

## Planck pre-launch status: The optical system

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## Planck pre-launch status: The optical system

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### ABSTRACT

*Planck* is a scientific satellite that represents the next milestone in space-based research related to the cosmic microwave background, and in many other astrophysical fields. *Planck* was launched on 14 May of 2009 and is now operational. The uncertainty in the optical response of its detectors is a key factor allowing *Planck* to achieve its scientific objectives. More than a decade of analysis and measurements have gone into achieving the required performances. In this paper, we describe the main aspects of the *Planck* optics that are relevant to science, and the estimated in-flight performance, based on the knowledge available at the time of launch. We also briefly describe the impact of the major systematic effects of optical origin, and the concept of in-flight optical calibration. Detailed discussions of related areas are provided in accompanying papers.

**Key words.** cosmic microwave background – space vehicles: instruments – instrumentation: detectors – instrumentation: polarimeters – submillimeter: general – telescopes

### 1. Introduction

The ambitious goals of the *Planck* mission<sup>1</sup> (Tauber et al. 2010) can only be met if its measurements can be calibrated to very high accuracy. The accuracy of calibration on small angular scales depends directly on the uncertainties in the angular radiation patterns of each detector, to a level unprecedented in mm-wave astronomy. The *Planck* goal to achieve photometric calibration of 1% in the key CMB bands (70–217 GHz) implies that the beam characteristics (solid angle, shape) must be known to sub-% levels. The impact of beam uncertainties has been extensively analysed for WMAP (e.g., Hill et al. 2009; Nolta et al. 2009) and analyses of the effect on the recovery by *Planck* of some cosmological parameters have also been performed (Huffenberger et al. 2010; Rocha et al. 2010), in both cases confirming the importance of optical uncertainties.

For this reason, the optical system of *Planck* is a key element for the mission, and its design, manufacture, and verification programmes have been mission drivers in terms of cost and complexity. The success of the mission does not however depend entirely on the optical knowledge gathered on the ground. In-flight measurements of celestial sources are the principal source of information about the shapes of the main beams, and the

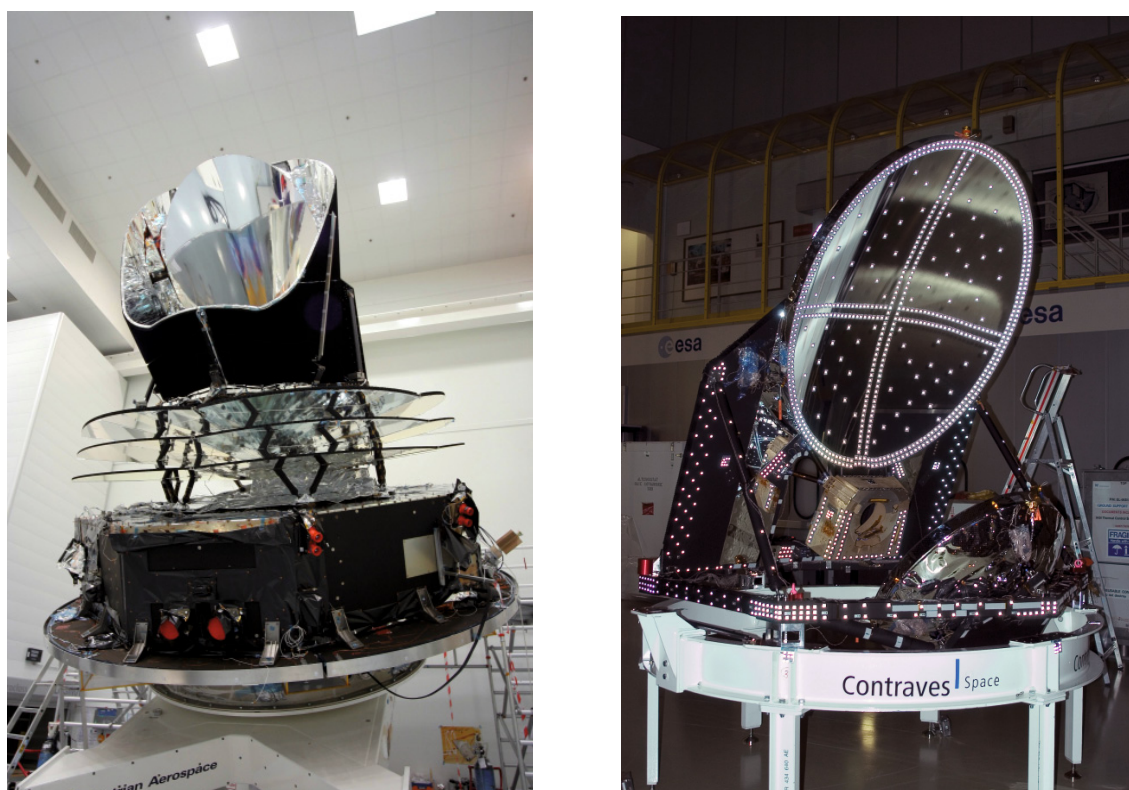
ground knowledge allows us to tie the in-flight measurements to the beam shapes below the level at which they can be measured in flight. Confronting the ground predictions with the in-flight measurements allows us to build a reliable estimate of the optical response to very low amplitude levels, and therefore to predict or constrain the level of unwanted optical systematics such as straylight signals.

The objectives of the ground activities related to optics were to:

- build a mathematical model that allows us to predict and verify the in-flight performance with a combination of test and analysis;
- verify that the as-built optical system meets its major performance requirements, and evaluate the uncertainties in the performance predictions;
- verify that a number of systematic effects caused by the optics are either below a significant level, or can be dealt with in-flight.

This paper provides a summary of the activities carried out before the launch of *Planck*, culminating in the prediction of in-flight optical response and its uncertainties. We begin (Sect. 2) with a very brief summary of the development history and its design requirements. Section 3 describes the main mechanical elements of the system and some aspects of its manufacture that have an important impact on its performance. The resulting optical characteristics of the as-built system are described in Sect. 4, where readers can find a succinct description of the predicted

<sup>1</sup> *Planck* (<http://www.esa.int/Planck>) is a project of the European Space Agency – ESA – with instruments provided by two scientific Consortia funded by ESA member states (in particular the lead countries: France and Italy) with contributions from NASA (USA), and telescope reflectors provided in a collaboration between ESA and a scientific Consortium led and funded by Denmark.



**Fig. 1.** (Left) The fully assembled *Planck* satellite and (right) its telescope prior to integration. Three conical “V-grooves” (visible on the left as three horizontal lines) isolate thermally and radiatively the warm Service Module (lower octagonal black box) from the cold payload module. The topmost (or 3rd) of the V-grooves, together with the large black baffle, form the cavity containing the *Planck* telescope. The white dots seen on the telescope in the right panel are photogrammetry targets and were removed before integration of the telescope into the satellite; a focal plane is also visible but unpopulated with horns.

optical performance of the *Planck* detectors. Subsequent sections consider the uncertainty in this prediction:

- Section 5 describes the measurements of the geometry of the reflectors and telescope, and how they were combined with analysis to predict their geometry and alignment in-flight.
- Section 6 discusses the radio frequency (RF) measurements performed to verify the accuracy of the mathematical model (based on the GRASP software, GRASP Manual 2008) that converted the geometrical information into a prediction of the optical response in-flight.
- Section 7 describes how the GRASP model was used to determine uncertainties on the predicted optical response.

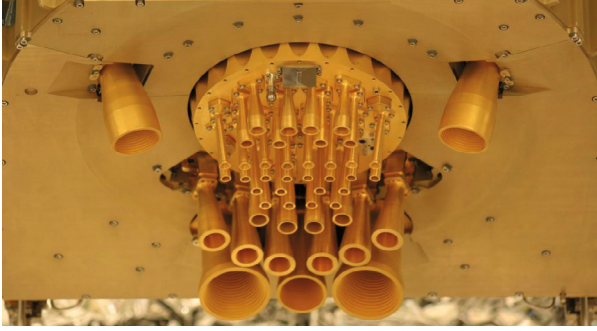
Section 8 addresses problems associated with the far-sidelobes, which may generate straylight signals. Section 9 estimates signals produced by thermal emission from the payload and the satellite itself. Finally, Sect. 10 summarises plans for in-flight characterisation using celestial sources.

## 2. Background

The development of the *Planck* mission began with two proposals presented to ESA in May of 1993: COBRAS (Mandolesi et al. 1993) and SAMBA (Puget et al. 1993). Each of these proposed a payload formed by an offset Gregorian telescope focusing light onto an array of detectors (based on HEMT Low Noise Amplifiers for COBRAS and very low temperature bolometers for SAMBA) fed by corrugated horns. The two proposals were used to design a payload where a single COBRAS-like telescope fed two instruments (a COBRAS-like

Low Frequency Instrument – LFI; and a SAMBA-like High Frequency Instrument – HFI) sharing a common focal plane. The telescope for this (COBRAS/SAMBA) satellite was essentially identical to the COBRAS design by Pagana (1993), namely a classical Gregorian paraboloid-ellipsoid combination obeying the so-called Dragone-Mizuguchi condition (which preserves polarisation purity on the optical axis). Subsequent studies culminating in the so-called Red Book of 1996 (Bersanelli et al. 1996) did not modify the initial design substantially, except for an increase in the reflector size to the maximum allowable by satellite constraints at the time, and for the detailed design of surrounding elements, e.g., supporting structure and baffle (see Fig. 1). In 1997, the design of the focal plane was substantially modified to improve the efficiency of use of its central area and the manufacturability of the HFI, yielding today’s layout (see Fig. 2) in which the centre of the focal plane is occupied by the very-low-temperature, high-frequency HFI detectors (Lamarre et al. 2010), surrounded by the higher-temperature, lower-frequency LFI detectors (Bersanelli et al. 2010).

The new focal plane layout required a re-optimisation of the telescope, which was carried out in 1999 (Fargant et al. 2000). Because of the long wavelengths involved relative to the size of the optics, physical optics methods were required to correctly model the detector patterns in the far field. However, the computation times required with physical optics are too long to allow many iterations. Ray-tracing is a more efficient method but less accurate; however it is able to represent well enough the shape of the main beam for optimisation purposes. The optimisation was therefore carried out using the optical ray-tracing software CodeV, allowing variation of all the main parameters of



**Fig. 2.** The layout of the focal plane of *Planck*. The LFI horns surround the HFI focal plane (circular structure in the centre of the figure). See also Fig. 4.

the reflectors (conic constants and radius of curvature) and telescope (distances and angles between reflectors and focal plane). The merit function was the minimisation of the quadratic sum of the wavefront error (WFE) at 16 points in the focal plane field (8 for LFI and 8 for HFI). After each optimisation run, the radiation patterns were computed using physical optics with the GRASP software and the horn tapers were readjusted to keep spillover power within allowed straylight levels. Care was also taken to maintain minimum mechanical distances between horns, and to reduce obscuration and mutual electromagnetic effects. The resulting optimised telescope is an aplanatic one consisting of two ellipsoidal reflectors, and is described in Sect. 3 and Appendix A.

Once the intended optical prescription was established, high level requirements for hardware production were set mainly in terms of WFE, but also in terms of peak gain degradation, ellipticity, and straylight levels. The maximum WFE levels required for each detector were calculated (based on ideal feedhorns with specified taper levels and the optimised telescope design prescription), and we constrained the most accurate pre-launch estimate of the in-flight WFE to be lower than that level within a specified tolerance. The surface characteristics of the reflectors determine to a significant degree the total WFE of the system, and during their manufacture a specific set of mechanical requirements (Table 1) was imposed from a sub-allocation of the maximum WFEs. All the requirements, whether at system or reflector level, were required to be met at operational temperature.

### 3. Mechanical configuration and manufacture

The major elements constituent of the optical system of *Planck* are considered to be the following (see Fig. 3):

- The detector feedhorns, designed, manufactured and tested by the LFI and HFI instrument teams (Villa et al. 2010, in prep.; Sandri et al. 2010; Maffei et al. 2010).
- The *Planck* telescope, consisting of:
  - the primary and secondary reflectors (PR and SR), designed and manufactured by Astrium (Friedrichshafen, Germany);
  - the support structure, designed and manufactured by Oerlikon Space (Zürich, Switzerland).
- The baffle<sup>2</sup> surrounding the telescope, designed and manufactured by Contraves (Zürich, Switzerland).

<sup>2</sup> The baffle is used for straylight control, but also has an important thermal function, increasing substantially the capacity to radiate passively to cold space.

**Table 1.** Design requirements of the *Planck* telescope reflectors.

Requirement	Primary reflector	Secondary reflector
Contour shape	off-axis ellipsoid	off-axis ellipsoid
Size (mm)	1555.98 × 1886.79	1050.96 × 1104.39
Radius of Curvature (mm)	1440 ± 0.25	−643.972 ± 0.2
Conic constant	−0.86940 ± 0.0003	−0.215424 ± 0.0003
Stability of best fit ellipsoid		
along each axis		±0.1 mm
around each axis		±0.1 mrad
Mechanical surface errors rms spec (goal) <sup>a</sup>		
ring 1		7.5 μm (5 μm)
ring 2		12 μm (8 μm)
ring 3		20 μm (13 μm)
ring 4		33 μm (22 μm)
ring 5		50 μm (33 μm)
Surface roughness	$R_q < 0.2 \mu\text{m}$ on scales $< 0.8 \text{ mm}$	
Surface dimpling <sup>b</sup>	±2 μm PTV	
Reflector thickness	80 mm	65 mm
Reflectivity (25–1000 GHz)		
Beginning of life	>99.5 per cent	
End of life	>98.5 (goal 99.0) <sup>c</sup>	
Mass	30.6 kg	14.5 kg
First eigenfrequency	>120 Hz	
Temperatures		
Operational	45 K	
Qualification	30–325 K	

**Notes.** <sup>(a)</sup> Each ring is a concentric ellipse with the same ellipticity as the rim of the reflector, dividing the major axis in 5 equal pieces. Ring 1 is the innermost ring and ring 6 the outermost one.

<sup>(b)</sup> Defined in Sect. 3.1.

<sup>(c)</sup> At telescope level, the total emissivity is specified to be <6% (<3% goal), including also the effect of dust deposited on the reflectors.

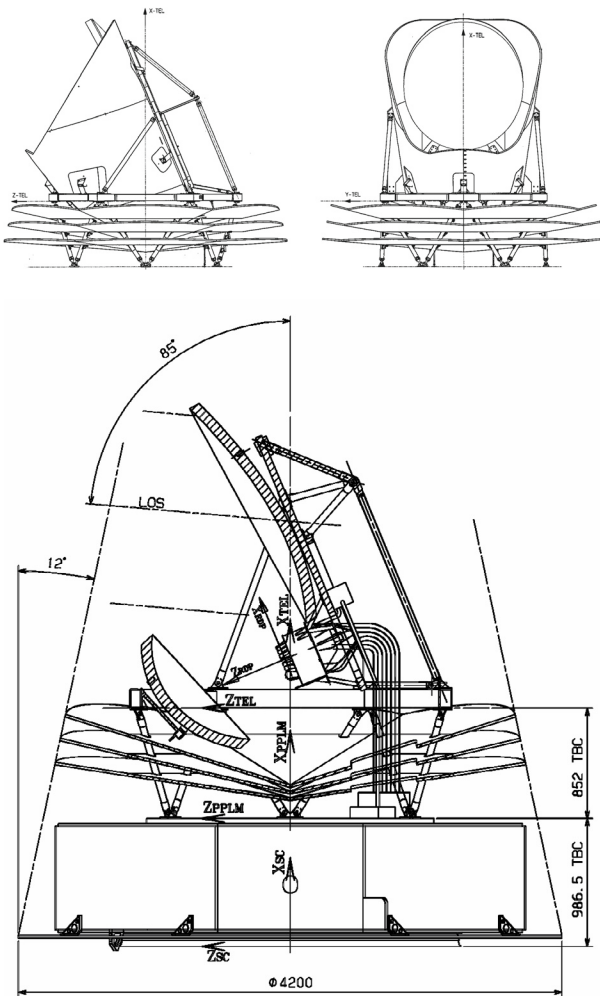
- The third V-groove, which forms the floor of the cavity in which the telescope and focal plane are located, designed and manufactured by Thales Alenia Space (Cannes, France).

The first two elements in the above list determine the main beam shapes of the *Planck* detectors, whereas the other elements are relevant mainly because of their effect on the near and far sidelobes.

The detailed geometrical definition of the telescope (as designed and in flight) is described in Appendix A.

#### 3.1. Thermal environment

Since *Planck*'s objective is to detect extremely small temperature variations in the CMB, we must ensure that all other sources of radiation in the *Planck* frequency bands are reduced to a minimum. Although the reflectivity of the *Planck* reflectors is very high, they emit in this frequency range and will be seen by the detectors. Therefore it is essential to minimize the operational temperatures of the reflectors. The spacecraft's service module has a temperature around 300 K, but the telescope supports and the three so-called V-grooves (see Fig. 3) provide a very high thermal isolation. As a result, the top of the 3rd V-groove is expected to stabilise at a temperature around 47 K. The baffle and the reflectors will have temperatures of between 40 and 43 K, low enough for the emission of the reflectors to dominate the background noise of the detectors only at the highest frequencies.



**Fig. 3.** (Top) Two side views of the payload module, showing mainly the three V-grooves, which radiatively insulate the payload from the warm part of the satellite, and the large baffle used for straylight control and radiative cooling. (Bottom) A cross-sectional sketch of the optimised *Planck* telescope design, showing the reflectors support structure and the focal plane. The principal (right-handed) coordinate systems are indicated. The rotation of the spin axis is about the  $+X_{TEL}$  direction.

### 3.2. Design and manufacture of the reflectors

The strong requirement imposed on the *Planck* reflectors to minimize deformations between room temperature and operational conditions ( $\sim 40$  K) led to the selection of carbon fiber reinforced plastic (CFRP) honeycomb sandwich technology, in which a carefully controlled mixture of carbon fibers and resin yields an effective thermal expansion coefficient, which is close to zero in the temperature range relevant to *Planck*.

The main parameters driving the CFRP design are the size, mass, maximum reflector thickness and lowest eigenfrequency. Furthermore, because of the differences in mechanical properties of the CFRP and the adhesion between the front facesheet and the core, the CFRP membrane within each core cell has a tendency to become slightly concave (this effect is usually called “dimpling”). Although the effect is small, it was expected based on a Finite Element Model to be systematically present in all core cells, and therefore to enhance the response of the telescope in specific narrow areas on the sky, away from the main beam (“grating lobes”). To decrease the dimpling effect, a thicker facesheet was required, which also increased the first

eigenfrequency. The final design of the reflector sandwich structure was based on detailed simulations exploiting the full available volume and minimizing the dimpling effect and the mass. This resulted in the selection of hexagonal core cells with a pitch of 60 mm and a wall thickness of 0.8 mm; the final thickness of the facesheets in the center of the reflectors is 2.178 mm.

A more detailed discussion of the mechanical design and manufacturing of the *Planck* reflectors can be found in Stute (2005).

The *Planck* reflectors were produced by Astrium, Germany (ASED), under contract to ESA and the Danish National Space Institute (DTU-Space). The facesheets were made by laying carbon fibers on high-precision, cast-steel, optically polished moulds. ASED developed a numerically-controlled fiber placement technology, where the fibers are impregnated during the lay-up process with a precise amount of resin. To assure homogeneity, layers with the fiber direction at different angles were combined to form a laminate. In the case of the *Planck* reflectors, the facesheets were doubly curved. To assure a true-angle lay-up over the whole reflector, ASED developed a special algorithm for their lay-up machine. The facesheet fibers were laid up in 4 + 4 symmetrical layers ( $0^\circ$ ,  $45^\circ$ ,  $-45^\circ$ ,  $90^\circ$ ). The fibers used for the top and bottom layers were selected especially to prevent cracks on the surfaces of the facesheets. Once the fiber material, the resin, and the details of the lay-up are selected, the main parameter governing the mechanical properties of the laminate is the fiber volume content. For *Planck*, the fiber volume content was 60%, and a resin with low curing temperature was selected, to minimize the build-up of internal stresses.

The cores for the inner honeycomb were produced by filament winding of hexagon-shaped mandrels, a fiber volume content being chosen so that the CTE matched the CTE of the facesheets. The honeycomb cells were glued together and the composite milled into the correct shape and size. Finally, the front facesheet, the core structure, and the back facesheet were glued together using the mould again as support.

The primary and secondary reflectors were manufactured in the same way, the only difference being their size and shape.

To fulfill the reflectivity requirement, the reflectors were coated with  $0.5 \mu\text{m}$  vacuum-deposited aluminium. To assure good adhesion, first a NiCr layer, then the aluminium layer, and on top a hard protection layer of Plasil ( $\text{SiO}_x$ ) were deposited. The coating was performed at the Balzer coating facility in the Calar Alto Observatory in Spain. Measurements of the emissivity are described in Appendix B.

### 3.3. The structure and baffle

The 2 *Planck* reflectors are supported by a CFRP structure of square tubes. The interface between the reflectors and the telescope structure consists of 3 so-called isostatic mounts (ISMs). The ISM’s are weak in the radial but stiff in the tangential direction. This design assures that deformation of the telescope structure does not affect the reflectors. The ISMs are made of titanium.

The baffle surrounding the telescope is made from aluminium honeycomb, which is open on the outside to maximise the radiating surface. To minimize the background radiation inside the baffle cavity, the surfaces of the telescope structure are covered by aluminized kapton foil, while the inside of the baffle is coated with pure aluminium. Outside the cavity, the surfaces are coated with high emissivity paint to improve radiative cooling.

The baffle was assembled from simple shapes to simplify its implementation in the GRASP software model, and minimise the uncertainty in the predicted radiation pattern of the telescope. It is built from seven adjacent conical sections and one planar rear wall, joined to yield a smooth surface. The diffraction from these elementary surfaces is easily computable using geometrical theory of diffraction implemented in GRASP. The telescope cavity is closed at the bottom with the topmost conical V-groove. The front shape of the baffle (i.e., the wall behind the secondary mirror) was raised slightly to reduce the illumination of the top of the primary mirror by the Moon.

The edges of both reflectors and the rim of the baffle are covered with kapton foil. The effect of implementing curved edges was investigated and, although they produced a lower level of diffraction over a wider angular range, the uncertainty in the predicted results was higher because of the effect of creeping waves. The edges are therefore straight on both sides of the sandwich, a geometry that concentrates the edge-diffracted fields in a narrow region.

#### 4. In-flight optical characteristics

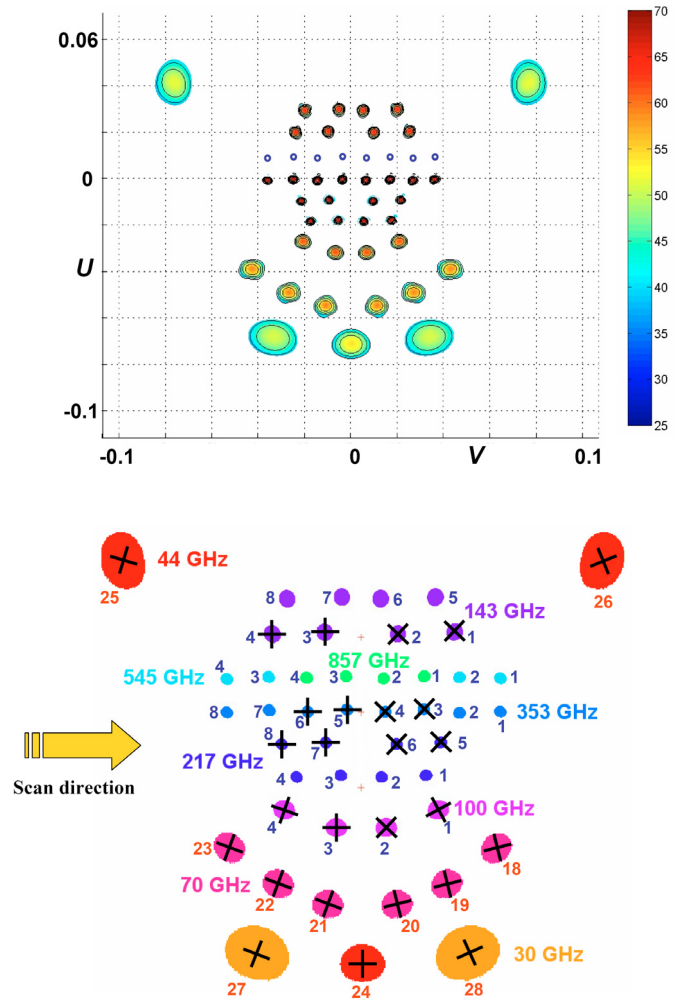
We summarise in this section the in-flight optical properties of *Planck* inferred from the flight prediction exercise (see Sect. 7). The properties of the focal plane are visually presented in Fig. 4, and the characteristics of the individual channels are listed in Table 2<sup>3</sup>.

The main features of the far sidelobes are summarised in Fig. 5, and some of the key figures are listed in Table 2. We draw attention to the grating lobes, which are expected to be produced by the reflector “dimpling” (Sect. 3.2). Interferometric measurements of the SR (Sect. 5.1) have shown that the dimples are not systematically present in all core cells (Fig. 6). GRASP simulations of the effect on the beam pattern of the derived SR deformation map show that the expected narrow and bright grating lobes are suppressed by the unsystematic behaviour of the small-scale structure: though power is scattered by the dimples, the resulting lobes are broadened and merge into the general sidelobe behaviour.

While *Planck* was not originally designed to measure polarisation, most of its detectors are linearly polarised, and its capabilities in this respect have improved over the years in response to the realisation that these measurements are extremely important scientifically. Most horns contain two linearly polarised detectors whose principal planes of polarisation are very close to 90° apart on the sky<sup>4</sup>. Two of these horns, rotated by 45° with respect to each other, are placed consecutively along the path swept by the FOV on the sky (see Fig. 4). This arrangement allows us to recover Stokes  $Q$  and  $U$  by suitable addition and subtraction of the different detector outputs, and reduces spurious polarisation due to beam mismatches (Leahy et al. 2010; Rosset et al. 2010).

<sup>3</sup> The two highest-frequency channels are based on multi-moded horns and spiderweb bolometers, which are sensitive to total power (Maffei et al. 2010). The angular response of these detectors on the sky is difficult to model and is addressed in a separate paper (Murphy et al. 2010, in prep.); only some basic characteristics are listed here. We note that the function of these detectors – to measure the foregrounds – implies that they do not need to be known as accurately as the channels near the peak of the CMB spectrum. Furthermore, the high signal-to-noise ratios on planets at these high frequencies and angular resolution imply that their response will be measured very accurately in-flight.

<sup>4</sup> A rotation of the angle is introduced by the telescope (see e.g. Franco et al. 2003), which has been compensated in the focal plane design.



**Fig. 4.** The footprint of the focal plane as seen by an observer at infinity. *The top panel* shows predicted contours of each main beam (averaged across the band); the horizontal and vertical axis are in  $U = \sin(\theta) \times \cos(\phi)$  and  $V = \sin(\theta) \times \sin(\phi)$  (where  $\theta$  is the angle around  $X_{\text{TEL}}$  and  $\phi$  the angle around  $Y_{\text{TEL}}$ , offset to the centre of each beam). The colour scale is in dBi. Patterns for the multi-moded horns (545, 857 GHz) are not in final form; only their location relative to the other beams is indicated. *The bottom panel* is an explanatory guide. Frequencies are identified by colours; the horn identification numbers are also indicated (LFI horns in red, HFI horns in blue). The crossed lines indicate the direction of sensitivity to linear polarisation for pairs of bolometers or radiometers within each horn (horns with no cross correspond to bolometers sensitive to total power only). The plate scale is  $\sim 32^\circ/\text{m}$ . The largest extent of the footprint on the sky is almost  $9^\circ$  along the scan direction (i.e., between the outermost 44 GHz beams). The scan direction shown is that of the beams across the sky, i.e., the rightmost horn (e.g., 28) crosses a celestial source before the leftmost (e.g. 27) does. The radius of the circle on the sky described by each horn decreases from bottom to top on this diagram, i.e. horn 27 has a radius of  $88^\circ 90'$  and horn 25 of  $82^\circ 59'$ .

Each linearly polarised detector is mainly characterised by two parameters: the orientation on the sky of the principal plane of polarisation, and the cross-polar level (i.e. the sensitivity to radiation polarised orthogonally to the principal plane). Estimates are shown in Table 3. We emphasize that both these parameters vary with angle within the beam; their effective values therefore depend on the spatial distribution of the source to which the

**Table 2.** Predicted in-flight beam properties<sup>a</sup>.

Frequency (GHz)	No. det.	<i>FWHM</i> <sup>b</sup> (arcmin)			Band- averaged <i>FWHM</i> <sup>c</sup> Mean	Ellipticity <sup>d</sup>			Band- averaged Ellipticity <sup>e</sup> Mean	SR Spillover <sup>f</sup> (%)			PR Spillover <sup>g</sup> (%)		
		Mean	Min	Max		Mean	Min	Max		Mean	Min	Max	Mean	Min	Max
30	4	33.34	33.33	33.35	32.71	1.38	1.36	1.40	1.36	0.24	0.23	0.24	0.59	0.59	0.59
44	6	26.81	22.96	29.14	29.54	1.26	1.21	1.37	1.50	0.07	0.03	0.09	0.18	0.14	0.19
70	12	13.03	12.76	13.38	13.00	1.22	1.20	1.26	1.27	0.17	0.12	0.19	0.65	0.54	0.76
100	8	9.40	8.62	10.21	9.58	1.18	1.17	1.18	1.17	0.19	0.17	0.21	0.14	0.12	0.16
143	8	6.79	6.54	7.12	6.93	1.06	1.03	1.09	1.06	0.19	0.19	0.19	0.11	0.10	0.11
143 (unpol)	4	6.99	6.85	7.21	7.11	1.04	1.03	1.05	1.03	0.19	0.19	0.19	0.13	0.13	0.13
217	8	4.57	4.31	4.84	4.63	1.10	1.08	1.12	1.10	0.10	0.10	0.11	0.07	0.02	0.09
217 (unpol)	4	4.57	4.29	4.87	4.62	1.12	1.11	1.13	1.12	0.12	0.12	0.12	0.12	0.12	0.12
353	8	4.52	4.28	4.76	4.52	1.08	1.06	1.11	1.08	0.02	0.02	0.02	0.02	0.02	0.02
353 (unpol)	4	4.60	4.04	5.27	4.59	1.25	1.19	1.31	1.23	0.02	0.02	0.02	0.02	0.02	0.02
545 (unpol)	4				4.7 <sup>h</sup>				1.03	0.02			0.3		
857 (unpol)	4				4.3				1.04	0.0001			0.03		

**Notes.** <sup>(a)</sup> The characteristics listed in this table correspond to those of monochromatic beams at band centre; the typical effect of including band-averaging is indicated for *FWHM* and ellipticity. Mean, minimum, and maximum are drawn from the set of all detectors at a given frequency; polarised and unpolarised detectors are separately indicated.

<sup>(b)</sup> The mean of the minor and major axis at half-power found by fitting a bivariate Gaussian to the beam.

<sup>(c)</sup> Mean *FWHM* (in arcmins) for beams averaged across the detector bandwidth, based on straight averaging of 5 frequencies equally spaced within the bandpass. This is indicative only, as it does not account for the bandpass shape of the detector, nor for the spectrum of the source. A more optimal way to account for broadband optical effects in the near sidelobes is described in Yurchenko et al. (2005); their analysis indicates that the effect of increasing the number of frequencies averaged from 3 to 9 is well below 1% in total power.

<sup>(d)</sup> The ratio of major and minor axis.

<sup>(e)</sup> Mean ellipticity of beams averaged across the detector bandwidth. This is indicative only, as for the *FWHM*.

<sup>(f)</sup> The percentage of power reaching the detector without having reflected on either SR or PR.

<sup>(g)</sup> The percentage of power reaching the detector having reflected only on the SR.

<sup>(h)</sup> Since the shape of the multi-moded beam patterns is not Gaussian, the *FWHM* only partially represents it. A different parameter to use is the half-power angle in the azimuthally integrated power, whose mean is 4.08 and 3.3 arcmins for 545 and 857 GHz, respectively.

beams couple. The LFI detector assembly includes ortho-mode transducers (OMTs), which feed orthogonal polarisations to the low-noise amplifiers. They introduce an additional electrical rotation in the angle with rms uncertainty  $\approx 0.51$ , via their finite cross-polar response. Uncertainties in the feed-horn cross-polar response at a level of  $< -30$  ( $< -40$ ) dB also contribute local errors of  $< 1.8$  ( $< 0.5$ ) in the response pattern, but these must be coherent over the beam to cause a net rotation. Differential errors in the overall cross-polar leakage, reported in Table 3, have a second-order effect on the angle: a  $-20$  dB ( $-40$  dB) uncertainty corresponds to an angle uncertainty of  $0.6$  ( $0.06$ ). Therefore, the total angle uncertainty for LFI detectors may be dominated by cross-polar effects in the optical chain (telescope, horn, and mainly OMT) rather than by mechanical uncertainties (see also Leahy et al. 2010).

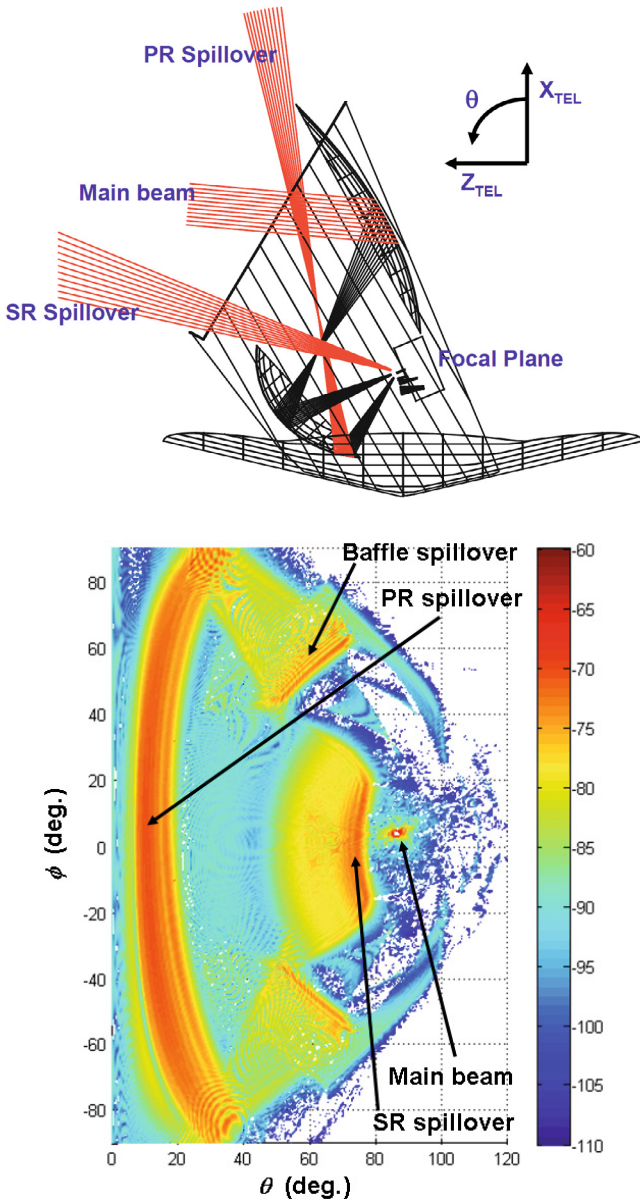
As for the angles, the cross-polar level measured depends on how the source fills the beam. For the LFI, the only contribution is residual Stokes V response and variation in the local polarisation orientation within the beam; the values reported in Table 3 are based on GRASP flight prediction patterns integrated within the  $-3$  dB contours of the main beam. The calculations assume perfect OMTs, and indeed these contribute negligibly to depolarisation (Leahy et al. 2010). For HFI, the cross-polarisation is dominated not by the beam pattern, but by direct coupling of the unwanted polarisation mode to the polarisation-sensitive bolometer in its cavity (Maffei et al. 2010). This incoherent leakage differs fundamentally from that in the LFI, though is functionally equivalent if the beam is filled by a uniformly-polarised source. The values reported in Table 3 (see also Rosset et al. 2010) are measured at pixel level (i.e., detector plus optical

elements: horns, filters, lens) using a source that fills the beam down to 20 dB; the uncertainty in the measured levels is in the range  $\pm 0.1$ – $0.2\%$  for PSBs, and in the range  $\pm 0.2$ – $1.5\%$  for SWBs (which are also slightly polarised).

Differences in the optical response of the orthogonally oriented detectors lead to a spurious detection of polarisation. A measure of this optical “mismatch” has been calculated using beams modelled on perfect reflectors and is reported in Table 3. This measure is useful to quantify the polarisation contamination of point sources in the beams. The spurious polarisation caused by diffuse emission is more accurately quantified by integrating the mismatch within the beam (as reported in Leahy et al. 2010), and is significantly lower than the values here reported.

The on-ground measurements of polarisation properties will be complemented in-flight with calibration observations of a bright and strongly polarised source, the Crab; this source, which is relatively compact at *Planck* resolution, has well-known polarisation characteristics whose knowledge is now being improved specifically for *Planck* (Aumont et al. 2010). The details of the polarisation calibration scheme are sketched in Sect. 7 and developed in detail in Leahy et al. (2010) for LFI and in a forthcoming publication for HFI.

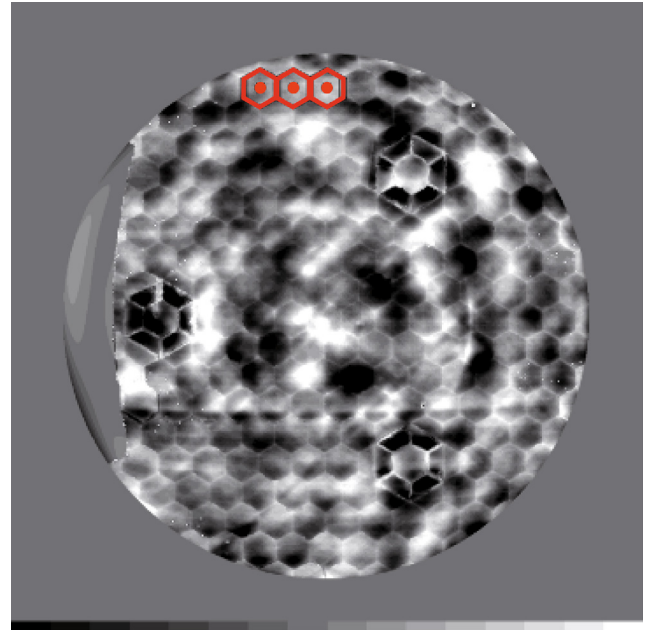
The polarisation properties of the far side lobes are also of interest since the highly polarised Galactic emission could generate through them a spurious polarisation signal in the detectors. Fortunately, early studies (Hamaker & Leahy 2004) indicate that the rapid angular variability of both the polarised emission and the sidelobe polarised structure act to reduce the signal coupled into the detector.



**Fig. 5.** A typical far side lobe pattern for *Planck* (in this case at 100 GHz), showing the main features of interest. The horizontal axis corresponds to the angle around  $-Y_{\text{TEL}}$  in Fig. 3, and the vertical axis is the angle around  $-X_{\text{TEL}}$ ; the spin axis is at (0, 0). The colour scale is in dB from peak (note that the colour scale is cut off at  $-60$  dB from peak). The main beam is located at  $\sim 85^\circ$  from the spin axis. The “SR spillover” is power from the sky that reaches the feedhorn without going through the telescope, which is mostly concentrated in the region over the top of the SR. The “PR spillover” is power from the sky that bypasses the PR, and then reflects on the SR to reach the feedhorn; it is concentrated in the region over the top of the PR. The “Baffle spillover” is power from the sky that reflects from the inside of the baffle, and then reaches the feedhorn via reflection on the SR. The sharp diagonal gradients correspond to the shadows thrown by the edge of the baffle. In this coordinate system, and with the current baseline orbit, the Sun traces a path within the region  $\theta \sim 170^\circ$  to  $190^\circ$ ; the Earth within  $\theta \sim 165^\circ$  to  $195^\circ$  and the Moon within  $\theta \sim 148^\circ$  to  $212^\circ$ .

## 5. Prediction of the in-flight geometry of the *Planck* telescope

The prediction of the in-flight geometry of the *Planck* optical system on the ground is one of the pillars of the pre-launch



**Fig. 6.** The deformations of the SRFM on small scales at about 50 K as measured with  $110 \mu\text{m}$  interferometry (the indentation at left is caused by vignetting in the interferometer optics). The gray scale is  $\pm 10 \mu\text{m}$ . The print-through of the core walls is clearly seen for most cores. The imprints of the three isostatic mounts are also clearly seen; we note that the cells around them were reinforced with additional core walls. To quantify the core-wall print-through and the dimpling, 3 masks per core cell have been applied: one mask covering the core wall, one mask covering similar areas on both sides of the core wall and one mask covering the central part of each cell. A few of these sets of masks are shown in red in the upper part of the figure. Using these masks, the average print-through effect is estimated to be  $\sim 0.4 \mu\text{m}$  in average, while the mean (systematic) dimpling effect is smaller than  $0.7 \mu\text{m}$ .

flight prediction. An overview of the test and verification programme is provided in Tauber et al. (2005). We now outline the programme of measurements of the geometry of both the reflectors and telescope, its main results, how they compared to predictions of the thermomechanical behaviour, and how they were used to establish the final on-ground alignment of the telescope. We emphasize here that the goal of the *Planck* optical measurement programme was not so much to ensure precise alignment at a given configuration, since the optical performance of the *Planck* telescope is rather insensitive to misalignment at the relevant wavelengths, and the science objectives do not depend on small variations in the optical performance. The goal was instead to be able to *predict* the alignment and the reflector surface deformations at operational conditions with as little uncertainty as possible, to improve the in-flight optical calibration.

### 5.1. Measurement programme

The programme was based on interferometric and photogrammetric measurements of reflectors, telescope, and focal plane at as close to operational conditions as possible. Each measurement contributed some information to the final establishment of the telescope alignment. The main difficulty in designing this programme was related to the very low in-flight temperatures predicted (42 K for the PR and 45 K for the SR), which did not allow us to carry out a full end-to-end measurement in operational conditions.



**Table 3.** Predicted in-flight main beam polarisation properties<sup>a</sup>.

Frequency (GHz)	No. det.	Angle Uncertainty (deg) <sup>b</sup>			Cross-polar level (%) <sup>c</sup>			X-Y Mismatch <sup>d</sup> (%)		
		Mean	Min	Max	Mean	Min	Max	Mean	Min	Max
30	4	0.06	–	–	0.05	0.05	0.05	1.37	1.37	1.37
44	6	0.06	–	–	0.11	0.04	0.14	2.40	2.03	2.58
70	12	0.06	–	–	0.04	0.03	0.05	1.19	1.00	1.31
100	8	0.82	0.33	1.47	3.4	1.95	5.13	0.41	0.40	0.43
143	8	0.54	0.34	0.83	6.4	3.57	9.15	0.92	0.86	0.97
143 (unpol)	4	4.95	1.28	8.58	93.2	87.6	96.9	–	–	–
217	8	0.61	0.35	1.27	2.8	2.46	3.27	0.78	0.70	0.90
217 (unpol)	4	6.9	4.78	9.76	93.3	92.1	95.9	–	–	–
353	8	0.81	0.40	2.09	6.1	4.13	8.29	0.60	0.58	0.62
353 (unpol)	4	4.58	2.29	7.23	88.7	85.0	93.5	–	–	–
545 (unpol)	4	2.68	0.67	4.15	89.8	88.8	91.1	–	–	–
857 (unpol)	4	8.7	2.42	20.79	86.6	84.2	88.2	–	–	–

**Notes.** <sup>(a)</sup> The data presented correspond to monochromatic beams at band centre. The HFI spider-web bolometers are slightly polarised and therefore they are also included in this table.

<sup>(b)</sup> The uncertainty in the angle of the principal plane of polarisation, at focal plane level (the systematic uncertainty of a rigid rotation of the focal plane is very low as it will be measured in-flight very accurately, see Sect. 7). The differences between the design angle and the angle measured at focal plane level are within 3° for HFI; the measurement was made using a source which filled the beam to –20 dB. For LFI the 1 $\sigma$  angle uncertainty is estimated from the mechanical manufacture and assembly tolerances, plus a model of thermoelastic deformations. However, the total angle uncertainty for LFI detectors may be dominated by cross-polar effects in the optical chain (telescope, horn, and mainly OMT) rather than the mechanical tolerances (see Sect. 4 and Leahy et al. 2010).

<sup>(c)</sup> The fraction of power detected from incident radiation linearly polarised in the direction orthogonal to the principal plane of polarisation and hence contributing to apparent depolarisation.

<sup>(d)</sup> Maximum RF power reaching one detector minus that reaching the orthogonal detector, normalised to the highest of the two (this definition is a factor of 2 larger than the leakage of Stokes I to Q ( $M_{QI}$ ) defined in Leahy et al. 2010).

The main elements of the measurement programme on the flight hardware were:

1. Photogrammetry of the PR and SR from ambient temperature down to ~95 K (Amiri Parian et al. 2006a, 2007b). This technique, which was first tested on a qualification model of the SR (Amiri Parian et al. 2006b), allowed us to measure the figure of each reflector (radius of curvature  $R$  and conic constant  $k$ ) and the large-scale angular deformations at several temperatures between warmest and coldest. The measured trends of  $R$  and  $k$  were used to extrapolate these parameters to the operational temperature.
2. Interferometry at  $\lambda 10 \mu\text{m}$  of the SR at several temperatures between ambient temperature and ~40 K (Roose et al. 2005, 2006). These measurements traced the small-scale deformations of the SR down to operational temperature. The deformation map of the SR at around 50 K is shown in Fig. 6. The core walls and “dimples” are clearly visible for nearly all cores. It is worth noting that the dimples do not behave as expected, i.e. they do not all form a regular concave deformation. Instead, the core deformations show multiple peaks whose amplitudes do not vary systematically across the surface (see also Sect. 5.2). Since interferometry does not preserve large-scale information, it was combined with the photogrammetric data to yield an accurate picture of the surface of the SR at 40 K on all spatial scales of interest (Fig. 7). Although interferometric measurements of the PR were also carried out, its large size and long focal length required the acquisition of interferograms in double-pass configuration. The noise due to diffraction of light from the core walls increased considerably relative to the SR, rendering the phase information contained in the interferograms too noisy to be useful.
3. Photogrammetry of the whole telescope at several temperatures between ambient temperature and ~95 K (Amiri Parian et al. 2007a). These measurements yielded the thermoelastic

deformations of the telescope structure, and the trend was used to extrapolate them to operational temperature. To provide representative loads, the object measured also included the two flight reflectors and a structure representative of the focal plane. Measurements of the focal plane deformations were correlated against thermoelastic predictions and used to predict the deformations of the focal plane in-flight. The number of targets on the reflectors was too low to achieve high accuracy on a determination of their surface deformations, but adequate enough to establish that their thermoelastic behaviour was consistent with the photogrammetry at the reflector level.

4. Theodolite measurements of targets placed on all the critical elements (reflectors, structure, focal plane) were used to tie together the coordinate frames of photogrammetry at reflector and telescope level to each other and to the spacecraft frame. These measurements were performed frequently, until integration of the satellite with the launcher, to verify the stability of the optical system throughout the satellite’s assembly and integration programme.

The most accurate (“best”) estimate of the figure and surface deformations of the *Planck* reflectors at operational temperature was derived from the above measurements, i.e. for the SR from a combination of interferometry and photogrammetry, and for the PR from photogrammetry alone. The resulting predicted in-flight parameters are summarised in Table 4 and Fig. 7.

## 5.2. Comparison to models

The measured deformation of the reflectors was compared to finite-element models (FEMs) of the behaviour on both large and medium scales, and on the scale of a single core cell. Unfortunately, the material properties that must be used in the

**Table 4.** *Planck* reflector characteristics at ambient temperature and 40 K.

Reflector	Design parameter	Ambient temperature <sup>a</sup>	Estimated in-flight parameter	Estimated uncertainty
PR	$R = 1440.0$ mm	$R = 1440.41$ mm	$R = 1439.266$ mm	$\pm 0.1$ mm
	$k = -0.869417$	$k = -0.86782$	$k = -0.867266$	$\pm 0.001$
	rms (ring 1, $\mu\text{m}$ ) = 7.5	3.5	5.0 <sup>b</sup>	
	rms (ring 2, $\mu\text{m}$ ) = 12	4.2	8.2	
	rms (ring 3, $\mu\text{m}$ ) = 20	5.3	8.8	
	rms (ring 4, $\mu\text{m}$ ) = 33	6.0	8.6	
	rms (ring 5, $\mu\text{m}$ ) = 50	16.0	12.6	
rms (whole surface, $\mu\text{m}$ ) =	7.0	8.6		
SR	$R = 643.972$ mm	$R = 644.043$ mm	$R = 643.898$ mm	$\pm 0.1$ mm
	$k = -0.215424$	$k = -0.21541$	$k = -0.215094$	$\pm 0.001$
	rms (ring 1, $\mu\text{m}$ ) = 7.5	3.6	4.7 <sup>c</sup>	
	rms (ring 2, $\mu\text{m}$ ) = 12	3.9	4.5	
	rms (ring 3, $\mu\text{m}$ ) = 20	6.2	7.0	
	rms (ring 4, $\mu\text{m}$ ) = 33	5.3	5.7	
	rms (ring 5, $\mu\text{m}$ ) = 50	11.5	13.2	
	rms (whole surface, $\mu\text{m}$ ) =	6.1	10.6	
	Core-wall print-through ( $\pm\mu\text{m}$ ) = <sup>d</sup>		0.4	
	PTV (dimpling, $\mu\text{m}$ ) = <sup>e</sup>		<0.7	

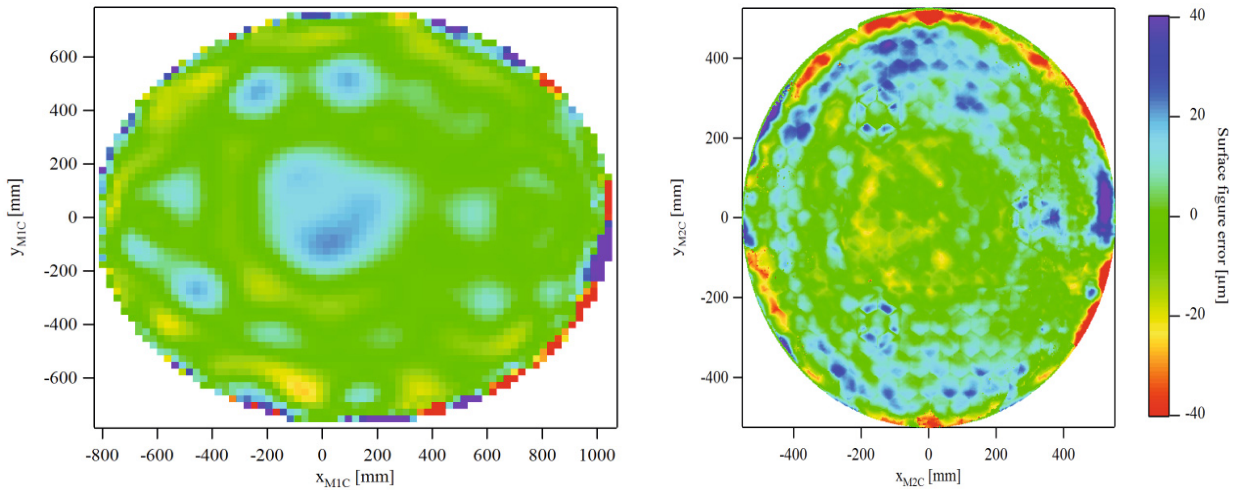
**Notes.** <sup>(a)</sup> All rms at room temperature derived from the surface shape measured with a contact probe and a resolution of 2 cm. The ring definition is as in Table 1.

<sup>(b)</sup> Derived from the photogrammetric image of Fig. 7. The rms values quoted are actually standard deviations of the distribution of values in each ring, i.e. they are with respect to the mean difference to the best-fit-ellipsoid within each ring. A real rms with respect to the best-fit-ellipsoid would increase the rms in the innermost ring by a factor of  $\sim 4$  – largely due to the prominent bump visible in the middle of the primary in Fig. 7, and of the next two rings by a smaller factor.

<sup>(c)</sup> Derived from the interferometric image of Fig. 6. The rms values quoted are actually standard deviations of the distribution of values in each ring, i.e. they are with respect to the mean difference to the best-fit-ellipsoid within each ring. A real rms with respect to the best-fit-ellipsoid would increase the rms in the inner two rings by a factor of  $\sim 2$  – largely due to the circular shelf-like feature visible in Fig. 7 and associated to the three ISMs. It is also interesting to note that the combination of interferometric data to the photogrammetric data has increased the rms by about 15% as compared to the photogrammetric surface only.

<sup>(d)</sup> Not measured for the PR.

<sup>(e)</sup> Not measured for the PR.



**Fig. 7.** Most accurate (“best”) estimates (see Sect. 5.1) of the surface deformation of the *Planck* reflectors at operational temperature (*left*: PR; *right*: SR) relative to the best-fit ellipsoids (Table 4). The horizontal and vertical axes are projected dimensions onto the reflector rim planes, and the colour scale corresponds to sagittal elevation. The SR image is of much higher spatial resolution than the PR, thanks to the availability of interferometric measurements; for the PR, only lower resolution photogrammetry was used. The right-hand side of the SR required patching of interpolated photogrammetry onto the trapezoidal-shaped feature (see Fig. 6) which was caused by vignetting of the interferometric data.

models are affected by significant uncertainties. The conclusions that can be drawn are summarised below:

1. On the largest scales, the relevant parameters are the derivative of  $R$  and  $k$  (Table 4) with respect to temperature. A stochastic analysis was performed to search for a plausible combination of material parameters (mainly CTEs) that

would lead to a  $dR/dT$  and  $dk/dT$  that match the results of photogrammetric data. A plausible combination is found only for the PR; for the SR, the measured slopes are more than a factor of 2 beyond the plausible range of parameters. In addition, the photogrammetry data are only made down to  $\sim 90$  K, whereas changes in the slope below this temperature are expected from previous experience with similar

materials. Therefore, the values of  $R$  and  $K$  extrapolated to 40 K are affected by significant uncertainty, being in practice constrained only to a range between linear extrapolation and the value measured at 90 K.

2. Medium-scale features are predicted by the FEMs, which are also found in the measured surfaces, namely local deformations around the isostatic mounts (ISMs), a large central “shelf” of diameter defined by the location of the ISMs, a depressed ring outside the circle of the ISMs, and a “curling-up” of the edge areas. However, the predicted amplitude of these features is smaller by an order of magnitude than what is measured.
3. On small scales, the behaviour is dominated by the dimpling, i.e. the behaviour of the facesheet within each core cell as a function of distance from the centre. The measured surface is much more inhomogeneous than predicted by the FEMs, i.e. most cells do not exhibit simple concave dimples but multiple-peak features with amplitudes that are much higher than the FEM predicts<sup>5</sup>. The FEMs also predict that the dimpling depends on the distance from the centre of the reflector, driven by the large-scale reflector curvature. None of the FEM-predicted small-scale behaviour is clearly reproduced in the measurements.

Overall, the FEMs have been rather unsuccessful in predicting detailed reflector thermoelastic behaviour, probably because of the dominance of very-small-scale variations in the material properties at the interface between the core-cells and the facesheets. As a consequence, the prediction of the reflector shapes and associated uncertainties at operational temperatures has been purely empirical. As described in Sect. 5.1, the reflector figures were extrapolated from the evolution measured between ambient temperature and 95 K. The uncertainty of the beam prediction (Sect. 7) was assumed to lie between the parameter values at 95 K and the worst-case extrapolation.

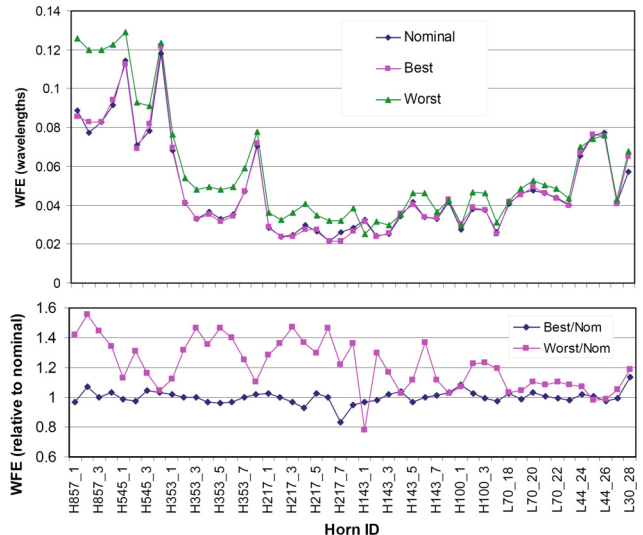
### 5.3. In-flight alignment

The SR and focal plane were shimmed at ambient temperature such that when the telescope cools, the system will come into optimal alignment as determined by CodeV. The optimization took into account the predicted deformation of the mirrors and structure. The WFE for the nominal, best, and worst case deformations is shown in Fig. 8.

The uncertainty in the in-flight alignment has the strongest influence on the uncertainty in the predicted beam shapes. The elements contributing the most to the alignment uncertainty are: (a) the rotation of the focal plane assembly; (b) displacement of the SR along the X direction (see Fig. 5).

A Monte Carlo analysis was performed of the full alignment budget, taking into account all the expected thermo-elastic deformations in the system. Code V was used to compute at 353 GHz the WFE of a horn near the centre of the focal plane, for 3000 cases drawn from the estimated error distributions of each misalignment type, including displacements, rotations, and deformations of the reflector figures. The set of 3000 cases covers the range of misalignment cases that may be encountered in flight. For each case, the optimal location of the telescope focal plane (i.e. the location that minimizes WFE) was computed using sensitivity coefficients for each individual misalignment, and compared to the design location of the feed horn phase centre;

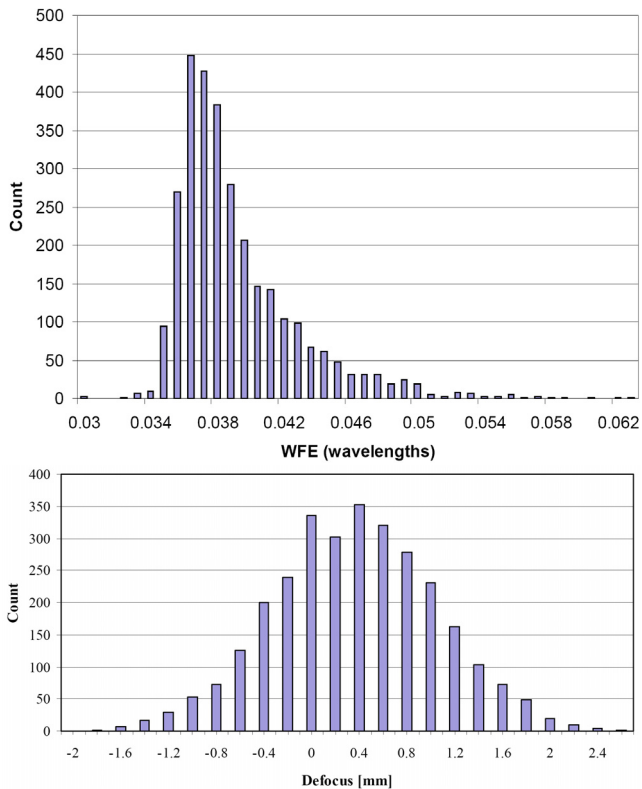
<sup>5</sup> This is fortunate because it significantly reduces the ordered dimples that would produce unwanted grating lobes.



**Fig. 8.** The rms WFE of the full set of horns for the three flight prediction scenarios (nominal or as-built, best and worst cases, defined in Sect. 7.1). The top panel shows the absolute WFE (in wavelengths), and the lower panel the WFE of the best and worst cases normalised by that of the nominal case. The frequency increases from right to left. The horn ID is labelled by instrument (HFI or LFI), frequency and increasing horn number (as in Fig. 4). The three cases were defined at 353 GHz (centre of the focal plane); at other frequencies, compensations mean that the “worst-case” WFE is not always larger than the “best-case” WFE. Nonetheless, the spread represents the uncertainty in the in-flight WFE at all frequencies. The best case is clearly very close to the nominal one in terms of the WFE, but the worst case is quite far, especially at the higher frequencies.

the difference constitutes the true misalignment of that case in an optical sense. The results of this analysis (see Fig. 9) indicate that the misalignment uncertainty is of order  $\pm 0.7$  mm ( $1\sigma$ ) in the defocus direction, which has a very significant impact on the ability to predict the optical performance in-flight (see Sect. 6.2).

Early in the development, concerns were raised that the alignment process relied on a complex accumulation of measurements and extrapolations, which were not verified by an end-to-end measurement at operating frequencies. It was noted that if a human error were made in this process (and not caught by “standard” verification practices), it would not be found until flight (the “Hubble” problem). An end-to-end measurement of the flight hardware is infeasible because of the need to operate the *Planck* detectors at low temperatures, which cannot be achieved in an RF measurement chamber. Therefore, an additional (coherent) 320 GHz detector/feedhorn assembly was placed in the focal plane, whose purpose was to verify that no such human error had been made. A special technique based on modulated reflectivity measurements was developed (and validated on the qualification model of the *Planck* telescope) that allowed us to measure the radiation pattern of this detector in a compact antenna test range (CATR) with a dynamic range of  $\sim 15$  dB (Paquay et al. 2008). The shape of the pattern varies rather sensitively with deviations from the optimal location, in particular defocus. This measurement therefore allowed us to determine the location of the focal plane, at ambient conditions, with an accuracy of  $\pm 1$  mm (Paquay et al. 2008), which was considered adequate



**Fig. 9.** (Top) The distribution of WFEs at 353 GHz for 3000 cases of thermo-elastic deformations drawn from the error distributions of all known cases leading to misalignment (see description in Sect. 5.2). (Bottom) The distribution of defocus errors (i.e. the difference along the principal axis between the locations of the focal plane formed by the telescope and that of the feedhorn phase centre). The horizontal axis is defocus in millimetres. Note that the specific horn used in this analysis is displaced from its optimal location along the focal axis by about 0.35 mm; this is a result of the global optical design which necessarily required that some of the horn locations were not optimised.

to rule out human error. With this (relatively low) accuracy, the predicted location was able to reproduce the measured one<sup>6</sup>.

## 6. Radio frequency verification

Although the design and verification of the *Planck* telescope were based on specified WFE levels, ultimately it is the radio frequency (RF) performance that matters. Measuring the RF performance of the optical system at in-flight conditions (low temperature, vacuum) is infeasible due to the dimensions involved in a measurement setup. A Shack-Hartmann measurement of the entire telescope at  $\lambda 10 \mu\text{m}$  was initially planned but eventually discarded as too complex and costly. Instead, an RF measurement campaign based on the qualification model of the *Planck* telescope (the “RFQM”) was implemented as a means of building confidence in the process of estimating the flight predictions. The objectives of this campaign (Forma et al. 2008)

<sup>6</sup> The accuracy is probably far higher than that specified when taking into account all the information available in the pattern. A deviation of 0.5 mm between prediction and measurement was found along the defocus direction, which could not be related to any known systematic effect, and represents the limit of the uncertainty. This deviation was also seen on the RFQM, and is as yet unexplained. As a consequence, it is not safe to claim a superior accuracy in the measurement than the quoted one.

were to: (a) measure the qualitative RF properties of the optical system; and (b) validate the ability of GRASP to predict the flight patterns based on geometrical information. The key results of this campaign are: (a) a GRASP model that can be applied to the geometry of the flight reflectors (referred to as FM for “Flight Model”); and (b) the difference between predicted and measured patterns, which provides a quantitative measure of the uncertainty in the modelling based on ground information.

The RFQM, including a representative focal plane structure and all the important associated payload elements (e.g. baffle, V-groove) was placed in a CATR and used to measure  $4\pi$  radiation patterns of flight-like horns at frequencies between 30 GHz and 320 GHz, including two orthogonal polarisation directions. The surfaces of the reflectors were measured using photogrammetry, and the alignment of the object tested was measured in-situ in great detail, in both cases using techniques similar to those later used to align the FM. The radiation patterns of the feedhorns used were separately measured. The geometry of the GRASP model used for flight predictions was based on all these measurements.

### 6.1. Main beams

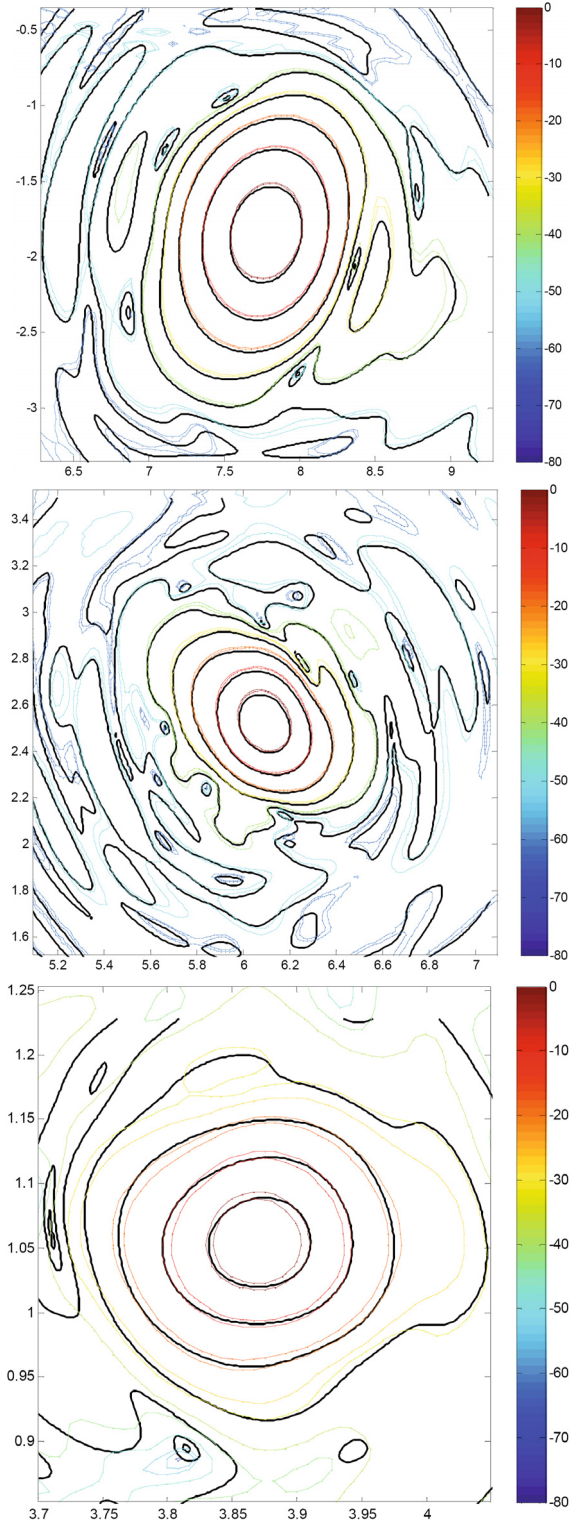
The RFQM main beams<sup>7</sup> were measured with a high sampling density. Examples are shown in Fig. 10. The prediction model for the main beams is based on physical optics and the only inputs to be adjusted are geometrical ones. The comparison of predictions and measurements shows differences that increase significantly from low to high frequencies. In terms of total integrated power, the differences vary from a few tenths of one percent (i.e. within measurement uncertainties) to 6–7 percent. These differences can be partly attributed to measurement errors and other CATR-induced systematics. However, even at low frequencies, where the errors are very low and systematics well under control, differences of  $\sim 3\%$  can be seen in some cases. Therefore the ability to predict the in-flight patterns based on geometrical information acquired on the ground has been validated to an accuracy of a few percent in total power, increasing to levels of 5–6 percent at the higher frequencies.

Cross-polar measurements were also performed for each detector (see Fig. 11), but were affected in some cases by significant systematic effects caused by (a) the weakness of the signals; (b) greater sensitivity to misalignments in the CATR; and (c) both poor cross-polar characteristics and poor knowledge of the CATR transmitter horns.

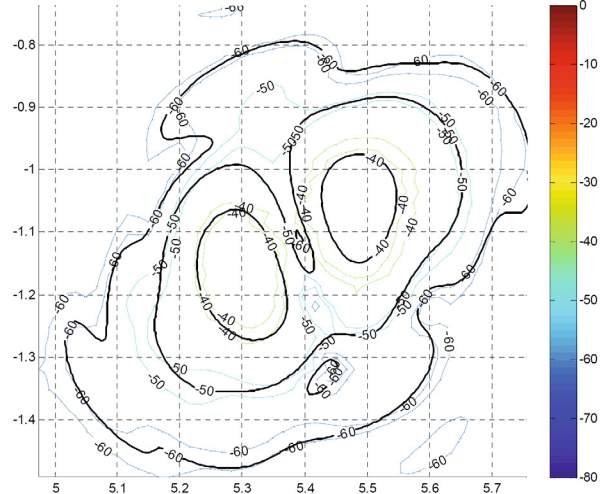
### 6.2. Far side lobes

When it comes to the computation of far side lobes, pure physical optics computations are too time consuming to be practical and it becomes necessary to use other techniques. The alternative provided by GRASP is “Multi-GTD” (Multi-ray Geometrical Theory of Diffraction, GRASP Manual 2008). In addition to the geometry of the system, it is also necessary to provide GRASP with the families of rays that it propagates to the far field. The number of families is theoretically infinite and needs to be restricted. The first iteration to this was blind, on the basis of experience and a first estimation of the amount of power carried by each ray family. The second iteration was based on the RFQM measurements, i.e., wherever there was a significant

<sup>7</sup> Defined as the patterns within square windows around peak of 2.5, 1.0, 0.8, and 0.35 degrees at 30, 70, 100, and 320 GHz respectively. The windows are sized to include at least the  $-40$  dB contours.



**Fig. 10.** Comparison of predicted (black lines) and measured (coloured lines) main beam copolar patterns at 30 GHz (*top*), 70 GHz (*middle*), and 320 GHz (*bottom*). The horizontal and vertical axis are in azimuth and elevation in the RFQM coordinate system (degrees, arbitrary offsets). The double coloured lines represent the  $\pm 1\sigma$  measurement error envelope at each contour level; contours are shown at  $-3$ ,  $-10$ ,  $-20$ ,  $-30$ , etc. dB from peak. The estimated measurement errors are 0.07, 0.13, 0.45 dB at the  $-3$  dB contour for 30, 70, 320 GHz respectively; 0.21, 0.36, and 1.24 dB at the  $-20$  dB contour; and 0.5, 0.8, and 2.9 dB at the  $-50$  dB contour. The predicted contours should fall inside the double (measured) contours. The difference in total power between measurement and prediction is 0.2, 6.6, and 4 percent, respectively.

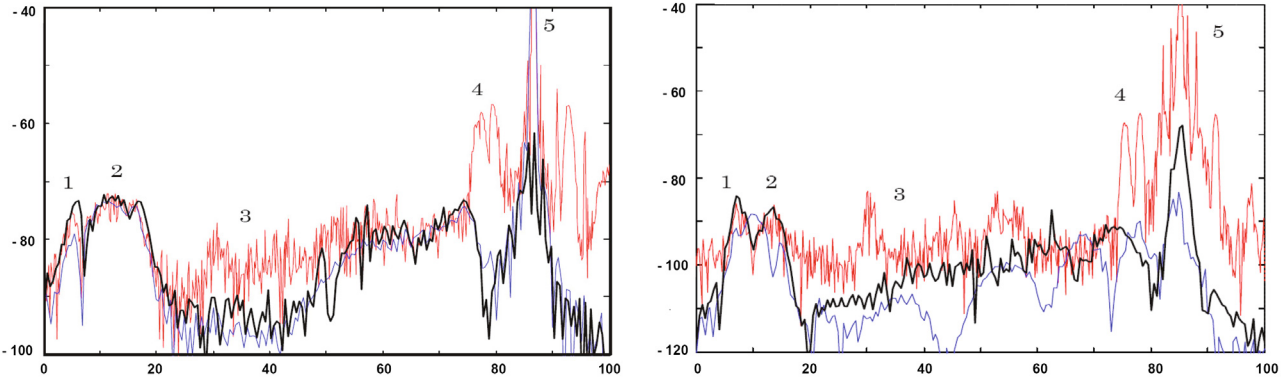


**Fig. 11.** Comparison of predicted (black lines) and measured (coloured lines) main beam cross-polar patterns at 100 GHz. The horizontal and vertical axis are in azimuth and elevation in the RFQM coordinate system (degrees, offsets). The double coloured lines represent the  $\pm 1\sigma$  measurement error envelope at each contour level; contours are shown at  $-40$ ,  $-50$ ,  $-60$ , etc. dB from peak (of the co-polar pattern). The estimated measurement errors are 1.12, 2.0, and 3.7 dB at the  $-40$ ,  $-60$ , and  $-80$  dB contours from co-polar peak level. The predicted contours should fall inside the double (measured) contours. This is an example where the correlation between measurement and prediction is quite good. At other frequencies, e.g. at 70 GHz, the correlation is very poor (and understood to be caused by a poor cross-polar characteristic of the transmitter horn).

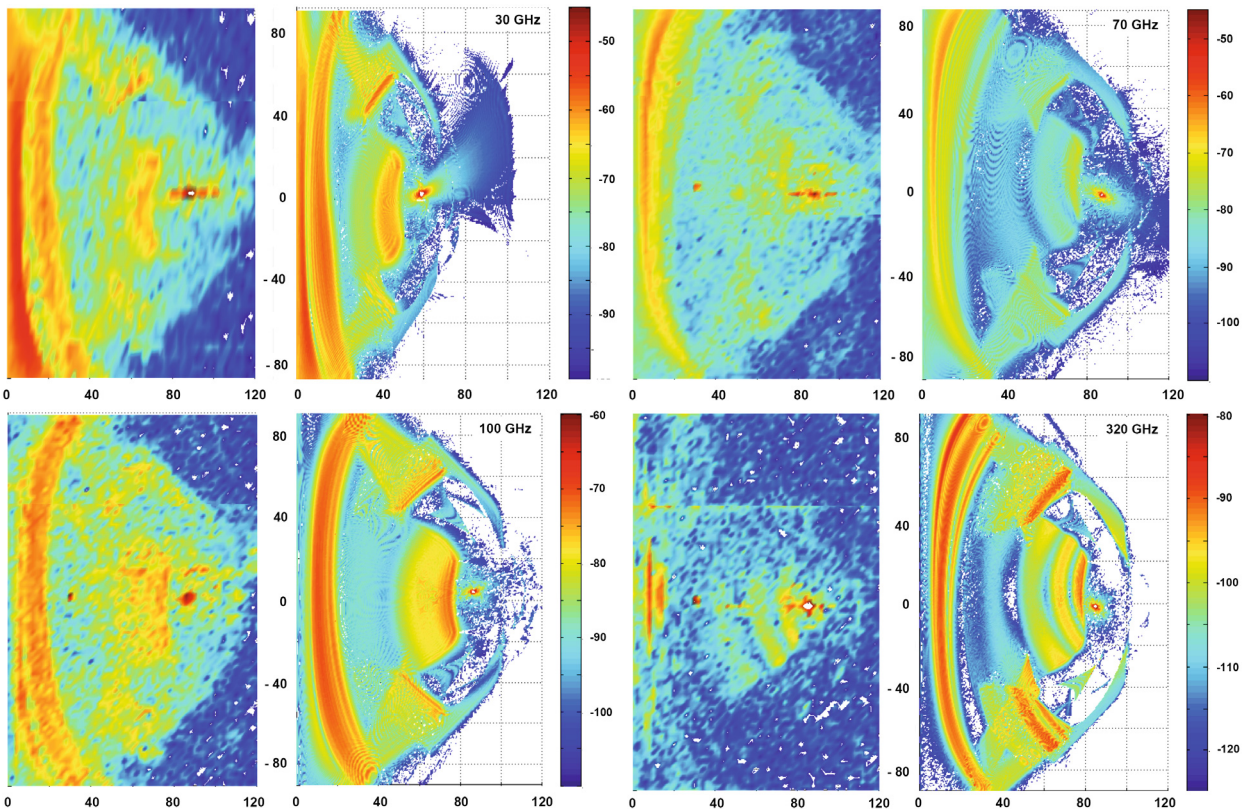
discrepancy between prediction and measurement, there was an attempt to improve the agreement by adding ray families or new geometrical elements. In this process, some additions to the initial model were implemented, yielding improvements in limited parts of the sphere (Nielsen 2008). Among the changes implemented were: improvement of the V-groove floor reflections, addition of side panels of the focal plane assembly as scatterers, and the addition of some new ray families whose contribution was more important than initially predicted. The most important improvement was possibly the correction by PO calculations in localised patches of the sphere of some unrealistically sharp features in the pattern produced by the multi-GTD technique. A typical result of the correlation exercise is shown in Fig. 12 at 100 and 320 GHz; similar analysis was carried out at all measured frequencies and over several regions of the sphere to yield the final GRASP models for the far sidelobes.

The derived far sidelobe models can be compared to the measurements in Fig. 13. The qualitative correlation is generally quite good; all the major spillover features are reproduced with roughly the predicted peak levels, though many are not as sharp as in the predictions. The worst correlation is clearly seen at 320 GHz, where a number of bright spillover features are predicted but not detected. The measured level of the PR spillover at 30 GHz surprisingly also disagrees with models by about 3 dB (a large factor compared to the estimated measurement error).

One interesting feature is that the predicted deep nulls surrounding the main beam and bordered by the SR spillover, baffle spillover, and baffle edges, appear in general to be more filled with power in the measured case. A diffuse reflection field from the CATR could be responsible for this effect, or, as argued in Sect. 6.4, it could be attributed to dust deposited on the reflectors.



**Fig. 12.** The figures show cuts in the radiation pattern at 100 GHz (*left*) and 320 GHz (*right*) in the elevation direction through the beam peak; the horizontal axis is in degrees, the peak of the main beam is at  $85^\circ$ . The vertical axis is in dB from peak (which is 61.5 dBi at 100 GHz and 68.4 dBi at 320 GHz). The measured level is shown in red, the initial model in blue, and the improved model in black. The regions labelled 1 and 2 show the SR spillover (see Fig. 5). Region 1 shows an area where PO corrections are required to the GTD model. The area labelled 3 shows a region where there is poor correlation between the model and the measurement; this lack of correlation could be caused by dust on the reflectors (note that the nominal limit of the measurement noise is well below the measured level for both frequencies). The regions 4 and 5 correspond to artificial peaks produced by known artifacts created by features of the CATR reflectors (edge serrations, milling channels). Note that the main lobe is not well represented by this (multi-GTD) model which is specifically designed for the full sphere.



**Fig. 13.** The RFQM radiation patterns, as measured (*left*) and predicted (*right*). Clockwise from top left: 30, 70, 320 and 100 GHz. The colour scales are in dB from peak. The coordinate system is as in Fig. 5. The measurements suffer from some systematic effects very close to the main beam. Residual artifacts are also visible in the far side lobes, e.g. horizontal features at 320 GHz.

## 7. Flight performance predictions and associated uncertainties

### 7.1. Methodology

The knowledge gathered on the ground was distilled into a prediction of the optical performance in orbit. This prediction consists of GRASP calculations using the inputs (i.e. PO parameters and GTD ray families) correlated with the RFQM measurements (see Sect. 5), and the most accurate estimates of the geometry of

the telescope in operational conditions. The most interesting aspect of this exercise is perhaps the estimation of the uncertainties associated with the prediction. To identify the uncertainty range in the estimation of radiation patterns in the far field, three different geometries were defined:

- a “nominal case”, which corresponds to the most accurate (“best estimate”) of the as-built telescope and reflectors in operating conditions (as described in Sect. 5);

**Table 5.** Inputs used for flight predictions.

Case	Feedhorns	PR	SR	Alignment <sup>a</sup>
Nominal	LFI: as-built <sup>b</sup> HFI: as-built <sup>c</sup>	Photogrammetric	Interferometric	As-built
Best	LFI: as-built HFI: as-built	Perfect Ellipsoid	Interferometric	Best
Worst	LFI: as-built HFI: measured <sup>e</sup>	Photogrammetric + Synthetic <sup>d</sup>	Interferometric	Worst ( $1\sigma$ )

**Notes.** <sup>(a)</sup> The selection of the alignment cases is described in Sect. 6.1.

<sup>(b)</sup> Based on an electromagnetic model of the as-built geometry, whose RF properties have been correlated against measurements, and which has been corrected for cool-down effects (Sandri et al. 2010).

<sup>(c)</sup> Based on an electromagnetic model of the as-built geometry (Maffei et al. 2010); cool down effects have a negligible impact.

<sup>(d)</sup> A set of small-scale spatial deformations was synthesized similar to those measured on the SR by interferometry.

<sup>(e)</sup> In some cases the feedhorn patterns were significantly different from the predicted ones; for these cases a hybrid pattern was developed which accounted for the measurements (Maffei et al. 2010).

- a “worst case”, which corresponds to a case where each individual element of the geometrical configuration (feedhorn, reflectors, alignment) has been deformed from its nominal value by an amount corresponding to the  $1\sigma$  individual uncertainty in the direction of lower directivity (the  $1\sigma$  level was determined as described below);
- a “best case”, similar to the “worst case” but in the direction of higher directivity.

The alignment is the dominant component of the flight prediction uncertainty. The alignment depends on a dozen partly-dependent parameters (translations, rotations and deformations of the reflectors, telescope structure, and focal plane). For the same WFE, the RF pattern calculated can vary widely for different combinations of parameters. Therefore, the selection of which values to select for the parameters of best- and worst-case alignments is non trivial. The Monte-Carlo simulation described in Sect. 5.3 was used to determine the occurrence likelihood of all cases in the WFE-defocus plane. Within the 68% likelihood contour, the selected best case was the one that minimised WFE with the largest defocus, and had the smallest parameter variations from the as-built case (and therefore the highest probability of occurring). The worst case was selected to be that which maximised WFE with the largest defocus. Since defocus has the lowest-order angular aberration effect on the main beam, this selection provides an “envelope” for higher mode parameter combinations.

Table 5 contains a summary of the inputs used for each of the above cases.

The GRASP calculations are monochromatic, but the detectors are rather wideband. This means that several monochromatic patterns must be calculated for each detector, and then averaged<sup>8</sup> to simulate the pattern that the detector sees. In addition, since most detectors are polarisation sensitive, each calculation should be repeated for each orthogonal polarisation direction. The total number of patterns to be calculated should ideally be several thousands, and is therefore prohibitive in terms of computing resources. As a compromise, for each main beam single polarisation calculation, 5 frequencies were calculated across the band. An indication of the effect of band-averaging is given in Table 2.

<sup>8</sup> To compute the wideband pattern, the optical response within the band should be multiplied by the spectral transmission of each detector and the spectral emission law of the source in the sky. The latter varies from place to place on the sky.

**Table 6.** Typical uncertainties in beam characteristics obtained from the ground.

Freq. (GHz)	Horn No. <sup>a</sup>	<i>FWHM</i> (arcmin) <sup>b</sup>	Ellipticity <sup>c</sup>	Peak gain (%) <sup>d</sup>	<i>X-Y</i> Mismatch (%) <sup>e</sup>
30	28	-0.1	0.34	0.34	0.02
44	24	-0.44	0.84	1.14	-0.02
44	26	0.24	-0.58	-0.58	0.11
70	23	-0.96	0.70	2.84	0.10
100	2	-0.26	1.46	1.37	0.05
143	2	-1.22	-3.35	2.40	0.10
217	5	-0.15	-0.81	3.95	-0.01
353	6	-4.8	2.02	10.3	0.36

**Notes.** <sup>(a)</sup> The selected horns can be identified in Fig. 4. At 44 GHz two horns are included because of their very different behaviours due to their location in the focal plane; <sup>(b)</sup>  $100 \times$  (best-worst)/best, average of *X* and *Y* detectors; <sup>(c)</sup>  $100 \times$  (best-worst)/best, average of *X* and *Y* detectors; <sup>(d)</sup>  $100 \times$  (best-worst)/best, average of *X* and *Y* detectors; <sup>(e)</sup> best-worst, % power integrated within the 3 dB contour.

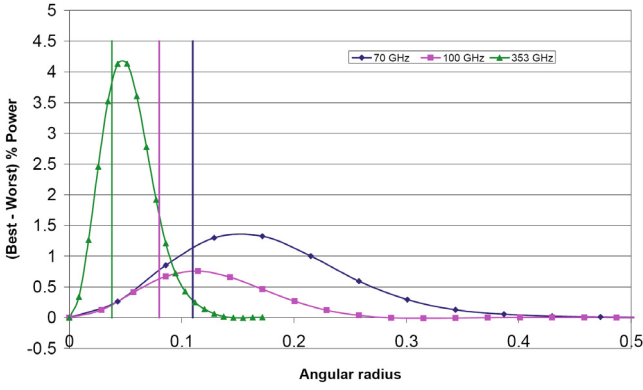
## 7.2. Predicted uncertainties

The patterns resulting from the flight prediction are visually similar to those of Fig. 13; one example is given in Fig. 15. It is more interesting to review the predicted uncertainties, described for some representative cases in Table 6 and Figs. 14 and 15.

Table 6 shows that the range of possibilities allowed by the ground test is quite significant, especially at high frequencies. The differences in peak gain imply redistribution of power up to  $\sim 10\%$  at 353 GHz. However, Fig. 14 indicates that the vast majority of this power is concentrated within the  $-10$  dB contour<sup>9</sup>. These differences will be easily detectable when the beams are scanned over planets, e.g. Jupiter, especially at the higher frequencies (see Sect. 10). The uncertainties impacting the measurement of polarisation should be quite minor, as can be seen in the low *X-Y* mismatch differences indicated in Table 6.

In the far sidelobes, the main uncertainties in the predicted patterns are concentrated at the main spillover features, where differences of a few dB can be seen in Fig. 15. These differences imply factors of a few in the straylight signals.

<sup>9</sup> This is not too surprising since the worst case was selected to maximise defocus (considered more likely) at the expense of power in smaller scale aberrations.



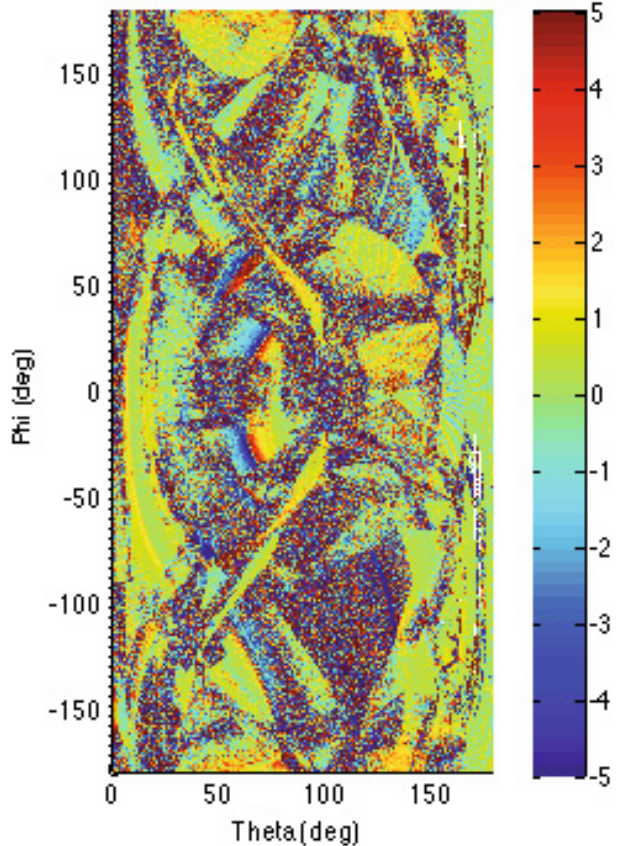
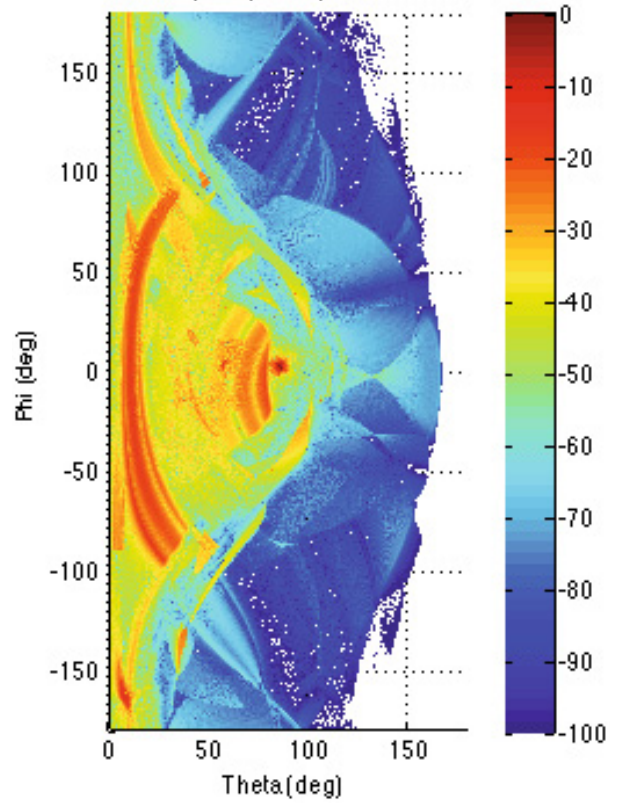
**Fig. 14.** The ground uncertainty in the shape of three specific main beams at centre frequency, represented as the azimuthally integrated power (in percent of total) of the difference between the best- and worst-case patterns, as a function of the integration radius from peak. The horns included are 70 GHz (horn 23), 100 GHz (horn 1), and 353 GHz (horn 6). The vertical lines indicate the location of the  $-3$  dB radius at each frequency. The peak differences occur near the  $-10$  dB contours from peak. For comparison, the contour level at which a  $S/N \sim 1$  is reached when observing Jupiter, is  $\sim -20$ ,  $-30$ , and  $-43$  dB from peak, respectively.

## 8. Straylight

As shown in Fig. 5, light from the sky can enter the detectors not only through the main beam but from other angular directions, mainly confined to the three features marked, i.e. the PR, SR, and Baffle spillover lobes (De Maagt et al. 2000).

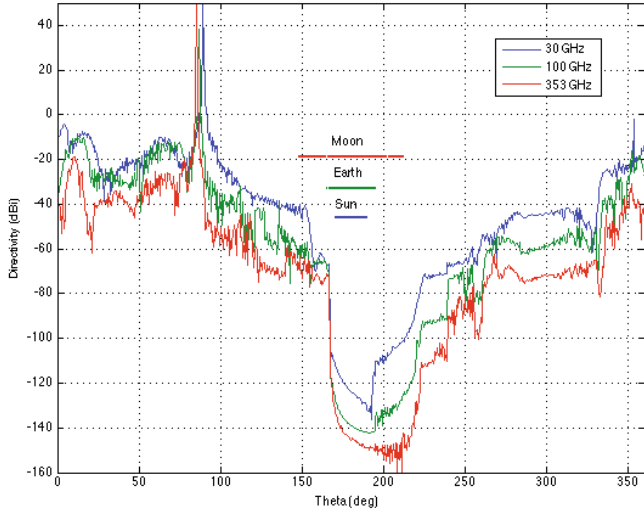
The PR spillover lobe contains the most power of the three. Its location close to the spin axis means that this lobe moves slowly across the sky in synchrony with the scanning strategy. It couples most effectively to the Galactic plane when the spin axis crosses it, once per full-sky survey. The signal at detector level peaks at each crossing with an amplitude of order  $\sim 1 \mu\text{K}$  in antenna temperature at frequencies around 100 GHz (Burigana et al. 2004). At lower frequencies, the signal amplitude is increased by a few (to  $\sim 5 \mu\text{K}$ ) as the spillover levels increase (see Table 2) because of the greater importance of diffraction effects; at higher frequencies, the amplitude decreases correspondingly. The PR spillover lobe also couples to the CMB dipole, tracing a large-scale pattern on the sky that depends closely on the details of the scanning strategy, with peak-to-peak amplitudes that can be as high as  $\sim \pm 23 \mu\text{K}$  (scaled from Burigana et al. 2006) at low multipoles; most of this signal remains constant in time with only about 20% varying sinusoidally. The signals related to the PR spillover are therefore of very significant amplitude, and have to be detected in-flight and removed (since as described in Sects. 5 and 6.2 the amplitude of the spillover lobes is predicted from the ground at best with an uncertainty of a factor of a few). This will be possible because they are closely linked to the scanning strategy and to well known sources in the sky, and redundancies in the observations can be used to separate the two.

The SR spillover lobe typically contains less power than the PR spillover (2), and it is more closely linked to the main beam because it follows a similar path on the sky: the signal it produces will largely trace the Galactic plane. Because it is less closely linked to the scanning strategy than the PR spillover, it will be more difficult to directly measure its amplitude in-flight; however, it is more accurately known a-priori than that of the PR spillover, as it is mostly due to direct illumination of the feedhorns, whose individual responsivities have been measured on the ground.



**Fig. 15.** The top panel shows the predicted in-flight pattern at 353 GHz (horn 6). The colour scale is in dB from peak. The bottom panel shows the difference between best- and worst-case patterns for the same horn. The colour scale shows differences between  $-5$  and  $+5$  dB. The largest uncertainties are associated with the SR spillover lobes.





**Fig. 16.** Three cuts through the main beam in the telescope symmetry plane ( $\phi = 0^\circ$  in Fig. 5) at 30, 100, and 353 GHz. The horizontal axis is  $\theta$  as in Fig. 5; the vertical axis is in dBi. The horizontal lines show the levels at which Sun, Moon, and Earth would induce a signal of  $1 \mu\text{K}$  in the detectors; and the angular regions where each object has an influence.

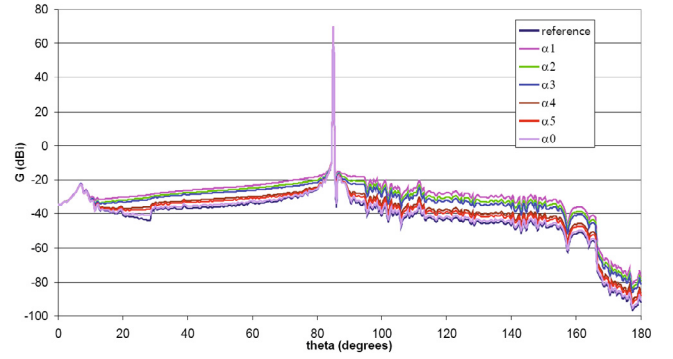
Solar system objects may also produce a signal as they travel through the far-sidelobes of the beam patterns. In the coordinate system of Fig. 5 and with the current baseline orbit, the Sun traces a path within the region from  $\theta \sim 170^\circ$  to  $190^\circ$ , the Earth from  $\theta \sim 165^\circ$  to  $195^\circ$ , and the Moon from  $\theta \sim 148^\circ$  to  $180^\circ$ . For the signal produced by the object to be weaker than  $1 \mu\text{K}$ , the pattern directivity in this region should be less than  $-46$  dBi (Sun),  $-33$  dBi (Earth) and  $-19$  dBi (Moon)<sup>10</sup>. The flight prediction model meets this requirement with at least a margin of 20 dB at 30 GHz, increasing to margin of more than 30 dB at 353 GHz (see Fig. 16), but note that this margin may be eroded significantly at higher frequencies because of the presence of dust (see Fig. 17).

The level of *polarised* straylight was estimated in a simplified way (Hamaker & Leahy 2004). Typical peak values found for Stokes  $U$  and  $Q$  Galactic straylight at 30 GHz are  $1$ – $1.5 \mu\text{K}$ , resulting from leakage of Stokes  $I$ . At frequencies near the minimum of Galactic emission, the polarised straylight amplitude should be much lower (Hamaker & Leahy 2004, estimate by a factor of  $\sim 10$ ). In polarisation, the dipole straylight is relatively weak compared to the Galactic contribution; this is because of the presence of both positive and negative features in both side-lobe complexes, which tends to cancel large-scale structures.

### 8.1. The effect of dust

Dust deposited on the telescope reflectors absorbs and scatters light and therefore modifies the radiation pattern produced by a clean reflecting surface. The effect on the pattern depends sensitively on the number, size, shape, and type (composition) of the dust particles. None of these quantities can be predicted with accuracy for the case of *Planck* in-flight. In particular, the deposition of particles from the rocket fairing could dominate the dust deposited on the reflectors in-flight, and yet this component of the dust distribution is very poorly known. Nonetheless, analyses have been performed to estimate the potential impact of dust on the beam patterns. This analysis was first described in

<sup>10</sup> To be compared to typical peak directivity levels of 51 dBi (30 GHz), 61.6 dBi (100 GHz) and 69.3 dBi (353 GHz).



**Fig. 17.** The estimated effect of dust on a beam pattern at 353 GHz for an obscuration level of 5000 ppm. The coloured lines reflect different assumptions about the aggregation of dust over time on the reflectors; the most robust estimate corresponds to  $\alpha \sim \alpha_3$ . At 100 GHz, the estimated effect is negligible with respect to the gain from the clean surface. At 857 GHz, the estimated effect is increased by an order of magnitude (in dBi).

De Maagt et al. (2000) and later reiterated to include the most accurate estimates of dust characteristics for *Planck*. It assumes that:

- The characteristics of the dust particles (shape, type, and size distribution) are those found in clean rooms, which have been measured and standardised (MIL-STD1246)<sup>11</sup>, modified by deposition onto vertical surfaces and the integrated exposure time<sup>12</sup>.
- The multipole expansion (MPE) method is used to estimate the scattering of particles<sup>13</sup>. It allows us to calculate the bi-reflectance distribution function (BRDF), which is the effective angular scattering function, for the particles on the reflectors.
- The amount of dust on the reflectors in-flight is represented by an obscuration level of  $\sim 5000$  ppm<sup>14</sup>, which leads to a peak BRDF of order  $0.08 \text{ sr}^{-1}$  at 353 GHz (lower by an order of magnitude at 100 GHz, and higher by a factor of  $\sim 5$  at 857 GHz).
- The effect of dust on the surface of the baffle has been ignored.

With the above assumptions, De Maagt et al. (2000) derive a relationship between the forward gain of a clean surface and a contaminated one:

$$G_{\text{real}} \approx G_0(\theta) + 10\text{Log}(K_0) + 10\text{Log}(1 + g_s(\theta)) \quad (1)$$

$$g_s(\theta) = \frac{2\pi\text{BRDF}(\theta)10^{-\frac{G_0(\theta)}{10}}}{K_0}, \quad (2)$$

where  $K_0$  is the attenuation factor due to the obscuration by dust.

<sup>11</sup> Particles gathered from clean rooms used for *Planck* have been analysed and their dielectric indices used in this analysis.

<sup>12</sup> Particles deposited on surfaces tend to aggregate into “fiber”-like shapes and therefore their size distribution changes with exposure time. This process is modelled via a so-called “Hamberg” relation; the related uncertainty is one of the largest in the whole analysis.

<sup>13</sup> Other methods are available, but MPE has been found to be the most conservative choice in the sense of producing the greatest disturbance.

<sup>14</sup> A very conservative in-flight prediction is in the range 3000–6000 ppm for each reflector, of which the launch component is estimated between 1000 and 4000 ppm. However, the latter is very likely to be at the low end of its range.

The resulting typical effect on a beam pattern is illustrated in Fig. 17. We emphasize that the uncertainty in this estimate is large. One of the features noted in the RFQM measurements is that at high frequencies (i.e. at 100 and 320 GHz), the measured patterns show gain levels in the mid-side lobe regions that are higher than expected. Figure 12 shows a discrepancy (labelled “3”) between the predicted and measured patterns, which could be caused by dust<sup>15</sup>; a corresponding feature is seen in the same angular region at 320 GHz at a level 10 dB higher, which is consistent with the frequency dependence of dust scattering. A detailed 2D view of the 100 GHz measured and predicted patterns (Fig. 18) reinforces the indication. However, if these measured levels are truly due to dust, then they are at a higher amplitude than even most conservatively modelled. An alternative explanation is that they are caused by diffuse reflection and scattering from the walls of the CATR.

### 9. Self-emission

The payload and satellite radiate thermally within the detector bandwidths; if the radiating surface fluctuates in temperature or emissivity, a corresponding signal fluctuation at the detector will be generated. This is referred to as self-emission. The amplitude of the detected signal depends on:

- the amplitude of the temperature fluctuation;
- the emissivity of the surface;
- the RF coupling of the surface to the detector.

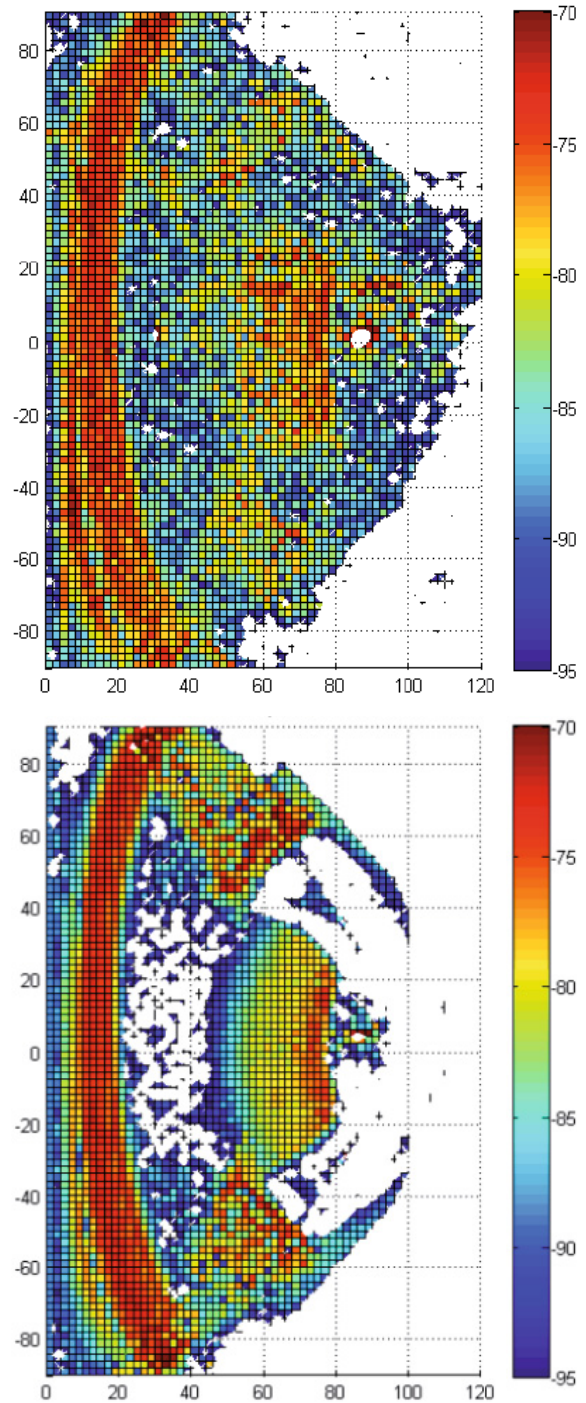
The most troublesome self-emission signals are those that are synchronised with the satellite spin rate or one of its harmonics, as they cannot be distinguished from a signal originating in the sky. The main sources of thermal fluctuations within the payload module are the 4 K and sorption coolers, which are thermally linked to the focal plane and the V-grooves (the upper one of these is the most relevant one). Their basic temporal frequencies are not linked to the spin rate: the 4 K cooler basic frequency is  $\sim 40$  Hz, and the sorption cooler has two basic periods: one related to the individual beds (varying between  $\sim 1000$  s at the beginning of life to  $\sim 500$  s at the end of life) and one to the whole cooler cycle (six times longer). However, their spectra are broad and the sorption cooler especially contains weak components at and near  $1/60$  Hz and harmonics; these are taken as the worst case values. The reflectors are thermally linked to the V-grooves, and therefore also have some very low level (of order  $\sim 0.1 \mu\text{K}$ ) residual fluctuation related to the coolers.

Both the baffle and the top of the primary reflector may be directly illuminated by the Moon, when the angle of the spin axis to the Moon is larger than  $14.5^\circ$  (respectively  $25^\circ$ ), in which case a spin-synchronous fluctuation is excited. These situations will be avoided by mission planning but represent a useful worst case to analyse.

The optical coupling levels from the payload elements to the detectors were estimated using GRASP and are shown in Table 7. The table shows that the worst-case spin-synchronous signals at the detector due to self-emission are of order nK. Signals that are present at other frequencies can be correlated to thermometer readings and are thus easy to remove.

Farther from the payload, the Service Module contains a warm radiator that dissipates into space the desorption heat used

<sup>15</sup> The estimated obscuration by dust on the RFQM reflectors is larger than that expected for *Planck* in-flight, i.e.  $\sim 10\,000$  ppm, which should increase the BRDF by a factor of  $\sim 2$ .



**Fig. 18.** When viewed on the same grid and at the same resolution, it becomes apparent that the pattern measured on the RFQM at 100 GHz (above) does not have the deep nulls seen in the most accurately predicted patterns (below). It is possible that this filling-in of low-level power is due to scattering by dust on the reflectors (see Sect. 8.1). A similar effect is seen at 320 GHz (see Fig. 12) with a higher level of  $\sim 10$  dBi, which is qualitatively consistent with the effect of dust.

by the sorption cooler. The amplitude of its temperature fluctuation is large ( $\sim 1$  K) but it is very weakly optically coupled to the detectors (with coupling below  $10^{-17}$ ). The inner edge of the solar array may fluctuate with the spin but is also weakly coupled ( $10^{-13}$ ). The strongest signal may be caused by the daily operation of the telemetry transmitter, which is estimated to induce an increase in temperature of several 100 mK at the top of

**Table 7.** Optical coupling of payload elements to detectors

	Emissivity <sup>a</sup>	Temp. fluctuation <sup>b</sup>	Frequency (GHz) <sup>c</sup>			
			30	70	100	353
Baffle	0.05	30	$1.7 \times 10^{-3}$	$2.3 \times 10^{-3}$	$1.7 \times 10^{-3}$	$2.5 \times 10^{-4}$
Groove 3 (inside baffle)	0.05	9.5	$2.3 \times 10^{-4}$	$2.7 \times 10^{-4}$	$4.0 \times 10^{-4}$	$3.7 \times 10^{-5}$
Groove 3 (outside baffle)	0.05	6.5	$2.3 \times 10^{-7}$	$1.7 \times 10^{-7}$	$1.7 \times 10^{-7}$	$7.7 \times 10^{-8}$
PR (central sector)	0.02	0.2	0.74	0.74	0.74	0.74
PR (Moon-illuminated upper sector)	0.02	1.3	$6.1 \times 10^{-4}$	$6.1 \times 10^{-4}$	$6.1 \times 10^{-4}$	$6.1 \times 10^{-4}$
PR (outer sector)	0.02	1.1	0.26	0.26	0.26	0.26
SR	0.02	<0.01	1.0	1.0	1.0	1.0

**Notes.** <sup>(a)</sup> Conservatively high value. More realistic values are given in Sect. 4.

<sup>(b)</sup> Maximum fluctuation amplitude at 1/60 Hz ( $\mu$ K). The dominant fluctuation source is the Moon illumination for all surfaces but the 3rd V-groove and the SR (which are dominated by the sorption cooler). Moon illumination will be avoided by mission planning, in which case the lower SR levels are applicable also to the PR and the baffle.

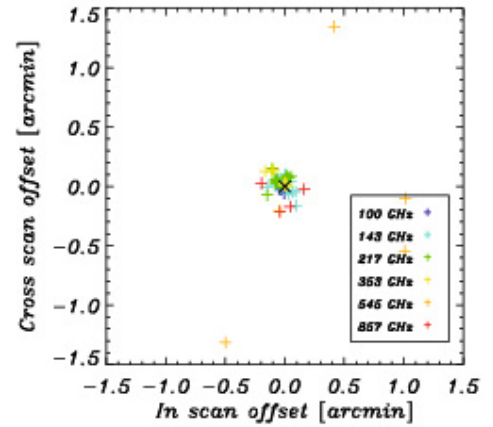
<sup>(c)</sup> A specific detector has been arbitrarily chosen for the calculation of the coupling, which is representative of all detectors.

the Service Module, which is however very slow and very effectively decoupled from the top V-groove.

## 10. In-flight characterisation plans

The in-flight optical characterisation is based on the observation of bright point sources. It has as its main objectives:

1. The measurement of the geometry of the focal plane, i.e., the relative direction and orientation of each of the *Planck* beams with respect to the satellite reference frame (whose direction is provided by the on-board star trackers). The basic method is to isolate the region of each detector's time series data around known source positions (especially planets), assuming a fiducial geometry for the focal plane, using spacecraft attitude data from the star trackers. The timestream data is then fit for the shape and location of the beam, and used to measure individual detector locations. Assuming a rigid focal plane, this can also be used to measure an overall rotation of the focal plane. With simulated data, the nominal positions of the HFI detectors are recovered with an rms of roughly 5 arcsec using Saturn as a source, as shown in Fig. 19. The locations of the LFI beams will be recovered at least as well; and a rigid rotation of the focal plane is recovered with an accuracy of  $\sim 8$  arcsec. We note that this simulation is for one planet crossing only, but several bright planets will be encountered every 6 months, which will increase the accuracy of the recovery considerably, and allow us to evaluate any slow drifts in the focal plane due to thermoelastic effects.
2. The measurement of the angular responsivity of each beam. As described in Sects. 5 and 6, the flight prediction does not achieve the sub-% accuracies required by *Planck*. In-flight mapping of the beams therefore is the key that will allow us to extend the photometric calibration from the very large angular scales where the CMB dipole provides an excellent calibration signal, to the beam-sized angular scales where the CMB anisotropies still contain significant amounts of information. The measurements will be performed principally on planets, which are encountered during routine surveying of the sky at a frequency of once every 6 months (or once each full sky survey). The technique was analysed for the case of *Planck* (Burigana et al. 2001a,b; Naselsky et al. 2007; Huffenberger et al. 2010). The last work is based on a realistic model of the detector response including significant complicating factors such as the time response of



**Fig. 19.** Reconstruction of HFI detector positions from Saturn. *The upper left* shows the reconstructed positions for each detector (the anomalous recovery of 545 GHz detectors is an artifact partly of the simulation and partly of the top-hat shaped beams), and the other panels show histograms in the in- and cross-scan directions and the total offset.

the HFI bolometers. It confirms that beam errors will be the driving systematic in the estimation of some cosmological parameters. In the worst case analysed, using no information about the optics except the measurement of planets, Huffenberger et al. (2010) find that a single transit of Jupiter across the focal plane will measure the beam transfer functions more accurately than 0.3% for the channels at 100–217 GHz which are the most sensitive to the CMB. Constraining the beam with optical modeling can lead to higher quality reconstruction, especially at the larger angular scales.

The techniques studied so far are based on the mapping of individual beams and/or the recovery of a set of parameters that describe the beam in an optimal manner for a given scientific problem. It is also possible to combine beam maps at several frequencies to recover the most uncertain geometrical parameters of the telescope in-flight, namely the alignment and first-order reflector distortions; with an improved geometrical reconstruction, a more faithful GRASP model of each beam can then be constructed. Preliminary and simplistic analysis (Nielsen 2009) indicates this approach permits us

to recover the beam shapes to sub-% accuracy in integrated power.

3. The determination of the angle of the principal plane of polarisation for each detector. Two aspects must be considered that lead to different classes of systematic effects: relative and absolute calibration. Relative calibration will be derived from *Planck* data alone by fitting cross-polarization and polarizer angles in the map making equation. Any polarized region in the sky and in particular the high signal about the Galactic plane will be used. Initial studies have shown we can expect a precision around  $1^\circ$  for the polarizer orientations, and superior to 1% for cross-polarization leakage. More details about the method will be given in a forthcoming paper.

For absolute angle determination, the main calibrator is the Crab nebula (Tau A, NGC 1952), a supernova remnant of intense, stable, and known polarization. Dedicated observations at the IRAM 30 m telescope were conducted (Aumont et al. 2010) to map the Crab's polarization at 86 GHz with high precision ( $\sim 0.3^\circ$  orientation uncertainty, and  $\sim 2\%$  fractional polarisation uncertainty). Based on these maps and extrapolation of the synchrotron electromagnetic spectrum to *Planck* frequencies (Macías-Pérez et al. 2010), it is possible to construct an estimate of the signal measured by *Planck* if the detectors have their nominal orientation and cross-polarization. A maximum likelihood fit of the difference between this estimate and the measured *Planck* signal provides the true polarization properties of the detectors. Additional information may be provided by other measurements of the Crab by SCUBA at 353 GHz and from observations of a fraction of the Galactic plane by BICEP at 100 and 150 GHz. An analysis of the accuracy achievable by the LFI channels is made in Leahy et al. (2010).

## 11. Conclusions

The complexity of the *Planck* payload, and the low temperatures achieved by the optical elements and detectors, have meant that no end-to-end measurement of the optical response could be made that fully represents the in-flight situation. The on-ground characterisation of the *Planck* optics was indeed based on multiple measurements of both qualification and flight models at feedhorn, reflector, and telescope level.

Using a variety of analytical techniques, all the subsystem-level measurements have been combined into a complete set of estimated in-flight performances and associated uncertainties. The ground-based analyses have allowed us to conclude that:

- The major characteristics of the main beams are within our requirements (Sect. 4).
- The predicted uncertainty of the alignment is too large to use the predicted beam shapes directly for calibration (Sect. 5). The shapes of the main beams will instead be measured in-flight using planets.
- The reliability of the GRASP models of the beam shapes has been verified to high accuracy (Sect. 6).
- The range of potential misalignments is such that the in-flight measurements can be used to correlate the GRASP beam models to high accuracy (Sect. 7). The optimised model can be used to extend the beam shape knowledge to levels far below those directly measurable in-flight. This knowledge will be used to measure effects such as Galactic straylight.

- A number of potential systematic effects have been shown to be below significance level (straylight produced by Solar System sources, grating lobes, self-emission). Others (Galactic straylight, dust) have been modelled to assess their potential effects.

It can be concluded that the ground activities have provided an adequate starting point for the in-flight optical calibration activities (outlined in Sect. 10), which will complement them. The current expectation is that with the combination of ground knowledge and flight measurements, *Planck* will be able to achieve its main requirements in terms of optical knowledge.

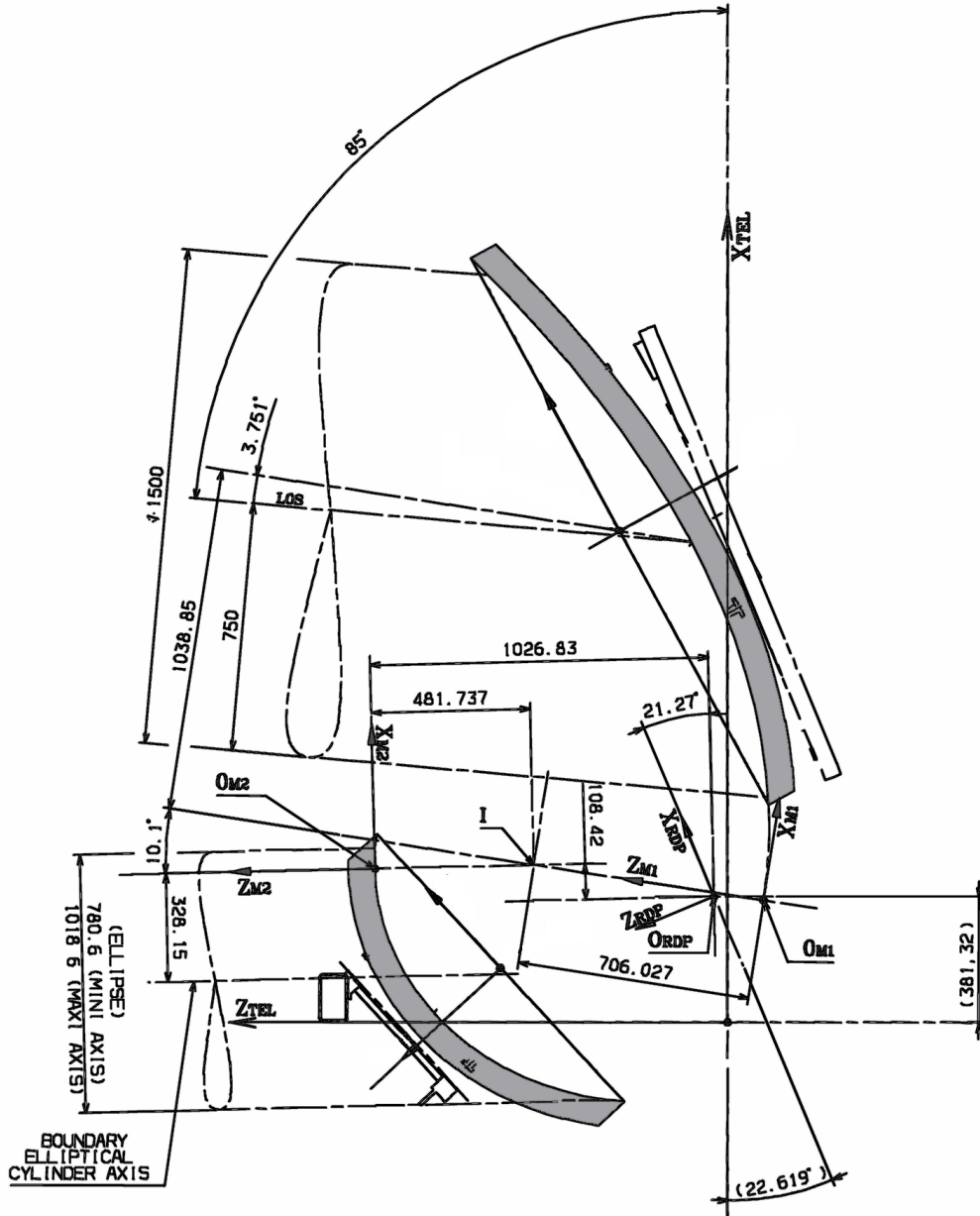
*Acknowledgements.* The study, development, testing and data analysis of the combined *Planck* optical system has been carried out under the leadership of ESA in close collaboration with industry (Thales Alenia Space (Cannes, France) and Tiera (Copenhagen)), and the optical experts of the LFI, HFI and DK-*Planck* Consortia. During the development, Thales Alenia Space was responsible for the overall payload system, and in particular for the design, manufacture and test of the telescope support structure, the baffle and the V-grooves. ESA, jointly with the Danish National Space Institute was responsible for the design and manufacture of the two reflectors. All of the cryogenic testing at reflector and telescope level was under the responsibility of ESA. J.T. wishes to emphasize especially the crucial, difficult, and very extensive modelling and data analysis of the system-level optics carried out by D. Dubruel, P. Nielsen, P. Martin, and R. Daddato, which have been crucial building blocks for our current understanding of the optical performance of *Planck*. Similarly important efforts have been carried out at instrument level and are described in Sandri et al. (2010) and Maffei et al. (2010).

## Appendix A: Telescope definition

The *Planck* telescope is defined by the relative location of the best-fit ellipsoids defining the reflectors (see Table 4), and the relative location of the focal plane with respect to one of the reflectors (taken as the SR). Figure A.1 shows the relevant parameters for the design configuration, and Table A.1 shows the corresponding values for the nominal alignment in-flight.

## Appendix B: Emissivity characterisation

The emissivity of the reflectors contributes directly to the background heat load on the detectors. However, at mm and submm wavelengths, the emissivity of a metallic surface depends quite strongly on wavelength, temperature, and the characteristics of the metal (purity, thickness). Thin film effects may also set in: the thickness of the coating of the *Planck* reflectors corresponds to only a few skin depths. Measurements of this characteristic at low temperatures and short wavelengths are rare as they are quite difficult and their accuracy is poor for low emissivity levels. Nonetheless, some early measurements of samples of the *Herschel* telescope confirm strong dependence with temperature (Fischer et al. 2005). Although the coating of the *Herschel* telescope is almost identical to that of *Planck*, the underlying material is different (CFRP vs. sintered silicon carbide), and therefore specific measurements were needed. Reflection loss measurements were carried out using a resonator at the Applied Physics Institute in Nizhny-Novgorod (Parshin & Klooster 2008). Results are reproduced in Fig. B.1. A conservative hypothesis that reflection loss is equivalent to the emissivity of the clean reflectors would lead us to estimate the latter as roughly 0.05%, 0.1%, 0.15%, and 0.2% at a temperature of 120 K and frequencies of 50 GHz, 140 GHz, 340 GHz, and 500 GHz respectively. The emissivity must be lower at the in-flight temperatures of the reflectors ( $\sim 40$  K), by as much as

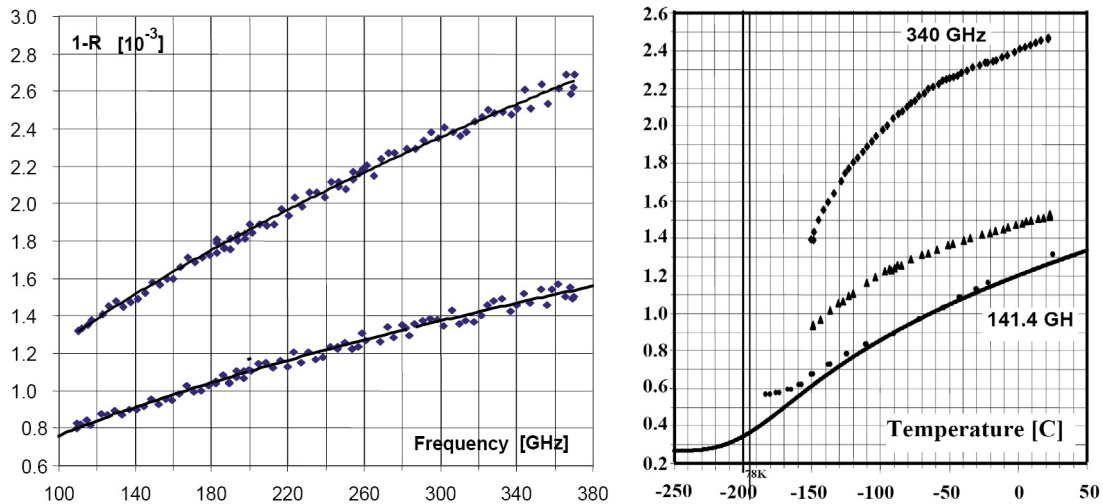


**Fig. A.1.** Dimensioning and relative positioning of the two reflectors with respect to the telescope coordinate system (see Fig. 3).  $O_{M1}$  and  $O_{M2}$  are the vertices of the ellipsoidal surfaces of the PR and SR, respectively, and  $O_{RDP}$  is the origin of the coordinate system defining the location of the focal plane. The corresponding  $(X, Y, Z)$  coordinate systems for each reflector, focal plane, and telescope are marked on the diagram. The point labelled I is a fiducial point used to define the relative position of the SR and PR. The geometry depicted here corresponds to the design telescope; corresponding values for the in-flight nominal alignment are given in Table A.1.

**Table A.1.** Planck telescope parameters.

Parameter <sup>a</sup>	Design value	Nominal in-flight value
Angle between $Z_{M1}$ and $Z_{M2}$ axis (deg)	10.1	10.0497
Distance between $O_{M1}$ and I (mm)	481.737	480.207
Distance between I and $O_{M2}$ (mm)	706.027	707.631
Decentre of focal plane with respect to $X_{M2}$ (mm)	-108.42	-108.889
Decentre of focal plane with respect to $Z_{M2}$ (mm)	-1026.83	-1024.184
Angle of focal plane ( $X_{RDP}$ ) with respect to normal (deg)	-21.27	-21.358

**Notes.** <sup>(a)</sup> See also Fig. A.1.



**Fig. B.1.** (Left) Measured dependence of the reflection loss ( $1 - R$ ) of a sample of *Planck* reflector material as a function of frequency, when the sample is at room temperature (296 K, upper curve), and at  $\sim 110$  K (lower curve). The solid lines are fits to the expected root-square dependence on frequency and (temperature-dependent) resistivity. (Right) Dependence of the reflection loss of the same sample as a function of temperature, for two frequencies: 340 GHz (diamonds) and 141 GHz (triangles). The solid line is a theoretical calculation of the reflectivity of pure aluminium, including the abnormal skin effect, which sets in at a temperature below  $\sim 60$  K. The dots are measurements of a 0.3 mm thick sheet of pure aluminium.

a factor of  $\sim 2$  at the highest frequencies. However, at high frequencies dust will be a large, possibly dominant, element of the effective emissivity of the reflectors; an in-flight contamination level of 5000 ppm (see Sect. 6.3) leads in a worst case (large, black particles) to an emissivity of order 0.5%.

Some reflectors made from CFRP have been shown to have a different reflectivity ( $\sim 10\%$ ) along and across the direction of the carbon fibres; the *Planck* samples however have been verified to have no orientation dependence (Parshin & Klooster 2008).

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