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# Challenges in Validating Radiation Sterilization with Low Energy Electron Irradiation

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Low Energy Electron accelerators 80 – 300 keV Scanned beam or extended cathodes Self-shielded



### Low-energy electron beam applications

# Polymers – Curing and crosslinking





PharmaceuticalSterilization of packaging material

# Electron beam tunnel

Application: Surface sterilization of tubs with syringes for vaccines







#### Problem:

- Surface sterilization of tubs is not regulated.
- It concerns sterilization or decontaminatation of container for container of pharmaceutical product.
- It is not a medical device.
- It is not a pharmaceutical product.

# Suggested solution:

• Use the international standard for Radiation Sterilization as basis for documentation





#### **Documentation requirements for sterilization:**

# EN ISO 11137 part 1:

Sterilization of health care products – Radiation – Requirements for development, validation and routine process control of a sterilization process for medical devices.

The principles of 11137 can be applied to any irradiation process

- even curing and crosslinking with low-energy electrons
- and also surface sterilization with low-energy electrons



## **Definition of validation (EN ISO 11137-1, 3.47):**

- documented procedure for obtaining, recording and interpreting the results required to establish that a process will consistently yield product complying with predetermined specifications.

Note 1: For the purpose of this part of ISO 11137, validation has at least the three main elements, IQ, OQ and PQ.



# Outline of EN ISO 11137-1:2006

•	Calibration and measurement traceability	(4)
•	Equipment characterization	(6)
•	Product definition	(7)
•	Process definition	(8)
•	Validation	(9)
	Installation Qualification	(9.1)
	Operational Qualification	(9.2)
	Performance Qualification	(9.3)
	Routine Process Control	(10)





**ISO ASTM standards** for characterization of irradiation facilities follow the 11137 outline.

e.g.: ISO ASTM 51649 High energy electron accelerators (0.3 – 25 MeV) ISO ASTM 51818 Low energy electron accelerators (80 – 300 keV)



# **Calibration and measurement traceability**

Recommended methods for calibration of dosimeters:

- Irradiation of dosimeters at the facility of use (in-plant calibration).
   Dose measured with reference dosimeters.
- 2) Irradiation of dosimeters at calibration laboratory followed by in-plant verification.

Measurement traceability is not established without in-plant irradiation for calibration or verification.





## **Measurement traceability chain**





# **Calibration and measurement traceability**

For Irradiation at the facility of use (in-plant calibration) dose is measured with reference dosimeters.

Problem:

Dose gradients within dosimeters lead to different thickness dosimeters measuring different doses in

the same radiation field.

Solution :

Evaluate surface dose  $- D\mu - for all dosimeters.$ 





## Concept of surface dose – $D\mu$





# **Process definition**

- 1) Specifying a maximum acceptable dose.
- 2) Specifying a minimum dose for obtaining a required level of sterilization.
- Maximum dose concerns Tyvek cover only. Typical maximum acceptable dose 100 kGy
- Required level of sterilization not specified and therefore required minimum dose not specified.
  Users have specified required minimum dose at 15 kGy or 25 kGy.



# **Process definition**



#### Important question:

Is low energy electron radiation effective for killing microorganisms?

Study comparing effectiveness of Cobolt-60 Gamma, 10 MeV electrons and 100 keV electrons using b.Pumilus.

#### **Result: Same effectiveness**

Conclusion: Dose setting methods of 11137-2 can be used.



# **Installation qualification - IQ**

 is carried out to demonstrate that the sterilization equipment and any ancillary items has been supplied and installed in accordance with their specification.

Whether or not data are "in accordance with their specification" depends on agreement between user and supplier.

Dosimetry measurements are often the same as used for Operational Qualification.



# Operational qualification - OQ

OQ shall demonstrate that the irradiator, as installed, is capable of operating and delivering appropriate doses within defined acceptance criteria.

provides baseline data to show consistent operation of the facility



# Operational qualification - Electron beam

Characteristics to be measured	
Dose distribution in reference product	Not relevant for low energy
Beam width and homogeniety	Important
Energy and beam penetration	Energy:Not importantBeam penetration:Important
Dose as function of speed, current and beam width	Important
Beam spot size	Not relevant for low energy – in
	most cases
Effect of process interruption	Not relevant for low energy – in most cases



## OQ is often carried out using a reference product

Dose distribution in reference product

High-energy example





Position X, cm

### Beam width – high energy beam



**20** 

## Beam width - low energy beam

Beam width 17.5 cm at 80% dose level





# Beam width - low energy beam

#### Beam wider than measurement



Energy - Wedge and stack for energy measurement



Typical depth-dose curve

Energy – range relationships





Low energy - arrangements for measurement of depth dose



Low energy - Depth dose curves

Energy determination difficult – usually not carried out





Dose as a function of

- beam current
- conveyor speed
- beam width)

Measured at a low energy accelerator





### Beam spot size.

Might be relevant for scanned beams at high-speed product movement

Example of scanned and pulsed beam





#### **Process interruption**

Normally no problem – product is discarded at process interruptions

Process interruption – effect due to sparks





## Repeat of OQ to be specified by operator.

(A.12.4.1) The intervals for requalification of the irradiator should be chosen to provide assurance that the irradiator is consistently operating within specifications.

- Different elements of OQ can be repeated at different time intervals
- If requalification measurements show that the IQ and/or OQ status of the irradiator has changed, then PQ might have to be repeated.



Performance Qualifiaction - dose mapping of real product

PQ dose mapping is carried out to demonstrate - that minimum dose to product exceeds the dose required for the intended effect and - that maximum dose to product does not exceed a maximum acceptable dose.

In many low-energy applications OQ and PQ are combined.



## **PQ Dose map**

Risø B3 dosimeters placed on tub for an isolator in a filling line.





#### Dose measurement with RisøScan



Repeat measurement of minimum dose

Risø B3 dosimeters on 10 trays









#### **Routine Process control**

#### Measurement of routine dose

The dose at a routine monitoring position shall be measured at intervals specified by the operator of the facility. The intervals shall be chosen to provide assurance that the irradiator is consistently operating within specified limits.



# Low energy E-beam - characterization and monitoring

Reference product for electron beam tunnels





Low energy electron Dose monitoring

Dosimeters placed on reference tub for routine dose measurement





#### **Routine Process control**

#### 1) Monitoring of process parameters

The process parameters (beam energy, beam current, beam width and conveying speed) shall be monitored to provide assurance that the irradiator is consistently operating within specifications.

#### 2) Measurement of routine dose

The dose at a routine monitoring position shall be measured at intervals specified by the operator of the facility. The intervals shall be chosen to provide assurance that the irradiator is consistently operating within specified limits.

3) Apply statistical process control (SPC) on the measured data.

