

QUALITATIVE PROPERTIES OF THE FREE-BOUNDARY OF THE REYNOLDS EQUATION IN LUBRICATION

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Abstract

The hydrodynamic lubrication of a cylindrical bearing is governed by the Reynolds equation that must be satisfied by the pressure of lubricating oil. When cavitation occurs we are carried to an elliptic free-boundary problem where the free-boundary separates the lubricated region from the cavited region.

Some qualitative properties are obtained about the shape of the free-boundary as well as the localization of the cavited region.

1. Introduction. Existence and uniqueness

Let Ω be the rectangle $(0, 2\pi) \times (0, 1) \subset \mathbb{R}^2$; let $\Gamma_0 = (0, 2\pi) \times \{0\}$, $\Gamma_1 = (0, 2\pi) \times \{1\}$ and let us introduce the following sets of functions:

$$V = \{\phi \in H^1(\Omega), \phi|_{\Gamma_0 \cup \Gamma_1} = 0, \phi \text{ is } 2\pi x - \text{periodic}\}$$

$$V_a = \{\phi \in H^1(\Omega), \phi|_{\Gamma_0} = 0, \phi|_{\Gamma_1} = p_a, \phi \text{ is } 2\pi x - \text{periodic}\}$$

where $H^1(\Omega)$ is the Sobolev space of functions such that they and their first derivatives are square summable.

We consider the following:

Problem (P).

Find a pair of functions (p, γ) such that

$$(1.1) \quad (p, \gamma) \in V_a \times L^\infty(\Omega)$$

$$(1.2) \quad p \geq 0 \text{ and } H(p) \leq \gamma \leq 1 \text{ a.e. in } \Omega$$

$$(1.3) \quad \int_\Omega h^3 \nabla p \nabla \xi = \int_\Omega h \gamma \frac{\partial \xi}{\partial x} \quad \forall \xi \in V,$$

where $h = h(x) = 1 + \alpha \cos x$, with $0 < \alpha < 1$, and H is the Heaviside function.

This problem is related to the lubrication with cavitation arising in bearings. The first unknown is the pressure distribution $-p-$ in a thin film of lubricant contained in the narrow gap between two circular cylinders of parallel axes (the shaft and the bearing); another unknown is the percentage $-\gamma-$ of oil contained in an elementary volume.

shaft and the bearing); another unknown is the percentage $-\gamma-$ of oil contained in an elementary volume.

Introducing cylindrical coordinates, the gap h depends only on the angular coordinate, being α the eccentricity ratio of the bearing.

The equation (1.3) derives from the Reynolds equation, $\operatorname{div}(h^3 \nabla p) = h'$, which must be satisfied for p on the region $[p > 0]$, and from conservation laws of flow across the free boundary separating the regions $[p > 0]$ and $[p = 0]$ in Ω . In the lubricated region (completely occupied for oil) γ is equal to one, while over the cavited region ($[p = 0]$) γ must satisfy $0 \leq \gamma \leq 1$.

The main goal of this paper is to give some qualitative properties of the free-boundary,

$$\Gamma = \overline{[p > 0]} \cap \overline{[p = 0]} \cap \Omega.$$

The existence of solutions for Problem (P) was proved by Bayada and Chambat in [B-Ch]; they prove also uniqueness of solutions under the assumption that the free-boundary is a Lipschitz-continuous function of x . A comparison result and uniqueness was proved by Carrillo and the author in [A-C], without any of the previous assumption related to the free-boundary.

For a more general treatment on physical aspects and the formulation of Problem (P), see [A], [B-Ch], [D-T], [F].

About existence and uniqueness, we recall the following results:

Theorem 1.1. (*Existence and Regularity*)

There exist at least one solution for Problem (P); moreover, if (p, γ) satisfies (1.1), (1.2) and (1.3), then

$$p \in C^0(\bar{\Omega}) \cap C^{0,r}(\Omega \cup (\{0\} \times (0, 1)) \cup (\{2\pi\} \times (0, 1))).$$

Proof: See [B-Ch] and [A-C], as well as the proof of existence for the Dam Problem in [B-K-S]. ■

Theorem 1.2. (*Comparison*) ([A-C])

Let (p_1, γ_1) and (p_2, γ_2) be two pairs in $H^1(\Omega) \times L^\infty(\Omega)$, with p_1 and p_2 being 2π x -periodic functions and satisfying (1.2) and (1.3), as well as the condition,

$$(1.4) \quad p_i|_{\Gamma_j} = \phi_i^j \quad \text{for } i = 1, 2 \text{ and } j = 0, 1$$

where for ϕ_i^j we assume

$$(1.5) \quad \phi_i^j \in C(\Gamma_j) \text{ and } \phi_1^j \leq \phi_2^j.$$

Then $p_1 \leq p_2$ in Ω .

Like a corollary of this theorem, we have:

Theorem 1.3. (*Uniqueness*) ([A-C])

There exist an unique solution (p, γ) for Problem (P).

Remark. Theorem 1.2 gives a global comparison result in Ω for p_1 and p_2 , when we can compare their values on Γ_0 and Γ_1 : this remain true to compare solutions of Problem (P) with solutions of a *swiftly modified problem*, as we will precise later in Section 3.

2. Uniforme bounds for solutions in the x -variable

In this section we shall give an upper bound and a lower bound, both independents of x , for solutions of Problem (P).

Let $M = \text{maximum}_{x \in [0, 2\pi]} \frac{h'(x)}{h^3(x)}$, and, for $0 \leq y \leq 1$, let us define,

$$(2.1) \quad \bar{v}(y) = -\frac{M}{2}y^2 + (p_\alpha - \frac{M}{2})y$$

$$(2.2) \quad \underline{v}(y) = \left[\frac{M}{2}y^2 + (p_\alpha - \frac{M}{2})y \right]^+$$

Such functions satisfy:

$$\begin{aligned} \underline{v}(0) &= \bar{v}(0) = 0 \\ \underline{v}(1) &= \bar{v}(1) = p_\alpha \\ \bar{v}'' &= -M \\ \underline{v}'' &= \begin{cases} 0 & \text{if } y < 1 - 2p_\alpha/M \\ M & \text{if } y > 1 - 2p_\alpha/M \end{cases} \end{aligned}$$

We have:

Theorem 2.1.

If (p, γ) is the solution of Problem (P), then

$$p(x, y) \leq \bar{v}(y) \quad \text{in } \bar{\Omega}.$$

Proof: Taking $\xi = (p - \bar{v})^+$, and as $\gamma = 1$ on the support of ξ , we have

$$\int_{\Omega} h^3 \nabla p \nabla \xi = \int_{\Omega} h \gamma \xi_x = - \int_{\Omega} h' \xi$$

Moreover,

$$\int_{\Omega} h^3 \nabla \bar{v} \nabla \xi = \int_{\Omega} h^3 \bar{v}' \xi_y = - \int_{\Omega} h^3 \bar{v}'' \xi = \int_{\Omega} h^3 M \xi \geq \int_{\Omega} h' \xi.$$

and, subtracting from the above equality:

$$\int_{\Omega} h^3 |\nabla(p - \bar{v})^+|^2 = \int_{\Omega} h^3 \nabla(p - \bar{v}) \nabla \xi \leq 0.$$

So, we obtain

$$(p - \bar{v})^+ = \text{constant} \quad \text{in } \Omega$$

and, hence

$$(p - \bar{v})^+ = 0 \quad \text{i.e. } p \leq \bar{v}.$$

In order to complete the boundedness of p , we have:

Theorem 2.2.

If (p, γ) is the solution of Problem (P), then

$$p(x, y) \geq \underline{v}(y) \quad \text{in } \bar{\Omega}.$$

Proof: Let $\xi = (\underline{v} - p)^+$; we have:

$$\int_{\Omega} h^3 \nabla \underline{v} \nabla \xi = \int_{\Omega} h^3 \underline{v}' \xi_y = - \int_{\Omega} h^3 \underline{v}'' \xi = - \int_{\Omega} h^3 M \xi \leq - \int_{\Omega} h' \xi,$$

since $\underline{v}''(y) = M$ if $\underline{v} \neq 0$, and hence on the support of ξ .

Now, since $\xi_x = [(\underline{v} - p)^+]_x = 0$, on the region $[p = 0]$, we have:

$$\int_{\Omega} h^3 \nabla p \nabla \xi = \int_{\Omega} h \gamma \xi_x = \int_{\Omega} h \xi_x + \int_{\Omega} h(\gamma - 1) \xi_x = - \int_{\Omega} h' \xi$$

and so:

$$\int_{\Omega} h^3 |\nabla(\underline{v} - p)^+|^2 = \int_{\Omega} h^3 \nabla(\underline{v} - p) \nabla \xi \leq 0.$$

Similarly to Theorem 2.1, we obtain the conclusion. ■

Corollary 2.3.

If (p, γ) is the solution of Problem (P), with $p_a \geq M/2$, then $p > 0$ in Ω and so there is not free-boundary.

Proof: If $p_a \geq M/2$ then $\underline{v}(y) > 0$ and $p > 0$ for all $y \in (0, 1)$. ■

Remark.

The figures one and two illustrate functions \underline{v} and \bar{v} in the two different cases: $p_a < M/2$ and $p_a \geq M/2$.

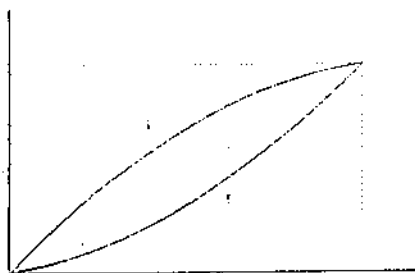
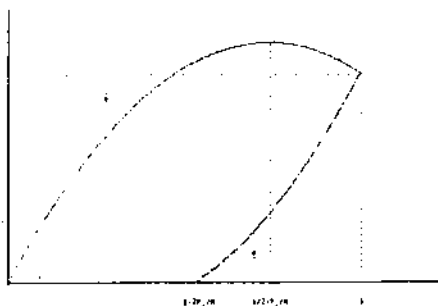
Fig. 1 ($p_a < M/2$)Fig. 2 ($p_a \geq M/2$)

Figure 1 illustrates the region $(0, 2\pi) \times (0, 1 - 2p_a/M)$ where the free-boundary (when it exists) lies. The function \bar{v} attains a maximum in $y = \frac{1}{2} + p_a/M \in (0, 1)$; we shall prove later that, fixed x , $p(x, \cdot)$ is a non-decreasing monotone function up to this point.

Figure 2 corresponds to the case where there is no free-boundary; when $p_a \gg M/2$ the solution is very close to the function $w(y) = p_a y$, which satisfies that $\text{div}(h^3 \nabla w) = 0$, corresponding to the limit case when the eccentricity ratio α is equal to zero, and evidencing that this eccentricity is negligible when the pressure on the supply line is very great.

3. Behaviour of the free-boundary in the y -variable

We consider in this section the case $p_a < M/2$, denoting by y_m the value $y_m = \frac{1}{2} + p_a/M$, where the function \bar{v} , defined by (2.1), attains a maximum. Let $y_0 = 2p_a/M$, and take y_1 any value in $(y_m, 1)$. Finally, let $\Omega_1 = (0, 2\pi) \times (y_0, y_1)$, denoting by Γ_0^1 and Γ_1^1 the lower and upper boundaries of Ω_1 respectively. (see Fig. 3).

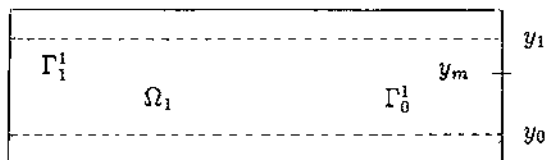


Fig. 3

The equation $z = y_1 - \frac{1}{\beta}(y - y_1)$ with $\beta = \frac{y_1 - y_0}{1 - y_1}$, transform the interval $[y_0, y_1]$ into $[y_1, 1]$. Making use of this transformation we can define a new function on Ω_1 , from the solution p of Problem (P), by means of

$$\text{for } (x, y) \in \bar{\Omega}_1 \quad \bar{p}(x, y) = \beta^2 p(x, z).$$

We have:

Theorem 3.1.

$$p(x, y) \leq \bar{p}(x, y) \quad \text{for any } (x, y) \in \Omega_1.$$

Before to give the proof of Theorem 3.1 we shall first prove some previous results about $\bar{p}(x, y)$. We remark that the technics to prove this theorem are the same that the ones used to prove uniqueness. They are based on the construction of a class of test functions defined in a multidimensional domain. Such test functions appear in [A-C], [C-1] and [C-2].

Proposition 3.2.

If (p, γ) is the solution of Problem (P) and we define $\bar{\gamma}(x, y) = \gamma(x, z)$ for $(x, y) \in \Omega_1$, then the pair $(\bar{p}, \bar{\gamma})$ satisfies,

$$(3.1) \quad \int_{\Omega_1} h^3 \nabla \bar{p} \nabla \xi + \frac{1 - \beta^2}{\beta^2} \int_{\Omega_1} h^3 \bar{p}_x \xi_x = \int_{\Omega_1} h \bar{\gamma} \xi_x$$

for any $\xi \in H^1(\Omega_1)$, 2π x -periodic and $\xi|_{\Gamma_0^1 \cup \Gamma_1^1} = 0$

$$(3.2) \quad H(\bar{p}) \leq \bar{\gamma} \leq 1 \quad \text{a.e. in } \Omega$$

Moreover

$$(3.3) \quad p|_{\Gamma_0^1 \cup \Gamma_1^1} \leq \bar{p}|_{\Gamma_0^1 \cup \Gamma_1^1}$$

Proof: Let $\bar{\xi}(x, z) = \xi(x, y)$, $J = \begin{pmatrix} 1 & 0 \\ 0 & -1/\beta \end{pmatrix}$ the matrix for derivatives of (x, z) with rapport to (x, y) , and $\Omega_2 = (0, 2\pi) \times (y_1, 1)$ with lower and upper boundarys Γ_0^2 and Γ_1^2 respectively ($\Gamma_0^2 = \Gamma_1^1$, and $\Gamma_1^2 = \Gamma_1$). We get:

$$\begin{aligned} \int_{\Omega_1} h^3 \nabla \bar{p} \nabla \xi &= \int_{\Omega_1} h^3 \nabla_{x,y} \beta^2 p(x, z) \nabla_{x,y} \xi(x, y) dx dy = \\ &= \int_{\Omega_2} h^3 (\nabla_{x,z} \beta^2 p(x, z) J) \cdot (\nabla_{x,z} \bar{\xi}(x, z) J) \beta dx dz = \\ &= \beta \int_{\Omega_2} h^3 \{ \beta^2 p_x \bar{\xi}_x + p_z \bar{\xi}_z \} dx dz = \\ &= \beta \int_{\Omega_2} h^3 \nabla_{x,z} p \nabla_{x,z} \bar{\xi} dx dz + \beta(\beta^2 - 1) \int_{\Omega_2} h^3 p_x \bar{\xi}_x dx dz = \\ &= \beta \int_{\Omega_2} h \bar{\gamma} \bar{\xi}_x dx dz + \beta(\beta^2 - 1) \int_{\Omega_2} h^3 p_x \bar{\xi}_x dx dz \end{aligned}$$

since $\bar{\xi} \in H^1(\Omega_2)$, is 2π x -periodic and $\bar{\xi}|_{\Gamma_0^2 \cup \Gamma_1^2} = 0$.

Now, coming back to the y -variable in Ω_1 , we conclude

$$\int_{\Omega_1} h^3 \nabla \bar{p} \nabla \xi + \frac{1 - \beta^2}{\beta^2} \int_{\Omega_1} h^3 \bar{p}_x \xi_x = \int_{\Omega_1} h \bar{\gamma} \xi_x$$

and $H(\bar{p}) = H(\beta^2 p(x, z)) \leq \gamma(x, z) = \bar{\gamma}(x, y) \leq 1$ a.e. in Ω_1 . ■

Moreover $p \leq \bar{p}$ on Γ_1^1 , because $y = z$ and $\beta^2 > 1$, and $p \leq p_\alpha \leq \beta^2 p_\alpha = \bar{p}$ on Γ_0^1 .

We shall distinguish the x -variable for p and \bar{p} , using the variables $(x_1, y) \in \Omega_1$ for (p, γ) and $(x_2, y) \in \Omega_1$ for $(\bar{p}, \bar{\gamma})$; we set $Q = (0, 2\pi) \times (0, 2\pi) \times (y_0, y_1)$, and let us consider $\xi(r)$ and $\rho(r)$, real functions such that:

$$\begin{aligned} \xi(r) &\in C_0^\infty(y_0, y_1), \quad \xi \geq 0. \\ \rho(r) &\in C_0^\infty(\mathbb{R}), \quad \rho \geq 0, \quad \text{supp } \rho = [-1, 1] \\ &\rho \text{ is a pair function.} \end{aligned}$$

For small $\varepsilon > 0$ we define $\rho_\varepsilon(r) = (1/\varepsilon)\rho(r/\varepsilon)$, and finally for $(x_1, x_2, y) \in \bar{Q}$ let $F(x_1, x_2, y)$ be defined by

$$F(x_1, x_2, y) = \xi(y) \rho_\varepsilon\left(\frac{x_1 - x_2}{2}\right).$$

This function, is identically zero when $|x_1 - x_2| \geq 2\varepsilon$ and, since ρ_ε is a pair function, it can be redefined when $(x_1, x_2) \in T_1 \cup T_2 = \{(x_1, x_2) \in [0, 2\pi] \times [0, 2\pi] : |x_1 - x_2| \geq 2\pi - 2\varepsilon\}$, by making

$$\rho_\varepsilon\left(\frac{x_1 - x_2}{2}\right) = \rho_\varepsilon\left(\frac{|x_1 - x_2| - 2\pi}{2}\right)$$

So we obtain a 2π -periodic function in the independent variables x_1 and x_2 (see Fig. 4). Moreover $F(\cdot, x_2, \cdot), F(x_1, \cdot, \cdot) \in H^1(\Omega_1)$ and $F(x_1, x_2, y_0) = F(x_1, x_2, y_1) = 0$.

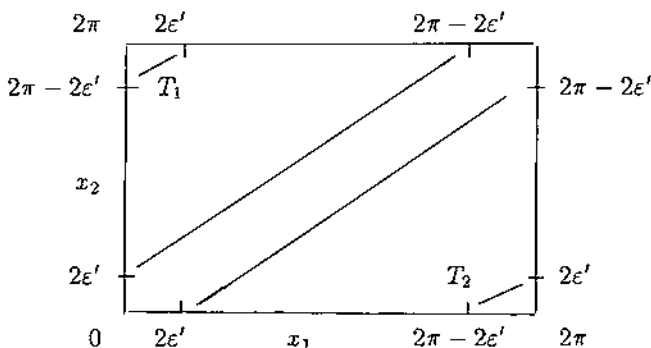


Fig. 4

Now, let us consider a new parameter $\delta > 0$, and define

$$\eta(x_1, x_2, y) = \text{Min} \left[\frac{(p(x_1, y) - \bar{p}(x_2, y))^+}{\delta}, F \right]$$

Using this function and denoting by ∇_1 and ∇_2 the gradient operator for (x_1, y) and (x_2, y) respectively, we have:

Proposition 3.3.

$$(3.4) \quad \int_Q \{ (h^3(x_1)p_{x_1} - h^3(x_2)\bar{p}_{x_2})(\eta_{x_1} + \eta_{x_2}) + (h^3(x_1)p_y - h^3(x_2)\bar{p}_y)\eta_y \} + \\ + \frac{\beta^2 - 1}{\beta^2} \int_Q h^3(x_2)\bar{p}_{x_2}(\eta_{x_1} + \eta_{x_2}) = \int_Q (h(x_1) - h(x_2)\bar{\gamma})(\eta_{x_1} + \eta_{x_2})$$

Proof: For each $x_2 \in (0, 2\pi)$, we have

$$\int_{\Omega_1} h^3(x_1)\nabla_1 p \nabla_1 \eta \, dx_1 \, dy = \int_{\Omega_1} h(x_1)\eta_{x_1} \, dx_1 \, dy = \\ = \int_{\Omega_1} (h(x_1) - h(x_2)\bar{\gamma})\eta_{x_1} \, dx_1 \, dy$$

since $\gamma_1 \equiv 1$ on $\text{supp } \eta(\cdot, x_2, \cdot)$, and $\int_{\Omega_1} h(x_2)\bar{\gamma}\eta_{x_1} = 0$, from the periodicity of η .

By integrating the above equality in the x_2 -variable, we get:

$$\int_Q h^3(x_1)\nabla_1 p \nabla_1 \eta = \int_Q (h(x_1) - h(x_2)\bar{\gamma})\eta_{x_1}$$

and, analogously for \bar{p} :

$$\int_Q h^3(x_2)\nabla_2 \bar{p} \nabla_2 \eta + \frac{1 - \beta^2}{\beta^2} \int_Q h^3(x_2)\bar{p}_{x_2}\eta_{x_2} = \\ = \int_Q (h(x_2)\bar{\gamma} - h(x_1))\eta_{x_2}$$

Subtracting the above equalities, we get:

$$(3.5) \quad \int_Q (h^3(x_1)\nabla_1 p \nabla_1 \eta - h^3(x_2)\nabla_2 \bar{p} \nabla_2 \eta) + \frac{\beta^2 - 1}{\beta^2} \int_Q h^3(x_2)\bar{p}_{x_2}\eta_{x_2} = \\ = \int_Q (h(x_1) - h(x_2)\bar{\gamma})(\eta_{x_1} + \eta_{x_2})$$

Moreover

$$\int_Q h^3(x_2) \bar{p}_{x_2} \eta_{x_1} = \int_Q h^3(x_1) p_{x_1} \eta_{x_2} = 0$$

and introducing this terms in (3.5), we conclude (3.4). ■

Now, we go to consider the new variables (see [A-C], [C-1]):

$$t = \frac{x_1 + x_2}{2}, \quad z = \frac{x_1 - x_2}{2},$$

getting for the function η :

$$\eta(t+z, t-z, y) = \text{Min} \left[\frac{(p(t+z, y) - \bar{p}(t-z, y))^+}{\delta}, \xi(y) \rho_\epsilon(z) \right]$$

and, for derivatives:

$$\begin{aligned} \phi_{x_1} &= \frac{1}{2}(\phi_t + \phi_z), \\ \phi_{x_2} &= \frac{1}{2}(\phi_t - \phi_z), \quad \text{for any } \phi = \phi(x_1, x_2, y) \\ \phi_{x_1} + \phi_{x_2} &= \phi_t \end{aligned}$$

what, in the particular case of $p = p(x_1, y)$ and $\bar{p} = \bar{p}(x_2, y)$, being $p_t(t+z, y) = p_z(t+z, y)$ and $\bar{p}_t(t-z, y) = -\bar{p}_z(t-z, y)$, gives:

$$\begin{aligned} p_{x_1}(x_1, y) &= p_t(t+z, y) \\ \bar{p}_{x_2}(x_2, y) &= \bar{p}_t(t-z, y). \end{aligned}$$

In the new variables, the equation (3.4) becomes:

$$\begin{aligned} (3.6) \quad & \int_{Q_{t,z}} (h^3(t+z) \nabla_{t,y} p(t+z, y) - h^3(t-z) \nabla_{t,y} \bar{p}(t-z, y)) \nabla_{t,y} \eta + \\ & + \frac{\beta^2 - 1}{\beta^2} \int_{Q_{t,z}} h^3(t-z) \bar{p}_t \eta_t = \int_{Q_{t,z}} (h(t+z) - h(t-z) \bar{\gamma}) \eta_t, \end{aligned}$$

where we omite the constant due to the coordinates transformation, and denote by $Q_{t,z}$ the new domain.

If we consider the sets,

$$A_\epsilon^\delta = [(p_1 - p_2)^+ > \delta \xi \rho_\epsilon] \quad B_\epsilon^\delta = [0 < p_1 - p_2 \leq \delta \xi \rho_\epsilon]$$

(in Q or Q_{tz}) and denote:

$$\begin{aligned} I_1 &= \int_{A_\varepsilon^t} (h^3(t+z)\nabla_{ty}p(t+z, y) - h^3(t-z)\nabla_{ty}\bar{p}(t-z, y))\nabla_{ty}(\xi(y)\rho_\varepsilon(z)) = \\ &= \int_{A_\varepsilon^t} (h^3(t+z)p_y(t+z, y) - h^3(t-z)\bar{p}_y(t-z, y))\xi'(y)\rho_\varepsilon(z) \\ I_2 &= \int_{B_\varepsilon^t} (h^3(t+z)\nabla_{ty}p(t+z, y) - h^3(t-z)\nabla_{ty}\bar{p}(t-z, y))\nabla_{ty}\frac{p-\bar{p}}{\delta} \\ I_3 &= \frac{\beta^2-1}{\beta^2} \int_{B_\varepsilon^t} h^3(t-z)\bar{p}_t\frac{(p-\bar{p})_t}{\delta} \\ I_4 &= \int_{B_\varepsilon^t} (h(t+z) - h(t-z)\bar{\gamma})\frac{(p-\bar{p})_t}{\delta}, \end{aligned}$$

we can write (3.6) in the form:

$$(3.7) \quad I_1 + I_2 + I_3 = I_4.$$

For I_4 we have:

Lemma 3.4. ([A])

$$\lim_{\varepsilon \rightarrow 0} \left[\lim_{\delta \rightarrow 0} I_4 \right] = 0.$$

Let us prove now, the following:

Lemma 3.5.

$$(3.8) \quad \lim_{\varepsilon \rightarrow 0} \left[\lim_{\delta \rightarrow 0} I_1 \right] \leq 0$$

Proof:

$$\begin{aligned} I_2 + I_3 &= \int_{B_\varepsilon^t} (h^3(t+z) \left| \nabla_{ty}\frac{p}{\delta} \right|^2 + h^3(t-z) \left| \nabla_{ty}\frac{\bar{p}}{\delta} \right|^2) - \\ &- \int_{B_\varepsilon^t} (h^3(t+z)\nabla_{ty}p\nabla_{ty}\frac{\bar{p}}{\delta} + h^3(t-z)\nabla_{ty}\bar{p}\nabla_{ty}\frac{p}{\delta}) + \\ &+ \frac{\beta^2-1}{\beta^2} \int_{B_\varepsilon^t} h^3(t-z)\bar{p}_t\left(\frac{p}{\delta}\right)_t - \frac{\beta^2-1}{\beta^2} \int_{B_\varepsilon^t} h^3(t-z)\left(\frac{\bar{p}_t}{\delta}\right)^2 \end{aligned}$$

denoted by $J_1 - J_2 + J_3 - J_4$, with the following balance:

$$J_1 - J_4 \geq 0 \text{ because } 0 < \frac{\beta^2-1}{\beta^2} < 1.$$

$|J_3| \leq |J_2|$ and J_2 can be decomposed in two integrals having both of them limit equal to zero, when we pass to the limit first as $\delta \rightarrow 0$ and later as $\varepsilon \rightarrow 0$. (see [A], [A-C]).

From Lemma 3.4 and (3.7) we conclude (3.8). ■

Proof of Theorem 3.1: By Lebesgue Theorem,

$$\begin{aligned} \lim_{\delta \rightarrow 0} I_1 &= \int_{Q_{t,z}} (h^3(t+z) \frac{\partial}{\partial y} p - h^3(t-z) \frac{\partial}{\partial y} \bar{p}) \chi([p > \bar{p}]) \xi'(y) \rho_\varepsilon(z) = \\ &= \int_{Q_{t,z}} h^3(t+z) \frac{\partial}{\partial y} (p - \bar{p}) \chi([p > \bar{p}]) \xi'(y) \rho_\varepsilon(z) + \\ &+ \int_{Q_{t,z}} (h^3(t+z) - h^3(t-z)) \frac{\partial}{\partial y} \bar{p} \chi([p > \bar{p}]) \xi'(y) \rho_\varepsilon(z) \end{aligned}$$

denoted by I_1^1 and I_1^2 respectively.

I_1^2 satisfies

$$\begin{aligned} |I_1^2| &\leq C \int_{Q_{t,z}} |h^3(t+z) - h^3(t-z)| \left\| \frac{\partial}{\partial y} \bar{p} \right\| \rho_\varepsilon(z) \leq \\ &\leq C \left\| \frac{\partial}{\partial y} \bar{p} \right\|_{L^2(Q_{t,z})} \left\{ \int_{Q_{t,z}} |h^3(t+z) - h^3(t-z)|^2 |\rho_\varepsilon(z)|^2 \right\}^{1/2} \leq C' \sqrt{\varepsilon} \end{aligned}$$

because h^3 is Lipschitz continuous and the measure of $\text{supp } \rho_\varepsilon(z)$ is $4\pi\varepsilon$, and then

$$\int_{Q_{t,z}} |h^3(t+z) - h^3(t-z)|^2 |\rho_\varepsilon(z)|^2 \leq \text{cte} \int_{Q_{t,z}} |z|^2 \frac{1}{\varepsilon^2} (\rho_\varepsilon(z/\varepsilon))^2 \leq \text{cte } \varepsilon.$$

From (3.8) we have:

$$\begin{aligned} 0 &\geq \lim_{\varepsilon \rightarrow 0} \left[\lim_{\delta \rightarrow 0} I_1 \right] = \lim_{\varepsilon \rightarrow 0} I_1^1 + \lim_{\varepsilon \rightarrow 0} I_1^2 = \\ &= \lim_{\varepsilon \rightarrow 0} \int_{Q_{t,z}} h^3(t+z) \frac{\partial}{\partial y} [(p - \bar{p})^+] \xi'(y) \rho_\varepsilon(z) = \\ &= - \lim_{\varepsilon \rightarrow 0} \int_{Q_{t,z}} h^3(t+z) (p - \bar{p})^+ \xi''(y) \rho_\varepsilon(z) \end{aligned}$$

but, by a classical argument (see [A]) we can eliminate ε and the z -variable, concluding:

$$(3.9) \quad \int_{\Omega_1} h^3(t) (p(t, y) - \bar{p}(t, y))^+ \xi''(y) dt dy \geq 0$$

Now, setting

$$T(y) = \int_0^{2\pi} h^3(t) (p - \bar{p})^+ dt$$

(3.9) is equivalent to:

$$\left\langle \frac{d^2 T}{dy^2}, \xi \right\rangle_{\mathcal{D}'(y_0, y_1) \times \mathcal{D}(y_0, y_1)} \geq 0$$

and we have that the distribution T satisfies:

$$\frac{d^2 T}{dt^2} \geq 0.$$

$$T(0) = T(1) = 0 \quad \text{due to (3.3).}$$

Hence, by the maximum principle, we conclude

$$\int_0^{2\pi} h^3(t)(p - \bar{p})^+ dt \leq 0$$

and then

$$p \leq \bar{p} \quad \text{in } \Omega_1$$

That is,

$$p(x, y) \leq \beta^2 p(x, \bar{y}) = \beta^2 p(x, y_1 - \frac{1}{\beta}(y - y_1))$$

and the proof ends. ■

When $y_1 \leq y_m$ (the point of a maximum for $\bar{v}(y)$), we can obtain the same result with $\beta = 1$. We introduce two cases:

If $1/2 < y_1 \leq y_m$, we make $y_0 = 2y_1 - 1$, $\Omega_1 = (0, 2\pi) \times (y_0, y_1)$, $\Omega_2 = (0, 2\pi) \times (y_1, 1)$ and $z = 2y_1 - y$.

If $y_1 \leq 1/2$, we make $y_0 = 0$, $\Omega_1 = (0, 2\pi) \times (0, y_1)$, $\Omega_2 = (0, 2\pi) \times (y_1, 2y_1)$ and $z = 2y_1 - y$ (see Fig. 5 and 6).

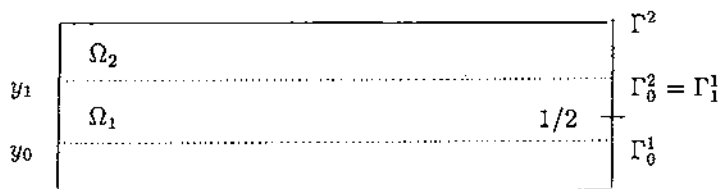


Fig. 5

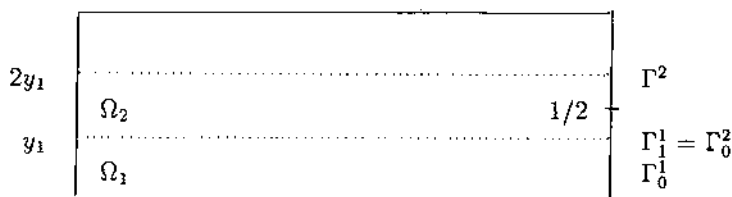


Fig. 6

For both cases $\beta = 1, p|_{\Gamma_0^1} \leq p|_{\Gamma_1^2}$ and we can conclude as in Theorem 3.1:

Corollary 3.6.

If (p, γ) is the solution of Problem (P), then $p(x, \cdot)$ is a monotone increasing function on $[0, y_m]$.

Proof: Let $y^1, y^2 \in [0, y_m]$ and such that $y^1 < y^2$; taking $y_1 = \frac{y^1 + y^2}{2}$, we have $y^2 = 2y_1 - y^1$, and applying Theorem 3.1, we conclude

$$p(x, y^1) \leq p(x, y^2).$$

Corollary 3.7.

Let (x, z) be such that $p(x, z) = 0$; then $p(x, y) = 0$ for any $y \in [0, z]$.

Proof: By the above Corollary we must only to prove that $p(x, y) = 0$ in $[y_m, z]$ when $z > y_m$.

For $y \in [y_m, z]$, we take $y_1 \in (y, z)$ such that $y - y_1 = -\frac{y_1 - y_0}{1 - y_1}(z - y_1)$ (see Fig. 7), which is equivalent to $z = y_1 - \frac{1}{\beta}(y - y_1)$ with $\beta = \frac{y_1 - y_0}{1 - y_1} > 1$.

Applying Theorem 3.1, we conclude:

$p(x, y) \leq \beta^2 p(x, z) = 0$ for any $y \in [y_m, z]$, and hence $p(x, y) = 0$ for any $y \in [0, z]$. ■

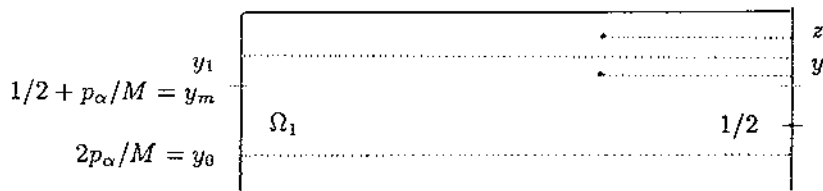


Fig. 7

Remark. Corollary 3.7 states that the free-boundary does not have horizontal oscillations.

4. Behaviour of γ in the x -variable

We go to study some properties of γ with geometrical consequences on the free-boundary, when $x \in (0, \pi)$.

Theorem 4.1.

Let (p, γ) be the solution of Problem (P), and let χ be the characteristic function of the set $[p > 0]$; then,

$$(4.1) \quad (h\gamma)_x - h'\chi \geq 0 \quad \text{in } \mathcal{D}'(\Omega).$$

Proof: Let $\phi \in \mathcal{D}(\Omega)$ with $\phi \geq 0$, and for $\varepsilon > 0$ let us consider the test function $\xi = \min(\varepsilon\phi, p)$; we have:

$$\int_{\Omega} h^3 \nabla p \nabla \xi = \int_{[p < \varepsilon\phi]} h^3 |\nabla p|^2 + \varepsilon \int_{[p \geq \varepsilon\phi]} h^3 \nabla p \nabla \phi = \int_{\Omega} h \xi_x = - \int_{\Omega} h' \xi$$

since $\gamma = 1$ on the support of ξ . Then

$$\int_{[p \geq \varepsilon\phi]} h^3 \nabla p \nabla \phi + \int_{\Omega} h' \min(\phi, p/\varepsilon) = -1/\varepsilon \int_{[p < \varepsilon\phi]} h^3 |\nabla p|^2 \leq 0;$$

letting $\varepsilon \rightarrow 0$ and using the Lebesgue Theorem, we obtain:

$$\int_{\Omega} h^3 \nabla p \nabla \phi + \int_{\Omega} h' \chi \phi \leq 0$$

but

$$\int_{\Omega} h^3 \nabla p \nabla \phi = \int_{\Omega} h \gamma \phi_x$$

concluding that

$$\int_{\Omega} h \gamma \phi_x + \int_{\Omega} h' \chi \phi \leq 0 \quad \forall \phi \in \mathcal{D}^+(\Omega),$$

which equivales to

$$(h'\chi - (h\gamma)_x, \phi)_{\mathcal{D}'(\Omega) \times \mathcal{D}(\Omega)} \leq 0 \quad \forall \phi \in \mathcal{D}^+(\Omega).$$

and, hence

$$h'\chi - (h\gamma)_x \leq 0 \quad \text{in } \mathcal{D}'(\Omega).$$

Corollary 4.2.

$$(4.2) \quad \gamma_x \geq 0 \quad \text{in } \mathcal{D}'((0, \pi) \times (0, 1)).$$

$$(4.3) \quad (h\gamma)_x \geq 0 \quad \text{in } \mathcal{D}'((\pi, 2\pi) \times (0, 1)).$$

Proof: As $h' > 0$ in $(\pi, 2\pi)$ and from (4.1) we deduce that

$$(h\gamma)_x \geq h'\chi \geq 0 \quad \text{in } \mathcal{D}'((\pi, 2\pi) \times (0, 1)).$$

In $(0, \pi)$:

$$\begin{aligned} h'\chi - (h\gamma)_x &= h'\chi - h'\gamma - h\gamma_x = h'(\chi - \gamma) - h\gamma_x \leq 0 \\ h' &< 0 \\ \chi - \gamma &\leq 0 \end{aligned}$$

so that,

$$\gamma_x \geq \frac{h'(\chi - \gamma)}{h} \geq 0 \quad \text{in } \mathcal{D}'((0, \pi) \times (0, 1))$$

Corollary 4.3.

If $p(x_0, y_0) > 0$ for some $x_0 < \pi$, then there exists $\epsilon > 0$ such that $p > 0$ on the set $C_\epsilon = (x_0 - \epsilon, \pi) \times (y_0 - \epsilon, y_0 + \epsilon)$.

Proof: From the continuity of p , there exist $Q_\epsilon = (x_0 - \epsilon, x_0 + \epsilon) \times (y_0 - \epsilon, y_0 + \epsilon)$ such that $p > 0$ in Q_ϵ (see Fig. 8) and $\gamma = 1$ a.e. in Q_ϵ . Like $\gamma_x \geq 0$ we get $\gamma = 1$ a.e. in C_ϵ .

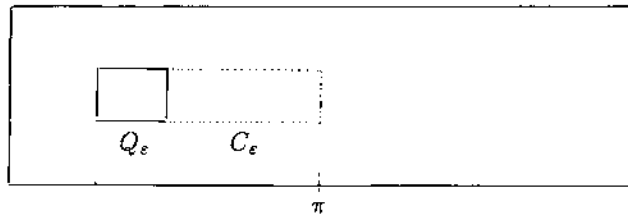


Fig. 8

Now, for $\phi \in C_0^\infty(C_\epsilon)$ we have

$$\int_{C_\epsilon} h^3 \nabla p \nabla \phi = \int_{C_\epsilon} h \phi_x$$

and, hence

$$\operatorname{div} h^3 \nabla p = h' < 0 \quad \text{in } \mathcal{D}'(C_\epsilon).$$

Using the strong minimum principle, p can not attain the minimum value zero in C_ϵ and hence

$$p > 0 \quad \text{in } C_\epsilon.$$

Remark. As a consequence of this Corollary the free-boundary can not have vertical oscillations in the interval $(0, \pi)$.

Taking account the Corollary 3.7, we conclude that the free-boundary is a monotone decreasing graph $-y = \Gamma(x)$ in the interval $(0, \pi)$ (see Fig. 9).

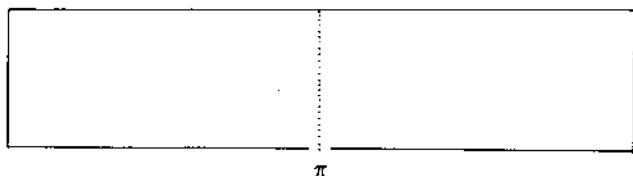


Fig. 9

Theorem 4.4.

If (p, γ) is the solution of Problem (P), then p satisfies:

$$\int_0^{2\pi} h^3(x)p(x, y)dx = p_\alpha y \int_0^{2\pi} h^3(x)dx$$

Proof: For $\phi(y) \in C_0^\infty(0, 1)$ we have

$$\int_\Omega h^3 \nabla p \nabla \phi = \int_\Omega h^3 p_y \phi' = 0$$

Integrating by parts and introducing the function

$$F(y) = \int_0^{2\pi} h^3(x)p(x, y)dx$$

we have

$$\left\langle \frac{d^2 F}{dy^2}, \phi \right\rangle_{\mathcal{D}'(0,1) \times \mathcal{D}(0,1)} = 0$$

and hence

$$\frac{d^2 F}{dy^2} = 0 \quad \text{in } \mathcal{D}'(0, 1)$$

but, $F(0) = 0$ and $F(1) = p_\alpha \int_0^{2\pi} h^3$, so we conclude

$$F(y) = p_\alpha y \int_0^{2\pi} h^3(x)dx.$$

Corollary 4.5.

Given $y \in (0, 1)$ there exist a region of positive measure in $(0, 2\pi)$ where $p > 0$.

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