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### **On-farm diversity offsets environmental pressures in tropical agroecosystems: A synthetic review for cassava-based systems**

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20 **On-farm diversity offsets environmental pressures in tropical agro-**  
21 **ecosystems: a synthetic review for cassava-based systems**

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## 31 **Abstract**

32 Ecosystem integrity is at risk across the tropics. In the quest to meet global dietary and market  
33 demands, tropical agro-ecosystems face unrelenting agricultural intensification and expansion.  
34 Agro-biodiversity can improve ecosystem stability and functioning, but its promotion in  
35 smallholder-based systems faces numerous practical hurdles. In the tropics, cassava (*Manihot*  
36 *esculenta* Crantz) is cultivated on over 25 million hectares and features as the third most  
37 important source of calories. Cassava crops are often maintained by resource-poor farmers who  
38 operate on marginal lands, at the fringes of sensitive, biodiverse habitats. As traditional  
39 intercropping schemes are gradually abandoned, monoculture cassava systems face stagnating  
40 yields, resource-use inefficiencies and agro-ecosystem degradation. A global literature search  
41 identified 189 cassava intercropping studies, covering 330 separate instances of intercropping  
42 systems. We employed a vote-counting approach and simple comparative measure across a  
43 subset of 95 studies to document the extent to which intercropping sustains a bundle of  
44 ecosystem services. Across geographies and biophysical conditions, a broad range of intercrops  
45 provided largely positive effects on five key ecosystem services: pest suppression, disease  
46 control, land equivalency ratio (LER), and soil and water-related services. Ecosystem services  
47 were augmented through the addition of a diverse range of companion crops. Results indicated  
48 25 positive impacts vs. 3 negative impacts with the addition of maize, 5 vs. 1 with gramineous  
49 crops, 23 vs. 3 with four species of grain legumes, and 9 vs. 0 with trees. Appropriate  
50 intercropping systems can help to strike a balance between farm-level productivity, crop  
51 resilience, and environmental health. Our work highlights an urgent need for interdisciplinary  
52 research and systems-level approaches to identify intensification scenarios in which crop

53 productivity, provision of ecosystem services, biodiversity conservation, and human well-being  
54 are all balanced.

55 **Keywords:** Food security; land-sharing; sustainable intensification; crop diversification;  
56 ecosystem services

57

## 58 **1. Agricultural expansion puts tropical ecosystems at risk**

59 Rapid population growth, shifting consumption patterns, and resource competition are  
60 increasing pressure on the world's agricultural systems and non-arable land (Godfray *et al.*,  
61 2010). Contemporary agricultural trends have dramatically shifted farming practices, promoted  
62 rapid expansion of agricultural lands, and triggered global environmental changes that risk  
63 destabilizing whole ecosystems (Foley *et al.*, 2011). With agro-ecosystems covering 37.5 % of  
64 global national land surfaces in 2014 (FAOSTAT, 2016), environmental impacts linked to farm-  
65 level management decisions are substantial, and are expected to be exceptionally pronounced in  
66 tropical terrestrial ecosystems (Laurance *et al.*, 2014).

67 The pursuit of increased production through both area expansion and farming intensification  
68 has resulted in an increase of agricultural areas in the tropics of >100 million ha in the 1980-90s,  
69 occurring largely at the expense of intact or disturbed forests (Gibbs *et al.*, 2010). The limits to  
70 this expansion have simultaneously driven a need to increase productivity on limited land,  
71 sparking research into the causes of sub-optimal yields and the potential for 'yield gap closure'  
72 (van Ittersum *et al.*, 2016; Sayer and Cassman, 2013). Farmers often respond to the need for  
73 increased productivity with intensification measures, many of which have negative

74 environmental impacts at field, farm, and agro-landscape levels (e.g., Emmerson et al., 2016).  
75 Irrational pesticide and fertilizer use and extractive management are commonplace, leading to  
76 soil and water resource degradation in many parts of the tropics (Godfray *et al.*, 2010), while  
77 exacerbating biotic and abiotic production constraints in both intensified and low-input farming  
78 systems (Poppy *et al.*, 2010).

79 Millions of smallholder farmers eke out a living by continuously cropping in such settings,  
80 which are characterized by shrinking natural resource bases and degraded agro-ecosystem  
81 functioning (Bai *et al.*, 2008; Barbier, 1997; Bossio *et al.*, 2010). Though they constitute the  
82 backbone of global food security, many of the world's smallholders continue to live in poverty,  
83 cultivate marginal lands, and operate on the fringes of sensitive, biodiverse habitats (Tschardtke  
84 *et al.*, 2010).

85 In this paper we explore how field-level diversification fosters the provision of multiple key  
86 ecosystem services (i.e., soil and water conservation, pest regulation and disease control, and  
87 land equivalency ratio) in a major tropical and subtropical food crop. More specifically, we  
88 examine the example of intercropping in cassava-based systems through an ecosystem services  
89 lens. We provide information on recent trends in cassava cultivation globally, and subsequently  
90 discuss associated environmental impacts. Next, we systematically review the literature on  
91 intercropping practices in cassava-based systems, present its impacts on multiple ecosystem  
92 services, and discuss further implications of these findings for cassava-based farming systems  
93 across the tropics.

## 94        **2. Cassava: an adaptable ‘survivor’ crop**

95        Cassava (*Manihot esculenta* Crantz) production has increased greatly in the past 50 years (Fig.  
96        1b). This starchy, tuberous staple is now cultivated on ~ 25 million ha throughout the global  
97        tropics (FAOSTAT, 2016). Originating in the Neotropics (Olsen and Schaal, 1999), cassava is  
98        now an important food in sub-Saharan Africa and South America, while in mainland Southeast  
99        Asia it is predominantly a cash crop. Cassava is the largest calorie producer among roots and  
100       tubers, making it a critical crop in resource-poor farming settings across the global tropics (Fig.  
101       1b). Cassava is highly adaptable to variable conditions, being grown in a wide range of agro-  
102       ecological settings: from Africa’s arid Sahel and the cool highlands of Zambia to Colombia’s  
103       Andean lowlands and the limestone uplands of Laos and Vietnam. A perennial woody plant  
104       primarily managed as an annual, cassava is cultivated for its starchy roots used as human food,  
105       animal feed, a source of industrial starch, and a biomass energy feedstock (Zhou and Thomson,  
106       2009; von Maltitz *et al.*, 2009).

107       A hardy ‘survivor’ crop, cassava thrives in degraded settings, under low soil fertility, at high  
108       temperatures, and can withstand periodic droughts (El-Sharkawy, 2014). Cassava is highly  
109       resilient and adaptable in the face of ongoing climatic changes, providing options for adaptation  
110       in challenging environments (Jarvis *et al.*, 2012). Cassava’s ability to grow on poor soils, under  
111       sub-optimal climatic conditions, and to provide the advantage of flexible harvest timing, make it  
112       the crop of ‘last resort’ across the tropics (Hillocks *et al.*, 2001) and earn it the moniker ‘the  
113       drought, war, and famine crop’ (Burns *et al.*, 2010).

114       Because intermediate yields are often attainable even in poor conditions, for example ~ 14T/ha  
115       on East and Southern African smallholder farms (Tittonell and Giller, 2013), cassava is often  
116       cultivated in monocultures, without proper addition of fertilizer or organic amendments, with

117 complete abandonment of rotation schemes, and using low quality planting material. Cassava  
118 enjoys a theoretical yield potential (defined as the yield of a crop grown in the absence of biotic  
119 constraints, and with non-limiting water and nutrients) approaching 90 T/ha (Cock *et al.*, 1979;  
120 van Ittersum *et al.*, 2013). Despite this, average yields in the tropics remain low, and are  
121 increasing only slowly (El-Sharkawy, 2012; Tiftonell and Giller, 2013). Farm yields throughout  
122 Africa as a whole average 10 T/ha, far below both the 15-40 T/ha obtained in local on-farm trials  
123 in the same agro-ecozones (Fermont *et al.*, 2009), or the average yield of 20.7 T/ha in Southeast  
124 Asia (FAOSTAT, 2016). Although substantial yield gains are predicted when farmers adopt the  
125 use of pre-emergence herbicides or appropriate soil amendments (Howeler, 2015; Fermont *et al.*,  
126 2009), it is likely that strategic use of low-input technologies can equally achieve significant  
127 progress toward these goals while safeguarding food security, improving farmer livelihoods, and  
128 off-setting a range of environmental impacts (Pypers *et al.*, 2011; Fermont *et al.*, 2009). Given  
129 the large scale of cassava's cropping, its ubiquity in sensitive tropical environments, and its  
130 importance to impoverished smallholders with limited options for investment in agricultural  
131 inputs and technologies, the environmental impacts of its cultivation is a topic that demands  
132 serious consideration.

### 133 **3. Environmental impacts**

134 Long thought to be largely environmentally benign due to the ability to produce acceptable  
135 yields on marginal soils with minimal external inputs, studies now demonstrate that improperly  
136 managed cassava can contribute to cycles of environmental degradation that ultimately threaten  
137 the sustainability of crop production (Reynolds *et al.*, 2015). Crop area expansion and

138 unsustainable farming practices influence these outcomes, though patterns of variability with  
139 socio-economic factors, geography, and ecosystem composition are poorly understood.

140 Cassava has gained the reputation of promoting soil erosion due to its erect architecture, poor  
141 canopy cover in the early growing season coinciding with heaviest rains, soil-disturbing harvest  
142 scheme, and ability to continue producing despite mismanagement of degraded soils (Moench,  
143 1991; Valentin *et al.*, 2008). However, those factors do not appear to be the intrinsic cause of  
144 cassava's environmental impacts. Cassava is commonly grown on erodible hillsides, drought-  
145 prone areas or acidic soils, and recently deforested land, generating negative impacts directly  
146 related to overall land use and improper management (Reynolds *et al.*, 2015). Soil exhaustion,  
147 fertility depletion, and topsoil loss challenge cassava production (and indeed that of all crops)  
148 across the tropics (Fermont *et al.*, 2009; De Vries *et al.*, 2010; Waddington *et al.*, 2010; Clement  
149 and Amezaga, 2008; Valentin *et al.*, 2008). When inadequately managed, soil biological function  
150 and plant immune responses decline, and crops become increasingly prone to arthropod pests and  
151 plant diseases (Graziosi *et al.*, 2016; Vurro *et al.*, 2010). These problems are rarely evaluated  
152 comprehensively, and symptomatic treatments are often pursued without tackling the underlying  
153 drivers or exploring the complex interplay between contributing factors.

154 In the period following the Columbian exchange (see Crosby, 1972), cassava spread far beyond  
155 its native range in the Americas to become an important component of agroecosystems across the  
156 global tropics. Since the 1980s, notable increases in cassava area have occurred in key  
157 production zones including Nigeria, Cambodia, and Vietnam. In West Africa, continuing  
158 agricultural expansion is leading to forest loss and degradation, with cassava cropping identified  
159 as one of several drivers (Norris *et al.*, 2010). In Cambodia, booming demand for export cassava  
160 has contributed to deforestation in upland areas, alongside other cash crops, such as rubber



161 (Hought *et al.*, 2012; NEPCon, 2014). Land clearance for cassava cultivation has also occurred  
162 in Latin America, but effects on local biodiversity remain poorly documented (Howeler *et al.*,  
163 2000).

164 As it is grown with few interventions during a long growing cycle (8-12 months), cassava may  
165 provide stable habitat conditions for diverse biota. However, cassava monocultures sustain lower  
166 biodiversity than certain agricultural and agro-forestry habitats, or natural areas (Francesconi *et*  
167 *al.*, 2013). As in examples from across multiple production systems and settings (Tews *et al.*,  
168 2004), the ability of cassava-based systems to sustain biodiversity may improve with  
169 management regimes that enhance habitat heterogeneity, which can improve and sustain  
170 biodiversity at a field, farm, and landscape level (Benton *et al.*, 2003). One of the most well-  
171 known ways to increase agrobiodiversity is through the practice of intercropping.

#### 172 **4. Intercropping as a traditional solution to restore ecosystem degradation**

173 In the Amazonian center of origin, traditional societies grow dozens of cassava varieties on  
174 small plots, interspersed with other food, fiber, or cash crops (McKey *et al.*, 2001). This  
175 approach contrasts with the market-driven, large-scale, and genetically uniform systems  
176 promoted by industrial agriculture models. Highly diverse farming, including intercropping,  
177 prevailed in the tropics prior to the introduction of modern, globalized markets and high intensity  
178 production schemes (Hulugalle and Ezumah, 1991; Wargiono *et al.*, 2000), but currently risk  
179 being discarded in favor of increasingly uniform cropping systems (Gianessi, 2013). Despite the  
180 appeal of monoculture production systems, pockets of smallholder farmers in various parts of the  
181 world still maintain diversified cassava systems (de Carvalho *et al.*, 2009).

182 As cassava cropping systems shift towards simplified management regimes, they increasingly  
183 require interventions to build in resilience and safeguard ecosystem functioning. Intercropping is  
184 the production of two or more crops in the same field at the same time, augmenting structural  
185 complexity and diversity (Andrews and Kassam, 1976). The introduction of a second crop can  
186 take many forms, with spatial designs that are additive, substitutive, or a combination of both.  
187 Additive designs maintain the same spatial arrangement as in monoculture, but add an intercrop  
188 species for all or part of the production cycle. Substitutive designs entail the removal of  
189 individual plants or rows of plants and replacement with the intercrop species. While  
190 intercropping persists in subsistence or low-input, resource-limited farming systems, it is  
191 commonly under-valued (Altieri, 2004). Similarly, there exists as yet unexploited potential to  
192 pursue the development of intercropping strategies tailored specifically to highly-intensified  
193 cropping systems (Andrade *et al.*, 2012).

194 Diversification tactics in general, and inter-cropping specifically, are known to enhance overall  
195 system productivity, while augmenting stability, resilience, and ecological sustainability  
196 (Vandermeer, 1989; Nicholls and Altieri, 2004; Letourneau *et al.*, 2011; Lin, 2011).  
197 Intercropping may also be effective in improving water infiltration and storage, increasing  
198 carbon sequestration, reducing soil erosion, and contributing to ecological pest, weed, and  
199 disease management (Brooker *et al.*, 2015; Bedoussac *et al.*, 2015). Recent research suggests that  
200 on-farm diversification supports an array of provisioning and regulating ecosystem services,  
201 especially within tropical terrestrial systems (Kremen and Miles, 2012; Oliver *et al.*, 2015;  
202 Lundgren and Fausti, 2015). Although intercropping may contribute to solutions for some of the  
203 most pressing issues in global agriculture and biodiversity conservation, quantitative syntheses of  
204 the existing research are lacking (Kremen and Miles, 2012).

205 Syntheses focusing on individual intercrops are relatively uncommon (Malézieux *et al.*, 2009),  
206 with examples including legumes as intercrops within cereals (including soft wheat, durum  
207 wheat and barley; Bedoussac *et al.*, 2015) and maize (Sileshi *et al.*, 2008). Mutsaers *et al.* (1993)  
208 conducted a comprehensive review of intercropping practices for cassava, focusing largely on  
209 productivity measures, but including several observations on the provision of other ecosystem  
210 services; in particular reduction of weeds and erosion through increased canopy cover. The  
211 authors noted importantly that the bulk of cassava research (including global breeding efforts)  
212 focus nearly exclusively on monoculture settings. Building on the observations of authors like  
213 Mutsaers *et al.* (1993), we apply the lens of ecosystems services specifically to cassava  
214 intercropping systems. In this study we a) carry out a global literature synthesis, across systems,  
215 components, management strategies, agro-ecozones, and field-level bio-physical conditions, b)  
216 evaluate a broad range of provisioning and regulating ecosystem services, and c) employ the  
217 formative concept of ecosystem bundles (Bennett *et al.*, 2009) to evaluate trade-offs and  
218 synergies for specific crop associations. Ecosystem service bundles provide a valuable tool for  
219 simultaneously evaluating the interactions of multiple ecosystem services in different settings,  
220 allowing for the detection of trends or patterns of interaction between services (Bennett *et al.*,  
221 2009; Rausepp-Hearne, 2010). By grouping the effects on ecosystem services of production  
222 systems with different intercrop components, we take a broad view of the trends in ecosystem  
223 services in cassava intercropping systems.

## 224 **5. Literature review and analysis**

225 A literature review was employed to evaluate cassava intercropping, to assess the existing  
226 evidence for the impacts of intercropping on ecosystem services in cassava production systems,

227 and to extract and compare findings on the functioning of these services in contrasting  
228 intercropping and monoculture settings. The cassava intercropping literature covers a wide array  
229 of systems and geographies, with experiments at differing spatial scales, temporal durations, and  
230 levels of scientific rigor. Literature was obtained in July 2015 by searching Web of Science using  
231 the keywords ‘cassava’ OR ‘*Manihot esculenta*’ AND ‘intercrop’ OR ‘polyculture’. Relevant  
232 studies were selected in which a) cassava was a focal crop, b) intercropping occurred with both  
233 spatial and temporal overlap, c) publication occurred in a peer-reviewed journal or in reports of  
234 established research centers. The resulting literature was augmented by references cited in the  
235 primary literature.

236 A total of 189 references were found (complete list available in online supporting material), of  
237 which 170 investigated intercropping for one or more ecosystem services response variables; the  
238 remainder being reviews not specific to cassava or reports of intercropping with no experimental  
239 data. A total of 20 studies were reviews of intercropping theory or mechanisms not attached to  
240 any geographic location. Publications covered the 1975-2015 time period and originated from 27  
241 countries. Overall 63 % of cases evaluated intercropping systems with only 2 components, a  
242 further 26 % evaluated three component systems, and the remainder investigated various more  
243 complex arrangements. In 62 % of cases the intercropping system included a legume. Only 17 %  
244 of experiments combined cassava with perennial species alone, while a further 21 % of  
245 combinations included both a perennial and an annual, and the remainder with only annual  
246 species. Of the 330 species combinations across these studies, 122 included maize (*Zea mays* L.),  
247 52 included cowpea (*Vigna unguiculata* L. Walp), 48 included trees, 38 included peanut (*Arachis*  
248 *hypogaea* L.), and 36 included grass/forages. Other intercrops, including rice (*Oryza* sp.),  
249 soybean (*Glycine max* L. Merrill), and variety mixtures, were less frequently mentioned.

250 Intercropping schemes with annual crops generally took advantage of the initial space provided  
251 by the establishment of the relatively long-duration cassava crop. Studies were classified  
252 according to type of ecosystem service (TEEB, 2010) evaluated (provisioning, regulating,  
253 cultural) (Fig. 2).

254 For further analysis of the effects on ecosystem services, a subset of papers was selected for  
255 the inclusion of an appropriate cassava monoculture control, robust methods and description of  
256 data, examination of land productivity expressed as land equivalent ratio (LER) or area-time  
257 equivalent ratio (ATER), soil services (soil cover, erosion, changes in content of N,P,K, organic  
258 matter, or earthworm activity), water services (infiltration, runoff, soil moisture content), and  
259 pest regulation or disease control. Only 95 studies met all of the above criteria (for information  
260 about their geographic origin see Fig. 1c). We analyzed these studies using vote-counting and  
261 synthesized multiple independent studies by summing the numbers of (statistically significant)  
262 positive and negative effects. More statistically powerful syntheses based on weighted  
263 combination of effects are recognized, but quality and applicability of meta-analysis in  
264 agronomy has often been questioned (Philibert *et al.*, 2012), and its application to intercropping  
265 issues to date remains scarce (Brooker *et al.*, 2015). Robust analysis of ecosystem services in  
266 intercropping systems (based on historical published results) will require greater understanding  
267 of trends in research and findings, in order to guide the formulation of analytical methods and  
268 approaches specific to this application. Considering the lack of directly comparable measures for  
269 many of the ecosystem services reported and the absence of recent systematic reviews on this  
270 topic, the authors selected vote-counting as a first measure for compiling an overview of the  
271 existing research (Cooper, 1998). Vote-counting is a coarse method of evaluation that does not  
272 attempt to generate composite effect sizes, but does permit making comparisons across a wide

273 range of indicators and variables. For studies in which data were solely presented in graphical  
274 form, data were extracted using WebPlotDigitizer software (Rohatgi, 2011). Due to the common  
275 practice of reporting multiple separate experiments in a single journal article, data was extracted  
276 from each ‘experiment’. In cases where the intercropping arrangement was kept constant but  
277 another variable varied (for example, fertilizer application rate or management scheme), the  
278 range is represented by horizontal bars. For cases in which a single journal article presented  
279 results from completely separate experiments, these were represented as separate points. For  
280 multi-year studies, values were averaged over the whole study period. Non-significant results  
281 appear on the bisector. For vote-counting only the directionality of results was considered, with  
282 differentiation between 4 categories: benefit, dis-benefit, mixed, and no effect. Studies in which  
283 no statistically-significant effects of intercropping were reported were catalogued under the ‘no  
284 effect’ category, while those with significant yet inconsistent effects (e.g., between years,  
285 locations, climatic conditions, soil types) were listed as ‘mixed effect’.

## 286 **6. Ecosystem service bundles in diversified systems**

### 287 **6.1. Land use efficiency: LER and ATER**

288 A large share of intercropping studies covered provisioning services (Fig. 2). As proxies for  
289 land productivity we used two well-established measures: LER and ATER. LER is a common  
290 yardstick for measuring relative land use and is widely employed in intercropping (Bedoussac  
291 and Justes, 2011), calculated as a ratio of the relative land area required when growing sole crops  
292 to produce the yield from an intercrop (Willey and Osiru, 1972). Due to the prolonged growth  
293 period of cassava, the disparity between cultivation cycles of component crops can lead to an  
294 overestimation of intercropping advantage (Hiebsch and McCollum, 1987; Fukai, 1993). Hence,

295 an alternative measure, ATER, which calculates the sum of the relative yields of the intercrop  
296 components corrected for the differences in duration of growing period, may be more appropriate  
297 (Hiebsch and McCollum, 1987; Fukai, 1993; Mutsaers *et al.*, 1993). Despite this, LER remains a  
298 more often reported metric in intercropping studies (Bedoussac and Justes, 2011), and for this  
299 reason was the focus of our literature review. Overall, 43 and 17 measures of LER and ATER  
300 were reported in 30 and 7 studies, respectively.

301 A positive relationship (represented by a ratio above 1) was found between intercropping and  
302 overall system productivity, with an overall range from 0.79 – 1.84. LER measures were above  
303 parity in nearly all cases (37/43), with consistent over-yielding observed in a number of species  
304 combinations (Fig. 3). One notable exception is pigeonpea (*Cajanus cajan*), for which average  
305 LER values below 1 were recorded. Maize and bean-based systems performed particularly well  
306 due to their relatively short growing seasons, while peanut and rice-based systems give mixed  
307 results and are therefore inconclusive. Within a given intercrop system, substantial variation was  
308 observed due to environment, varieties, treatments and management practices. ATER measures  
309 were also at or above parity in 13/17 reported cases (not shown).

310 LER and ATER do not reflect economic yield. If couched in a system in which cassava is  
311 much more valuable on the market than its intercrop, even a small yield penalty may reduce  
312 overall profits. In the majority of cases cassava root yield was depressed by intercropping, but  
313 intercrop production was able to compensate for these losses. Similar findings have been  
314 previously reported for intercropping in a set of different systems and geographies (e.g., Ngwira  
315 *et al.*, 2012). Some systems (particularly those not bound by the onset of a rainy season, which  
316 can encourage root rot) may also present opportunities for cassava to remain in the field after  
317 intercrop harvest, making up for yield losses incurred by early intercrop competition (Tsay *et al.*,

318 1988) and possibly benefiting from higher off-peak root prices. This may be increasingly feasible  
319 for smallholders gaining early income from harvest of an intercrop.

## 320 **6.2. Pest and disease suppression**

321 Plants grown in association regularly benefit from reduced arthropod pest pressure and  
322 stronger immune responses through so-called associational resistance mechanisms (Barbosa *et*  
323 *al.*, 2009; Letourneau *et al.*, 2011). Particular plant associations experience a reduced likelihood  
324 of detection or vulnerability to herbivores, as affected by a plethora of biotic and abiotic factors.  
325 Not only can plant associations enhance abundance or activity patterns of natural enemies, thus  
326 benefiting biological control (e.g., Khan *et al.*, 1997), but they can also directly regulate pest  
327 densities (e.g., Ben Issa *et al.*, 2016). These benefits are further amplified at larger scales, in  
328 which inter- and intraspecific diversity at field or farm level can contribute to a substantial  
329 lowering of pest populations and disease incidence (Boudreau, 2013; Lundgren and Fausti, 2015;  
330 Gurr *et al.*, 2016).

331 In our global review, 63 % (n=15/24) of experiments reported a decrease in pest indicators  
332 within intercropped systems (Fig. 4). Across whitefly species the average population change with  
333 intercropping was a reduction of 27 %, while in mealybugs the average was a reduction of 37 %.  
334 We combined metrics that reflect pest pressure, including abundance ratios of various  
335 developmental stages or feeding damage ratings. Pests of global relevance, such as mealybugs,  
336 mites, and whitefly, were affected to varying extents by intercropping. Whitefly and mealybugs  
337 experienced population reductions in 73 % and 60 % of cases (n= 11/15 and 3/5), respectively.  
338 The effect of intercrops on herbivorous mites was solely studied for the invasive green mite,  
339 *Mononychellus tanajoa* in Africa, reporting slightly lowered (average -5 %) pest populations;  
340 however in 3/5 cases no effect was found. Whether the observed population reductions translate



341 into economic gains is under-investigated. Few authors have hypothesized about the mechanistic  
342 basis for this reduced vulnerability to pests, and the relative contribution of abiotic or biotic  
343 factors (including natural enemies) has not been thoroughly assessed (but see Gold *et al.*, 1989,  
344 1990). In this review we focused on pest populations, for which the available data are more  
345 robust; in the few studies which attempted to evaluate higher-order interactions with predators or  
346 parasitoids (Gold *et al.*, 1989; Toko *et al.*, 1996; Schulthess *et al.*, 2004; Onzo *et al.*, 2014),  
347 mixed and inconsistent results were reported (not shown). Although past work has failed to adopt  
348 holistic, community-level perspectives (e.g., Memmott, 2009; Wood *et al.* 2015; Wyckhuys *et*  
349 *al.*, 2017a), our findings suggest that further research is required into the myriad ways in which  
350 diversification can enhance crop resilience to pest attack.

351 The impact of intercropping on arthropod pest suppression has direct implications for  
352 incidence, virulence and spread of insect-vectorized diseases, such as cassava mosaic disease  
353 (CMD). Transmitted by different species of whitefly, cassava geminiviruses are debilitating  
354 pathogens of cassava worldwide and cause important productivity losses in Africa and South  
355 Asia. We noted a consistently beneficial effect of intercropping on CMD, with all five cases  
356 reporting a 10-40 % reduction in disease incidence in diversified plots (data not shown)  
357 (Agbobli, 1987; Fondong *et al.*, 1982; Night *et al.*, 2011). Addition of an intercrop affects  
358 pathogen-host-vector interactions through changes in plant morphology and system complexity,  
359 resulting in behavioral modification of the insect vector, and subsequent changes in temporal and  
360 spatial aspects of disease spread (Fondong *et al.*, 2002; Night *et al.*, 2011). Similar trends were  
361 observed for non-viral diseases, such as cassava bacterial blight (n= 2/3 studies; data not shown).  
362 As plant pathogens are propagated by wind, rainfall, or soil, an added intercrop can alter  
363 bacterial disease dynamics by impeding infection, disease development or dispersal (Gurr *et al.*,

364 2016). Analysis of these encouraging results should be tempered by the limited attention the  
365 subject has received in cassava, and the likely effects of variable management, genetic, and  
366 abiotic factors or the interplay with resident pest populations (e.g., Wyckhuys et al., 2017b).  
367 Despite those interfering factors, intercropping may bring about significant farm-level savings as  
368 multiple cassava biotic stressors inflict tangible yield losses (e.g., Nwanze, 1982; Legg &  
369 Fauquet, 2004).

### 370 **6.3. Soil- and water-regulating services**

371 Our review included 21 studies examining soil variables covering a range of edaphic  
372 parameters including measures of soil fertility, erosion, ground cover, moisture content, water  
373 infiltration, soil macro-fauna, and organic matter levels (Fig. 5). Excessive erosion has cascading  
374 negative effects on carbon cycling, results in substantial nutrient effluxes, and has compounding  
375 impacts on a host of soil properties (Quinton *et al.*, 2010; Powlson *et al.*, 2011). Intercropping  
376 brought about sharp reductions in erosion levels in a wide range of biophysical settings (n=8/9),  
377 with levels regularly halved and beneficial impacts strongly modulated by management tactics  
378 (e.g., cultivation, sowing or harvesting timing). This is of primary importance as cassava fields  
379 on steep slopes can lose topsoil at a staggering rate of 221 tons per annum (Pimentel *et al.*,  
380 1995); soils that are effectively ‘non-renewable’ over human timescales.

381 Little effect was observed in studies investigating N, P, K, pH, or soil organic matter. While  
382 legume intercrops increase overall biomass production, contribute to C sequestration, and help  
383 meet the nitrogen needs of the standing crop (Bedoussac *et al.*, 2015; Sileshi *et al.*, 2008), this  
384 was not reflected in the results of the present review. In short-term experiments, intercropping  
385 did not contribute to changes in nutrient storage or soil carbon stocks. Many of the included  
386 studies had conspicuously short durations by the standards of soil science (3 years or less). Due

387 to the often multigenerational scale of processes involved with soil fertility regulation (Powlson  
388 *et al.*, 2011), closer scrutiny should be paid to long-term records of nutrient balances, analysis  
389 methodology, and incorporation of surface crop residues. When residues are removed soil  
390 benefits are expected to be minimal (Lal, 2010; Makinde *et al.*, 2006). Over the long term,  
391 gradual accumulation of organic matter is expected if sufficient crop biomass is reincorporated  
392 into the field, mitigating one of the key constraints to cassava crop productivity.

393 Soils are dynamic systems in which decomposition of organic matter occurs through diverse  
394 faunal communities (e.g., Bardgett & van der Putten, 2014). Prolonged vegetative cover  
395 maintains structure and function of trophic soil food webs and helps to explain the important  
396 increases of earthworm activity in intercropped systems (Curry, 2004; Fig. 5). The contribution  
397 of diversification to microbially-mediated nutrient cycling processes is also expected to be  
398 positive (Brooker *et al.*, 2015), but requires further investigation in cassava-based systems.  
399 Cassava's particularly low P demand and high use efficiency is a result of an efficient obligate  
400 symbiosis with P-scavenging mycorrhizae, making soil health particularly salient to maintaining  
401 robust production (Howeler *et al.*, 1982).

402 In six of the seven cases of water-related services reported, the effects of intercropping were  
403 considered beneficial, with no effect in the seventh case (Figure 6). Soil moisture content and  
404 infiltration were either not affected or increased, while runoff was reduced by 59 % in the single  
405 study evaluating this metric (Ghosh *et al.*, 1989). A significant gap in research is evident in that  
406 none of the studies evaluated investigated water-related services in cassava - grain legume  
407 systems, despite this being one of the most commonly promoted intercrops for cassava (n=40).  
408 Lastly, with increased soil moisture and water infiltration rates, judicious intercropping systems

409 may be increasingly adaptable to changes in climate as they possess several key attributes to  
410 sustain productivity under prolonged drought conditions.

#### 411 **6.4. Composite measures of ecosystem function**

412 In the above sections, we demonstrate how intercropping helps to sustain specific ecosystem  
413 services. We compiled these data to visualize how integration of a specific companion crop (or  
414 plant family) contributes to provision of a bundle of ecosystem services in the most commonly  
415 reported systems. The concept of *ecosystem service bundles* takes into account service trade-offs  
416 and synergies (Bennett *et al.*, 2009), to provide a balanced picture of system-level benefits and  
417 costs. Four common intercropping systems were compared by vote-counting of an ecosystem  
418 service bundle in Fig. 6. Vote-counting was undertaken at the global scale. No obvious trends  
419 were detected between specific ecosystem services and geographic location. Due to the paucity  
420 of studies on certain ecosystem services (e.g., soil microfauna, fertilizer use efficiency) and  
421 imbalance of geographic distribution of research (see Figure 1c), the present study cannot draw  
422 any conclusions regarding geographic trends. Nevertheless, overall benefits were identified in  
423 five ecosystem services, as ranked under supporting, regulating, and provisioning service  
424 categories (i.e., pest regulation, disease control, LER, soil- and water-related services) (see  
425 Bommarco *et al.*, 2013). Though the small number of studies for particular systems (e.g., water  
426 and disease control for grass systems) precluded drawing broader generalizations, the following  
427 exceptions were recorded: 1) pest control with the addition of maize (no effect in 8/16 studies),  
428 2) LER under legume systems (mixed results in 8/20 studies), and 3) soil-based services for tree  
429 intercrops (no effect in 6/12 studies). Despite these anomalies, our work illuminates the under-  
430 recognized role of intercropping for ecological remediation within degraded settings. Human-  
431 mediated recovery of agro-ecosystems could concurrently help to restore ecological functioning,

432 to rebuild crop yields and to play a role in the on-farm conservation of biodiversity; a strategy  
433 which has received no scientific attention in the case of cassava.

434 Benefits of intercropping are widely thought to be highly variable, context-specific, and  
435 dependent upon management and crop components (Brooker *et al.*, 2015). Though not explicitly  
436 addressed in our study, management factors and genotype x environment interactions do indeed  
437 shape the performance of intercropping systems, and in many cases make the difference between  
438 relative advantage and disadvantage. Despite certain biases, our study shows that ecosystem  
439 service bundles are sustained with a diverse range of companion crops in cassava systems, with  
440 25 positive impacts vs. 3 negative ones for maize (total n= 43), 5 vs. 1 for other Poaceae (total  
441 n= 10), 23 vs. 3 for four species of grain legumes (total n= 40), and 9 vs. 0 for trees (total n= 24),  
442 respectively. Half of the global studies on maize intercrops showed no significant effects for pest  
443 suppression, while 6 (out of 16) reported positive impacts. Land productivity ratios of the  
444 cassava-grain legume systems include studies with pigeonpea, all of which were conducted at a  
445 single location in Australia. While these trials may hint at incompatibility of cassava with  
446 perennial legumes, they may not be representative of the potential performance of these systems  
447 in other geographical and agro-ecological settings. The comparatively weak impact of trees on  
448 soil parameters may be due to variable spatial and temporal coverage, methodological effects of  
449 studies focused primarily on designing over-yielding forage or mulch systems, and the inclusion  
450 of a wide range of tree types and species. Variability in spatial and temporal coverage may be  
451 particularly important in tree-based systems, as studies were commonly done with a range of  
452 naturally-occurring trees (with inherent seasonal leaf shedding) at close proximity to cassava  
453 plantations. Tree species included leguminous species, such as *Flemingia macrophylla* (Willd.)  
454 Merr., *Gliricidia sepium* (Jacq.) Steud, and *Leucaena leucocephala* (Lam.) de Wit, and non-

455 leguminous species such as *Eucalyptus* spp., and *Cocos nucifera*. Tree mixtures are also  
456 sometimes employed; one study from Brazil included a mixture of 37 species of indigenous trees  
457 intercropped with cassava (Daronco *et al.*, 2012). Perennials have been heavily promoted to  
458 build underground carbon storage, soil health and fertility in degraded farming systems in Africa,  
459 focusing on the use of N-fixing legumes (Glover *et al.*, 2012). The outspoken variability in the  
460 effects of different tree species under particular agro-climatic or biophysical conditions suggests  
461 that (trait-based, locality-specific) decision-support systems to choose the right companion crops  
462 for complementation of one or more particular ecosystem services likely have considerable  
463 merit.

464 Caution needs to be taken when interpreting the results of this study, not solely due to our  
465 analytic approach (i.e., vote-counting) but also due to a range of other factors. Many agronomic  
466 studies are ‘answer-driven,’ and seek solutions to production problems. Designed to identify  
467 ‘improved’ production systems, these risk over-representing positive results, with experiments  
468 guided by evidence from systems designed by farmers and practitioners. Of further importance is  
469 the risk of publication bias, in which experiments reporting significant results are favored for  
470 submission and/or publication (Dickersin, 1990). Cultural ecosystem services, such as traditional  
471 uses and networks (Coomes, 2010), food cultures (Lancaster *et al.*, 1982), and local perceptions  
472 (Kamau *et al.*, 2011) that could have been captured through participatory approaches, were  
473 generally underreported in the literature. Despite these pitfalls our findings echo those of several  
474 comprehensive global reviews focused on other crops (Andow, 1991; Malézieux *et al.*, 2009;  
475 Kremen and Miles, 2012).

## 476 **7. Conclusion**

477 Intercropping can add complexity and diversity to the world's agro-production systems. With  
478 roots in traditional systems, intercropping holds considerable potential in cassava production  
479 systems if attention is given to key barriers to broad-scale adoption. Intercropping has received a  
480 fair amount of research attention, but past work primarily consists of on-station trials with a  
481 nearly exclusive emphasis on identifying potential for over-yielding. Our work documents the  
482 value of this practice to wider ecosystem functioning in a crop of global significance.

483 The present study elucidates intercropping's potential to meet growing food production needs  
484 with minimal environmental costs. Over a wide array of companion plants diversified cassava  
485 systems can enhance levels of land productivity and sustain key ecosystem functions and  
486 services. Benefits are not only concurrent while intercrops are in place (as shown in this study),  
487 but importantly also deliver medium to long-term effects on soil fertility, erosion prevention, and  
488 both pest and beneficial insect communities. These long-term benefits are particularly important  
489 for soil conservation and erosion prevention, as soils are effectively 'non-renewable' over human  
490 timescales. Historical evidence suggests that intercropping can be adapted to smallholders'  
491 diverse biophysical and socio-economic contexts. However, the allure of the ecosystem service  
492 benefits must be counterbalanced against general reductions in focal crop yields, additional labor  
493 costs, and economic considerations. Adoption can be hampered by challenges related to e.g.,  
494 mechanization, labor requirements, incentive systems, and ultimately the overall economic  
495 productivity of a crop producing low-value products. Component crops must each perform well  
496 within the agro-ecological niche in which the intercropping system is found, and factors such as  
497 spacing, arrangement, input types and levels, and relative harvest timing may significantly  
498 influence overall productivity. Nevertheless, even with the existing compelling drivers of

499 monoculture, a research-informed evaluation of overall system profitability and the development  
500 of optimized management regimes will increase the practicability of diversification schemes.

501 Our exercise also suggests a need for methodological, experimental, and conceptual  
502 approaches linking productivity, system resilience, and broader environmental preservation  
503 within the cassava agro-ecosystem. A weak mechanistic understanding of variable context-  
504 dependent ecological processes (*e.g.* plant-plant, and plant-soil interactions) presently constitutes  
505 one of several barriers to more widespread promotion and adoption. The path forward for  
506 cassava-based farming systems does not solely lie in advancing technical innovations, but in a  
507 combination of policies, institutional engagement, markets, and practices. Interdisciplinary,  
508 transdisciplinary, and systems-level approaches will be instrumental for identifying  
509 intensification scenarios in which cassava productivity, provision of ecosystem services,  
510 biodiversity conservation, and human well-being are all balanced, and in providing (smallholder)  
511 farmers with selection aides to evaluate appropriate practices to optimize their unique production  
512 realities.

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517



518        **9. References**

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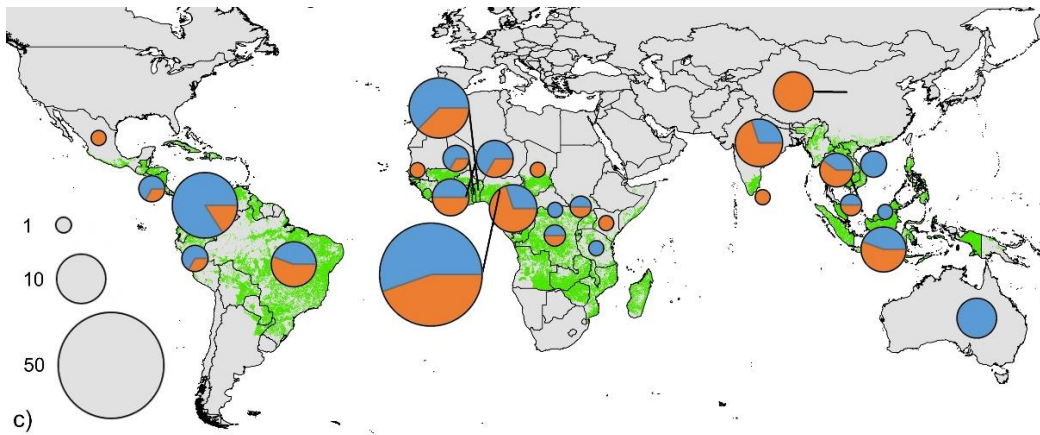
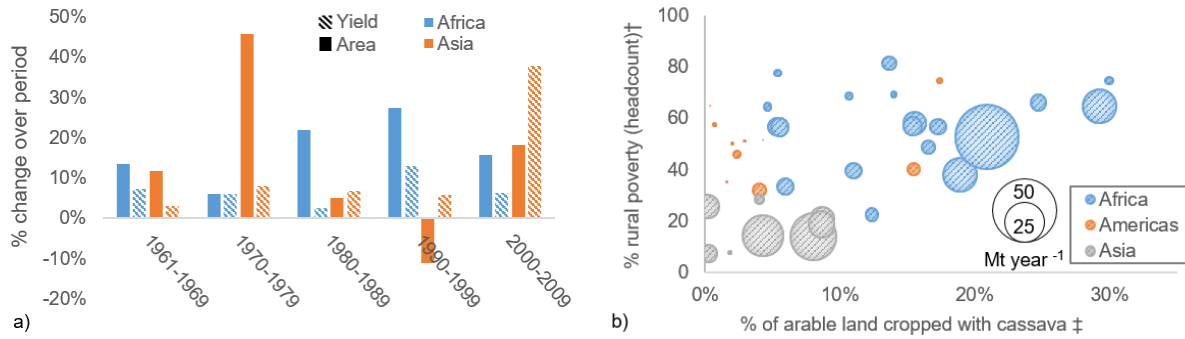
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765 **Figures**



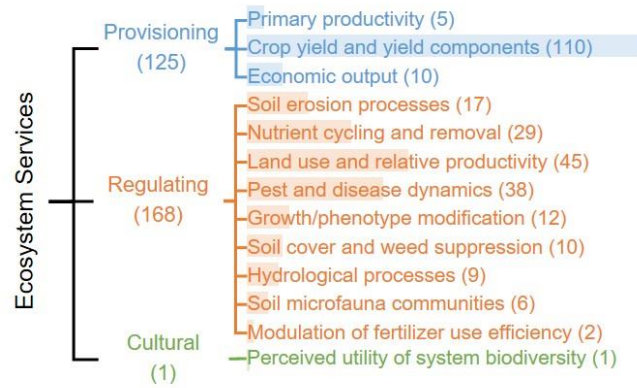
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771

772 Figure 1. Trends in cassava production and research: cassava production has intensified over the past 5  
 773 decades, both in terms of area and yield (a). Countries across the developing-world tropics have a high  
 774 degree of dependence on cassava in their agricultural systems and high levels of rural poverty as seen in  
 775 (b) where each bubble represents a single country (FAOSTAT, 2016). Studies on cassava intercropping  
 776 originate from a wide geographic area (c). The green backdrop indicates harvested cassava area in 2014  
 777 (MAPSPAM, 2016), while bubble size indicates total number of studies and blue segments indicate the  
 778 proportion selected for final ecosystem services analysis.

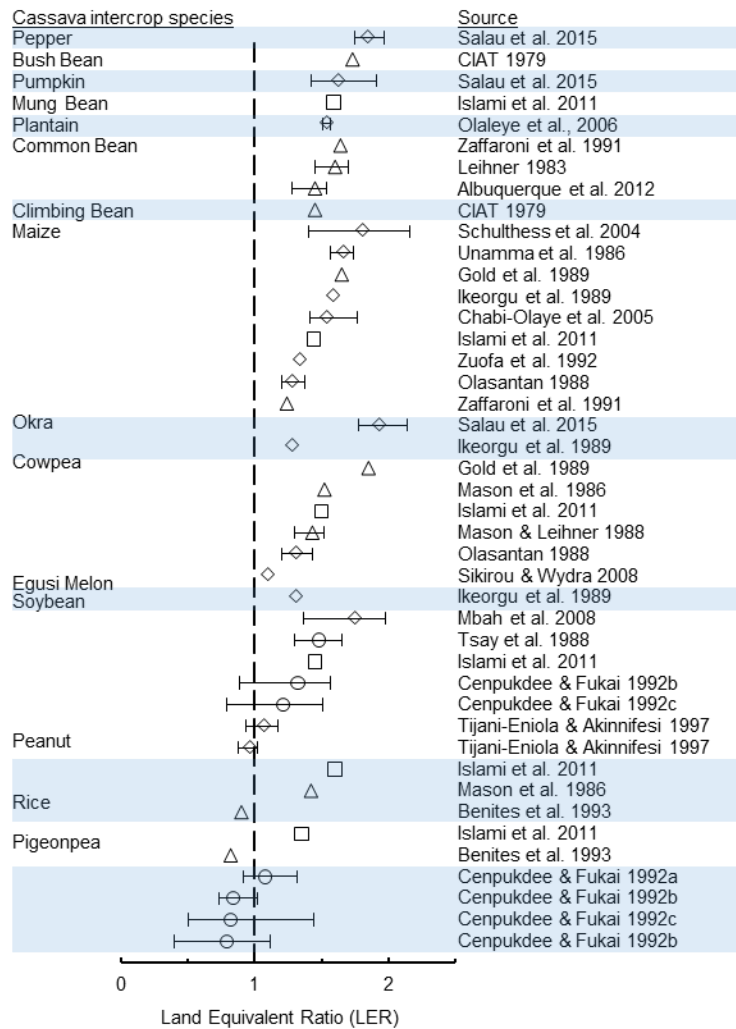
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781 Figure 2. Categories of ecosystem services investigated by cassava intercropping literature. Numbers in  
 782 brackets indicate the overall number of studies identified. Complete list of references available in online  
 783 supplementary material.

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787 Figure 3. Land Equivalent Ratios of 2-crop combinations reported in cassava intercropping literature.

788 Diamond-Africa, Square-Asia, Triangle-Americas, Circle-Oceania/Pacific. Horizontal bars indicate ranges

789 for studies reporting multiple values. In cases where the intercropping arrangement was kept constant but

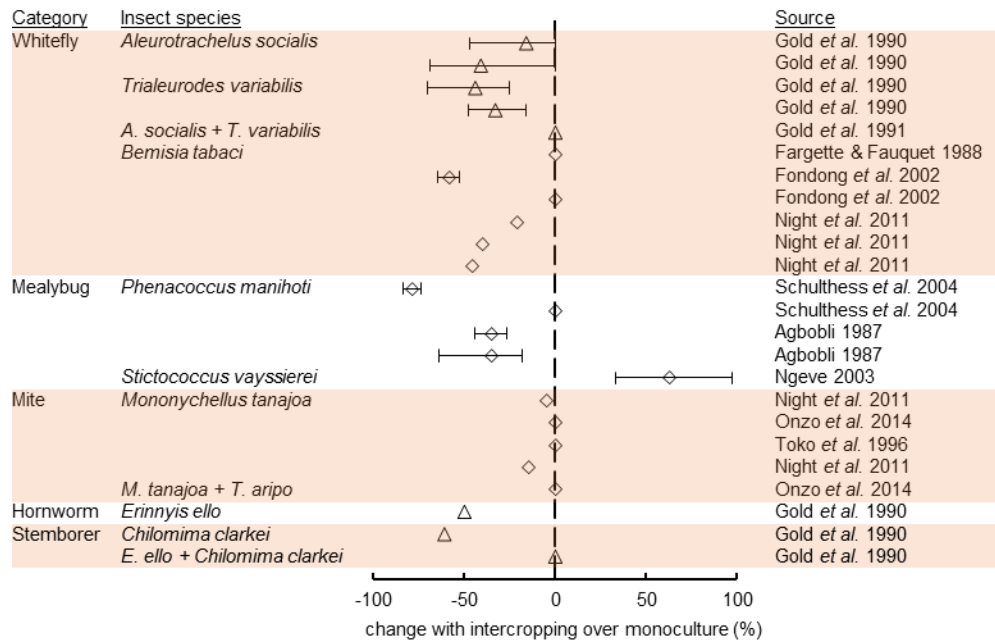
790 another variable varied (for example, fertilizer application rate or management scheme), the range is

791 represented by horizontal bars. Results from separate experiments within a given study are represented

792 as separate points. For multi-year studies, values were averaged over the whole study period.

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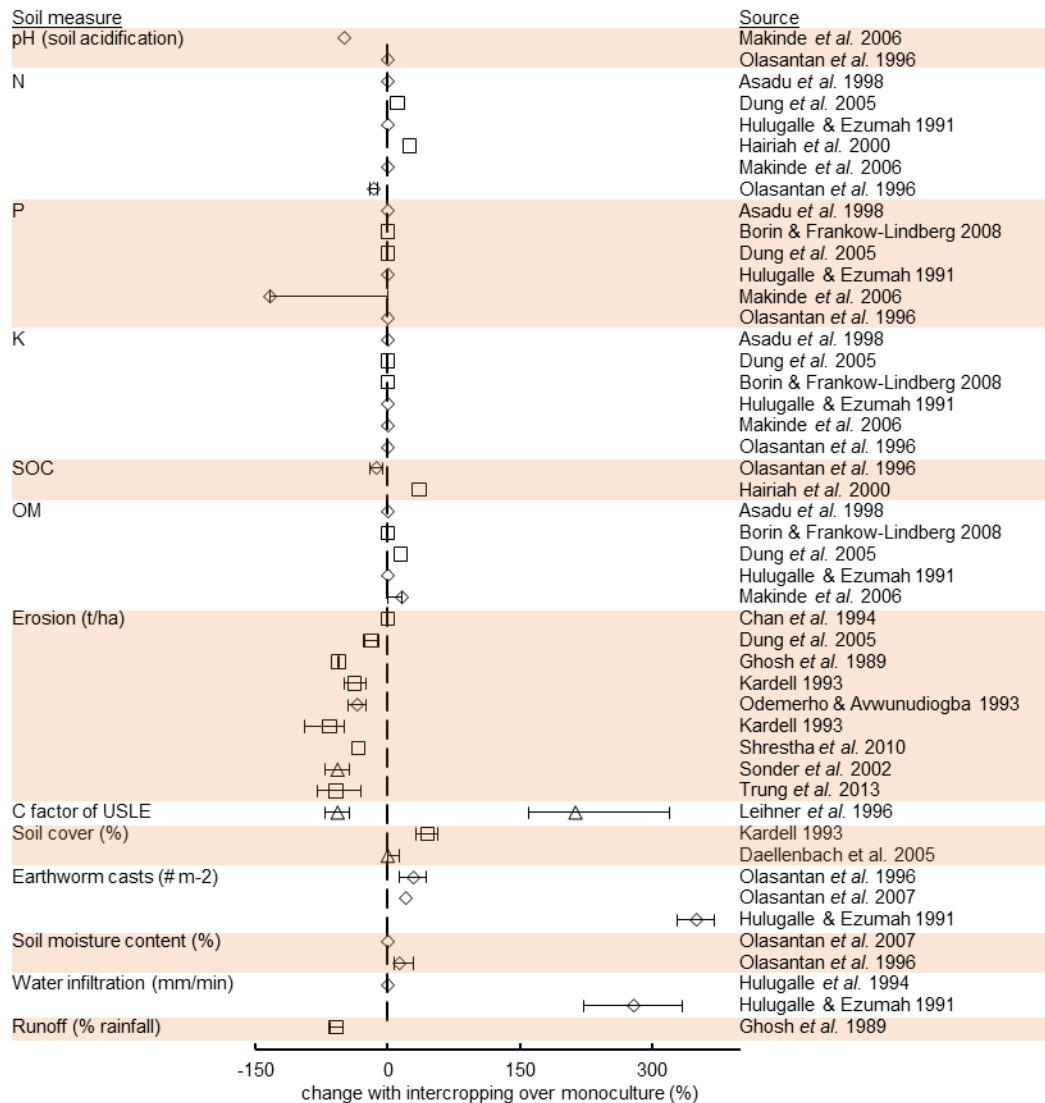
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797 Figure 4. Means and ranges of effects on pest indicators reported in cassava intercropping literature  
 798 relative to their respective monoculture controls. Nonsignificant results are located on the median line and  
 799 horizontal bars indicate ranges found in studies with multiple means reported. Studies that contained  
 800 separate 'experiments' are presented as separate points. Diamond-Africa, Square-Asia, Triangle-  
 801 Americas, Circle-Oceania/Pacific.

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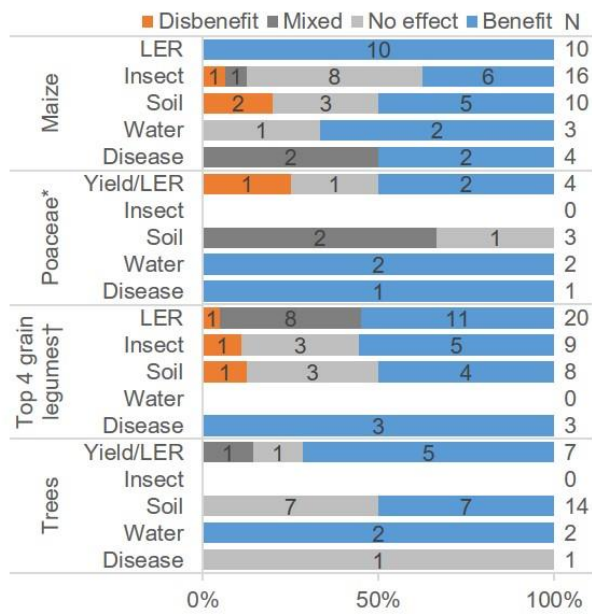
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805 Figure 5. Means and ranges of effects on soil and water indicators reported in cassava intercropping  
 806 literature relative to their respective monoculture controls. pH is measured as the percentage difference in  
 807 acidification of soils under monoculture and intercrop, with negative percentages indicating that  
 808 intercropping leads to less acidic soil for this particular study. SOC= Soil organic carbon, OM=Organic  
 809 matter, C factor of USLE= crop management factor of universal soil loss equation. Cover % indicates  
 810 percentage of total soil coverage achieved. Nonsignificant results are located on the median line and  
 811 horizontal bars indicate ranges found in studies with multiple means reported. Diamond-Africa, Square-  
 812 Asia, Triangle-Americas, Circle-Oceania/Pacific.

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815 Figure 6. Vote-count of study findings for key ecosystem services and key intercrop species in cassava.

816 Numbers on bars indicate number of studies, N= total studies evaluated for each trait/crop combination.

817 \*Poaceae excepting maize, †Soybean, peanut, cowpea, and pigeonpea.

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