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# Optical characterisation of photonic wire and photonic crystal waveguides fabricated using nanoimprint lithography

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**Abstract** We have characterised photonic-crystal and photonic-wire waveguides fabricated by thermal nanoimprint lithography. The structures, with feature sizes down below 20 nm, are benchmarked against similar structures defined by direct electron beam lithography.

## Introduction

The development of silicon-based nanophotonic structures such as photonic wires and 2D photonic crystal waveguides (PhCWs) has been an immensely active research area during the last few years. Today, the research has matured to a level where it is possible to fabricate low-loss 2D photonic crystal waveguides using electron beam lithography (EBL) [1] and deep UV lithography (DUVL) [2]. EBL, in particular, provides nanophotonic structures with extremely high resolution, and this fabrication method is appropriate for many research investigations. However, being a serial fabrication process it is not optimal for mass fabrication of photonic devices. DUVL, on the other hand, is ideally suited for mass fabrication. In this case, however, the production volume must be large enough to justify the immensely large costs affiliated with the fabrication method. Another disadvantage utilising DUVL is that the fabrication tolerances presently are pushed to their limits to obtain acceptable structures, leaving only little room for improvement.

Fabrication of nanophotonic structures using nanoimprint lithography (NIL) [3] is emerging as a cheap alternative that offers parallel component production in combination with nanometer scale resolution comparable to EBL. Here, we report first results on the optical performance of nanophotonic structures fabricated using NIL. We find that the structures fabricated with NIL have transmission features very similar to structures fabricated directly with EBL.

## Fabrication using nanoimprint lithography

The silicon stamp used in the imprint process is fabricated by EBL (JEOL JBX9300FS) utilising a negative resist film of TEBN-1 (Tokuyama Corp., Tokyo, Japan) with a thickness of 50 nm. The written structures are transferred 100 nm into the silicon substrate by a highly anisotropic reactive ion etch. After the silicon etch the remaining resist is removed using an oxygen plasma and an anti sticking layer from a  $C_4F_8$  plasma is deposited.

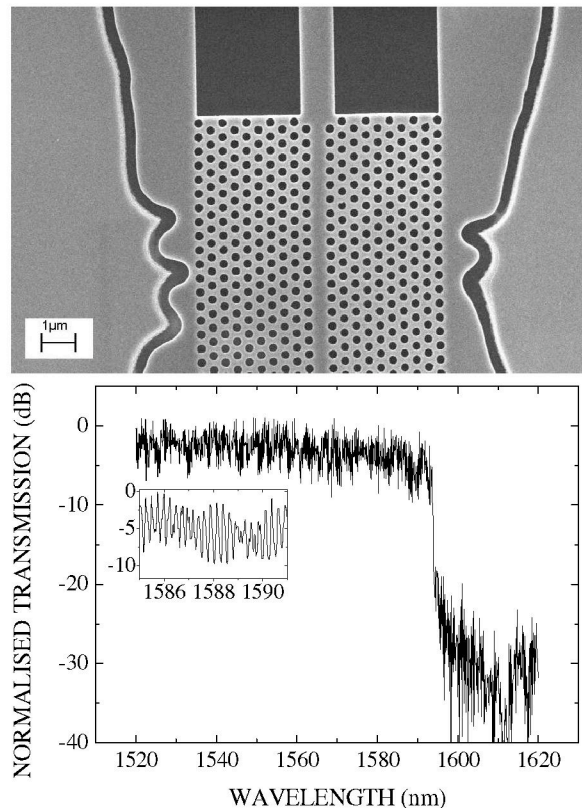


Figure 1: (top) SEM image of a photonic wire adjacent to a 10- $\mu\text{m}$  long W1 PhCW fabricated in SOI by NIL. The etch patterns seen on the outer sides are caused by the controlled flow of excess polymer during the imprint process. (bottom) Measured transmission spectrum for quasi TE polarised laser light through the structure. Inset shows a zoom-in on the spectrum.

An 80-nm thick film of mr-I T85 nanoimprint resist (micro resist technology GmbH, Berlin, Germany) is spincoated onto a silicon-on-insulator (SOI) and the stamp is imprinted herein using an EVG imprint tool. The imprint parameters result in a complete filling situation of the stamp in the nanostructured areas, resulting in 100-nm deep holes in the resist. The nanoimprinted patterns are transferred into the 320-nm thick top silicon layer of the SOI substrate by using an optimised  $SF_6$ -based inductively coupled

plasma etch. The etch selectivity of silicon over the resist allows for pattern transfer of the imprinted holes through the full thickness of the device silicon layer of the SOI substrate.

### Optical characterisation and results

The fabricated structures have been characterised optically by transmission measurements using quasi transverse electric (TE) polarised light from a laser source in the wavelength region from 1520–1620 nm and broadband LED diodes covering 1360–1620 nm.

Figure 1 shows a W1 PhCW (i.e., a waveguide where the defect is formed by removing one row of holes in the  $\Gamma$ -K direction), fabricated by NIL, and the corresponding measured transmission spectrum. The ripples in the spectrum are due to Fabry-Pérot oscillations caused by reflections from the end facets of the sample as seen from the inset. The sharp cut-off (in this case around 1590 nm) and the high and uniform transmission level below the cut-off wavelength are clear footprints of a successfully fabricated PhCW. The spectrum and the transmission level (including both the photonic wire and PhCW parts) are found to be comparable to those of samples fabricated with EBL [4].

Recently, we have proposed a novel inverse design strategy called topology optimisation (TO) [5], which allows for designing nanophotonic structures with enhanced functionalities. In some cases this inverse design method proposes optimised designs with feature sizes down to  $\sim 20$  nm. Hence, such structures are very challenging to fabricate even with EBL and will serve as excellent benchmarks for how well NIL fabricated structures perform.

Figure 2 shows a PhCW wavelength-selective splitter designed using TO so that the shorter wavelengths primarily are transmitted through one output arm and the longer wavelengths through the other. The figure shows the originally designed structure and its transmission performance, calculated using a 3D finite-difference time-domain (FDTD) scheme [4]. Also shown is a SEM image of the fabricated structure and the measured transmission spectra. It is seen that the fabricated structure closely resembles the original design and likewise that the transmission performance of the fabricated structure and the original design are very similar both with respect to the transmission level and the wavelength dependent features. Hence, the NIL fabrication of the challenging nanophotonic TO design has been successful. In addition, it is seen that the TO compact wavelength splitter functions as designed, namely as a fairly efficient high pass/low pass wavelength filter.

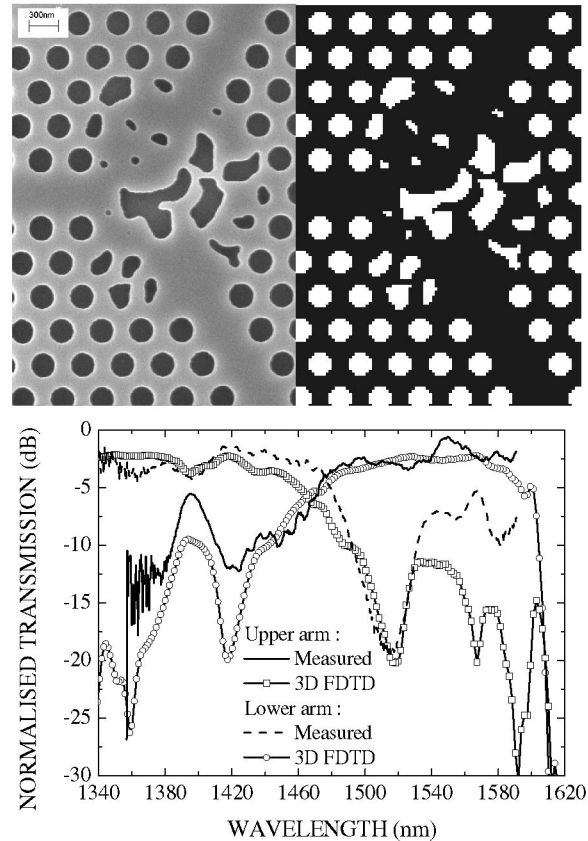


Figure 2: (top, left) SEM image of a wavelength-selective splitter designed using TO and fabricated in SOI by NIL. (top, right) The original TO design. Light enters the component from the left side. (bottom) Normalised measured transmission below the cut-off wavelength for quasi TE polarised light from the two output arms. Also shown are 3D FDTD calculations for the transmission through the output arms of the originally designed structure. The 3D FDTD calculations have been blue shifted by 0.5% in wavelength for clarity.

### Conclusions

We have used NIL to fabricate SOI based nanophotonic structures with feature sizes down below 20 nm. The NIL fabricated devices perform comparably to the direct EBL defined devices and the obtained results are in good agreement with 3D FDTD calculations. Thus, we have demonstrated the feasibility of NIL as a cost efficient fabrication technique for silicon-based nanophotonics.

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