

INDUCTIVE LIMITS OF VECTOR-VALUED SEQUENCE SPACES

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Abstract

Let L be a normal Banach sequence space such that every element in L is the limit of its sections and let $E = \text{ind } E_n$ be a separated inductive limit of locally convex spaces. Then $\text{ind } L(E_n)$ is a topological subspace of $L(E)$.

The aim of this note is to prove the following result on the interchangeability of inductive limits and spaces of vector valued sequences: if L is a normal Banach sequence space with the property that every element of L is the limit of its sections and $E = \text{ind } E_n$ is a separated locally convex inductive limit, then the inductive limit $\text{ind } L(E_n)$ is a topological subspace of $L(E)$. The situation is completely different for the sequence space $L = 1^\infty$. In fact the first two authors showed in [2] that there are even strict inductive limits of Fréchet spaces $E = \text{ind } E_n$ such that the canonical injection $\text{ind } 1^\infty(E_n) \subset 1^\infty(E)$ is not open.

In what follows $(L, \|\cdot\|)$ denotes a normal Banach sequence space, i.e., a Banach space that satisfies

(α) $\varphi \subset L \subset \omega$ algebraically and the inclusion $(L, \|\cdot\|) \subset \omega$ is continuous.

(β) $\forall a = (a_k)_{k \in \mathbb{N}} \in L \forall b = (b_k)_{k \in \mathbb{N}} \in \omega$ such that $|b_k| \leq |a_k| \forall k \in \mathbb{N}$, we have that $b \in L$ and $\|b\| \leq \|a\|$.

We will also assume the following property (cf [1])

(ε) $\lim_{n \rightarrow \infty} \|((0)_{k < n}, (a_k)_{k \geq n})\| = 0, \forall a = (a_k)_{k \in \mathbb{N}} \in L$.

This property is sometimes called AK-property. Clearly $(L, \|\cdot\|) = 1^\infty$ does not satisfy (ε), whereas $(L, \|\cdot\|) = 1^p, 1 \leq p < \infty$ or c_0 has property (ε).

We observe that there is $(\mu_k)_{k \in \mathbb{N}} \in L$ with $\mu_k > 0 (k \in \mathbb{N})$ and $\|(\mu_k)_{k \in \mathbb{N}}\| = 1$

Given a locally convex space E , we denote by $cs(E)$ the family of all continuous seminorms on E . Given E the vector valued sequence space $L(E)$ is defined by

$$L(E) = \{x = (x_k)_{k \in \mathbb{N}} \in E^{\mathbb{N}}; (r(x_k))_{k \in \mathbb{N}} \in L \text{ for all } r \in cs(E)\}$$

endowed with the locally convex topology defined by the seminorms

$$x \longrightarrow \| (r(x_k)_{k \in \mathbb{N}}) \|$$

as r varies in $cs(E)$. Clearly if $(L, \| \cdot \|)$ satisfies property (ε) , then the countable direct sum $\oplus \{E : n \in \mathbb{N}\} = E^{(\mathbb{N})}$ is dense in $L(E)$.

Given a separated locally convex inductive limit $E = \text{ind } E_n$ we are interested in the following question: is $\text{ind } L(E_n)$ a topological subspace of $L(E)$? If $(L, \| \cdot \|) = 1^1$, a positive answer follows from a classical result of Grothendieck on projective tensor products (see e.g. [4]). If $(L, \| \cdot \|) = c_0$ the positive answer is a particular case of a result of Mujica [5, I, 7]. We prove now that the answer is positive for arbitrary $(L, \| \cdot \|)$ satisfying (ε) .

1. Proposition. *Let E be a locally convex space, F a closed subspace of E and $q : E \rightarrow E/F$ the canonical surjection. The mapping $Q : L(E) \rightarrow L(E/F)$ defined by $Q((x_k)_{k \in \mathbb{N}}) := (q(x_k))_{k \in \mathbb{N}}$ is open onto its image. If E is a Fréchet space then Q is also surjective.*

Proof: Since $E^{(\mathbb{N})}$ is a dense subspace of $L(E)$ and $Q(E^{(\mathbb{N})}) = (E/F)^{(\mathbb{N})}$, according to [4, 32, 5(3)] it is enough to show that $Q : E^{(\mathbb{N})} \rightarrow (E/F)^{(\mathbb{N})}$ is open. To do this we fix $r \in cs(E)$ and we show

$$\begin{aligned} & Q(\{x \in L(E); x \in E^{(\mathbb{N})} \| (r(x_k))_{k \in \mathbb{N}} \| \leq 1\}) \supset \\ & \{\tilde{x} \in L(E/F); \tilde{x} \in (E/F)^{(\mathbb{N})} \| (\tilde{r}(\tilde{x}_k))_{k \in \mathbb{N}} \| \leq 2^{-1}\} \end{aligned}$$

where $\tilde{r}(z + F) := \inf \{r(z + y); y \in F\}$ ($z \in E$) is the quotient seminorm. We fix $(\mu_k)_{k \in \mathbb{N}} \in L$, $\mu_k > 0$ ($k \in \mathbb{N}$), $\|(\mu_k)_{k \in \mathbb{N}}\| = 1$. Given $\tilde{x} \in (E/F)^{(\mathbb{N})}$ with $\|(\tilde{r}(\tilde{x}_k))_{k \in \mathbb{N}}\| \leq 2^{-1}$ we find $1 \in \mathbb{N}$ such that $\tilde{x}_k = 0$ for $1 \leq k$. For each $k < 1$ we select $y \in F$ such that $r(x_k + y_k) < \tilde{r}(x_k + F) + 2^{-1}\mu_k$. Then $x = ((x_k + y_k)_{k < 1}, (0)_{1 \leq k})$ belongs to $E^{(\mathbb{N})} \subset L(E)$, $Q(x) = \tilde{x}$ and $\|((r(x_k + y_k))_{k < 1}, (0)_{1 \leq k})\| \leq 1$.

If E is also a Fréchet space, then $Q(L(E))$ is a Fréchet space dense in $L(E/F)$. Consequently Q is surjective. ■

2. Proposition. *Let $(E_n)_{n \in \mathbb{N}}$ be a sequence of locally convex spaces. Then the map $\psi : L(\oplus \{E_n : n \in \mathbb{N}\}) \rightarrow \oplus \{L(E_n) : n \in \mathbb{N}\}$ defined by*

$$\psi(((x_n^k)_{n \in \mathbb{N}})_{k \in \mathbb{N}}) := ((x_n^k)_{k \in \mathbb{N}})_{n \in \mathbb{N}}$$

is a topological isomorphism.

Proof: Given $x = ((x_n^k)_{n \in \mathbb{N}})_{k \in \mathbb{N}}$ in $L(\oplus \{E_n : n \in \mathbb{N}\})$, to show that $\psi(x) \in \oplus \{L(E_n) : n \in \mathbb{N}\}$ it is enough to see that there is $m \in \mathbb{N}$ such that $x_n^k = 0$ for all $n \geq m$, $k \in \mathbb{N}$. If we assume the contrary we can find two strictly increasing

sequences $(k(j))_{j \in \mathbf{N}}$ and $(n(j))_{j \in \mathbf{N}}$ such that $x_{n(j)}^{k(j)} \neq 0$ for all $j \in \mathbf{N}$ (recall that each $(x_n^k)_{n \in \mathbf{N}}$ belongs to $\oplus\{E_n : n \in \mathbf{N}\}$). We select $(\lambda_k)_{k \in \mathbf{N}} \in \omega \setminus L$ with $\lambda_{k(j)} > 0$ for all $j \in \mathbf{N}$ and $\lambda_k = 0$ if $k \notin \{k(j); j \in \mathbf{N}\}$. For all $j \in \mathbf{N}$ we find $r_j \in cs(E_{n(j)})$ with $r_j(x_{n(j)}^{k(j)})$ greater than $\lambda_{k(j)}$. It is clear that $r((z_n)_{n \in \mathbf{N}}) = \sum_{j=1}^{\infty} r_j(z_{n(j)})$ defines a continuous seminorm on $\oplus\{E_n : n \in \mathbf{N}\}$. Therefore for $x^k := (x_n^k)_{n \in \mathbf{N}}$ ($k \in \mathbf{N}$), we have $(r(x^k)) \in L$. But $r(x^{k(j)}) \geq r_j(x_{n(j)}^{k(j)}) > \lambda_{k(j)}$, for all $j \in \mathbf{N}$ and $0 = \lambda_k \leq r(x^k)$ if $k \notin \{k(j); j \in \mathbf{N}\}$. Consequently $(\lambda_k)_{k \in \mathbf{N}} \in L$, a contradiction. Therefore ψ is well defined. Clearly ψ is linear and injective. To show that ψ is surjective, we take $x = ((x_n^k)_{k \in \mathbf{N}})_{n \in \mathbf{N}}$ in $\oplus\{L(E_n) : n \in \mathbf{N}\}$. Clearly $(x_n^k)_{n \in \mathbf{N}} \in \oplus\{E_n; n \in \mathbf{N}\}$ for all $k \in \mathbf{N}$, since $x_n^k = 0$ for all $n \geq m$ and $k \in \mathbf{N}$. Given $r \in cs(\oplus\{E_n; n \in \mathbf{N}\})$ we can find $r_n \in cs(E_n)$ $n \in \mathbf{N}$, with $r(z) \leq \max(r_n(z_n); n \in \mathbf{N})$ for all $z = (z_n) \in \oplus\{E_n; n \in \mathbf{N}\}$. Therefore for all $k \in \mathbf{N}$

$$r((x_n^k)_{n \in \mathbf{N}}) \leq \max(r_n(x_n^k); 1 \leq n \leq m) \leq \sum_{n=1}^m r_n(x_n^k)$$

Since $(r_n(x_n^k)_{k \in \mathbf{N}}) \in L$ for $1 \leq n \leq m$, we conclude $y = ((x_n^k)_{n \in \mathbf{N}})_{k \in \mathbf{N}} \in L(\oplus\{E_n; n \in \mathbf{N}\})$ and $\psi(y) = x$.

Now the continuity of $\psi^{-1} : \oplus\{L(E_n); n \in \mathbf{N}\} \rightarrow L(\oplus\{E_n; n \in \mathbf{N}\})$ follows from the fact that its restriction to each $L(E_n)$ is clearly continuous. Finally we show that ψ is continuous. To do this we consider $r_n \in cs(E_n)$ ($n \in \mathbf{N}$) and we observe that

$$\sup_{n \in \mathbf{N}} \|(r_n(x_n^k))_{k \in \mathbf{N}}\| \leq \|(\sup_{n \in \mathbf{N}} (r_n(x_n^k))_{k \in \mathbf{N}})\|$$

holds for every $((x_n^k)_{n \in \mathbf{N}})_{k \in \mathbf{N}} \in L(\oplus\{E_n; n \in \mathbf{N}\})$. ■

3. Theorem. *Let $(L, \|\cdot\|)$ be a normal Banach sequence space with property (ε) . Let $E = \text{ind } E_n$ be a separated locally convex inductive limit. Then $[\text{ind } L(E_n)]$ is a topological subspace of $L(\text{ind } E_n)$.*

Proof: We consider the following diagram

$$\begin{array}{ccc} L(\oplus\{E_n; n \in \mathbf{N}\}) & \xrightarrow{Q_1} & L(E) \\ \psi \uparrow & & \uparrow \varphi \\ \oplus\{L(E_n); n \in \mathbf{N}\} & \xrightarrow{Q_2} & \text{ind } L(E_n) \end{array}$$

where, for $q_1 : \oplus\{E_n; n \in \mathbf{N}\} \rightarrow E$ the canonical quotient map $q_1((z_n)_{n \in \mathbf{N}}) = \sum_{n=1}^{\infty} z_n$ we define $Q_1((x_k)_{k \in \mathbf{N}}) = (q_1(x_k))_{k \in \mathbf{N}}$ for all $(x_k)_{k \in \mathbf{N}}$ in

$L(\oplus\{E_n; n \in \mathbb{N}\})$. Q_2 is the canonical quotient map and φ is the canonical injection which is continuous. According to proposition 1, Q_1 is open onto its image. Certainly Q_2 is open and ψ^{-1} is a topological isomorphism, according to proposition 2. Since the diagram is commutative, it follows that φ is also open onto its image. Thus $\text{ind } L(E_n)$ is a topological subspace of $L(E)$. ■

4. Corollary. *Let $(L, \|\cdot\|)$ be a normal Banach sequence space with property (ε) . Let $E = \text{ind } E_n$ be a strict inductive limit of locally convex spaces with E_n closed in E_{n+1} for all $n \in \mathbb{N}$. Then $L(E) = \text{ind } L(E_n)$ holds algebraically and topologically.*

Proof: Only the algebraic identity needs a proof. It is clearly enough to show that for any $x = (x_k)_{k \in \mathbb{N}} \in L(E)$ there is $n \in \mathbb{N}$ with $x_k \in E_n$. If this is not satisfied we can find an increasing sequence $(k(n))_{n \in \mathbb{N}}$ in \mathbb{N} such that $x_{k(n)} \notin E_n$, for all n in \mathbb{N} . We select $(\gamma_k)_{k \in \mathbb{N}} \in \omega \setminus L$ with $\gamma_{k(n)} > 0$ ($n \in \mathbb{N}$) and $\gamma_k = 0$ if $k \notin \{k(n); n \in \mathbb{N}\}$. Now since E_n is closed, there is $u_n \in E'$ with $u_n(x_{k(n)}) = \gamma_{k(n)}$ and $u_n|_{E_n} = 0$. The equicontinuous sequence $(u_n)_{n \in \mathbb{N}}$ defines a continuous seminorm as follows:

$$p(x) = \sup \{|u_n(x)|; n \in \mathbb{N}\}$$

Thus $(p(x_k))_{k \in \mathbb{N}} \in L$, a contradiction, since $\gamma_k \leq p(x_k)$ for all $k \in \mathbb{N}$. ■

5. Remark: For an inductive limit $E = \text{ind } E_n$ and a normal Banach sequence space $(L, \|\cdot\|)$, the algebraic coincidence $L(E) = \text{ind } L(E_n)$ is a clearly equivalent to $\forall x \in L(E) \exists n \in \mathbb{N}$ with $x \in L(E_n)$. For instance if $(L, \|\cdot\|) = c_0$, then $L(E) = \text{ind } L(E_n)$ if and only if E is a sequentially retractive (cf [3]).

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Rebut el 17 de Març de 1989