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## Resolution in diffraction. An outline of the method of conjugate diameters

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RESOLUTION IN DIFFRACTION
An Outline of the Method of Conjugate Diameters.
Lecture Notes for Summer School on Synchrotron Radiation,
Vienna }198
Jens Als-Nielsen
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Abstract. The in-plane resolution of a diffraction apparatus is discussed in the Gaussian approximation. This approximation is generally adequate to find half-widths of the resolution function, but the detailed resolution line-shape may differ from a Gaussian line-shape for instance in using perfect crystals as monochromator or analyzer. In combining the contributions from different elements in the apparatus to the resolution function we find the method of conjugate diameters useful. The resulting resolution widths are not given in explicit formulae but by means of a few simple subroutines in a computer program, e.g. transforming a set of conjugate diameters to another set with a prescribed direction of one diameter, the method seems to be readily applicable ot a variety of instruments.

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## PREFACE

These notes for a lecture on the 1980 Vienna Summer School on Synchrotron Radiation deal with the general problem of resolution in a diffraction experiment. The approach taken here builds on the method of conjugate diameters invented in 1969 by B.B. Moller and Mourits Nielsen (Acta Cryst. A25, 547). The first edition contained an error with respect to Darwin width and perfect crystals. Additional examples, including the source line-width from an X-ray tube, may be found in Finn Christensen's thesis, Riso Report R-459.

## 1. INTRODUCTION

Structures with a length scale of the order of atomic dimensions ( $\mathbb{A}$ ) can be studied by diffraction of radiation (neutrons. X-rays or electrons) with a wavelength of a similar order of magnitude. The principles of diffraction is simple. A volume element $d \vec{r}$ at position $\vec{r}$ in the structure scatters the incident plane-wave beam described by its wavevector $\vec{k}_{1}$.

Looking at scattering into the direction of the scattered wavevector $\vec{k}_{2}$ the phase of the beam scattered from the volume element is $\left(\vec{k}_{1}-\vec{k}_{2}\right) \cdot \vec{r} \equiv \overrightarrow{\mathbf{Q}} \cdot \vec{r}$ and the amp? ude is $\rho(\vec{r}) d \vec{r}$, where $\rho(\vec{r})$ indicate the scattering length density, so the total scattered amplitude is proportional to $\int \rho(\vec{r}) e^{i \overrightarrow{0} \cdot \vec{r}} d \vec{r}$. To the extent that the scattering density reflects the structure, the latter can be determined by measuring the scattered intensity versus wavevector transfer あ. We assume here that the scattering is very nearly elastic, $\left|\vec{k}_{2}\right|=\left|\vec{k}_{1}\right|$, an approximation which is excellent for X-ray diffraction due to the relatively large energy of $X$-ray photons.

The experimental uncertainty is partly due to the finite count rate at a certain wavevector and partly due to the accuracy with which the wavevector $\boldsymbol{\phi}$ is determined by the diffraction apparatus. These two sources of uncertainty are complementary in the sense that one generally has to sacrifice a loss of intensity in improving the $\boldsymbol{Z}$-resolution, but sharp features in the cross section are smeared in a diffraction apparatus with broad wavevector resolution. For a given experiment thera is an optimum between the requirements of high intensity and narrow resolution and it is therefore of importance to be able to calculate the resolution in order to design an experiment properly.

The scattering process to be studied is conveniently depicted in reciprocal space, Fig. la. The incident beam is given by the wavevector $\vec{k}_{1}$ and the scattered beam by wavevector $\vec{k}_{2}$. The elastic scattering cross section depends only on the wavevector difference $\vec{Q} \equiv \vec{k}_{1}-\vec{k}_{2}$, not on $\vec{k}_{1}$ and $\vec{k}_{2}$ separately.

The scattering diagram of Fig. la may be obtained by the setup shown in Fig. lb. The $X$-ray source may be synchrotron radiation. A monochromatic beam is obtained by Bragg reflection from the monochromator crystal M. The degree of monochromatization depends on the aperture A together with the source size as well as the mosaic width and/or Darwin-width of the monochromator crystal. The monochromatic beam is scattered by the sample $S$ and
in Fig. 1b is shown a set-up where only scattered radiation in a certain direction defined by the multislit collimator $C$ (a socalled Soller collimator) is detected. It should be emphasized that there is a great variety of ways to obtain a certain scattering diagram. Let us just mention a few examples. Synchrotron radiation is very well collimated, typical to 0.1 mrad and the incident direction of $k_{1}$ is therefore typically defined within 0.1 mrad corresponding to 2 slits 1 meter apart and each $1 / 10$ of a millimeter wide: If the direction of the scattered beam should be defined to a similar degree of accuracy a Soller collimator is not practical (in practice the lower limit for a Soller collimator is 1 mrad). Instead one can insert an analyzer crystal in front of the detector. In that case we have a triple axis spectrometer (1 axis $=$ monochromator, 2 axis $=$ sample, 3 rd axis $=$ analyzer). The analyzer crystal analyzes the dicection of the scattered beam, not its wavelength or frequency, since we have
presumed that the scattering is practically elastic. The angular resolution of the analyzer device depends in this case on the incident wavelength band-width. Another possibility is to detect the entire angular distribution of scattered radiation using a position sensitive detector. The spatial resolution is typically 0.1 mm and if the apparent width of scattering volume is of the same order of magnitude an angular resolution of 0.1 mrad is obtained by a detector distance of 1 meter which is quite practical.

In general, the monochromatic beam is neither peifectly monochromatic nor perfectly well collimated. Similarly, the scattered beam reaching the detector has a small but finite angular spread. As a consequence, for a given setting of a spectrometer one samples the scattering cross section over a finite region of Qspace. It is the purpose of this lecture to discuss a rather general method to calculate the sizes of the region in $\mathbf{Q}$-space over which the scattering cross section is sampled, or to be more specific: the resolution function is approximated by a twodimensional Gaussian and we discuss how to obtain the corresponding widths. Whether the actual resolution function is more or less Gaussian-like depends on the individual components of the diffractometer. The advantage of assuming Gaussian resolution is of course the great simplification in carrying out folding integrals.

## 2. GAUSSIAN APPROXIMATION

All individual components of the instrument determing the final resolution will be approximated by Gaussian Anctions of the same width as the true distribution function. Por example, although the angular resolution of two slits of width $w_{1}$ and $w_{2}$ ( $\mathbf{w}_{2}>W_{1}$ ) at distance $L$ apart (Fig. 2) is of trapezoidal shape
with a full width at half height (FWHM) of $w_{2} / L$ it will be approximated by a Gaussian having the same FWHM and the same area (not the same maximum height:).

The advantage of assuming individual Gaussian distributions is that any combination of two distributions is also Gaussian:

$$
\begin{align*}
P\left(x_{0}\right) & \equiv c \cdot \int \exp \left[-\left(x / \sigma_{1}\right)^{2}-\left(\left(x-x_{0}\right) / \sigma_{2}\right)^{2}\right] d x \\
& =c \exp \left[-\left(x_{0} / \sigma\right)^{2}\right] \tag{1}
\end{align*}
$$

with

$$
\begin{equation*}
\sigma^{2} \equiv \sigma_{1}^{2}+\sigma_{2}^{2} \tag{2}
\end{equation*}
$$

so the combined distribution is also Gaussian with a width $\sigma$ simply to be found by the rule of sum-of-squares as given in Eq. (2). In equation (1) c' and $c$ are normalization constants which we shall not always write out explicitly.

Equation 1 describing the folding of two Gaussians holds only in one dimension, whereas the resolution function we are seeking is two-dimensional. As a matter of fact it is three-dimensional, the third dimension being perpendicular to the scattering plane, but this third component is usually uncorrelated with the components in the scattering plane. The general question for calculating a two-dimensional distribution function is the following:

Suppose a certain two-dimensional, Gaussian distribution function is given by its half-contour ellipse $E_{1}$ in the $x-y$ plane, Fig. 3. In the Cartesian $x-y$ coordinates the half-contour is given by equating a second order polynomium in $\dot{x}$ arid $y$, including cross terms. with unity. Suppose now that this distribution has to be folded with a one-dimensional distribution along
a certain line $D_{1}$. How do we find the folded distribution? One convenient way, which utilizes the rule of sum-of-squares, is to abandon a description in the Cartesian coordinate system with its cross terms, and instead express the distribution function as the product of two independent distributions along the diameter $D_{1}$ and along its coniugate diameter $D_{2}$ :

$$
\begin{equation*}
\mathcal{P}_{0}(\bar{r}) \imath \exp \left(-u^{2} / \sigma_{1}^{2}\right) \exp \left(-v^{2} / \sigma_{2}^{2}\right) \tag{3}
\end{equation*}
$$

with $\bar{r}=u / \sigma_{1} \hat{D}_{1}+v / \sigma_{2} \hat{D}_{2}$
$\hat{D}_{1}$ and $\hat{D}_{2}$ denoting unit vectors along the diameters $D_{1}$ and $D_{2}$. The distribution $P_{o}(\bar{r})$ folded with a Gaussian along the $\hat{D}_{1}$ unit vector with a width $\sigma_{3}$ is simply

$$
\begin{equation*}
P(\bar{r}) \imath \exp \left(-u^{2} / \sigma^{2}\right) \exp \left(-v^{2} / \sigma_{2}^{2}\right) \tag{5}
\end{equation*}
$$

with $\bar{r}=(u / \sigma) \hat{D}_{1}+\left(v / \sigma_{2}\right) \hat{D}_{2}$
and $\sigma^{2}=\sigma_{1}^{2}+\sigma_{3}^{2}$
The resulting ellipse is shown as $E$ in Fig. 3 .

In the following section we shall consider the rules of conjugate diameters in ellipses.

## 3. CONJUGATE DIAMETERS

The ellipse $E$ is considered as the projection of a circle $C$ as shown in Fig. 4. Explicitly, the coordinate along axis in the circle is left unchanged during the projection, but the coordinate along the orthogonal axis is multiplied by a certain
number $b / a<1$. Two arbitrary orthogonal diameters in the circle, say $O^{\prime} A^{\prime}-O^{\prime} B^{\prime}$, are projected as two conjugate diameters in the ellipse, $O A-O B$. From this definition it follows immediately that diameter $\overrightarrow{O B}$ conjugate to $\overrightarrow{O A}$ is colinear with the tangent to the ellipse in point $A$. An arbitrary point $C^{\prime}$ on the circle is given by two numbers $c_{1}$ and $c_{2}$ :

$$
\begin{equation*}
\overrightarrow{O^{\prime} C^{\prime}}=c_{1} \overrightarrow{O^{\prime} A^{\prime}}+c_{2}{\overrightarrow{O^{\prime}} \vec{B}^{\prime}}^{\prime} \tag{8}
\end{equation*}
$$

with $c_{1}^{2}+c_{2}^{2}=1$

The point $C$ in the ellipse corresponding to $C^{\prime}$ on the circle is given by

$$
\begin{equation*}
\overrightarrow{O C}=c_{1} \overrightarrow{O A}+c_{2} \overrightarrow{O B} \tag{10}
\end{equation*}
$$

As the point $C^{\prime}$ on the circle is given by the coordinates $\left(c_{1}, c_{2}\right)$ the orthogonal diameter point $D^{\prime}$ must have the coordinates $\left(-c_{2},+c_{1}\right)$ and the corresponding point $D$ on the ellipse is given by

$$
\begin{equation*}
\overrightarrow{O D}=-c_{2} \overrightarrow{O A}+c_{1} \overrightarrow{O B} \tag{11}
\end{equation*}
$$

The vector product $\overrightarrow{O C} \times \overrightarrow{O D}$ becomes

$$
\begin{align*}
\overrightarrow{O C} \times \overrightarrow{O D} & =\left(c_{1} \overrightarrow{O A}+c_{2} \overrightarrow{O B}\right) \times\left(-c_{2} \overrightarrow{O A}+c_{1} \overrightarrow{O B}\right) \\
& =c_{1}^{2} \overrightarrow{O A} \times \overrightarrow{O B}-c_{2}^{2} \overrightarrow{O B} \times \overrightarrow{O A} \\
& =\left(c_{1}^{2}+c_{2}^{2}\right) \overrightarrow{O A} \times \overrightarrow{O B} \quad 1 . e . \\
\overrightarrow{O D} \times \overrightarrow{O D} & =\overrightarrow{O A} \times \overrightarrow{O B} \tag{12}
\end{align*}
$$

Any set of conjugate_diameters in_the ellipse has identical

## yector products.

Suppose that we instead of Eq. (10) giving the ellipse in terms of the basis $\overrightarrow{O A}, \overrightarrow{O B}$ want another basis-set $\overrightarrow{O C}, \overrightarrow{O D}$ where for instance the direction of $\overrightarrow{O C}$ is given. This transformation is carried out as follows: Let the projections of $\overrightarrow{O A}$ and $\overrightarrow{n B}$ on a line ( $x$-axis) perpendicular to the given direction $\overrightarrow{O C}$ be $A_{x}$ and $B_{x}$, respectively. It follows from Eq. (10) that

$$
\begin{align*}
0 & =c_{1} A_{x}+c_{2} B_{x} \text { and using } 1=c_{1}^{2}+c_{2}^{2} \text { we find } \\
c_{1}^{2} & =B_{x}^{2} / W_{x}^{2} \text { and } c_{2}^{2}=A_{x}^{2} / W_{x}^{2} \tag{13}
\end{align*}
$$

with

$$
\begin{equation*}
W_{x}^{2} \equiv A_{x}^{2}+B_{x}^{2} \tag{14}
\end{equation*}
$$

Furthermore

$$
\begin{aligned}
\overrightarrow{O C} \cdot \overrightarrow{O C} & =\left(c_{1} \overrightarrow{O A}+c_{2} \overrightarrow{O B}\right) \cdot\left(c_{1} \overrightarrow{O A}+c_{2} \overrightarrow{O B}\right) \\
& =c_{1}^{2} \mathrm{OA}^{2}+c_{2}^{2} O B^{2}+2 c_{1} c_{2} \overrightarrow{O A} \cdot \overrightarrow{O B}
\end{aligned}
$$

and using Eq. (13) we find

$$
\begin{align*}
W_{x}^{2} O C^{2} & =B_{x}^{2}\left(A_{x}^{2}+A_{y}^{2}\right)+A_{x}^{2}\left(B_{x}^{2}+B_{y}^{2}\right)-2 A_{x} B_{x}\left(A_{x} B_{x}+A_{y} B_{y}\right)  \tag{15}\\
& =\left(A_{x} B_{y}-A_{y} B_{x}\right)^{2}=|O A \times O B|^{2} \text { or } \\
O C & =|\overrightarrow{O A} \times \overrightarrow{O B}| / W_{x} \tag{16}
\end{align*}
$$

Let us finally consider the ratio between the vector product and the scalar product of $\overrightarrow{O C}$ and $\overrightarrow{O D}$ in order to determine the angle $\alpha$ between the given direction $\overrightarrow{O C}$ and the conjugate diameter $\overrightarrow{O D}$ :

$$
\operatorname{tg} \alpha=\frac{|\overrightarrow{O C} \times \overrightarrow{O D}|}{\overrightarrow{O C} \cdot \overrightarrow{O D}}
$$

The scalar product is easily seen to fulfil

$$
w_{x}^{2}(\overrightarrow{O C} \cdot \overrightarrow{O D})=-|\overrightarrow{O A} \times \overrightarrow{O B}|\left(A_{x} A_{y}+B_{x} B_{y}\right)
$$

and utilizing (12) we find

$$
\begin{equation*}
\operatorname{tg} \alpha=-\frac{W_{x}^{2}}{A_{x} A+B_{x} B_{Y}} \tag{17}
\end{equation*}
$$

The length of $O D$ is finally given by

$$
\begin{equation*}
|\overrightarrow{O D}|=\frac{|\overrightarrow{O C} \times \overrightarrow{O D}|}{\underline{O C s i n} \underline{a}}=\frac{w_{x}}{\sin \underline{a}} \tag{18}
\end{equation*}
$$

Formulae (14), (16)-(18) constitute the wanted transformation $(\overrightarrow{O A}, \overrightarrow{O B}) \rightarrow(\overrightarrow{O C}, \overrightarrow{O D})$.

Note that $C D$ sina is the projection on the x-axis of the diameter $O D$ and we conclude from Eq. (18):

is_the_sguare_root_of the_sum_of sguared_projections_of_any_set of conjugate diameters, $W_{x}^{2}=A^{2}+B_{x}^{2}$.

From a set of conjugate diameters, $O \vec{A}$ and $O \overrightarrow{O B}$, one readily finds the major and minor axis. Without less of generality we define
$|O A| \equiv A$ to be larger than $|\overrightarrow{O B}| \equiv B$ and their orientation so that the angle from $O \vec{A}$ to $O \vec{O}$, $v$, is less than $\pi / 2$. Let the major axis be $O \vec{M}_{1}$ and minor axis $\overrightarrow{O H}_{2}$ along an $x$-axis and a y-axis, respectively. The major axis (x-axis) must be in between $O \vec{A}$ and $O \vec{B}$ with say angle $m$ from $O \vec{A}$ to $O \vec{H}$, . It follows from eq. (17) that $A_{x} A_{y}+B_{x} B_{y}=0$ or $-A^{2} \cos m \sin m+B^{2} \cos (v-m) \sin (v-m)=0$, i.e.
$\tan 2 m=\frac{\sin 2 v}{A^{2} / B^{2}+\cos 2 v}$
and $O M_{1}=\left[(A \cos m)^{2}+(B \cos (v-m))^{2}\right]^{1 / 2}$
and $\mathrm{OM}_{2}=\left[(A \operatorname{sinm})^{2}+(B \sin (v-m))^{2}\right]^{1 / 2}$
4. MONOCHROMATIZATION BY SINGLE BRAGG REFLECTION IN A WHITE BEAM

As an example of using the basis of conjugate diameters to describe distribution functions in reciprocal space we consider in Fig. 5 a monochromator crystal in a collimated white beam.

For simplicity let us first assume that the monochromator crystal is perfect, no mosaic width, no Darwin width. An angular deviation of $u$ in the exit collimator necessitates an angur deviation of $-u, F i g .5 a$, in the incident collimator, so the probability for this ray is

$$
\begin{align*}
P(u) & =c \exp \left(-\left[\left(-u / \sigma_{0}\right)^{2}+\left(u / \sigma_{1}\right)^{2}\right]\right) \\
\text { or } \quad P(u) & =c \exp \left(-(u / \sigma)^{2}\right) \text { with } \sigma^{-2} \equiv \sigma_{0}^{-2}+\sigma_{1}^{-2} \tag{19}
\end{align*}
$$

This ray has a smaller Bragg angle than the central ray and consequently a larger wavevector by an amount $\Delta k$ following from tine Bragg equation:

$$
\Delta k=\frac{k}{\operatorname{tg}^{\theta}} \cdot u
$$

With $u=\sigma$ we find the $1 / e$ width

$$
\begin{equation*}
x_{1}=\frac{k \sigma}{\sin v_{1}} \tag{20}
\end{equation*}
$$

and

$$
\begin{equation*}
\operatorname{tg}_{1}=\frac{k \sigma}{\Delta k(u=\sigma)}=\operatorname{tg} \theta_{M} \text { i.e. } v_{1}=\theta_{M} \tag{21}
\end{equation*}
$$

and we conclude $v_{1}=\theta_{M}$, so the $\bar{k}$-distribution is in this case degenerated to be along a straight line parallel to the monochromator planes with a $1 / e$ width of $k \sigma / \sin \theta_{M}$.

Next we consider the general case, Fig. 5b, allowing for a finite mosaic width of the monochromator crystal. Consider a ray with an exit angular deviation of $u$, scattered from a mosaic block with deviation angle m. The incident ray must therefore have had an angular deviation of $(-u+2 m)$ and the probability $P(u, m)$ for this ray is given by

$$
\begin{equation*}
-\ln P(u, m)=\left(u / \sigma_{1}\right)^{2}+(m / n)^{2}+(2 m-u)^{2} / \sigma_{0}^{2} \tag{22}
\end{equation*}
$$

This quadratic form in ( $u, m$ ) leads of course to a distribution of $K$-vectors with an ellipse half-contour.

We want to find this ellipse in terms of two conjugate diameters, and since the ellipse degenerates to the line $\bar{X}_{1}$ for a perfect crystal, it is natural to let $X_{1}$ be the first of the two conjugate diameters. Since $m=0$ for the $\bar{X}_{1}$ axis it coincides with the u-axis in the $(u, m)$ plane. We shall now find its conjugate $\bar{X}_{2}$. In the $(u, m)$ plane the direction of $\bar{X}_{2}$ must be given by $u=\gamma m$ where $\gamma$ is some dimensionless combination of $\sigma_{0}, \sigma_{1}$ and probably $\eta$. We shall therefore rewrite Eq. (22) in the form

$$
\begin{equation*}
-\ln P=\alpha^{2} m^{2}+B^{2}(u-\gamma m)^{2} \tag{23}
\end{equation*}
$$

and by comparison with Eq. (22) we find

$$
\alpha^{2}=\frac{4}{\sigma_{0}^{2}+\sigma_{1}^{2}}+1 / \eta^{2}, \quad \beta^{2}=\sigma_{0}^{-2}+\sigma_{1}^{-2} \cdot \gamma=2 \sigma_{1}^{2} /\left(\sigma_{0}^{2}+\sigma_{1}^{2}\right)
$$

The length of $X_{2}$ is found in the same way as $X_{1}$ in Eq. (20) and Eq. (21). We consider a deviation in the exit angle of $u=\gamma m$, and since we want the $1 / e$ contour the value of $m$ must be $a^{-1}$ according to Eq. (23). The situation is depicted in Fig. 6. The direction of $X_{2}$ is given by the angle $v_{2}$ and the length of $X_{2}$ is $k u / \sin v_{2}$, that is $k\left(\gamma \alpha^{-1}\right) / \operatorname{sinv}_{2}$ or explicitly:

$$
\begin{equation*}
x_{2}=\frac{k}{\sin v_{2}} \frac{2 \sigma_{1}^{2}}{\sigma_{0}^{2}+\sigma_{1}^{2}}\left[\frac{4}{\sigma_{0}^{2}+\sigma_{1}^{2}}+\frac{1}{n^{2}}\right]^{-1 / 2} \tag{24}
\end{equation*}
$$

The angle $v_{2}$ is determined by the corresponding change in wavevector, $\Delta k$, as $t g v_{n}=k(\gamma m) / \Delta k$. The quantity $\Delta k$ is given by differentiation of the Bragg law as $\Delta k=\left(k / \operatorname{tg} \theta_{M}\right)\left(\Delta \theta_{M}\right)$ and in the present case $\Delta \theta_{M}$ is the difference between deviations in exit
angle $u=\gamma m$ and mosaic angle $m$, i.e. $\Delta \theta_{M}=(\gamma-1) m$, so the formula for $\operatorname{tgv}_{2}$ becomes

$$
\begin{align*}
\operatorname{tg}_{2}=\frac{k(\gamma m)}{\left(k / \operatorname{tg}_{M}\right)(\gamma-1) m}=\frac{\gamma}{\gamma-1} \operatorname{tg} \theta_{M}= & \frac{2 \sigma_{1}^{2}}{\sigma_{1}^{2}-\sigma_{0}^{2}} \operatorname{tg} \theta_{M}  \tag{25}\\
& \left(0<v_{2} \leq \pi\right)
\end{align*}
$$

Equations (20), (21), (24) and (25) determine the conjugate diameters $\vec{X}_{1}, \vec{X}_{2}$ in terms of the collimations $\sigma_{0}, \sigma_{1}$ and the mosaic width $n$.

Let us now consider a perfect crystal (no mosaic width) but take into account the so-called Darwin-width. The finite Darwin width expresses the fact that a perfectly monochromatic ray may be Bragg scattered from the perfect crystal, even if the incident angle deviates slightly from the Bragg angle by an amount $m$. In the Gaussian approximation the probability for reflection from the crystal is $\exp \left[-\left(m / \sigma_{D}\right)^{2}\right]$, $\sigma_{D}$ denoting the Darwin width. In this case also the reflected ray deviates by $m$ from the central ray. In addition we now consider a slightly different wavevector, $k+\Delta k$, which then have the maximum chance of being reflected if it also has an angular deviation $u$ from the central ray related to $\Delta k$ by $\Delta k=k u / t g \theta_{m}$. The general ( $u, m$ ) ray has the probability $P(u, m)$ of being transmitted by collimotors 0 and 1 as well as being Bragg reflected from the perfect crystal and the analogue of eq. (22) becomes:

$$
\begin{equation*}
-\ln P\left(u_{,} m\right)=\left(\frac{u+m}{\sigma_{0}}\right)^{2}+\left(\frac{u+m}{\sigma_{1}}\right)^{2}+\left(\frac{m}{\sigma_{D}}\right)^{2} \tag{22a}
\end{equation*}
$$

By identifying this with the form
$-\ln P(u, m)=\alpha^{2} m^{2}+\beta^{2}(u-\gamma m)^{2}$
we find simply
$\gamma=-1, \quad \beta^{2}=\sigma_{0}^{-2}+\sigma_{1}^{-2}, \alpha^{2}=\sigma_{D}^{-2}$

The length of $X_{2}$ becomes
$\left|\bar{X}_{2}\right|=k \sigma_{D} / \operatorname{tg} \theta_{M}$
and its direction coincides with the reflected ray.

## 5. ELASTIC SCATTERING

We shall in this section see how the distribution of incident wavevectors, given by the conjugate diameters $\vec{X}_{1}$ and $\vec{X}_{2}$, is transformed to a resolution function in wavevector transfer $\vec{k}_{1}-\vec{k}_{2}$ assuming the scattering process $\vec{k}_{1} \rightarrow \vec{k}_{2}$ to be elastic. Consider Fig. 7. It turns out to be convenient first to transform the set ( $X_{1}, X_{2}$ ) into a set $Y_{1}, Y_{2}$ where $Y_{1}$ is perpendicular to the scattering vector $A B$. The transformation was explicitly derived in section 3.

Let us first assume that the collimation $\sigma_{2}$ is infinitesimal. In the elastic scattering process, the endpoint of $y_{1}$ is transferred to the point $C$ ' on a line at angle $\theta$ with respect to $A B$ so $B C^{\prime}=Y_{1} \sin \theta$. The endpoint of $Y_{2}$ is transferred to the point $Z_{2}$. Consider the triangle BB'D in Fig. 7. The piece $Z_{2} D=Y_{2} \sin (\alpha-\theta)$. The angle $\gamma$ is determined by

$$
\operatorname{tg} \gamma=Z_{2} D / D B=Z_{2} D /\left(B B^{\prime} \sin \theta\right)
$$

That the scattering is elastic implies

$$
\begin{align*}
& \mathrm{BB}^{\prime}=2 M^{\prime} M=2 Y_{2} \cos (\alpha-90) \text { so } \\
& \operatorname{tgr}=\frac{1}{2}(\cot \theta-\cot \alpha) \tag{26}
\end{align*}
$$

The length $\mathrm{BZ}_{2}=\mathrm{DZ} \mathbf{2}_{2} / \operatorname{siny}$ or

$$
\begin{equation*}
z_{2}=Y_{2} \sin (\alpha-\theta) / \sin \gamma \tag{27}
\end{equation*}
$$

For infinitesimal $\sigma_{2}$ the resolution ellipse is given by ( $z_{1}, z_{2}$ ) where $z_{1}$ is perpendicular to $k_{2}$. It is therefore straight forward to include a finite $\sigma_{2}$ as that implies a smearing perpendicular to $k_{2}$, that is along one of the conjugate diameters. Applying the rule of sum-of-squares we simply find

$$
\begin{equation*}
z_{1}=\left[\left(Y_{1} \sin \theta\right)^{2}+\left(k \sigma_{2}\right)^{2}\right]^{1 / 2} \tag{28}
\end{equation*}
$$

whereas the formulae for $\gamma$ and $z_{2}$ are unaltered by including finite $\sigma_{2}$, cf. Figure 3.

Finally, we shall consider the vertical resolution. Let the vertical collimation before and after the monochromator be $\beta_{1}$ and $\beta_{2}$. Consider rays incident with a divergence angle of $v_{1}$ being Bragg reflected from a mosaic block with vertical divergence angle $m$. They will leave the monochromator with a vertical divergence angle $v_{2}=v_{1}+2 m s i n \theta_{M}$. This expression is just the vertical component part of the vectorial Bragg condition $\mathbf{k}_{2}=\mathbf{k}_{1}+I$ to-
gether with the ralation $|I|=2 k s i n \theta_{M}$. It is then straightforward to find the $1 / e-v e r t i c a l$ width $X_{3}$ :

$$
\begin{equation*}
x_{3}=k \beta_{2}\left[\left(\beta_{1}^{2}+4 n_{M}^{2} \sin ^{2} \theta_{M}\right) /\left(\beta_{1}^{2}+B_{2}^{2}+4 \eta_{M}^{2} \sin ^{2} \theta_{M}\right)\right]^{\frac{1}{2}} \tag{29}
\end{equation*}
$$

The final vertical resolution $X_{v}$ is

$$
\begin{equation*}
x_{v}=\left[x_{3}^{2}+\left(k \beta_{3}\right)^{2}\right]^{\frac{1}{2}} \tag{30}
\end{equation*}
$$

where $\beta_{3}$ is the vertical collimation after the sample.

## SUMMARY

The in-plane resolution of a diffraction apparatus has been discussed in the Gaussian approximation. This approximation is generally adequate to find half-widths of the resolution function, but the detailed resolution line-shape may differ from a Gaussian line-shape for instance in using perfect crystals as monochromator or analyzer. In combining the contributions from different elements in the apparatus to the resolution function we find the method of conjugate diameters useful. The resulting resolution widths are not given in explicit formulae but by means of a few simple subroutines in a computer program, e.g. transforming a set of conjugate diameters to another set with a prescribed direction of one diameter, the method seems to be readily applicable to a variety of instruments.



(a)

$\operatorname{In} P=\left(-u / \sigma_{0}\right)^{2}+\left(u / \sigma_{1}\right)^{2} \equiv(u / \sigma)^{2}$
$\Delta k=k / t g \theta_{m} u$ (Bragg;
$\boldsymbol{\operatorname { t g } v _ { 1 }}=\frac{k u}{\Delta k} \Rightarrow v_{1}=\theta_{\mu}$
$X_{1}=\frac{k \theta}{\operatorname{tg} \theta_{m}} \frac{1}{\cos \theta_{m}}=(k \sigma) / \sin \theta_{\mu}$

$$
\sigma^{-2} \equiv \sigma_{0}^{-2}+\sigma_{1}^{-2}
$$

(b)


$$
\begin{aligned}
\ln P & =\left(u / \sigma_{1}\right)^{2}+(m / \eta)^{2}+(2 m-u)^{2} / \sigma_{0}^{2} \\
& =\left(u-2 m \sigma^{2} \sigma_{1}^{-2} l^{2} \sigma^{-2}\right. \\
& * m^{2}\left|\frac{c}{g_{0}^{2} \cdot \sigma_{1}^{2}}+\frac{1}{i^{2}}\right|
\end{aligned}
$$




The in-plane resolution of a diffraction apparatus is discussed in the Gaussian approximation. This approximation is generally adequate to find half-widths of the resolution function, but the detailed resolution line-shape may differ from a Gaussian line-shape for instance in using perfect crystals as monochromator or analyzer. In combining the contributions from different elements in the apparatus to the resolution function we find the method of conjugate diameters useful. The resulting resolution widths are not given in explicit formulae but by means of a few simple subroutines in a computer program, e.g. transforming a set of conjugate diameters to another set with a prescribed direction of one diameter, the method seems to be readily applicable to a variety of instruments.

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