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## 1 Do North Sea cod fisheries maintain high catch rates at

# 2 low stock size?

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- 9 Key words: Density dependence, catchability, aggregation, North Sea cod

11 Abstract: This study presents an investigation of the relationship between stock size of North Sea 12 cod and catch rates in seven commercial fishing fleets. The shape of the relationship was estimated 13 using a new model allowing both density dependent changes in catchability and bias in the 14 assessment biomass estimates. Catchability in fisheries targeting a mixed species composition either 15 remained constant or decreased with decreasing stock size whereas catchability in targeted cod 16 fisheries increased with decreasing stock size. However, even in the cases where catchability 17 increased, the change was insufficient to compensate for the decrease in stock size and catch rates 18 of all fleets decreased. Two factors which could lead to non-constant catchability were investigated: 19 the presence of a decoupling between stock size and density in high density areas, and the presence 20 of concurrent shifts in the spatial distribution of the cod stock and the cod fishery. No evidence of 21 the former was found but there was a northern shift in the spatial distribution of both effort and the 22 cod stock.

#### 24 Introduction

25 The stability of any exploited stock relies on the relationship between stock size and 26 harvest rate. If harvest rate decreases with declining stock size, year to year variation in stock size is 27 decreased and limited harvesting has a stabilising effect. In contrast, if harvest rate increases with 28 declining stock size, any decrease in stock size is aggravated as the stock is further diminished by 29 exploitation, and the probability of collapse of the stock is increased. Harvest rate in fish stocks is 30 usually regulated by restricting total landings, effort exerted and a number of technical measures 31 such as gear type and mesh size. The restriction of fishing effort is based on the implicit assumption 32 that catchability is constant. However, catchability in several fisheries increases with decreasing 33 stock size (Rose and Leggett 1991; Rose and Kulka 1999; Swain et al. 1994), presumably by 34 targeting local areas where density remains high (Paloheimo and Dicke 1964; Crecco and Overholtz 35 1990; Rose and Leggett 1991). The increase in catchability often leads to an increase in harvest rate 36 as catch rate remains high (hyperstable) at low stock size giving the fishing fleet the potential to 37 destabilise a small stock further. Knowledge of the presence or absence of a relationship between 38 stock size and catchability is thus crucial when attempting to predict the effect of different effort 39 management measures, a task which is required on a yearly basis for a great number of exploited 40 stocks. Accuracy in the predictions is particularly important at low stock sizes, a situation in which 41 data quality may be deteriorating due to misreporting and low catch rates in surveys.

A stock which is currently at a historic low due to the combined effect of fishing and changing climatic conditions is the North Sea cod (*Gadus morhua*) stock (O'Brien et al. 2000; Beaugrand et al. 2003; ICES 2006). In addition to the severe decline in stock size, the stock has exhibited changes in spatial distribution and is now distributed more northerly than previously (Perry et al. 2005; Rindorf and Lewy 2006). Furthermore, the area inhabited by juvenile cod seems to have contracted as stock size decreased (Blanchard et al. 2005). The stock would thus appear to have the potential to exhibit a highly undesirable combination of decreasing stock size and increasing catchability due to a contraction and change in location of the inhabited area. However, it has not yet been investigated whether catchability in the commercial fisheries has actually changed or whether any changes can be linked to a relatively constant density of cod in high density areas. This problem is particularly relevant as catch rates of cod are now regulated through limitations of both total catch and effort.

54 The objective of this study was to investigate the relationship between stock size and 55 catchability of North Sea cod. The study was divided into three parts: Firstly, the relationship 56 between stock size and catch rate in seven commercial fisheries was investigated to determine 57 whether catchability changed with stock size. Secondly, the relationship between the change in the 58 spatial distribution of cod and fishing effort was examined to determine whether cod related 59 fisheries have followed the northwards shift in distribution of the population or has retained its historic distribution. Finally, the relationship between stock size and survey catch rates in high 60 61 density areas was investigated to determine whether the presence of a relationship between 62 catchability and stock size could be explained by constant high densities in these areas.

#### 63 Materials and methods

#### 64 Stock size

Stock size of North Sea (ICES areas IV, IIIA and IIVD) cod is estimated each year by ICES (ICES 2006). The biological assessment is based on reported landings, estimates of discard and age compositions of both landings and discards. In addition, catch rates in the 1<sup>st</sup> and 3<sup>rd</sup> quarter International Bottom Trawl Surveys (IBTS) are used to tune the assessment. In recent years, concern about the reliability of the reported total landings has led to the adoption of a modified assessment where total landings in the years 2000 to 2005 are adjusted by a yearly factor accounting 71 for unaccounted mortality due to e.g. unreported landings. This factor is determined by a comparison between stock numbers and catch rates in the IBTS. Commercial catch rates are not 72 73 used to tune the cod assessment, and though they contribute to total landings, it is unlikely that the 74 catch rate of an individual fleet will affect the assessment. Hence commercial catch rates can be 75 compared directly to assessment derived estimates of stock biomass without introducing a bias in 76 the analyses whereas catch rates in the IBTS surveys are intrinsically linked to the assessment and 77 therefore can not be used to determine the actual relationship between stock size and survey catch 78 rates.

#### 79 Catch and effort data

80 The catch in numbers per hour of each agegroup taken by two English fleets (English trawlers and 81 English seiners) were derived from the assessment report (ICES 2006). These catch rates include 82 discard estimates (ICES 2006) and hence provide direct estimates of catches rather than just 83 landings. Estimates of biomass caught per unit effort were derived from catch numbers multiplied 84 by the weight at age in landings (also given in ICES 2006). The catch per unit effort of English trawlers was available for the years from 1978 to 2005 whereas catch per unit effort of English 85 86 seiners was only available up to 2001. Later years were therefore excluded from the analyses for 87 this fleet. Though catch per unit effort of three Scottish fleets are also given in the reports, previous 88 analyses (Cook and Armstrong 1985) has demonstrated that a historical change in the areas fished 89 has led to severe changes in catchability of Scottish fleets. Hence, the differences seen in 90 catchability are not directly related to stock size and these fleets were not examined.

Effort and cod landings of five Danish fleets (small trawlers, large trawlers, small gillnetters, large gillnetters and Danish seiners) was estimated from a combination of vessel data, sales slips and official logbook records. In addition to date and location of each trip, the Danish logbooks provide information on vessel size, gear used, mesh size, weight and value of landings by

95 species for each ICES statistical rectangle (1°W and 0.5°N, Fig. 1) and number of effort days for 96 each fishing trip. Effort days are defined as days from the first fishing day to the day of entering the 97 landing harbor. Both variables have been mandatory in the Danish logbooks since 1983. As a vessel 98 can fish in several ICES rectangles during a trip, the effort of a trip is allocated to the ICES 99 rectangle from which the highest catches (by value) were taken. Trips and vessels with missing or 100 abnormal information and vessels with income below the economic criteria defining a fulltime 101 fisherman were removed from the data set (Ulrich and Andersen 2004). Subsequently, the most 102 important cod related fleets were defined from a combination of main gear deployed (type and mesh 103 size) and vessel length (Table 1).

104 The trawl fisheries are mixed species fisheries targeting cod, other demersal fish species 105 and Norway lobster (*Nephrops norvegicus*), species which are generally caught together. Including 106 all fishing trips rather than merely trips catching cod has the advantage that trips which do not catch 107 cod due to low abundance are included. Unfortunately, this means including trips operating in areas 108 where cod could not have been caught even if abundance was high (uninhabitable areas). Though 109 such areas may exist, cod has historically been abundant throughout the North Sea and the latter 110 problem was therefore considered to be minor whereas the former problem had the potential to 111 influence results greatly. Based on these considerations, it was decided to include all trawl and seine 112 fishing trips regardless of whether cod was caught. In contrast to this, the gear type, deployment and 113 choice of fishing area of the gillnet fisheries depends heavily on which species is the target. The 114 target species or net type is not recorded in the official logbooks but retrospective analysis of the 115 catch composition indicated that there was a clear separation between catches consisting primarily 116 of cod and catches consisting of flatfish (Ulrich & Andersen, 2004). Therefore, a cod-directed 117 fishing trip for the gillnet fleets was defined as a trip where the cod was the dominating species by 118 value (Table 1). These trips covered over 90% of the total cod landings of gillnet fleets. This

119 method assured that catch rates were based on one gear type and deployment only but suffered the 120 disadvantage that low catch rates are likely to lead to a categorisation of the trip as non-cod fishery 121 and hence near-zero catch rates are likely to be discarded.

As estimates of discards are unfortunately not available at a disaggregated level for the Danish fleets, the average amount of cod caught per unit effort by a particular fleet in a given year and quarter was estimated as total landings (kg cod) divided by total effort. Only data from the North Sea proper (Fig. 1) in the years 1983 to 2005 were used.

#### 126 Survey catch per unit effort

The catch at age in numbers per hour in the 1<sup>st</sup> quarter International Bottom Trawl Survey 127 (IBTS) is given in the assessment report (ICES 2006). From this, the total weight of immature and 128 129 mature cod caught per hour of trawling was estimated by multiplying catch in numbers at age by 130 weight at age in the stock (also available in the assessment report) and maturity at age, and then 131 summing over ages. Catch rate of all cod was estimated as the sum of immature and mature. In 132 contrast to commercial catch per unit effort series, catch rate in 1<sup>st</sup> quarter IBTS survey was used to 133 tune the assessment (ICES 2006) and this survey is therefore not independent of the assessment. 134 Hence, the correlation between catch per hour in the IBTS was given for comparison but it was not 135 attempted to estimate changes in catchability as the assessment was based on an assumption of 136 constant survey catchability (ICES 2006).

137 C

#### Cod density in high density areas

138 If cod concentrate in high density areas as biomass decreases, catch rate in these areas will not 139 be proportional to stock biomass. If these high density areas are then targeted by the fishery, 140 hyperstability of catch rates will occur. To investigate whether a decoupling of local density and 141 stock size occurred, cod density in high density areas was estimated from catch rates in the 1<sup>st</sup>

142 quarter IBTS survey (ICES International Bottom Trawl Survey Database, 1983 to 2005). The 143 survey uses demersal trawls and length composition and total catch is recorded in each haul. Haul 144 duration generally varies between 0.5 and 1 hour, and all catch rates are standardised to hourly 145 values. On average, two trawl hauls are conducted within each ICES statistical rectangle (Fig. 1). 146 Cod age-length keys were estimated using the method suggested by Rindorf and Lewy (Rindorf and 147 Lewy 2001; Gerritsen et al. 2006; Rindorf et al. 2007). This method uses the correlation between 148 length groups to determine smooth functions between length and the probability of being of a given 149 age and is particularly useful to determine age length keys in cases with sparse sampling (Rindorf 150 and Lewy 2001) as has been the case with larger cod in recent years. Number of each age group 151 caught per hour was estimated by applying the estimated age length key to length distributions of 152 the catch. Survey catch rate of mature cod and immature cod within each statistical rectangle (Fig. 153 1) was estimated by multiplying survey catch rate in numbers at age by weight at age in the stock 154 (given in ICES 2006) and maturity at age (ICES 2006) and then summing over agegroups. Total 155 survey catch rate of cod was estimated by summing the catch rate of immature and mature cod.

High density areas were defined as the 10% of statistical rectangles which supported the highest average survey catch rates in a given year. These areas were selected for separately total and mature cod, and the average total and mature cod survey catch rate within these high density areas was estimated. Hence, the actual rectangles included were not necessarily the same in all years or for total and mature cod. This method was chosen to avoid the problems caused by using a spatially explicit area while the distribution of the stock is changing and the distribution of immature cod differs from that of mature.

#### 163 Catch composition

164 The average proportion of the catch per unit effort of English fleets consisting of mature 165 cod was estimated as the product of catch in numbers, mean weight and maturity at age as given in ICES (2006) divided by the total catch. The Danish catches were not sampled for age within individual fleets and instead the distribution of the catch weight on commercial landing size classes of cod from 1987 to 2005 was used. These size classes were divided into immature (<2 kg), mature (>4 kg) and a mixture of mature and immature fish (2-4 kg) and correspond roughly to cod of age 1 to 2, age 4+ and age 2 to 4, respectively. The proportion mature in these agegroups are <0.05, >0.62 and 0.05-0.62 (ICES 2006).

- 172 Comparisons of catch per day and stock biomass
- 173 We used a flexible model to describe the relationship between catch rates and stock size: 174 175 176 177  $U = aB^{(1+b)} + c$  (1) 178
- 170
- 179
- 180

where U is catch rate, B is stock size and a, b and c are constants. This model includes increasing catchability at decreasing stock size (b<0 and/or c>0), decreasing catchability at decreasing stock size (b>0 and/or c<0) as well as constant catchability (b=c=0) (Fig. 2). As a special case, it includes the power function often used in the literature (c=0,  $b\neq0$ ) (Bannerot and Austin 1983; Harley et al. 2001). Furthermore, it includes the case where density remains relatively constant in areas unavailable to fishing (e.g. refugia) even when biomass is severely reduced. This leads to catch rates reaching zero at a biomass greater than zero (c<0). Assuming b=0 for simplicity, the biomass

188 of fish in refugia is  $-\frac{c}{a}$  as

192 
$$U = a \left( B + \frac{c}{a} \right) = aB + c \quad (2)$$

Further, the model includes the case where the true amount of fish, B', corresponds to the amount estimated scientifically (B) plus a bias of  $\frac{c}{a}$ . The bias term may be either positive or negative, corresponding to scientific under- and over-estimation of biomass, respectively. Underestimation could be the result if a constant amount of fish were unavailable to scientific surveys but remained available to commercial fishing. This could occur if fish residing in areas with large rocks or around wrecks were unavailable to survey trawls but available to commercial fisheries using stationary gear such as hooks or gillnets. The commercial fishery then catches a constant proportion a of the true biomass per unit effort: 

U = aB' = aB + c

(3)



caused by over-estimation of the stock (both lead to c<0). An intercept smaller than zero should therefore be followed by detailed investigations before drawing conclusions regarding the state of the stock.

215 Equation (1), denoted the full model in the following has the advantage that the different 216 models of catchability (power function, refuge and bias models) are nested and statistical testing is 217 therefore straightforward. To our knowledge, no other studies have included all these models in a 218 formal test. The full model (eq. 1) was used to analyse the relationship between catch per unit of 219 effort in both commercial fleets and the high density area survey (U) by replacing of B with either 220 total (T) or spawning stock biomass (S) (both in units of  $10^9$  kg), depending on which of these 221 exhibited the highest correlation with catch per unit effort. The parameters a, b and c were 222 estimated by minimising the residual variation (sum of squares) of the full model.

223 The residual variation of this model was compared to that of two reduced models, one with 224 b=0 and another with c=0. If these reduced models both provided a significant increase in residual variation (F-test), the final model was equivalent to the full model and parameters a, b and c225 226 estimated. However, if setting either b=0 or c=0 led to no significant increase residual variation (F-227 test), the parameter (b or c) with the lowest F-value (highest probability of being insignificant) was 228 removed from the model. This reduced model was then compared to a model where b=c=0, and if 229 this reduction did not deteriorate the fit of the model significantly (F-test), the final model was 230 reduced to U = aB. The procedure is based on the assumption that the error in stock and spawning 231 biomass derived from the assessment is zero or at least negligible compared to that in catch rate. As 232 the assessment is based on total catches, the age composition of catches and survey catch rates and 233 hence integrates information from several sources, this assumption appeared reasonable. The parameters of non-linear models ( $b\neq 0$ ) were estimated using the NLIN procedure in SAS<sup>®</sup> whereas 234 235 linear models (b=0) were estimated using the GLM procedure in the same programme (SAS

Institute Inc. 2001). Residuals were tested for trends (Pearson correlation with year) and
autocorrelation, and their distribution tested for significant differences from a normal distribution
(Shapiro-Wilks test).

239 Unfortunately, restrictive quota regulations may lead to misreporting of both effort, catch, 240 area fished and even vessel size if the catch is brought ashore by another vessel. This may lead to 241 both bias and variation in the estimated catch rates - bias if the catch is not reported at all and 242 variation if the catch is landed by another vessel or reported as being from another area. Hence both 243 bias and variation may occur in years with restrictive quotas (according to ICES (2006), the years 244 from 2001 and onwards). They will express themselves as either consistent directional deviations 245 from the average relationship between catch rates and stock size or as increases in the variation 246 around the relationship in years with restrictive quotas. Furthermore, technical improvements in 247 fishing power are likely to have occurred over the time span of the study. An increase in fishing 248 power should express itself as an increase in catchability over time. The residuals were therefore 249 examined to determine whether trends or increases in variance occurred.

#### 250 Distribution of survey catch rates and Danish fishing effort

251 The spatial distribution of the Danish cod-related fishing effort was compared to the spatial 252 distribution of the survey catch of mature cod per hour through an investigation of the relationship 253 between the centre of gravity of survey catch rates and effort of each fleet in each year and quarter. 254 As catch per day in the Danish fleets consisted of a high proportion of mature fish and was 255 furthermore highly correlated to spawning stock biomass (see results), the centre of gravity of effort 256 was only compared to the centre of gravity of survey catch rate of mature cod. The centre of gravity 257 of mature cod was estimated from the average catch of mature cod per hour in the IBTS in each statistical rectangle in the 1<sup>st</sup> quarter of 1983 to 2006 and the 3<sup>rd</sup> quarter of 1991 to 2005. The 3<sup>rd</sup> 258 259 quarter survey was initiated in 1991 and thus covers only part of the period. The centre of gravity in 260 a given year and quarter was estimated as the average latitude and longitude weighted by the natural 261 log of  $(1 + \text{the average catch of mature fish (in kg) in each statistical rectangle, sr (0.5° latitude$ times 1° longitude)),  $\ln(C_{sr} + 1)$ . This estimate of the centre was used for survey catches to obtain a 262 homogenous error structure (Rindorf and Lewy 2006). To avoid bias due to differences in survey 263 264 coverage, only rectangles fished in at least 80% of the years were used. This resulted in 169 and 161 rectangles in the 1<sup>st</sup> and 3<sup>rd</sup> quarter, respectively. The centre of gravity of cod-related fishing effort 265 266 of a particular fleet in each year and quarter was estimated as the average latitude and longitude 267 weighted by days fished in each statistical rectangle. The Pearson correlation coefficients between the coordinates (latitude and longitude) of survey and effort centres of gravity were estimated. 268

269 **Results** 

#### 270 Development in stock size, effort and catch per unit effort

271 Though a slight increase in biomass was observed in the late 1990's, this was followed by 272 decline, and both total and spawning stock biomass has decreased severely over the past 25 years 273 (Fig. 3). Effort has decreased contemporaneously, in particular over the past 10 years (Pearson 274 correlations coefficients between year (1994-2005) and effort<-0.68 (P<0.05) for all fleets except 275 Danish large trawlers, Fig. 4) and in 2005, the effort of most fleets was less than 25% of the 276 maximum recorded since 1983, the exceptions being Danish small (45%) and large (77%) trawlers. 277 The majority of the reduction of effort can be explained by a reduction in the number of vessels. Catch per unit effort series of most fleets show similar temporal patterns (Fig. 4). 278

#### 279 Catch composition

More than 80% of the English catch per unit effort consisted of immature cod, whereas the Danish catch generally consisted of a greater proportion of mature than immature cod (Fig. 5). Around 40% of gillnet and seine catches consisted of mature cod and another 40% of a mixture of mature and immature cod, while the proportion of mature and mixed mature and immature inDanish trawl catches was intermediate between these values and those of the English fleets.

285

#### Comparisons of catch per unit effort and total stock and spawning stock biomass

286 As expected from the catch composition, spawning stock biomass showed the highest 287 correlation with catch per day for Danish fleets whereas total stock biomass showed the highest 288 correlation to English fleets (Table 2). Note that in this table, 36 correlation analyses are performed (not including the IBTS). If the significance level is set to 5%, 5% on average will be termed 289 290 significant due to type 1 error (detecting significant relationships where none exists). When 291 examining 36 correlations, there is thus a greater than 5% probability of detecting up to 4 292 correlations significant at the 5% level (binomial probability>0.05, B(36, 0.05)). At the 1% level, 293 this is decreased to a greater than 5% probability of detecting 1 or 2 significant correlations whereas 294 at a significance level of 0.1%, the probability of detecting 1 or more significant correlations by 295 type 1 error is 0.035. Therefore, four comparisons should be expected to be significant at the 5% 296 level, two at the 1% level and none at the 0.1% level by type 1 error alone. In contrast to this, 26 297 correlations were significant at the 0.1% level for both total stock and spawning biomass and further 298 30 and 32 comparisons were significant at the 1 and 5% level, respectively. Thus the number of 299 significant relationships greatly exceeds that expected by type 1 error.

Eq. (1) was fitted to English catch per unit effort using total stock biomass as the independent variable and to Danish catch per unit effort using spawning stock biomass as the independent variable as these combinations showed the highest correlation (Table 2). The Danish seiners showed low correlations with both total and spawning stock biomass (Fig. 6, Table 2) and were excluded from further analyses. The parameter *b* was significantly different from zero in five cases: English seiners, Danish small gillnetters in the 1<sup>st</sup> and 3<sup>rd</sup> quarter and Danish large gillnetters in the 1<sup>st</sup> and 3<sup>rd</sup> quarter (Table 3). In the English seiners, *b* was larger than zero (the exponent was 307 larger than 1) indicating increased catchability at large stock sizes (Table 3, Fig. 7). In contrast to 308 this, the value of b found for Danish gillnetters was negative in all cases (the exponent was less than 309 1). The intercept was only significantly different from zero in two cases: Danish small trawlers in the 3<sup>rd</sup> quarter and English seiners (Table 3). Danish small trawlers showed negative intercepts in 310 311 both quarters, but only the 3<sup>rd</sup> quarter value was significantly different from zero, indicating a 312 decrease in catchability at low stock sizes (Fig. 7). The intercept of English seiners was positive and 313 hence this fleet tended to retain catch rates at a certain minimum level in spite of decreases in stock size corresponding to an increase in catchability at low stock sizes (Fig. 7). In summary, 314 catchability decreased with decreasing spawning stock biomass for Danish small trawlers in the 3<sup>rd</sup> 315 316 quarter but increased with decreasing spawning stock biomass for Danish gillnet fleets. Catchability 317 of English seiners decreased with decreasing biomass, though this pattern seemed to reverse at low 318 stock sizes (Fig. 7). However, as the amount of data in the area where catchability supposedly 319 increases was limited, firm conclusions on this would require additional estimates of catch per unit 320 effort at low stock size.

321 The distribution of the residuals did not differ significantly from a normal distribution for 322 any fleets except for Danish small trawlers and gillnetters in quarter 1, English trawlers and the high density surveys catch rates of total and spawning cod (P=0.0002, P=0.0111, P<0.0001, P=0.0394 323 324 and P=0.0003, respectively). Only English trawlers exhibited significant trends in the residuals 325 (P>0.05, Table 3, Fig. 8). There does thus not appear to have been a general decrease in reported 326 catch per unit effort as a result of reporting only part of the landings but all of the effort. Neither 327 was there any indication of increased catchability due to technical improvements in any fleets 328 except the English trawl fleet. Only Danish small gillnetters in the 1<sup>st</sup> quarter exhibited significant autocorrelation of the residuals (correlation=0.48, P=0.0212). 329

#### 330 Comparison between high density survey catch rates and total and spawning stock biomass

First quarter survey catch rates in high density areas were highly correlated to both total stock biomass and spawning stock biomass (Fig. 9, Table 2). There was no indication of a nonlinear relationship as survey catch rates were proportional to the assessment estimates of biomass (Table 3).

#### 335 Comparison of centres of gravity of survey catches and commercial effort

336 Latitude of the centre of gravity of survey catches of mature cod increased significantly in both the 1<sup>st</sup> and 3<sup>rd</sup> quarter (Table 4) indicating a northern shift in distribution. Contemporary to the 337 338 shift in centre of gravity of survey catches, effort of all five Danish fleets moved northeastwards in the first quarter (Table 4). The pattern was less clear in the 3<sup>rd</sup> quarter, as Danish trawlers moved 339 340 south while Danish small gillnetters moved north. There was a general trend towards operating in more eastern waters in the 3<sup>rd</sup> quarter in recent years (Table 4). Effort centres of gravity were 341 342 closely related to survey centres of gravity for the Danish gillnet and Danish seine fleets in the 1<sup>st</sup> 343 quarter whereas effort centres of gravity of the remaining fleets were only weakly (but positively) 344 related to survey centres of gravity in the same period (Table 4). In contrast to this, the correlation between effort and survey centres of gravity in the 3<sup>rd</sup> quarter was only significantly positive for 345 346 latitude of large trawlers and small gillnetters (Table 4). Hence, there was evidence that effort 347 followed cod distribution in the 1<sup>st</sup> quarter which is the traditional period among fishermen for 348 targeting cod (pers. obs.), whereas there was only a tendency for effort to follow the latitude of cod distribution in two fleets in the 3<sup>rd</sup> quarter. Note that as table 4 examines 44 correlation analyses, 5 349 350 should be significant at the 5% level, 2 at the 1% level and none at the 0.1% level by type 1 error 351 alone (binomial probability>0.05, B(44,  $\alpha$ )). However, of the 44 comparisons, 24 were significant at the 5% level, 20 at the 1% level and 12 at the 0.1% level (Table 4), a much higher number than 352 would be expected by chance. 353

#### 354 **Discussion**

355 We found no evidence of the presence of refuges, of concentration in high density areas or 356 of constant bias in the scientific stock assessment. All fleets except one exhibited a clear 357 relationship between catch rates and either total or spawning stock biomass. Catchability of several 358 fleets changed with stock size and in two cases, the relationship between catchability and stock size 359 was monotonically decreasing (corresponding to hyperstability of catch rates). However, in spite of 360 this negative relationship, the fleets were unable to compensate for the severe decrease in biomass 361 and catch rate of all fleets decreased as biomass diminished. Except from a barely significantly 362 positive intercept for English seiners, there was no evidence from any of the fleets of positive 363 intercepts corresponding to scientific underestimation of stock size. Further, only one fleet exhibited 364 a significantly negative intercept corresponding to either fishing outside refuges or scientific 365 overestimation of the stock.

366 Density in high density areas was tightly related to stock size in the North Sea and there 367 was no evidence of non-proportionality. The lack of aggregation rendered the trawl fleets unable to 368 maintain constant high densities and, generally, catchability remained constant in these fleets. 369 Gillnetters often target fish around wrecks and rocks, areas which may support dense fish 370 aggregations (Gregory and Anderson 1997) and which are unavailable to demersal trawl gears. In 371 spite of this, catch rates of gillnetters showed a high degree of agreement with trawl catch rates 372 indicating that density in trawlable and non-trawlable areas co-varied. This agreement may even 373 have increased in later years as the development of more flexible ground gears has allowed the use 374 of towed gears on bottom substrates previously unavailable to trawlers. The co-occurrence of a 375 northern shift in the spatial distribution of gillnet fishing effort and survey catch rates in the main 376 fishing season also suggests that gillnet catch rates agree with survey catch rates. In contrast, the distribution of the stock in the 3<sup>rd</sup> quarter inferred from survey catch rates was not generally related 377

378 to the distribution of effort, indicating either that factors other than cod distribution affects the 379 distribution of effort in this period or that the shorter time period contained less contrast in the 380 centres.

381 Persistent high densities in some areas in spite of decreases in stock biomass have been 382 found in studies of cod both in the northern Gulf of St. Lawrence and northern cod (NAFO areas 383 3Pn4RS and 2J3KL, respectively) (Rose and Legget 1991; Rose and Kulka 1999). Presumably as a 384 result of this decoupling of local density and stock size, the catchability of the cod related trawl 385 fishery increased in both stocks as stock size declined (Rose an Leggett 1991; Rose and Kulka 386 1999). Why the aggregative behaviour of North Sea cod differs from that of cod in other areas 387 remains unknown. Possibly, the North Sea has fewer low-quality habitats as growth rates in this 388 area have historically been high compared to other areas (Brander 1995). In any event, there was no 389 evidence of aggregation or of increasing trawl catchability at low stock size.

390 Fleets with a diverse landing composition such as trawlers showed either no or positive 391 relationships between catchability and stock size whereas specialised cod-directed fleets such as 392 gillnetters showed negative relationships. This corresponds with what is expected from random 393 sampling (trawling) and oversampling of high density areas resulting from cod being the target 394 species (gillnetters). Contrasting results have been seen in other studies of catchability and stock 395 size of cod as some authors have found inverse relationships between both commercial and survey 396 catchability and stock size (Winters and Wheeler 1985; Rose and Leggett 1991; Swain et al. 1994) 397 whereas others found no significant relationships, be it positive or negative (Harley et al. 2001, 398 Hanchet et al. 2005). Though other authors have suggested that one common pattern for all fleets 399 targeting a given species should exist (Harley et al. 2001), this seems unlikely as the tendency for 400 catchability to increase at low stock size is increased by both the aggregative behaviour of the target 401 species and the degree to which the fishery targets local high density areas (Paloheimo and Dickie

402 1964; Hilborn and Walters 1992; Gaertner and Dreyfus-Leon 2004). The difference between the 403 conclusions reached may reflect both differences in the aggregative behaviour of the stocks as well 404 as in the motivation or ability of fishermen to target high density areas. However, the pattern of 405 constant catchability in mixed species fisheries and increasing catchability with decreasing stock 406 size in targeted fisheries seen here is likely to be general.

407 The results obtained here can not necessarily be generalised to all North Sea fishing fleets. 408 Targeting behaviour and hence catchability is influenced by physical constraints such as vessel type 409 and size, restrictions imposed by management regulation, vessel interactions, information sharing as 410 well as skipper skills (Gillis et al. 1993; Gillis and Peterman 1998; Gaertner and Dreyfus-Leon 411 2004) and variation in these decision parameters between fleets and among fishermen within a fleet 412 will create both temporal and spatial diversity in catchability. Though it cannot be generalised to all 413 fisheries, the analysis does, however, present the first thorough multi-fleet analyses of North Sea 414 cod related fisheries and the fleets together cover a significant proportion of the cod landings.

415 The increased catchability of gillnetters at low stock size could be the result of either an 416 increased aggregation of cod in non-trawlable areas, a correlation between effort allocation and 417 catchability of individual vessels, a gear saturation effect at high stock sizes or a relationship 418 between catch rates and effort recorded as cod-targeted. Increased aggregation in non-trawlable 419 areas seems unlikely as there is a fair agreement between gillnet effort distribution and survey catch 420 rate distribution, indicating that the two gear types provide comparable estimates of spatial 421 distribution and therefore most likely also of temporal distribution. A correlation between effort 422 allocation and catchability of individual vessels could occur if individual differences in cod catch 423 rates exists due to e.g. differences in skipper skills and gillnetters with low catch rates switch to 424 other fisheries at low stock size. This would lead to an increase in catchability as less efficient vessels shift to other species or leave the fishery. Another explanation for changes in catchability 425

426 which are unrelated to aggregation of the target species could be gear saturation. This would occur 427 if gillnets become more visible and hence are more easily avoided once some cod have been 428 entangled. To reveal whether this occurs would require detailed studies of density and avoidance 429 behaviour around set nets. Lastly, a relationship between catch rates and effort recorded as cod-430 targeted could be an artefact caused by the exclusion of gillnet fishing trips where cod was not the 431 main economic species in the landing. Using this method, the gillnet fleet can maintain apparently 432 stable catch rates at low stock size, as only those trips where sufficient cod were caught to obtain 433 the majority of the landing value will be included in the estimate of catch per unit effort. Though 434 this problems seem to arise due to the definition of cod directed fishing effort, using all fishing 435 effort as done for the trawl fleets is not without problems either. In this case, one obtains an over-436 occurrence of low or zero catches of cod (corresponding to an overestimation of effort) as trawl 437 trips performed in areas uninhabitable by cod and with different gear deployment are included. This 438 problem is likely to increase as cod stock size decreases and more restrictive catch quotas decrease 439 the motivation to target cod. Nevertheless, we believe the definitions used (targeted gillnet fishery 440 and non-targeted trawl and seine fishery) present the least bias among the two alternatives, given 441 that the actual species targeted is unknown.

442 The low correlation between stock size and catch rates observed in Danish seiners may be 443 caused by several factors. Firstly, over 90% of the Danish seine vessels have been decommissioned 444 during the study period (>500 in 1983 to 47 vessels in 2005), primarily due to a combination of a 445 dramatic decline in the quotas of both cod and other target species and a lack of cod in the southern 446 part of the North Sea where the majority of the Danish seine fleet traditionally had their home 447 harbour. Most likely, the decommissioning has caused a permanent loss of the least efficient vessels 448 (low catchability vessels) from the fishery. Furthermore, the Danish seine fishery in contrast to the 449 other Danish fisheries investigated is primarily a summer fishery and catch success depends heavily 450 on weather conditions.

451 The residuals show no evidence of increased catchability (technical creep) or increased 452 misreporting in later years. The fishery has been severely reduced since the implementation of the 453 North Sea cod recovery plan in 2001 and this could have caused both bias and increased variation in 454 reported catch rates within this period. They should have expressed themselves as either consistent 455 directional deviations from the average relationship between catch rates and stock size or as 456 increases in the variation around the relationship in years with restrictive quotas. Nevertheless, there 457 was no tendency for variation in the residuals to increase or exhibit trends in later years (Fig. 8). 458 Furthermore, there was only minor changes in the parameters of the models if the years from 2001 459 onwards were excluded from the estimation procedure (results not shown), and hence the results 460 given here did not appear to be greatly biased by misreporting in later years.

As catch per unit effort of the modelled fleets was highly correlated to stock biomass, the fleets fulfil two of the requirements to an abundance estimate (unbiased residuals and high correlation). These catch per unit effort series may thus be the solution to the need for industrybased series of North Sea cod abundance as a supplement to survey series described by Horwood et al. (2006). However, for these series to be reliable, it must be assured that discard, misreporting of effort and catch and fishing power does not increase in the future.

A central question from a management point of view is whether any of the fleets examined here would seriously diminish the population if not regulated restrictively. Assuming that the total landings of each fleet provide reliable estimates of catches, this problem is evident by the observed increase in catch divided by total stock biomass as stock biomass decreased up to the year 2000 (Fig. 10). Note that catch of a fleet divided by total stock size is proportional to the partial fishing mortality induced by that fleet if the ratio between average weight of cod in the catch and in the stock remains constant. The severe decrease in total allowable catch appears to have reduced at least

474 official landings per biomass by about 50% whereas the regulations prior to this period does not 475 appear to have reduced fishing mortality. Though ICES suggests that the decrease in the observed 476 fishing mortality may partly have been abated by a larger unspecified mortality in later years (ICES 477 2006), Horwood et al. (2006) estimated a decrease in cod fishing effort of 37% from 2000 to 2004. 478 The discrepancy between these two estimates of cannot be explained at present. However, it is clear 479 that in the absence of the severe reductions in total allowable catch, there would have been a further 480 increase in fishing mortality as stock size decreased, thereby accelerating the decline of the already 481 threatened cod stock.

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Fleet	Vessel length	Gear	Mesh size	Target	Avg. % of total
	(m)		(mm)	species*	Danish cod landings**
Danish small trawlers	0-20	OTB/Pair trawl	90 -140	-	5%
Danish large trawlers	20-40	OTB/Pair trawl	90 -140	-	16%
Danish small gillnetters	0-20	Gillnet and line	90-200	Cod	36%
Danish large gillnetters	20-40	Gillnet and line	90-200	Cod	9%
Danish Seine	All	Danish and Scottish seine	90 - 150	-	16%

552 Table 1. Definition of Danish cod fishing fleets and cod related fisheries.

\*Defined as the most important species by value, -=not defined. \*\* Based on landings statistics

from 1990 to 2005

Table 2. Correlation between catch per unit effort and total stock biomass (T), spawning stock biomass (S) from stock assessment and total and mature cod survey catch rate within high density areas. Values in parentheses denote probability of no correlation. Significant correlations (P<0.05) are in bold.

	Quarter	Sto	ock	High density survey		
		Т	S	Total cod	Mature cod	
Danish small trawlers	1	0.60 (0.0023)	0.77 <sup>(&lt;0.0001)</sup>	0.72 <sup>(&lt;0.0001)</sup>	0.53 <sup>(0.0089)</sup>	
Danish small trawlers	3	0.77 <sup>(&lt;0.0001)</sup>	0.84 <sup>(&lt;0.0001)</sup>			
Danish large trawlers	1	0.69 <sup>(0.0002)</sup>	0.80 <sup>(&lt;0.0001)</sup>	0.84 <sup>(&lt;0.0001)</sup>	$0.68^{(0.0003)}$	
Danish large trawlers	3	$0.62^{(0.0014)}$	$0.81^{(<0.0001)}$			
English trawlers	All	0.67 <sup>(&lt;0.0001)</sup>	0.53 <sup>(0.0037)</sup>			
Danish small gillnetters	1	0.83(<0.0001)	0.90 <sup>(&lt;0.0001)</sup>	0.77(<0.0001)	0.67 <sup>(0.0005)</sup>	
Danish small gillnetters	3	0.80 <sup>(&lt;0.0001)</sup>	0.86 <sup>(&lt;0.0001)</sup>			
Danish large gillnetters	1	0.73 <sup>(&lt;0.0001)</sup>	0.85(<0.0001)	0.77 <sup>(&lt;0.0001)</sup>	0.74 <sup>(&lt;0.0001)</sup>	
Danish large gillnetters	3	0.81 <sup>(&lt;0.0001)</sup>	0.77 <sup>(&lt;0.0001)</sup>			
Danish seine	1	0.29 <sup>(0.1831)</sup>	0.189 <sup>(0.3757)</sup>	0.34 <sup>(0.1168)</sup>	$0.17^{(0.4447)}$	
Danish seine	h seine 3 <b>0.4</b>		$0.42^{(0.0489)}$			
English seiners	All	0.91 <sup>(&lt;0.0001)</sup>	0.79 <sup>(&lt;0.0001)</sup>			
IBTS	1	0.88(<0.0001)	0.88(<0.0001)			
High density survey	1	0.85(<0.0001)	0.77 <sup>(&lt;0.0001)</sup>			

560

Table 3. Probability of the parameters *b* and *c* being zero and final models (including only significant parameters) of catch per unit effort (*U*) in either kg  $\cdot$  day<sup>-1</sup> (Danish fleets) or kg  $\cdot$  hour<sup>-1</sup> (English fleets and surveys) as a function of total stock (*T*) or spawning stock (*S*) biomass (both in 10<sup>9</sup> kg). Probabilities significant at the 0.05-level are in bold. Values in parentheses denote standard error of the estimates.

Fleet	P( <i>b</i> =0	P(c=0	$^{\dagger}$ :P( <i>b</i> =0  <i>c</i> =0)	Final model	$r^2$	
	<i>c</i> ≠0)	<i>b</i> ≠0)	or			
			$^{\ddagger}:P(c=0 b=0)$			
Quarter 1						
Danish small trawlers	0.5063	0.2734	0.1980 <sup>‡</sup>	$U = 4.91^{(0.42)}S$	0.56	
Danish large trawlers	0.3060	0.4954	$0.2689^{\dagger}$	$U = 5.05^{(0.33)} S$	0.64	
Danish small gillnetters	0.2803	0.8825	< <b>0.0001</b> <sup>†</sup>	$U = 4.55^{(0.81)} S^{0.62^{(0.07)}}$	0.81	
Danish large gillnetters	0.5242	0.9724	<b>0.0124</b> <sup>†</sup>	$U = 8.26^{(2.12)} S^{0.71^{(0.10)}}$	0.73	
Total cod survey catch	0.5413	0.8036	$0.1295^{\dagger}$	$U = 0.348^{(0.017)}T$	0.72	
rate in high density areas						
Mature cod survey catch	0.4357	0.5130	$0.6177^{\dagger}$	$U = 0.772^{(0.052)} S$	0.61	
rate in high density areas						
Quarter 3						
Danish small trawlers	0.7541	0.5770	<b>0.0488</b> <sup>‡</sup>	$U = 4.53^{(0.65)} S - 0.110^{(0.054)}$	0.70	
Denich lange transland	0 1071	0.2624	0.1000	$V_{1} = 2.20^{(0.23)}$ G	0.64	
Damsn large trawlers	0.1071	0.2624	0.1090/	$U = 3.38^{(0.23)}S$	0.64	
Danish small gillnetters	0.6435	0.7493	<b>0.0004</b> <sup>†</sup>	$U = 3.61^{(0.77)} S^{0.62^{(0.09)}}$	0.75	
Danish large gillnetters	0.7017	0.7069	<b>0.0009</b> <sup>†</sup>	$U = 4.27^{(1.14)} S^{0.56^{(0.11)}}$	0.60	

Yearly average					
English trawlers	0.5300	0.7296	$0.1892^{\dagger}$	$U = 0.117^{(0.009)}T$	0.43
English seiners	<0.0001	0.0449	-	$U = 0.205^{(0.014)} T^{2.54^{(0.29)}} + 0.021^{(0.009)}$	0.93

Table 4. Trends (Pearson correlation coefficient with year) in latitude north (*lat*) and longitude east
(*lon*) of effort centre of gravity and correlation between survey and effort centre of gravity. Values
in parentheses denote probability of no correlation. Significant correlations are in bold.

	Trend				Correlation between			
	surv				rvey and	vey and effort centre		
Fleet	Quarter 1		Quarter 3		Quarter 1		Quarter 3 <sup>a</sup>	
	lat	lon	lat	lon	Lat	Lon	lat	Lon
Danish small trawlers	0.60	0.43	-0.66	0.67	0.25	0.02	-0.34	-0.02
	(0.0026)	(0.0398)	(0.0006)	(0.0005)	(0.2478)	(0.9234)	(0.2218)	(0.9566)
Danish large trawlers	0.48	0.41	0.03	0.43	0.37	0.27	0.69	0.17
	(0.0217)	(0.0539)	(0.9088)	(0.0406)	(0.0795)	(0.2200)	(0.0040)	(0.5534)
Danish small gillnetters	0.65	0.97	0.50	0.88	0.63	0.59	0.72	0.30
	(0.0007)	(<0.0001)	(0.0153)	(<0.0001)	(0.0014)	(0.0030)	(0.0028)	(0.2728)
Danish large gillnetters	0.68	0.78	-0.19	0.80	0.61	0.73	-0.02	0.51
	(0.0004)	(<0.0001)	(0.3877)	(<0.0001)	(0.0019)	(<0.0001)	(0.9563)	(0.0522)
Danish seine	0.77	0.29	0.05	-0.11	0.74	0.41	0.49	0.35
	(<0.0001)	(0.1855)	(0.8109)	(0.6021)	(<0.0001)	(0.0538)	(0.0611)	(0.1991)
Survey	0.73	0.55	0.76	0.23				
	(<0.0001)	(0.0050)	(0.0011)a	(0.4103)a				

<sup>a</sup>Based on data from 1991 to 2005

### 572 Figure captions

573 Fig. 1. Study area. Small rectangles are ICES statistical rectangles.

574 Fig. 2. Relationship between catch per unit effort (U) and biomass (B) (a) and catchability (U/B) (b)

- for different parameterisations of the model  $U = aB^{(1+b)} + c$ .  $\blacksquare$ : b=c=0;  $\blacktriangle$ : b=0, c>0;  $\blacklozenge$ : b=0, c<0;
- 576 **•**: 0 < b < 1; c = 0 and  $\Box$ : b > 0, c = 0.
- 577 Fig. 3. Temporal development in North Sea cod total stock biomass (solid line) and spawning stock
  578 biomass (broken line).

Fig. 4. Temporal development in yearly effort (a and b) and yearly average catch per unit effort (cand d) of the seven fleets examined. Right axis refers to Danish fleets, left axes to English fleets. a

and c: Danish small trawlers (+), Danish large trawlers ( $\blacksquare$ ), and English trawlers ( $\blacktriangle$ ). b and d:

582 Danish small gillnetters (▲), Danish large gillnetters (×), Danish seine (■) and English seine (+).

583 Fig. 5. Cod catch composition of the different fleets (yearly average by weight). Mature (■), mixed

mature and immature ( $\blacksquare$ ) and immature ( $\Box$ ) cod. A: Danish small trawlers, B: Danish large trawlers, C: Danish small gillnetters, D: Danish large gillnetters, E: Danish seine, F: English trawlers and G: English seine.

Fig. 6. Relationship between catch per unit effort and stock biomass (a and b) or spawning stock biomass (c, d, e, f and g). Values for Danish fleets refer to the 1<sup>st</sup> quarter. English trawlers (a), English seniers (b), Danish small trawlers (c), Danish large trawlers (d), Danish small gillnetters (e), Danish large gillnetters (f) and Danish seine (g). Lines are fitted models (se Table 3) for all fleets except Danish seiners where a linear regression was used (no model was fitted for this fleet).

- 592 Fig. 7. Relationship between catchability and stock biomass (a and b) or spawning stock biomass (c,
- d, e, f and g). English trawlers (a), English seniers (b), Danish small trawlers in the first quarter (c),

594 Danish small trawlers in the third quarter (d), Danish small gillnetters in the first quarter (e) and 595 Danish large gillnetters in the first quarter (f). Lines are catchabilities estimated as predicted catch 596 per unit effort from fitted models (se Table 3) divided by biomass.

597 Fig. 8. Residuals of fitted models (observed catch per unit effort-model predicted catch per unit

6598 effort). a: English trawlers ( $\blacktriangle$ ) and seiners ( $\triangle$ ), b: Danish small ( $\triangle$ ) and large ( $\blacktriangle$ ) trawlers and c:

599 Danish small ( $\Delta$ ) and large ( $\blacktriangle$ ) gillnetters.

Fig. 9. Relationship between survey catch rate of all (a) and mature (b) cod in high density areasand total stock (a) and spawning stock biomass (b). Lines are fitted models (se Table 3).

Fig. 10. Relationship between harvest rate (yearly landings/stock biomass) and stock biomass. a: English ( $\triangle$  and  $\blacktriangle$ ) and Scottish ( $\square$  and  $\blacksquare$ ) landings and b: Danish ( $\triangle$  and  $\blacktriangle$ ) and other international ( $\square$  and  $\blacksquare$ ) landings. Solid and empty symbols denote the period before and after the introduction of restrictive quotas in 2001, respectively. Lines are linear regressions for the period before 2001.









Year









