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1 Caspase-independent cell death: an anti-cancer double-whammy 2 Evangelos Giampazolias 1,2,1, Stephen W.G. Tait 1,2\* 3 <sup>1</sup> Cancer Research UK Beatson Institute, <sup>2</sup> Institute of Cancer Sciences, 4 5 University of Glasgow, Garscube Estate, Switchback Road, Glasgow, G61 1BD. U.K., Current address: Francis Crick Institute, 1 Midland Road, London, 6 7 NW1 1AT, U.K. 8 9 \*Correspondence: stephen.tait@glasgow.ac.uk 10 11 **Key words:** apoptosis, caspase-independent cell death (CICD), caspases, 12 NF-κB, anti-tumour immunity, inflammation, cancer 13 14 15 Regulated cell death plays an important role in a myriad of processes 16 including development, host immunity and tissue homeostasis. The best 17 understood type of regulated cell death is apoptosis. Often apoptosis is 18 initiated by mitochondrial outer membrane permeabilisation or MOMP. 19 Widespread MOMP effectively acts as cellular death sentence; usually it leads 20 to caspase activation and apoptosis however even without caspases, cells die 21 post-MOMP through a process called caspase-independent cell death or 22 CICD. 23 24 The success of directly targeting apoptosis to treat cancer is perhaps best 25 attested by the recent clinical approval of venetoclax, a BCL-2 targeting drug. 26 to treat drug-refractory chronic lymphocytic leukemia (CLL). Nevertheless, 27 engaging apoptosis as a means to treat cancer can be sub-optimal since 28 therapies often fail to completely eradicate tumour cells. Moreover some 29 studies suggest that apoptosis may have oncogenic effects (1). These 30 include, apoptosis induced compensatory proliferation, mitogen-induced 31 cancer stem cell proliferation as well as establishment of an 32 immunosuppressive microenvironment. Furthermore, sub-lethal engagement 33 of caspase activity in cells can lead to genomic instability, potentially boosting

34 tumour evolution (2). Because caspases promote these effects, coupled to 35 them not being required for MOMP-dependent killing, prompted us to ask 36 whether CICD might be a better way to kill cancer cells. 37 38 To answer this question, we initially focused on understanding mechanisms 39 underlying CICD. We found that inhibition of caspases downstream of MOMP 40 can trigger necroptosis as a form of caspase-independent cell death (CICD), through the production and autocrine effects of pro-inflammatory TNF (3). 41 42 Recent studies underline anti-tumorigenic effects of inducing necroptosis, 43 however RIPK3 –a protein typically essential for necroptosis execution- is 44 silenced in the majority of cancers (4). As such, the clinical utility of engaging 45 necroptosis in cancer treatment remains unclear. 46 47 Nevertheless, we noticed that cells undergoing CICD, independent of 48 necroptosis, produce several inflammatory cytokines that are important for 49 recruitment and activation of both innate and adaptive immune responses (3). 50 In a tumourigenesis experiment setup to mimic partial therapeutic response, 51 engagement of CICD but not apoptosis led to tumour infiltration of 52 inflammatory polarised macrophages and activated T-cells (both cytotoxic and 53 helper) (3). Whereas engagement of apoptosis had no beneficial effect, 54 triggering CICD in vivo was sufficient to completely eradicate tumours 50% of 55 the time, dependent on intact immunity. This suggests that triggering CICD in 56 tumours rather than apoptosis may be a better strategy in cancer treatment. 57 58 Given that mitochondrial apoptosis is typically considered immunologically 59 silent how can CICD be pro-inflammatory? Addressing this, we found that the 60 anti-tumourigenic and pro-inflammatory nature of MOMP is dependent on NF-61 κB - a master transcriptional regulator of pro-inflammatory cytokines (3). 62 Under caspase deficient conditions, we found that mitochondrial 63 permeabilisation activates NF-κB in a manner dependent on IAP downregulation and NIK activation (3). Interestingly, previous studies have shown 64 65 that caspase deficiency also allows MOMP to trigger type I IFN response via 66 the cGAS/STING pathway, activated by mitochondrial DNA (5, 6). We found

67 that although NF-κB and STING can be activated independently during CICD, 68 both are required for optimal cytokine production (3). How do caspases 69 silence inflammation? One possibility might be cleavage dependent 70 inactivation of molecules important for engaging NF-κB and STING signalling. 71 Alternatively, it may simply be a difference of kinetics; apoptosis occurs very 72 rapidly post-MOMP, within minutes, whereas CICD can take many hours to 73 days, affording the cell time to produce cytokines before death. 74 75 In our view, engaging CICD as an anti-cancer treatment offers two potential 76 benefits compared with apoptosis: 1) it prevents caspase dependent 77 oncogenic effects of apoptosis 2) it can activate anti-tumour immunity (Figure 78 1). The second point is intriguing, since immunogenicity of death is largely 79 considered a key feature of uncontrolled necrosis which allows the passive 80 release of intracellular molecules named DAMPs (damage-associated 81 molecular patterns) capable of alerting an immune response. Our work 82 supports recent findings from others whereby dying cells initiate anti-tumour 83 immunity in a regulated manner dependent on de novo synthesis of 84 inflammatory signals (3, 7). Therefore, in order to find a more effective way to 85 kill cancer cells, we might turn our focus on the signals accompany a cell 86 during its death rather than simply the execution of cell death per se. Going 87 forward, several key questions remain. How exactly does CICD induce an immune response? Are there additional mitochondrial pathways that signal 88 89 immunity and how do caspases silence these effects? Most importantly, can

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we clinically translate these findings, engaging CICD to improve cancer

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118 Figure 1 Legend

- 119 Under conditions of partial therapeutic response, tumour CICD can have two
- anti-cancer effects. Engaging MOMP-under caspase inhibited conditions
- 121 triggers cell death and anti-tumour immunity dependent upon NFκB in the
- dying cell. Anti-tumour immunity can kill remaining tumour cells.

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