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On ratio and product methods with certain known population parameters of auxiliary variable in sample surveys

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Abstract

This paper proposes two ratio and product-type estimators using transformation based on known minimum and maximum values of auxiliary variable. The biases and mean squared errors of the suggested estimators are obtained under large sample approximation. Conditions are obtained under which the suggested estimators are superior to the conventional unbiased estimator, usual ratio and product estimators of population mean. The superiority of the proposed estimators are also established through some natural population data sets.

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1. Introduction

The use of supplementary information on an auxiliary variable for estimating the finite population mean of the variable under study has played an eminent role in sampling theory and practices. Out of many ratio, product and regression methods of estimation are good illustrations in this context. When the correlation between the study variable y and the auxiliary variable x is positive (high), the ratio method of estimation is employed. On the other hand if this correlation is negative (high), the product method of estimation investigated by Robson (1957) and Murthy (1964), is quite effective.

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It is a well-established fact that the ratio estimator is most effective when the relation between y and x is straight line through the origin and the variance of y about this line is proportional to x , for instance, see Cochran (1963). In many practical situations, the regression line does not pass through the origin. Also due to stronger intuitive appeal survey statisticians are more inclined towards the use of ratio and product estimators. Keeping these facts in mind several authors including Srivastava (1967, 1983), Reddy (1973,74), Walsh (1970), Gupta (1978), Vos (1980), Naik and Gupta (1991), Mohanty and Sahoo (1995), Sahai and Sahai (1985), Upadhyaya and Singh (1999), Srivenkataramana (1980), Bandyopadhyaya (1980), Mohanty and Das (1971), Srivenkataramana (1978), Sisodia and Dwivedi (1981) and Singh (2003) have suggested various modifications in ratio and product estimators.

Suppose we have population of N identifiable units on which the two variates y and x are defined. For estimating the population mean $\bar{Y} = \sum_{i=1}^N y_i / N$ of the study variate y , a simple random sample of size n is drawn without replacement. It is assumed that the population mean $\bar{X} = \sum_{i=1}^N x_i / N$ of the auxiliary variate x is known. Then the classical ratio and product estimators of population mean \bar{Y} are respectively defined by

$$\bar{y}_R = \bar{y}(\bar{X}/\bar{x}) \quad (1.1)$$

and

$$\bar{y}_p = \bar{y}(\bar{x}/\bar{X}) \quad (1.2)$$

where $\bar{y} = \sum_{i=1}^n y_i / n$ and $\bar{x} = \sum_{i=1}^n x_i / n$ are the sample means of variates y and x respectively.

Let x_m and x_M be the minimum and maximum values of a known positive variate x respectively. Using these values (i.e. x_m and x_M), Mohanty and Sahoo (1995) suggested to transform auxiliary variable x to new variables z and u such that

$$z_i = \frac{x_i + x_m}{x_M + x_m} \quad (1.3)$$

and

$$u_i = \frac{x_i + x_M}{x_M + x_m}, \quad i = 1, 2, \dots, N. \quad (1.4)$$

Using these transformed variables z and u , Mohanty and Sahoo (1995) proposed the following ratio estimators for population mean \bar{Y} as

$$t_{1R} = \bar{y}(\bar{Z}/\bar{z}) \quad (1.5)$$

and

$$t_{2R} = \bar{y}(\bar{U}/\bar{u}), \quad (1.6)$$

where

$$\bar{z} = \frac{1}{n} \sum_{i=1}^n \left(\frac{x_i + x_m}{x_M + x_m} \right) = \left(\frac{\bar{x} + x_m}{x_M + x_m} \right) \quad \text{and} \quad \bar{u} = \frac{1}{n} \sum_{i=1}^n \left(\frac{x_i + x_M}{x_M + x_m} \right) = \left(\frac{\bar{x} + x_M}{x_M + x_m} \right)$$

are sample means of z and u respectively, and

$$\bar{Z} = \frac{1}{N} \sum_{i=1}^N \left(\frac{x_i + x_m}{x_M + x_m} \right) = \left(\frac{\bar{X} + x_m}{x_M + x_m} \right) \quad \text{and} \quad \bar{U} = \frac{1}{N} \sum_{i=1}^N \left(\frac{x_i + x_M}{x_M + x_m} \right) = \left(\frac{\bar{X} + x_M}{x_M + x_m} \right)$$

are the population means of z and u respectively.

When the correlation between y and x is negative, the product estimator based on transformed variables z and u are defined by

$$t_{1p} = \bar{y}(\bar{z}/\bar{Z}) \quad (1.7)$$

and

$$t_{2p} = \bar{y}(\bar{u}/\bar{U}) \quad (1.8)$$

It is well known under simple random sampling without replacement (SRSWOR) that the mean squared error (or variance) of \bar{y} is

$$\text{MSE}(\bar{y}) = \text{Var}(\bar{y}) = \theta S_y^2 = \theta \bar{Y}^2 C_y^2 \quad (1.9)$$

where $\theta = (N - n)/(nN)$, $C_y = \frac{S_y}{\bar{Y}}$: the coefficient of variation of the study variate y .

To the first degree of approximation, the biases and mean squared errors (MSEs) of the ratio-type estimators \bar{y}_R , t_{1R} , and t_{2R} , and product-type estimators \bar{y}_p , t_{1p} and t_{2p} are respectively given by

$$B(\bar{y}_R) = \theta \bar{Y} C_x^2 (1 - K) \quad (1.10)$$

$$B(t_{1R}) = \theta \bar{Y} (C_x^2/C_1) \{(1/C_1) - K\} \quad (1.11)$$

$$B(t_{2R}) = \theta \bar{Y} (C_x^2/C_2) \{(1/C_2) - K\} \quad (1.12)$$

$$B(\bar{y}_p) = \theta \bar{Y} C_x^2 K \quad (1.13)$$

$$B(t_{1p}) = \theta \bar{Y} (C_x^2/C_1)K \quad (1.14)$$

$$B(t_{2p}) = \theta \bar{Y} (C_x^2/C_2)K \quad (1.15)$$

$$\text{MSE}(\bar{y}_R) = \theta \bar{Y}^2 [C_y^2 + C_x^2(1 - 2K)] \quad (1.16)$$

$$\text{MSE}(t_{1R}) = \theta \bar{Y}^2 [C_y^2 + (C_x^2/C_1) \{(1/C_1) - 2K\}] \quad (1.17)$$

$$\text{MSE}(t_{2R}) = \theta \bar{Y}^2 [C_y^2 + (C_x^2/C_2) \{(1/C_2) - 2K\}] \quad (1.18)$$

$$\text{MSE}(\bar{y}_p) = \theta \bar{Y}^2 [C_y^2 + C_x^2(1 + 2K)] \quad (1.19)$$

$$\text{MSE}(t_{1p}) = \theta \bar{Y}^2 [C_y^2 + (C_x^2/C_1) \{(1/C_1) + 2K\}] \quad (1.20)$$

$$\text{MSE}(t_{2p}) = \theta \bar{Y}^2 [C_y^2 + (C_x^2/C_2) \{(1/C_2) + 2K\}] \quad (1.21)$$

where $K = \rho C_y/C_x$, $\rho = S_{yx}/(S_x S_y)$ is the correlation coefficient between y and x ,

$$S_x^2 = \sum_{i=1}^N (x_i - \bar{X})^2 / (N - 1), \quad S_y^2 = \sum_{i=1}^N (y_i - \bar{Y})^2 / (N - 1), \quad S_{xy} = \sum_{i=1}^N (x_i - \bar{X})(y_i - \bar{Y}) / (N - 1),$$

$C_1 = \left(1 + \frac{x_m}{\bar{X}}\right)$, $C_2 = \left(1 + \frac{x_M}{\bar{X}}\right)$ and $C_x = \frac{S_x}{\bar{X}}$: the coefficient of variation of the auxiliary variate x .

It is to be noted that the transformations (1.3) and (1.4) depend on both maximum (x_M) and minimum (x_m) values but the estimators $t_{1R}(t_{1P})$ and $t_{2R}(t_{2P})$ generated through these transformations depend only on maximum value (x_M) and minimum value (x_m) respectively. For instance,

$$\begin{aligned} t_{1R} &= \bar{y} \frac{\bar{Z}}{\bar{z}} \\ &= \bar{y} \frac{(\bar{X} + x_m) / (x_M + x_m)}{(\bar{x} + x_m) / (x_M + x_m)} \\ &= \bar{y} \frac{(\bar{X} + x_m)}{(\bar{x} + x_m)} \end{aligned} \quad (1.22)$$

In similar fashion it can be shown that the estimators t_{1P} and (t_{2R}, t_{2P}) depend only on x_m and x_M respectively.

Expressions (1.22)–(1.25) motivated authors to investigate some transformations which make use of both maximum value (x_M) and minimum value (x_m) and hence using such transformations the constructed estimators should also depend on x_M and x_m . Some ratio- and product-type estimators of population mean \bar{Y} have been suggested and their properties are studied. Numerical illustrations are given in support of the present study.

2. The suggested transformations and estimators

Let x_m and x_M be the minimum and maximum values of a known positive variate x respectively. Using x_m and x_M , it is suggested to transform the auxiliary variable x to new variables ‘ a ’ and ‘ b ’ such that

$$a_i = x_M x_i + x_m^2 \quad (2.1)$$

and

$$b_i = (x_M - x_m)x_i + x_m^2 \quad i = 1, 2, \dots, N. \quad (2.2)$$

Using the transformed variates at (2.1) and (2.2) we define the following ratio-type estimators for population mean \bar{Y} as

$$d_{1R} = \bar{y} \left(\frac{\bar{A}}{\bar{a}} \right) \quad (2.3)$$

$$d_{2R} = \bar{y} \left(\frac{\bar{B}}{\bar{b}} \right) \quad (2.4)$$

and the product-type estimators for \bar{Y} as

$$d_{1P} = \bar{y} \left(\frac{\bar{a}}{\bar{A}} \right) \quad (2.5)$$

and

$$d_{2P} = \bar{y} \left(\frac{\bar{b}}{\bar{B}} \right) \quad (2.6)$$

where

$$\bar{a} = \sum_{i=1}^n a_i/n = x_M \bar{x} + x_m^2 \quad \text{and} \quad \bar{b} = \sum_{i=1}^n b_i/n = (x_M - x_m)\bar{x} + x_m^2$$

are the sample means of 'a' and 'b' respectively and

$$\bar{A} = \sum_{i=1}^N a_i/N = x_M \bar{X} + x_m^2 \quad \text{and} \quad \bar{B} = \sum_{i=1}^N b_i/N = (x_M - x_m) \bar{X} + x_m^2$$

are the population means of 'a' and 'b' respectively.

2.1. Biases and variances of ratio-type estimators d_{1R} and d_{2R}

To obtain the biases and variances of d_{1R} and d_{2R} , we write

$$\bar{y} = \bar{Y}(1 + e_0)$$

$$\bar{x} = \bar{X}(1 + e_1)$$

such that

$$E(e_0) = E(e_1) = 0$$

and

$$\left. \begin{aligned} E(e_0^2) &= \theta C_y^2 \\ E(e_1^2) &= \theta C_x^2 \\ E(e_0 e_1) &= \theta K C_x^2 \end{aligned} \right\} \quad (2.7)$$

Expressing d_{1R} and d_{2R} in terms of e 's we have

$$\begin{aligned} d_{1R} &= \bar{Y}(1 + e_0) \frac{\bar{A}}{\{x_M \bar{X}(1 + e_1) + x_m^2\}} \\ &= \bar{Y}(1 + e_0) \frac{\bar{A}}{\{x_M \bar{X} + x_m^2 + x_M \bar{X} e_1\}} \\ &= \bar{Y}(1 + e_0) \frac{\bar{A}}{\{\bar{A} + x_M \bar{X} e_1\}} \\ &= \bar{Y}(1 + e_0) (1 + \lambda_{(1)} e_1)^{-1} \end{aligned} \quad (2.8)$$

$$\begin{aligned} d_{2R} &= \bar{Y}(1 + e_0) \frac{\bar{B}}{\{(x_M - x_m) \bar{X}(1 + e_1) + x_m^2\}} \\ &= \bar{Y}(1 + e_0) \frac{\bar{B}}{\{(x_M - x_m) \bar{X} + x_m^2 + (x_M - x_m) \bar{X} e_1\}} \end{aligned}$$

$$\begin{aligned}
&= \bar{Y}(1 + e_0) \frac{\bar{B}}{\{\bar{B} + (x_M - x_m)\bar{X}e_1\}} \\
&= \bar{Y}(1 + e_0) (1 + \lambda_{(2)}e_1)^{-1}
\end{aligned} \tag{2.9}$$

where

$$\lambda_{(1)} = \frac{x_M \bar{X}}{x_M \bar{X} + x_m^2} = \frac{x_M \bar{X}}{\bar{A}} = \frac{(C_2 - 1)}{(C_2 - 1) + (C_1 - 1)^2} \tag{2.10}$$

and

$$\lambda_{(2)} = \frac{(x_M - x_m)\bar{X}}{(x_M - x_m)\bar{X} + x_m^2} = \frac{(x_M - x_m)\bar{X}}{\bar{B}} = \frac{(C_2 - C_1)}{(C_2 - C_1) + (C_1 - 1)^2} \tag{2.11}$$

We now assume that $|\lambda_{(1)}e_1| < 1$ and $|\lambda_{(2)}e_2| < 1$ so that we may expand $(1 + \lambda_{(1)}e_1)^{-1}$ and $(1 + \lambda_{(2)}e_1)^{-1}$ as a series in power of $\lambda_{(1)}e_1$ and $\lambda_{(2)}e_1$. Expanding right hand sides of (2.8) and (2.9), multiplying out and retaining terms of e 's to the second degree, we obtain

$$t_{1R} \cong \bar{Y} \left(1 + e_0 - \lambda_{(1)}e_1 - \lambda_{(1)}e_1e_0 + \lambda_{(1)}^2e_1^2 \right)$$

or

$$(t_{1R} - \bar{Y}) = \bar{Y} \left(e_0 - \lambda_{(1)}e_1 - \lambda_{(1)}e_1e_0 + \lambda_{(1)}^2e_1^2 \right) \tag{2.12}$$

and

$$t_{2R} \cong \bar{Y} \left(1 + e_0 - \lambda_{(2)}e_1 - \lambda_{(2)}e_1e_0 + \lambda_{(2)}^2e_1^2 \right)$$

or

$$(t_{2R} - \bar{Y}) = \bar{Y} \left(e_0 - \lambda_{(2)}e_1 - \lambda_{(2)}e_1e_0 + \lambda_{(2)}^2e_1^2 \right) \tag{2.13}$$

Taking expectations of both sides of (2.12) and (2.13) and using the results in (2.7) we get the biases of d_{1R} and d_{2R} to the first degree of approximation respectively as

$$B(d_{1R}) = \theta \bar{Y} C_x^2 \lambda_{(1)} (\lambda_{(1)} - K) \tag{2.14}$$

and

$$B(d_{2R}) = \theta \bar{Y} C_x^2 \lambda_{(2)} (\lambda_{(2)} - K) \tag{2.15}$$

It follows from (2.14) and (2.15) that the biases $B(d_{1R})$ and $B(d_{2R})$ are negligible, if the sample size n is large enough.

Squaring both sides of (2.12) and (2.13) and retaining terms of e 's to the second degree we have

$$(d_{1R} - \bar{Y})^2 = \bar{Y}^2 \left(e_0^2 + \lambda_{(1)}^2 e_1^2 - 2\lambda_{(1)} e_0 e_1 \right) \quad (2.16)$$

and

$$(d_{2R} - \bar{Y})^2 = \bar{Y}^2 \left(e_0^2 + \lambda_{(2)}^2 e_1^2 - 2\lambda_{(2)} e_0 e_1 \right) \quad (2.17)$$

Taking expectation of both sides of (2.16) and (2.17) and using the results in (2.7), we get the MSEs of d_{1R} and d_{2R} to the first degree of approximation respectively as

$$\text{MSE}(d_{1R}) = \theta \bar{Y}^2 [C_y^2 + \lambda_{(1)} C_x^2 (\lambda_{(1)} - 2K)] \quad (2.18)$$

and

$$\text{MSE} = (d_{2R}) = \theta \bar{Y}^2 [C_y^2 + \lambda_{(2)} C_x^2 (\lambda_{(2)} - 2K)] \quad (2.19)$$

2.2. Biases and variances of product-type estimators

To obtain the biases and MSEs of d_{1P} and d_{2P} , we express d_{1P} and d_{2P} in terms of e 's as

$$\begin{aligned} d_{1P} &= \bar{Y}(1 + e_0) \frac{\{x_M \bar{X}(1 + e_1) + x_m^2\}}{(x_M \bar{X} + x_m^2)} \\ &= \bar{Y}(1 + e_0) \left\{ 1 + \frac{x_M \bar{X} e_1}{(x_M \bar{X} + x_m^2)} \right\} \\ &= \bar{Y}(1 + e_0)(1 + \lambda_{(1)} e_1) \\ &= \bar{Y}(1 + e_0 + \lambda_{(1)} e_1 + \lambda_{(1)} e_0 e_1) \end{aligned}$$

or

$$(d_{1P} - \bar{Y}) = \bar{Y}(e_0 + \lambda_{(1)} e_1 + \lambda_{(1)} e_0 e_1) \quad (2.20)$$

$$\begin{aligned} d_{2P} &= \bar{Y}(1 + e_0) \frac{\{(x_M - x_m) \bar{X}(1 + e_1) + x_m^2\}}{\{(x_M - x_m) \bar{X} + x_m^2\}} \\ &= \bar{Y}(1 + e_0) \left\{ 1 + \frac{(x_M - x_m) \bar{X} e_1}{\{(x_M - x_m) \bar{X} + x_m^2\}} \right\} \\ &= \bar{Y}(1 + e_0)(1 + \lambda_{(2)} e_1) \\ &= \bar{Y}(1 + e_0 + \lambda_{(2)} e_1 + \lambda_{(2)} e_0 e_1) \end{aligned}$$

or

$$(d_{2P} - \bar{Y}) = \bar{Y}(e_0 + \lambda_{(2)}e_1 + \lambda_{(2)}e_0e_1), \quad (2.21)$$

where $\lambda_{(1)}$ and $\lambda_{(2)}$ are respectively given by (2.10) and (2.11).

Taking expectation of both sides of (2.19) and (2.20) and using the results in (2.7), we get the exact biases of d_{1P} and d_{2P} as

$$B(d_{1P}) = \theta \bar{Y} \lambda_{(1)} K C_x^2 \quad (2.22)$$

and

$$B(d_{2P}) = \theta \bar{Y} \lambda_{(2)} K C_x^2 \quad (2.23)$$

Squaring both sides of (2.20) and (2.21) and retaining terms of e 's to the second degree, and then taking expectations, we get the MSEs of d_{1P} and d_{2P} respectively as

$$\text{MSE}(d_{1P}) = \theta \bar{Y}^2 [C_y^2 + \lambda_{(1)} C_x^2 (\lambda_{(1)} + 2K)] \quad (2.24)$$

and

$$\text{MSE}(d_{2P}) = \theta \bar{Y}^2 [C_y^2 + \lambda_{(2)} C_x^2 (\lambda_{(2)} + 2K)] \quad (2.25)$$

3. Comparison of biases

The absolute relative bias (ARB) of an estimator t of the population mean \bar{Y} is defined by

$$\text{ARB}(t) = \left| \frac{B(t)}{\bar{Y}} \right| \quad (3.1)$$

where $B(t)$ stands for bias of the estimator t .

The comparison of absolute relative biases of ratio-type and product-type estimators have been made and the conditions are displayed in Tables 3.1 and 3.2 respectively.

Table 3.1: Comparison of absolute relative biases of ratio-type estimators.

Estimator	Absolute Relative Bias of	
	d_{1R} is less than	d_{2R} is than
\bar{y}_R	if either $K > (1 + \lambda_{(1)})$ or $K < \frac{(1 + \lambda_{(1)}^2)}{(1 + \lambda_{(1)})}$	if either $K > (1 + \lambda_{(2)})$ or $K < \frac{(1 + \lambda_{(2)}^2)}{(1 + \lambda_{(2)})}$
t_{1R}	if $\frac{(1 + \lambda_{(1)}^2 C_1^2)}{C_1(1 + \lambda_{(1)} C_1)} < K < \frac{(1 + \lambda_{(1)} C_1)}{C_1}$	if either $\frac{(1 + \lambda_{(2)}^2 C_1^2)}{C_1(1 + \lambda_{(2)} C_1)} < K < \frac{(1 + \lambda_{(2)} C_1)}{C_1}$, $C_1 < \frac{1}{2}(1 + C_2)$ or $K < \frac{(1 + \lambda_{(2)}^2 C_1^2)}{C_1(1 + \lambda_{(2)}^2 C_1)}$, $C_1 < \frac{1}{2}(1 + C_2)$ or $K > \frac{(1 + \lambda_{(2)} C_1)}{C_1}$, $C_1 > \frac{1}{2}(1 + C_2)$
t_{2R}	if $\frac{(1 + \lambda_{(1)}^2 C_2^2)}{C_2(1 + \lambda_{(1)} C_2)} < K < \frac{(1 + \lambda_{(1)} C_1)}{C_2}$	if either $\frac{(1 + \lambda_{(2)}^2 C_2^2)}{C_2(1 + C_2 \lambda_{(2)})} < K < \frac{(1 + \lambda_{(2)} C_2)}{C_2}$, $\lambda_{(2)} C_2 > 1$ or $K < \frac{(1 + \lambda_{(2)}^2 C_2^2)}{C_2(1 + \lambda_{(2)} C_2)}$, $\lambda_{(2)} C_2 > 1$ or $K > \frac{(1 + \lambda_{(2)} C_2)}{C_2}$, $\lambda_{(2)} C_2 < 1$
d_{2R}	if $\frac{(\lambda_{(1)}^2 + \lambda_{(2)}^2)}{(\lambda_{(1)} + \lambda_{(2)})} < K < (\lambda_{(1)} + \lambda_{(2)})$	—

It can be easily proved that d_{1P} has smaller absolute relative bias (ARB) than the conventional product estimator \bar{y}_p but larger than that of Mohanty and Sahoo's (1995) estimators t_{1p} and t_{2p} . Table 3.2 clearly indicates that the proposed estimator d_{2P} has smaller absolute relative bias than the conventional product estimator \bar{y}_p as the condition $\lambda_{(2)} < 1$ always holds.

Table 3.2: Comparison of absolute relative biases of product-type estimators.

Estimator	Absolute Relative Bias of d_{2P} is less than
\bar{y}_P	if $\lambda_{(2)} < 1$
t_{1P}	if $\lambda_{(2)} < \frac{1}{C_1}, C_1 > \frac{(1+C_2)}{2}$
t_{2P}	if $ C_1^2 + C_1(C_2 - 3) - C_2(C_2 - 1) + 1 > 0$
d_{1P}	if $\lambda_{(2)} < \lambda_{(1)}$

4. Efficiency comparison

The efficiency comparisons of ratio-type (d_{1R} and d_{2R}) and product-type (d_{1P} and d_{2P}) estimators have been made with \bar{y} , \bar{y}_R , t_{1R} and t_{2R} ; and shown in Tables 4.1 and 4.2 respectively.

Table 4.1: Comparison of mean squared errors of ratio-type estimators.

Estimator	Mean squared error of	
	d_{1R}	d_{2R}
\bar{y}	if $K > \frac{\lambda_{(1)}}{2}$	if $K > \frac{\lambda_{(2)}}{2}$
\bar{y}_R	if $K < \frac{(1 + \lambda_{(1)})}{2}$	if $K < \frac{(1 + \lambda_{(2)})}{2}$
t_{1R}	if $K > \frac{(1 + \lambda_{(1)} C_1)}{2 C_1}$	if either $K < \frac{(1 + C_1 \lambda_{(2)})}{2 C_1}, \lambda_{(2)} < \frac{1}{C_1}$ or $K > \frac{(1 + C_1 \lambda_{(2)})}{2 C_1}, \lambda_{(2)} > \frac{1}{C_1}$
t_{2R}	if $K > \frac{(1 + \lambda_{(2)} C_2)}{2 C_2}$	if either $K < \frac{(1 + \lambda_{(2)} C_2)}{2 C_2}, \lambda_{(2)} < \frac{1}{C_2}$ or $K > \frac{(1 + \lambda_{(2)} C_2)}{2 C_2}, \lambda_{(2)} > \frac{1}{C_2}$

Table 4.2: Comparison of mean squared errors of product-type estimators.

Estimator	Mean squared error of	
	d_{1P} is less than	d_{2P} is less than
\bar{y}	if $K < -\frac{\lambda_{(1)}}{2}$	if $K < -\frac{\lambda_{(2)}}{2}$
\bar{y}_P	if $K > -\frac{(1+\lambda_{(1)})}{2}$	if $K > -\frac{(1+\lambda_{(2)})}{2}$
t_{1P}	if $K < -\frac{(1+\lambda_{(1)}C_1)}{2C_1}$	if either $K < -\frac{1}{2} \frac{(1+\lambda_{(2)}C_1)}{C_1}$, $\lambda_{(2)} > \frac{1}{C_1}$ or $K > -\frac{1}{2} \frac{(1+\lambda_{(2)}C_1)}{C_1}$, $\lambda_{(2)} < \frac{1}{C_1}$
t_{2P}	if $K < -\frac{(1+\lambda_{(1)}C_2)}{2C_2}$	if either $K < -\frac{1}{2} \frac{(1+\lambda_{(2)}C_2)}{C_2}$, $\lambda_{(2)} > \frac{1}{C_2}$ or $K > -\frac{1}{2} \frac{(1+\lambda_{(2)}C_2)}{C_2}$, $\lambda_{(2)} < \frac{1}{C_2}$

Table 4.1 exhibits that the ratio type estimator d_{1R} is better than \bar{y} , \bar{y}_R , t_{1R} and t_{2R} if

$$\frac{(1+\lambda_{(1)}C_1)}{2C_1} < K < \frac{(1+\lambda_{(1)})}{2} \quad (4.1)$$

We also note that the estimator d_{1R} is more efficient than d_{2R} if

$$K > \frac{(\lambda_{(1)} + \lambda_{(2)})}{2} \quad (4.2)$$

It is observed from Table 4.1 that the product-type estimator d_{1P} is more efficient than \bar{y} , \bar{y}_P , t_{1P} and t_{2P} if

$$-\frac{(1+\lambda_{(1)})}{2} < K < -\frac{(1+\lambda_{(1)}C_1)}{2C_1} \quad (4.3)$$

Further it can be proved that the product-type estimator d_{1P} is better than the product-type estimator d_{2P} if

$$K < -\frac{(\lambda_{(1)} + \lambda_{(2)})}{2} \quad (4.4)$$

5. Unbiased versions of the suggested estimators

In this section we will obtain the unbiased versions of the suggested estimators in Section 2, using two well known procedures: (i) Interpenetrating subsamples design and (ii) Jack-knife technique.

5.1. Interpenetrating sub-sample design

Let the sample in the form of n independent interpenetrating subsamples be drawn. Let y_i and x_i be unbiased estimates of the population totals $Y(= N\bar{Y})$ and $X(= N\bar{X})$ respectively based on the i^{th} independent interpenetrating subsample, $i = 1, 2, \dots, n$. We now consider following ratio and product-type estimators of the population mean \bar{Y} :

$$d_1 = \bar{y} (\bar{A}/\bar{a}) \quad (5.1)$$

$$d_{1n} = (\bar{A}/n) \sum_{i=1}^n (y_i/a_i) \quad (5.2)$$

$$d_2 = \bar{y} (\bar{B}/\bar{b}) \quad (5.3)$$

$$d_{2n} = (\bar{B}/n) \sum_{i=1}^n (y_i/b_i) \quad (5.4)$$

$$d_3 = \bar{y} (\bar{a}/\bar{A}) \quad (5.5)$$

$$d_{3n} = \sum_{i=1}^n y_i a_i / (n\bar{A}) \quad (5.6)$$

$$d_4 = \bar{y} (\bar{b}/\bar{B}) \quad (5.7)$$

and

$$d_{4n} = \sum_{i=1}^n y_i b_i / (n\bar{B}) \quad (5.8)$$

where \bar{a} , \bar{b} , \bar{A} , \bar{B} , a_i and b_i are same as defined in Section 2.

It is easy to verify that

$$B(d_{1n}) = nB(d_1) \quad (5.9)$$

$$B(d_{2n}) = nB(d_2) \quad (5.10)$$

$$B(d_{3n}) = nB(d_3) \quad (5.11)$$

and

$$B(d_{4n}) = nB(d_4) \quad (5.12)$$

Thus we get the following ratio and product-type unbiased estimators of \bar{Y} as

$$d_{1u} = \frac{(nd_1 - d_{1n})}{(n-1)} \quad (5.13)$$

$$d_{2u} = \frac{(nd_2 - d_{2n})}{(n-1)} \quad (5.14)$$

$$d_{3u} = \frac{(nd_3 - d_{3n})}{(n-1)} \quad (5.15)$$

$$d_{4u} = \frac{(nd_4 - d_{4n})}{(n-1)} \quad (5.16)$$

The properties of these unbiased estimators (d_{ju} , $j = 1$ to 4) can be studied on the lines of Murthy and Nanjamma (1959).

Remark 5.1. In the case of simple random sampling without replacement (SRSWOR), let y_i and x_i denote respectively the y and x values of the sample of unit, $i = 1, 2, \dots, n$. We have

$$\begin{aligned} d_1 &= \bar{y} (\bar{A}/\bar{a}) \\ d_{1n} &= (\bar{A}/n) \sum_{i=1}^n (y_i/a_i) \\ d_2 &= \bar{y} (\bar{B}/\bar{b}) \end{aligned}$$

$$d_{2n} = (\bar{B}/n) \sum_{i=1}^n (y_i/b_i)$$

$$d_3 = \bar{y} (\bar{a}/\bar{A})$$

$$d_{3n} = \sum_{i=1}^n y_i a_i / (n\bar{A})$$

$$d_4 = \bar{y} (\bar{b}/\bar{B})$$

and

$$d_{4n} = \sum_{i=1}^n y_i b_i / (n\bar{B})$$

It can be shown under SRSWOR scheme that the following ratio-type estimators are unbiased for population mean \bar{Y} as

$$d_{1u}^* = \frac{n(N-1)}{N(n-1)} \bar{y} \left(\frac{\bar{A}}{\bar{a}} \right) - \frac{(N-n)}{N(n-1)} \frac{\bar{A}}{n} \sum_{i=1}^n (y_i/a_i) \quad (5.17)$$

$$d_{2u}^* = \frac{n(N-1)}{N(n-1)} \bar{y} \left(\frac{\bar{B}}{\bar{b}} \right) - \frac{(N-n)}{N(n-1)} \frac{\bar{B}}{n} \sum_{i=1}^n (y_i/b_i) \quad (5.18)$$

$$d_{3u}^* = \frac{n(N-1)}{N(n-1)} \bar{y} \left(\frac{\bar{a}}{\bar{A}} \right) - \frac{(N-n)}{N(n-1)} \frac{1}{n\bar{A}} \sum_{i=1}^n y_i a_i \quad (5.19)$$

$$d_{4u}^* = \frac{n(N-1)}{N(n-1)} \bar{y} \left(\frac{\bar{b}}{\bar{B}} \right) - \frac{(N-n)}{N(n-1)} \frac{1}{n\bar{B}} \sum_{i=1}^n y_i b_i \quad (5.20)$$

To the first degree of approximation, it can be shown that

$$Var(d_{1u}^*) = Var(d_{1R}) \quad (5.21)$$

$$Var(d_{2u}^*) = Var(d_{2R}) \quad (5.22)$$

$$Var(d_{3u}^*) = Var(d_{1p}) \quad (5.23)$$

and

$$\text{Var}(d_{4u}^*) = \text{Var}(d_{2p}). \quad (5.24)$$

Thus the unbiased estimators d_{1u}^* , d_{2u}^* , d_{3u}^* and d_{4u}^* are to be preferred over biased estimators d_{1R} , d_{2R} , d_{1p} and d_{2p} respectively.

5.2. Jack-knife technique

We may take $n = 2m$ and split the sample at random into two subsamples of m units each. Let \bar{y}_i, \bar{x}_i ($i = 1, 2$) be unbiased estimators of population mean \bar{Y} and \bar{X} respectively based on the subsamples and \bar{y}, \bar{x} the means based on the entire sample. Thus $(\bar{a}_i, \bar{b}_i; i = 1, 2)$ are unbiased estimators based on the sub-samples and (\bar{a}, \bar{b}) the means based on the entire sample i.e.,

$$\begin{aligned} \bar{a}_i &= (x_M \bar{x}_i + x_m^2), \\ \bar{b}_i &= \{(x_M - x_m) \bar{x}_i + x_m^2\}, \\ \bar{a} &= (\bar{x} x_M + x_m^2), \end{aligned}$$

and

$$\bar{b} = \{(x_M - x_m) \bar{x} + x_m^2\},$$

Thus motivated by Quenouille (1956) we define the following ratio and product-type unbiased estimators of population mean \bar{Y} as

$$d_{1J}^{(u)} = \frac{(2N-n)}{N} d_1 - \frac{(N-n)}{2N} \{d_1^{(1)} + d_1^{(2)}\} \quad (5.25)$$

$$d_{2J}^{(u)} = \frac{(2N-n)}{N} d_2 - \frac{(N-n)}{2N} \{d_2^{(1)} + d_2^{(2)}\} \quad (5.26)$$

$$d_{3J}^{(u)} = \frac{(2N-n)}{N} d_3 - \frac{(N-n)}{2N} \{d_3^{(1)} + d_3^{(2)}\} \quad (5.27)$$

and

$$d_{4J}^{(u)} = \frac{(2N-n)}{N} d_4 - \frac{(N-n)}{2N} \{d_4^{(1)} + d_4^{(2)}\} \quad (5.28)$$

where d_1, d_2, d_3 and d_4 are same as defined in Section 5, and

$$d_1^{(i)} = \bar{y}_i (\bar{A}/\bar{a}_i), \quad d_2^{(i)} = \bar{y}_i (\bar{B}/\bar{b}_i), \quad d_3^{(i)} = \bar{y}_i (\bar{a}_i/\bar{A})$$

and

$$d_4^{(i)} = \bar{y}_i (\bar{b}_i/\bar{B}), \quad (i = 1, 2).$$

Following the procedure outlined in Sukhatme and Sukhatme [1970, pp. 161-165], it can be shown to the first degree of approximation that the variance expressions of $d_{lJ}^{(u)}$, ($l = 1, 2, 3, 4$) and variance expressions of d_{1R}, d_{2R}, d_{1p} and d_{2p} respectively are same.

Thus we advocate that one can prefer the unbiased estimators $d_{lJ}^{(u)}$, ($l = 1, 2, 3, 4$) as compared to biased estimators d_{1R}, d_{2R}, d_{1p} and d_{2p} .

6. Empirical study

6.1. When the variates y and x are positively correlated

To see the performances of the suggested estimators d_{1R} and d_{2R} over $\bar{y}, \bar{y}_R, t_{1R}$ and t_{2R} , we have considered eight natural population data sets. Descriptions of the populations are given below:

Table 6.1: Description of populations.

Pop. No.	Source	N	n	Y	X	ρ	C_x	C_y	C_1	C_2	K
1	Sahoo and Swain (1987)	4	2	Unit: (0.2,0.6, 0.9,0.8)	Unit: (0.1,0.2, 0.3,0.4)	0.87	0.51	0.49	1.4	2.6	0.84
2	Murthy (1967), p. 422 (13-44)	12	4	Number of cattle (Survey)	Number of cattle (Census)	0.98	1.05	0.99	1.23	4.49	0.92
3	Murthy (1967), p. 398 (1-12)	12	4	Number of Absentees	Number of Workers	0.80	0.52	0.63	1.35	2.52	0.96
4	Panse and Sukhatme (1967), p. 118 (1-25)	25	10	Parental plot mean (mm)	Parental plant value (mm)	0.53	0.07	0.03	1.83	2.15	0.62
5	Panse and Sukhatme (1967), p. 118 (1-20)	20	8	Parental plot mean (mm)	Parental plant value (mm)	0.56	0.07	0.04	1.83	2.15	0.29
6	Panse and Sukhatme (1967), p. 118 (1-10)	10	4	Progeny mean (mm)	Parental plant value (mm)	0.44	0.07	0.05	1.92	2.13	0.31
7	Singh and Chaudhary p. 176 (1-10)	10	4	No. of Cows in milk (Survey)	No. of Cows in milk (Census)	0.97	0.63	0.58	1.26	2.81	0.89
8	Singh and Chaudhary p. 306	10	4	No. of inhabitants ('000) in 1980-81	No. of inhabitants ('000) in 1981-82	0.88	0.64	0.60	1.53	3.64	0.82
9	Samford (1962), p. 61 (1-9)	9	3	Acreage under oats in 1957	Acreage of crops and gross in 1947	0.07	0.10	0.29	1.86	2.12	0.19

To assess the biasedness of the ratio-type estimators \bar{y}_R , t_{1R} , t_{2R} , d_{1R} and d_{2R} , we have computed the following quantities for the population given in Table 6.1 using the formulae:

$$B_1 = \left| \frac{B(\bar{y}_R)}{\theta \bar{Y} C_x^2} \right| = |(1 - K)| \quad (6.1)$$

$$B_2 = \left| \frac{B(t_{1R})}{\theta \bar{Y} C_x^2} \right| = \frac{1}{C_1} \left| \left(\frac{1}{C_1} - K \right) \right| \tag{6.2}$$

$$B_3 = \left| \frac{B(t_{2R})}{\theta \bar{Y} C_x^2} \right| = \frac{1}{C_2} \left| \left(\frac{1}{C_2} - K \right) \right| \tag{6.3}$$

$$B_4 = \left| \frac{B(d_{1R})}{\theta \bar{Y} C_x^2} \right| = \lambda_{(1)} \left| (\lambda_{(1)} - K) \right| \tag{6.4}$$

$$B_5 = \left| \frac{B(d_{2R})}{\theta \bar{Y} C_x^2} \right| = \lambda_{(2)} \left| (\lambda_{(2)} - K) \right| \tag{6.5}$$

The findings are listed in Table 6.2.

Table 6.2: Values of B_1, B_2, B_3, B_4 and B_5 .

Values of B_i 's $i = 1$ to 5	Population								
	1	2	3	4	5	6	7	8	9
B_1	0.1600	0.0826	0.0433	0.7399	0.7087	0.6951	0.1109	0.1767	0.8079
B_2	0.0898	0.0847	0.1602	0.1554	0.1397	0.1128	0.0781	0.1125	0.1852
B_3	0.1752	0.1547	0.2125	0.0946	0.0812	0.0772	0.1897	0.1507	0.1318
B_4	0.0628	0.0668	0.0178	0.2227	0.2091	0.1534	0.0708	0.0702	0.2460
B_5	0.0374	0.0657	0.0299	0.0175	0.0081	0.0209	0.0644	0.0489	0.0171

Table 6.2 exhibits that the proposed estimator d_{2R} has least bias for all data sets except in population III considered here. In population III, the proposed estimator d_{1R} has least bias. Using the following formulae:

$$PRE(\bar{y}_R, \bar{y}) = \frac{MSE(\bar{y})}{MSE(\bar{y}_R)} \times 100 = \left[1 + \left(\frac{C_x}{C_y} \right)^2 (1 - 2K) \right]^{-1} \times 100 \tag{6.6}$$

$$PRE(t_{1R}, \bar{y}) = \frac{MSE(\bar{y})}{MSE(t_{1R})} \times 100 = \left[1 + \frac{1}{C_1} \left(\frac{C_x}{C_y} \right)^2 \left(\frac{1}{C_1} - 2K \right) \right]^{-1} \times 100 \tag{6.7}$$

$$PRE(t_{2R}, \bar{y}) = \frac{MSE(\bar{y})}{MSE(t_{2R})} \times 100 = \left[1 + \frac{1}{C_2} \left(\frac{C_x}{C_y} \right)^2 \left(\frac{1}{C_2} - 2K \right) \right]^{-1} \times 100 \tag{6.8}$$

$$PRE(d_{1R}, \bar{y}) = \frac{MSE(\bar{y})}{MSE(d_{1R})} \times 100 = \left[1 + \left(\frac{C_x}{C_y} \right)^2 \lambda_{(1)}(\lambda_{(1)} - 2K) \right]^{-1} \times 100 \quad (6.9)$$

and

$$PRE(d_{2R}, \bar{y}) = \frac{MSE(\bar{y})}{MSE(d_{2R})} \times 100 = \left[1 + \left(\frac{C_x}{C_y} \right)^2 \lambda_{(2)}(\lambda_{(2)} - 2K) \right]^{-1} \times 100 \quad (6.10)$$

We have computed the percent relative efficiencies (PREs) of \bar{y}_R , t_{1R} , t_{2R} , d_{1R} and d_{2R} with respect to usual unbiased estimator \bar{y} and compiled in Table 6.3.

Table 6.3: Percent relative efficiencies of \bar{y}_R , t_{1R} , t_{2R} , d_{1R} and d_{2R} with respect to \bar{y} .

Estimator	PRE(., \bar{y})								
	Population								
	1	2	3	4	5	6	7	8	9
\bar{y}	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00
\bar{y}_R	383.33	2279.92	273.92	33.62	39.24	55.15	1263.21	380.08	92.90
t_{1R}	399.65	2063.93	252.32	94.69	107.82	110.63	1313.15	382.20	98.99
t_{2R}	218.13	169.80	161.47	112.07	125.30	115.91	249.18	175.31	99.49
d_{1R}	419.76	2421.29	274.71	78.95	90.93	104.63	1408.39	426.60	98.41
d_{2R}	425.54	2430.62	274.35	136.19	145.40	120.65	1428.98	432.85	100.41

Table 6.3 shows that the proposed estimator d_{2R} has largest gain in efficiency for all population data sets except in population III, where the proposed estimator d_{1R} has maximum gain in efficiency. We also note that the proposed estimator d_{1R} dominates over the estimators (\bar{y} , \bar{y}_R , t_{1R} and t_{2R}) in population I, II, III, IV, VII and VIII. Thus the proposed estimators d_{1R} and d_{2R} are to be preferred over other estimators.

Finally, from Tables 6.2 and 6.3 we recommend the use of the proposed estimator d_{2R} in practice as it has largest gain in efficiency and also fewer bias in all population data sets except in population III, where the proposed estimator d_{1R} has largest gain in efficiency as well as less bias and hence d_{1R} is to be recommended for this population data set.

6.2. When the variates y and x are negatively correlated

To assess the biasdeness and efficiency of the product-type estimators \bar{y}_p , t_{1p} , t_{2p} , d_{1p} and d_{2p} we have considered natural population data sets.

Table 6.4: Description of the populations.

Pop. No.	Source	N	n	Y	X	ρ	C_x	C_y	C_1	C_2	K
1	Maddala, G.S. (1977), p. 96	16	4	Capita Consumption	Deflated price	-0.97	0.24	0.17	1.68	2.39	-0.68
2	Gupta, S.P. and Gupta, A. (1999) p. 65	5	2	Artificial Population		-0.96	0.52	0.51	1.43	2.74	-0.93

To observe the biasedness of the estimators $\bar{y}_p, t_{1p}, t_{2p}, d_{1p}$ and d_{2p} , we use the following formulae:

$$B_1^* = \left| \frac{B(\bar{y}_p)}{\theta \bar{Y} C_x^2} \right| = |K| \tag{6.11}$$

$$B_2^* = \left| \frac{B(\bar{y}_{1p})}{\theta \bar{Y} C_x^2} \right| = \left| \frac{K}{C_1} \right| \tag{6.12}$$

$$B_3^* = \left| \frac{B(t_{2p})}{\theta \bar{Y} C_x^2} \right| = \left| \frac{K}{C_2} \right| \tag{6.13}$$

$$B_4^* = \left| \frac{B(d_{1p})}{\theta \bar{Y} C_x^2} \right| = \lambda_{(1)} |K| \tag{6.14}$$

$$B_5^* = \left| \frac{B(d_{2p})}{\theta \bar{Y} C_x^2} \right| = \lambda_{(2)} |K| \tag{6.15}$$

The quantities B_i^* 's ($i = 1$ to 5) have been computed and findings are given in Table 6.5.

Table 6.5: Values of $B_1^*, B_2^*, B_3^*, B_4^*$ and B_5^* .

Population	Values of B_i^* 's, $i = 1$ to 5				
	B_1^*	B_2^*	B_3^*	B_4^*	B_5^*
1	0.6814	0.4043	0.2843	0.5099	0.4104
2	0.9338	0.6508	0.3409	0.8422	0.8156

Using the following formulae:

$$PRE(\bar{y}_p, \bar{y}) = \frac{MSE(\bar{y})}{MSE(\bar{y}_p)} \times 100 = \left[1 + \left(\frac{C_x}{C_y} \right)^2 (1 + 2K) \right]^{-1} \times 100 \quad (6.16)$$

$$PRE(t_{1p}, \bar{y}) = \frac{MSE(\bar{y})}{MSE(t_{1p})} \times 100 = \left[1 + \left(\frac{C_x}{C_y} \right)^2 \frac{1}{C_1} \left(\frac{1}{C_1} + 2K \right) \right]^{-1} \times 100 \quad (6.17)$$

$$PRE(t_{2p}, \bar{y}) = \frac{MSE(\bar{y})}{MSE(t_{2p})} \times 100 = \left[1 + \left(\frac{C_x}{C_y} \right)^2 \frac{1}{C_2} \left(\frac{1}{C_2} + 2K \right) \right]^{-1} \times 100 \quad (6.18)$$

$$PRE(d_{1p}, \bar{y}) = \frac{MSE(\bar{y})}{MSE(\bar{y}_p)} \times 100 = \left[1 + \left(\frac{C_x}{C_y} \right)^2 \lambda_{(1)} (\lambda_{(1)} + 2K) \right]^{-1} \times 100 \quad (6.19)$$

and

$$PRE(d_{2p}, \bar{y}) = \frac{MSE(\bar{y})}{MSE(\bar{y}_p)} \times 100 = \left[1 + \left(\frac{C_x}{C_y} \right)^2 \lambda_{(2)} (\lambda_{(2)} + 2K) \right]^{-1} \times 100 \quad (6.20)$$

We have computed the percent relative efficiencies (PREs) of \bar{y}_p , t_{1p} , t_{2p} , d_{1p} and d_{2p} with respect to usual unbiased estimator \bar{y} and the results are shown in Table 6.6.

Table 6.6: Percent relative efficiencies of \bar{y}_p , t_{1p} , t_{2p} , d_{1p} and d_{2p} with respect to \bar{y} .

Estimators		\bar{y}	\bar{y}_p	t_{1p}	t_{2p}	d_{1p}	d_{2p}
$PRE(\cdot, \bar{y})$	Population 1	100.00	390.97	1578.36	524.73	1764.62	1658.49
	Population 2	100.00	1133.69	701.62	236.13	1181.21	1143.86

Tables 6.5 and 6.6 show that the proposed estimators d_{1p} and d_{2p} are more efficient (with substantial gain) than usual unbiased estimator \bar{y} , product estimator \bar{y}_p and the estimators t_{1p} and t_{2p} reported by Sahoo and Mohanty (1995), but these two estimators (d_{1p} and d_{2p}) are more biased than t_{1p} and t_{2p} . Thus if the variance/MSE's criterion of judging the performance of the estimators are adopted and also the biasedness of the estimators are not of primary concern then the proposed estimators d_{1p} and d_{2p} are recommended for their use in practice.

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