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# Grid Infrastructures for Secure Access to and Use of Bioinformatics Data: Experiences from the BRIDGES Project

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## Abstract

*The BRIDGES project was funded by the UK Department of Trade and Industry (DTI) to address the needs of cardiovascular research scientists investigating the genetic causes of hypertension as part of the Wellcome Trust funded (£4.34M) Cardiovascular Functional Genomics (CFG) project. Security was at the heart of the BRIDGES project and an advanced data and compute Grid infrastructure incorporating latest Grid authorisation technologies was developed and delivered to the scientists. We outline these Grid infrastructures and describe the perceived security requirements at the project start including data classifications and how these evolved throughout the lifetime of the project. The uptake and adoption of the project results are also presented along with the challenges that must be overcome to support the secure exchange of life science data sets. We also present how we will use the BRIDGES experiences in future projects at the National e-Science Centre.*

## 1. Introduction

With the completion of the sequencing of the human and several other eukaryotic genomes, as well as more than a hundred microbial genomes, and the development of modern post-genomic high-throughput technologies allowing comprehensive studies of mRNA, protein and metabolite complements of biological samples, the life and biological sciences are experiencing an era of exponential data growth [1]. These enormous and highly heterogeneous, distributed data sets require well-designed data standards for their discovery, linkage and further analysis. Grid technology offers a paradigm which can support such requirements allowing access to and usage of the large scale computational resources needed for comparison and analysis of such data sets [2].

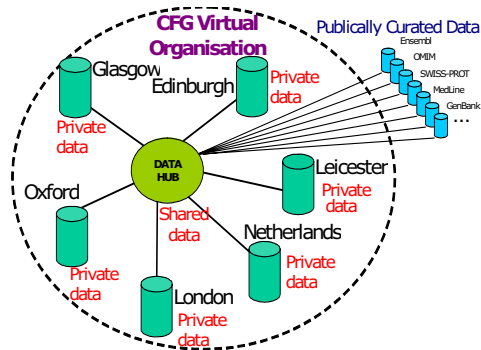
The life science domain offers new challenges with regard to security that are not immediately

associated with other domains such as high energy physics. Data or more accurately information and knowledge associated with these data sets, can be intellectually and commercially sensitive, offering considerable exploitation possibilities. Whilst large scale public genomic databases are sources for the wider life sciences community to access and use, numerous other data classifications exist in the life science domain which are not so freely available. The Biomedical Research Informatics Delivered by Grid Enabled Services (BRIDGES) project<sup>1</sup> [3] was funded by the UK Department of Trade and Industry to develop a Grid based computational infrastructure to support the needs of the Wellcome Trust funded (£4.34M) Cardiovascular Functional Genomics (CFG) project [4]. The CFG consortium themselves were investigating possible genetic causes of hypertension, one of the main causes of cardiovascular mortality. The consortium involves five UK and one Dutch site (depicted in Figure 1) and is pursuing a strategy combining studies on rodent models of disease (mouse and rat) contemporaneously with studies of patients and population DNA collections.

A characterisation and classification of the security requirements associated with the CFG project data sets was made at the start of BRIDGES. This classification evolved throughout the course of the project. In this paper we review the infrastructure that was developed and how it evolved to meet the needs of the scientists from a security perspective. The challenges in developing secure infrastructures within the BRIDGES project and encouraging their uptake are typical across the research community.

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<sup>1</sup> BRIDGES successfully completed in December 2005 and involved the National e-Science Centre at the Universities of Glasgow and Edinburgh, and IBM.



**Figure 1: Data Distribution and Security of CFG Partners**

## 2. BRIDGES Data Classification

At the project outset, it was identified that various significant data with different security aspects would be accessed and integrated to support the CFG research activities. This included:

- **Public data:** data from public sources, such as SwissProt and EMBL. We recognised that these could be accessed directly or be held as local copies for performance reasons.
- **Processed public data:** public data that has additional annotation or indexing to support the analyses needed by CFG. This kind of data must be held within the consortium, but one copy could serve the entire consortium. They may be of interest to and made available to other consortia.
- **Sensitive data:** the data about individuals in the cohorts of patients and the data derived from animal experiments. This kind of data would require careful enforcement of privacy and may be restricted to one site, or even part of a site.
- **Special experimental data:** these data sets fall into a particular category, e.g. quantitative trait loci (QTL) or microarray data, which has special arrangements for its storage and access already agreed. Given the cost associated with conducting microarray experiments and generating the data sets, these kinds of data would have security restrictions at the discretion of the consortia partners generating the data;
- **Personal research data:** data specific to a researcher as a result of experiments or analyses that that researcher is performing. This kind of data may not even be shared among the local team. The data may however later become team research data, e.g. once results have been published.

- **Team research data:** data that is shared by the team members at a site or within a group at a site. It may later become consortium research data, e.g. when the researchers are confident of its value or have written about its creation and implications.
- **Consortium research data:** data produced by one site or a combination of sites that is now available for the whole consortium.
- **Personalisation data:** metadata collected and used by the bioinformatics tools pertinent to individual users. This data is normally only needed to support the specific user to which it pertains, but it may need to move between sites, e.g. when bioinformaticians visit sites or work together.

The rich variety of data requirements is typical of the needs of a large biomedical research project. As a result of these classifications it was recognised that there would be obvious sensitivities about where data could be stored and how it may be accessed. For example, privacy mechanisms acceptable to the clinical researchers would need to involve access controls and it was considered that messages and certain data sets would need to be encrypted when in transit. It was considered that there would also be issues as to what data might be used by the BRIDGES software/Grid developers. In some cases, the data may need to be systematically randomised, anonymised or encrypted, while preserving distribution properties, before it could be made available for testing.

Based on this, different classes of integration were identified and were expected to be supported by the Grid infrastructure. This included integration of the many sources of data: public data, such as SwissProt, the mouse, rat and human gene sequences, etc.), project data, such as mouse, rat, congenic rat and human population phenotypical data (clinical data, RNA, microarray data, proteomic gel data, etc.) and derivative data, e.g. QTL.

The Data Hub identified in Figure 1 was to form the fulcrum of this data access and integration activity, where public data would be combined with shared and private data according to security policy. Two versions of the Data Hub itself were to be created and compared, both of them based upon IBM DB2 technology [5]. The first based upon a commercial data integration technology solution, IBM DiscoveryLink and later remarketed as IBM Information Integrator<sup>2</sup> [6]; the second based on the Grid communities open source Open Grid Service Architecture Data Access and Integration (OGSA-

<sup>2</sup> To be renamed as IBM Masala.

DAI) software [7]. An evaluation and comparison of these technologies including their performance and overall usability in the functional genomics domain was made and is documented in [8].

The Data Hub provided a single repository through which access to numerous other federated genomic repositories and scientific research data sets could be made. The public genomic data sets of particular interest to the scientists included Ensembl (rat, mouse, human databases) [9]; Mouse Genome Informatics (MGI) [10]; Online Mammalian Inheritance in Man (OMIM) [11], Human Genome Organisation (HUGO) [12], Rat Genome Database (RGD) [13] and the Gene Ontology (GO) data base [14]. We note that of these, it was identified in the course of establishing the Data Hub that the programmatic access needed for the Grid data integration technologies was only available for the Ensembl and MGI databases. For the other data sets alternative solutions were required including downloading the data (often with no schema being provided), parsing the flat files and developing solutions to trigger the population of these files into the DB2 database. These issues are described in [8] along with the challenges and solutions that were adopted to handle changes in the remote database schemas.

The Data Hub supported various client side tools through which queries could be issued and used to access these remote databases. Given that the scientists based much of their research upon results from microarray experiments, these queries were typically based upon returning all information associated with a given gene (or sets of genes). This information was dependent upon the schemas and data sets associated with the remote databases themselves.

### 3. BRIDGES Data Access Client Tools

The initial data access application developed for the scientists was MagnaVista [15]. This application provided a completely configurable environment through which the scientists could navigate to and access a broad array of life science data sets of relevance to their research. Specifically through MagnaVista the user could input the genes that they were most interested in. Based upon this input, MagnaVista would invoke a stored procedure on DB2 which would build up the query<sup>3</sup>, federate the query to the remote databases and subsequently join

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<sup>3</sup> The query was built using the GO database to address potential circular referencing that exist when querying multiple related databases, e.g. Ensembl data may include references to MGI data which references Ensembl etc.

the results back together for display in the MagnaVista client application.

Thus rather than the user manually hopping to each of these remote resources, a single query issued by MagnaVista was used to deliver collections of data associated with the genes of interest. To support the specific targeted data needs of the scientists, the MagnaVista application could be personalised in various ways. For example, users could select specific (remote) databases that should be interrogated; select various data sets (fields) that should be returned from those databases; store specific genes of interest, and personalise the look and feel of the application itself.

The actual MagnaVista application itself was Java based and delivered to the users using Sun Web Start technology. Through launch buttons on the portal web page, a single mouse click could be used to automatically deliver the application and associated libraries, including the Web Start environment if it is not already present. However due to anomalies in Web Start with non-Internet Explorer versions of browsers used by the scientific community and issues of local firewalls blocking Web Start traffic, it was decided that a simpler version of this application was needed. It was also the case that the scientists were uncomfortable with the personalisation possibilities and having multiple panels and windows. In short, the application was not immediately intuitive and simple to use. The GeneVista was produced to address these issues.

GeneVista is a portlet based application. Portlets are Java-based Web components, managed by a portlet container, that process requests and generate dynamic content. Portals use portlets as pluggable user interface components that provide a presentation layer to information systems which enable modular and user-centric Web application access. Through a portlet based approach, the issues in firewalls and problems with Web Start with non-Internet Explorer browsers were overcome.

In essence the functionality of GeneVista is very similar to MagnaVista. However, it does not support the richness of personalisation. We note that this was at the request of the scientific end users. They simply wanted to be able to select a collection of gene names and retrieve all available information. Few of them bothered with personalisation possibilities. A Google-like front end to GeneVista was designed to reflect this (top part of Figure 2). The GeneVista portlet simply requires that the scientist input the gene names that they are interested in and selects submit. Following this, HTML based data sets are returned and presented within the browser window as shown in bottom part of Figure 2.

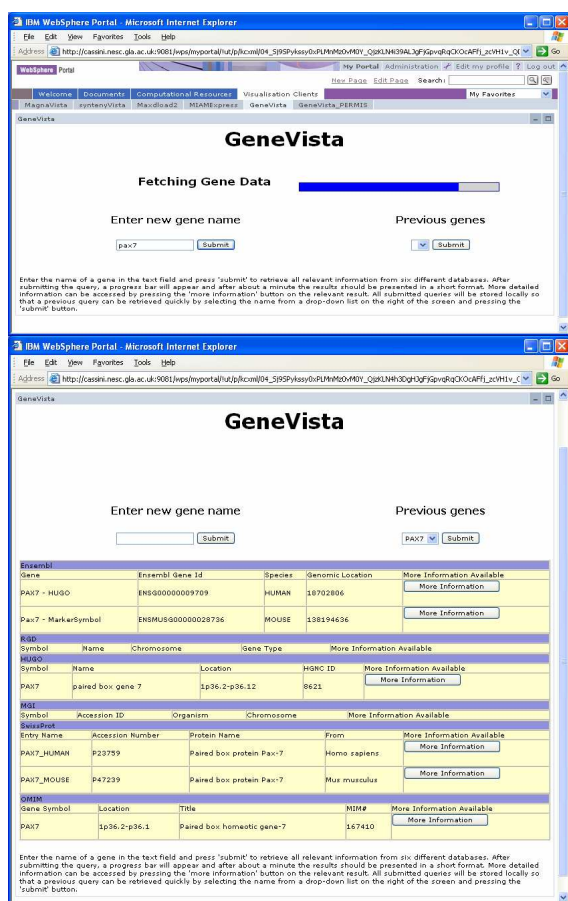


Figure 2: GeneVista Basic Usage for Gene Query

#### 4. BRIDGES Client Compute Grid Tools

In their pursuit of novel genes and understanding their associated function life scientists often require access to large scale compute facilities to analyse their data sets, e.g. in performing large scale sequence comparisons or cross-correlations between large biological data sources. The Basic Local Alignment Search Tool (BLAST) [16] has been developed to perform this function. Numerous versions of BLAST currently exist which are targeted towards different sequence data sets and offer various levels of performance and accuracy metrics. BLAST involves sequence similarity searches, often on a very large scale, with query sequences being compared to several million target sequences to compute alignments of nucleic acid or protein sequences with the goal of finding the  $n$  closest matches in a target data set. BLAST takes a heuristic (rule-of-thumb) approach to a computationally highly intensive problem and is one of the fastest sequence comparison algorithms available.

It was recognised in BRIDGES that users should not have to learn the often complex options associated with job submission to job schedulers such as Condor [17] or OpenPBS [18]. In addition, one of the primary benefits of Grid technology is the ability to dynamically select and use a variety of heterogeneous resources is essential. This in turn requires that meta-schedulers are available that can dynamically schedule jobs across a variety of heterogeneous resources utilising a variety of local job schedulers. The BRIDGES Grid BLAST service which provides such a simplified BLAST based job submission system, enabling access to and usage of an extensible collection of HPC facilities is shown at the top of Figure 3 and is described in detail in [19]. This service was based upon the Globus technology (version 3) [20] with wrappers developed for external job scheduling systems.

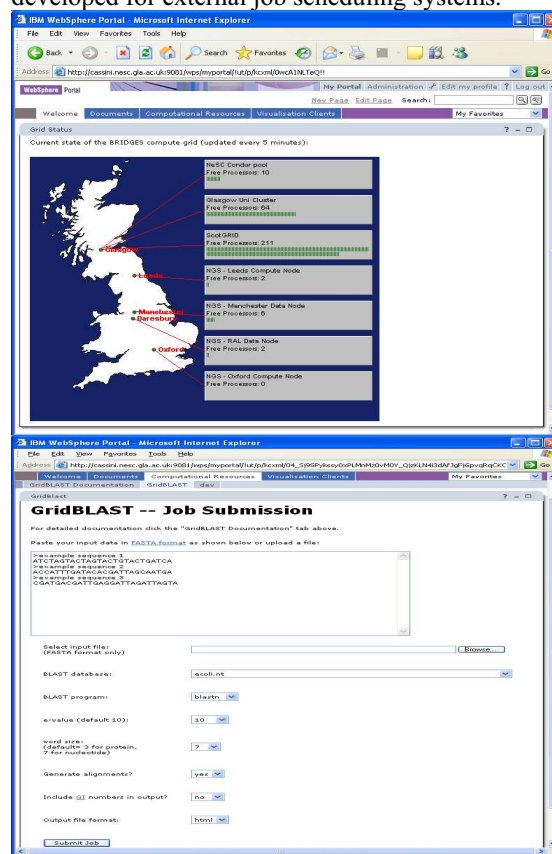


Figure 3: Grid enabled BLAST service

The BRIDGES GridBLAST service makes use of the ScotGrid cluster [21], other HPC clusters at the University of Glasgow, Condor pools at the National e-Science Centre and all nodes of the National Grid Service (NGS) [22]. The status of these resources is shown at the top of Figure 3 and the user interface itself presented below. Intelligent



When used, the service checks what resources are available, where the jobs are best run and subsequently provides a prediction of how long the complete BLAST job will take to complete. In addition, monitoring of the status of the various sub-jobs is undertaken (Figure 4) and staging of the various input and output files onto the compute resources is provided. Users can see where their jobs have been submitted and their status at any given time.



Fine grained security was essential to encourage the uptake of the Grid infrastructure by the CFG scientists. Most Grid solutions today are based upon X.509 certificates to support public key infrastructures (PKIs) [23].

The UK e-Science efforts are based around a centralised CA at Rutherford Appleton Laboratory [24]. However the process of applying for certificates is off-putting for many of the wider less-IT focused research community (like the CFG scientists) since it required them to convert the certificate to appropriate formats understandable by Grid (Globus) middleware, e.g. through running

We note that the UK CA now suggests for researchers with Windows based PCs that they can use a Windows openSSL based solution [25] but this in turn requires them to install and configure additional software etc. In some circumstances this is not possible, for example if they do not have sufficient privileges on their PC (root access etc) – a not uncommon practice in certain departments and faculties at Glasgow University for example. In this case the researchers will instead have to refer to a local system administrator to help with the installation and configuration.

This process as a whole does not lend itself to the wider research community which the e-Science and Grid community needs to reach out to and engage with. It is a well known adage that the customer is always right. Usability and addressing researcher requirements is crucial to the uptake and success of Grid technology. End user scientists require software which simplifies their daily research and not make this more complex. Given the fact that the initial user experience of the Grid currently begins with application for UK e-Science certificates, this needs to be made as simple as possible, or potentially removed completely. Alternative solutions which do not require any user certificates are thus sought.

The fundamental issue with PKIs however, is trust. Sites trust their users, CAs and other sites. If the trust between any of these is broken, then the

impact can be severe, especially since users are currently free to compile and run arbitrary code. With the now global PKI and associated recognition of international CAs through efforts such as the International Global Trust Federation [26], this basic trust model is naïve.

Advanced authorisation infrastructures which support definition and enforcement of what users are allowed to do on resources are thus needed. One of the leading authorisation infrastructures today that is closely aligned with Grid development is the Privilege and Role Management Infrastructure Services Validation (PERMIS) software [27].

The PERMIS software realises a Role Based Access Control (RBAC) authorisation infrastructure. It offers a standards-based Java API that allows developers of resource gateways (gatekeepers) to enquire if a particular access to a resource should be allowed. The PERMIS RBAC system uses XML based policies defining rules, specifying which access control decisions are to be made for given virtual organisation resources. These rules include definitions of: subjects that can be assigned roles; source of authorities (SOA), e.g. local managers trusted to assign roles to subjects; roles and their hierarchical relationships; what roles can be assigned to which subjects by which SOAs; target resources, and the actions that can be applied to them; which roles are allowed to perform which actions on which targets, and the conditions under which access can be granted to roles.

Roles are assigned to subjects by issuing them with X.509 Attribute Certificate(s). A graphical tool called the Privilege Allocator (PA) has been developed to support this process. Once roles are assigned, and policies developed, they are digitally signed by a manager and stored in one or more LDAP [28] repositories. When requests are made to access and use a given service, e.g. GridBLAST, checks on the authorisation of the user invoking the service are made by cross-checking with the signed policy in the LDAP service (in X.812 parlance the policy enforcement point interacts with the policy decision point). Depending upon the result from the policy, the decision to allow or reject is made. It should also be noted that if a given action is not explicitly allowed, i.e. included in the policy, then it is rejected.

## 5.1 Advanced Security with PERMIS in BRIDGES

Both the GridBLAST and the GeneVista services were based upon a fine grained Grid security model utilising the PERMIS technology. The architecture of the security infrastructure is shown in Figure 5.

IBM WebSphere was used as the portal technology.

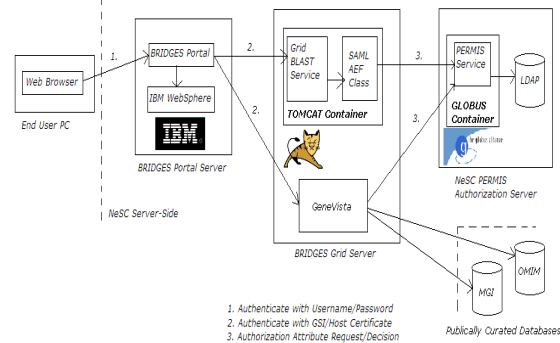


Figure 5: BRIDGES Security Infrastructure

### 5.1.1 GridBLAST with Advanced Security

It is the case in the Grid community right now that in order to access large scale HPC resources such as those made available through the NGS end users are expected to have a valid UK e-Science X.509 certificate. In the experiences of the BRIDGES project, this was not something that the biological end users were comfortable with (and they did not do!). To address this, we provided a solution which did not mandate that the users have their own X.509 certificates instead we exploited X.509 certificates for the *server* on which the GridBLAST service was hosted. User authentication via username/password to the portal was supported. Once authenticated (logged in), usernames were, through the PERMIS infrastructure, used to retrieve the policies that applied to that user. This information was then fed to the meta-scheduler and job submission system. The BRIDGES project supported three policies:

- If they are unknown users the job will only be submitted to the local Condor pool (we allow anyone access to the portal, however we restrict what they are allowed to do once there).
- If we recognise the users but they do not have a local ScotGrid account the job will be submitted to the Condor pool and NGS.
- If we recognise the users and they have an account on ScotGrid then the job will be submitted potentially to the Condor pool, the NGS and to ScotGrid (based on job numbers).

Given that we do not mandate that end users have a UK e-Science certificate, but provide services which allow access to resources such as NGS through server certificates requires that detailed logging of user actions is made. We also note that since users interact with the Grid resources via graphical user interfaces for the services they are

not able to compile and run arbitrary code. This greatly simplifies the authorisation infrastructure.

### 5.1.2 GeneVista with Advanced Security

With regard to data security, PERMIS policies were defined and implemented restricting access to certain databases offered via the Data Hub to certain users. This was achieved through extensions to the GeneVista software to support queries of the PERMIS based LDAP policies. These policies distinguish CFG users from other users of the BRIDGES software. Specifically, the policies allow CFG scientists access to all of the data sets that are accessible from the federated repository. Other non-CFG users are allowed to create accounts on the portal, however they are only entitled access to the remote (federated) data sets accessible via the portal. It is important to note that both GeneVista and the GridBLAST security authorisation are completely transparent to the end users. They issue queries and receive results without any knowledge that a security policy has been enforced and that they are potentially only seeing a subset of the complete data sets depending on their role.

Through the course of the BRIDGES project, the richness of the classification of the data sets identified previously and how the infrastructure might allow for their secure sharing never fully materialised. It was and is especially difficult to convince scientists to exchange and share data that they regard as having value. Colleagues are also competitors and a philosophy of keeping data until research results have been published in journals remains. Whilst certain journals now require publication of MIAME compliant data sets for example, the data repositories are more likely to include older data sets. However it is the case that funding bodies in the UK are moving to a model whereby funding is given for life science research with the proviso that data sharing and longer term data curation considerations are incorporated [1]. It is only through changing funding models that scientists can be made to share their data since social, economic and political aspects of data sharing do exist (as demonstrated through the course of the BRIDGES project).

## 6. Conclusions

Security is fundamental to the success of bioinformatics and life science research. This includes both computational and data security. Experience has shown in the BRIDGES project however that usability is also crucial to the uptake

and success of Grid technology. End user scientists require software which simplifies their daily research and not make this more complex. The idea of getting training on use of Grid software and resources or learning how to acquire and manage certificates and subsequently use them within a PKI is quite simply not something many scientists have the time or inclination for. Grid application and software developers need to address this fact.

The BRIDGES project has developed real data Grid and real compute Grids which have taken into account real biological user demands and explicitly targeted ease of use with fine grained security. The BRIDGES services are helping to shape the wider UK Grid activities – for example helping to define the biological data sets being deployed across the NGS.

It is a fact that the customer is always right. Whilst BRIDGES has developed much richer services in terms of functionality such as MagnaVista, end user scientists did not feel comfortable with these services hence simpler services have been engineered. Simpler and more intuitive user interfaces are crucial for the success of Grid applications. Similarly, solutions which help to overcome existing requirements on Grid infrastructures, e.g. possession of X.509 certificates, are required. Why should a biologist need an X.509 certificate when they only want to run BLAST jobs on available HPC resources? Such ideas are being taken forward in many other projects at the National e-Science Centre at the University of Glasgow where fine grained security is required, but client side software has to be trivial (and not include any complex Grid middleware).

The experiences within the BRIDGES project have shown that scientists need to be encouraged to share their research data sets. Waiting until papers are published in journals before access to MIAME compliant microarray data sets are made for example is not conducive to timely research. The BBSRC funded Grid Enabled Microarray Expression Profile Search (GEMEPPS) project [29] involves a collaboration with Cornell University, US [30] and the Riken Institute in Japan [31] and is addressing these areas directly. Establishing security infrastructures across these sites so that scientists can securely share their microarray data sets so that for example they can find who has run experiments and generated similar results. When such similarities are found, cross-site research into the relevant genes can be explored. Thus the scientists have to be seen to benefit from sharing of their data sets. Thus rather than feel they are “giving away” their data sets, they should feel they are gaining access to other data sets



instead. This change in paradigm is crucial for the success of any security infrastructure.

The functional genomics domain has degrees of security, however the NeSC in Glasgow are involved in other more security focused domains. For example the Virtual Organisations for Trials and Epidemiological Studies (VOTES) project [32] is exploring Grid technologies for the recruitment, data collection and study management activities of clinical trials. This includes access to patient data sets. The Genetics Healthcare Initiative [33] at NeSC is also involved in linking genetic information from people across Scotland with their medical records via a Grid infrastructure. Once again very fine grained security infrastructures are needed to enforce access control decisions. This is pushing the boundaries of advanced authorisation infrastructures in the Grid domain.

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