suggesting that using  
388 the saturation extent was a good proxy for catchment wetness. There were no significant  
389 correlations with the energy balance components for the full winter periods.

390

## 391 **5. Discussion**

### 392 **5.1 Learning from model successes and failures**

393 We used a simple mixing equation to increase our understanding of the role of NSF and  
394 hydrological connectivity on stream temperatures. This is a relatively new approach in that  
395 few studies have investigated such linkages using temperature as a tracer (e.g. Shanley and  
396 Peters 1988), and even fewer have used temperature to infer processes through evaluating  
397 the implications of where such models are adequate and when they fail. A simple mixing  
398 equation is able to capture a surprisingly large amount of the variability in daily mean and  
399 maximum temperatures on the basis of mixing waters with the thermal properties of NSF and  
400 GW, particularly in wetter periods. However, energy exchange at the stream-atmosphere  
401 interface becomes more important when catchments are drier (McDonald and Urban 2010)  
402 and hydrological connectivity is reduced. This probably reflects additional energy inputs in  
403 summer when the saturated area is diminished in size, or conversely, long-wave energy losses  
404 in winter.

405

406 The data fit was best during the spring and winter which correspond with prolonged periods  
407 of above-average catchment wetness and higher hydrological connectivity between the  
408 riparian wetland and the stream network (Soulsby et al. 2016). During these periods, the  
409 dominant control on stream water temperatures was simply the mixing of GW and NSF.  
410 However, similar good results were also evident in wet summer periods (Figure 5).

411

412 Periods with the poorest data fits coincided with drier periods where hydrological  
413 connectivity was reduced, and temperatures were under predicted, most notably in summer.  
414 This probably reflects increased influence of incoming radiation at the stream-atmosphere  
415 interface, the lower saturated area and greatly reduced NSF (Smith and Lavis 1975; Sinokrot  
416 and Stefan 1994; Garner et al. 2015). Similar effects in winter likely reflect increased radiative  
417 losses from the stream-atmosphere interface, the lower thermal capacity of reduced flow  
418 volumes (van Vliet et al. 2011) and freezing of sources of NSF during cold, dry winter periods.

419

420 Maximum daily temperatures are a crucial metric for riverine systems, as they can regulate  
421 cold water species distributions (such as Atlantic salmon) through both lethal and sub-lethal  
422 effects (Garside 1973; Kurylyk et al. 2015). As with mean temperatures, the best performance  
423 was during periods of high wetness and hydrological connectivity. Over drier periods, the fit  
424 was substantially worse than that of mean temperatures, with the greater differences  
425 between measured and estimated temperatures. As with the under-prediction of mean daily  
426 temperatures, the likely cause was the reduced NSFs as the riparian zone disconnected due  
427 to lowering of GW level and reduction in surface saturation. This lead to a smaller surface  
428 area to volume ratio for atmospheric energy inputs to affect (Mohseni et al. 1999; Webb et  
429 al. 2003; van Vliet et al. 2011).

430

## 431 **5.2 Influence of riparian wetlands and hydrological connectivity on stream temperatures**

432 We have highlighted the importance of the saturated area extent in riparian wetlands as a  
433 proxy for NSF contributions to streamflow and the resulting influence on stream



434 temperatures. This was reflected in the close relationship between riparian GW levels and the  
435 extent of saturation, when the water table reaches the soil surface (i.e. when GW levels are  
436 high, saturation extent is also high) (Figure 3g and 3h), even though the GW response was  
437 more sensitive and dynamic than the saturation extent algorithm. Previous work at the site  
438 showed that high riparian GW levels indicate high connectivity with the stream network  
439 (Blumstock et al. 2016). Hence, there were similar patterns of correlations in the difference  
440 between measured and estimated temperatures and GW levels and saturation extent, with  
441 differences lowest when the water level was highest and the extent of riparian saturation was  
442 greatest (Table 2). During warmer periods in summer, when the saturation extent was  
443 reduced and connectivity limited, measured versus estimated fit was poor, due to increased  
444 influence of incoming radiation, which is a major component of energy budgets at the stream-  
445 atmosphere interface (Brown et al. 2010; Garner et al. 2015). In addition, reduced flows  
446 reduce the thermal capacity of the stream, increasing the influence of atmospheric energy  
447 exchanges on the smaller water volumes (Sinokrot and Gulliver 2000; Orr, et al. 2015). In  
448 contrast, during wet conditions, the extent of the saturation area increases, which leads to  
449 greater connectivity between catchment and stream channel (Dick et al. 2014; Birkel et al.  
450 2015; Mosquera et al. 2015). As the extent of saturation increases, so does the area  
451 contributing higher volumes of water to stream flow via NSF paths (Dick et al. 2014; Goulsbra  
452 et al. 2014). Abrupt improvements in data fit during transient wet periods, within the drier  
453 summer months, suggest that re-connection of the saturated riparian zones to the stream  
454 effectively re-sets the stream water temperature to that of the mixed GW and NSF. The fact  
455 that riparian peats are able to sustain high moisture contents, even in dry periods when fluxes  
456 to sustain stream flow decrease, (Ingram, 1983) would explain this rapid effect.

457

458 The saturated riparian wetland forms an area (a) where NSF's can be influenced by  
459 atmospheric energy exchanges over an extensive zone which can increase near-surface water  
460 temperatures, similar to findings of Callahan et al. (2015); (b) where mixing of these highly  
461 variable NSF's and more constant deeper GW can occur (Tetzlaff et al. 2014) and (c) where  
462 contributions of large and dominant volumes of NSF's to the stream channel network occur  
463 when connected. This connection is quasi-continuous, depending on antecedent conditions  
464 (Birkel et al. 2011). In drier periods, colder, deeper GW sources dominate (Tunaley et al.  
465 2016). This suggests that in periods of high connectivity and high fluxes of NSF, stream  
466 temperatures are not influenced primarily by radiative inputs on the stream channel, but by  
467 inputs on the riparian wetlands.

468

469 Currently, there is increased interest in managing riparian zones to generate multiple benefits  
470 and maintain ecosystem services of aquatic ecosystems (Osborne and Kovacic 1993). Of  
471 particular attraction are "buffer zones" fringing stream channels to concentrate management  
472 treatments in small, cost-effective areas (Castelle et al. 1994). In relation to stream  
473 temperature, buffer zones are areas where tree planting may be focused to reduce radiation  
474 inputs and moderate stream water temperatures (Correll 1996). Such techniques are usually  
475 prohibitively expensive to be extrapolated to entire catchments (Kuglerová et al. 2014), and  
476 there is much uncertainty around their effectiveness as a 'one size fits all' approach (Bowler  
477 et al. 2012). However, with climatic change, there is a need for land management that builds  
478 resilience and moderates stream temperatures (Orr et al. 2015). This raises questions over  
479 the optimal widths of buffer zones (Sweeney and Newbold 2014), with what are often site-

480 specific requirements. Few studies have examined the influence of saturated riparian  
481 wetlands on stream temperatures and the implications these might have on temperature-  
482 orientated riparian management. Our study suggests stream thermal regimes may be at times  
483 influenced by areas considerably larger than narrow buffer strips, which may, in turn, limit  
484 their effectiveness. In such landscapes, more extensive riparian planting, with species tolerant  
485 of saturation, may need to be considered. This remains a fertile area for research.

486

487 Finally, such headwaters are important areas of the riverscape, and impact ecosystem  
488 services downstream (Bishop et al. 2008). While this study specifically deals with water  
489 temperatures in a headwater catchment, they effect downstream waters indirectly, namely  
490 their influence on biogeochemical processes (Alexander et al. 2007), their important  
491 biodiversity (Bishop et al. 2008) and the ability to dictate the distribution and survival of fauna  
492 (Hannah et al. 2004).

493

## 494 **6. Conclusion**

495 We used a simple mixing equation to increase our understanding of how dynamic riparian  
496 wetlands, that often extend well-beyond the channel network, can strongly influence stream  
497 water temperatures. These wetlands form important “hot spots” of hydrological connectivity,  
498 where thermally variable near-surface waters mix with more stable deeper GW. The study  
499 has shown that a simple mixing model can explain stream water temperatures in terms of  
500 varying sources of these GW and near-surface sources during wetter conditions, in both  
501 winter and summer. This was consistent with energy exchanges between the saturated area

502 and atmosphere dominating the processes affecting stream temperatures. In drier  
503 conditions, where the area of saturation was reduced, energy exchanges at the stream-  
504 atmosphere interface became more important, and high net radiation raises water  
505 temperatures in the channel network. During winter low flows and low connectivity periods,  
506 long-wave losses occur. GW also has a contrasting role in summer and winter giving a cooling  
507 effect in the former but a significant heat source in the latter. The identification of extended  
508 riparian areas, as important components in the system that govern stream temperatures, has  
509 implications for riparian management strategies that target the stream bank for planting  
510 schemes. These were aimed at reducing stream temperatures and building ecosystem  
511 resilience to climate warming. Larger areas may be needed for planting where riparian zones  
512 are characterised by extensive wetlands.

513

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518

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