

12 **Abstract:** Non-condensable gases (NCGs) are inevitable in organic Rankine cycle (ORC)
13 system, and they have adverse impacts. A small-scale ORC test platform using scroll expander
14 and R123 was constructed to investigate the NCGs effect. The expander backpressure (i.e.
15 condenser outlet pressure) and electricity output were examined on different conditions of
16 NCGs mass fraction (x_{NCG}), hot side temperature (T_h) and condensation temperature (T_c). Two
17 new parameters, namely reduced coefficient of pressure ratio (RCOPR) and filling ratio of
18 reservoir (FROR), were proposed to reveal the mechanism of ORC performance degradation
19 in the presence of NCGs. The results show that the partial pressure of NCGs (P_{NCG}) in reservoir
20 at work differed from that at static state. Unlike R123, NCGs were blocked by the reservoir
21 and had no access to the pump. The accumulation of NCGs led to unexpected expander
22 backpressure, which could be 0.68 bar higher than the saturation pressure when $T_h=140$ °C,
23 $T_c=50$ °C and $x_{NCG}=1.3\%$. P_{NCG} generally increased as FROR rose. The FROR changed with
24 T_h , T_c and R123 mass flow rate. The relative increment in electricity output of the ORC with
25 $x_{NCG}=1.3\%$ over that with $x_{NCG}=12\%$ was significant, and could reach 114% when $T_h=100$ °C
26 and $T_c=50$ °C.

27 **Keywords:** *organic Rankine cycle; non-condensable gas; filling ratio of reservoir; partial*
28 *pressure; electricity output.*

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Nomenclature

E electricity output, W

f frequency, Hz

M mass, kg

m mass flow rate, kg/s

P pressure, bar

T temperature, °C

V volume, m³

x mass fraction, %

ρ density, kg/m³

γ pressure ratio/
relative change, %

Abbreviation

ER error

$FROR$ filling ratio of reservoir

$NCGs$ non-condensable gases

$RCOPR$ reduced coefficient of pressure
ratio

Subscripts

c condensation/condenser

e electricity/evaporation
/evaporator

h hot side

i ideal

in inlet

l liquid

NCG non-condensable gas

out outlet

p organic fluid pump
/pressure

res reservoir

$R123$ fluid of R123

s scroll expander

$1.3\%, 12\%$ mass fraction of NCGs

γ relative error

35 1. Introduction

36 The organic Rankine cycle (ORC) is an effective way to convert low-medium grade thermal
37 energy into electricity [1]. ORC uses low boiling point organic fluids, and thus can obtain high
38 evaporation pressure at low temperature, compared with steam Rankine cycle. In recent years,
39 researches about ORC are booming [2, 3]. Works on real ORC systems with turbine [4-6],
40 scroll expander [7-9], screw expander [10, 11], piston expander [12] and vane expander [13]
41 have been reported. The performance on different conditions of heat source [11, 13, 14],
42 working fluid [15-17], system configuration [18-20] and operation mode [21, 22] has been
43 examined. However, there are few researches related to non-condensable gases (NCGs), which
44 are unavoidable in ORC system.

45 NCGs refer to impure gases rather than working fluid vapor, which cannot be condensed in
46 normal operation (so called non-condensable gases). Common NCGs include air and nitrogen.
47 There are four main ways for the existence of NCGs in ORC. First, NCGs permeate the system
48 of improperly sealed pipes and valves. Second, NCGs are produced due to the decomposition
49 of working fluid at high temperature and the corrosion of devices during long-time operation.
50 Third, a few of NCGs remain in system after vacuum-pumping. Fourth, NCGs may infiltrate
51 ORC in the event of replacement and maintenance of components. In addition, silicone oils are
52 favorably used in high temperature applications such as biomass power generation and
53 industrial waste heat recovery [23-26]. The ORC system can be troubled by inward NCGs
54 regarding the low saturation pressure of silicone oils at room temperature. The direct vapor
55 generation ORC applied for solar power production can suffer more from NCGs than

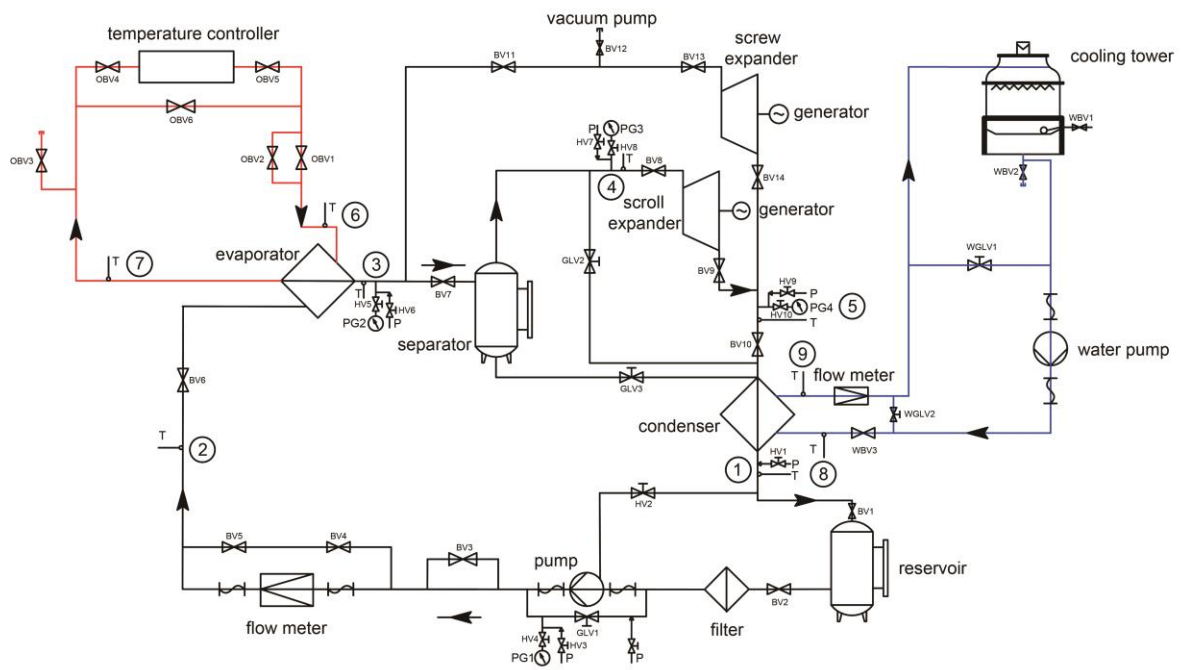
56 traditional ORC system in view of the large area of collectors. It is difficult to guarantee the
57 sealing performance of the system under long-term working conditions [27].

58 The effects of NCGs have been evaluated on the performance of heat pipes [28-30],
59 refrigeration equipment [31, 32], heat pumps [33, 34] and steam-based power plants [35, 36].
60 For heat pipes, the results show that NCGs decrease their thermal conductance, especially at
61 low temperatures and low power levels. Besides, NCGs elevate the steady-state operating
62 temperature and prolong the startup time of heat pipes. For air conditioning or absorption
63 refrigeration systems, NCGs increase condensation temperature and pressure, resulting in
64 additional compressor power consumption and lower cooling capacity. For carbon dioxide
65 trans-critical heat pumps, NCGs affect the phase change of carbon dioxide during expansion
66 process. For steam-based power plants, NCGs reduce the heat transfer and condensation rate
67 of steam in condenser. Aside from the influences on specific systems as mentioned above,
68 NCGs impacts are estimated on the heat and mass transfer during condensation process [37],
69 which may include filmwise condensation [38-40] and dropwise condensation [41-43]. These
70 fundamental studies can also be subdivided into experiments [36, 41, 44] and models [45-47],
71 laminar [38, 45, 48] and turbulent flows [39, 46], tubes [40, 47, 49] and plates [41, 44],
72 horizontal [38, 39, 47] and vertical states [44, 45, 49], steam [36, 44, 49] and organic
73 compounds [50, 51]. In general, NCGs degrade the heat and mass transfer due to the increased
74 resistance.

75 Above all, the reports about the effects of NCGs on ORC system are lacked currently. NCGs
76 are likely to affect the heat transfer in heat exchanger, power conversion of expander and thus

77 the whole system performance. A close examination is needed and valuable. This paper
 78 presents an experimental study of the effects of NCGs on the behavior of a scroll expander-
 79 driven ORC system. Test results of two levels of NCGs are introduced. The pressure
 80 distribution and electricity output of the system are investigated on various conditions of hot
 81 side temperature, condensation temperature and pump's input power. Based on the results, the
 82 mechanism of the impacts of NCGs is explained and some suggestions are given for better
 83 handling of NCGs.

84 **2. System description**



85

86 Fig.1. Structure diagram of the ORC system

87 Fig.1 shows the structure diagram of the ORC system. It contains three subsystems, R123
 88 cycle, oil cycle and cooling water cycle. The R123 cycle is depicted as black line, and the oil
 89 cycle and the cooling water cycle are represented by red line and blue line. The flow directions

90 of R123, oil and water are denoted by black arrows.

91 The oil was heated to specified temperature in the controller and then transferred the heat to
92 R123 in the evaporator. R123 was vaporized under high pressure. The vapor flew into scroll
93 expander and then exported electricity by the generator due to enthalpy drop. The outlet vapor
94 was condensed into liquid in the condenser, and the heat was taken away by the cooling tower.
95 The liquid was pressurized by the organic fluid pump and sent back to the evaporator. The
96 reservoir was used to store R123 liquid and protect the pump from cavitation. The separator
97 was used to ensure saturated or superheated vapor prior to the expander. The bypasses were
98 used for debugging at the beginning of the experiments and preventing accidents. A screw
99 expander was also involved in the system though not investigated in this paper.

100 The main measure points are shown as numbers 1 to 9 marked with circles in Fig.1. The
101 measured parameters include temperature and pressure, denoted by T and P next to the lines.
102 R123 mass flow rate and electricity output were measured by the flow meter and digital power
103 meter, respectively.

104 The ORC test platform was built on the west campus of the University of Science and
105 Technology of China, Hefei, China. Fig.2a and 2b show the quiescent state and working state,
106 respectively. The high-temperature pipes and components were wrapped with insulation
107 materials to reduce external heat loss (Fig.2a, 2b, 2d). The bulbs dissipated the output
108 electricity (Fig.2b), and the nominal power of each bulb was 500 W.

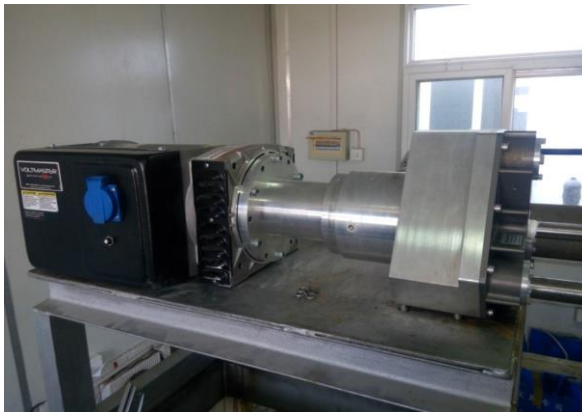


109

110

(a)

(b)



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112

(c)

(d)

113

Fig.2. Experiment layout of the ORC system

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(a) Quiescent state; (b) Working state; (c) Scroll expander; (d) Reservoir.

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The oil-free semi-closed scroll expander was E15H022A-A03 (Fig.2c, Fig.3), provided by Air Squared, Inc. Its maximum output power, speed, inlet temperature and pressure were 1 kW, 3600 rpm, 175 °C and 13.8 bar, respectively. And the built-in volume ratio was 3.5. The single-phase generator (AB30L) was produced by Wanco, Inc. It was connected to the expander via a magnetic coupler in the housing. The rated volts, amps, hertz and speed were 240 V, 10 A, 50 and 3000 rpm.

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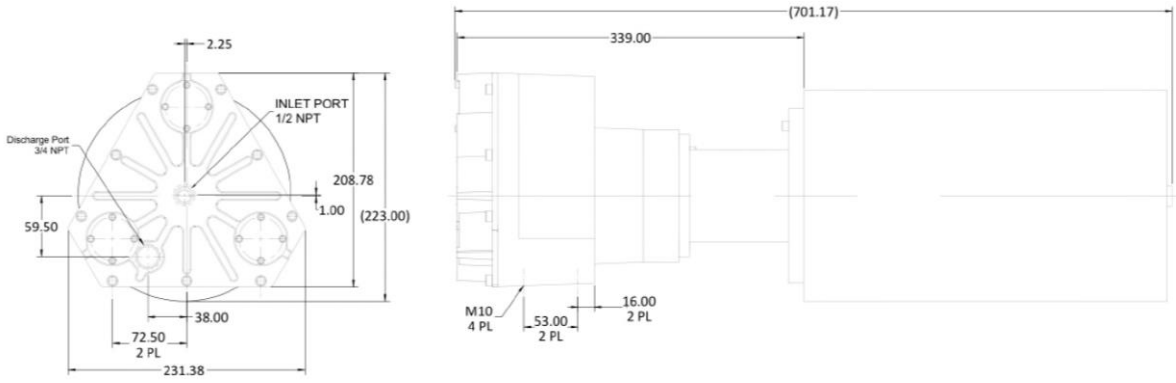
The evaporator was manufactured by Weal Yield Heat Exchanger Co., Ltd. It consisted of 178 plates, and the heat transfer area was 33.4 m². The condenser was fabricated by GEA WTT

123 GmbH. It was made up of 80 plates, and the heat exchange area was 11.31 m². Both of them
124 were counter-current plate heat exchangers.

125 The reservoir was designed and manufactured by Hefei General Machinery Research
126 Institute using ICr18Ni9Ti material (Fig.2d, Fig.4). The total volume was about 33 L, the design
127 pressure and temperature were 1.0 MPa and 10-40 °C.

128 The organic fluid pump (CR1-30) was a centrifugal pump, provided by Grundfos Pumps
129 GmbH. It was driven by an induction motor, located at the top of the pump. The motor was
130 linked with a frequency converter installed on a wall. The R123 mass flow rate and pressure
131 could be regulated by the converter frequency. The cooling water pump (KQL65/110-2.2/2)
132 was a vertical single stage centrifugal pump, and was produced by Shanghai Kaiquan Pump
133 Industry Co., Ltd. The rated flow was 22.3 m³/h, and the head was 1.6 m. The vacuum pump
134 (2XZ-2) was a rotary vane vacuum pump, and was produced by Linhai Tanshi Vacuum
135 Equipment Co., Ltd. The pumping speed was 2 L/s, the ultimate pressure was 6×10⁻² Pa, and
136 the motor power was 0.37 kW.

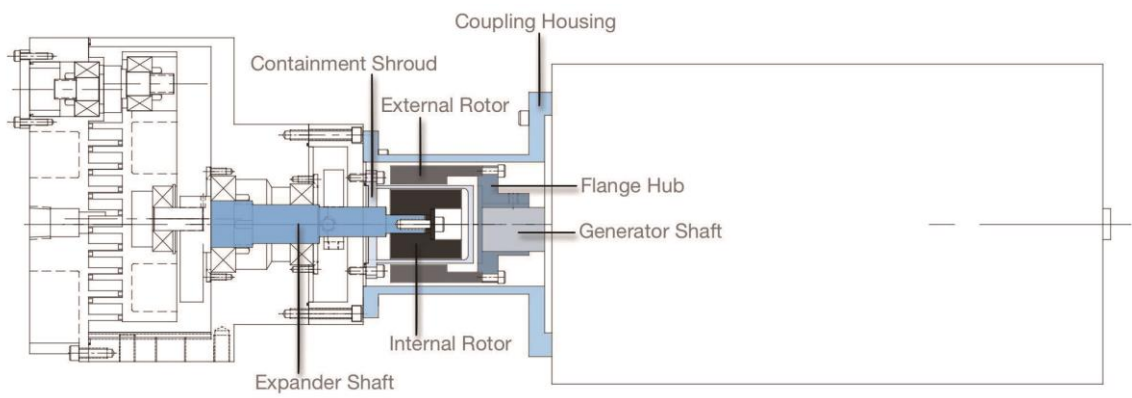
137 The oil temperature controller (AOS-50) was manufactured by Aode Machinery Co. Ltd.
138 The maximum heat output and outlet oil temperature were 100 kW and 200 °C. The cooling
139 tower (ZLT (D) 30) was fabricated by Liyang Global Circulation Cooling Tower Co., Ltd. The
140 volume flow rate of water was 30 m³/h and the motor power was 1.1 kW.



141

142

(a)



E15H022A-SH

Generator

143

144

(b)

145

Fig.3. Structure of the scroll expander-generator unit

146

(a) End-view; (b) Cutaway view.

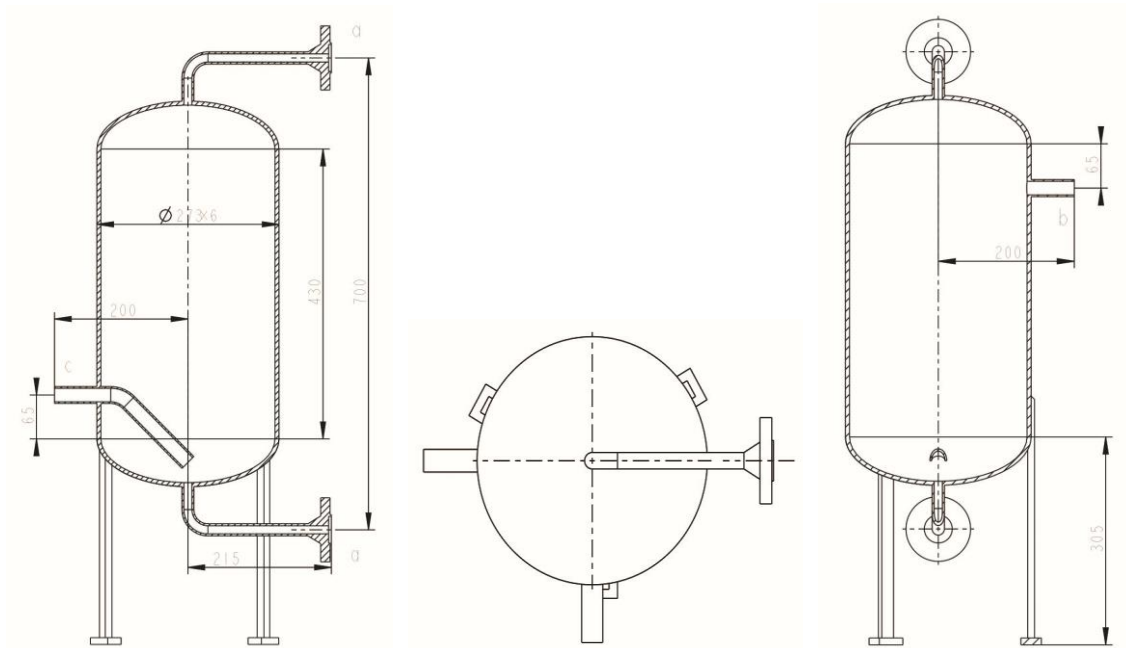


Fig.4. Structure of the reservoir

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149 Note: a (Liquidometer interface*2, JB/T82.2-94, WNI5-2.5, Concave); b (Inlet, DN20); c (Outlet, DN20).

150 The temperatures were measured by copper-constantan thermocouples with an accuracy of

151 ± 0.5 °C. Three types of ceramic pressure transmitters produced by Huba Control Co. were

152 utilized to measure pressure. The pressure ranges were -1 to 9 bar (scroll expander outlet,

153 condenser outlet and organic fluid pump outlet), 0 to 25 bar (scroll expander inlet) and 0-30

154 bar (organic fluid pump inlet and evaporator outlet). And the accuracies were $\pm 1.0\%$. The R123

155 mass flow rate was measured by the flow meter (MFM2081K-60P/DN25) fabricated by

156 KROHNE Group. The zero-point stability was ± 0.012 kg/min, and accuracy was

157 $\pm 0.15\%MV+Cz$. The output voltage, current, electricity and frequency of the generator were

158 measured by the digital power meter (8716C1T-RS) provided by Qingdao Qingzhi Company.

159 And the accuracies were $\pm 0.5\%$, $\pm 0.5\%$, $\pm 0.5\%$, and $\pm 0.1\%$, respectively.

160 The temperature, pressure and mass flow rate data were recorded and stored on disk via a

161 computer data-acquisition system- Agilent 34970A Bench Link Data Logger with a 5-second
162 interval. The output voltage, current, electricity and frequency of the generator were recorded
163 on disk with a 5-second interval by the digital power meter connected with the computer via
164 RS232 interface.

165 **3. Mathematical models**

166 The static conditions were made to determine the mass fraction of NCGs (x_{NCG}) in the system
167 (Fig.2a). No power was supplied for the devices. There was no mass transfer between the ORC
168 and ambient. Valves at the inlet and outlet of expander, pump, separator, etc., were open. The
169 ORC stayed still for about three days before NCGs measurement was conducted to get
170 approximate thermal equilibrium with the test room. The R123 liquid mainly settled down at
171 the bottom of the system and the vapor/gas accumulated at the upper positions. The R123 vapor
172 and NCGs inside the components kept in touch freely to enable a uniform mixture of them. The
173 solubility of air in most organic solvents was very low [52] and the mass fraction of air in liquid
174 was less than 0.005% at 25 °C and 1.0 bar [53]. Therefore, NCGs that dissolved in the R123
175 liquid were negligible compared with that at gas state.

176 The pressure difference caused by gravity was neglected regarding the small size of the
177 system and low density of the vapor/gas. The total pressure at the vapor/gas regions of the
178 system was the sum of the partial pressures of R123 and NCGs.

$$179 \quad P = P_{R123} + P_{NCG} \quad (1)$$

180 R123 was at binary phase in the system and P_{R123} was equal to its saturation pressure. The

181 total pressure was measured by pressure transmitters. The partial pressure of NCGs was then
182 calculated by

$$183 \quad P_{NCG} = P - P_{R123} \quad (2)$$

184 The mass fraction of NCGs was defined as

$$185 \quad x_{NCG} = \frac{M_{NCG}}{M_{NCG} + M_{R123}} = \frac{\rho_{NCG}}{\rho_{NCG} + \rho_{R123}} \quad (3)$$

186 Notably, M_{R123} is not the overall mass of R123 in the ORC but the mass at vapor state.

187 The density of R123 at saturation state was a function of temperature

$$188 \quad \rho_{R123} = f(T) \quad (4)$$

189 The density of NCGs was a function of pressure and temperature

$$190 \quad \rho_{NCG} = g(T, P_{NCG}) \quad (5)$$

191 The reservoir played a vital role on the NCGs. It was employed to guarantee sufficient liquid
192 for the organic fluid pump, which would work improperly or even be damaged in case of
193 vapor/gas. The outlet of reservoir was expected to be always at liquid state. From this viewpoint,
194 NCGs should be blocked by the reservoir. The partial pressure of NCGs was related to the
195 volume of vapor/gas region inside the reservoir. In order to clearly express this effect, the filling
196 ratio of reservoir was established as an indicator

$$197 \quad FROR = \frac{V_{l,res}}{V_{res}} \quad (6)$$

198 V_{res} and $V_{l,res}$ were the total and liquid volume of the reservoir, respectively. V_{res} was
199 constant for a constructed reservoir while $V_{l,res}$ was variable.

200 There should be a relationship between P_{NCG} and $FROR$ regarding the low pressure
201 of P_{NCG} if the mass of NCGs inside the reservoir was constant

202
$$P_{NCG}(1 - FROR)V_{res} = RT \quad (7)$$

203 R was gas constant of the NCGs.

204 The pressure ratio of the expander was defined as

205
$$\gamma_p = \frac{P_{s,in}}{P_{s,out}} \quad (8)$$

206 The following sections would show that NCGs finally accumulated in the regions from the
 207 expander outlet to the reservoir inlet during working process. The NCGs in the system changed
 208 the expander outlet pressure and affected the electricity output. A reduced coefficient of
 209 pressure ratio is proposed

210
$$RCOPR = \frac{\gamma_{p,i}}{\gamma_p} \quad (9)$$

211 $\gamma_{p,i}$ was the pressure ratio without NCGs (ideal case), achieved when the expander outlet
 212 pressure was equal to the fluid saturation pressure at condensation temperature. A larger
 213 RCOPR meant more appreciable impact of NCGs on practical pressure ratio of the expander.

214 The relative change of the electricity output was defined as

215
$$\gamma_e = \frac{E_{1.3\%} - E_{12\%}}{E_{12\%}} \times 100\% \quad (10)$$

216 **4. Experiment arrangements**

217 The whole experiments fell into two categories. The first one was at x_{NCG} of 12%, and the
 218 second one was at x_{NCG} of 1.3%. Each category contained 9 different conditions. The hot side
 219 temperatures (T_h) were 100, 120, 140 °C and the condensation temperatures (T_c) were 20, 40
 220 and 50 °C, respectively (3×3). Under each condition, R123 mass flow rate and inlet pressure
 221 of the scroll expander could be changed by the frequency of the converter.

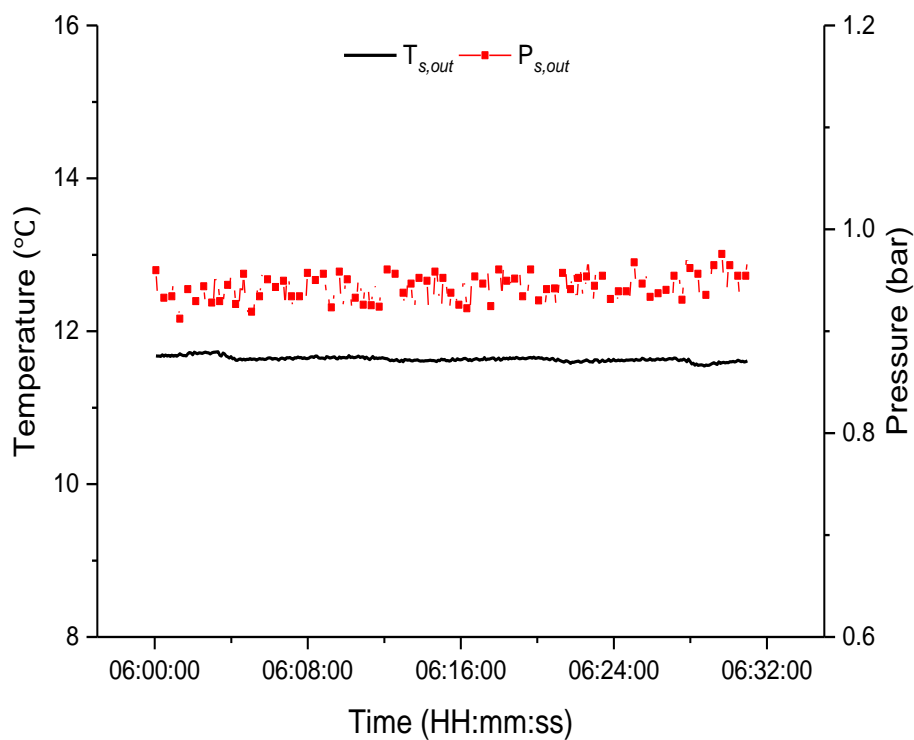
222 For the higher x_{NCG} , the NCGs came into the system due to the maintenance and replacement
223 of the expander. Generally, R123 and NCGs should have been drained off before these actions
224 and the ORC should have been evacuated by the vacuum pump when the replacement was
225 completed, followed by refilling the organic fluid. The procedures were not conducted in order
226 to investigate the NCGs. R123 decomposed above 250 °C [54], which hardly happened in the
227 experiments because the maximum temperature was only 140 °C. R123 barely corroded
228 components due to its stability [54]. The ORC system had never been filled with other gases.
229 Therefore, the NCGs inside the system were speculated to be air.

230 The variations of temperature and pressure at the expander outlet are presented in Fig.5 on
231 static equilibrium system condition of larger x_{NCG} . The expander was located at a higher
232 position than the condenser, and no liquid at its outlet had been observed at the non-working
233 state. The mass fraction of NCGs was around 12% with a partial pressure of about 0.4 bar,
234 calculated by Eq.(2) and (3).

235 Notably, given the mass of NCGs in the ORC, x_{NCG} is unlikely to be constant and shall
236 increase as the outlet temperature of the scroll expander decreases. The reason is some of the
237 R123 vapor will condense into liquid. During the condensation, the total volume of vapor/gas
238 region should be nearly unvaried in regard to the large density difference between the saturation
239 liquid and vapor of R123. For instance, the former and the latter are 1496.7 and 3.6 kg/m³ at
240 12 °C. The condensation will lead to decrement in the mass of R123 vapor and increment in
241 x_{NCG} .

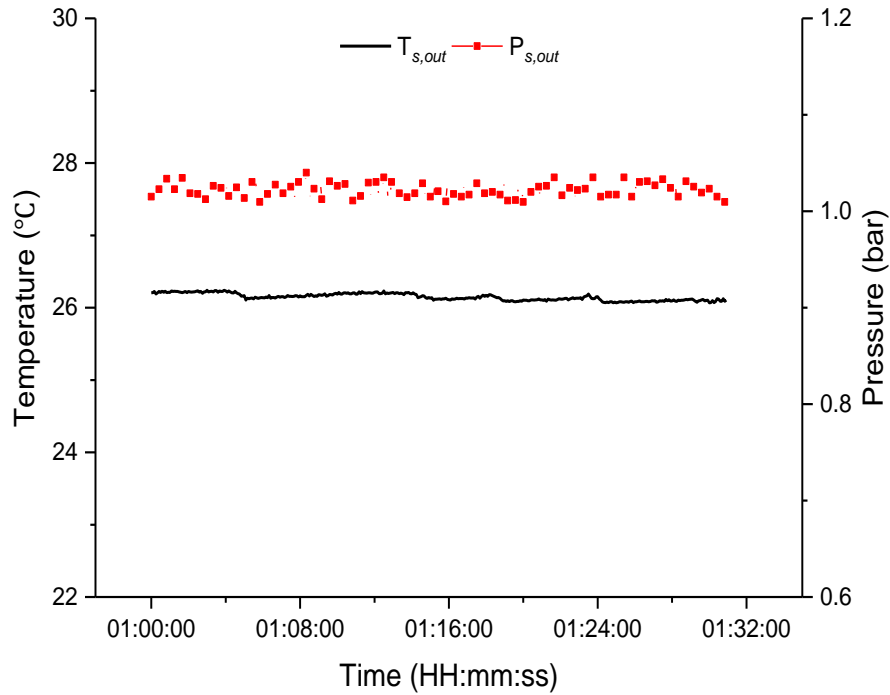
242 Fig.6 shows the variations in the case of lower x_{NCG} . A small amount of NCGs was added

243 into the ORC of pure R123 through the valve of vacuum pump. The mass fraction and partial
244 pressure of NCGs were close to 1.3% and 0.1 bar, respectively. In both situations ($\chi_{NCG}=12\%$
245 and 1.3%), experimental tests were carried out in the absence of mass transfer between the
246 ORC and the ambient.



247

248 Fig.5. Variations of temperature and pressure at the scroll expander outlet in the situation of higher χ_{NCG}



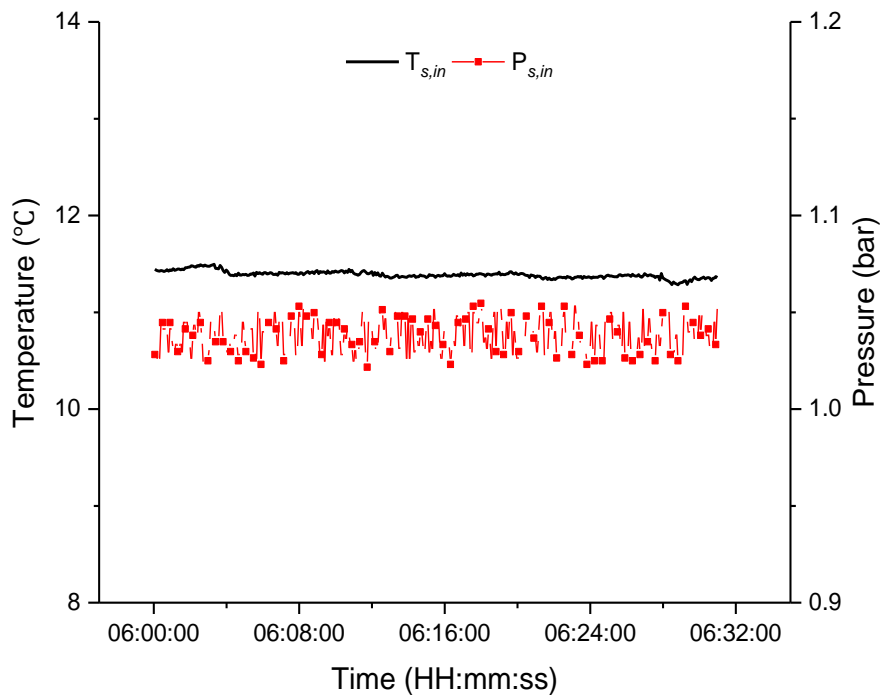
249

250 Fig.6. Variations of temperature and pressure at the scroll expander outlet in the situation of lower χ_{NCG}

251 5. Results and discussion

252 5.1 Mass transfer of NCGs

253 Quasi-steady temperature and pressure at the expander outlet for the non-running system
 254 have been depicted in Section 4. Similar temperature and pressure distributions at the expander
 255 inlet (i.e. evaporator outlet) are shown in Fig.7. The estimated partial pressure of NCGs (P_{NCG})
 256 was also about 0.4-0.5 bar. So P_{NCG} in the vapor/gas regions was almost uniform when the
 257 system was static. However, the distribution of NCGs would be changed when the system
 258 shifted to working mode, as discussed below.



259

260 Fig.7.Variations of temperature and pressure at the scroll expander inlet in the situation of higher x_{NCG}

261 Fig.8 shows the variations of temperature and pressure at the expander inlet and the

262 condenser outlet for the operating system. T_h was 100 °C (i.e. the thermal oil temperature at

263 the evaporator inlet). The inlet temperature of the expander rose from 85 °C to about 95 °C

264 finally. The fluid temperature at the condenser outlet was relatively steady, fluctuating around

265 20 °C. The expander inlet pressure climbed from 5.0 bar to 7.6 bar step by step through the

266 adjustment of the converter frequency. According to the thermodynamic properties of R123,

267 the inlet fluid changed from superheated state to almost saturated state at the expander inlet.

268 Unlike the static state with a NCGs partial pressure of about 0.4-0.5 bar, no evidence showed

269 that NCGs existed at the expander inlet in the normal operation of the ORC system.

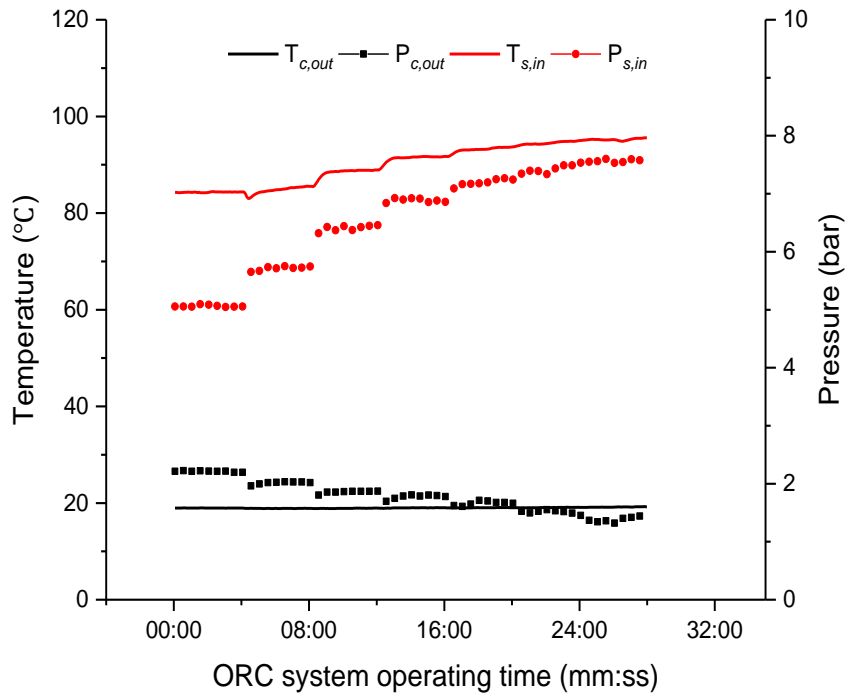
270 The reason behind this phenomenon is that NCGs were blocked in the reservoir. NCGs were

271 not able to pass the reservoir when the ORC system worked. The fluid leaving the organic fluid

272 pump was high-purity R123. It continuously went through the evaporator, expander and pipes.
273 Then the NCGs in the evaporator and expander were carried away gradually and squeezed
274 inside the reservoir.

275 It should be pointed out that there was a warm-up process, which was dynamic and lasted
276 for about half an hour, prior to the electricity generation of the ORC system. The thermal oil
277 was slowly heated from the ambient temperature to 100 °C. The temperature and pressure of
278 R123 in the evaporator went up as the oil temperature increased. Then the high-pressure
279 vapor/gas flowed into the condenser where the pressure was low. Mass transfer of NCGs had
280 actually taken place before the expander and organic fluid pump started to run. Fig.8 shows the
281 results when the oil temperature had already reached 100 °C and the expander and pump had
282 functioned. Therefore, most of the NCGs had accumulated in the reservoir even at the
283 beginning (0:00).

284 The fluid pressure at the condenser outlet was decreased (Fig.8). The saturation pressure of
285 R123 in the reservoir should keep approximately constant because the condenser outlet
286 temperature varied slightly. The reduction in the condenser's outlet pressure was due to the
287 decrement in the partial pressure of NCGs (P_{NCG}). Given amount of NCGs in the reservoir,
288 P_{NCG} was expected to be proportional to the FROR. When the pump power was elevated, the
289 mass flow rate of R123 was enlarged. Fluids inside the evaporator became more, resulted in
290 less mass in the reservoir. The FROR and P_{NCG} were hence decreased. As seen from Fig.8, the
291 increment of the expander inlet pressure and the decrement in the condenser outlet pressure
292 occurred nearly simultaneously.



293

294 Fig.8. Variations of temperature and pressure at the expander inlet and the condenser outlet on the

295 conditions of higher x_{NCG} when $T_h=100$ °C and $T_c=20$ °C

296 5.2 The condenser outlet pressure on different operating conditions

297 Fig.9 shows the variations of condenser outlet pressure. For each curve, T_h , T_c and x_{NCG} were

298 the same, and only the organic fluid pump's input power was adjusted via the frequency of the

299 converter with a 4-minute interval. The frequency (f) was 18.5 to 21.0, 23.5, 26.0 and 28.5 Hz

300 in case of $T_h=100$ °C. At 28.5 Hz, R123 fluid at the evaporator outlet was very close to

301 saturation state. If the frequency increased further, the evaporation pressure would not rise

302 while the mass flow rate of R123 would increase dramatically. This would cause emptiness of

303 the reservoir (no liquid) and cavitation of the organic fluid pump. Hence, the frequency

304 adjustment would cease when R123 at the evaporator outlet was approaching to saturation state.

305 In case of $T_h=120$ °C, the frequency was 18.5 to 21.0, 23.5, 26.0, 28.5, 31.0, 32.0, 33.0, 34.0

306 and 35.0 Hz. And it was 18.5 to 21.0, 23.5, 26.0, 28.5, 31.0, 32.0, 33.0, 34.0, 35.0 and 36.0 Hz
307 when $T_h=140$ °C. Though the R123 vapor was still superheated at the evaporator outlet when
308 $f=36.0$ Hz, the evaporation temperature was close to 13.8 bar, which was the maximum
309 allowable operating pressure of the expander.

310 The variations for all curves are similar in Fig.9. The condenser outlet pressure was generally
311 reduced step by step with the increment in the frequency. This phenomenon was more evident
312 when the system contained more NCGs ($x_{NCG}=12\%$). The decrement of the condenser outlet
313 pressure reached as much as 1.8 bar from the beginning to the end of experiment at $T_h=140$ °C,
314 $T_c=50$ °C and $x_{NCG}=12\%$, while it was less than 0.6 bar at $x_{NCG}=1.3\%$. Besides, for each curve
315 the decrement was less appreciable at higher frequency. There are two main reasons. First, the
316 derivative of P_{NCG} with respect to FROR ($dP_{NCG}/dFROR$) was $RT/V_{res}(1 - FROR)^{-2}$, and
317 it declined with the decrement in FROR. Second, FROR changed smoothly with the converter
318 frequency (f), and $|\Delta FROR|$ was approximately proportional to $|\Delta f|$, as observed in the
319 experiments.

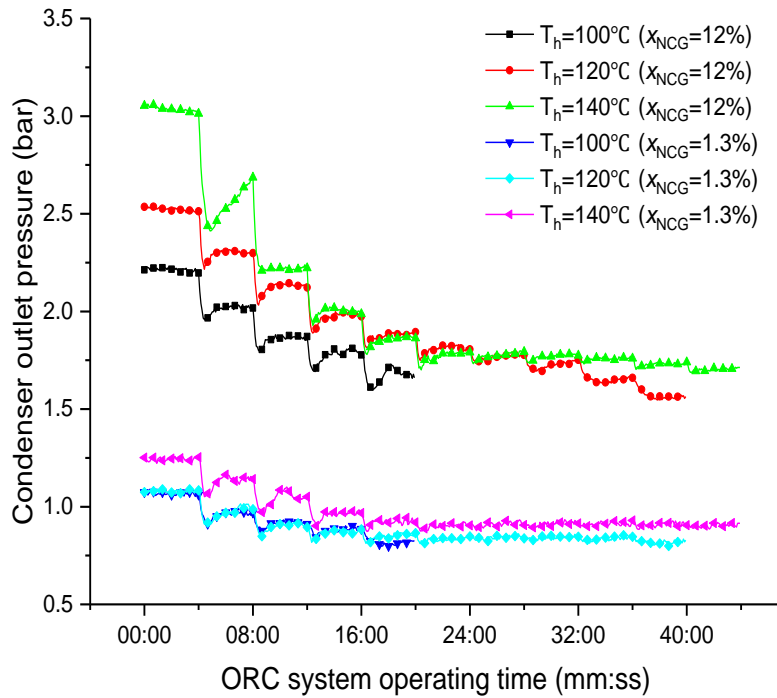
320 The step-like drop of the condenser outlet pressure was resulted from the increment in the
321 pump's input power. As mentioned in Section 5.1, the NCGs assembled in the reservoir when
322 the system was operating. A larger pump's input power was accompanied by a higher R123
323 mass flow rate at the evaporator inlet. Then the heat transfer area for liquid and binary phase
324 was enlarged, and the region of high density fluid was extended. Consequently, the total mass
325 inside the evaporator became more. This was also applicable for the condenser. According to
326 the law of mass conservation for the entire cycle, the mass inside the reservoir fell down,

327 accompanied by lower the liquid level and FROR. Take $T_h=140\text{ }^\circ\text{C}$, $T_c=50\text{ }^\circ\text{C}$ and $x_{\text{NCG}}=12\%$
328 for example, the initial FROR was close to 90%. While it turned to about 40% when $f=36.0\text{ Hz}$.
329 Since the condensation temperature fluctuated slightly, the partial pressure of NCGs was a
330 monotonically increasing function of FROR in view of the ideal gas Eq.(7).

331 Given the T_c , x_{NCG} and converter frequency, the condenser outlet pressure varied with T_h . At
332 each T_h , the liquid level in the reservoir was different. Higher T_h could lead to larger
333 temperature difference between the oil and R123 in the liquid and binary regions. The heat
334 transfer area for the vaporization of R123 was reduced and the fluid became less in the
335 evaporator (more fluid in the reservoir). Therefore, FROR was an increasing function with
336 respect to the evaporation temperature.

337 Several rebound points of the condenser outlet pressure exist in Fig.9. The condenser outlet
338 pressure declined dramatically after each adjustment of the converter frequency, and it would
339 go up a bit when reaching the lowest value. The 'V'-shape fluctuation could be explained based
340 on the characteristics of the organic fluid pump. In the dynamic process triggered by the
341 adjustment of the converter frequency, the mass flow rate at the evaporator inlet ($m_{e,\text{in}}$) differed
342 from that at its outlet ($m_{e,\text{out}}$). $m_{e,\text{in}}$ was equal to the mass flow rate through the organic fluid
343 pump and was related with the pump's input power, evaporation pressure (P_e) and condensation
344 pressure (P_c). While $m_{e,\text{out}}$ was mainly determined by P_e and P_c . A larger difference between P_e
345 and P_c would bring a higher $m_{e,\text{out}}$ but a lower $m_{e,\text{in}}$. First, the increment in the converter
346 frequency caused a higher pump's input power. Given inlet and outlet pressure of the pump, a
347 sudden acceleration in $m_{e,\text{in}}$ was resulted. So at the time of adjustment of the pump's input

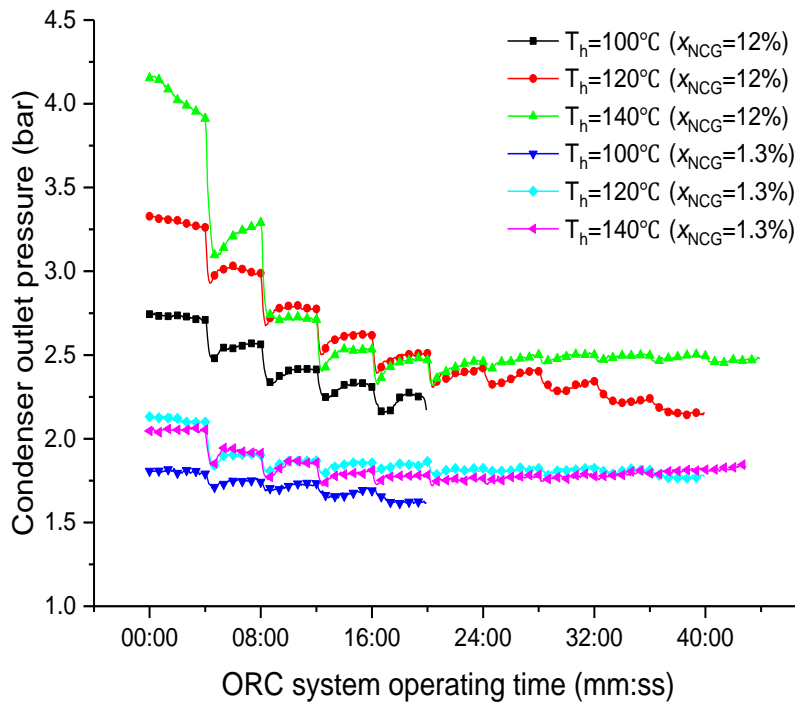
348 power, $m_{e,in} > m_{e,out}$. The liquid flowing into the evaporator was more than the vapor leaving it.
 349 Hence, much more liquid accumulated in the evaporator and FROR dropped simultaneously.
 350 On the other hand, the sudden addition of fluid facilitated a fast increment in the evaporator
 351 pressure. As a result, $m_{e,in}$ decreased and $m_{e,out}$ increased. FROR then grew. Eventually a steady
 352 state was reached when $m_{e,in} = m_{e,out}$. The balance between $m_{e,in}$ and $m_{e,out}$ would be broken when
 353 the converter was further adjusted.



354

355

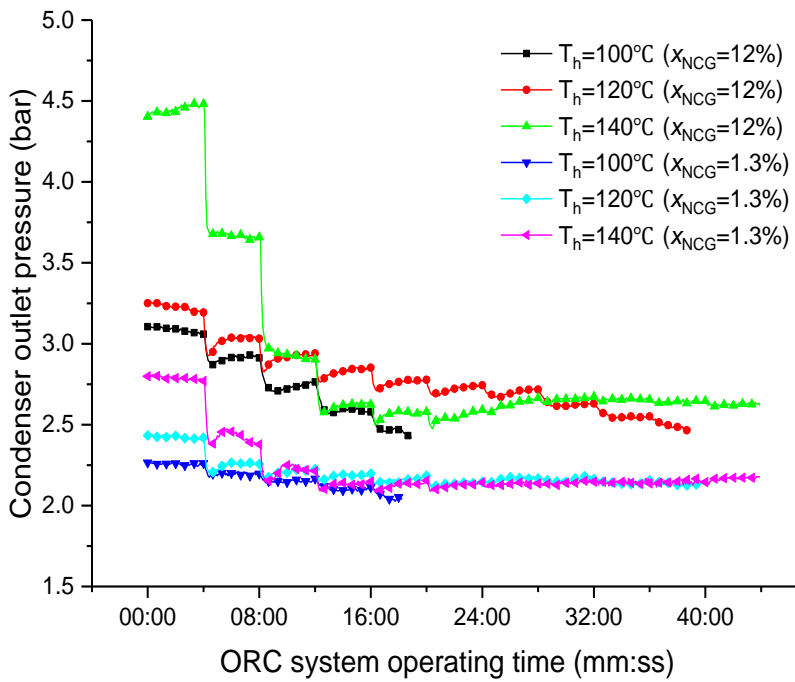
(a)



356

357

(b)



358

359

(c)

Fig.9. Variations of condenser outlet pressure

360

361

(a) $T_c=20\text{ }^\circ\text{C}$; (b) $T_c=40\text{ }^\circ\text{C}$; (c) $T_c=50\text{ }^\circ\text{C}$.

362 Fig.10 shows the variations of expander inlet pressure with time. Although the influence of
363 NCGs on the expander inlet pressure was not so remarkable as on the condenser outlet pressure,
364 higher mass fraction of NCGs still led to higher expander inlet pressure at fixed T_h , T_c and
365 converter frequency. The increment was around 1.0 bar. Explanations on the increment can be
366 made in theory. Given the scroll expander speed and the organic fluid pump's input power, the
367 mass flow rates through the expander and the pump are functions of P_e and P_c , as expressed by
368 $m_s(P_e, P_c)$ and $m_p(P_e, P_c)$, respectively. For a steady ORC, mass flow rate is constant, and m_s
369 $(P_e, P_c) = m_p(P_e, P_c)$. This equation establishes the relationship between P_e and P_c .

370 Because

$$371 \left(\frac{\partial m_s}{\partial P_c}\right)_{P_e} + \left(\frac{\partial m_s}{\partial P_e}\right)_{P_c} \cdot \frac{dP_e}{dP_c} = \left(\frac{\partial m_p}{\partial P_c}\right)_{P_e} + \left(\frac{\partial m_p}{\partial P_e}\right)_{P_c} \cdot \frac{dP_e}{dP_c} \quad (11)$$

372 Therefore,

$$373 \left[\left(\frac{\partial m_s}{\partial P_e}\right)_{P_c} - \left(\frac{\partial m_p}{\partial P_e}\right)_{P_c}\right] \cdot \frac{dP_e}{dP_c} = \left[\left(\frac{\partial m_p}{\partial P_c}\right)_{P_e} - \left(\frac{\partial m_s}{\partial P_c}\right)_{P_e}\right] \quad (12)$$

374 Because

$$375 \left(\frac{\partial m_s}{\partial P_e}\right)_{P_c} > 0 \quad (13)$$

$$376 \left(\frac{\partial m_p}{\partial P_e}\right)_{P_c} < 0 \quad (14)$$

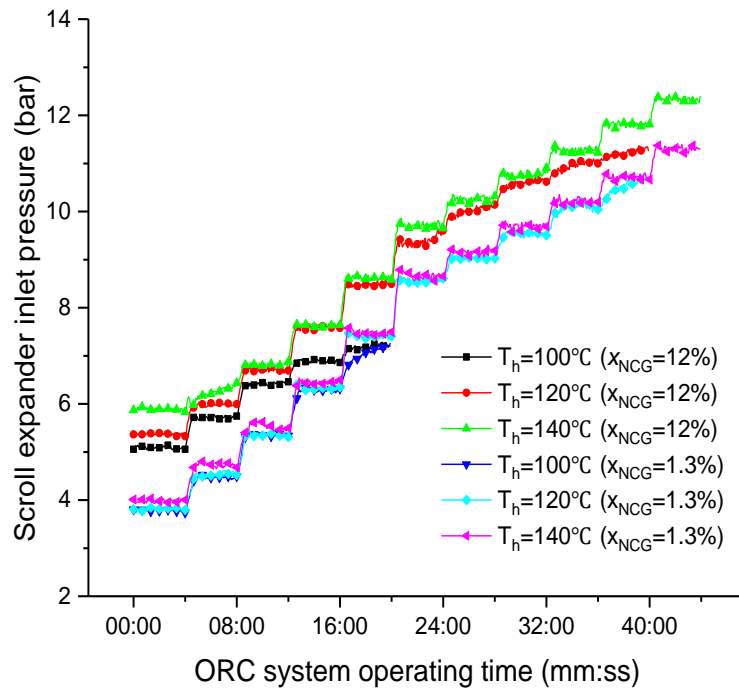
$$377 \left(\frac{\partial m_p}{\partial P_c}\right)_{P_e} > 0 \quad (15)$$

$$378 \left(\frac{\partial m_s}{\partial P_c}\right)_{P_e} < 0 \quad (16)$$

379 Therefore

$$380 \frac{dP_e}{dP_c} > 0 \quad (17)$$

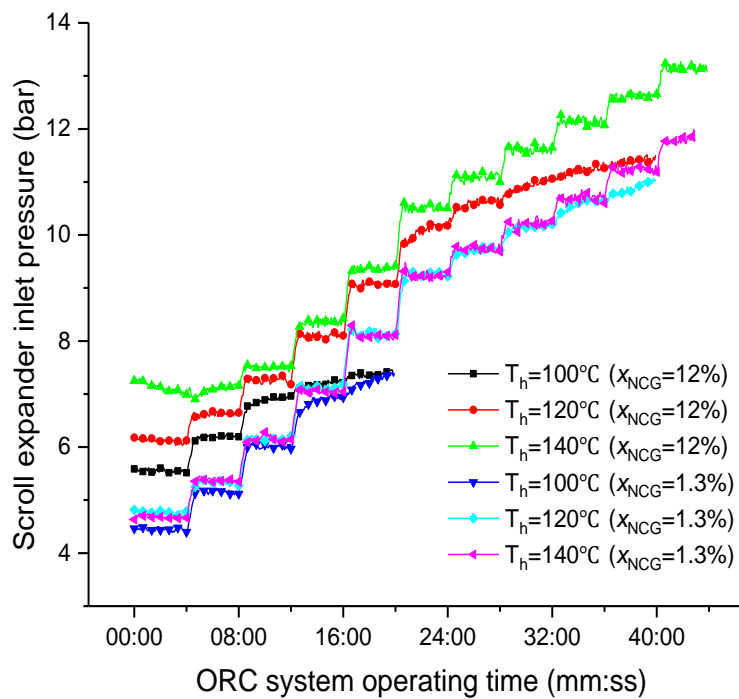
381 Since the condensation pressure of ORC with higher x_{NCG} is larger, the evaporation pressure
382 together with the expander inlet pressure should be higher.



383

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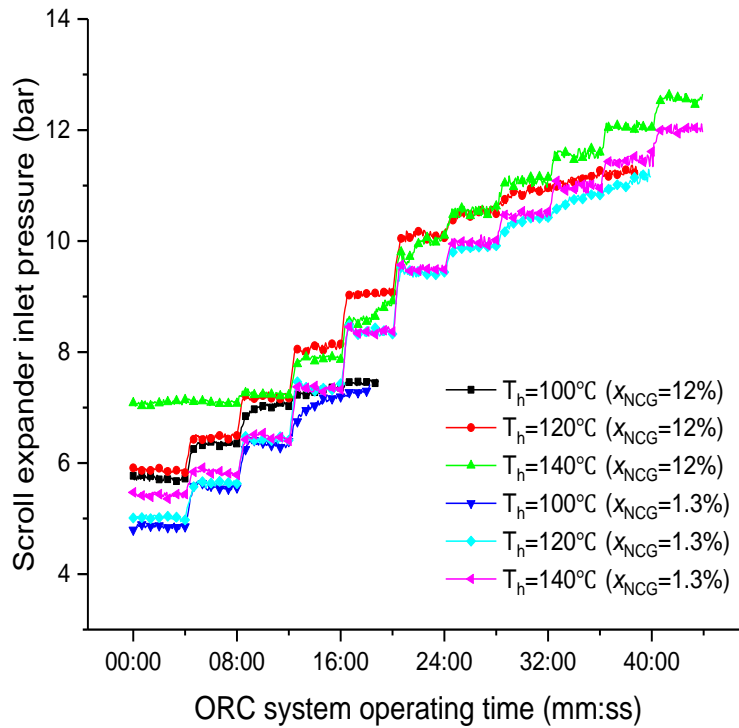
(a)



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386

(b)



(c)

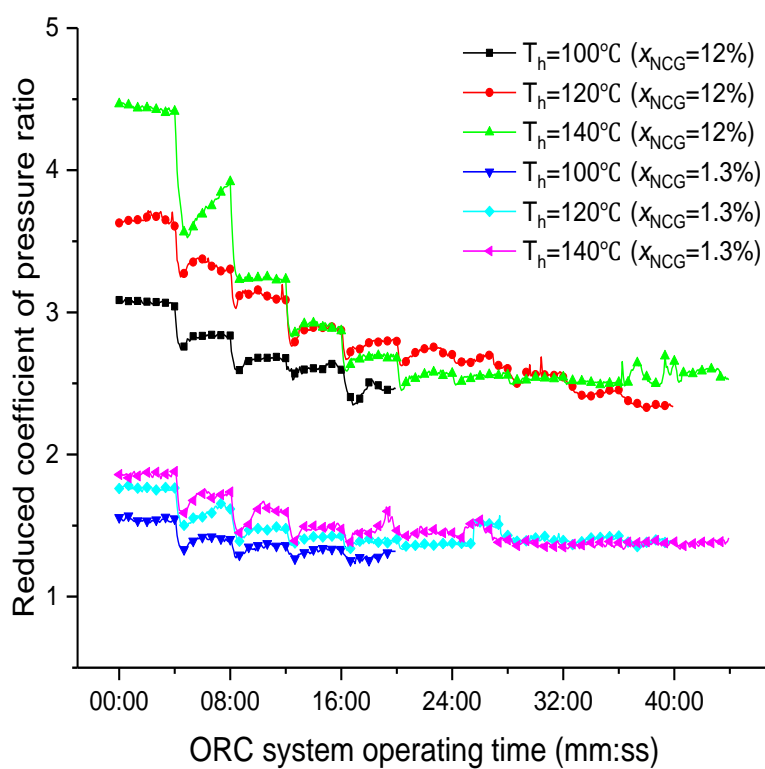
Fig.10. Variations of scroll expander inlet pressure

(a) $T_c=20\text{ }^\circ\text{C}$; (b) $T_c=40\text{ }^\circ\text{C}$; (c) $T_c=50\text{ }^\circ\text{C}$.

387
 388
 389
 390
 391 The variations of the reduced coefficient of pressure ratio (RCOPR) are displayed in Fig.11.
 392 The pressure ratio of the expander is an important parameter in practical ORC system. RCOPR
 393 was linked with the FROR. For $x_{\text{NCG}}=12\%$, RCOPR reached as high as 4.25 at $T_h=140\text{ }^\circ\text{C}$,
 394 $T_c=20\text{ }^\circ\text{C}$ and $f=18.5\text{ Hz}$. Given the expander's inlet conditions, reduction in the pressure ratio
 395 meant less driving force of power conversion, attributed to the increment in the equivalent
 396 condensation temperature. A RCOPR of 3 at $T_h=140\text{ }^\circ\text{C}$, $T_c=20\text{ }^\circ\text{C}$ indicated a backpressure of
 397 2.27 bar, with a corresponding saturation temperature of $52.1\text{ }^\circ\text{C}$. The equivalent condensation
 398 temperature exceeded the actual condensation temperature by about $32.1\text{ }^\circ\text{C}$.

399 From the above results, it is obvious that the presence of NCGs had significant impact on

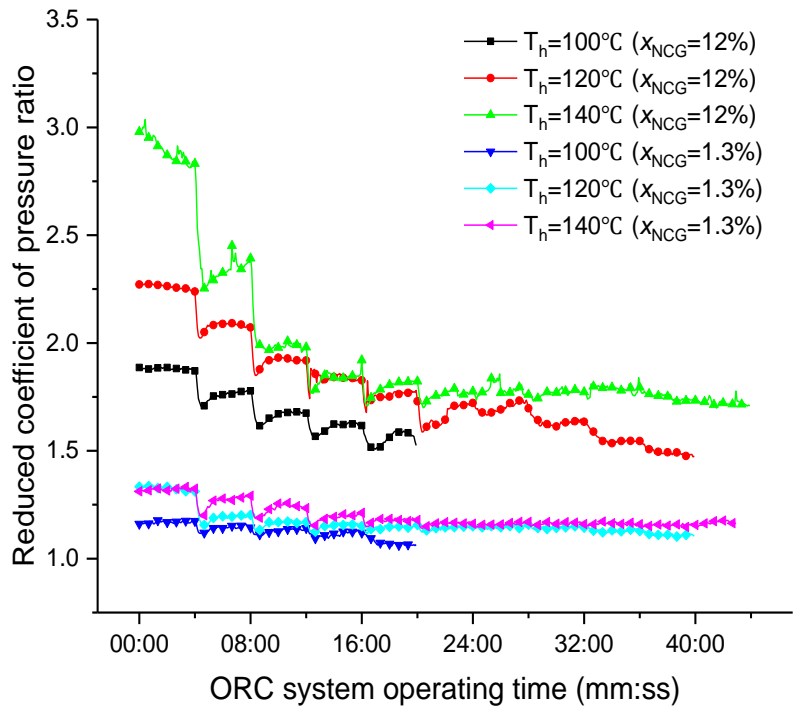
400 the condensation pressure and thus on the backpressure of the expander even if the mass
 401 fraction was low at static state. For example, when $x_{\text{NCG}} = 1.3\%$ and $T_h = 140\text{ }^\circ\text{C}$, the initial
 402 condenser outlet pressure was 1.2, 2.0 and 2.8 bar at $T_c = 20, 40$ and $50\text{ }^\circ\text{C}$, higher than the
 403 saturation pressure by about 0.44, 0.46 and 0.68 bar, respectively. The distribution of NCGs
 404 during the operation of ORC was distinguishable from that at static state. For the former, NCGs
 405 were squeezed in a small space in the reservoir. Depending on the FROR, the partial pressure
 406 of NCGs could be increased by 10 times or more under the working conditions.



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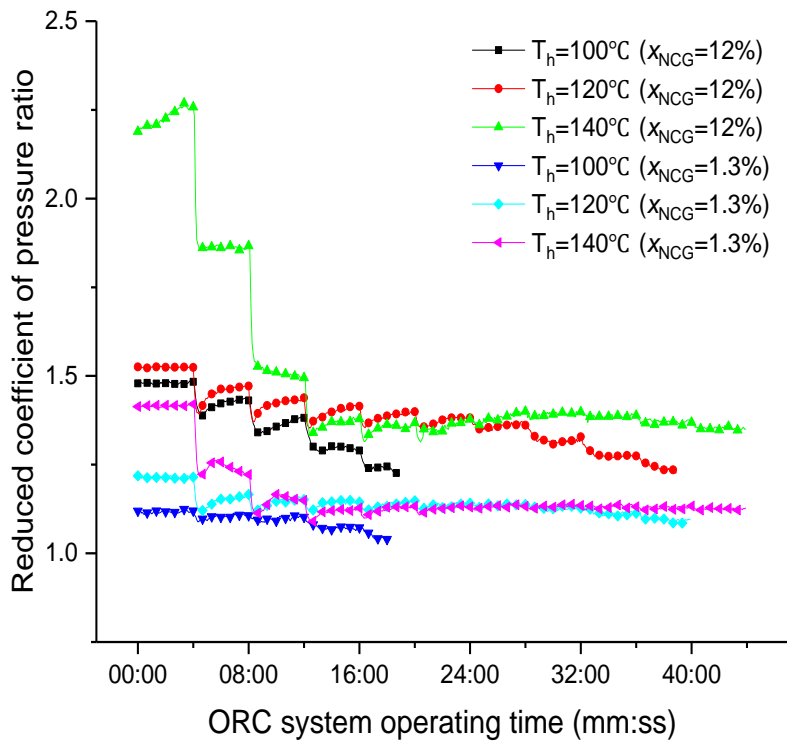
(a)



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(b)



411

412

(c)

413

Fig.11. Variations of the reduced coefficient of pressure ratio

414 (a) $T_c=20\text{ }^\circ\text{C}$; (b) $T_c=40\text{ }^\circ\text{C}$; (c) $T_c=50\text{ }^\circ\text{C}$.

415 5.3 Electricity output of the ORC

416 Table 1 and Table 2 list the electricity output of the ORC system under different operating
417 conditions. ΔE is the difference between the final electricity output and the initial. The
418 rotation speed of the expander is provided in Table 3 and Table 4.

419 The electricity output increased with the increment in the input power of organic fluid pump.
420 As the frequency of the converter climbed, the condenser outlet pressure decreased (Fig.9) and
421 the evaporation pressure increased (Fig.10), leading to a larger operating pressure ratio. Besides,
422 R123 mass flow rate also went up, as shown in Fig.12. As a result, the expander produced more
423 electricity.

424 At the same x_{NCG} , T_c and converter frequency, the electricity output varied slightly with T_h
425 (excluding saturated conditions), attributed to the relatively smooth variation of the evaporation
426 pressure. For example, when $x_{\text{NCG}}=12\%$, $T_c=20\text{ }^\circ\text{C}$ and $f=18.5\text{ Hz}$, the evaporation pressures
427 were 5.1, 5.4 and 5.9 bar at $T_h=100, 120, 140\text{ }^\circ\text{C}$, respectively. Due to the sufficient heat transfer
428 area of the evaporator, the outlet temperature of R123 was close to T_h . So, the degree of
429 superheat of R123 at the evaporator outlet increased as T_h climbed.

430 Table 1. Average electricity output when $x_{\text{NCG}}=12\%$

$f\text{ (Hz)}$	$T_c/T_h\text{ (}^\circ\text{C/}^\circ\text{C)}$								
	20/100	20/120	20/140	40/100	40/120	40/140	50/100	50/120	50/140
18.5	91.0	85.2	78.1	71.8	64.5	56.7	37.7	60.8	50.3

21.0	151.8	144.2	140.4	129.0	120.2	117.3	93.7	112.7	104.8
23.5	212.3	212.0	203.9	184.7	179.1	186.1	153.8	167.4	174.5
26.0	242.3	280.6	275.4	210.9	248.3	260.8	181.2	223.7	243.4
28.5	269.1	344.6	368.0	225.8	311.8	328.4	194.9	292.0	302.0
31.0		406.4	450.3		395.3	419.7		372.8	385.5
32.0		455.3	484.5		435.3	453.2		413.8	424.9
33.0		483.7	521.7		466.5	484.4		449.2	456.1
34.0		514.5	551.0		499.1	520.9		479.5	496.5
35.0		548.3	586.8		523.4	555.8		499.3	529.5
36.0			616.3			592.3			565.9
ΔE	178.1	463.1	538.2	154.0	458.9	535.6	157.2	438.5	515.6

431

Table 2. Average electricity output when $x_{NCG}=1.3\%$

f (Hz)	T_c/T_h ($^{\circ}C/^{\circ}C$)								
	20/100	20/120	20/140	40/100	40/120	40/140	50/100	50/120	50/140
18.5	117.4	118.5	117.5	91.8	90.8	87.6	74.4	77.4	75.1
21.0	167.5	173.3	173.0	143.8	148.9	144.4	126.6	132.5	133.3
23.5	219.1	230.2	227.2	202.0	204.2	200.5	183.2	189.7	190.7
26.0	271.8	286.3	289.4	258.8	270.2	260.3	233.0	254.1	254.6
28.5	330.9	363.5	372.1	290.0	332.9	337.6	253.5	319.5	319.6
31.0		438.8	452.5		418.5	422.0		389.7	386.3

32.0	467.9	485.4		445.8	461.6		422.6	427.8	
33.0	493.4	521.0		474.6	497.5		459.6	475.0	
34.0	515.1	552.0		501.4	528.7		482.9	515.7	
35.0	541.8	583.5		528.7	563.6		496.3	553.1	
36.0		617.2			591.7			594.9	
ΔE	213.5	423.3	499.7	198.2	437.9	504.1	179.1	418.9	519.8

432

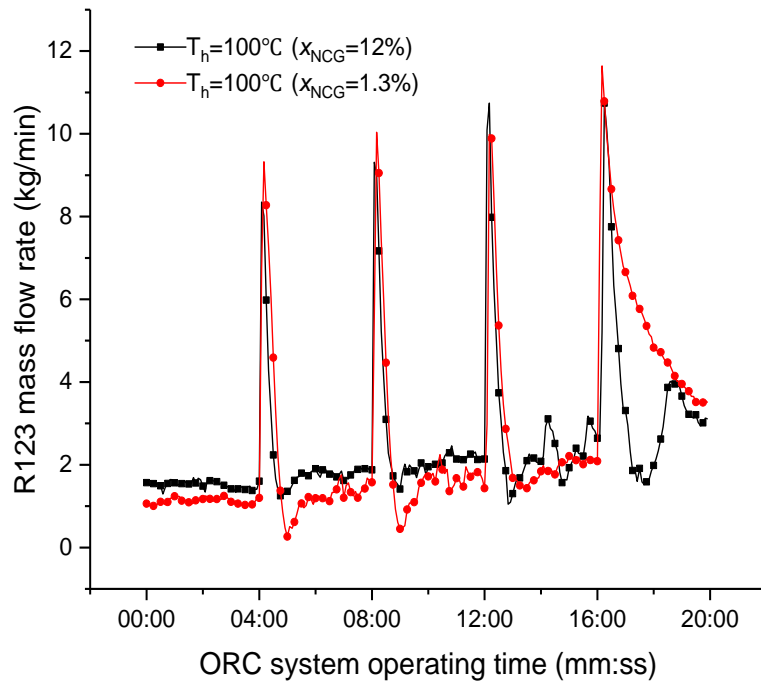
Table 3. Average rotation speed of the scroll expander when $x_{NCG}=12\%$

f (Hz)	T_c/T_h ($^{\circ}C/^{\circ}C$)								
	20/100	20/120	20/140	40/100	40/120	40/140	50/100	50/120	50/140
18.5	1754	1747	1747	1748	1743	1668	1675	1678	1674
21.0	1797	1789	1787	1780	1776	1708	1692	1714	1707
23.5	1873	1872	1861	1832	1830	1799	1758	1786	1789
26.0	1923	1995	1986	1871	1942	1928	1798	1882	1907
28.5	1972	2126	2177	1896	2084	2059	1818	2016	2018
31.0		2262	2352		2206	2246		2184	2188
32.0		2362	2423		2312	2315		2230	2271
33.0		2418	2498		2404	2380		2306	2336
34.0		2483	2557		2463	2453		2358	2418
35.0		2548	2627		2508	2522		2399	2484
36.0			2684			2592			2556

433

Table 4. Average rotation speed of the scroll expander when $x_{NCG}=1.3\%$

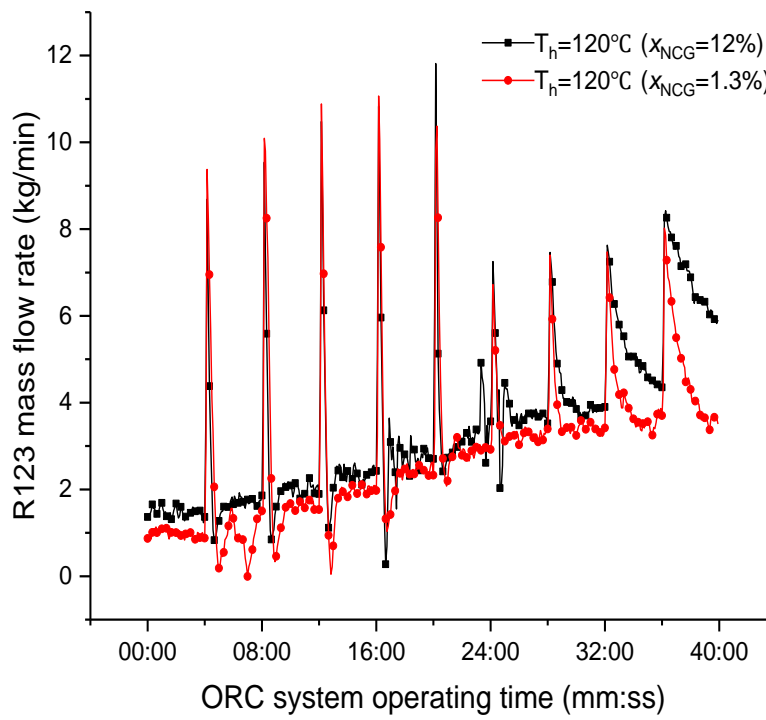
<i>f</i> (Hz)	T_c/T_h (°C/°C)								
	20/100	20/120	20/140	40/100	40/120	40/140	50/100	50/120	50/140
18.5	1730	1731	1708	1704	1704	1703	1697	1698	1702
21.0	1791	1800	1773	1757	1760	1757	1738	1746	1748
23.5	1878	1899	1861	1846	1846	1844	1817	1827	1830
26.0	1976	2002	1990	1945	1967	1953	1903	1944	1944
28.5	2078	2161	2177	2005	2091	2105	1941	2070	2070
31.0		2316	2352		2270	2281		2215	2208
32.0		2379	2423		2329	2362		2284	2300
33.0		2431	2497		2390	2436		2368	2395
34.0		2477	2558		2448	2499		2413	2478
35.0		2525	2621		2493	2569		2435	2553
36.0			2686			2624			2635



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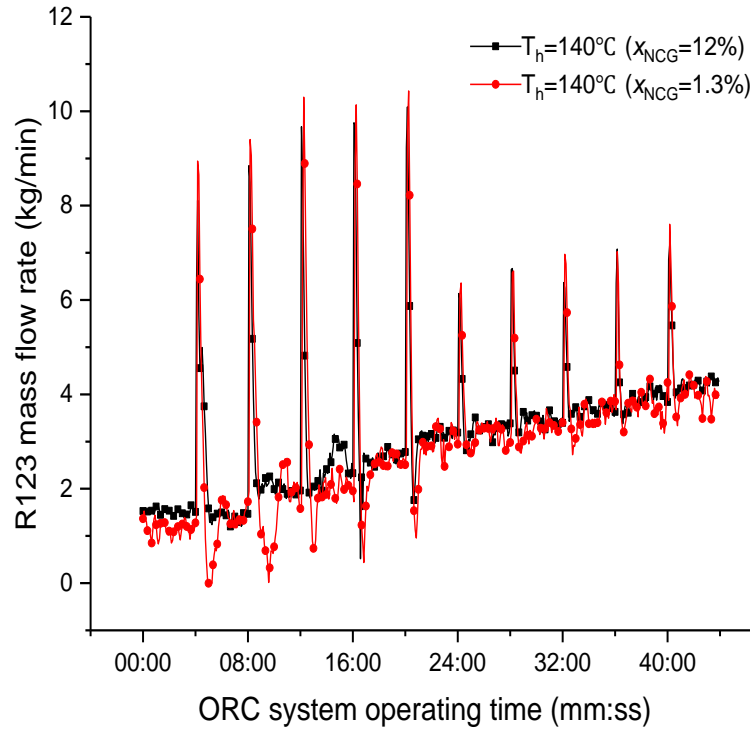
(a)



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(b)



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(c)

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Fig.12. R123 mass flow rate when $T_c=20\text{ }^\circ\text{C}$

441

(a) $T_h=100\text{ }^\circ\text{C}$; (b) $T_h=120\text{ }^\circ\text{C}$; (c) $T_h=140\text{ }^\circ\text{C}$.

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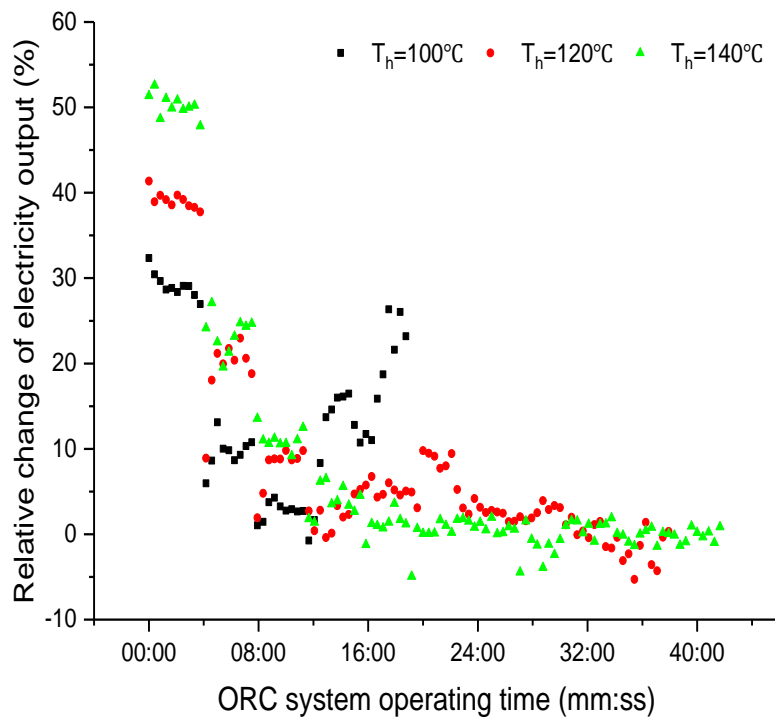
448

Fig.13 shows the relative **change** of electricity output (γ_e), as defined by Eq.(10). At a given time, the pump's input power was the same for the curves, and the rotation speed difference of the expander was generally controlled within 100 rpm for $x_{NCG}=12\%$ and $x_{NCG}=1.3\%$ as shown in Table 3 and 4. For example, when $T_c=20\text{ }^\circ\text{C}$ and the frequency of the converter reached the maximum, the rotation speed of the expander were 2078, 2525 and 2686 rpm for $x_{NCG}=1.3\%$ at $T_h=100, 120$ and $140\text{ }^\circ\text{C}$, respectively. And corresponding to 1972, 2548, and 2684 rpm for $x_{NCG}=12\%$.

449

NCGs had direct impact on the operating pressure of the expander, which further

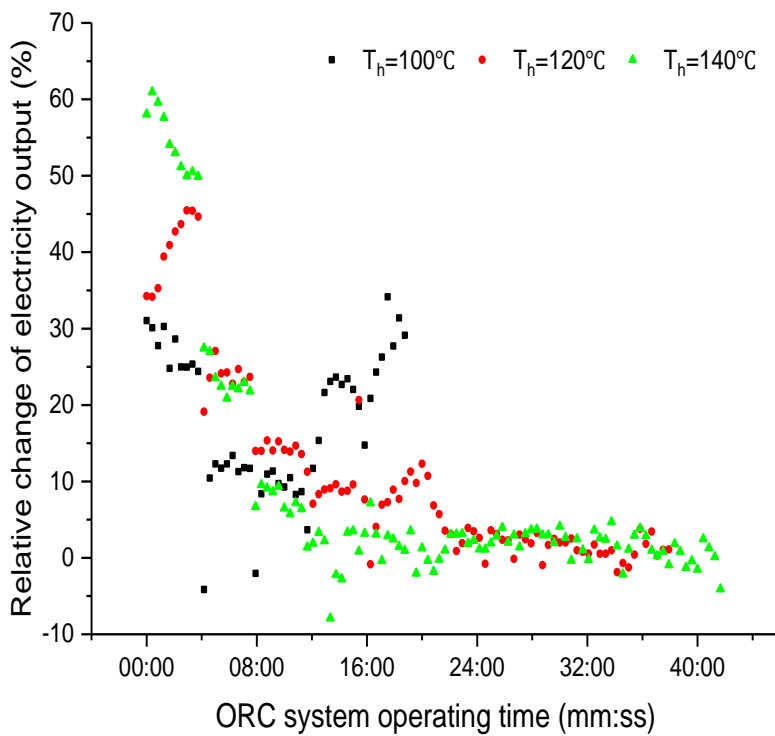
450 influenced the electricity output. At low converter frequency, γ_e was marked owing to the
451 lower RCOPR and higher operating pressure ratio (γ_p) of the expander at $x_{\text{NCG}}=1.3\%$, as
452 displayed in Fig.11 and Fig.14. The maximum γ_e was 114% when $T_h=100\text{ }^\circ\text{C}$, $T_c=50\text{ }^\circ\text{C}$ and
453 $f=18.5\text{ Hz}$. On the other hand, γ_e was almost zero or even negative at high converter frequency
454 especially when R123 got near the saturated vapor state. Two reasons can be given. First, when
455 $x_{\text{NCG}}=1.3\%$, γ_p was large at high converter frequency. It even reached 12 when $T_h=140\text{ }^\circ\text{C}$,
456 $T_c=20\text{ }^\circ\text{C}$ and $f=36.0\text{ Hz}$. The built-in expansion ratio of the expander was only 3.5. Large γ_p
457 led to highly off-design operation and low efficiency of the expander. Second, the expander
458 was connected with the generator via a big magnetic coupler. A fan was employed to cool down
459 the generator and there was heat transfer from the expander. The heat loss was expected to be
460 significant for this 1 kW expander and hence the expansion process became non-adiabatic.
461 When saturated R123 (or nearly saturated) entered the expander, it was likely to fall into binary
462 phase state due to the heat loss. In fact, binary phase state at the expander outlet had been
463 monitored in some situations. The quality of R123 dropped during expansion, which degraded
464 the performance of the expander. Since lower x_{NCG} was accompanied by larger γ_p , the average
465 quality of R123 should be lower than that with higher x_{NCG} , making the electricity output less.



466

467

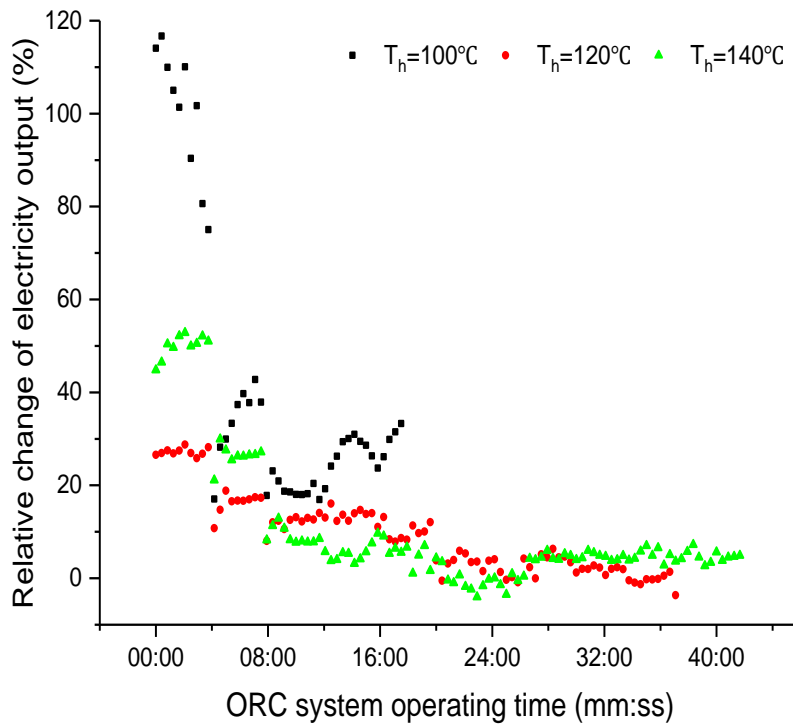
(a)



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(b)



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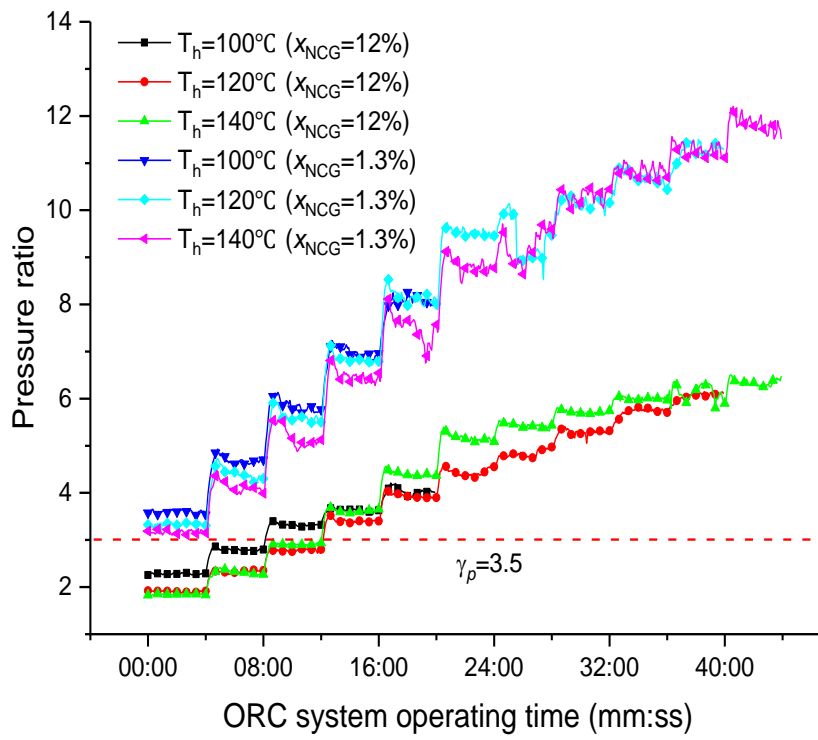
(c)

472

Fig.13. Relative change of electricity output

473

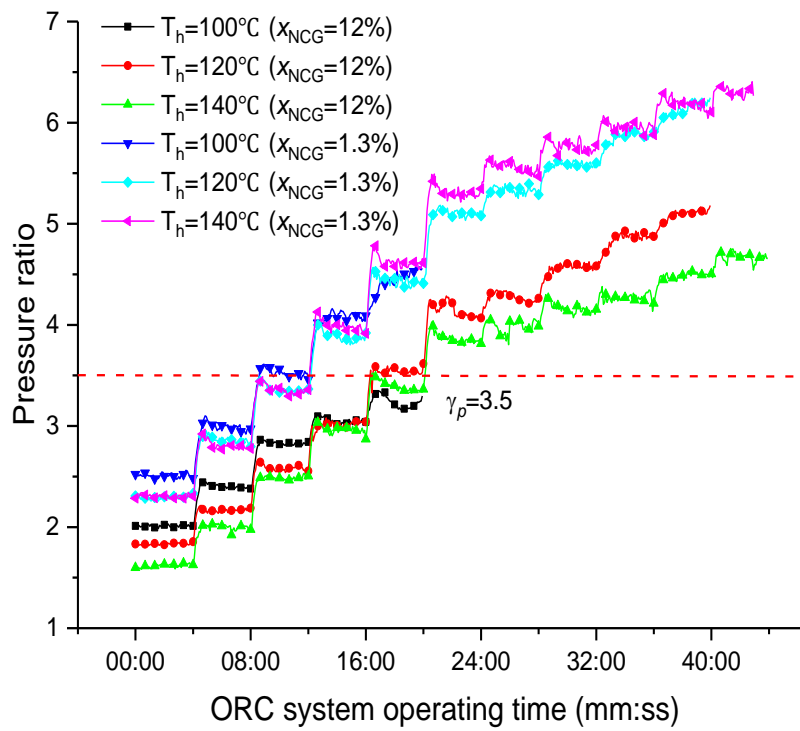
(a) $T_c=20^\circ\text{C}$; (b) $T_c=40^\circ\text{C}$; (c) $T_c=50^\circ\text{C}$.



474

475

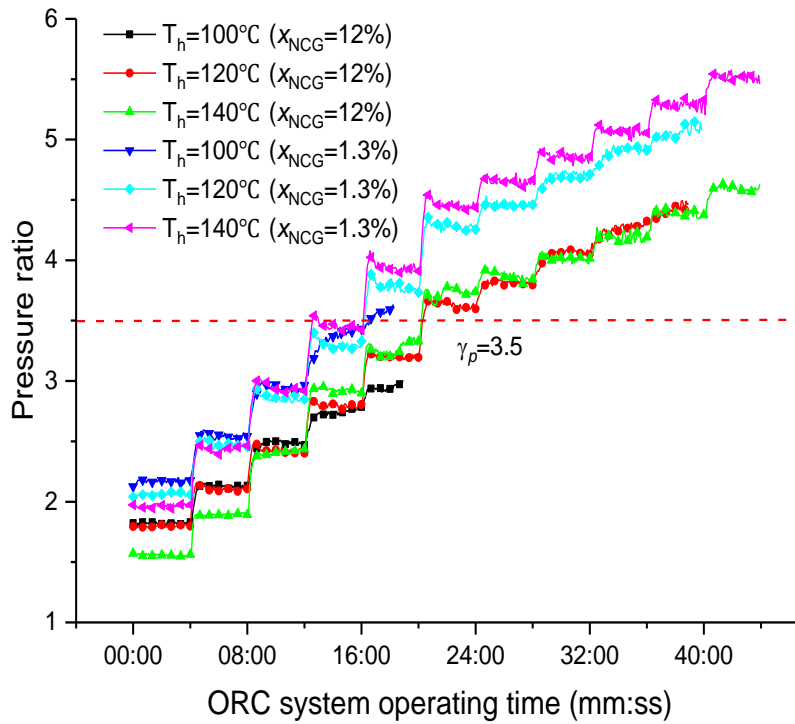
(a)



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477

(b)



(c)

Fig.14. Variations of pressure ratio of the scroll expander

(a) $T_c=20\text{ }^\circ\text{C}$; (b) $T_c=40\text{ }^\circ\text{C}$; (c) $T_c=50\text{ }^\circ\text{C}$.

5.4 Uncertainty analysis

The expander inlet/outlet pressure and temperature, mass flow rate through pump and generator output were measured directly by the transmitters, thermocouples, flow meter and power meter of accuracy of $\pm 1.0\%$, $\pm 0.5^\circ\text{C}$, $\pm 0.15\%$, $\pm 0.5\%$, respectively.

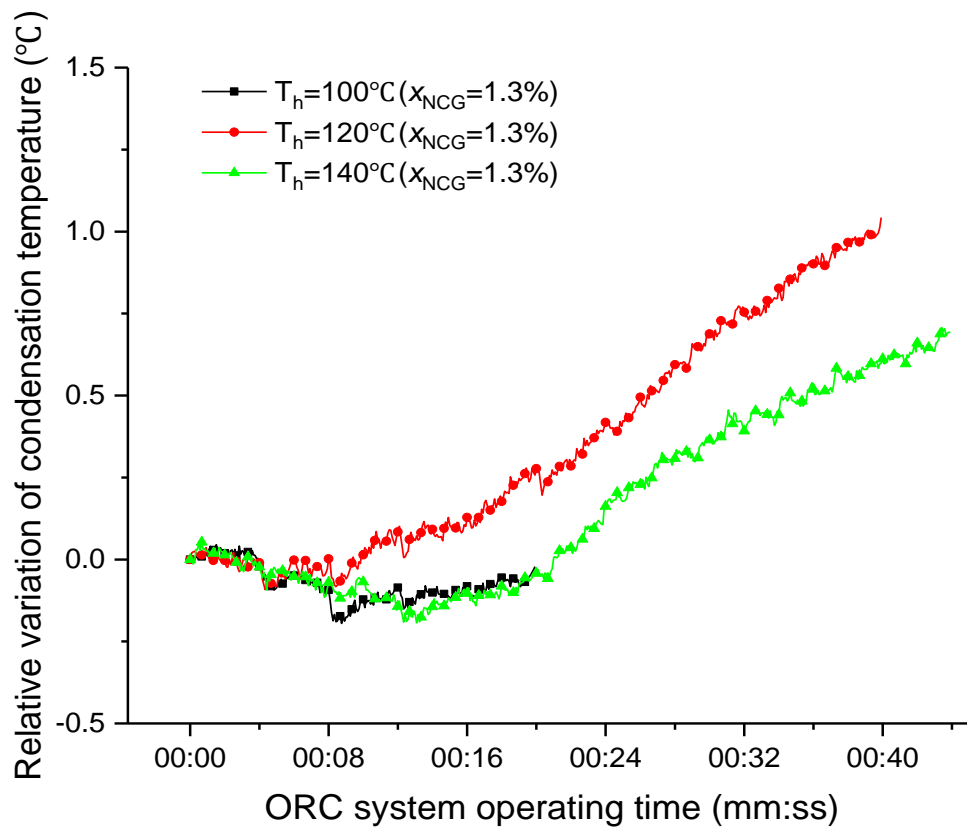
The mass fraction of NCGs is determined by Eq.(3). The relative error in x_{NCGs} can be approximately expressed by $ER(x_{\text{NCGs}})_\gamma \approx ER(\rho_{\text{R123}}/\rho_{\text{NCGs}})$. As illustrated in Figs.5 and 6, x_{NCGs} of 12% and 1.3% is estimated at temperature of about $11.6\text{ }^\circ\text{C}$ and $26.2\text{ }^\circ\text{C}$. With an accuracy of $\pm 0.5^\circ\text{C}$ in thermocouples, the relative error in vapor density of R123 should be $\pm 2.0\%$ and $\pm 1.7\%$. The relative error in NCGs density should be about $\pm 2.8\%$ and $\pm 15.6\%$. So $ER(x_{\text{NCGs}})_\gamma$

491 at temperature around 11.6 °C and 26.2 °C is $\pm 4.8\%$ and $\pm 17.3\%$. The relative error is large for
492 $x_{NCGs}=1.3\%$. This uncertainty is caused by the low partial pressure of NCGs. It is noteworthy
493 that this paper pays great attention to the mechanism of the ORC performance degradation,
494 rather than the quantitative relationship between the ORC output and x_{NCGs} . The influence of
495 x_{NCGs} on the output is investigated qualitatively. Though error in x_{NCGs} exists, the mechanism
496 should be valid.

497 $RCOPR$ is expressed by Eq.(9). So $ER(RCOPR)_\gamma \approx ER(p_{s,out}) / p_{s,out,i} + ER(p_{s,out,i}) \times (p_{s,out}$
498 $/ p_{s,out,i}^2)$. $p_{s,out,i}$ is the ideal backpressure of the expander (i.e. the saturation pressure in the
499 condenser). $ER(p_{s,out})_\gamma$ is $\pm 1.0\%$, while error in $p_{s,out,i}$ is related to the uncertainty in the
500 condensation temperature.

501 Unlike oil temperature that could be adjusted precisely by the controller, the cooling water
502 temperature was associated with the environment temperature, which fluctuated from time to
503 time. T_c may change during the test. For example, Fig.15 displays the relative variations of T_c
504 when $T_h=100, 120$ and 140 °C and $x_{NCGs}=1.3\%$. T_c is around 20 °C. The relative variation (ΔT)
505 is defined by $\Delta T=T-T_0$ (T_0 is the temperature at 00:00). It falls within ± 1 °C. In further
506 consideration of thermocouple accuracy of ± 0.5 °C, the relative error in the condensation
507 temperature would be ± 1.5 °C. According to R123 properties, $ER(p_{s,out,i})_\gamma$ is about $\pm 4.1\%$.

508 Therefore, $ER(RCOPR)_\gamma$ is expected to be within $\pm 5.1\%$ when T_c is around 20 °C. It should
509 be less in the situation of T_c around 40 °C or 50 °C because of the higher saturation pressure.



510

511 Fig.15. Relative variations of condensation temperature on the conditions of $T_h=100, 120$ and 140°C and

512 $x_{\text{NCGs}}=1.3\%$

513

514 6. Further discussion

515 According to the above experiment results, in order to reduce the impacts of NCGs on the

516 condenser outlet pressure and electricity output in ORC system, high FROR should be avoided.

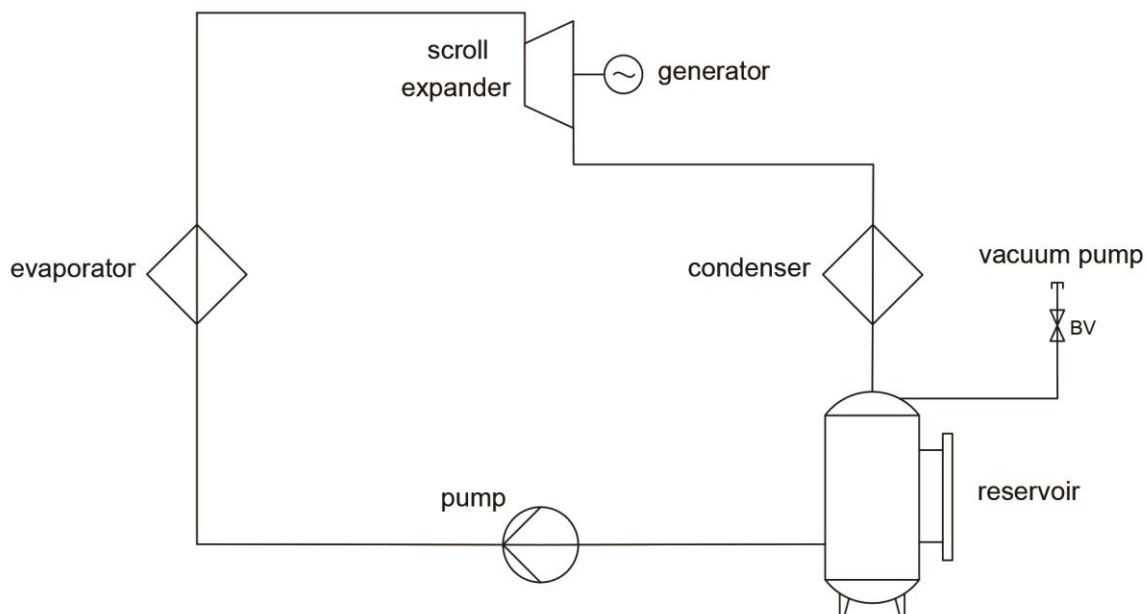
517 Since NCGs are trapped inside the reservoir, there is an alternative design for NCGs draining,

518 as illustrated in Fig.15. The vacuum pump is connected with the upper position of reservoir.

519 After a long time operation of the ORC, the NCGs are expected to be accumulated and the

520 valves at the reservoir inlet and outlet can be closed. The working fluid in the reservoir is

521 recovered first. Then the vacuum pump works to drain off the NCGs. The design offers a very
522 simple, efficient way of NCGs extraction because the reservoir is far smaller than the whole
523 system and there is not much waste of the ORC fluids.



524

525

Fig.15. Improved ORC system structure

526 7. Conclusions

527 In this paper, the effects of NCGs with mass fraction (x_{NCG}) of 12% and 1.3% on the ORC
528 system are studied, especially on the condenser outlet pressure and electricity output on various
529 conditions of hot side temperature (T_h), condensation temperature (T_c) and converter frequency
530 (f). The proposed reduced coefficient of pressure ratio (RCOPR) and filling ratio of reservoir
531 (FROR) are helpful for comprehending the mechanism of the NCG-effects. It is obvious that
532 the presence of NCGs in the ORC system changed the condenser outlet pressure directly, and
533 further influenced the electricity output. Through the comparative experiment results, it can be

534 concluded that:

535 (1) The distribution of NCGs can vary. NCGs distributed throughout the pipelines and
536 components when the ORC system was on quasi-steady condition. But the NCGs were
537 squeezed in the reservoir when the ORC system was stably operated, leading to an elevated
538 backpressure of expander. From this viewpoint, a small amount of NCGs still have great
539 possibility to reduce the ORC output.

540 (2) The increments of condenser outlet pressure and RCOPR due to NCGs were more obvious
541 at higher x_{NCG} . Moreover, the condenser outlet pressure and RCOPR were an increasing
542 function with respect to the FROR. A larger FROR was generally accompanied with higher T_h
543 and lower converter frequency.

544 (3) The NCGs had an indirect effect on the electricity output, which was related with both the
545 operating pressure ratio and off-design characteristics of the expander. The electricity with x_{NCG}
546 =1.3% exceeded that with x_{NCG} =12% by 114% when T_h =100 °C, T_c =50 °C and f =18.5 Hz. The
547 increment of electricity output was less appreciable when the expander underwent highly off-
548 design conditions, which was reached as $f > 31.0$ Hz.

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