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7	An explanation for the effect of inoculum size on MIC
8	and the Growth/No-Growth Interface
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25 Abstract

26 The inoculum effect (IE) is the phenomenon observed where changes in the inoculum size used in an 27 experiment alters the outcome with respect to, for example, the minimum inhibitory concentration of 28 an antimicrobial or the growth/no growth boundary for a given set of environmental conditions. 29 Various hypotheses exist as to the cause of the IE such as population heterogeneity and quorum 30 sensing, as well as the null hypothesis – that it is artefactual. Time to detection experiments (TTD) 31 were carried out on different initial inoculum sizes of several bacterial species (Aeromonas hydrophila, Enterobacter sakazakii, Salmonella Poona, Escherichia coli and Listeria innocua) when 32 33 challenged with different pH and with combined pH and sodium acetate. Data were modelled using a 34 modification to a Gamma model (Lambert and Bidlas 2007, Int. J. Food Microbiology 115, 204 -35 213), taking into account the inoculum size dependency on the TTD obtained under ideal conditions. 36 The model suggests that changes in minimum inhibitory concentration (MIC) or in the Growth-No growth boundary with respect to inoculum size are due to using a smaller or larger inoculum (i.e. is 37 38 directly related to microbial number) and is not due to other, suggested, phenomena. The model used 39 further suggests that the effect of a changing inoculum size can be modelled independently of any other factor, which implies that a simple 1 to 2 day experiment measuring the TTD of various initial 40 41 inocula can be used as an adjunct to currently available models. 42 43 44 45 46

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49 Keywords: Predictive modelling, inoculum effect, preservation, MIC, Growth No growth boundary

51 Introduction

52 Predictive microbiology (PM), or the "quantitative microbial ecology of foods" (McMeekin et al., 53 1997; McMeekin and Ross 2002) attempts to provide a mathematical rationale for microbial growth 54 under a variety of environmental conditions – e.g. temperature, pH, a_w and the effect of preservatives. 55 PM is the quantification of the hurdle concept developed by Leistner (Leistner, 1995; Leistner and Gorris, 1995; Leistner, 2000). Within the multi-factor modelling generally performed, the effect of the 56 57 initial inoculum size on microbial growth is not, however, commonly investigated; the amount of resource required to produce such a multi-factorial model using traditional methodology (i.e. plates 58 59 and agar) is often a barrier to such an investigation. Furthermore the assumption that inoculum size has no effect on microbial growth once growth is initiated would suggest that such experiments would 60 61 be irrelevant and some studies have confirmed this. Buchanan, Smith, McColgan, Marmer, Golden and Dell (1993) examined the growth of *Staphylococcus aureus* using inoculum levels between 10^1 62 and 10^6 cfu ml⁻¹ over 4 temperatures; the inoculum size had "little if any effect on the growth 63 64 kinetics". Bhaduri, Turner-Jones, Buchanan and Phillips (1994) stated that in studies with Yersinia *enterocolitica* inoculum levels between 10^3 and 10^5 cfu ml⁻¹ had little effect on the LPD or GT. A most 65 convincing result with Escherichia coli O157:H7 was reported by Buchanan, Bagi, Goins and Phillips 66 67 (1993); the effect of inoculum size on the growth kinetics was evaluated using two aerobic variable 68 combinations: (1) 28°C, pH 7.2 0.5% NaCl; and (2) 19°C, pH 7.0, 5% NaCl. An inoculum range of between approx. $10^{1.9}$ to $10^{5.9}$ cfu ml⁻¹ was examined. Regression analysis indicated that there was no 69 70 significant effect on LPD, GT or MPD related to inoculum size for a given set of environmental 71 conditions.

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Observations, however, that inoculum size could have an effect on the duration of the lag phase have been reported. These studies have examined low inoculum size effects (typically <<10 cfu ml⁻¹) when populations are exposed to harsh conditions. <u>Augustin</u>, Brouillaud-Delattre, Rosso, and Carlier (2000) showed that the lag time of *Listeria monocytogenes* was extended when the cells were 77 severely stressed by starvation. This was observed at very low cell densities and explained by an 78 increase in the variation of individual cells' lag time. Indeed these low inoculum size effects are quite 79 general and reflect the distribution of injury in a microbial population, which becomes apparent when 80 such low inoculum studies are performed (Pin and Baranyi 2006). Guillier, Pardon and Augustin 81 (2005) described the effect of various stresses on the distribution of individual lag times of L. 82 monocytogenes, and work by Métris, George and Baranyi (2006) has shown the evolution of the injury 83 distribution of small populations of L. innocua as the concentration of acetic acid in the medium is 84 increased. The initial application of these 'single-cell kinetic' studies in foods has also been reported (D'Arrigo, García de Fernando, Velasco de Diego, Ordóñez, George and Baranvi 2006). The use of 85 automated turbidometry in these studies has proven very useful and one point is consistently made – at 86 87 higher inocula, the time to detection is the time taken for the 'fittest' organism to complete repair and 88 divide. Hence the time to detection of higher inocula (using turbidometry) are those organisms found 89 on one-side of the distribution tail. The comparison and the evolution of the distribution injury 90 between the population and the fittest organisms following an inimical procedure has been reported by 91 Lambert and Ouderaa (1999). Although D'Arrigo et al (2006) state that "the lag times of populations 92 initiated with small inocula cannot be measured accurately with traditional microbiology techniques such as bacterial counts", the method of Lambert and Ouderaa (1999) allows the distributions to be 93 94 obtained using this traditional technique.

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96 At the other extreme of inoculum size – at high cell densities an inoculum effect has been 97 observed with many organisms, but the phenomenon has been attributed to several mechanisms. 98 Prominent amongst these is quorum sensing – the ability of microbial cells to communicate amongst 99 themselves (Surette, Miller and Bassler 1999; Miller and Bassler 2001; Smith, Fratamico and Novak 100 2004; Zhao, Montville and Schaffner 2006). The inoculum effect (IE) has also been defined as the 101 increase in the minimum inhibitory concentration (MIC) of an inhibitor as the initial microbial 102 inoculum is increased (Steels, James, Roberts and Stratford 2000). Essentially, it is argued, more 103 inhibitor is needed to inhibit a larger microbial load, and this would appear to be a common-sense 104 view. Interestingly, in medical microbiology (where Leistner (2000) has suggested that food

105 microbiologists look for complementary approaches to similar phenomena) inoculum effects on MIC 106 are clinically important where, for example, high densities of β -lactamase pathogens are found such as 107 in endocarditis and meningitis (Thomson and Moland 2001) and in invasive fungal infections (Gehrt, 108 Peter, Pizzo and Walsh 1995), although the IE has also been considered to be artefactual - "an in-vitro 109 laboratory phenomenon" (Thomson and Moland 2001).

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111 But there are also published studies, which, in contradiction to the assumption given above, 112 suggest that the inoculum size has a direct influence on the prediction of growth. These studies are 113 generally concerned with the growth/no growth interface (G/NG) for a given set of environmental conditions. Masana and Baranyi (2000) showed that for identical combinations of NaCl/pH, 114 115 differences between low and medium levels of inocula were observed, with the medium inoculum more able to grow at the more extreme conditions. They also reported the increased variability as 116 117 conditions became harsher, also shown by Ratkowsky, Ross, McMeekin, and Olley (1991). 118 Koutsoumanis and Sofos (2005) described the effect of inoculum size on the growth boundary of L. 119 monocytogenes for combinations of temperature, pH and aw. Minimum growth values for pH and aw 120 were found to vary with inoculum size. The effects of inoculum size on microbial growth initiation observed in their study suggested to them that growth limits for individual cells in microbial 121 122 populations were heterogeneous. More recently, Skandamis et al. (2007) have examined the effect of 123 inoculum size on the G/NG interface of E.coli O157:H7 and again have shown that the lower the 124 initial inoculum the more its G/NG boundaries are influenced by stringent conditions. One other 125 obvious explanation of these observations would be the argument used for the IE on MIC. 126

127Robinson, Aboaba, Kaloti, Ocio, Baranyi, and Mackey (2001) and also in an complimentary128study Pascual, Robinson, Ocio, Aboaba and Mackey (2001) showed that the mean lag time of L.129monocytogenes increased with decreasing inoculum size as growth conditions became harsher.130Furthermore, they noted that the variance between replicate inocula also increased as the conditions131grew harsher. Above a certain threshold of NaCl concentration (1.2 mol Γ^1) no well inoculated with a132single cell showed growth, and a much higher inoculum was required as the conditions became

133 harsher for the observation of growth. Robinson et al. (2001) argued that that since the maximum 134 specific growth rate (μ_{max}) was independent of cell history and was uniquely determined by its 135 environment (a given assumption), for any set of conditions, a plot of the logarithm of inoculum size 136 versus detection time should yield a straight line whose slope was proportional to the specific growth 137 rate, provided lag time was constant and unaffected by inoculum size (a similar point had been made 138 previously by Cuppers and Smelt 1993). The deviation from linearity observed by Robinson et 139 al.(2001) implied that population lag was not independent of inoculum size and therefore hypothesised 140 a cooperative population effect, "the ability to initiate growth under severe salt stress depends on the 141 presence of a resistant sub-fraction of the population, but that high cell densities appear to assist the 142 adaptation of those cells to the unfavourable growth conditions". As conditions became more stressful 143 the scatter observed increased to about 10 doubling times implying that the variability in detection 144 time was attributed to greatly extended lags. The hypotheses made by the authors seemed to be 145 suggesting both the phenomena attributed to high inoculum density studies and to the low density studies were in operation for the inoculum sizes often used in PM - namely $10^3 - 10^5$ cfu ml⁻¹. 146

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148 Recently, we have reported on the predictive modelling of some pathogenic bacteria using the 149 Gamma concept (Zwietering, Wijtzes, De Wit and Riet, 1992) as an axiomatic base. This concept 150 hypothesises that combined environmental factors (temperature, pH, a_w , etc) independently affect the 151 growth of microorganisms. The growth of A. hydrophila in combinations of temperature, pH, salt, 152 weak acids and $NaNO_2$ has been reported using the rapid technique of time to detection (TTD), with 153 the same approach used in studies of Enterobacter sakazakii and Salmonella Poona (Lambert and 154 Bidlas 2007a; 2007b; 2007c; 2007d). The method we have developed along with the predictive models 155 used to analyse the data were considered ideal to investigate the effect that the initial inoculum size 156 had on parameters such as the minimum pH for growth and the MIC of some common preservatives. 157

Herein we describe these investigations and give a possible explanation of the changes that
occur in MIC as inoculum size changes and the impact this has on defining a growth/no growth
boundary.

161 **2. Materials and methods**

162 2.1. Culture Preparation

163	Aeromonas hydrophila (ATCC 7966), Salmonella enterica ssp. enterica serovar Poona (NCTC 4840),
164	Listeria innocua (ATCC 33090), Escherichia coli (ATCC 25922) and Enterobacter sakazakii (factory
165	isolate, FSM263) were grown overnight in flasks containing 80 ml Tryptone Soya Broth, TSB (Oxoid
166	CM 129) shaking at 30°C. The cells were harvested, centrifuged to a pellet (512g, 10 mins, 15°C),
167	washed and re-suspended in peptone solution (0.1%) . The optical density (OD) of the inoculum was
168	standardised to OD= 0.5 at 600nm (approximately 7×10^8 cfu ml ⁻¹ , <u>Table 1</u>). This standardized culture
169	was either subject to decimal dilutions (in TSB) or further diluted in TSB to achieve an initial
170	inoculum (Io) of approximately 1×10^5 cfu ml ⁻¹ in the microtitre plate (see <u>Table 1</u>).
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172	2.2 Analysis
173	All analyses were performed in a Bioscreen Microbiological Analyser (Labsystems Helsinki, Finland).
174	In general the methods of Lambert & Pearson (2000) was used, whereas for combined inhibitors, a
175	chequerboard (grid) arrangement using the method of Lambert and Lambert (2003) was used.
176	
177	2.2.1. Inoculum size dependency of the time to detection
178	An initial culture with OD=0.5 was consecutively decimally diluted 9 times in TSB. These cultures
179	(250µl) were placed in the columns of a 10x10 Bioscreen microtitre plate, giving 10 replicates per
180	inoculum size. The plates were incubated at 30° C for two days. The optical density (OD) of the wells
181	was recorded at 600nm every 10 minutes.
182	
183	2.2.2. pH and inoculum size dependency
184	2.2.2.1 Listeria innocua and Salmonella Poona

- 185 For *L. innocua* four plates of TSB (200 μ l) at pH 7, 5.5, 5.2 and 4.8 (adjusted with HCl) were
- prepared. To each well of the first column of the microtitre plate was placed 200 µl of pH adjusted

diluted standard inoculum (giving 7.31 log cfu ml⁻¹ in the first column), this was then half-fold diluted across the plate, giving an initial inoculum range of 7.31 to 4.60 log cfu ml⁻¹; this gave 10 replicates per inoculum size per pH. For *S*. Poona two identical grids of pH (4.1, 4.2, 4.5, 4.7, 5.2, 5.5, 5.8, 6.2, 6.5, 7.1, adjusted with HCl and NaOH as appropriate) and diluted standard inoculum (pH adjusted to column pH, giving ten initial inocula with a range of 4.8 to 2.1 log cfu ml⁻¹) were prepared. Both sets of experiments were incubated at 30°C for 3 days, with the OD recorded every 10 minutes at 600nm.

194 2.2.2.2. A. hydrophila, Ent. sakazakii, E.coli, S. poona & L. innocua

195 The first row of the microtitre plates with grids of pH and Na acetate (see section 2.2.3 below) were
196 devoid of Na acetate (pH controls) and were used to examine the effect of pH (range from pH 4 to 7)

- 197 and inoculum size on the TTD.
- 198

199 2.2.3 Weak acid analysis

200 In general up to 8 identical microtitre plates were prepared as follows; sodium acetate (1 g) was 201 dissolved in TSB and the volume made up to 100ml. The solution was split into 10 equal portions and 202 the pH adjusted to give a pH range from 7 to 4 (typical target pH were 3.5, 4.0, 4.2, 4.5, 4.8, 5.2, 5.5, 203 5.8, 6.2, 6.5). A Bioscreen (Labsystems Helsinki, Finland) 10x10 micro-array plate was prepared in 204 which each of the columns (except the wells of the first row) had 200 µl of TSB added at a pH equivalent to one of the bottles. To the first row was added the appropriate solution of sodium acetate, 205 400 μ l (1%, pH = column pH), and half-fold diluted down the plate, discarding the final 200 μ l of 206 207 solution.

208

209 To every well of each identical microtitre plate was added a known dilution of the standard culture

210 (pH adjusted to column pH, 50µl). The plate was then incubated for 3 days at 30°C. The Bioscreen was

set to take an optical density (OD) reading at 600nm every 10 minutes.

212

213 2.2.4. *Time to Detection*

214 The criterion used for the time to detection (TTD) was the time taken for the OD at 600nm to reach a 215 defined value (in this work OD = 0.2 was used). In the presence of inhibitors it was generally assumed 216 that the time taken to reach a particular OD was equivalent to microbial numbers reaching a specific 217 value. Under certain conditions (normally close to a G/NG boundary and often with reduced 218 temperatures) and with specific microorganisms, changes in morphology occur (e.g. with Listeria 219 monocytogenes see Bereksi, Gavini, Bénézech and Faille 2002). No gross morphology changes were 220 observed microscopically under the most inimical conditions used in this work. 221 222 To obtain a precise time for OD = 0.2, linear interpolation of the OD/time values which straddled the target OD was used. This was achieved using an Excel macro which scanned the OD/time data for the 223 times at which the OD crossed the defined TTD criterion. 224 225 226 2.3. Model Fitting 227 2.3.1 Inoculum size dependency of the time to detection 228 The inoculum size dependency was modelled using a simple linear model (Eq.(1), Cuppers and Smelt 229 1993). $TTD = C - m \log_{10} I$ (1)230

Where C = time taken for 1 cell to multiply to the detection value of 0.2 OD and *m* is the time taken for a 10 fold increase (1 log₁₀) in microbial numbers for a given, constant temperature (30°C in these experiments), *I* is the inoculum size (cfu ml⁻¹). No variance stabilising transform was used in the fitting of this equation to the inoculum size–only data. The increased 'scatter' at the lowest inoculum size used was ignored in the fitting of the regression line (Figure 2). For a given inoculum size grown under ideal conditions at a specified temperature the TTD recorded is the shortest possible (in the given media) and can be considered as the reference time to detection, TTD_{ref}.

239 2.3.2. Gamma composite model

240 The general form of the model used in these studies has been described previously (Lambert and

241 Bidlas 2007 a-d). In the studies discussed herein, the reciprocal transformation of the time to detection

data (TTD) consistently gave superior fits to the observed data than the logarithmic transformation,
hence equation (2) was used.

244
$$RTD_{obs} = RTD_{ref} \exp\left\{-1\left[\sum_{i=1}^{n} \left(\frac{inhibitor_{i}}{P_{2i-1}}\right)^{P_{2i}}\right]\right\}$$
(2)

245 Where RTD_{obs} and RTD_{ref} are the observed reciprocal time to detection (or rate to detection) and the 246 reference rate to detection (normally the reciprocal of the shortest time to detection, 1/TTD_{ref}), The summation term gives the function for the inhibitory effect of n inhibitors, each of which is defined by 247 two parameters, P_{2i-1} and P_{2i} : the parameter P_{2i-1} is the concentration (normally mg l⁻¹ is used, but 248 249 percent has also been used) of the inhibitor which gives an inhibition of growth relative to the optimal 250 RTD of 1/e (approx. 0.368), the exponents (P_{2i}) are slope parameters and can be considered a measure 251 of the dose response. We also define here the summation term of Eq. (2) as the effective concentration 252 (EffC).

253

The inoculum size dependency of Eq.(2) was modelled by replacing the RTD_{ref} by the inoculum size dependent function, Eq. (1), i.e. $RTD_{ref} = 1/(C - m \log_{10} I)$; in the text this model is referred to as the 'composite model'.

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The concentration of the weak acid and anion produced from the total added salt of the weak acid, at a
specific pH, was calculated using the Henderson-Hasselbalch equation (3).

260

261
$$[HA] = \left(\frac{[Total \ salt]}{1 + 10^{pH - pKa}}\right)$$
(3)

Where [HA] is the concentration in solution at a given pH for a given total concentration of salt with a defined equilibrium constant given by the pKa. MIC were calculated from the intercept of the maximum slope of plot of RTD against log concentration (Lambert and Pearson 2000). This is given by

266
$$MIC_{calc} = P_{2i-1} \exp\left(\frac{1}{P_{2i}}\right)$$
(4)

267 The minimum pH was calculated using hydrogen ion concentration and then transformed back to pH.

- 269 Analyses were done using the JMP Statistical Software (SAS Institute Cary NC USA), using non-
- 270 linear regression with the minimised sum of squares as the search criterion.

272 3. RESULTS

273 3.1. Time to detection under optimal conditions

274 Under optimum environmental conditions the time to detection of a given culture will depend only on the size of the initial inoculum itself, assuming that optimal conditions are inoculum size independent. 275 Using decimal dilutions of approximately 7×10^8 cfu ml⁻¹ cultures, OD/incubation time profiles (30°C, 276 pH 6.5) were obtained for A. hydrophila, Ent. sakazakii, E. coli, S. Poona and L. innocua. Figure 1 277 278 shows the results for A. hydrophila. From the initial standard inoculum (OD = 0.5) it can be seen that 279 successive decimal dilutions display the same OD/incubation time curve except that it is displaced further down the time axis with increasing decimal dilutions. With the 8th decimal dilution, some wells 280 281 (2/10) failed to grow within the 2-day incubation time; hence the maximum average OD is approximately 20% lower than the higher inoculants. With the 9^{th} decimal dilution no wells (0/10) 282 283 showed growth within the 2-day incubation period. 284 285 From each of the OD/time profiles, the time to reach an OD = 0.2 was obtained (ten replicates per initial inoculum size). A plot of the time to 0.2 OD against the log of the inoculum size gives the well-286 287 known linear relationship (Eq.(1), Cuppers and Smelt 1993); Figure 2 gives an example of such a plot 288 for *Ent. sakazakii*. As the initial inoculum size is decreased the variance in the replicate data increased. This was observed in every case studied. Table 1 gives the parameters obtained for all the organisms 289

discussed. The inoculum size at OD = 0.2 at 600nm can be obtained from the parameters of Table 1 by

- solving the equation for TTD = 0. The maximum specific growth rate can also be obtained from the
- 292 gradient values given in Table 1, through calculation of the doubling time.

293

294 *3.2. Effect of pH*

295 3.2.1. *Listeria innocua*. The effect of pH (4.8, 5.2, 5.5 and 7) on the time to detection of a range of 296 initial inoculum sizes ($\log_{10} I = 7.3$ to 4.6) gave the observations shown in Figure 3. The parameters of 297 the best fit regression line at pH 7 (C = 1163.4 SE = 2.55 and m = 143.5 SE = 0.424) are similar to 298 those given in <u>Table 1</u> for this organism although at a different pH (t-test: P = 0.105 and 0.014 for m 299 and C respectively). From the figure as the growth pH is decreased to 4.8 the variance of the replicates 300 increases especially with the smallest inocula used (at pH 7 the average standard deviation (SDev_{av}) of 301 all the replicates at this pH was found to be SDev_{av} = 3.29 + -1.14; pH 5.5, SDev_{av} = 3.26 + -0.6; pH 5.2, $SDev_{av} = 3.59 + -0.69$; pH 4.8, $SDev_{av} = 12.4 + -5.99$). Fitting the composite model (Eq. 2 with 302 303 RTD_{ref} given by Eq.1) to the 400 data points gave the parameters described in Table 2, Figure 3 304 compares the observations with the modelled data. The minimum pH was calculated (Eq. 4) as 4.42 (+/-0.013).Extrapolation to TTD = 0 of the best fit regression lines gives an intercept on the $\log_{10} I$ 305 axis = 8.18 +/- 0.06 (log₁₀ cfu ml⁻¹); from the modelled parameters TTD = 0 occurred at log₁₀ I = 8.09 306 $(\log_{10} \text{ cfu ml}^{-1}).$ 307

308

309 3.2.2. Salmonella Poona. The TTD from the incubation at 30°C of two identical (10x10) grids of pH 310 and initial inoculum size were obtained and Figure 4 gives a plot of the average RTD with respect to the log₁₀ inoculum size. Out of 200 wells 190 showed growth (the ten wells that failed to show growth 311 312 during the 3 day incubation period were all at pH = 4.08, with $\log_{10} I < 3.8$); the figure suggests that 313 some of these wells may have shown visible growth if incubated longer. The combined data (190 314 values) were modelled using the composite model. Table 2 gives the regression parameters obtained 315 and Figure 5 shows a plot of the modelled RTD with respect to log_{10} inoculum size. The minimum pH 316 was calculated (Eq. 4) as 3.89 (+/-0.03). As the optimum pH is approached, the function describing the 317 effect of the pH tends to a value of 1, hence the curve in Figure 5 (pH 7.1) is given by the inoculum 318 size dependency only, i.e. $RTD = 1/(C-m \log_{10} I)$. This can be considered as the optimal-curve for the 319 given media and incubation temperature. The model suggests that as the pH is decreased this 'optimal 320 curve' is multiplied by a constant (for a given pH) which is < 1.

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322 3.2.3. *Aeromonas hydrophila*. The TTD of an inoculum dilution sequence of *A. hydrophila* ($\log_{10}5$ 323 CFU ml⁻¹ to $\log_{10}1$ CFU ml⁻¹) was studied over a range of pH (3.78-6.49). No visible growth was 324 recorded in any well with pH< 4.56 during the five-day incubation period. A plot of $\log_{10} I$ against 325 TTD for the various pH used showed that a linear relationship between $\log_{10} I$ and TTD exists for a 326 given pH. No substantial deviation from linearity was observed (best fit regression lines: pH 6.49,

327 TTD = $673 - 80.5 \log_{10} I$, $r^2 = 0.999$; pH 6.22, TTD = $747.1 - 95.2 \log_{10} I$, $r^2 = 0.978$; pH 5.82, TTD =

328 $771 - 92.8 \log_{10} I$, $r^2 = 0.992$; pH 5.51, TTD = 884.7 - 105.9 $\log_{10} I$, $r^2 = 0.988$; pH 5.20, TTD = 1273-

329 151.7 $\log_{10} I$, $r^2 = 0.999$; pH 4.84, TTD = 2359 - 269.2 $\log_{10} I$, $r^2 = 0.996$).

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- The data were modelled using the composite model; <u>Table 2</u> gives the parameters found from the fitting of the model. The minimum pH was calculated (Eq. 4) as 4.52 (+/-0.05). The values for the parameters describing the inoculum size effect were similar to those in <u>Table 1</u> (t-test: P = 0.55 and 0.043 for m and C respectively). From the regression lines the intercept on the log₁₀ *I* axis occurred at 8.34+/-0.29.
- 336

337 3.2.4. E. coli (ATCC 25922). A smaller range of initial inoculum (7 initial inocula; $\log_{10} I$: 5.5 – 3.4) 338 was used with ten initial pH (range 6.51 to 3.50). No growth was observed in any well with pH < 4.50. Plots of the TTD against the $\log_{10} I$ gave linear relationships for a given pH (best fit linear regression 339 340 lines for the observables: pH 6.51, TTD = $824.6 - 96.9 \log_{10} I$, $r^2 = 0.990$; pH 6.2, TTD = 858.9 - 1000 I $100.7 \log_{10} I$, $r^2 = 0.993$; pH 5.83, TTD = 933.9 - 108.3 $\log_{10} I$, $r^2 = 0.987$; pH 5.54, TTD = 1128.4 -341 137.0 $\log_{10} I$, $r^2 = 0.996$; pH 5.22, TTD = 1419.2 - 173.8 $\log_{10} I$, $r^2 = 0.999$; pH 4.83, TTD = 2305.6 -342 289.6 $\log_{10} I$, $r^2 = 0.992$; pH 4.50, TTD = 3977.4 – 511.3 $\log_{10} I$, $r^2 = 0.987$). The data were modelled 343 344 using the composite model and the regression parameters are given in Table 2. According to the 345 composite model the TTD at a given pH is simply given by the multiplication of Eq.1. by a constant 346 factor (calculated using Eq.2). The magnitude of this factor is dependent on the harshness of the 347 environmental conditions. Table 3 shows a comparison of the ratios between the observed and modelled TTD at different pH values for different initial inocula relative to pH 6.505 with $I=10^{5.4}$. For 348 349 example a shift from pH 6.50 to pH 4.83 will result in an increase in the TTD recorded at pH 6.50 by a 350 factor of 2.5. The observed ratios and the modelled ratios are in general agreement. The minimum pH 351 was calculated (Eq. 4) as 4.11 (+/-0.05).

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353 3.2.5. Ent. sakazakii (FSM 263).

Plots of log inoculum, using a half-folding dilution from an initial inoculum of 10^{5.4} (7 initial inocula, 354 355 range 5.4 to 3.6) against the observed TTD gave linear relationships for a given pH. During the 3-day 356 incubation growth was observed only in wells with pH 4.24 or greater (best fit linear regression lines: pH 6.54, TTD = $742.3 - 91.6 \log_{10} I$, $r^2 = 0.995$; pH 6.18, TTD = $734.9 - 90.1 \log_{10} I$, $r^2 = 0.996$; pH 357 5.79, TTD = $768.4 - 93.7 \log_{10} I$, $r^2 = 0.996$; pH 5.51, TTD = $854.9 - 102.4 \log_{10} I$, $r^2 = 0.994$; pH 358 5.19, TTD = 1084.1 - 131.1 log₁₀ I, 0.998; pH 4.79, TTD = 1663.3 - 200.7 log₁₀ I, r² = 0.997; pH 4.55, 359 TTD = $2185.7 - 266.5 \log_{10} I$, $r^2 = 0.992$; pH 4.24, TTD = $7870.3 - 1074.1 \log_{10} I$, $r^2 = 0.976$). The data 360 were modelled using the composite model and the regression parameters are given in Table 2. The 361 minimum pH was calculated (Eq. 4) as 4.10 (+/-0.06). 362

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365 3.3. Effect of the initial inoculum size on the inhibition by Na acetate and pH

366 3.3.1. *E.coli*: TTD data from 6 identical 10 x10 grids of Na acetate and pH, each inoculated with a 367 known amount of *E.coli*, were obtained. Figure 6 shows the observed data at pH 6.50 for the six initial 368 inocula over the range of acetic acid applied. The pH controls (no Na acetate added) have observed 369 $TTD_{ref} = 294.6, 331.0, 362.5, 401.5, 443.3$ and 474.2 mins for the half-folded dilution from an initial 370 inoculum of $10^{5.52}$. As the concentration of acetic acid increases the TTD increases; from the simple 371 linear regressions – as the initial inoculum decreases the gradient of the regression lines increases. 372

373 The data were modelled using the composite model with both Na acetate, (as acetic acid - calculated from Eq.3) and pH as the inhibitory effects. The non-linear regression parameters obtained are given 374 375 in Table 4. Figure 7 shows plots of the observed and modelled data at pH 6.5 and 5.83; they show that 376 there is a smooth reduction in the RTD as the acetic acid concentration increases or as the initial 377 inoculum size decreases. Indeed the model states that the curve observed is obtained from the 378 multiplication of the simple inoculum function (C- $m \log_{10} I$) with a constant dependent only on the pH 379 and the acetic acid concentration. Figure 8 shows a plot of the calculated vs. the observed RTD and 380 also a plot of the calculated RTD vs. the error (calculated RTD-observed RTD) for E. coli. There is an excellent agreement between the modelled and observed data and the stochastic assumption (that the
 reciprocal transformation stabilises the variance) appears valid.

383

3.3.2. *L. innocua.* TTD data from 8 identical 10 x10 grids of Na acetate and pH each inoculated with a known amount of *L.innocua* (7 half-fold dilutions from an initial $1x10^5$ cfu ml⁻¹ culture) were obtained; 410/800 wells showed growth within the 3-day incubation period. The growth data were modelled using the composite equation and the regression parameters found are given in <u>Table 4</u>. From plots of the calculated RTD against the observed RTD along with the error plot (calc. RTD – obs. RTD) the best fit regression lines were obtained: RTD_{obs} = 1.002 RTD_{calc} - $3x10^{-6}$, r²=0.991; error = -0.002 RTD_{calc} + $3x10^{-6}$, r² = 0.0004 (data not shown).

391

392 Equation 2 was applied to each individual data set (with constant initial inoculum) and the parameters 393 P_i for i = 1 to 4 obtained (Table 5). T-tests were performed on all combinations and in no case were there statistically significant differences (P<0.05) between any of the parameters for P_i with i = 1 to 4; 394 395 for example between the highest and lowest inocula the t-test gave P =0.565, 0.234, 0.632 and 0.429 for P₁ to P₄ respectively. The minimum pH for growth (4.53 +/-0.015) and MIC of acetic acid (968 +/-396 48 mg l⁻¹) were obtained using Eq.3. From Table 5 the calculated pH_{min} for L. innocua is not 397 398 statistically significantly different over the range of inocula investigated. Interestingly, the MIC of 399 acetic acid shows a slight rise with *decreasing* inoculum size, however, the confidence intervals also 400 increase with decreasing inoculum size and this is not statistically significant.

401

402 3.3.3. *Salmonella* Poona; TTD data from 5 identical 10 x10 grids of Na acetate and pH each inoculated 403 with a known amount of *S.Poona* (4 half-fold dilutions from an initial $2x10^5$ cfu ml⁻¹ culture) were 404 obtained; 309/500 wells showed growth within the 3-day incubation period. The growth data were 405 modelled using the composite equation and the regression parameters found are given in <u>Table 4</u>. The 406 minimum pH for growth (3.80 +/-0.04) and MIC of acetic acid (917 +/-62 mg l⁻¹) were obtained using 407 Eq.4. From plots of the calculated RTD against the observed RTD along with the error plot (calc. 408 RTD – obs. RTD) the best fit regression lines were obtained: $RTD_{obs} = 1.0023 RTD_{calc} - 5x10^{-6}$, 409 $r^2=0.993$; error = -0.0023 RTD_{calc} + 5x10^{-6}, $r^2 = 0.0008$ (data not shown).

410

411 3.3.3. Aeromonas hydrophila; TTD data from 5 identical 10 x10 grids of Na acetate and pH each inoculated with a known amount of A. hydrophila (4 decimal dilutions from an initial 1×10^5 cfu ml⁻¹ 412 413 culture) were obtained; 250/500 wells showed growth within the 3-day incubation period. The 414 growth data were modelled using the composite equation and the regression parameters found are 415 given in Table 4. The minimum pH for growth (4.54 + -0.03) and MIC of acetic acid $(343 + -20 \text{ mg })^{-1}$ ¹) were obtained using Eq.4. From plots of the calculated RTD against the observed RTD along with 416 the error plot (calc. RTD – obs. RTD) the best fit regression lines were obtained as $RTD_{obs} = 0.996$ 417 $RTD_{calc} + 9x10^{-6}$, $r^2 = 0.996$; error = 0.0044 $RTD_{calc} - 9x10^{-6}$, $r^2 = 0.0046$ (data not shown). 418 419

420 3.3.4. Ent. skazakii; TTD data from 5 identical 10 x10 grids of Na acetate and pH each inoculated with a known amount of *Ent. sakazakii* (4 decimal dilutions from an initial 1x10⁶ cfu ml⁻¹ culture) were 421 obtained; 338/500 wells showed growth within a 5-day incubation period. The growth data were 422 423 modelled using the composite equation and the regression parameters found are given in Table 4. The minimum pH for growth (4.09 +/-0.06) and MIC of acetic acid (529 +/-65 mg l^{-1}) were obtained using 424 Eq.4. A plot of the calculated RTD against the observed RTD along with the error plot (calc. RTD -425 obs. RTD) gave the best fit regression lines as $RTD_{obs} = 0.982 RTD_{calc} + 5x10^{-5}$, $r^2 = 0.985$; error = -426 $0.018 \text{ RTD}_{\text{calc}} - 5 \times 10^{-5}, r^2 = 0.0215.$ 427

428

429 3.4. Effective Concentration

One difficulty with multifactor data is graphically displaying the observed and modelled data. From Eq.2. the effect of pH and that of acetic acid on the growth of an organism can be separated, i.e. they are independent. This allows us to define the effective concentration (EffC) of the applied inhibitors as the summation term given in Eq.2. If a plot of the effective concentration calculated from the parameters given in Table 4, against the RTD for example for *A. hydrophila*, is made (Figure 9) then at low EffC the RTD_{ref} is obtained. As the EffC increases the RTD for a given inoculum size

- 436 decreases, but the ratio of RTD between different inoculum sizes, as predicted by the model, is
- 437 maintained. For the decimal dilution used the ratios of RTD between the highest an lowest inocula for
- 438 all EffC is 1: 0.767: 0.622:0.524: 0.452 (where the ratio is the TTD observed / TTD of the highest
- 439 inoculum for a given EffC); at EffC = 0.0357 (pH =6.49, no added Na acetate) the observed ratios are
- 440 1: 0.770: 0.628: 0.538: 0.454 for 10^5 : 10^5 : 10^4 : 10^3 : 10^2 : 10^1 respectively; at EffC = 1.301, (e.g. pH
- 441 5.2 & 240 mg 1^{-1} Na acetate) the observed ratios are 1: 0.757: 0.594: 0.539: 0.447. From Figure 9 this
- 442 can be seen as a simple scaling of the curve obtained for $I = 10^5$ using these ratios as scaling factors.

443 **4. Discussion**

444 *4.1. The standard inoculum size-incubation time curve*

445 Under optimal conditions, or specified conditions (e.g. temperature and media,) the time to detection 446 of decimal or other serial dilutions from a standard inoculum will give a linear relationship between 447 the log of the initial inoculum size and the TTD. At very low cell densities (<10 per well), the 448 probability of obtaining a well with no resident cell increases and the variance in the data increases 449 with decreasing cell density. At very high cell densities, other factors such as quorum sensing may be 450 in operation, but at the cell densities used in these studies no high inoculum deviation from the straight 451 line regression was observed. For each bacterial species studied the simple linear model, Eq.1. was 452 used to obtain the two growth parameters, m and C. Although the variance increased at the low cell 453 densities no variance stabilisation was used on the linear regression as this had little impact on the 454 results obtained. Furthermore at the low cell densities, although it might be expected to see a skewed 455 distribution of TTD, in no case was this observed, although only ten replicates were done per 456 inoculum size.

457

The use of OD = 0.2 at 600nm as the criterion for the time to detection was chosen for ease of data analysis. From figure 1, it can be seen that any specific OD can be chosen and indeed if, for example, with *Ent. Sakazakii* the TTD criterion is changed to OD = 0.55 at 600nm, then from the observed data TTD = 790.8 (+/-6.95) - 82.3 (+/-1.5) $\log_{10} I$. The gradients obtained at OD = 0.2 and OD = 0.55 are statistically equivalent (t-test; P = 0.38) whereas the intercept has increased to accommodate the new criterion. A lower OD value or a shorter wavelength could also be used -chosen for the convenience of the media and/or the added inhibitors being studied.

465

466 4.2. The composite Gamma function: inoculum size and inhibitors

467 Previous work (Lambert and Bidlas 2007a) had shown that a general Gamma model of which Eq.2, is 468 the form using the reciprocal transformation to stabilise data variance, was able to model the affect of 469 several combined inhibitors (pH, salt, weak acids). Those studies used a standard inoculum of 10^5 cfu 470 ml⁻¹ and under optimal conditions (for a given temperature with no added inhibitors at an optimal pH) 471 the reference TTD was a characteristic of the organism used. It was further shown (Lambert and 472 Bidlas 2007c) that at different temperatures (range $25 - 41^{\circ}$ C) for *Ent. sakazakii*, although the TTD_{ref} 473 followed the expectations of the Cardinal Temperature Model (Rosso, Lobry and Flandrois 1993) the 474 inhibitor parameters were constant.

475

Since it was already known that TTD_{ref} was dependent on the initial inoculum size the simplest alteration to the original Gamma model was to replace TTD_{ref} with Eq.1. This, at face value, appeared to go against the work described in the introduction on the G/NG studies. Since the G/NG boundary changed with inoculum size and since it has been shown that MIC was dependent on inoculum size, the new model would be unable to reproduce these effects. Specifically, the parameters P_{2i-1} of Eq.2. should be dependent on inoculum size since these parameters are akin to the MIC, indeed Eq.4 defines MIC on the basis of the parameters P_{2i-1} and P_{2i} (the slope parameter).

483

The composite model, however, makes the prediction that for a given set of environmental
factors the inoculum size dependency of the TTD (Eq.1) will be simply multiplied by a factor given by
Eq.2. This implies that the linear relationship between TTD and log₁₀ inoculum size will be preserved.
Furthermore, the inhibitory parameters P_{2i-1} and P_{2i} would be independent of inoculum size.

488

489 The analysis of the TTD data obtained using the composite Gamma model for combinations of 490 inoculum size and pH and for inoculum size, pH and Na acetate (the reciprocal of Eq.1 replacing 491 RTD_{ref} of Eq.2) has shown that the parameters P_{2i-1} and P_{2i} of Eq.2. are conserved and that the 492 inoculum size parameters (m and C) are also conserved. Figures 3, 4 and 6 directly show that as the 493 pH is lowered the gradient of the log inoculum against TTD increases, but that the linear nature of the 494 relationship between TTD and $\log_{10} I$ is preserved. The variance (Fig.3) also increases with decreasing 495 pH over the range of inoculum sizes used and does show an elevated variance at the lowest inoculum 496 levels used.

498 Figure 6 shows that at a given pH, there appears to be a simple relationship between inoculum size and

499 acetic acid concentration. With decreasing inoculum size, the intercept and the gradient of the

- 500 TTD/acetic acid concentration plots increase. For a given pH, and with an inoculum size dependency
- 501 of the TTD_{ref} given by Eq.1, Eq.2. can be written as

502

$$TTD = (C - m \log_{10} I) \exp\left\{K + \left(\frac{AcH}{P_3}\right)^{P_4}\right\}$$
$$= K'(C - m \log_{10} I) \left(1 + \left(\frac{AcH}{P_3}\right)^{P_4} + \dots\right)$$

503 where AcH is the acetic acid concentration (mg l⁻¹) and $K = (10^{-pH}/P_1)^{P2}$.

504

505 From this expansion we can see that the intercept of Fig.6 (when AcH = 0) is given by K'(C-mlog_{10}I); 506 K' is simply a constant due to the inhibition caused by pH alone (pH = 6.505), which increases the 507 TTD_{ref} by a factor of 1.10. If the values of C and m in Table 4 are used, then for a given $log_{10} I$, 508 multiplication by K' gives the approximate value for the intercept of the best fit regression lines given 509 in Figure 6. Hence the prediction of the model that for a given set of environmental factors, the 510 inoculum size dependency of the TTD (Eq.1) is simply multiplied by a factor given by Eq.2. Over the acetic acid concentration range $0.5 - 140 \text{ mg l}^{-1}$ the model gives an approximate linear relationship 511 512 between TTD and AcH, with an increasing gradient with decreasing inoculum size.

513

Figure 4 and 5 show that the observed and modelled RTD data are in excellent agreement over the pH range studied. The former figure suggests a pH optimum between 6.52 and 7.09 for *S*.Poona, which the model in its current guise does not allow for (the model is based on hydrogen ion concentration rather than pH and does not have a pH optimum built in).

518

519 4.3 Inoculum size dependency on the value of MIC

520 The composite model for inoculum size and the effect of environmental factors described above leads521 to the conclusion that the inhibitory parameters obtained from the non-linear regression analysis are

independent of the initial inoculum size. The demonstration that this conclusion is not contrary to the
observations made by for example by Masana and Baranyi (2000), Koutsoumanis and Sofos (2005)
and also by Robinson et al (2001) has to be made. The one thing that these fore mentioned studies
have in common was that they were conducted over a specified timeframe.

526

527 The calculated MIC is defined by Eq.(4) and is independent of time, being a concentration 528 value calculated for a specific level of inhibition. The minimum inhibitory concentration, MIC, as 529 defined in the general microbiological literature, however, is the concentration required to inhibit 530 growth at a specified time, e.g. 18 hours (e.g. Andrews 2000). The composite Gamma model can be 531 rearranged to give an expression relating the concentration of acetic acid required to achieve a given 532 level of inhibition in a specified time for a given pH and initial inoculum size, Eq.5;

533

$$TTD = (C - m \log_{10} I) \exp\left(\frac{H^{+}}{P_{1}}\right)^{P_{2}} \left(\frac{AcH}{P_{3}}\right)^{P_{4}}$$

534

 $let TTD = a \text{ specified time, } e.g. = 24hrs, TTD_{MIC}$ (5)

$$[AcH] = P_3 \left(\ln \left(\frac{TTD_{MIC}}{C - m \log_{10} I} \right) - \left(\frac{[H^+]}{P_1} \right)^{P_2} \right)^{(1/P_4)}$$

535 This form of the equation will, for a given pH and initial inoculum size, give the concentration of 536 acetic acid [Ac] needed to obtain $TTD = TTD_{MIC}$. Above this concentration no growth (to the OD 537 standard used, e.g. visual growth) will be observed in the time given.

538

Figure 10 shows a plot of the initial inoculum size against the $TTD_{MIC = 24hr}$ of Na acetate at three pH conditions using the data for *L. innocua* (Table 4). At an initial inoculum of 1×10^3 cfu ml⁻¹ at pH = 4.9 no growth is calculated within 24hrs (hence no MIC is recorded). At 1×10^4 and at 1×10^5 cfu ml⁻¹ 156 and 400 mg l⁻¹ of Na acetate are required to achieve the 24 hr MIC respectively. A ten-fold increase in the initial inoculum increases the 24hr MIC by a factor of 2.56 in this case. At pH 5.4, growth is observed within 24hrs at all initial inocula, the pH is by itself not inhibitory enough to prevent growth within 24 hrs. With $\log_{10} I = 2$, 3 and 4, the total concentration of Na acetate required to inhibit growth at 24 hrs was calculated as 677, 1023 and 1446 mg l⁻¹ respectively. This shows is that the MIC for a fixed time is dependent on the inoculum size used.

548

549 Figure 11 shows a similar use of Eq.5, but in this case a fixed pH (pH = 5.1) has been used and three 550 different MIC used: MIC at 24, 48 and 72 hours. The calculation shows that as the time is extended 551 the amount of Na acetate required to inhibit the system at specified times increases and the amount is dependent on the size of the inoculum. For example if $\log_{10} I = 1$, then at 24hrs, there is no growth 552 recorded (the pH is inhibitory enough to slow the growth) whereas at 48 and 72 hrs, 603 and 1051 mg 553 1^{-1} are required to prevent growth at those times. If $\log_{10} I = 3$, then 360 mg 1^{-1} of Na acetate is required 554 555 at 24hrs, and 1130 and 1578 at 48 and 72 hrs respectively. This shows that the MIC is dependent on 556 both the inoculum size used and the incubation time given.

557

558 4.4. Possible explanation of literature studies.

559 *Masana and Baranyi (2000)* described the changes in the growth /no growth boundary of *Brochothrix* 560 *thermosphacta* as a function of pH (4.20 - 5.8) and salt concentration (0 - 10%) at 25°C (the optimum 561 growth temperature for the organism). They demonstrated that the boundary changed with inoculum 562 size and stated (a point which has direct relevance to Robinson et al. 2001) "It was apparent that, in 563 most cases, once a replicate from a combination presented growth eventually all the others also grew. 564 The changes of probability over time also showed that under more extreme conditions the time to 565 growth, as a kinetic parameter, exhibited increasing variability."

566

The model described here gives a very simple explanation for the changes in the boundary – for a fixed time experiment the boundary line for a lower inoculum will always be inside that of the higher inoculum. Modelling from the published data was problematic since the data were discrete (the growth was recorded in whole number of days, and therefore there was no differentiation between conditions recorded as Growth =1 day). Using the composite model (Eq.1. & Eq.2), an initial set of parameters 572 were used to generate continuous data based on the pH and salt grid given by Masana and Baranyi. 573 The modelled data were then transformed to TTD and rounded-up to the nearest whole number of 574 days. An upper limit of TTD of 60 days was used, such that if the calculated TTD \geq 60 days, the TTD was set to 60 days; no growth data from the published work was also treated as TTD = 60 as this was 575 576 approximately the experimental duration. From this the deviances between the model and the 577 published work were minimised; the following parameters were obtained, C = 708, m = 109, the pH parameters P_1 and P_2 were 5.75x10⁻⁶, and 1.17 respectively and the salt parameters, P_3 and P_4 , were 578 579 4.15 and 2.3 respectively. Figure 12 shows the change in the TTD with respect to pH and salt when the 580 inoculum size was reduced. Qualitatively, the change in the boundary with reducing inoculum size 581 described by the model is very similar to that described by Masana and Baranyi; the large difference between the G/NG border at $10^{6.18}$ with those of $10^{3.18}$ and $10^{1.18}$ reflects the observed data, as well as 582 583 the similarity between the G/NG of the latter two lower inocula. Quantitatively there are some 584 differences, especially close to the G/NG border of 60 days, but this is to be expected given the nature of the data, the fitting and the declaration concerning the variability. At $I = 10^{6.18}$, with salt 585 concentrations at 10% both the observed and model agree - no growth in 60 days, similarly at all pH =586 587 4.4. The model suggests that at pH 4.6 and with salt levels less than 3.5%, growth will be observed 588 within 15 days, this was observed except at the lowest salt level (observation of no growth). At pH 5 with salt = 8%, the observed TTD = 5 days, the model suggests growth within 15 days; at 9% salt 589 there was no observed growth at pH 5, in agreement with the model. With $I = 10^{3.18}$, the observed and 590 591 modelled pH boundary = 4.6, with the salt boundary decreasing to 8% (observed) or 8.5% (modelled). 592 At pH 5 and 6% salt, $TTD_{obs} = 7$ days, modelled <15days; increasing the salt by 1% results in NG (observed), whereas the model suggests a TTD between 45 and 60 days. At $I = 10^{1.18}$, the observed and 593 594 modelled pH boundary = 4.6, and the salt boundary = 8% (observed and modelled). At pH 5.2 with 7% salt the $TTD_{obs} = 10$ days, whereas the model suggests 30-45 days; a reduction by 0.2 pH units or 595 596 an increase of 1% salt results in both the observed and modelled giving NG.

597

598 When the 231 observations were ranked and reclassified as G or NG, out of 111 observations 599 of NG, the model labels 12 of these as G (10 of which are $TTD_{calc} > 15$ days, only two conditions (1) 600 pH 4.6, 0.5% salt, I = $10^{6.12}$ and (2) pH 4.8, 6% salt and I = $10^{6.12}$ are very different from the modelled 601 TTD of 7 days); of the 120 observations of G, the model labels all as G.

602

Masana and Baranyi (2001) explained the inoculum size effect of *Brochothrix thermosphacta* on the probability of growth and the location of the growth/no growth boundary by invoking the hypothesis that population differences in resistance to environmental factors were responsible. The use of the composite model suggests that the observed changes in the G/NG boundaries are due to using different inoculum sizes only.

608

609 Koutsoumanis and Sofos (2005) examined the effect of multiple temperatures, aw, pH and inoculum
610 size on the 60 day G/NG boundary of *Listeria monocytogenes*. The authors state that "The growth
611 limits of the pathogen and hence the position of the growth boundary were found to be affected by the
612 size of the inoculum." They further stated that their study "indicates the importance of inoculum size
613 for microbial growth initiation and provides quantitative data that show how the combinations of
614 hurdles which prevent growth vary with inoculum size."

615

616 Data for the effect of combinations of aw and pH (10 x10 grid) at a fixed temperature (15°C) for four 617 initial inoculum sizes were given in Figure 2 of their publication. These data were extracted from the 618 figures and for each combination of pH, aw and $\log_{10} I$ assigned either G or NG. The data were 619 modelled using a composite model with initial values for C, m, P_{2i-1} and P_{2i}. The model produced TTD 620 values for each combination of factors, these values were then degraded to nominal values of G or NG 621 based on a G/NG boundary of 60 days. The initial parameters were adjusted to reduce the total number 622 of mismatched G/NG labels between the observed and modelled data. The parameters obtained were C = 7951, m = 556.9, $P_1 = 10^{-4.761}$, $P_2 = 1.168$, $P_3 = 6.53$, $P_4 = 1.38$ (15 G/NG mismatches- 3.75%). Figure 623 624 13 displays the results of the model along with the published data. Table 6 shows the results of a simple contingency analysis; of the 15 mismatches, 11 had modelled TTD of between 40 and 80 625 minutes. The other four mismatches ((1) aw 0.997, pH 4.24, $I = 10^{4.2}$; (2) aw 0.997, pH 3.94, $I = 10^{6.81}$: 626

627 (3) aw 0.997, pH 4.24, $I = 10^{6.81}$; (4) aw 0.983, pH 4.24, $I = 10^{6.81}$) were observed to grow, whereas the 628 model showed NG (modelled TTD = 233, 26663, 173 and 256 days respectively).

629

630 The initial values used for the inoculum size dependency and the pH parameters were taken from the 631 data of L.innocua (Tables 1 and 2). The values for C and m given in Table 2 were obtained at 30°C. By using the Cardinal Temperature model with $T_{min} = -0.4^{\circ}C$, $T_{opt} = 37^{\circ}C$ and $T_{max} = 45^{\circ}C$, the 632 633 Gamma factors at 30° C and at 15° C (0.826 and 0.217 respectively) were obtained; the ratio of the two (3.81) was used to estimate the initial values of C and m at 15° C (4600 and 533 respectively). The pH 634 parameters were used directly, the initial salt parameters used were obtained considering the lower a_w 635 value found by Koutsoumanis and Sofos ($a_w \cong 0.9 \cong 14\%$ salt) and using Eq.4 to estimate an initial 636 637 value for P_{2i-1} , using an estimation of $P_{2i} = 2$. The derived values obtained are not surprising – they reflect the general values expected of such parameters; indeed the calculated $pH_{min} = 4.4$, $MIC_{salt} =$ 638 13.8 % reflect the literature pH_{min} values and the a_{w} found by Koutsoumanis and Sofos (note the model 639 640 and observed mismatch at the lowest pH values, especially at pH 3.94).

641

The most important point being made here is that the inhibitory function of the composite model is independent of inoculum size and that changes in the G/NG boundary with inoculum size can be explained as being due to the inhibitory function applying a factor (gamma factor) to the linear model of inoculum size dependency on the TTD, i.e. the change in shape of the G/NG boundary due to changes in initial inoculum size (for a given set of environmental conditions) is due to the change of inoculum size alone.

648

Robinson et al. (2001) showed that in replicates the variance of *Listeria monocytogenes* cells increased with increasing concentrations of NaCl. Further they described experiments which showed that as the concentration increased the "number of cells required to initiate growth increased from one cell under optimum conditions to 10^5 cells in medium with 1.8 M NaCl" (approx 9.35%, $a_w = 0.939$). From their work (Figure 1 of their publication) an inoculum/TTD plot at 37°C, zero added salt, gave an inoculum size dependency (Eq.1) with C = 858.5 and m = 109.95 (r²= 0.9898). Comparison of these values to

- those of *Listeria innocua* (Table 1) shows them to be comparable (given the differences in
- temperatures). The work described herein would suggest that on addition of inhibitory levels of salt,
- the observed TTD values with respect to inoculum size would increase by a factor given
- 658 by $\exp\left(\left(\frac{salt}{P_1}\right)^{P_2}\right)$. When the data from the exponentially growing wells were analysed (taken from
- Figure 1 of Robinson et al. 2001), using a composite model of inoculum size and salt inhibition (using the logarithmic transform to partially stabilise the variance – see Lambert and Bidlas 2007a), the following parameters were obtained; C = 852.2 (SE 69.0), m = 110.7 (SE 9.3), salt parameters, $P_1 =$ 6.84 (SE 0.22), $P_2 = 2.979$ (SE 0.28), for 37 observations. A plot of the log of the modelled detection time against the log of the observed gave a linear relationship with ln(obs) = 1.033 ln(modelled) -0.236, $r^2 = 0.947$.

Again the model suggests that the changes observed are due to the multiplication of a linear relationship between TTD and log *I* by a factor dependent on the added stress. Interestingly, in this case the variance in the data is much more severe than that observed in our studies with pH and Na acetate. The MIC of salt can be calculated using Eq. 4 and in this case is 9.6%, hence the use of 1.6M NaCl is quite close to this G/NG boundary value and a large variation so close to the boundary would therefore be expected as is observed.

672

Robinson et al. (2001) concluded on the basis of their observations that growth under severe salt stress appeared "to depend on the presence of a resistant sub-fraction of the population, although high cell densities assist adaptation of those resistant cells to the unfavourable growth conditions by some unspecified medium conditioning effect." The study done using the composite model would suggest that there is no need to invoke a hypothesis of resistant sub-fractions, nor by suggesting the presence of an unknown conditioning effect; the data are consistent with the idea that the inoculum size and the applied inimical procedure are independent.

680

681 In our studies described herein, identical chequerboards (or grids) of pH and acetate were prepared; a 682 plot of the log of the initial inoculum against TTD for any given set of pH and Na acetate was found to 683 be linear and extrapolation to the inoculum axis gave, for example with *E.coli* an Io = 7.79 ± -0.26 . In 684 these experiments, therefore, the lag was constant, although the specific growth rate decreased with 685 increasingly harsher conditions. We would conclude using the hypothesis of Robinson et al. that there was no resistant sub-fraction of the population present. Nor would we consider quorum sensing to be 686 687 operating at the inoculum levels used in our experiments, since this would also lead to deviations from the model. 688

689

690 The experiments we have performed challenge the idea that the IE is a 'real' phenomenon, i.e. 691 anything other than a consequence of using a different inoculum level. Although we recognise that 692 where the organism can alter the concentration of the inhibitor are special cases (usually at high cell 693 densities) - this includes certain antibiotic resistant organisms (Thomson and Moland 2001) and also 694 some spoilage yeasts which can destroy (metabolise) certain preservatives (Casas, Ancos, Valderrama, 695 Cano and Peinado 2004). We also recognise the so-called inoculum effect used to describe studies of 696 the variance of single cells, especially those where a pre-inhibitory step has been carried out; we 697 would suggest that these be called low (or single cell) inoculum effect studies to separate them from 698 studies where higher inocula are used.

699

Our experiments have shown that only the reference time to detection (TTD_{ref}) is affected by inoculum size, and this is an easily modelled function. That the data required to model this function requires only a maximum of 2 days to procure for rapid growing bacteria (see Figure 1) and that this can be done independently of any other environmental factor suggests that this will readily allow future predictive models to incorporate inoculum size as a common feature. Conversely, a response surface model already in the literature could be augmented with an inoculum size dependency by invoking the Gamma hypothesis.

707

708 Conclusion

The hypothesis used in this study was the null-hypothesis - that the apparent IE and the changes in the G/NG boundary with respect to inoculum size were due to the time taken for a specific inoculum size to achieve growth under the given environmental conditions. The model developed to study the experimental data obtained in our laboratory and from the literature appears to have validated this hypothesis.

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857 Tables

Standard Inoculum		
(log ₁₀ I, cfu ml ^{⁻1}) for	m	С
cultures with OD =	(St.Err)	(St.Err)
0.5 at 600nm)	(mins log ₁₀ n⁻¹)	(mins)
8.81	80.0	674.1
	(0.44)	(2.2)
8.83	147.0	1186.1
	(2.1)	(8.7)
8.88	80.7	685.8
	(1.0)	(4.6)
8.90	91.1	788.5
	(2.4)	(11.1)
8.80	95.2	807
	(1.2)	(6.1)
	Standard Inoculum (log ₁₀ I, cfu ml ⁻¹) for cultures with OD = 0.5 at 600nm) 8.81 8.83 8.88 8.88 8.90 8.80	Standard Inoculum $(log_{10} l, cfu ml^{-1}) for m cultures with OD = (St.Err) 0.5 at 600nm) (mins log_{10}n^{-1}) 8.81 80.0 (0.44) (0.44) 8.83 147.0 (2.1) (2.1) 8.88 80.7 (1.0) (1.0) 8.90 91.1 (2.4) (2.4) 8.80 95.2 (1.2) (1.2) $

Table 1. Parameters for the time to detection (defined as the time to an OD = 0.2 at 600nm) of initial inocula at 30°C at pH 6.5 (TSB) produced from the decimal dilutions of a standard inoculum.

860 Microbial counts are the average count from three agar plates; m is the time (in minutes) for a ten fold 861 change in microbial numbers (n).

		Pa	rameter			
		(St.Err)				
Organism	m	C (mins) P_1 (mol l ⁻¹)		P ₂		
	$(mins \ log_{10}n^{-1} \)$					
A. hydrophila	78.9	654.5	1.03 E-05	0.930		
	(1.80)	(9.3)	(2.43 E-07)	(0.034)		
L. innocua	143.7	1162	1.39 E-05	0.996		
	(0.66)	(4.8)	(8.2 E-08)	(0.009)		
Ent. sakazakii	82.5	676.7	1.973 E-05	0.722		
	(2.73)	(14.8)	(5.72 E-07)	(0.025)		
E. coli	92.0	763.5	1.445 E-05	0.598		
	(1.87)	(10.9)	(3.24 E-07)	(0.015)		
S. Poona	89.6	780.0	3.14 E-05	0.706		
	(1.20)	(6.1)	(4.16 E-07)	(0.011)		

862 Table 2. Parameters for the inoculum and pH dependency on TTD (Eq.2)

863 m is the time (in minutes) for a ten fold change in microbial numbers (n)

864

Table 3. Modelled and observed TTD ratios between different pH and inoculum size relative to pH

- 869 6.505 and $I = 10^{5.4}$ for *E.coli*.
- 870

	Modelled ratio								
					рН				
		6.505	6.169	5.835	5.537	5.216	4.828	4.502	
	5.400	1.00	1.06	1.17	1.33	1.64	2.50	4.45	
	5.099	1.10	1.17	1.29	1.46	1.81	2.76	4.91	
_	4.798	1.21	1.28	1.41	1.60	1.98	3.02	5.37	
g ₁₀	4.497	1.31	1.39	1.53	1.74	2.15	3.28	5.84	
0	4.196	1.42	1.50	1.65	1.88	2.32	3.54	6.30	
	3.895	1.52	1.61	1.77	2.01	2.49	3.80	6.76	
	3.594	1.62	1.72	1.89	2.15	2.66	4.06	7.22	

	Observed Ratio								
					рН				
		6.505	6.169	5.835	5.537	5.216	4.828	4.502	
	5.400	1.00	1.05	1.14	1.30	1.64	2.48	4.18	
	5.099	1.12	1.17	1.32	1.48	1.81	2.79	4.66	
_	4.798	1.23	1.28	1.42	1.60	1.97	3.10	4.93	
g ₁₀	4.497	1.36	1.41	1.55	1.74	2.18	3.51	5.83	
0	4.196	1.41	1.49	1.61	1.89	2.33	3.73	6.29	
	3.895	1.50	1.57	1.75	1.99	2.53	4.00	6.80	
	3.594	1.61	1.67	1.82	2.17	2.69	4.22	7.17	

871 For a given initial inoculum size, the value in the table for a given pH is the factor by which the

872 observed TTD at pH = 6.505, for I = $10^{5.4}$ is multiplied to obtain the TTD at that inoculum size and

873 pH.

Onconiam			Parameter	r					
Organism	(St.Err)								
	Inocu	ılum effect	pH eff	ect	Na acetat	Na acetate effect			
	C (mins)	m (mins log ₁₀ n ⁻¹)	$P_1 (mol \ l^{-1})$	P ₂	$P_3(mgl^{-1})$	P ₄			
A hydrophila	651.7	78.53	1.015E-5	0.967	103.7	0.834			
А. пушторпии	(4.6)	(0.84)	(1.28E-7)	(0.019)	(1.2)	(0.012)			
I innoaua	1208	152.7	1.576E-5	1.638	347.9	1.003			
L. mnocuu	(7.0)	(1.51)	(1.28E-7)	(0.031)	(4.12)	(0.016)			
Fnt sakazakii	621.7	91.21	2.41E-5	0.831	140.1	0.752			
Em. suruzuru	(8.4)	(1.5)	(6.73E-7)	(0.029)	(3.50)	(0.021)			
E coli	865.6	109.0	1.454E-5	0.613	208.7	0.786			
L. cou	(6.1)	(1.0)	(1.62E-7)	(0.008)	(2.03)	(0.008)			
Spoond	862.6	110.8	3.469E-5	0.660	222.4	0.706			
S.poona	(9.6)	(1.8)	(5.81E-7)	(0.014)	(3.1)	(0.010)			

Table 4. Parameters for the effect of inoculum size, pH and Na acetate on the TTD

875 m is the time (in minutes) for a ten fold change in microbial numbers (n)

	Calo	culated					
	(standard errors)						
		pH eff	ect	Na acet	ate effect		
Initial Log₁₀ I	P₀ (min⁻¹)	$\mathbf{P}_1 (\text{mol } \mathbf{l}^{\text{-1}})$	P ₂	P ₃ (mg l ⁻¹)	P ₄	min pH	MIC acetic
5.00	0.00224 (2.31E-5)	1.621E-05 (5.68E-7)	1.511 (0.069)	349.7 (13.6)	1.011 (0.051)	4.50	940.5
4.70	0.00205 (1.55E-5)	1.560E-05 (3.60E-7)	1.601 (0.082)	341.8 (10.6)	1.035 (0.044)	4.53	898.3
4.40	0.00183 (1.56E-5)	1.559E-05 (3.39E-07)	1.728 (0.092)	351.8 (11.24)	1.013 (0.043)	4.56	943.8
4.10	0.00171 (1.32E-5)	1.589E-05 (3.27E-7)	1.589 (0.089)	349.2 (10.8)	1.018 (0.042)	4.52	932.4
3.80	0.00158 (1.14E-5)	1.582E-05 (3.43E-7)	1.594 (0.077)	344.2 (11.6)	0.965 (0.042)	4.53	970.1
3.49	0.00149 (1.12E-5)	1.582E-05 (3.34E-7)	1.621 (0.075)	360.6 (12.9)	0.950 (0.045)	4.53	1032.8
3.19	0.00141 (1.10E-5)	1.589E-05 (3.43E-7)	1.666 (0.080)	350.5 (114.9)	0.949 (0.048)	4.54	1005.1
2.89	0.00132 (1.055E-5)	1.582E-05 (3.48E-7)	1.643 (0.086)	359.2 (14.3)	0.955 (0.048)	4.54	1023.3

Table 5. *Listeria innocua*: derived regression parameters from Eq.2 for the pH and Na acetate
 inhibition of various initial inocula

879	Table 6. Contingency Table. Comparison of Observed (Koutsoumanis and Sofos 2005) and Modelled
880	data for Listeria monocytogenes for the 60 day growth/no-growth boundary

			Observed	
		G	NG	Totals
	G	170 (42.5%)	8 (3.59%)	178(44.5%)
ode	NG	7 (1.75%)	215 (53.75%)	222 (55.5%)
Σ	Totals	177 (44.25%)	223 (55.75%)	400 (100%)

882 Legends to Figures

883 <u>Figure 1.</u> The optical density/incubation time curves for successive decimal dilutions of an initial

inoculum (OD = 0.5) of *Aeromonas hydrophila* (ATCC 7960) incubated at 30° C for 2 days. Each

885 curve is the average of ten replicates. Nine decimal dilutions were performed on the standard culture;

- the ninth decimal dilution showed no growth in any of the ten replicate wells within the 2-day
- 887 incubation time.
- 888
- 889 Figure 2. Time to detection (TTD) against \log_{10} initial inoculum size (*I*, cfu ml⁻¹) of *Enterobacter*
- sakazakii. Best fit regression line (no variance stabilisation used) TTD = $685.8-80.69 \log_{10} I$ cfu ml⁻¹,
- $r^2 = 0.999$. Error bars give the standard deviation for ten replicates per initial inoculum size.
- 892
- 893 Figure 3. *Listeria innocua* (ATCC 33090): Observed (symbols) and modelled (solid lines) effect of 894 inoculum size on the time to detection at different pH values; pH 4.8, x; pH 5.2, Δ ; pH 5.5, \Box ; pH
- 895 7.0, ■. Ten repeats per pH and per inoculum size. Best fit regression lines for the observables (not

896 shown): pH 7.0, TTD = $1163.4 - 143.5 \log_{10} I$; pH 5.5, TTD = $1402.6 - 171.6 \log_{10} I$; pH 5.2, TTD =

- 897 $1630.0 197.8 \log_{10} I$; pH 4.8, TTD = $3445.8 420 \log_{10} I$.
- 898
- 899 Figure 4. Salmonella Poona (NCTC 4840): The effect of pH and initial inoculum size on the observed
- 900 RTD (average of 2 replicates) at 30°C; pH 4.08, ■; pH 4.22, □; pH 4.52, ♦; pH 4.82, ◊; pH 5.20,
- 901 ▲; pH 5.53, △; pH 5.77, ●; pH 6.22, ○; pH 6.52, +; pH 7.09, ×; solid horizontal line (no
- 902 symbols) marks the incubation time limit of the experiment (1/4320mins).
- 903
- 904 Figure 5. Salmonella Poona (NCTC 4840): The effect of pH and initial inoculum size on the modelled
- 905 RTD (Eq.2) at 30°C; pH 4.08, ■; pH 4.22, □; pH 4.52, ♦; pH 4.82, ◊; pH 5.20, ▲; pH 5.53, △; pH
- 906 5.77, ●; pH 6.22, ○; pH 6.52, +; pH 7.09, ×; solid line (no symbols) marks the incubation time
- 907 limit of the experiment conducted (1/4320mins).
- 908
- 909 Figure 6. Escherichia coli: observed TTD at pH 6.50 for different initial inoculum sizes challenged
- 910 with acetic acid, calculated from the total Na acetate present; initial \log_{10} inoculum size: 4.02 \blacksquare ; 4.32,
- 911 \Box ; 4.62, \blacklozenge ; 4.92, \diamondsuit ; 5.22, \blacktriangle ; 5.52, \bigtriangleup . The best fit linear regression lines (solid lines) were $\log_{10} I =$
- 912 4.02, TTD = 484.3 + 3.368 [acetic] ($r^2 = 0.995$); $log_{10} I = 4.32$, TTD = 446.6 + 3.226[acetic] ($r^2 = 1.026$]
- 913 0.999); $\log_{10} I = 4.62$, TTD = 407.2 + 2.917[acetic] (r² = 0.998); $\log_{10} I = 4.92$, TTD = 368.8 +
- 914 2.707[acetic] ($r^2 = 0.999$); $log_{10} I = 5.22$, TTD = 337.8 + 2.283[acetic] ($r^2 = 0.996$); $log_{10} I = 5.52$,
- 915 TTD = 298.4 + 2.167[acetic] ($r^2 = 0.997$).

917 Figure 7. Iso-pH plots (pH 6.50 top, pH 5.83 bottom, observed on left and modelled on right) for the

- 918 effect of Na acetate and initial inoculum size on the RTD at 30° C. Initial \log_{10} inoculum size: D5,
- 919 4.02; D4, 4.32; D3, 4.62; D2, 4.92; D1, 5.22; D0, 5.52.
- 920
- 921 Figure 8. Calculated RTD against the observed RTD for the effect of inoculum size, pH and Na
- 922 acetate (as acetic acid) on the time to detection of different inoculum sizes of *E. coli* (315
- 923 observations, filled symbols) { $\text{RTD}_{obs} = 1.002 \text{ RTD}_{calc} 4x10^{-6}$, r²=0.997 and the error (calculated
- 924 RTD-observed RTD) against the calculated RTD (open symbols){error = $-0.002 \text{ RTD}_{calc} + 4x10^{-6}, r^2 =$ 925 0.001}.
- 926
- 927 Figure 9. Aeromonas hydrophila: observed (symbols) and modelled (solid lines) RTD against the

928 effective concentration,
$$\sum_{i=1}^{n} \left(\frac{inhibitor_i}{P_{2i-1}} \right)^{P_{2i}}$$
, calculated for pH (i = 1) and acetic acid (i = 2) with

929 P_{2i-1} and P_{2i} given in Table 4 for five different initial inocula; $I = 10^5$, \blacksquare ; 10^4 , \Box ; 10^3 , \blacklozenge ; 10^2 , 930 \diamondsuit ; 10^1 , \blacktriangle .

931

Figure 10. Calculated MIC of acetic acid dependent on the initial inoculum size at 24 hours at pH 4.9,
pH 5.2, ◆; pH 5.4, ○.

934

Figure 11. Calculated MIC of acetic acid dependent on the initial inoculum size at pH 5.1 for a TTD =
24hrs, ■; 48 hrs, ♦; pH 72hrs, ○.

937

Figure 12. Modelled time to detection for *Brochothrix thermosphacta* at 25°C for combinations of salt and pH with respect to initial inoculum size (from top to bottom $I = 10^{6.18}$, $I = 10^{3.18}$, $I = 10^{1.18}$). The contours are given in steps of 15 days with the outermost region (top left) having TTD \geq 60days, with

941 the innermost region (bottom right) having a TTD: 0 < TTD < 15 days.

- 942
- 943 Figure 13. Comparison between the observed 60 day G/NG data of Koutsoumanis and Sofos (2005)
- 944 for *Listeria monocytogenes* with the modelled data for identical grids of pH and a_w, for four different
- 945 initial inocula (Top left to bottom right: $10^{6.81}$, $10^{4.2}$, $10^{2.58}$ and $10^{0.9}$ respectively). Modelled data are
- shown by filled circles, G; open circles, NG. Symbols (open or closed) with a surrounding box
- 947 indicate those conditions where observed data disagrees with the modelled fit.

948 Figure 1

















Figure 7. 960



Acetic acid (mg I⁻¹)





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dilu















