Compressed Sensing of Sparse Multipath MIMO Channels with Superimposed Training Sequence

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Abstract

Recent advances in multiple-input multiple-output (MIMO) systems have renewed the interests of researchers to further explore this area for addressing various dynamic challenges of emerging radio communication networks. Various measurement campaigns reported recently in the literature show that physical multipath MIMO channels exhibit sparse impulse response structure in various outdoor radio propagation environments. Therefore, a comprehensive physical description of sparse multipath MIMO channels is presented in first part of this paper. Superimposing a training sequence (low power, periodic) over the information sequence offers an improvement in the spectral efficiency by avoiding the use of dedicated time/frequency slots for the training sequence, which is unlike the traditional schemes. The main contribution of this paper includes three superimposed training (SiT) sequence based channel estimation techniques for sparse multipath MIMO channels. The proposed techniques exploit the compressed sensing (CS) theory and prior available knowledge of channel's sparsity. The proposed sparse MIMO channel estimation techniques are named as, SiT based compressed channel sensing (SiT-CCS), SiT based hardlimit thresholding with CCS (SiT-ThCCS), and SiT training based match pursuit (SiT-MP). Bit error rate (BER) and normalized channel mean square error (NCMSE) are used as metrics for the simulation analysis to gauge the performance of proposed techniques. A comparison of the proposed schemes with a notable first order statistics based SiT least squares (SiT-LS) estimation technique is presented to establish the improvements achieved by the proposed schemes. For sparse multipath time-invariant MIMO communication channels, it is observed that SiT-CCS, SiT-MP, and SiT-ThCCS can provide an improvement up to 2 dB, 3.5 dB, and 5.2 dB in the MSE at signal to noise ratio (SNR) of 12 dB when compared to SiT-LS, respectively. Moreover, for BER = $10^{-1.9}$, the proposed SiT-CCS, SiT-MP, and SiT-ThCCS, compared to SiT-LS, can offer a gain of about 1 dB, 2.5 dB, and 3.5 dB in the SNR, respectively. The performance gain in MSE and BER is observed to improve with an increase in the channel sparsity.

Keywords: MIMO, superimposed training, first-order statistics, compressed sensing, channel estimation

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I. Introduction

The channel impulse response (CIR) of several outdoor radio propagation environments tends to be sparse in nature [2–4]. A particular cellular communication environment with distant dominant scatterers, as shown in Fig. 1a exhibits a sparse CIR [4]. In aeronautical communication channels, as illustrated in Fig. 1b, we not only have a line-of-sight (LoS) path but also a cluster of scattered multipath components due to reflection from large scattering objects. Therefore, impulse response of an aeronautical communication channel is sparse in nature. The wideband high frequency (HF) communication channel is also sparse in time domain due to long delay spread and very fewer multipath components [5], as shown in Fig. 1c. The sparse impulse response is also exhibited in underwater acoustic communication channels [6], as depicted in Fig. 1d. Similarly, in high-definition television (HDTV) broadcast scenario, as shown in Fig. 1e, there are only a few dominant echoes but the CIR comprises of manifolds of symbol duration [7,8]. Such sparse propagation channels have only certain dominant multipath components that are largely separated in delay domain, which makes channel estimation a challenging task [9].

In the literature, several sparse channel estimation techniques have been proposed - see e.g., [10–16]. In [16], authors have established the fact that during estimation of sparse channels, use of mean squared error (MSE) criterion along with ℓ_1 -norm outperforms the Wiener filter results and conventional estimation methods. In [11], the authors have proposed a matching pursuit (MP) algorithm in order to estimate a sparse channel. In [13], orthogonal matching pursuit (OMP) algorithm have been proposed to overcome the convergence issues of MP algorithm. In [14], compressed channel sensing (CCS) theory has been used for sparse channel estimation that exploits sparsity of the channel and outperforms the conventional least squares based methods. In [12], the authors have proposed sparse cognitive matching pursuit (SCMP) algorithm for the estimation of sparse channel for MIMO orthogonal frequency division multiplexing (MIMO-OFDM). Furthermore, the authors claim that SCMP requires no prior knowledge of the channel sparsity in order to obtain an accurate estimate of CIR. In conventional training based channel estimation approaches, a known training sequence is multiplexed with the information sequence in time, frequency, and/or code domain at the transmitter, and the receiver estimates the channel

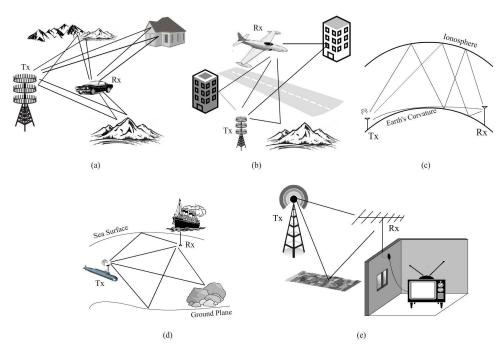


Fig. 1: Sparse multipath channel propagation environments. (a) Land cellular communications, (b)Aeronautical communications, (c) HF communications, (d) Underwater acoustic communication, (e) Terrestrial television broadcast

by exploiting this known training sequence and its corresponding received signal. This scheme imposes an overhead on the spectral efficiency of the system. In blind channel estimation techniques, the receiver explicitly estimates the channel by only using the known statistical properties of the transmitted information sequence, thus, avoiding any overhead of training sequence. However, in case of blind channel estimation, long data sequences are needed at the receiver resulting in slow convergence [17]. In superimposed training based (SiT) channel estimation methods, a known training sequence is superimposed over the data sequence. This avoids overhead on speed by preventing any use of dedicated time/frequency slots for training sequence [18]. SiT based techniques are not only spectrally efficient but also effectively track the channel variations. An SiT based technique was first proposed by Frahang Boroujeny in [19] for single antenna systems. In [20], a channel estimation technique, based on first order statistics of the information sequence, has been proposed for single-input multiple-output (SIMO) time-invariant channels. In [18], authors have proposed a SiT sequence based approach for time invariant MIMO channels. For the estimation of sparse underwater acoustic channels, a SiT

based channel estimation technique is proposed in [9]. In [21], a genetic algorithms (GA) based sparse multipath channels estimation technique with SiT sequence has been presented. In [4], authors have proposed a compressed sampling based technique for sensing of sparse multipath channels with SiT for single-input single-output (SISO) systems.

Large scale MIMO systems are thought to be a potential candidate to address various dynamic challenges of fifth generation (5G) communication networks [22]. This has, thus, renewed the interest of the researchers in the MIMO systems. Various recently conducted outdoor measurement campaigns show that physical multipath MIMO channels exhibit sparse impulse response structure. Therefore, it is now highly desirable to develop channel estimation techniques for sparse MIMO channels. To the best of authors' knowledge, no such technique for the estimation of sparse MIMO communication channels with SiT sequence is available in the literature. Nevertheless, this paper thus proposes SiT based compressive channel sensing techniques for time invariant sparse MIMO channels. This paper first presents a new analytical model for sparse MIMO channels in Section II. The considered communication system model is presented in Section III. The proposed SiT based sparse MIMO channel estimation techniques are presented in Section IV. The simulation results along with a comprehensive performance analysis of the proposed techniques is presented in Section V. Section VI presents the conclusion.

Notations: Boldface uppercase italic letters, e.g., H represent matrices. Boldface lowercase italic letters represent vectors, e.g., h. Scalar quantities are denoted with small case italic letters, e.g., h. Hermitian transpose of a vector is represented as $[.]^*$.

II. PHYSICAL CHANNEL MODEL FOR MIMO SYSTEMS

The proposed physical model for sparse MIMO communication channels is illustrated in Fig. 2. The transmitter and receiver antenna arrays consist of N and M antenna elements, respectively. The horizontal and vertical orientation of both the antenna arrays are modeled as flexible to be independently rotatable. The transmitter and receiver antenna arrays are considered as mobile with velocity v_T m/s and v_R m/s and the direction of their motion as θ_{v_T} and θ_{v_R} , respectively. The adjacent antenna elements in both the arrays are taken as equally separated by a distance d_{λ} .

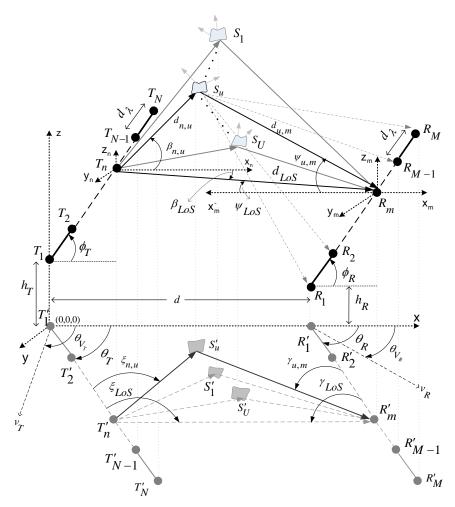


Fig. 2: Physical model for sparse MIMO communication channels.

The origin of coordinate system is assumed at the base of first element of the transmit antenna array. The coordinates of the first elements of the transmit and receive antenna arrays can thus be represented by $(0,0,h_T)$ and $(d,0,h_R)$, respectively. The elevation of transmitter and receiver arrays is denoted by h_T and h_R , respectively. Subsequently, the coordinates of the n^{th} transmitter antenna element can be obtained as below,

$$x_n = (n-1)d_{\lambda} \cos \phi_T \cos \theta_T,$$

$$y_n = (n-1)d_{\lambda} \cos \phi_T \sin \theta_T,$$

$$z_n = (n-1)d_{\lambda} \sin \phi_T + h_T.$$
(1)

Similarly, the coordinates of the m^{th} receiver antenna element can be obtained as under,

$$x_{m} = (m-1)d_{\lambda} \cos \phi_{R} \cos \theta_{R} + d,$$

$$y_{m} = (m-1)d_{\lambda} \cos \phi_{R} \sin \theta_{R},$$

$$z_{m} = (m-1)d_{\lambda} \sin \phi_{R} + h_{R}.$$
(2)

where θ_T and ϕ_T represent the rotation angles of transmitter antenna array in azimuth and elevation planes, respectively. Similarly, θ_R and ϕ_R are the angles of rotation of receiver antenna array in azimuth and elevation planes, respectively.

The distances from n^{th} transmitter and m^{th} receiver antenna element to a u^{th} scattering object are denoted by $d_{n,u}$ and $d_{u,m}$, respectively. These distances can be expressed in generalized form as below,

$$d_{n,u} = \sqrt{(x_u - x_n)^2 + (y_u - y_n)^2 + (z_u - z_n)^2},$$
(3)

$$d_{u,m} = \sqrt{(x_n - x_u)^2 + (y_n - y_u)^2 + (z_n - z_u)^2},$$
(4)

where the coordinates of a u^{th} arbitrary scattering object are denoted by (x_u, y_u, z_u) . For simulation of the proposed channel model, the coordinates of scattering objects may be drawn from a certain distribution within the defined entire scattering region or a subregion [23]. The number of scattering objects, within a defined region, may be drawn from a Poisson distribution [23]. The distribution and the number of scattering objects can be tuned according to the available empirical measurements. Various distinct types of distributions have been used in the literature for modelling the physical location of scattering objects in different types of propagation environment, e.g., uniform [24], Gaussian [25, 26], and hyperbolic [26], etc. The length of multipath corresponding to u^{th} scattering object can be calculated as, $d_{n,u,m} = d_{n,u} + d_{u,m}$. The length of LoS path from n^{th} transmitter to m^{th} receiver is given as follows,

$$d_{n,m} = \sqrt{(x_m - x_n)^2 + (y_m - y_n)^2 + (z_m - z_n)^2}.$$
 (5)

Azimuth and elevation angles of departure (AoD) from the n^{th} transmitter to the u^{th} scatterer are denoted by $\xi_{n,u}$ and $\beta_{n,u}$, respectively. These angles can be expressed as follows,

$$\xi_{n,u} = \pi - \arctan\left(\frac{y_u - y_n}{x_u - x_n}\right) - \theta_T,\tag{6}$$

$$\beta_{n,u} = \arctan\left(\frac{z_u}{x_u}\right). \tag{7}$$

Similarly, azimuth and elevation angles of arrival (AoA) from u^{th} scatterer to the m^{th} receiver antenna element are represented by $\gamma_{u,m}$ and $\psi_{u,m}$, respectively; and are given as below,

$$\gamma_{u,m} = \pi - \arctan\left(\frac{y_m - y_u}{x_m - x_u}\right) - \theta_R,\tag{8}$$

$$\psi_{u,m} = \arctan\left(\frac{z_u}{x_m - x_u}\right). \tag{9}$$

The azimuth and elevation angles formed at n^{th} transmitter element along the LoS direction towards m^{th} receiver element are denoted by $\xi_{n,m}$ and $\beta_{n,m}$, respectively; which can be obtained as below,

$$\xi_{n,m} = \pi - \arctan\left(\frac{y_m - y_n}{x_m - x_n}\right) - \theta_T,\tag{10}$$

$$\beta_{n,m} = \arctan\left(\frac{z_m - z_n}{d_{LoS}}\right). \tag{11}$$

Similarly, the LoS angles formed at the receiver side can be expressed as below,

$$\gamma_{n,m} = \pi - \arctan\left(\frac{y_m - y_n}{x_m - x_n}\right) - \theta_R,\tag{12}$$

$$\psi_{n,m} = \arctan\left(\frac{z_m - z_n}{x_m - x_n}\right). \tag{13}$$

In the case of no mobility, the delay $\tau_{n,u,m}$ of a certain propagation path from n^{th} transmitter to m^{th} receiver associated with u^{th} scatterer, can be found as below,

$$\tau_{n,u,m} = \frac{d_{n,u,m}}{c},\tag{14}$$

where c represents the velocity of electromagnetic waves' propagation, i.e., $c = 3 \times 10^8 m/s$. The minimum path delay for the channel between n^{th} transmitter and m^{th} receiver element is exhibited by the LoS path, and is given by,

$$\tau_{min} = \frac{d_{LoS}}{c},\tag{15}$$

while the maximum path delay τ_{max} is exhibited by the scatterer having the longest path. In the proposed channel model, both the ends of communication link are taken as mobile, which imposes time variability in the channel characteristics. The length of a certain propagation path, from n^{th} transmitter to m^{th} receiver corresponding to u^{th} scattering object, thus changes with time, depending upon the direction and velocity of mobility. The length of a portion of the multipath, i.e., from n^{th} transmitter to u^{th} scatterer, can be expressed as,

$$\acute{d}_{n,u,m}(t) = \sqrt{2 \ d_{n,u}^2 + v_T^2 \ t^2 - 2 \ v_T \ t \ d_{n,u} \cos \beta_{n,u} \cos (\theta_T + \xi_{n,u} - \theta_{v_T})}.$$
(16)

Similarly, the length of the other portion of the multipath, i.e., from u^{th} scatterer to the m^{th} receiver, can be obtained as below,

$$\acute{d}_{u,m}(t) = \sqrt{2 \ d_{u,m}^2 + v_R^2 \ t^2 - 2 \ v_R \ t \ d_{u,m} \ \cos \psi_{u,m} \ \cos (\theta_R + \gamma_{u,m} - \theta_{v_R})}. \tag{17}$$

Thus, the total path length from the n^{th} transmitter to the m^{th} receiver after observation time of t seconds becomes as, $d_{n,u,m}(t) = d_{n,u}(t) + d_{u,m}(t)$. Therefore, the path delay associated with $d_{n,u,m}(t)$ can be found as below,

The time-variant impulse response of the multipath fading channel, comprising of U paths, between n^{th} transmitter and m^{th} receiver, can be written as,

$$h_{nm}(\tau;t) = \sum_{u=1}^{U} \chi_u(t) e^{-j2\pi f_c \acute{\tau}_{n,u,m}(t)} \delta(\tau - \acute{\tau}_{n,u,m}(t)),$$
(19)

where f_c is the carrier frequency and $\delta(.)$ denotes the standard Kronecker delta function. The attenuation factor associated with u^{th} path is represented by $\chi_u(t)$, such that, $E\{\sum_u |\chi_u|^2\} = 1$. The channel impulse response $h_{nm}(\tau;t)$ is a complex Gaussian random process with respect

to time t. For the scenario when the scattering environment also has certain fixed contributing scattering objects (or LoS component), the envelope $|h(\tau;t)|$ has a Rice distribution. When the differential path delay is smaller than a symbol duration, the channel exhibits a flat response in frequency domain and channel impulse response given in (19) can be written independent of τ [23, 27]. The path delay is modeled as a multiple of the symbol duration and the total number of resolvable propagation paths is denoted by L, such that $U \ge L$. Moreover, when the communication nodes are static, the channel exhibits a time independent behaviour and impulse response vector in (19) can be written independent of t.

As discussed earlier, in various realistic propagation environments, when the scattering environment has only a few largely distant dominant scattering objects, the channel exhibits a sparse impulse response. In such scenario, when the channel impulse response vector from n^{th} transmitter to m^{th} receiver has only Q non-zero values at delay positions $\breve{p}_{nm} = [\breve{p}_0, \breve{p}_1, \cdots, \breve{p}_{Q-1}]$. The sparse channel impulse response vector can thus be represented as,

$$\boldsymbol{h}_{nm}^{l} = \begin{cases} \neq 0 & ; l \in \boldsymbol{\tilde{p}}_{nm} \\ = 0 & ; \text{ otherwise.} \end{cases}$$
 (20)

The impulse response vector of a channel is said to be Q sparse, if $\{Q = \|\boldsymbol{h}_{nm}\|_{\ell_0}\} \ll L$. For MIMO systems where the separation among transmitter antennas and receiver antennas (i.e., d_{λ}) is a fraction of the distance travelled by an electromagnetic wave within a symbol duration, the channel support may only differ by an unresolvable amount of delay among the channels between adjacent elements of antenna arrays [28]. For such scenario, the support vectors \boldsymbol{P}_{nm} may be same for all the values of n and m. The channel impulse response vector from n^{th} transmitter to m^{th} receiver can be written as, $\boldsymbol{h}_{nm} = [h_{nm}^0, h_{nm}^1, \cdots, h_{nm}^{L-1}]^*$. MIMO channel matrix for a certain delay l can be written as,

$$\boldsymbol{H}^{l} = \begin{bmatrix} h_{11}^{l} & h_{12}^{l} & \cdots & h_{1N}^{l} \\ h_{21}^{l} & h_{22}^{l} & \cdots & h_{2N}^{l} \\ \vdots & \cdots & \ddots & \vdots \\ h_{M1}^{l} & h_{M2}^{l} & \cdots & h_{MN}^{l} \end{bmatrix}.$$
(21)

MIMO channel convolutional matrix can be expressed as,

$$\boldsymbol{H} = \begin{bmatrix} \boldsymbol{H}^0 & \cdots & \boldsymbol{H}^{L-1} & \boldsymbol{0} \\ \vdots & \ddots & \ddots & \vdots \\ \boldsymbol{0} & \boldsymbol{H}^0 & \cdots & \boldsymbol{H}^{L-1} \end{bmatrix}. \tag{22}$$

III. PROPOSED COMMUNICATION MODEL FOR MIMO SYSTEMS

The proposed MIMO communication system model has a block diagram as shown in Fig. 3. Let N and M be the number of transmit and receive antenna array elements, respectively. The signal transmitted from a transmit antenna propagates through a sparse MIMO communication channel with Q non-zero taps. Channel estimator (CE) is implemented with various first-order statistics based techniques, which are; SiT based compressed channel sensing (SiT-CCS), SiT based hardlimit thresholding with CCS (SiT-ThCCS), and SiT training based match pursuit (SiT-MP). The training-sequence effect remover (TER) eliminates the contribution of training sequence after estimation of the channel's impulse response and feeds the equalizer with a regularized version of the received signal. A linear minimum mean square equalizer (LMMSE) is implemented to estimate the information sequence. Let $\mathbf{b}_n = [b_n(0), b_n(1), \cdots, b_n(K-1)]^*$ represent zero-mean information sequence such that \mathbf{b}_n is mutually independent for each of the n^{th} user. A known deterministic and periodic training sequence $\mathbf{c}_n = [c_n(0), c_n(1), \cdots, c_n(K-1)]^*$, having period P such that $c_n(k) = c_n(k+aP)$ for k and a be any integers, is superimposed (arithmetically added) over the information sequence \mathbf{b}_n . The superimposed information and training sequences for a specific n^{th} transmitter is given as below,

$$x_n = b_n + c_n. (23)$$

The sequence $\mathbf{x}_n = [x_n(0), x_n(1), \cdots, x_n(K-1)]^*$ is transmitted over the jointly sparse MIMO channel such that the impulse response between n^{th} transmitter and m^{th} receiver is given by $\mathbf{h}_{nm} = [h_{nm}^0, h_{nm}^1, \cdots, h_{nm}^{L-1}]^*$, where L is length of the channel. The signal received at time instant k by the m^{th} antenna element of receiver array is given by the following equation,

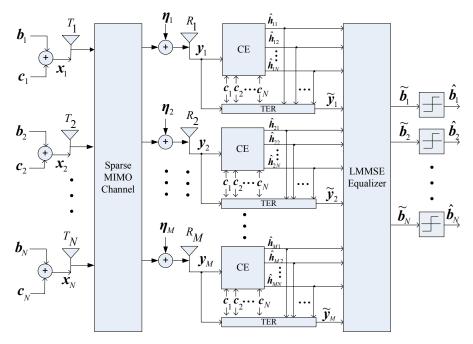


Fig. 3: Block Diagram of the Proposed MIMO Communication System.

$$y_m(k) = \sum_{n=1}^{N} \sum_{l=0}^{L-1} h_{nm}^l x_n(k-l) + \eta_m(k),$$
 (24)

where $\eta_m(k)$ denotes k^{th} sample of zero mean complex-valued additive white Gaussian noise (AWGN) with variance σ^2_η . The signal received by all the antenna elements of receiver at time instant k is $\boldsymbol{y}(k) = [y_1(k), y_2(k), \cdots, y_M(k)]^*$ and given by,

$$y(k) = \sum_{l=0}^{L-1} H^l x(k-l) + \eta(k),$$
 (25)

where $\boldsymbol{x}(k-l) = [x_1(k-l), x_2(k-l), \cdots, x_N(k-l)]^*$ and $\boldsymbol{\eta}(k) = [\eta_1(k), \eta_2(k), \cdots, \eta_M(k)]^*$. Temporal sampling yields following representation of the received signal,

$$\mathbf{\breve{y}}(k) = \mathbf{H}\mathbf{\breve{x}}(k) + \mathbf{\breve{\eta}}(k),$$
(26)

where

IV. MIMO CHANNEL ESTIMATION BASED ON FIRST-ORDER STATISTICS WITH SIT.

For a given MIMO communication system if each user is assigned with a specific training sequence which is added with the information sequence, then, first order statistics of the received signal can be used to estimate the channel outlined in [20]. In this section, the SiT based channel estimation technique of [18] is extended for the estimation of sparse MIMO channels. In this technique, each user is assigned with a distinct cycle frequency. Suppose for a specific transmitter n the training sequence $c_n(k)$ is periodic. The period of the training sequence is $P = \tilde{P}N$, where \tilde{P} is a positive integer. The training sequence $c_n(k)$ is given as below,

$$c_n(k) = \sum_{i=0}^{P-1} c_{i,n} e^{j(2\pi i/P)k}, \quad \forall k,$$
 (27)

where, $j = \sqrt{-1}$ and

$$c_{i,n} = \frac{1}{P} \sum_{k=0}^{P-1} c_n(k) e^{-j(2\pi i/P)k},$$
(28)

Choose $c_n(k)$ in such a way that only \tilde{P} coefficients out of total P are non zero, so $c_n(k)$ can be written as follows,

$$c_n(k) = \sum_{i=0}^{\tilde{P}-1} c'_{i,n} e^{j\alpha_{i,n}k}, \qquad \forall k,$$
(29)

where $\alpha_{i,n} = 2\pi(iN + n - 1)/P$, and $c'_{i,n}$ are suitably chosen coefficients for $1 \le n \le N$ and $0 \le i \le \tilde{P} - 1$. In order to design $c_n(k)$, first choose a periodic base sequence $\bar{c}_o(k)$ that has a period of \tilde{P} [18] in such a way that,

$$\bar{c}_{i,o} = \frac{1}{\tilde{P}} \sum_{k=0}^{\tilde{P}-1} \bar{c}_o(k) e^{-j(2\pi i/\tilde{P})k}.$$
 (30)

The periodic training sequence $\bar{c}_1(n)$, with period P, is generated by replicating $\bar{c}_o(k)$ for N times. The training sequence of a specific transmitter n can, therefore, be defined as follows [18],

$$c_n(k) = \sigma_{cn} \ \bar{c}_1(k) \ e^{j(2\pi/P)(n-1)k} \ \text{for } n = 1, 2, ..., N.$$
 (31)

Expectation of the received signal $y_m(k)$ at m^{th} receiver can be found as below,

$$E\{y_m(k)\} = \sum_{n=1}^{N} \sum_{i=0}^{\tilde{P}-1} \left[\sum_{l=0}^{L} c'_{i,n} h_{nm}^l e^{-j\alpha_{i,n}l} \right] e^{j\alpha_{i,n}k}.$$
 (32)

For $n_1 \neq n_2$, we have $\alpha_{i_1,n_1} \neq \alpha_{i_2,n_2}$ for any $\{i_1,i_2\} \in 0,1,...,\tilde{P}-1$. Let $\boldsymbol{d}_{nm} = [d_{nm,0},d_{nm,1},\cdots,d_{nm,(\tilde{P}-1)}]^*$, where $d_{nm,i}$ is given by,

$$d_{nm,i} = \sum_{l=0}^{L} c'_{i,n} h_{nm}^{l} e^{-j\alpha_{i,n}l}$$
(33)

The mean square consistent estimate $\hat{\boldsymbol{d}}_{nm} = [\hat{d}_{nm,0}, \hat{d}_{nm,1}, \cdots, \hat{d}_{nm,(\tilde{P}-1)}]^*$ of \boldsymbol{d}_{nm} can be obtained by computing its coefficient as given in [18], which is as follows,

$$\hat{d}_{nm,i} = \frac{1}{T} \sum_{k=0}^{T-1} y_m(k) e^{-j\alpha_{i,n}k}$$
(34)

where T represents the number of received symbols, as $T \to \infty$, $\hat{d}_{nm,i} \to d_{nm,i}$. The relationship given in (34) can also be written vector form as below,

$$\hat{\boldsymbol{d}}_{nm} = \boldsymbol{C}_n \boldsymbol{h}_{nm} \tag{35}$$

where C_n can be obtained as

$$C_n = diag \{c'_{0,n}, c'_{1,n}, \cdots, c'_{(\tilde{P}-1),n}\} V_n$$
 (36)

where V_n can be found as,

$$V_{n} = \begin{bmatrix} 1 & 1 & \cdots & 1 \\ 1 & e^{-j\alpha_{1,n}} & \cdots & e^{-j\alpha_{1,n}L} \\ 1 & e^{-j\alpha_{2,n}} & \cdots & e^{-j\alpha_{2,n}L} \\ \vdots & \vdots & \vdots & \vdots \\ 1 & e^{-j\alpha_{(\tilde{P}-1),n}} & \cdots & e^{-j\alpha_{(\tilde{P}-1),n}L} \end{bmatrix}.$$
(37)

A. SiT based least squares (SiT-LS)

The least squares estimate of the channel between n^{th} transmitter and m^{th} receiver can be obtained from the linear model in ((35)), as proposed in [20], and is given below,

$$\hat{\boldsymbol{h}}_{nm}^{\text{SiT-LS}} = \arg\min_{\tilde{\boldsymbol{h}}_{nm}} \|\hat{\boldsymbol{h}}_{nm} - \boldsymbol{C}_n \tilde{\boldsymbol{h}}_{nm}\|_{\ell_2}^2$$
(38)

The above estimate can also be obtained as,

$$\hat{\boldsymbol{h}}_{nm}^{\text{SiT-LS}} = (\boldsymbol{C}_n^* \boldsymbol{C}_n)^{-1} \boldsymbol{C}_n^* \hat{\boldsymbol{d}}_{nm}$$
(39)

To obtain the channel estimate for non-zero mean noise, set $\tilde{P} \geq L+1$, omit first row from C_n and $\hat{d}_{nm,0}$ from \hat{d}_{nm} .

B. Proposed SiT based MIMO Channel Estimation

The error in the estimate $\hat{d}_{nm,i}$ of $d_{nm,i}$ can be realized by substituting $y_m(k)$ from (24) in (34). The simplified solution for $\hat{d}_{nm,i}$ can be expressed as under,

$$\hat{d}_{nm,i} = d_{nm,i} + \varepsilon_{nm,i} \tag{40}$$

where $\varepsilon_{nm,i}$ represents the error in the estimate of $d_{nm,i}$. The estimation error $\varepsilon_{nm,i}$ contains contribution from additive noise $(\tilde{\eta}_{nm,i})$, interference from superimposed information sequence of all the transmitters $(\tilde{b}_{nm,i})$, and interference from training sequence of cross channels $(\tilde{c}_{\tilde{n}m,i})$. The estimation error is thus given by, $\varepsilon_{nm,i} = \tilde{c}_{\tilde{n}m,i} + \tilde{b}_{nm,i} + \tilde{\eta}_{nm,i}$; where,

$$\tilde{c}_{\tilde{n}m,i} = \frac{1}{T} \sum_{k=0}^{T-1} \left[\sum_{\tilde{n}=1}^{N-1} \sum_{l=0}^{L} h_{\tilde{n}m}^{l} c_{\tilde{n}}(k-l) \right] e^{-j\alpha_{i,\tilde{n}}k}, \tag{41}$$

$$\tilde{b}_{nm,i} = \frac{1}{T} \sum_{k=0}^{T-1} \left[\sum_{n=1}^{N-1} \sum_{l=0}^{L} h_{nm}^{l} b_{n}(k-l) \right] e^{-j\alpha_{i,n}k}, \tag{42}$$

$$\tilde{\eta}_{nm,i} = \frac{1}{T} \sum_{k=0}^{T-1} \eta_m(k) e^{-j\alpha_{i,n}k}.$$
(43)

Ignoring the inherent error $\varepsilon_{nm,i}$ leads to a non-realistic estimate of the channels. Moreover, the first order statistics based technique presented in previous section is not optimized for the case of sparse multipath channel. This section, thus, presents three extensions of the first-order statistics based MIMO channel estimation model in (35) for the case of sparse multipath channels by incorporating a compensation for the inherent estimation error $\varepsilon_{nm,i}$. The proposed techniques include SiT-CCS, SiT-ThCCS, and SiT-MP.

1) SiT based CCS: During the past few years, compressed sensing has emerged as a new paradigm for sampling and reconstruction of sparse signals. It has been established in [29–32] that a finite-dimensional sparse signal can be exactly reconstructed from fewer, linear, and nonadaptive measurements by solving a well-defined convex optimization problem. In literature CS approach has been established as an efficient solution to estimate sparse multipath channels see e.g., [4, 33–35]. To ensure exact reconstruction of the received signal, a measurement matrix should satisfy restricted isometry property (RIP), [29, 30]. For the linear model given in (35), the condition for RIP is given by,

$$(1 - \delta_Q) \|\boldsymbol{h}_{nm}\|_{\ell_2}^2 \le \|\boldsymbol{C}_n \boldsymbol{h}_{nm}\|_{\ell_2}^2 \le (1 + \delta_Q) \|\boldsymbol{h}_{nm}\|_{\ell_2}^2$$
(44)

where $0 < \delta_Q < 1$ is the restricted isometry constant of the measurement C_n . If the condition given in (44) holds then C_n satisfies RIP of order Q and is sufficient for exact recovery of the sparse channel. In order to estimate SiT based sequence of MIMO channel, the ℓ_1 minimization problem can be recast from the model in (35), as given below,

$$\hat{\boldsymbol{h}}_{nm}^{\text{SiT-CCS}} = \underset{\tilde{\boldsymbol{h}}_{nm}}{\text{arg min}} \|\tilde{\boldsymbol{h}}_{nm}\|_{\ell 1} \quad \text{subject to} \quad \|\boldsymbol{C}\tilde{\boldsymbol{h}}_{nm} - \hat{\boldsymbol{d}}_{nm}\|_{\ell_2} \leq \epsilon$$
 (45)

where the parameter ϵ is proportional to magnitude of the error ε_{nm} , i.e., $\epsilon \propto \|\varepsilon_{nm}\|_{\ell_2}^2$. The convex optimization problem given in (45) can be solved using a compressed sensing based technique known as Dantzig selector (DS) [36]. The DS performs near optimal with high computational

efficiency and improved recovery accuracy.

2) SiT based Hard-limit Thresholded CCS: The estimated channel impulse response, obtained by using CCS, consists of some nominal non-zero values, whereas, the correct estimate of the taps is a zero value. Hard limiting on the estimated channel impulse response vector according to a predefined threshold (ζ) level ensures a sparse vector. Such hard-limit thresholding can be applied as follows,

$$\hat{h}_{nm}^{l, \text{ SiT-ThCCS}} = \begin{cases} \hat{h}_{nm}^{l, \text{ SiT-CCS}} & ; & \hat{h}_{nm}^{l, \text{ SiT-CCS}} > \zeta \\ 0 & ; & \text{otherwise} \end{cases}$$
(46)

where $\hat{h}_{nm}^{l, \text{ SiT-CCS}}$ represents the estimate of l^{th} tap of the channel from n^{th} transmitter to m^{th} receiver obtained by the CCS technique presented in previous section.

3) MP based SiT Sparse Multipath MIMO Channel Estimation: Since the channel under consideration is sparse, a large number of taps in the channel vector h_{nm} is either zero or below the noise floor. Thus, an MP algorithm can be employed to estimate the sparse channel as proposed in [11]. Hence, to estimate the channels from the model in (35), the positions of non-zero taps are first determined and channel estimation is then carried out only for these specific non-zero positions. We describe the proposed SiT-MP algorithm in the following paragraphs. In (35), both C_n and \hat{d}_{nm} are known, therefore, \hat{d}_{nm} can be expanded as,

$$\hat{d}_{nm} = \bar{c}_{n,o}h^0 + \bar{c}_{n,1}h^1 + \bar{c}_{n,2}h^2 + \ldots + \bar{c}_{n,\tilde{P}-1}h^{\tilde{P}-1}.$$
 (47)

where $\bar{c}_{n,i}$ is the i^{th} column vector of C_n . First, find columns in matrix $C_n = [\bar{c}_{n,0}, \bar{c}_{n,1}, \dots, \bar{c}_{n,(\tilde{P}-1)}]$ that are best aligned with output vector \hat{d}_{nm} ; let this vector be denoted by \bar{c}_{qp} . Let Q be the number of non-zero taps among a total of L channel taps. The output vector \hat{d}_{nm} is spanned by total Q columns of C_n that actually correspond to Q non-zero entries of the sparse channel h_{nm} . By projecting all columns of C_n on \hat{d}_{nm} , we can find the best aligned column of C_n with \hat{d}_{nm} that will correspond to the position of one of the non-zero entries of h_{nm} . In this way, we can find the location of non-zero entries present in the sparse

channel h_{nm} in each iteration. Once the non-zero tap position of h_{nm} is determined, the value at that tap position can be found. The algorithm proceeds in the same way for each iteration. In order to find the non-zero tap positions of h_{nm} in the p^{th} iteration, the projection of C_n along \hat{d}_{nm} is found as,

$$q^{p} = \underset{\bar{c}_{n,j} \neq \{\bar{c}_{n,g^{1}}, \dots, \bar{c}_{n,g^{p-1}}\}}{\arg} \max \frac{|\bar{c}_{n,j}^{*} \tilde{d}_{nm}^{p-1}|^{2}}{\|\bar{c}_{n,j}\|_{\ell_{2}}^{2}}, \tag{48}$$

where q_p represents the index of best aligned column of C_n with \hat{d}_{nm} and corresponds to one of the non-zero tap h_{nm} . The projection of C_n in each iteration is computed along the residual error vector of previous iteration \tilde{d}_{nm}^{p-1} . For the very first iteration the residual error vector is $\tilde{d}_{nm}^o = \hat{d}_{nm}$ and in the preceding iterations its value is attained as,

$$\tilde{\boldsymbol{d}}_{nm}^{p} = \tilde{\boldsymbol{d}}_{nm}^{p-1} - \frac{\boldsymbol{c}_{q_{p}}^{*} \tilde{\boldsymbol{d}}_{nm}^{p-1}}{\parallel \boldsymbol{c}_{q^{p}}^{*} \parallel_{\ell_{2}}^{2}} \boldsymbol{c}_{q^{p}}.$$
(49)

where $c_{q^p}^*$ denotes the best aligned column vector of C_n with residual error vector \tilde{d}_{nm}^{p-1} for a specific p^{th} iteration that corresponds to the non-zero entry of sparse channel. The estimate of a non-zero tap of the channel $\hat{h}_{nm}^{q^p}$ at position q^p can thus be obtained as,

$$\hat{h}_{nm}^{q^p, \text{ SiT-MP}} = \frac{c_{q^p}^* \tilde{d}_{nm}^{p-1}}{\|c_{q^p}^*\|_{\ell_2}^2}.$$
 (50)

The iterations continue until all the non-zero taps in $\hat{h}_{nm}^{\text{SiT-MP}}$ are determined, or when the error residual in a specific iteration becomes smaller than a predefined threshold, i.e., $\|\tilde{d}_{nm}^{p}\| < \epsilon$. The cost of computing the basic model presented in equation (35) is same for all the proposed techniques. However, the additional computational cost to estimate the channels from (35) varies for each of the proposed techniques i.e., SiT-LS, SiT-MP, SiT-CCS, and SiT-ThCCS. Each iteration of the matching pursuit algorithm for computing a particular channel's estimate \hat{h}_{nm} implies a cost of $O(\tilde{P}\log(\tilde{P}))$ in addition to the cost of computing (35). The proposed SiT-CCS estimation technique is implemented using Dantzig selector solution to (45). The Dantzig selector implemented by using primal-dual method, which incurs a computational cost of $O(\sqrt{\tilde{P}}\log(\frac{\tilde{P}}{\epsilon}))$. For the SiT-ThCCS technique, the hard-limiting of the obtained channels'

estimate extends an additional computational cost, proportional to the length of channel L, over the cost implied by SiT-CCS.

C. Minimum Mean Square Error (MMSE) Equalizer

Since the training sequence assigned to each of the transmitter in MIMO system is also known at their corresponding receivers so we have to cancel out the effect of training sequence that also gets convolved with channel when superimposed with information sequence. Thus before passing the signal to equalizer input we must have to cancel out the effect of convolved training sequence of the receiver whose information sequence is to be determined along with the convolved training sequence of other users as well. Once the effect of training sequence is omitted we can input that signal at equalizers input. The following steps are required to equalize the superimposed training based information sequence,

$$\tilde{y}_m(k) = y_m(k) - \sum_{n=1}^{N} \sum_{l=0}^{L} \hat{h}_{nm}^l c_n(k-l),$$
(51)

where \hat{h}^l_{nm} represents the estimate of l^{th} tap of channel from n^{th} transmitter to m^{th} receiver. The estimate of channel may be taken from any of the estimation techniques discussed in the previous sections, i.e., $\hat{\boldsymbol{h}}_{nm} = \{\hat{\boldsymbol{h}}_{nm}^{\text{SiT-LS}}, \hat{\boldsymbol{h}}_{nm}^{\text{SiT-CCS}}, \hat{\boldsymbol{h}}_{nm}^{\text{SiT-MP}}, \text{ or } \hat{\boldsymbol{h}}_{nm}^{\text{SiT-ThCCS}}\}$. For the equalizer at the m^{th} receiver, the optimal equalizer's weights \boldsymbol{w}_m can be obtained as in [37], given as under,

$$\boldsymbol{w}_{nm} = (\hat{\boldsymbol{H}}\hat{\boldsymbol{H}}^* + 2\sigma_m^2 \boldsymbol{I})^{-1} \hat{\boldsymbol{H}} |_{(m-1)(L_e+L-1)+(\tau_d+1)},$$
 (52)

where m is the receiver index such that $1 \leq m \leq M$, L_e denotes length of equalizer, τ_d is the decision delay of equalizer's mappers, \boldsymbol{I} denotes the $(N \times L_e) \times (N \times L_e)$ identity matrix and $\hat{\boldsymbol{H}}|_i$ is the i^{th} column of $\hat{\boldsymbol{H}}$. The convolutional matrix $\hat{\boldsymbol{H}}$ having dimensions $L_e \times (L_e + L - 1)$ is given by,

$$\hat{\boldsymbol{H}}_{n,m} = \begin{bmatrix} \hat{h}_{n,m}^{0} & \hat{h}_{n,m}^{1} & \cdots & \hat{h}_{n,m}^{L-1} & 0 & \cdots & 0 \\ 0 & \hat{h}_{n,m}^{0} & \hat{h}_{n,m}^{1} & \cdots & \hat{h}_{n,m}^{L-1} & \ddots & \vdots \\ \vdots & \ddots & \ddots & \ddots & \ddots & 0 \\ 0 & \dots & 0 & \hat{h}_{n,m}^{0} & \hat{h}_{n,m}^{1} & \cdots & \hat{h}_{n,m}^{L-1} \end{bmatrix},$$
(53)

The overall convolutional matrix \hat{H} of the MIMO system is give as,

$$\hat{\boldsymbol{H}} = \begin{bmatrix} \hat{\boldsymbol{H}}_{1,1} & \hat{\boldsymbol{H}}_{1,2} & \cdots & \hat{\boldsymbol{H}}_{1,M} \\ \hat{\boldsymbol{H}}_{2,1} & \boldsymbol{H}_{2,2} & \cdots & \hat{\boldsymbol{H}}_{2,M} \\ \vdots & \vdots & \cdots & \vdots \\ \hat{\boldsymbol{H}}_{N,1} & \hat{\boldsymbol{H}}_{N,2} & \cdots & \hat{\boldsymbol{H}}_{N,M} \end{bmatrix}.$$
(54)

The estimate of transmitted information sequence from the n^{th} transmitter can thus be obtained from the following equation followed by a decision mapper, as shown in Fig. 3.

$$\tilde{b}_n(k) = \sum_{m=1}^{M} \sum_{i=0}^{L_e-1} w_{nm}^i \tilde{y}_m(k-i).$$
 (55)

The output of the equalizer, $\tilde{\boldsymbol{b}}_n$, is then fed as an input to a decision mapper, as shown in Fig. 3, that performs mapping of the symbols according to the used modulation scheme with the decoded symbols represented by $\hat{\boldsymbol{b}}_n$.

V. RESULTS AND DISCUSSION

In this section, we present the computer simulations along with an analysis of the obtained results. The performance metrics used for the analysis are NCMSE and BER, which are well established metrics. The BER quantifies reliability of the radio channel, which can be defined as the ratio between the amount of corrupted bits and total number of transmitted bits. The normalized channel mean square error (NCMSE) of the estimated channel is defined as,

$$NCMSE = \frac{\sum_{m=1}^{M} \sum_{n=1}^{N} \sum_{l=0}^{L-1} \left| \hat{h}_{nm}^{l} - h_{nm}^{l} \right|^{2}}{\sum_{m=1}^{M} \sum_{n=1}^{N} \sum_{l=0}^{L-1} \left| h_{nm}^{l} \right|^{2}},$$
 (56)

A 2×2 MIMO communication system is considered for the simulations, i.e., N=2 and M=2. The simulations are performed for time-invariant and frequency selective MIMO channels. The realization of all the channels h_{nm} is independently generated for each Mote Carlo run, by keeping a certain fixed level of sparsity, Q/L. The amount of scatterers within a certain range is drawn from Poison distribution by adapting a sub-region approach discussed in [23], and

Performance comparison of the proposed techniques and the first order statistics based technique (SiT-LS) in [18] is presented in Fig. 4a and Fig. 4b for NCMSE and BER against SNR, respectively. The message length and sparsity level for this plot are taken as K=900 bits and Q/L=3/14, respectively. In Fig. 4a it can be observed that the proposed channel estimation techniques (i.e., SiT-CCS, SiT-MP, and SiT-ThCCS) outperforms the first order statistics based channel estimation technique (i.e., SiT-LS). When compared to SiT-LS, the proposed techniques SiT-CCS, SiT-MP, and SiT-ThCCS provide an improvement of 2dB, 3.5dB, and 5.2dB in MSE at an SNR = 12dB, respectively. This improvement in the plots from the proposed techniques is due to the consideration of a compensation parameter for the inherent estimation error ε_{nm} and use of prior available knowledge of channels' sparsity. The proposed techniques provide, better performance compared to the first order statistics based technique for the case of sparse channels, and similar performance for the case of non-sparse channels. Fig. 4b present BER based comparison of the proposed and SiT-LS estimation techniques. It can be observed that a performance gain of about 1dB, 2.5dB, and 3.5dB in SNR can be achieved by SiT-CCS, SI-MP, and SiT-ThCCS when compared to SiT-LS for BER = $10^{-1.9}$. The BER performance can further

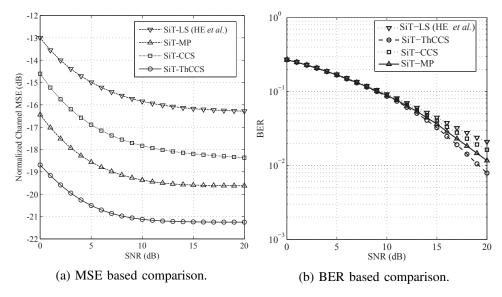
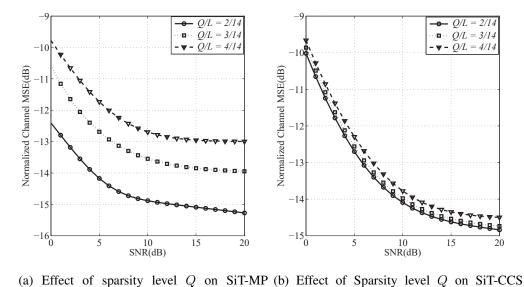


Fig. 4: MSE and BER based comparative analysis between SiT-LS and the proposed SiT-CCS, SiT-ThCCS, and SiT-MP for 900 bits message signal length.



performance. performance.

Fig. 5: Effect of variation of sparsity level Q on the MSE Performance of the proposed SiT-MP and SiT-CCS techniques.

be enhanced by employing the iterative algorithm proposed in [4].

To demonstrate the effect of channel's sparsity level (i.e., Q/L), the MSE is plotted against SNR for different values of sparsity (i.e., Q/L = 2/14, 3/14, and 4/14) in Fig. 5a and Fig. 5b for the proposed SiT-MP and SiT-CCS, respectively. For these plots, the training to information

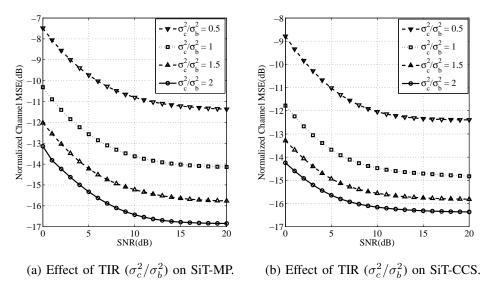


Fig. 6: Effect of the variation of TIR (σ_c^2/σ_b^2) on MSE performance of the proposed SiT-MP and SiT-CCS techniques.

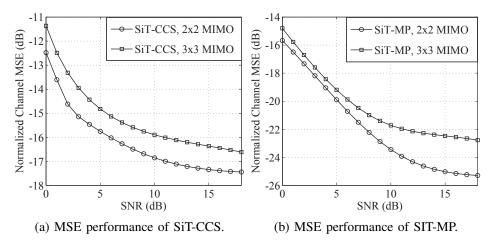


Fig. 7: MSE performance comparison of SiT-CCS and SiT-MP for 2×2 and 3×3 MIMO system.

sequence power ratio σ_c^2/σ_b^2 is set to unity. It can be observed that by decreasing number of non-zero taps, i.e., by decreasing Q for a fixed L, the MSE performance improves for all SNR values obtained from both the SiT-CCS and SiT-MP estimation techniques. Thus, the performance of the proposed schemes further improve with an increase in the channel's sparsity. The gain in performance improvement with an increase in the channel's sparsity is higher for SiT-MP technique when compared with the performance gain by SiT-CCS technique.

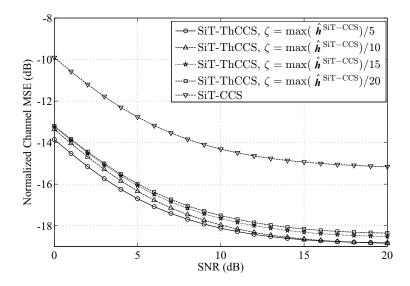


Fig. 8: Effect of hard-limit threshold ζ on the MSE performance of the proposed SiT-ThCCS technique.

To elucidate the effect of TIR (i.e., σ_c^2/σ_b^2) on the performance of the proposed SiT-MP and SiT-CCS channel estimation techniques, the MSE is plotted against SNR for different values of TIR, ranging from 0.5 to 2 with uniform difference of 0.5, in Fig. 6a and Fig. 6b, respectively. These graphs are obtained for channel's sparsity level Q/L set to 3/14. It can be noted that with an increase the training sequence's power (i.e., σ_c^2) for fixed information sequence's power (i.e., σ_b^2), the MSE performance of channel estimator is observed to improve. However, decreasing the contribution of information sequence has an adverse effect on the BER performance of the system in decoding of information sequence. Therefore, choice of an optimum value for TIR is highly resaleable to satisfy a good tradeoff between MSE and BER performance.

In order to prove the validity of the proposed channel estimation techniques for higher order MIMO systems, the MSE performance analysis for a 3×3 MIMO systems have been performed in comparison with a 2×2 MIMO system in Fig.7. This graph is obtained for the TIR taken as $\sigma_c^2/\sigma_b^2=0.4$ and sparsity of the channels set to Q/L=4. To demonstrate the effect of hard-limiting threshold parameter, ζ , on the proposed SiT-ThCCS estimation technique, MSE is plotted against SNR for different values of ζ in Fig. 8. This plot is obtained for the channel's sparsity level Q/L set to 3/14 and TIR σ_c^2/σ_b^2 set to unity. The plot is generated for different values

of limiting threshold, viz: 1/5, 1/10, 1/15, and 1/20 times the highest value of channel impulse response vector; where, the initial channel estimate is obtained through SiT-CCS technique. It is evident in the plot that MSE performance improves with a decrease in the value of ζ up to a certain optimum value, and the plot shows a converse behaviour for a further decrease in ζ beyond a certain optimum value. Based on the simulation analysis, it is observed that the MSE performance is optimum for ζ equal to 1/5 times the strongest multipath component in the channel.

VI. CONCLUSION

A channel model for the implementation of sparse MIMO channels has been proposed. Three SiT compressed channel sensing based estimation techniques has been proposed for frequency-selective time-invariant sparse MIMO communication channels. A thorough analysis based on the simulation results has been presented. The MSE and BER have been used for the performance analysis. Effect of various parameters, such as, the channels' sparsity level, training to information power ratio,threshold coefficient, and message length, has thoroughly been investigated. It has been established that the proposed SiT-CCS, SiT-ThCCS, and SiT-MP techniques outperform the traditional SiT-LS technique for the case of sparse MIMO channels. It has been shown that the proposed SiT-CCS, SiT-MP, and SiT-ThCCS can provided an improvement of 2dB, 3.5dB, and 5.2dB in the MSE at SNR of 12dB when compared to SiT-LS in [18], respectively. Consequently, a gain of about 1dB, 2.5dB, and 3.5dB in SNR has been observed at BER = 10^{-1.9} by the proposed SiT-CCS, SiT-MP, and SiT-ThCCS when compared to SiT-LS [18], respectively. This performance gain in MSE and BER has been observed to increase with an increase in the channels' sparsity.

VII. ACKNOWLEDGEMENT

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