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1 **Title**

2 Transformation of parabolic dunes into mobile barchans triggered by environmental  
3 change and anthropogenic disturbance

4

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18

19 **Abstract**

20           Parabolic dunes are widely distributed on coasts and margins of deserts and  
21           steppes where ecosystems are valuable and sensitive to environmental changes and  
22           human disturbances. Some studies have indicated that vegetated parabolic dunes  
23           can be activated into highly mobile barchan dunes and the catastrophic shift of eco-  
24           geomorphic systems is detrimental to land management and social-economic  
25           development; however, no detailed study has clarified the physical processes and  
26           eco-geomorphic interactions that control the stability of a parabolic dune and its  
27           resistance to unfavourable environmental changes. This study utilises the Extended-  
28           DECAL (Discrete Eco-geomorphic Aeolian Landscapes) model, parameterised by  
29           field measurements of dune topography and vegetation characteristics combined  
30           with remote sensing, to explore how increases in drought stress, wind strength, and  
31           grazing stress may lead to the activation of stabilising parabolic dunes into highly  
32           mobile barchans. The modelling results suggest that the mobility of an initial  
33           parabolic dune at the onset of a perturbation determines the capacity of a system to  
34           absorb environmental change, and a slight increase in vegetation cover of an initial  
35           parabolic dune can increase the activation threshold significantly. The characteristics  
36           of four eco-geomorphic interaction zones control the processes and resulting  
37           morphologies of the transformations. A higher deposition tolerance of vegetation  
38           increases the activation threshold of the climatic impact and sand transport rate,  
39           whereas the erosion tolerance of vegetation influences the patterns of resulting  
40           barchans (a single barchan vs. multiple barchans). The change in the characteristics  
41           of eco-geomorphic interaction zones may indirectly reflect the dune stability and  
42           predict an ongoing transformation, whilst the activation angle may be potentially  
43           used as a proxy of environmental stresses. In contrast to the natural environmental

44 changes that tend to affect relatively weak and young plants, grazing stress can  
45 exert a broader impact on any plant indistinctively. A small increase in grazing stress  
46 just above the activation threshold can accelerate dune activation significantly.

47

48 **Keywords**

49 Dune activation; water stress; wind strength; overgrazing; eco-geomorphic  
50 interaction

## 51 **1. Introduction**

52 Parabolic dunes typically have a U- or V- shaped lobe with two trailing arms  
53 pointing upwind, although they may develop more complicated morphologies, e.g.,  
54 digitated and rake-like parabolic dunes, under the controls of wind regime, sediment  
55 supply and vegetation characteristics (Pye and Tsoar, 1990; Rubin and Hunter,  
56 1987; Wasson and Hyde, 1983; Yan et al., 2010). They have been found prevalent  
57 around the world, on coasts, river valleys, lakeshores, and margins of deserts and  
58 steppes (Yan and Baas, 2015). Controlled by different wind regime, vegetation  
59 cover, and sediment supply, the migration rate of active parabolic dunes varies  
60 substantially from 0.05 m yr<sup>-1</sup> in Northern Australia (Story, 1982) to as fast as 80 m  
61 yr<sup>-1</sup> in Manawatu (Hesp, 2001), and their planform morphologies vary broadly from  
62 lunate, hemicyclic, to elongated shapes with an increase of the length to width ratio  
63 (from <1 to >3). In particular, some parabolic dunes on the east coast of Queensland  
64 in Australia develop a length to width ratio larger than 6 with trailing arms extending  
65 thousands of meters (Pye, 1982). Parabolic dunes are, however, usually relative low  
66 in height, limited to the scale of meters to tens of meters (Goudie, 2011). The  
67 morphology, distribution, and migration rate of parabolic dunes around the world  
68 have been fully reviewed and summarised in Yan and Baas (2015). Parabolic dunes  
69 can develop from the stabilisation of mobile barchan and transverse dunes under  
70 ameliorating vegetation conditions (McKee, 1966; Stetler and Gaylord, 1996; Tsoar  
71 and Blumberg, 2002), or from the extension of blowouts in coastal foredunes when  
72 vegetation cover undergoes natural or anthropogenic disturbances, e.g., wildfires,  
73 storms, overgrazing, and trampling (Carter et al., 1990; Hesp, 2001; Muckersie and  
74 Shepherd, 1995). Initially active parabolic dunefields can become fully stabilised over  
75 time, entirely covered with vegetation and so-called 'dormant'. Many studies now

76 exist on attempts at re-activating such dormant parabolic dunes, in order to recover  
77 the more dynamic and ecologically rich environments of active sand drifts (e.g.,  
78 Arens et al., 2004). Going one step further, however, two studies also report the  
79 transformation from active parabolic dunes into bare-sand barchans and transverse  
80 dunes as a consequence of decline in precipitation (Schulmeister and Lees, 1992,  
81 pp. 532-533), or as a result of anthropogenic stresses such as increased aboriginal  
82 burning and grazing (Hesp 2001, pp. 38). Similarly, Anton and Vincent (1986) report  
83 the development of (bare-sand) domical dunes from the detachment of parabolic  
84 dune noses from their arms on sabkhas where vegetation is limited by salinity  
85 conditions (pp. 192), and they also report isolated barchanoid features developing  
86 inside the parabolic dune fields of the Jafurah Desert (pp. 191). While a sizeable  
87 literature exists both on the stabilisation of barchans into parabolic dunes as well as  
88 on contemporary re-activation of dormant parabolic dunefields, there are no direct  
89 studies of the (re-)emergence of barchans from parabolic dunes, even though this  
90 transformation type has clearly significant implications for land management and  
91 socio-economic resource, particularly under climatic change. The study we present  
92 here attempts to investigate the eco-geomorphic dynamics of such a dune  
93 transformation, from parabolic to barchan, by means of simulations with a well-  
94 established computer model.

95         Computer modelling of aeolian landscapes and sand transport processes has  
96 been in wide use over the past few decades, due to its capability of bridging the gap  
97 between different temporal and spatial scales (Werner, 1995; 1999). Numerical  
98 simulations serve as an important tool to interpret field data and phenomena  
99 observed, to investigate theoretical foundations underlying distinctive landscape  
100 patterns, to elucidate possible landscape evolutions and threshold sensitivities, to

101 explore responses to perturbations arising from both natural and anthropogenic  
102 impacts, and to assist in understanding complex system behaviour and planning land  
103 management.

104         Within the context of climate change, the aim of this study is to understand the  
105 fundamental mechanisms and eco-geomorphic interactions that drive the re-  
106 activation and transformation of partially-stabilised parabolic dunes into highly mobile  
107 barchan dunes, achieved through Cellular Automaton (CA) computer simulation  
108 modelling that is informed by real-world data from fieldwork investigations and  
109 remote sensing imagery. Three most common activation mechanisms are explored,  
110 including drought stress, increasing wind strength, and overgrazing impact. We  
111 investigate in detail the influence of vegetation characteristics and the bare surface  
112 fraction of an initial parabolic dune on the transformation thresholds of these  
113 activation mechanisms. The model simulations are conducted in the context of a  
114 real-world study region, the inland parabolic dunes of the Hobq Desert on the Ordos  
115 Plateau of Inner Mongolia, China, described in full in Yan and Baas (2017).

116

## 117 **2. Methodology**

### 118 **2.1. Algorithm**

119         The model extends DECAL, the Discrete ECo-geomorphic Aeolian  
120 Landscapes model of Nield and Baas (2008). Itself based on an algorithm by Werner  
121 (1995), dune topography developing by wind is represented on a gridded domain by  
122 accumulations of discrete sand slabs, which are individually picked up, moved in one  
123 direction by wind, and deposited on destination cells, with stochastic controls. The  
124 transport process is modulated by a 'shadow zone' sediment sink in the shelter of  
125 dunes, where slabs build a slip face and cannot be eroded, and a domain-wide

126 maintenance of the angle of repose for loose sand by avalanching. As with the prior  
127 modelling study (Yan and Baas 2017), the spatial resolution of the domain is set at 1  
128  $\times 1 \text{ m}^2$  to represent the growth of individual shrubs of Ordos Sagebrush (*Artemisia*  
129 *ordosica*), the principal vegetation of the study region, to ensure sufficient detail in  
130 topography and vegetation patterns. Plants in the domain are represented by a  
131 vegetation effectiveness,  $\rho$ , on each cell, capturing the capability of vegetation to  
132 reduce sand transport by altering local erosion and deposition probabilities.

133 Vegetation effectiveness is linked to ground cover and varies over its physiological  
134 range [ $\rho_{\text{physioMin}}$ ,  $\rho_{\text{physioMax}}$ ], with a negative value denoting plants not yet large enough  
135 to impede any sand transport, while  $\rho > 1$  allows vegetation to grow beyond the  
136 density or coverage threshold that entirely stops sand transport. Decline or growth of  
137 the plants in response to erosion and burial by sand slabs is modelled with a growth  
138 function. While the original DECAL growth functions are static and simulate  
139 homogenous ground cover like grasses, the Extended DECAL algorithm used in the  
140 study here employs a 'dynamic growth function' simulating clump-like perennials,  
141 such as Ordos Sagebrush, whose growth is age-dependent and is sensitive to  
142 changes in short-term seasonality and climatic fluctuations, long-term climatic  
143 changes, and anthropogenic forces. First, a shrub seed can only germinate on bare  
144 surfaces under near-neutral sedimentation balance ( $0 - 0.1 \text{ m season}^{-1}$ ) (Kobayashi  
145 et al., 1995). Then, its growth is seasonal: in growing seasons (Spring & Summer), a  
146 shrub grows at a maximum growth rate of  $\alpha$  under a neutral sedimentation balance,  
147 and reduced in proportion with either erosion or sand burial. When erosion or burial  
148 exceeds the erosion tolerance ( $\tau_{\text{eroMax}}$ ) or the deposition tolerance ( $\tau_{\text{depMax}}$ ),  
149 respectively, the plant is entirely removed by uprooting or complete burial.

150 Meanwhile, as a shrub grows in size, it has a greater tolerance to both erosion and



151 sand burial events, and its impact on sand transport is amplified. In non-growing  
152 seasons (Autumn & Winter), a shrub sheds its leaves, resulting in a reduced  
153 interference of sediment transport.

154 As most shrubs have different capabilities of growth and response to erosion  
155 and deposition at different stages of their life cycle,  $\tau_{\text{eroMax}}$  and  $\tau_{\text{depMax}}$  are determined  
156 each season by scaling the existing  $\rho$  in a cell against two fundamental shrub  
157 parameters, the physiological erosion tolerance ( $\tau_{\text{E\_physioMax}}$ ) and deposition tolerance  
158 ( $\tau_{\text{D\_physioMax}}$ ) properties, defined as the sedimentation tolerances when the plant is at  
159  $\rho_{\text{physioMax}}$ . The maximum growth rate  $\alpha$  for a shrub at a specific age is established  
160 through a power-law regression relationship between the canopy cover (as a proxy  
161 for the effect on reducing sand transport) and the scaled vegetation dimension (as a  
162 proxy for the age of a plant) based on empirical data obtained from vegetation  
163 measurements in field surveys. The Extended DECAL algorithm summarised above  
164 is more fully described in Yan and Baas (2017); in particular, Appendix A in that  
165 paper describes in detail how empirical vegetation measurements from the field site  
166 in the Hobq Desert were used to establish the power-law relationship between shrub  
167 age and vegetation effectiveness that is implemented in the model.

168 Since the inland parabolic dunes in the study region are fully surrounded by  
169 well-vegetated shrub fields, the simulated dunes in this modelling study are treated  
170 as isolated systems with sediment being reworked only from the surface of the  
171 dunes themselves and/or exhumed from the substratum underneath, without input  
172 from an external upwind sediment supply. Analysis of remote sensing imagery  
173 sequences in combination with RTK-dGPS surveys in the field yielded an average  
174 sand transport rate potential of  $20 \text{ m}^3 \text{ m}^{-1} \text{ yr}^{-1}$  in the study region (see details in Yan  
175 and Baas, 2017), which is applied here as the default annual transport rate in the

176 model simulations. The seasonal sand transport regimes are defined as per table 2  
177 of Yan & Baas (2017), using a total of 120 model iterations per year.

178 Two aspects of the extended algorithm are specifically relevant to the work  
179 presented here and were not included in Yan and Baas (2017): relating to climatic  
180 impacts and grazing pressure. As mentioned above,  $\alpha$  is the growth rate of an  
181 individual plant during the growing seasons under its typical climatic conditions in the  
182 absence of sedimentation effects. A climatic change leading to a change of water  
183 availability can influence the vitality and growth of the plant species. Water  
184 availability is particularly crucial to plants in their growing seasons. Therefore,  
185 climatic impacts on the vegetation growth are incorporated in the model through a  
186 change of the maximum growth rate ( $\Delta\alpha_{climate}$ ) modelled as:

$$187 \quad \Delta\alpha_{climate} = I_{climate} S_{veg} \alpha^i \quad (1)$$

188 where:  $I_{climate}$  denotes the climatic impact;  $S_{veg}$  denotes the sensitivity of the specific  
189 plant species to the climatic impact, [0, 1]; and  $i$  is a curve factor dependent on plant  
190 species and environmental conditions. A positive climatic impact promotes the  
191 growth of vegetation, while a negative climatic impact discourages it.

192 Overgrazing is also one of the most significant pressures on vegetation in  
193 dune systems (Jiang et al., 1995; Ravi et al., 2010; Zheng et al., 2006). The  
194 Extended-DECAL simulates an environment where animals are roaming around and  
195 consuming a small portion of plant at each stop or time until their demands are  
196 satisfied. Forage demand per year ( $\delta$ ), defined in units of vegetation effectiveness  $\rho$   
197 in the model, is controlled by the number of livestock, the amount of forage needed  
198 per capita per foraging time, and the grazing frequency. Forage demand per iteration  
199 ( $\epsilon$ ) is then expressed as:

$$200 \quad \epsilon = \frac{\delta}{\sum_1^n I_i} \quad (2)$$

201 where:  $n$  is the number of growing seasons per year; and  $l_i$  is the number of  
202 iterations at the  $i^{\text{th}}$  growing season. Every grid cell in the modelling domain is  
203 assumed to have an equal probability for offering forage to animals. Once a grid cell  
204 is randomly selected, it provides animals with a certain amount of vegetation ( $\Delta\rho_g$ ) of  
205 its available vegetation as:

$$206 \quad \Delta\rho_g = \gamma(\rho - \rho_{physioMin}) \quad (3)$$

207 where:  $\gamma$  is the predefined fraction of a plant consumed by animals at one feeding,  
208 0.05 by default. This process is repeated until the overall vegetation consumed by  
209 animals meets the forage demand per iteration ( $\epsilon$ ). We acknowledge that the grazing  
210 algorithm and its assumptions are rather simplistic out of necessity to minimise the  
211 number of additional parameters being introduced (requiring justification and  
212 sensitivity testing). Nevertheless, it may fairly reflect the random browsing behaviour  
213 of livestock (sheep, goats) across a domain of this size (100s of meters) and  
214 accumulated over the course of 3-month periods (the modelling seasons).

215

## 216 **2.2. Simulation strategy**

217 A simulation of a migrating barchan dune transforming into a parabolic dune  
218 under the influence of colonising and stabilising vegetation, presented in Yan and  
219 Baas (2017), is used as the basis for selecting different starting points along this  
220 timeline for initiating impacts of climatic change and grazing, as indicated in figure 1,  
221 that lead to (re-)mobilisation of the parabolic dune lobe and transformation into a  
222 barchan dune.

223

### 224 2.2.1. Water availability

225 For decreased water availability, five starting points reflect partially stabilised  
226 parabolic dunes with different degrees of bare surface fraction (BSF) of the dune  
227 surface, calculated from the vegetation effectiveness values within the cells that  
228 compose the dune surface above the surrounding plain, as below:

$$229 \quad BSF = (\sum_{i=1}^n M_i)/n \quad (4)$$

$$230 \quad M_i = f(\rho) = \begin{cases} 1, & \rho \leq 0 \\ 1 - \rho, & 0 < \rho \leq 1 \\ 0, & \rho > 1 \end{cases} \quad (5)$$

231 Where  $n$  is the number of cells that are above the surrounding plain. The starting  
232 points are at stabilising stages ( $t_0$ ) of 80, 90, 100, 110, and 120 year, associated with  
233 BSF levels of 0.34, 0.25, 0.17, 0.06, and 0.00, respectively.

234 To examine the influence of vegetation properties on the reactivation of the  
235 same state of parabolic dunes (i.e. mobility and morphology), the maximum erosion  
236 tolerance of vegetation is varied in a range of -2.5 to -2.0 m season<sup>-1</sup> (the negative  
237 sign denotes erosion), whilst the maximum deposition tolerance is varied in a range  
238 of 2.9 to 3.2 m season<sup>-1</sup>. Both ranges are explored with a step resolution of 0.1 m  
239 season<sup>-1</sup> and are part of the spectrum previously explored in Yan & Baas (2017).  
240 The sand transport rate is kept at the default of 20 m<sup>3</sup> m<sup>-1</sup> yr<sup>-1</sup>. The climatic impact,  
241  $I_{\text{climate}}$ , is varied from -0.10 to -0.46, with a step resolution of 0.02. Multiple  
242 simulations are explored to determine a threshold of climatic impact at which the  
243 parabolic dune is activated into a barchan. More than 2000 simulations were  
244 analysed for this aspect.

245

### 246 2.2.2. Wind strength

247 The influence of an increase in wind strength on the activation of parabolic  
248 dunes is confined to situations in which vegetation is insufficient to entirely prevent  
249 sand transport, as a fully vegetated surface cannot be activated purely by an  
250 increase in wind strength alone. To examine the influence of increased wind strength  
251 on the activation of parabolic dunes, the initial dune is selected at 80, 85, and 90 yrs.  
252 of the base simulation of Fig. 1, when the parabolic dune still has a relatively high  
253 mobility (BSF = 0.34, 0.30, and 0.25, respectively). The maximum erosion and  
254 deposition tolerances of the vegetation are varied in a range of -2.5 to -2.0 m  
255 season<sup>-1</sup> and 2.9 to 3.2 m season<sup>-1</sup> respectively with a step resolution of 0.1 m  
256 season<sup>-1</sup>. The sand transport rate is explored from 110% to 250% of the standard  
257 sand transport rate of 20 m<sup>3</sup> m<sup>-1</sup> yr<sup>-1</sup> with a step resolution of 10% (i.e. 2 m<sup>3</sup> m<sup>-1</sup> yr<sup>-1</sup>),  
258 to determine a threshold at which the parabolic dune is transformed into a barchan.  
259 A total of 3240 simulation scenarios were analysed for this aspect.

260

### 261 2.2.3. Overgrazing

262 Severe anthropogenic activity such as overgrazing can activate stabilising  
263 parabolic dunes and transform them into highly mobile barchan dunes. For this part  
264 of the study all simulation scenarios start from an initial parabolic dune with BSF of  
265 0.34 ( $t_0 = 80$  yrs.). The deposition tolerance of vegetation is explored in a range of  
266 [2.9, 3.2] m season<sup>-1</sup>, at a constant erosion tolerance of -2.5 m season<sup>-1</sup>. On the  
267 other hand, to explore the impact of the erosion tolerance on the transformation, the  
268 erosion tolerance is then varied in a range of [-2.5, -2.0] m season<sup>-1</sup>, at a constant  
269 deposition tolerance of 3.0 m season<sup>-1</sup>. The forage demand is varied from 4000 to  
270 6000 units yr<sup>-1</sup> with steps of 100. This simulated demand can be placed in context of

271 livestock browsing of Ordos Sagebrush: with foliar coverage of this species of  
272 roughly 37% (Yang et al., 2008), one shrub (equal to one cell in the model domain)  
273 can be thought to provide a maximum forage of 0.37 (in units of vegetation  
274 effectiveness). The domain of  $400 \times 153 \text{ m}^2$ , if fully covered in vegetation, can then  
275 carry a total of 22644 units of forage. The simulated demand thus represents 18-  
276 26% of the total, which is in line with the harvesting coefficients for semi-arid  
277 rangelands found by Galt et al. (2000). This part of the study involved 189 simulation  
278 scenarios in total.

279

### 280 **3. Climatic change: reduced water availability**

281 An example in Fig. 2 illustrates a typical dune transformation process from a  
282 parabolic dune with BSF of 0.34 ( $t_0 = 80 \text{ yrs.}$ , Fig. 1) into a barchan under a negative  
283 climatic impact. While the arms of the parabolic dune have been fully stabilised by  
284 vegetation, the less stabilised lobe in the middle moves forward unimpeded,  
285 separating from the parabolic arms and transforming into a barchan dune. As the  
286 resulting barchan migrates over the shrub land, continuous incorporation of sand  
287 from the substratum and the associated lateral avalanching expands the mobile  
288 frontal area and increases the dune size progressively. Under certain conditions,  
289 rather than a single barchan a parabolic dune can be activated into multiple  
290 barchans or develop into more complicated active parabolic dune forms: elongated,  
291 imbricated or digitated. This section shows firstly the resulting dune morphologies  
292 under differing reductions in water availability as well as varying mobility of the initial  
293 parabolic dune, and secondly analyses parameter controls on the dune  
294 transformations. Physical processes and controlling mechanisms are discussed in  
295 Section 5.

296 Transformations of an (originally) stabilising parabolic dune as a consequence  
297 of reduced water availability can be classified into four types: elongation of the  
298 parabolic dune; a single barchan; multiple barchans; and a barchanoid and/or  
299 transverse dunefield (Fig. 3). Simulation scenarios starting with BSF of 0.34 ( $t_0 = 80$   
300 yrs.) can only be activated and transformed into a single barchan, occasionally  
301 accompanied with much smaller parabolic dunes in the downwind direction. An  
302 increase in the climatic impact can eventually lead to the destruction of the original  
303 arms of a parabolic dune and the activation of the entire domain, but never yields the  
304 development of multiple barchans (type 3 in Fig. 3) as compared with some  
305 simulation scenarios with BSF of 0.25 ( $t_0 = 90$  yrs.). In this case, as  $I_{\text{climate}}$  increases  
306 from -0.26 to -0.40, the initial parabolic dune transforms from a single barchan into  
307 multiple barchans (typically one large barchan following two smaller barchans) (Fig.  
308 4). A stronger climatic impact generally results in a quicker activation of the relatively  
309 bare lobe of a parabolic dune, leaving behind shorter arms and developing a larger  
310 activation angle. The activation angle is defined as the angle between the two low  
311 ridges (parabolic arm remnants) or the edges of the deflation plain which can be  
312 derived by linearly fitting two regression lines of both edges ( $R^2 > 95\%$ ). A negative  
313 angle denotes the two ridges widening in the downwind direction, and the resulting  
314 dune keeps expanding laterally as shown in Fig. 5 (cf. Fig. 13 & Appendix D in Yan  
315 and Baas, 2017).

316 Simulation scenarios from the initial parabolic dunes with BSF of 0.17 ( $t_0 =$   
317 100 yrs.) and 0.06 ( $t_0 = 110$  yrs.) need a much stronger climatic impact in order to  
318 transform into barchans. Both initial parabolic dunes can only be transformed into a  
319 barchan with well-preserved remnant parabolic arms at a climatic impact of -0.44. A  
320 small further increase of the climatic impact to -0.46 activates the entire domain into

321 a field of barchans with no remnant arms left behind. A large range of weaker  
322 climatic impacts, nevertheless encourages the simple parabolic dunes to evolve into  
323 more complicated dune morphologies (see Appendix A for a range of examples).  
324 Simulations from the initial parabolic dune with BSF of 0.00 ( $t_0 = 120$  yrs.) can only  
325 be either stabilised completely or be fully activated into a barchan dunefield (no  
326 arms). In these situations, the erosion and deposition tolerances of vegetation are  
327 irrelevant to determining the threshold of climatic impact to reactivate an initial  
328 parabolic dune.

329 Detailed analysis of the climatic impact threshold on the parabolic-to-barchan  
330 dune transformations in the following sections is focused on initial parabolic dunes  
331 with BSF of 0.34 and 0.25, since starting points with BSF below 0.25 require too  
332 strong a climatic impact to trigger transformation. The influence of vegetation erosion  
333 and deposition tolerances on the threshold of climatic impact is also investigated in  
334 detail.

335 The activation threshold of climatic impact relates closely to the stability of a  
336 vegetated parabolic dunefield. As shown in Fig. 6, the BSF of the initial parabolic  
337 dune strongly controls the activation threshold of climatic impact. As the initial BSF  
338 decreases from 0.34 ( $t_0 = 80$  yrs.) to 0.25 ( $t_0 = 90$  yrs.), the activation threshold of  
339 climatic impact increases significantly. Parabolic dunes at the lower BSF require a  
340 greater climatic impact to transform into barchans. A high deposition tolerance of  
341 vegetation promotes dune stabilisation and requires a relatively greater activation  
342 threshold, while the erosion tolerance of vegetation seems to play a minimal role in  
343 determining a dune activation threshold.

344 Fig. 7 shows the relationship between the climatic impact and the activation  
345 angle of resulting barchans with BSF of 0.34 and 0.25, respectively. As the climatic



346 impact increases, the activation angle becomes more negative. This means that a  
347 greater climatic impact results in a more severe lateral expansion and an associated  
348 larger size of dunes. The influence of vegetation erosion and deposition tolerances  
349 on the activation angle is generally minimal. There is a good linear correlation for  
350 both sets of data. Interestingly, the slopes of regression lines are similar, although  
351 the larger activation threshold of climatic impact for parabolic dunes with BSF of 0.25  
352 limits the data set into a smaller range. This correlation between the climatic impact  
353 and the activation angle seems independent of the stability of the initial parabolic  
354 dunes, and may be potentially used to estimate the severity of a climatic impact  
355 based on field measurements of activation angles. A large activation angle also  
356 means that the dune is more easily merged with any neighbouring dunes, which may  
357 result in the development of transverse dunes.

358         As the climatic impact increases on a transforming dune with an initial BSF of  
359 0.34, the transition time, defined as the time when the transforming dune starts to  
360 exhibit a barchan shape with a crescentic lobe and clearly identifiable toe and slip  
361 faces, decreases first and then levels off at a duration of roughly 40 yrs beyond a  
362 climatic impact of -0.26 (Fig.8). The transition time only decreases further at very  
363 severe climatic impacts, but this is close to the point where the entire domain  
364 transforms into a bare-sand dunefield (as in Fig. 3 example iv). For a dune  
365 transformation starting from BSF = 0.25, the transition time steadily decreases as  
366 climatic impact grows, until a minimum duration, again, of roughly 40 yrs.  
367 Comparison between the two scenarios of Fig. 8 shows that more stabilised initial  
368 parabolic dunes require a longer time to be transformed into barchans, and the  
369 transition time increases more significantly for a relatively small climatic impact. An  
370 increase in the deposition tolerance of vegetation discourages the activation of

371 parabolic dunes and hence leads to longer transition duration. This control becomes  
372 stronger as the climatic impact is less severe. The effect of erosion tolerance of  
373 vegetation on the transition time does not show any particular trend or pattern.

374 Fig. 9 shows the relationship between the activation angle and the dune  
375 surface erodibility at the transition time. A larger activation angle is generally  
376 associated with a higher dune surface erodibility at the transition time. It suggests  
377 that the correlation may be independent of the degree of stability of an initial  
378 parabolic dune, although a more stabilised initial parabolic dune results in a wider  
379 distribution and a higher randomness. The influence of the erosion and the  
380 deposition tolerances does not show a clear trend.

381

#### 382 **4. Climatic change: increased wind strength**

383 The vegetation cover and the associated stability of an initial parabolic dune  
384 strongly control the activation threshold of sand transport rate (Fig. 10). A higher  
385 deposition tolerance of vegetation increases the activation threshold of sand  
386 transport rate, although the influence of the erosion tolerance of vegetation seems  
387 minimal.

388 The activation angle generally increases with the sand transport rate,  
389 although there is no outstanding trend with respect to the erosion and the deposition  
390 tolerances of vegetation (Fig. 11). Although the activation threshold of sand transport  
391 rate varies for different initial parabolic dunes, the average activation angles under  
392 the same sand transport rate are similar and seem independent of the stability of the  
393 initial parabolic dunes. The slopes of regression lines derived from different initial  
394 parabolic dunes vary within a magnitude of 0.1. As a consequence, by comparing  
395 activation angles of different mobile dunes, it is potentially possible to deduce the

396 associated sand transport regimes: larger activation angles imply a wind regime with  
397 higher sand transport rates.

398 As the sand transport rate increases, the transition time of parabolic dunes  
399 into barchans decreases, as shown in Fig. 12. The degree to which an increase in  
400 the sand transport rate reduces the transition time, however, dwindles rapidly. The  
401 transformation is hence only sensitive to changes in sand transport rate close to the  
402 activation threshold. Further increases in sand transport rate do not significantly  
403 contribute to a quicker activation of parabolic dunes. Given the same sand transport  
404 rate, a more stabilised parabolic dune requires a longer transition time. The erosion  
405 and the deposition tolerances only exert limited impacts on the transition time when  
406 the sand transport rate is relatively small, just above the activation threshold. A  
407 higher erosion tolerance of vegetation encourages a quicker barchan-to-parabolic  
408 dune transformation, whereas a higher deposition tolerance of vegetation prolongs  
409 the transition duration.

410

## 411 **5. Processes and mechanisms of the parabolic-to-barchan dune** 412 **transformation**

413 A barchan-to-parabolic dune transformation under climatic change can be  
414 conceptualised into stages illustrated by snapshots in Fig. 13. The negative climatic  
415 impact reduces the capability of vegetation to withstand erosion and sand burial.  
416 More severe erosion causes vegetation on the inner slope of the arms close to the  
417 edges of the lobe to decline (Zone i outlined by  $\Delta bcd$  at  $t_0$  in Fig. 13). The decline of  
418 vegetation in Zone i enables sand there to be transported and deposited onto Zone ii  
419 outlined by  $\Delta abc$ . As the lobe migrates forward, the Zone ii ends up on the windward  
420 slope and undergoes erosion (Line ab at  $t = +21$  yrs. in Fig. 13). Beyond Line ac in

421 Zone iii, vegetation is able to withstand the climatic impact and neither erosion nor  
422 deposition occurs. The Zone iii, therefore, develops to be part of the trailing arm.

423 The erosion on the inside of the trailing arms provides more sand for transport  
424 and deposition on the lee slope downwind, thereby exerting a more severe negative  
425 impact on the vegetation there. More severe decline of vegetation on the edges of  
426 the lobe, meanwhile, further accelerates migration thereof as compared with the lobe  
427 in the middle. This is due to the fact that: (1) the vegetated area on the lower slope  
428 can maintain a steeper gradient than the bare surface on the upper slope, and the  
429 more severe decline of vegetation close to the lobe edges yields more abundant  
430 sand for advancing downwind (Fig. 14); (2) a lower height on the lobe edges can  
431 lead to a faster migration rate (provided that the potential sand transport rate is the  
432 same), which encourages the formation of a more rounded frontal edge of the dune  
433 lobe.

434 As Line ab ( $t_0$  in Fig. 13) first experiences stronger deposition, more severe  
435 erosion occurs when Line ab subsequently becomes part of the windward slope as  
436 the lobe migrates forward ( $t = +21$  yrs. in Fig. 13). This is when a catastrophic shift  
437 begins. From that time onwards, severe erosion takes place on the vegetated edges  
438 of the lobe and the lobe is gradually separating from the trailing arms. The severe  
439 erosion provides more and more abundant sediment supply for sand transport from  
440 vegetated lobe edges along with incorporating sand from the sandy substratum  
441 underneath. This reinforces the vegetation decline on the lee slope and a faster  
442 migration rate on the lobe edges (because of a lower height). As a result, the  
443 maximum height that vegetation can reach on the lee slope decreases (see Point e  
444 and Point f at  $t = +30$  yrs. in Fig. 13).

445 On the one hand, a faster migration of the lobe edges and lateral avalanching  
446 expand the frontal area, and vegetated edges of the lobe decrease in height  
447 because of vegetation decline arising from climatic impact; on the other hand, the  
448 vegetated edges that have already survived sand burial can only be eliminated by  
449 erosion because no sand supply is available upwind (since the activation angle is  
450 negative). As a result, an incipient crescentic ridge forms due to a faster migration  
451 rate on the edges as compared with the main body in the middle. At the same time,  
452 the centre of the parabolic ridge is maintained because of the greater height where  
453 vegetation had survived sand burial before the catastrophic shift occurred (see the  
454 Point e in Fig. 13). Continued erosion of vegetated edges lowers the height of the  
455 parabolic ridge due to the decrease in the maximum height vegetation can survive  
456 on the lee slope (see Point f in Fig. 13). The parabolic ridge eventually disappears  
457 when the vegetated lobe edges are no longer higher than the newly-created low  
458 ridge of the resulting barchan with a typical slip face ( $t = +67$  yrs. in Fig. 13).

459 The initial parabolic dune transforms into a single barchan when the migration  
460 rate of the lobe edges is similar to the erosion rate of previously better-vegetated  
461 edges (or the parabolic ridge), as in the example described above. However, if the  
462 migration rate of the lobe edges is much faster than the erosion rate of the parabolic  
463 ridge, for a relatively large dune, for instance, multiple barchans can develop (Fig.  
464 4b). The lower erosion rate of the parabolic ridge slows down the migration of the  
465 central body, whereas the faster migration rate of lobe edges results in the escape of  
466 sand from the main body and the formation of smaller sand piles downwind (where  
467 severely deteriorated vegetation on the interdune areas is incapable of preventing  
468 fast migration). The continuous escape of sand from the main body and the  
469 accumulation of these piles can further lead to the development of barchans. The

470 main body eventually transforms into a larger barchan as soon as the parabolic ridge  
471 has been completely eroded.

472 A severe climatic change arising from reduced water availability or increased  
473 wind energy can lead to the development of a larger activation angle, because (1)  
474 more severe vegetation decline on the lobe edges leads to more extensive lateral  
475 avalanching and a faster expansion of the frontal areas (the lee slope), and (2) the  
476 Point e is at a lower height and the catastrophic shift happens more quickly, which  
477 also leaves behind shorter remnants of the parabolic trailing arms. The activation  
478 angle seems independent of the stability of the initial parabolic dunes, indicating that  
479 although BSF of the initial parabolic dune impacts the threshold of required climatic  
480 change, the magnitude of climatic change controls the degree of activation of  
481 parabolic dunes once the dune activation processes have been initiated.

482 A higher deposition tolerance reduces the difference in migration rate on the  
483 lobe edges in comparison with the central body, thereby prolonging the transition  
484 duration of the parabolic-to-barchan dune transformation. The erosion tolerance of  
485 vegetation does not seem to impact the transformation significantly, but likely affects  
486 the pattern of resulting barchans (single vs. multiple barchans) because of the effect  
487 on the erosion rate of the parabolic ridge. The characteristics of vegetation only play  
488 a significant role at a smaller climatic change. This suggests that the influence of  
489 severe climatic change on the dune transformation is largely independent from the  
490 flora in different regions.

491

## 492 **6. Anthropogenic pressure: overgrazing**

493 Grazing activity has a major impact on partially vegetated dunefields, as on  
494 the Ordos Plateau, due to their great vulnerability to environmental changes. Fig. 15

495 exemplifies how grazing activity can lead to an initial parabolic dune being  
496 transformed into a highly mobile barchan. The general processes involved are  
497 similar to that of the parabolic-to-barchan dune transformations arising from reduced  
498 water availability or increased wind energy. The greater impact of vegetation decline  
499 on the lobe edges, as compared with the central body, results in a faster migration  
500 there, because of the gentler angle of repose for bare surfaces as well as the lower  
501 crest of the longitudinal profile. As sand is continuously incorporated into the  
502 migrating lobe from the sandy substratum and the eroded arms, the mobile lobe  
503 grows in size and expands laterally, transforming into a barchan eventually. In  
504 contrast to natural environmental changes which affect relatively weak and young  
505 plants more, anthropogenic forces including grazing activity can exert a broad impact  
506 on all plants regardless of size or age. As a result, well-vegetated interdune areas  
507 have also been activated slightly, leading to the development of low relief.

508         With increasing forage demand, the transition time of the parabolic-to-barchan  
509 dune transformation decreases at a lower rate (Fig. 16a). A small increase in forage  
510 demand just above the activation threshold therefore has the most significant impact  
511 on transition time. Fig. 16b and c show respectively the influence of the deposition  
512 tolerance and the erosion tolerance of vegetation on the forage demand threshold -  
513 the minimum forage demand that leads to the parabolic-to-barchan dune  
514 transformation. A higher deposition tolerance enables a dune system to withstand a  
515 larger forage demand before the dune stabilising processes are reversed, whereas  
516 the erosion tolerance seems to play a less direct role in determining the threshold of  
517 the parabolic-to-barchan dune transformation.

518

## 519 7. Discussion

520 Projections of more frequent drought in various regions may indicate more  
521 severe dune activations in the future (IPCC, 2013), an example of which has been  
522 observed at the Great Sand Dunes in Colorado (Marín et al., 2005). The modelling  
523 results highlight that the relationship between erodibility and erosivity is susceptible  
524 to climatic changes (Thomas et al., 2005). Although for different reasons, the  
525 processes involved in the parabolic-to-barchan dune transformations are much alike.  
526 The modelling results shed important light on the eco-geomorphic interactions  
527 governing the transformations.

528

### 529 7.1. Eco-geomorphic interaction zones

530 The importance of eco-geomorphic interactions and the associated morpho-  
531 dynamics in controlling barchan-to-parabolic dune transformations has been  
532 examined and discussed in detail by Yan and Baas (2017). These eco-geomorphic  
533 interaction zones bear different functionality in the processes of dune  
534 transformations, and have distinctive characteristics in terms of the balance between  
535 sand transport versus vegetation dynamics that can be linked to the consequent  
536 topographic development and used to signify the stability of a dune system. In a  
537 similar manner, the characteristics of eco-geomorphic interactions during the  
538 mobilisation of a parabolic back into a barchan dune also exhibit distinctive  
539 behaviours in different areas of the changing dune body. We can identify four basic  
540 eco-geomorphic interaction zones that bear different functionality in the  
541 transformation of a parabolic into a barchan dune. These zones are illustrated along  
542 transverse sections at two stages along the transformation, corresponding to  
543 transects at two different spatial locations in the model domain (as the transforming



544 dune is migrating eastward). The first transverse section, at 250 m eastings (Fig. 17),  
545 represents a typical example showing how eco-geomorphic interaction zones  
546 respond to climatic change during the initial stage of the parabolic-to-barchan dune  
547 transformation when the transforming dune still maintains a parabolic shape. The  
548 second transverse section, at 325 m eastings (Fig. 19), demonstrates typical eco-  
549 geomorphic interaction zones when the parabolic dune has transformed into a typical  
550 barchan dune with a slip face.

551 Fig. 17 shows an example of how vegetation interacts with a migrating  
552 parabolic dune under climatic impact during the initial stage, and Fig. 19 presents the  
553 temporal changes in height and vegetation effectiveness in four basic eco-  
554 geomorphic interaction zones, going from the outer edge to the dune centre-line. It  
555 can be seen that Zone 1, which develops into the outside slope of the arms, is  
556 almost eliminated (Fig. 17). Zone 2, which develops into the inner slope of the arms,  
557 is very thin due to severe impact of erosion (Fig. 18b) and as a result, trailing arms  
558 are no longer left behind. Vegetation in Zone 3 declines slightly first due to sand  
559 burial, and then is eliminated by more severe erosion (Fig. 18c). Zone 4, the  
560 maximum height where vegetation can survive remains constant (Fig. 18d). In  
561 comparison to the barchan-to-parabolic dune transformation (Yan and Baas, 2017),  
562 Zone 1 and Zone 2 of the initial parabolic dune - the only areas where vegetation is  
563 able to trap sand and stabilise the dune - are squeezed significantly under climatic  
564 impact. Consequently, the lobe expands in size due to the continuous incorporation  
565 of sand from its substratum underneath, and with only minimal loss of sand to trailing  
566 arms.

567 After the initial stage above, eco-geomorphic-interaction zones when the  
568 parabolic dune has completed the transformation into a barchan are presented in

569 Fig. 19 and Fig. 20. The characteristics of Zone 1 and Zone 2 are similar to their  
570 counterparts in the initial stage of the parabolic-to-barchan dune transformation  
571 under climatic impact in Fig. 18. No outstanding arm is developed and the ridge is  
572 only 2 m in height (Fig 20a & b). Vegetation in Zone 2 declines slightly first because  
573 of sand burial and dies eventually because of erosion. The characteristics of Zone 3  
574 are different from the counterparts of the initial stage of the parabolic-to-barchan  
575 dune transformation (Fig. 18c). Vegetation in the Zone 3 can survive similar sand  
576 deposition and dies of further sand burial (Fig. 20c). The Point c is the front most of  
577 the barchan horn as migrating dune cross the transverse section ( $t = +55$  yrs. in Fig.  
578 19a), but it is the last to be eroded out of the deflation plain ( $t = +90$  yrs. in Fig. 19).  
579 This indicates that the barchan dune is interacting with vegetation and expanding  
580 laterally. The Zone 4 comprises the transverse section of the crescentic-shaped  
581 body in the centre of the dune, and the changes in both topography and vegetation  
582 display a similar profile (Fig. 20d).

583

## 584 7.2. Implications

585 As discussed above for both stages, the characteristics of four eco-  
586 geomorphic interaction zones closely relate to the processes of dune  
587 transformations, and may be potentially used to predict dune stability under climatic  
588 change in a real dunefield. Comparing modelling results herein against real-world  
589 data, however, requires further research. The modelling results suggest that the  
590 mobility of an initial parabolic dune at the outset of perturbations determines to a  
591 large extent the capacity of a system to absorb the environmental change and the  
592 propensity for activation and dune transformation. A slight increase in vegetation  
593 cover of an initial parabolic dune can increase the activation threshold of climatic

594 impact (both drought stress and wind strength) significantly, consistent with findings  
595 suggested by Nield and Baas (2008) that dune systems may exhibit a strong  
596 threshold response. Wiggs et al. (1995) have also found that an increase in water  
597 stress or wind strength can impair vegetation cover and a sparse vegetation cover  
598 raises the potential for surface mobility. A model proposed by Yizhaq et al. (2007)  
599 indicates a similar behaviour that sufficiently high wind power can cause the decay of  
600 vegetation and activate stabilised dunes, and that changes in windiness and  
601 vegetation cover may shift the dune into a new state (Barchyn and Hugenholtz,  
602 2013; Yizhaq et al., 2009). The modelling results suggest that there is positive  
603 feedback between the decline of vegetation and the increase of sand availability  
604 (Brunsdon and Thornes, 1979). A higher vegetation cover of initial parabolic dunes  
605 can dampen out small perturbations and enables the system to maintain the existing  
606 state (Hugenholtz and Wolfe, 2005).

607         The modelling results show that the characteristics of vegetation play a less  
608 important role in the dune activation and parabolic-to-barchan dune transformations,  
609 as compared with the dune stabilisation investigated in Yan and Baas (2017). A  
610 higher deposition tolerance can increase the activation threshold of both climatic  
611 impact and sand transport rate slightly, but the influence of vegetation characteristics  
612 becomes negligible when the mobility of an initial parabolic dune is very low. As an  
613 extreme example, simulations from the initial parabolic dune at 120 yr can only be  
614 either fully stabilised or fully activated into a bare-sand dunefield by climatic impact,  
615 resembling findings by Nield and Baas (2008). A highly vegetated parabolic dune  
616 cannot easily be activated and transformed into a barchan dune; instead, it results in  
617 more diverse dune morphologies and develops into a more complicated imbricated  
618 or nested parabolic dune, as shown in Appendix A. This seems to suggest that there

619 is correlation between the complexity of dune morphology and the stability of the  
620 initial parabolic dunes. A long-term drought can, however, deplete vegetation and  
621 can reactive a dune significantly (Mangan et al., 2004). In contrast, stabilised  
622 parabolic dunes cannot be activated by increasing sand transport rate without  
623 catastrophic events such as fires or storms, because no sand is available for  
624 mobilisation. This indicates that under limited sand supply drought severity exerts  
625 more severe impacts on dune activation than windiness.

626         Beyond the threshold of a parabolic-to-barchan dune transformation, a small  
627 increase in either climatic impact or sand transport rate can accelerate the dune  
628 activation and transformation significantly, while a larger increase has a  
629 progressively decreasing effect. A low erosion or deposition tolerance leads to the  
630 development of resulting barchan dunes with a higher dune surface erodibility. The  
631 influence of vegetation characteristics is more outstanding for an initial parabolic  
632 dune with higher stability. The different sensitivity caused by varying initial dune  
633 stability become less significant as the climatic impact increases, but does not show  
634 apparent change as the sand transport potential increases. This may be due to the  
635 fact that there is no significant difference in terms of sand availability even though  
636 vegetation cover is slightly different. As a result, vegetation characteristics play a  
637 less important role because of the limitation by sand availability even when sand  
638 transport potential increases substantially.

639         The modelling results also show that the characteristics of eco-geomorphic  
640 interaction zones involved in the dune activation are significantly different from that  
641 of dune stabilisation studied in Yan and Baas (2017). Therefore, the change in the  
642 characteristics of eco-geomorphic interaction zones may indirectly reflect and predict  
643 the direction of an ongoing transformation. The activation angle is another interesting

644 feature. There is a strong linear correlation between the climatic impact or the sand  
645 transport rate and the activation angle, independent of the stability of the initial  
646 parabolic dune and the activation threshold. The activation angle, therefore, may be  
647 potentially measured in the field from the remnants of parabolic arms left behind, and  
648 used as a proxy of the environmental stresses that lead to the transformation. In the  
649 context of a whole dunefield being affected, however, any remnant parabolic arms  
650 may be quickly erased as neighbouring and upwind dune transformations override  
651 the relic topography. Similarly, in cases of a large activation angles (corresponding to  
652 large climatic impacts) a transforming dune more easily merges neighbouring dunes,  
653 which may result in the emergence of transverse ridges.

654         The response of dune morphology to environmental changes often involves  
655 time-lags. The modelling results suggest a reaction time of approximately 5 years  
656 before a dune starts to change its morphology in response to climatic change.  
657 Vegetation acts as a buffer between environmental changes and morphological  
658 responses, a prevalent phenomenon that has been observed in many studies.  
659 Lancaster and Helm (2000) have found that a lag between changes in precipitation  
660 and vegetation makes the dune mobility index incompetent to predict a short-term  
661 change in sand transport. Mangan et al. (2004) have contributed dunes at the High  
662 Plains remaining stable during the 1930s drought to the presence of plant rooting  
663 systems that can bind soil for a period of time even though the plants have died of  
664 drought. Hesse and Simpson (2006) found perennial plant cover predominantly  
665 controls the mobility of dunes in Australia. They also observed that the perennials  
666 have a response time longer than the inter-annual variations of precipitation, and  
667 seem to respond to cyclical droughts on a temporal scale of years to decades before  
668 impacting sand transport patterns over dunes. Compared with the response of dune

669 morphology to environmental changes, vegetation is more sensitive and can thus be  
670 potentially used as an indicator for predicting the activation of vegetated parabolic  
671 dunes. In particular, the decline of vegetation on the lee slope and the windward  
672 slope close to the dune lobe is likely to be the first sign of dune activation and the  
673 subsequent parabolic-to-barchan dune transformation.

674         Our previous study on barchan stabilisation under ameliorating vegetation  
675 conditions showed that larger barchans can be more easily and more quickly  
676 stabilised and transformed into parabolic dunes due to their lower migration rates  
677 (Yan and Baas, 2017). When negative stresses (either from climate change or  
678 human disturbance) are then imposed on a field of stabilising parabolic dunes of  
679 varying sizes, the stabilisation processes are reversed on lobes with varying degrees  
680 of Bare Surface Fraction, and these different parabolic dunes will hence respond in  
681 different manners, as shown in the results above. Parabolic dunes with a high BSF  
682 may be activated and transformed into barchans, whereas parabolic dunes with a  
683 relatively low BSF develop from simple forms into more complicated forms. The  
684 spatial arrangements and the history of individual dunes, therefore, play an important  
685 role in shaping the spatial heterogeneity of a dunefield. This may be an important  
686 reason why highly mobile barchans have been found to coexist with well-vegetated  
687 parabolic dunes in the field, such as dunefields in north-eastern Brazil (Yizhaq et al.,  
688 2007). In a related context, Tsoar (2005) and Yizhaq et al. (2009) have established  
689 the hysteresis behaviour of activation versus stabilisation of dunefields, showing that  
690 dormant dunefields that have been activated due to an increased environmental  
691 forcing require a decrease in that forcing far below its initial level in order to restore  
692 the dunefield to its original dormant state. Our results illustrate a similar behaviour in

693 that it is exceedingly difficult for initially highly vegetated parabolic dunes to restore  
694 to this original state once they have been mobilised into barchans.

695

## 696 **8. Conclusions**

697 The activation of vegetated parabolic dunes into highly mobile barchans  
698 poses a threat to both ecological sustainability and social-economic development.  
699 The Extended-DECAL has been used to explore the sensitivity of parabolic dunes on  
700 environmental changes arising from the increases in drought stress, wind strength,  
701 and grazing activities, informed by field measurements and remote sensing  
702 interpretations. The model has been able to simulate the activation of vegetated  
703 parabolic dunes into barchans on plausible temporal scales (several decades to 100  
704 years) and spatial scales (tens to hundreds of meters). It shows that the deposition  
705 tolerance of vegetation significantly influences the transition time and the resulting  
706 dune morphology. A higher deposition tolerance enables vegetation downwind of a  
707 partially vegetated parabolic dune to resist stronger climatic impact, and hence  
708 results in gentler activation and a longer transition time into a barchan. In contrast,  
709 the erosion tolerance of vegetation plays a less significant role in controlling the rate  
710 of dune transformations, but it is essential to the development of trailing arms of  
711 parabolic dunes and influences the lateral expansion of an activated dune lobe into a  
712 highly mobile barchan.

713 Sand availability in a closed environment is primarily controlled by the size of  
714 dunes and the thickness of sandy substratum underneath. A high sand availability  
715 arising from larger surface erodibility of an initial parabolic dune increases sand  
716 transport and requires a smaller climatic impact to be activated into a barchan,  
717 because sand availability, instead of wind energy, is the limiting factor for sand

718 transport in such an environment where dunes are surrounded by a well-vegetated  
719 interdune plain. An increase in potential sand transport rate accelerates the dune  
720 migration, thereby shortening the transition time of the parabolic-to-barchan dune  
721 transformation. The activation angle is closely related with the rate of dune activation  
722 and may provide a useful linkage between field measurements and numerical  
723 predictions. The characteristics of eco-geomorphic interaction zones are more  
724 sensitive to environmental changes as compared with dune morphologies, and can  
725 be potentially used as a proxy to identify and monitor the stability of a vegetated  
726 dune system. The modelling results indicate that the grazing activity, in comparison  
727 with climatic impact, can more easily result in dune activation and the transformation  
728 from vegetated parabolic dunes into barchan dunes.

729         The model can be easily adapted to a different dune environment, and be  
730 used to explore various scenarios under changes in both natural and anthropogenic  
731 controls. A relatively low computational demand enables extensive explorations of  
732 phase space and phase diagrams, detailed investigations of complicated interactions  
733 between relatively large numbers of system parameters, which can then assist in  
734 understanding various eco-geomorphic processes of a dune system in a more  
735 integrated manner.

736

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1 Fig. 1. Base simulation from Yan and Baas (2017) of a migrating barchan dune  
2 transforming into a parabolic dune under the influence of stabilising vegetation  
3 (above), used for selecting starting points for initiating re-mobilising impacts (below).  
4 The simulation starts from an initial 9.2 m high barchan on a 0.6 m thick sandy  
5 substratum, affected by vegetation with a maximum erosion tolerance ( $T_{E\_physioMax}$ ) of  
6  $-2.3 \text{ m season}^{-1}$  and a maximum deposition tolerance ( $T_{D\_physioMax}$ ) of  $3.0 \text{ m season}^{-1}$ .  
7 (a) Topography shown in shaded 3D in upper sequence (white deflation plain  
8 indicating exposed non-erodible base of the modelling domain), with lower sequence  
9 showing vegetation effectiveness ( $\rho$ ), superimposed on the shaded topography.  
10 Vegetation on the surrounding plain is masked out, so that parabolic arms and frontal  
11 edge of dune can be clearly identified. See vertical colour bar on the right for  
12 different degrees of vegetation effectiveness. (b) Changes in Bare Surface Fraction  
13 (BSF) during the base simulation. The green dot denotes the moment when the  
14 initial barchan is transformed into a typical parabolic dune. Pink asterisks and orange  
15 triangles denote initiation times when decreased water availability or increased wind  
16 strength is imposed onto the system, respectively.

17

18 Fig. 2. An example of the parabolic-to-barchan dune transformation triggered by  
19 environmental change. The initial state ( $t_0$ ) is the parabolic dune at 80 yrs. in Fig.1  
20 ( $BSF = 0.34$ ), and a climatic impact ( $I_{climatic}$ ) of  $-0.14$  was imposed onto the  
21 vegetation, analogous to a drought situation. Simulation parameters:  $q = 20 \text{ m}^3 \text{ m}^{-1}$   
22  $\text{yr}^{-1}$ ,  $T_{E\_physioMax} = -2.3 \text{ m season}^{-1}$ , and  $T_{D\_physioMax} = 3.0 \text{ m season}^{-1}$ . Shaded  
23 topography, left, and overlain with maps of vegetation effectiveness, right, (colour bar  
24 legend on bottom right) as described in Fig.1. An example is also presented in Video  
25 1 and Video 2 [supplemental].

26

27 Fig. 3. Resulting dune morphologies (indicative topography only) from a parabolic  
28 dune with an initial  $BSF = 0.25$  ( $t_0 = 90$  yrs.) under climatic impacts. Vegetation  
29 parameters:  $T_{E\_physioMax} = -2.0$  m season<sup>-1</sup>, and  $T_{D\_physioMax} = 2.9$  m season<sup>-1</sup>.  $I_{climatic}$   
30 increases from -0.22, -0.28, -0.32, to -0.46 in this sequence from bottom to top. (i)  
31 The parabolic dune continues to be stabilised and its lobe hardly changes in shape.  
32 (ii) The lobe of the parabolic dune is mobilised and separates from the trailing arms  
33 to develop into a single barchan, whilst the remnant arms remain intact. (iii) The lobe  
34 of the parabolic dune transforms into multiple barchans, whilst the trailing arms  
35 remain intact. (iv) The whole domain is activated, and the trailing arms of the  
36 parabolic dune are destroyed.

37

38 Fig. 4. Transformation of a parabolic dune with an initial  $BSF = 0.25$  ( $t_0 = 90$  yrs.)  
39 into: (a) a single barchan,  $I_{climate} = -0.26$  vs. (b) multiple barchans,  $I_{climate} = -0.40$ .  
40 Simulation parameters:  $q = 20$  m<sup>3</sup> m<sup>-1</sup> yr<sup>-1</sup>,  $D_0 = 0.6$  m,  $T_{E\_physioMax} = -2.1$  m season<sup>-1</sup>,  
41 and  $T_{D\_physioMax} = 2.9$  m season<sup>-1</sup>. An example is also presented in Video 3  
42 [supplemental].

43

44

45 Fig. 5. An example of the activation angle ( $\beta$ ) in a simulation.

46

47 Fig. 6. Influence of the maximum erosion tolerance ( $T_{E\_physioMax}$ ; see legend) and the  
48 maximum deposition tolerance ( $T_{D\_physioMax}$ ; horizontal axis) of vegetation on the  
49 activation threshold for the climatic impact.

50

51 Fig. 7. Influence of the climatic impact ( $I_{climate}$ ; horizontal axis) on the activation angle  
52 ( $\beta$ ; vertical axis), under a range of vegetation characteristics. Crosses denote means  
53 of simulations with different maximum erosion tolerance ( $T_{E\_physioMax}$ ) and maximum  
54 deposition tolerance ( $T_{D\_physioMax}$ ), and whiskers denote standard deviations. Lines  
55 show linear regressions with dashed contours indicating 95% confidence intervals.

56

57 Fig. 8. Influence of the climatic impact ( $I_{climate}$ ) and the characteristics of vegetation  
58 on the dune transition time ( $t_{tran}$ ). Colours labelled in the legend denote the relevant  
59 vegetation characteristics, while crosses and whiskers denote means and standard  
60 deviations over the range of simulations.

61

62 Fig. 9. The relationship between the activation angle ( $\beta$ ) and Bare Surface Fraction  
63 (BSF) at the transition time ( $t_{tran}$ ). Blue circles and red triangles denote simulations  
64 from parabolic dunes at initial BSF of 0.34 and 0.25, respectively. The black line  
65 denotes the best-fit linear regression line through all points, with blue contour lines  
66 indicating 95% confidence intervals.

67

68 Fig. 10. Influence of the maximum erosion tolerance ( $T_{E\_physioMax}$ ) and the maximum  
69 deposition tolerance ( $T_{D\_physioMax}$ ) of vegetation on the activation threshold of sand  
70 transport rate ( $q$ ). Initial parabolic dunes have different degrees of bare surface  
71 fraction (BSF).

72

73 Fig. 11. The relationship between sand transport rate ( $q$ ) and activation angle ( $\beta$ ). (a)  
74 Simulations from three different initial BSF states (see legend) shown separately,  
75 crosses and whiskers indicating means and standard deviations over the range of

76 vegetation characteristics. Best-fit linear regressions shown with colours matching  
77 the legend. (b) Single linear regression through all means shown in (a) combined,  
78 with dotted contours indicating 95% confidence intervals.

79

80 Fig. 12. The relationship between the sand transport rate ( $q$ ) and the transformation  
81 time ( $t_{tran}$ ) under influence of the different maximum erosion tolerance ( $T_{E\_physioMax}$ )  
82 and the maximum deposition tolerance ( $T_{D\_physioMax}$ ). Colours labelled in the legend  
83 denote the erosion or deposition tolerances, while crosses and whiskers denote  
84 means and standard deviations of the range of  $T_{E\_physioMax}$  Or  $T_{D\_physioMax}$ .

85

86 Fig. 13. Snapshots showing stages of the parabolic-to-barchan dune transformation.  
87 Colour maps of vegetation effectiveness ( $\rho$ ; see colour scale bar bottom right)  
88 superimposed on shaded topography. Simulation parameters: initial  $BSF = 0.34$  ( $t_0 =$   
89  $80$  yrs.),  $q = 20 \text{ m}^3 \text{ m}^{-1} \text{ yr}^{-1}$ ,  $T_{E\_physioMax} = -2.1 \text{ m season}^{-1}$ ,  $T_{D\_physioMax} = 2.9 \text{ m season}^{-1}$ ,  
90 and  $I_{climate} = -0.14$ . The purple dashed square in bottom panel indicates the zoomed-  
91 in area of the upper panels.

92

93 Fig. 14. Different migration rates arising from the different maximum height where  
94 vegetation exists on the lee slope. Vegetation initially colonises a higher vertical  
95 position on the lee slope of profile (a) than of profile (b) at time  $t_1$ . When vegetation  
96 declines to a similar position in height at  $t_2$ , the avalanching of sand on the upper  
97 slope of profile (a) is more severe than that of profile (b), because the vegetated area  
98 maintained a steeper slope than the bare surface. This then results in a further and  
99 faster migration of profile (a) as compared with profile (b).

100

101 Fig. 15. An example of the parabolic-to-barchan dune transformation arising from  
102 grazing activity. Colour maps of vegetation effectiveness ( $\rho$ ; see colour scale bar  
103 bottom panel) superimposed on shaded topography. Simulation parameters: initial  
104  $BSF = 0.34$  ( $t_0 = 80$  yrs.),  $q = 20 \text{ m}^3 \text{ m}^{-1} \text{ yr}^{-1}$ ,  $T_{E\_physioMax} = -2.5 \text{ m season}^{-1}$ , and  
105  $T_{D\_physioMax} = 3.0 \text{ m season}^{-1}$ . The imposed foraging demand is  $0.080 \text{ m}^{-2} \text{ yr}^{-1}$ . An  
106 example is also presented in Video 4 [supplemental].

107

108 Fig. 16. Impact of grazing on the parabolic-to-barchan dune transformation. (a)  
109 Relationship between foraging demand and transition time ( $t_{tran}$ ). Simulation  
110 parameters: initial  $BSF = 0.34$  ( $t_0 = 80$  yrs.),  $q = 20 \text{ m}^3 \text{ m}^{-1} \text{ yr}^{-1}$ ,  $T_{E\_physioMax} = -2.5 \text{ m}$   
111  $\text{season}^{-1}$ , and  $T_{D\_physioMax} = 3.0 \text{ m season}^{-1}$ . (b) Relationship between maximum  
112 deposition tolerance of vegetation ( $T_{D\_physioMax}$ ) and foraging demand threshold, while  
113  $T_{E\_physioMax} = -2.5 \text{ m season}^{-1}$ . (c) Relationship between the maximum erosion  
114 tolerance of vegetation ( $T_{E\_physioMax}$ ) and the forage demand threshold, while  
115  $T_{D\_physioMax} = 3.0 \text{ m season}^{-1}$ .

116

117 Fig. 17. Eco-geomorphic interaction zones during the first stage of a transforming  
118 dune driven by climatic impact. Simulation parameters: initial  $BSF = 0.34$  ( $t_0 = 80$   
119 yrs.),  $q = 20 \text{ m}^3 \text{ m}^{-1} \text{ yr}^{-1}$ ,  $T_{E\_physioMax} = -2.1 \text{ m season}^{-1}$ ,  $T_{D\_physioMax} = 2.9 \text{ m season}^{-1}$ ,  
120 and  $I_{climate} = -0.14$ . Points  $a$ ,  $b$ ,  $c$ , and  $d$  reflect boundaries between zones along the  
121 transverse section at 250 m Eastings: zone 1 (dune edge -  $a$ ), zone 2 ( $a - b$ ), zone 3  
122 ( $b - c$ ), and zone 4 ( $c - d$ ). The purple dashed square in bottom panel indicates the  
123 zoomed-in area of the upper panels.

124

125 Fig. 18. Time-evolution traces of topography ( $H$ ) and vegetation effectiveness ( $\rho$ ) in  
126 the four eco-geomorphic interaction zones during the first stage of a transforming  
127 dune driven by climatic impact (Fig.17). Points  $a$ ,  $b$ ,  $c$ , and  $d$  refer to the boundaries  
128 between the zones as shown in Fig.17. Each line/colour represents the time-  
129 evolution of a  $1 \times 1$  m<sup>2</sup> cell along the transverse section. Colour gradation and arrows  
130 indicate traces of all the cells spanning from one boundary to the next.

131

132 Fig. 19. Eco-geomorphic interaction zones during the second stage of a transforming  
133 dune driven by climatic impact, continuing on from simulation shown in Fig.17.

134 Simulation parameters and point labels same as caption to Fig.17.

135

136 Fig. 20. Time-evolution traces of topography ( $H$ ) and vegetation effectiveness ( $\rho$ ) in  
137 the four eco-geomorphic interaction zones during the second stage of a transforming  
138 dune driven by climatic impact (Fig.19). Points  $a$ ,  $b$ ,  $c$ , and  $d$  refer to the boundaries  
139 between the zones as shown in Fig.19. Each line/colour represents the time-  
140 evolution of a  $1 \times 1$  m<sup>2</sup> cell along the transverse section. Colour gradation and arrows  
141 indicate traces of all the cells spanning from one boundary to the next.













































