

**Genome-wide association study and QTL mapping reveal genomic loci associated with
Fusarium ear rot resistance in tropical maize germplasm**

Jiafa Chen^{*,†}, Rosemary Shrestha[†], Junqiang Ding^{*}, Hongjian Zheng^{†,§}, Chunhua Mu^{†,**}, Jianyu Wu^{*,††}, George Mahuku^{†,‡}

* College of Agronomy, Synergetic Innovation Center of Henan Grain Crops and National Key Laboratory of Wheat and Maize Crop Science, Henan Agricultural University, Zhengzhou, 450002, China.

† International Maize and Wheat Improvement Center (CIMMYT), Apdo. Postal 6-641, 06600 Mexico D.F., Mexico.

‡ International Institute of Tropical Agriculture (IITA), P.O. Box, 34443, Dar es Salaam, Tanzania.

§ Crop Breeding and Cultivation Research Institute, Shanghai Academy of Agricultural Sciences, Shanghai, China.

** Maize Research Institute, Shandong Academy of Agricultural Sciences, Jinan, China.

†† College of Life Sciences, Henan Agricultural University, Zhengzhou, 450002, China.

ORCID ID: 0000-0001-8444-8651 (G.M.)

Running title: Genetics of Fusarium ear rot resistance

KEYWORDS *Fusarium verticillioides*; Maize; Association analysis, Quantitative trait; Disease resistance

Corresponding author: George Mahuku, International Institute of Tropical Agriculture (IITA),

P.O. Box, 34443, Dar es Salaam, Tanzania. Tel: +255222700092;

Email: g.mahuku@cgiar.org

ABSTRACT

Fusarium ear rot (FER) incited by *Fusarium verticillioides* is a major disease of maize that reduces grain quality globally. Host resistance is the most suitable strategy for managing the disease. We report the results of genome-wide association study (GWAS) to detect alleles associated with increased resistance to FER in a set of 818 tropical maize inbred lines evaluated in three environments. Association tests performed using 43,424 single-nucleotide polymorphic (SNPs) markers identified 45 SNPs and 15 haplotypes that were significantly associated with FER resistance. Each associated SNP locus had relatively small additive effects on disease resistance and accounted for 1% to 4% of trait variation. These SNPs and haplotypes were located within or adjacent to 38 candidate genes, 21 of which were candidate genes associated with plant tolerance to stresses, including disease resistance. Linkage mapping in four bi-parental populations to validate GWAS results identified 15 quantitative trait loci (QTL) associated with *F. verticillioides* resistance. Integration of GWAS and QTL to the maize physical map showed eight co-located loci on Chromosomes 2, 3, 4, 5, 9 and 10. QTL on chromosomes 2 and 9 are new. These results reveal that FER resistance is a complex trait that is conditioned by multiple genes with minor effects. The value of selection on identified markers for improving FER resistance is limited; rather, selection to combine small effect resistance alleles combined with genomic selection for polygenic background for both the target and general adaptation traits might be fruitful for increasing FER resistance in maize.

INTRODUCTION

Fusarium ear rot (FER) is one of the most important food and feed safety challenges in maize production worldwide (Munkvold and Desjardins 1997). Apart from reducing the quantity and quality of harvested maize, some of the *Fusarium* spp. produce mycotoxins, which are harmful, and can be fatal to humans and animals consuming contaminated grain (Missmer *et al.* 2006). More than 10 *Fusarium* spp. can cause ear rots, but the two most important are *F. verticillioides* [synonym *F. moniliforme* Sheldon] inciting FER and *F. graminearum* that causes Gibberella ear rot (GER) (Seifert *et al.* 2003; Mesterházy *et al.* 2012; Kebebe *et al.* 2014). *Fusarium verticillioides* is more prevalent in low rainfall, high humidity environments, common in tropical and subtropical maize production environments, while *F. graminearum* is predominant in cooler, high rainfall maize growing environments (Munkvold 2003). Infection by *F. verticillioides* can result in decreased grain yields, poor grain quality, and contamination by the mycotoxin fumonisin, a suspected carcinogen associated with various diseases in livestock and humans (Munkvold and Desjardins 1997; Fandohan *et al.* 2003; Munkvold 2003; Presello *et al.* 2008).

Fusarium verticillioides can survive in soil, healthy seed and plant residue, and infection of maize can be initiated from seedborne or airborne inoculum as well as systemic infection from the soil through roots to kernels (Morales-Rodríguez *et al.* 2007). Because of the high rate of maize production for subsistence in many developing countries, the solution to the problems of FER and fumonisin contamination is not to strengthen regulations, but rather to reduce fungal infection and mycotoxin levels in grain. The best strategy for controlling FER and reducing incidence of fumonisin contamination is the development and deployment of maize varieties with genetic resistance. Pre-harvest host resistance is economical to farmers, leaves no harmful residue in food or the environment, and is compatible with other control measures. This strategy

requires a clear understanding of the genetics of resistance, and the identification of alleles significantly contributing to reduced *F. verticillioides* infection and colonization, and fumonisin production (Mukanga *et al.* 2010).

Resistance to FER is quantitatively inherited and additive, dominant, and additive by dominant effects are important (Boling and Grogan 1965). Mapping studies using bi-parental populations have shown that resistance to FER is controlled by minor genes with relatively small effects that vary between environments and are not consistent between populations (Mesterházy *et al.* 2012). Robertson-Hoyt *et al.* (2006) and Bolduan *et al.* (2009) reported genotypic correlations between FER resistance and fumonisin accumulation of 0.87 in North Carolina and 0.92 in Germany, respectively, indicating that visual selection of FER resistance should be effective in simultaneously reducing fumonisin contamination. Although genetic variation for resistance to FER exists among maize inbred lines and hybrids, there is no evidence of complete resistance to either FER or fumonisin contamination in maize (Clements and Kleinschmidt 2003; Clements *et al.* 2004). The search for novel resistance genes against *F. verticillioides* is a very important activity in the quest to find a lasting solution to FER problems in maize production. Identification of specific allelic variants that confer improved resistance would permit maize breeders to select for recombinant chromosomes in backcross progeny that have desired target resistance allele sequences in coupling phase with the favorable elite polygenic background, facilitating the improvement of disease resistance without decreasing agronomic performance.

Several studies have identified quantitative trait loci (QTL) associated with resistance to *F. verticillioides* and subsequent reduced fumonisin accumulation (Robertson-Hoyt *et al.* 2006; Bolduan *et al.* 2009). For example, linkage-based mapping studies using F_{2:3} populations derived from two resistant parents and a common susceptible parent identified 9 and 7 QTL associated

with *F. verticillioides* resistance, and 3 of the QTL were common across the two populations (Pérez-Brito *et al.* 2001). In another study with two populations sharing a common resistant parent, a common QTL was detected on chromosome 4; this QTL was validated in an independent near isogenic line (NIL) population (Li *et al.* 2011; Chen *et al.* 2012). Other QTL mapping studies have also revealed many QTL for *F. verticillioides* resistance that are stable across environments (Robertson-Hoyt *et al.* 2006; Ding *et al.* 2008). Using the GWAS method, 7 SNPs were identified for FER resistance based on a diverse inbred line population comprised of 1,687 maize inbred lines (Zila *et al.* 2013, 2014). These studies revealed the presence of genetic variation for FER and the potential for identifying and deploying molecular markers for improving FER resistance in maize.

GWAS has shown great potential for detecting QTL with high resolution in diverse germplasm (Buntjer *et al.* 2005). In *Arabidopsis thaliana*, GWAS was conducted using 213,497 SNPs and 473 accessions to reveal a climate-sensitive quantitative trait loci (Li *et al.* 2010). In maize, GWAS has successfully been used to identify several casual genomic loci for different traits (Weng *et al.* 2011; Wang *et al.* 2012b; Liu *et al.* 2014; Samayoa *et al.* 2015). However GWAS also has shortcomings, such as detection of false positives due to presence of population structure; fortunately, several advanced statistical methods have been developed to reduce the false positive rate (Andersen *et al.* 2005; Yu *et al.* 2006a; Larsson *et al.* 2013). Compared to traditional linkage-based analyses, association mapping offers higher mapping resolution while eliminating the time and cost associated with developing synthetic mapping populations (Flint-Garcia *et al.* 2005; Yu *et al.* 2006b). On the other hand, linkage mapping generates low rates of false positive results, which offset the limitation of so few alleles in offspring populations (Jiang and Zeng 1995; Ding *et al.* 2015b). Combining GWAS and linkage mapping could exploit the

complementary strengths of both approaches to identify casual loci (Fulker *et al.* 1999; Pedergnana *et al.* 2014; Motte *et al.* 2014).

In this study, we used GWAS to identify genomic regions associated with FER resistance in tropical maize germplasm populations that were evaluated across three environments in Mexico. GWAS identified genomic regions were validated through linkage mapping using four bi-parental populations. Furthermore, we identified a set of tropical maize inbred lines with high levels of FER resistance that can be used to improve FER in maize breeding programs.

MATERIALS AND METHODS

Germplasm materials and experimental design

A collection of 940 elite tropical maize inbred lines assembled from CIMMYT maize breeding programs located in Zimbabwe, Kenya, Colombia and Mexico; and from the physiology, pathology and entomology programs was evaluated for disease resistance (Semagn *et al.* 2012; Wen *et al.* 2011). One elite maize inbred line, CML155, was used as a resistant check. This line had previously been identified as highly resistant to FER following multiple years of visual evaluation under field conditions in CIMMYT's experimental station of Agua Fria (AF), Mexico. Four bi-parental derived populations, that included a doubled haploid (DH) population composed of 201 lines derived from crossing CML495 (resistant) to LA POSTA SEQ. C7 F64-2-6-2-2-B-B-B (susceptible), designated POP1; and $F_{2:3}$ bi-parental populations developed from three resistant parents (CML492, CML495 and CML449) crossed to a single susceptible parent (LPSMT), and named POP2 (277 families), POP3 (268 families) and POP4 (272 families), respectively, were evaluated for resistance to FER (Table S1).

The GWAS panel of 940 inbred maize lines was screened for FER resistance in two locations; CIMMYT's experimental station of AF, located in the state of Puebla in Mexico (longitude 97°38'W; latitude 20°28'N; elevation 100-110 masl (meters above sea level) in 2010 and 2011(AF10 and AF11), and CIMMYT's experimental station of Tlaltizapan (TL) located in the state of Morelos, Mexico (longitude 99°7'W; latitude 18°41'N; elevation 940 masl) in 2011 (TL11). Entries were divided into four sets on the basis of maturity. Sets were randomized within the field and each set was blocked using an α -lattice design and replicated three times. Twenty seeds were planted in two-meter row plots, with 0.2 m between plants in a row and 0.75 m between rows. Two seeds were planted per hill and later thinned to a single plant to give a total of 10 plants per plot.

***Fusarium* ear rot inoculations and evaluation**

The experiments were artificially inoculated with a local toxigenic *F. verticillioides* isolate using the nail punch /sponge technique (Drepper and Renfro 1990), approximately 7 days after flowering. A single-spore isolate of *F. verticillioides* was increased on sterile maize kernels, incubated for 14 days at 25°C. After incubation, the spores were harvested, and concentration estimated using a haemocytometer and adjusted to 5×10^6 spores mL⁻¹ in sterile distilled water with 0.2 ml/liter Tween-20 surfactant (poly-oxyethylene 20-sorbitan monolaurate). The primary ear of each plant in a plot was inoculated using a nail punch/sponge inoculation method with a suspension that contained 5×10^6 spores mL⁻¹ about seven days after flowering. The same inoculation method was used for both the GWAS panel and QTL mapping population.

At maturity, inoculated ears from each plot were harvested by hand and individually rated for FER symptoms using a seven-point scale, where 1 = no visible disease symptoms, 2 = 1-3%, 3 = 4-10%, 4 = 11-25%, 5 = 26-50%, 6 = 51-75%, and 7 = 76-100% of kernels exhibiting visual

symptoms of infection (Reid *et al.* 1995). The overall response of each line, defined as percentage of infected area (PIA) was calculated using the formula described by Pérez-Brito, et al. (2001). The average FER severity score of each line was named EarRot1-7. During harvesting, another variable, ear rot aspect (ERAspect), was assessed on per plot basis using a 1-5 scoring scale; where 1 = no visible disease symptoms on kernels, 2 = 1-10%, 3 = 11-20%, 4 = 21-30%, and 5 = 31% or more of the kernels infected (Drepper and Renfro 1990). ERAspect is an assessment of overall cleanliness of the cob (presence or absence of general ear rot symptoms). Other variables evaluated included maturity measures as days to anthesis (DTA) and silking (DTS), plant height, ear height, bad husk cover, and stem lodging. Bad husk cover was rated on a 1 to 5 scale, where 1 represent husks tightly arranged and extending beyond the ear tip (very good husk cover) and 5 = ear tips exposed (bad husk cover).

Genotypic data

Total DNA was extracted from young leaves using CTAB method (CIMMYT 2005), and DNA quality, purity and quantity for each sample was checked using gel-electrophoresis and spectrophotometer (NanoDrop ND8000, Thermo Scientific). A total of 854 maize inbred lines with good quality DNA were genotyped using an Illumina MaizeSNP50 BeadChip which contained 56,110 SNP markers (Ganal *et al.* 2011). The SNP genotyping was performed on an Illumina Infinium SNP genotyping platform at Cornell University Life Sciences Core Laboratories Center using the protocol developed by the Illumina Company. The genotypic data summary (allele frequency, heterozygous rate and missing rate) were calculated by PLINK v1.07 software (Purcell *et al.* 2007).

The four bi-parental populations used for linkage mapping were genotyped by low density markers from the Kompetitive Allele Specific PCR (KASPTM) genotyping system of LGC

Company (<http://www.lgcgroup.com/>) (Semagn *et al.* 2014). A total of 1250 SNPs were screened to identify markers polymorphic between the two parental lines. Of the polymorphic SNP markers, 200 were selected and used to genotype the entire population. Markers with allele frequency between 0.4 to 0.6 for both DH and F2:3 populations were included in the analysis.

Statistical analyses

Descriptive statistics (such as mean, range, skewness and kurtosis) and correlations of phenotypic data were conducted in Excel 2010. Genetic correlation, and best linear unbiased estimates (BLUEs) were calculated using SAS (SAS Institute 2011) with multiple environments traits analysis package (META) which can be found on CIMMYT Dataverse (<http://hdl.handle.net/11529/10217>) (Vargas *et al.* 2013). For the single environment BLUE, a mixed linear model was performed including line as a fixed effect, days to silking as a fixed linear covariate and replication and block within replication as random effects. In the combined experimental analysis, each combination of location and year was considered an environment, with a mixed linear model including line as a fixed effect, days to silking (DTS) as a fixed linear covariate, and year, line x environment interaction, replication within environment, and block within replication as random effects.

The Analysis of variance (ANOVA) was conducted in R software with `anova (lm)` function (R Core Team 2015), the model for ANOVA was as follows;

Single environment ANOVA: $Pheno \sim Rep + Block:Rep + Entry$

Multi environment ANOVA: $Pheno \sim Env * Entry + Rep:Env + Block:(Rep:Env)$

Where *Pheno* was phenotypic data; *Env* was environments which was the combination of location and year; *Rep* was replication; *Block* was block in α -lattice design; *Entry* was the inbred lines used in this study.

Variance components were estimated using VarCorr function after fitting the linear mixed model (lmer) with the REML option in R software (R Core Team 2015). The single environment repeatability (H^2) was estimated using the following formulae (Knapp *et al.* 1985):

$$H^2 = \sigma^2_G / (\sigma^2_G + \sigma^2_e / r),$$

Broad sense heritability (H^2) was estimated using the formulae below (Knapp *et al.* 1985):

$$H^2 = \sigma^2_G / (\sigma^2_G + \sigma^2_{GE} / E + \sigma^2_e / r),$$

Where σ^2_G is genetic variance, σ^2_{GE} is genotype x environment interactions variance, σ_e^2 is error variance, and E is number of environments, r is number of replication in each environment.

Association analysis

A subset of 2,000 SNP markers were randomly selected from 10,736 SNPs that remained after removing SNPs with missing values >10 %; minor allele frequency of <10 %; and physical position interval < 50Kb. This subset of SNP markers was used for STRUCTURE analysis (Yu *et al.* 2009). The population structure was determined using an admixture model with correlated allele frequency in software STRUCTURE v2.3.3 (Pritchard *et al.* 2000). A burn-in of 10,000 iterations followed by 100,000 Monte Carlo Markov Chain (MCMC) replicates was conducted to test **k** values (number of subpopulations) in the range of 2-9. Each **k** was replicated 4 times, and most lines were assigned into clusters with a probability >0.6 (Falush *et al.* 2003).

Principal Component Analysis (PCA) was conducted in Eigensoft V3.0 software (Price *et al.* 2006; Patterson *et al.* 2006). Genetic distance-based neighbor joining (NJ) analysis and a genetic kinship matrix were conducted using TASSEL V3 (Bradbury *et al.* 2007) and the tree visualized using FigTree v1.3.1 (Rambaut and Drummond. 2009). Linkage disequilibrium (LD) measured as D' , were calculated using TASSEL software (Bradbury *et al.* 2007). Haplotype was

built using LD based method as described by Gabriel *et al.* (2002), and SNPs are considered to be in the same haplotype or in “strong LD” if the one-side upper 95% confidence bound on D' was > 0.98 and the lower bound was above 0.7), and was calculated using PLINK v1.07 software (Purcell *et al.* 2007).

A mixed linear model that included BLUEs, marker, kinship matrix (K) and principal component analyses (PCA) were conducted using TASSEL software (Bradbury *et al.* 2007). Haplotype generated by PLINK, and haplotype genotypes were used to conduct association mapping using the mixed linear model with PCA and Kinship in TASSEL software.

QTL mapping in bi-parental populations

Linkage maps were constructed using IciMapping v3.2 with Kosambi method for map distance calculation (Kosambi 1944; Wang *et al.* 2012a). The total map length for POP1 (DH population) was 1260cM and included 166 SNPs with and the average marker interval was 8.83cM; the map length of POP2 was 991cM and included 154 SNPs and the average marker interval was 8.93cM. Linkage maps were not constructed for POP3 and POP4 as the number of retained markers was small (118 for POP3 and 93 for POP4). Inclusive Composite Interval Mapping (ICIM) method in IciMapping v3.2 was used for QTL mapping (Li *et al.* 2008; Wang *et al.* 2012a). ICIM retains all advantages of composite interval mapping (CIM) over interval mapping and avoids the possible increase of sampling variance and the complicated background marker selection process that are in CIM (Li *et al.* 2007, 2008). The step of ICIM was set to 1cM, and the LOD threshold was set to 2.5. The total proportion of phenotypic variance explained by the detected QTL was calculated by fitting all significant SNPs simultaneously in a linear model to obtain R^2_{adj} . The proportion of the genotypic variance explained by all QTL was calculated as the ratio of $pG = R^2_{adj}/h^2$ (Gowda *et al.* 2015). Single Marker Analysis (SMA) method in IciMapping V3.1

software was used for POP3 and POP4 QTL mapping, since the number of polymorphic markers were not enough for linkage map constriction. BioMercator V3.0 software (Arcade *et al.* 2004) was used to integrate significant markers to the maize physical map of the B73 reference genome (B73 RefGen_v1). The physical positions and sequence of SNP markers were obtained from the Illumina public ftp site (ftp://ussd-ftp.illumina.com/Whole%20Genome%20Genotyping%20Files/Archived_non-Human_Products/Maize_SNP50/).

Based on GWAS results, the sequences flanking SNP markers significantly associated with FER resistance were used to perform BLAST searches against the 'B73' RefGen_v2 (MGSC) (http://blast.maizegdb.org/home.php?a=BLAST_UI) to obtain the physical position of significant SNPs.

Data availability

The original genotype and phenotype of the GWAS population are available in supplementary Files S1 and S2 and the original data of the four bi-parental populations are available in supplementary File S3 (POP1), S4 (POP2), S5 (POP3) and S6 (POP4).

RESULTS

Phenotypic data analysis of GWAS panel

Significant phenotypic variation for FER was observed in both the Agua Fria and Tlaltizapan experiments. The mean ear rot severity ranged from 0% to 87% with an overall mean of 22.96% in TL11; from 0% to 47% with an overall mean of 7.76% in AF11, and 0% to 61% with an overall mean of 9.6% in AF10. Disease severity was higher in Tlaltizapan than Agua Fria,

possibly revealing differences in aggressiveness of *F. verticillioides* strains used. In the combined analysis, mean ear rot ranged from 0% to 74% with an overall mean of 16.03% (Table 1). The distribution of FER scoring in individual and combined environments was close to normal with a skew towards the lower level of infection (Figure S1). Reflect kurtosis analysis revealed that ear rot resistance was continuously distributed, revealing the quantitative nature of *F. verticillioides* resistance (Table 1, Figure S1). Both genotypic components of variance (σ^2_G) and genotype \times environment interaction (σ^2_{GE}) were significant ($P < 0.01$), from the combined ANOVA analysis, and σ^2_G was also significant in the three single environment analysis. The repeatability (H^2) of FER scores was generally high, ranging from 0.89 in TL11 to 0.71 and 0.68 in AF11 and AF10, respectively. In combined analysis, the broad-sense heritability (H^2) of the trials was 0.66, indicating that *F. verticillioides* resistance was controlled by genetic factors and that the data could confidently be used for accurate mapping of *F. verticillioides* resistance genes.

Genetic and phenotypic correlation between ear rot aspect, ear rot score and PIA were significant, ranging from $r = 0.90$ to 0.98 (Table S2). Therefore, subsequent data and GWAS analyses were conducted using PIA as a FER parameter. Genetic and phenotypic correlations between environments were highly significant, and the phenotypic correlation between combined mean and mean of the three single environments were significant (Table 1). Low but significant correlations were observed between FER (PIA) and days to silking (Table 2). However, a moderate genetic correlation ($r = 0.38$) was observed between FER resistance and stem lodging. This is expected as *F. verticillioides* can grow within the maize plant as an endophyte, and can become pathogenic and incite stalk rots when conditions become stressful to the plant.

Response of 940 maize inbred lines to FER revealed several lines that consistently had mean disease severity scores $<5\%$ across the three environments. Analysis of combined phenotypic

data from the different environments identified 63 maize inbred lines that were highly (PIA<5%) resistant to FER (Table S3). These tropical inbred lines can immediately be used as a source of FER resistance in breeding programs.

Phenotypic data analysis of QTL mapping populations

Significant phenotypic variation for FER was observed for the four bi-parental populations (Table S5). For all populations, genotypic components of variance (σ^2_G) were significant ($P < 0.01$) from the single environment ANOVA analysis. For combined ANOVA, both genotypic components of variance (σ^2_G) and genotype by environment interaction (σ^2_{GE}) were significant ($P < 0.01$) for POP1 and POP2, revealing that *Fusarium verticillioides* populations in the two environments might have been different. In combined analysis, the broad-sense heritability (H^2) of the trials was 0.74 for POP2 and 0.52 for POP1. The repeatability was generally high for each single environment, for example, the repeatability of POP1 in TL12A environment was 0.73 and in AF12A was 0.69. Those results indicate the data could confidently be used for QTL mapping.

Genotypic characterization of GWAS panel

A total of 56,110 SNPs were generated for 854 maize inbred lines using the Illumina maize SNP50 BeadChip. The number of SNP markers per chromosome ranged from 3,965 SNPs on chromosome 10 to 8,625 SNPs on chromosome 1 (Figure 1). The average SNP missing value was 7.0% and 2,112 SNPs (3.76%) had a missing value >40%. Of the 56,110 SNPs, 14.6% had a MAF (minor allelic frequency) < 0.05, while 55.8% had a MAF >20%. Most of the markers 96.3% had a heterozygous rate <2.5%, and only 0.01% had a heterozygosity >40%. After eliminating SNP markers with missing value >40% and MAF less than 5%, a total of 43,424 SNPs were retained for GWAS.

From the 940 maize inbred lines evaluated against FER, 818 lines were included in GWAS analysis, after removing lines with >20% heterozygosity and those with >20% missing SNP markers (Figure S2). Population structure estimated using 2,000 random SNPs and the software STRUCTURE v2.3.3 divided the inbred lines into three sub-groups (Figure 2). Using $k=3$, 97.3% of the maize inbred lines were assigned to three groups, and only 6.8% of the lines were assigned into mixed population (Figure 2). The largest subgroup (blue color in Figure 2 of the $K=3$) was composed on germplasm coming from different breeding programs of CIMMYT, including the lowland breeding program, physiology, pathology, and programs in Africa . Most inbred lines in the second subgroup (red color in Figure 2 of $K=3$) comprised of germplasm derived from CIMMYT's drought tolerant population LaPostaSeq. The third subgroup (olive green color in Figure 2 of $K=3$) contained germplasm mainly from CIMMYT's lowland breeding program. Neighbor-joining tree constructed using 43,424 SNP markers and 818 maize inbred lines clustered the lines into three major groups (Figure S3), and the grouping was confirmed using PCA analysis (Figure S4). The Delta K result from STRUCTURE analysis and the absolute difference of eigenvalue between PCs indicted there were three major subgroups in the GWAS panel (Figures 2, S5). The results obtained following STRUCTUE, PCA and NJ tree cluster analyses were consistent; therefore, the first 3 PCA were used as a covariate in the mixed linear model in GWAS analysis.

Association mapping for *Fusarium* ear rot resistance

GWAS analysis using combined phenotypic data identified 45 SNPs that were significantly associated with FER resistance with p -value $< 10^{-3}$ (Figure 3a). The markers were distributed on all chromosomes except chromosome 7; and the number of SNPs per chromosome ranged from one on chromosome 8 to 14 on chromosome 10. The most significant SNP was located on

chromosome 10 (PZE-110022154) with the lowest p -value ($p < 5 \times 10^{-5}$) and it explained 2.06% of the phenotypic variation. The second SNP with lowest p -value was located on chromosome 5 and it also explained 2.06% of the phenotypic variation. Detailed information of 45 SNPs significantly associated with FER resistance is provided in Table 3. Genome-wide Manhattan plots for single environment analysis are attached (Figure S6). Quantile-quantile plots (QQ plots) showed that population structure was controlled well by the mixed linear model (Figure S7).

Haplotype built based on linkage disequilibrium as described by Gabriel *et al.* (2002), resulted in 7,063 haplotypes (Table S4). The maximum number of markers per haplotype was 19, the minimum 2, and the average number was 2.96 SNPs per haplotype. Haplotype-based GWAS in a mixed linear model (MLM) identified 15 haplotypes that were significantly associated with FER resistance and these were distributed in bin 2.05, 5.03/5.04, 7.02, 8.03/8.04, and 10.03 (Figure 3b). Haplotype analysis increased the power of marker detection; for example, haplotype 5076 on chromosome / bin 7.02 that was significantly associated with FER resistance (p -value = 4.45×10^{-7}) was not detected in single marker GWAS analysis (Table 4). However, some markers were detected by both single marker and haplotype based GWAS analysis. Haplotype 4168 on chromosome 5 accounted for 3.1% of variation for FER and the two markers PZE-105116484, PZE-105116502 associated with this haplotype explained 2.1% and 1.8% of phenotypic variation for FER, respectively (Table 4).

QTL mapping of *Fusarium* ear rot resistance

Five QTL were detected in the DH population (POP1); two on chromosome 1 and one each on chromosomes 2, 3 and 5 (Table 5). The QTL on chromosome 2 accounted for 15.41% of the total phenotypic variation observed for FER in this population; while the QTL on chromosome 5 explained 13.56% of the phenotypic variation (Table 5). Combined, the five QTL detected in

POP1 explained 49% of the total phenotypic variance observed for FER. For POP2, an $F_{2:3}$ population, six QTLs were detected, that together accounted for 25% of the observed phenotypic variation. The QTL on chromosome 1 accounted for 11.36% of the phenotypic variation for FER resistance. The QTL in bin 4.03/04 explained 9.27% of the phenotypic variance, while that in bin 10.03 accounted for 7.82% of the phenotypic variance (Table 5). Single Marker Analysis (SMA) was used for QTL mapping for POP3 and POP4 as few polymorphic markers were detected in these populations. For POP3, six markers were significantly associated with FER resistance and these were distributed in three regions of chromosome 5; bins 5.03, 5.04 and 5.05 (Table 6). The phenotypic variation for FER explained by these markers ranged from 4.56% to 6.73%, revealing that these were minor QTL. The SNP in bin 5.04 had the greatest effect, explaining 6.73% of the observed phenotypic variance for FER. For POP4, four markers were associated with FER resistance and these were in bin 2.04, 2.06 and 2.07 (Table 6). The phenotypic variation explained by these markers ranged from 12.56% to 15.84% and the SNP in bin 2.07 had the largest effect, explaining 15.84% of the phenotypic variance for FER resistance (Table 6).

Forty-five single SNP markers and 15 haplotypes identified through GWAS, together with 15 QTL identified through linkage mapping were integrated onto maize physical map using the software BioMereator V3.0 (Sosnowski *et al.* 2012). The map generated by the software was convenient for visualizing QTL and significant SNPs together. Eight common loci were identified on six chromosomes; on chromosome/bin 2.04, 3.06, 4.04, 4.08, 5.03, 5.04, 9.01, and 10.03 (Figure 4). The QTL on chromosome 2 in bin 2.04 was detected in two bi-parental population as well as single marker GWAS. The chromosome 5 (bin 5.04) locus was detected in

one bi-parental population and by both single marker and haplotype GWAS. The locus on chromosome 10 bin 10.3, contained 14 significant SNP markers, one haplotype and one QTL.

DISCUSSION

Resistance donor

Developing host resistance is the preferred strategy for managing FER, especially for smallholder farmers across the tropics, who largely produce maize for own consumption, and often lack resources to adopt other control strategies. However, effective use of this strategy requires identification of sources of resistance that are stable and effective across environments. We evaluated 940 maize inbred lines in three environments and identified 63 inbred lines that were highly resistant to *F. verticillioides*. These sources of FER resistance complement a few that have been reported in tropical germplasm (Pérez-Brito *et al.* 2001; Small *et al.* 2012). The broad-sense heritability ($H^2 = 0.66$) was high, revealing that FER resistance was genetically controlled, thus, significant improvements for FER resistance can be achieved through breeding. Furthermore, the 63 inbred lines resistant to FER constitute a valuable tool for understanding the genetic basis and architecture of FER resistance in tropical maize germplasm. These lines should be evaluated in multiple environments to confirm stability of FER resistance.

QTL for *Fusarium* ear rot resistance

Forty-five SNPs and 15 haplotypes associated with FER resistance were identified through single marker and haplotype based GWAS and fifteen QTL were identified through linkage mapping in four bi-parental populations. Using the software BioMereator V3.0, eight loci, containing significant markers from GWAS and linkage mapping were identified (Figure 4). Six loci on chromosomes / bin 3.06, 4.04, 4.08, 5.03; 5.04 and 10.03 are in regions that have previously

been reported (Chen *et al.* 2012; Ding *et al.* 2008; Li *et al.* 2011; Pérez-Brito *et al.* 2001; Robertson-Hoyt *et al.* 2006; Zhang *et al.* 2007), while two loci, on chromosomes /bin 2.04 and 9.01 are new loci, identified in this study. Two of the loci on chromosomes 4.04 and 9.01 are in regions containing genes encoding putative proteins of unknown function, while six loci are in regions that have been associated with stress tolerance, including FER resistance. Results from this study concur with previous reports (Boling and Grogan 1965; Zila *et al.* 2014) that FER resistance is a complex trait conditioned by multiple genes with minor effects.

The loci on chromosome 5.04 contained two significant SNPs, one haplotype and a QTL detected through linkage mapping. This chromosome region has previously been reported in three independent QTL mapping studies (Pérez-Brito *et al.* 2001; Robertson-Hoyt *et al.* 2006; Ding *et al.* 2008). Candidate gene analysis revealed that this QTL was in a region containing a putative protein encoding a glucose/ribitol dehydrogenase protein that catalyzes the oxidation of D-glucose to D-beta-gluconolactone using NAD or NADP as a coenzyme in the cell development. This gene belong to a subset of short-chain dehydrogenases and reductases family of genes which are involved in different biochemical processes including pathogen toxin reduction (Meeley *et al.* 1992; Moummou *et al.* 2012).

The loci on the long arm of chromosome 4 (bin 4.08), detected through both GWAS and linkage mapping has previously been reported (Li *et al.* 2011; Chen *et al.* 2012). Markers within this locus localized to a putative protein of unknown function from maize. However, blastp analysis revealed that it had high homology to Arabidopsis 2OG-Fe (II) oxide reductase, a gene that is involved in regulating gibberellic acid (GA) and abscisic acid (ABA) biosynthesis, that are involved in plant tolerance to stress, including disease resistance (van Damme *et al.* 2008; Han and Zhu 2011). Furthermore, chromosome 4.08 is a hot spot region for disease resistance in

maize and has been found to harbor resistance QTL to 8 maize diseases (Wisser *et al.* 2006). This would be a good target for developing markers to simultaneously introgress multiple disease resistance genes.

The chromosome 10.03 loci containing 13 SNPs and one QTL is located in a region conditioning resistance to multiple maize disease, including rp1 and rp5 that confers resistance to common rust (Wisser *et al.* 2006). The candidate with the lowest *p*-value in this region encoded an MADS-box transcription factor (Parenicová *et al.* 2003). MADS-box family genes are involved in controlling major aspects of plant development, including embryo and seed development (Gramzow and Theissen 2010), and may increase seed vigor and subsequently increase tolerance to diseases.

Other important resistance loci identified in this study included chromosomes 3.06 and 5.03. These two loci have previously been reported associated with resistance to *Fusarium* ear rot in two QTL mapping studies (Robertson-Hoyt *et al.* 2006; Ding *et al.* 2008). The locus on bin 3.06 encoded a dense granule Gra7 protein and the bin 5.03 locus was a putative protein of an unknown function. In addition, two new loci were identified, on chromosome 2.04 and 9.01. The chromosome 2.04 locus was associated with a plant peroxidase gene, that is involved in cell wall fortification (Kolattukudy *et al.* 1992). The chromosome 9.01 locus encoded a protein of unknown function. Although many SNPs localized to genic regions, the currently limited understanding of pathways contributing to FER resistance restricts our ability to precisely predict what genes might be involved in resistance to this complex disease. However, information from this study provides a basis for further research into elucidating the genetic architecture and pathways leading to FER resistance in maize.

Haplotype based GWAS analysis

Because of the rapid LD between markers, haplotype analysis may provide more detection power compared to single marker GWAS and is more practical for breeding (Yan *et al.* 2011). Four methods are commonly used to build haplotypes (Gabriel *et al.* 2002; Yan *et al.* 2009; Gore *et al.* 2009; Lu *et al.* 2010, 2011; Ding *et al.* 2015a); (1) use of a fixed number of markers as a window to slide across the chromosome to build the haplotype; (2) use of a fixed physical distance interval of 10Kb in maize to build haplotype; (3) use of gene based physical position to build haplotype; and (4) use of LD information to put high LD markers together to constitute a haplotype. The marker density in our study was medium to high so we choose the LD based haplotype build method. Using this approach, haplotype GWAS detected some resistance loci that were not detected by single marker GWAS, whereas the single marker result was reflected in haplotype based GWAS. This indicates that haplotype based GWAS has a high marker detection efficiency but require high density markers to build a haplotype. On-going genotyping by sequencing projects will furnish enough marker density to exploit the advantages of haplotype based GWAS.

Candidate genes co-localized with associated SNPs

SNPs and haplotypes associated with FER resistance were located within or adjacent to 38 putative candidate genes which were obtained from the MaizeGDB (<http://www.maizegdb.org/>) genome browser based on physical position of significant SNPs, MaizeCyc database version 2.0 (<http://maizecyc.maizegdb.org/>). Phytozome database (<http://phytozome.jgi.doe.gov/pz/portal.html>) that was used for defining relevant pathways and annotating possible functions of candidate genes (Caspi *et al.* 2010) could annotate functions to 21 out of the 38 candidate genes (Table 3, Table 4). Thirteen of the 45 SNPs localized to intergenic regions, ten were inside exons, nine were located in introns and nine were located in

promoters; five localized to the 3' untranslated region and five to the 5' untranslated region (Table 3). The most significant SNP on chromosome / bin 5.04 was in a region associated with a gene encoding a glucose/ribitol dehydrogenase, a protein that catalyzes the oxidation of D-glucose to D-beta-gluconolactone using NAD or NADP as a coenzyme. This gene family is a subset of short-chain dehydrogenases and reductases, involved in pathogen toxin reduction (Meeley *et al.* 1992; Moummou *et al.* 2012). These results reveal the complex nature of FER resistance in tropical maize, and indicate that various mechanisms might be involved in conditioning FER resistance, including complex biosynthesis process, which also might include interactions between multiple metabolic pathways.

Conclusion

This study identified a set of inbred lines that can potentially be used as sources of resistance to develop hybrids with resistance to FER. Further validation of the potential sources of resistance in multiple environments is required, but the small number of inbred lines makes this process cost-effective. Eight loci harbouring FER QTL were identified through integrating GWAS and linkage mapping results. Two are new loci while six co-localized to loci that have previously been described (Chen *et al.* 2012; Ding *et al.* 2008; Li *et al.* 2011; Pérez-Brito *et al.* 2001; Robertson-Hoyt *et al.* 2006; Zhang *et al.* 2007). Some SNPs associated with these loci localized to within or close to genes with known function. Candidate gene analyses for significant SNPs provided targets for further research to elucidate mechanisms of FER resistance. Our results confirmed earlier reports that many genes are involved in FER resistance.

ACKNOWLEDGMENTS

The authors gratefully acknowledge the financial support from the Regional fund for agricultural technology (FONTAGRO) project FTG-8028, the Bill and Melinda Gates Foundation (BMGF) as part of the project, “Drought Tolerant Maize for Africa (DTMA)”, the CGIAR research program (CRP) on maize for co-sponsoring this research work and the Shanghai key basic research program (13JC1405000). We are grateful to CIMMYT technicians in Mexico and Colombia for managing the trials and contributing to phenotypic evaluation.

LITERATURE CITED

- Andersen J. R., T. Schrag, A. E. Melchinger, I. Zein, and T. Lübberstedt, 2005 Validation of Dwarf8 polymorphisms associated with flowering time in elite European inbred lines of maize (*Zea mays* L.). *Theor. Appl. Genet.* 111: 206–217.
- Arcade A., A. Labourdette, M. Falque, B. Mangin, F. Chardon *et al.*, 2004 BioMercator: integrating genetic maps and QTL towards discovery of candidate genes. *Bioinformatics* 20: 2324–2326.
- Bolduan C., J. Montes, B. Dhillon, V. Mirdita, and A. Melchinger, 2009 Determination of mycotoxin concentration by ELISA and near-infrared spectroscopy in *Fusarium*-inoculated maize. *Cereal Res. Commun.* 37: 521–529.
- Boling M. B., and C. O. Grogan, 1965 Gent Action Affecting Host Resistance to *Fusarium* Ear Rot of Maize. *Crop Sci.* 5: 305–307.
- Bradbury P. J., Z. Zhang, D. E. Kroon, T. M. Casstevens, Y. Ramdoss *et al.*, 2007 TASSEL: software for association mapping of complex traits in diverse samples. *Bioinformatics* 23: 2633–2635.
- Buntjer J. B., A. P. Sørensen, and J. D. Peleman, 2005 Haplotype diversity: the link between statistical and biological association. *Trends Plant Sci.* 10: 466–471.
- Caspi R., T. Altman, J. M. Dale, K. Dreher, C. A. Fulcher *et al.*, 2010 The MetaCyc database of metabolic pathways and enzymes and the BioCyc collection of pathway/genome databases. *Nucleic Acids Res.* 38: 473–479.
- Chen J., J. Ding, H. Li, Z. Li, X. Sun *et al.*, 2012 Detection and verification of quantitative trait

loci for resistance to Fusarium ear rot in maize. *Mol Breed.* 30: 1649–1656.

CIMMYT, 2005 *Laboratory protocols: CIMMYT applied molecular genetics laboratory, Third Edition.*

Clements M., and C. Kleinschmidt, 2003 Evaluation of inoculation techniques for Fusarium ear rot and fumonisin contamination of corn. *Plant Dis.* 87: 147–153.

Clements M. J., C. M. Maragos, J. K. Pataky, and D. G. White, 2004 Sources of resistance to fumonisin accumulation in grain and fusarium ear and kernel rot of corn. *Phytopathology* 94: 251–260.

Damme M. van, R. P. Huibers, J. Elberse, and G. Van den Ackerveken, 2008 Arabidopsis DMR6 encodes a putative 2OG-Fe(II) oxygenase that is defense-associated but required for susceptibility to downy mildew. *Plant J.* 54: 785–793.

Ding J. Q., X. M. Wang, S. Chander, J. B. Yan, and J. S. Li, 2008 QTL mapping of resistance to Fusarium ear rot using a RIL population in maize. *Mol Breed.* 22: 395–403.

Ding J., F. Ali, G. Chen, H. Li, G. Mahuku *et al.*, 2015a Genome-wide association mapping reveals novel sources of resistance to northern corn leaf blight in maize. *BMC Plant Biol.* 15: 206.

Ding J., L. Zhang, J. Chen, X. Li, Y. Li *et al.*, 2015b Genomic dissection of leaf angle in maize (*Zea mays* L.) using a four-way cross mapping population. *PLoS One* 10: e0141619.

Drepper W. J., and B. L. Renfro, 1990 Comparison of methods for inoculation of ears and stalks of maize with *Fusarium moniliforme*. *Plant Dis.* 74: 952–956.

Falush D., M. Stephens, and J. K. Pritchard, 2003 Inference of population structure using

multilocus genotype data: linked loci and correlated allele frequencies. *Genetics* 164: 1567–1587.

Fandohan P., K. Hell, W. F. O. Marasas, and M. J. Wingfield, 2003 Infection of maize by *Fusarium* species and contamination with fumonisin in africa. 2: 570–579.

Flint-Garcia S. A., J. M. Thornsberry, and E. S. Buckler, 2003 Structure of linkage disequilibrium in plants. *Annu. Rev. Plant Biol.* 54: 357–374.

Flint-Garcia S. A., A. C. Thuillet, J. Yu, G. Pressoir, S. M. Romero *et al.*, 2005 Maize association population: a high-resolution platform for quantitative trait locus dissection. *Plant J.* 44: 1054–1064.

Fulker D. W., S. S. Cherny, P. C. Sham, and J. K. Hewitt, 1999 Combined linkage and association sib-pair analysis for quantitative traits. *Am. J. Hum. Genet.* 64: 259–67.

Gabriel S. B., S. F. Schaffner, H. Nguyen, J. M. Moore, J. Roy *et al.*, 2002 The structure of haplotype blocks in the human genome. *Science* 296: 2225–2229.

Ganal M. W., G. Durstewitz, A. Polley, A. Bérard, E. S. Buckler *et al.*, 2011 A large maize (*Zea mays* L.) SNP genotyping array: development and germplasm genotyping, and genetic mapping to compare with the B73 reference genome. *PLoS One* 6: e28334.

Gore M. A., J.-M. Chia, R. J. Elshire, Q. Sun, E. S. Ersoz *et al.*, 2009 A first-generation haplotype map of maize. *Science* 326: 1115–1117.

Gowda M., B. Das, D. Makumbi, R. Babu, K. Semagn *et al.*, 2015 Genome-wide association and genomic prediction of resistance to maize lethal necrosis disease in tropical maize germplasm. *Theor. Appl. Genet.* 128: 1957–1968.

- Gramzow L., and G. Theissen, 2010 A hitchhiker's guide to the MADS world of plants. *Genome Biol.* 11: 214.
- Han F., and B. Zhu, 2011 Evolutionary analysis of three gibberellin oxidase genes in rice, *Arabidopsis*, and soybean. *Gene* 473: 23–35.
- Jiang C., and Z. B. Zeng, 1995 Multiple trait analysis of genetic mapping for quantitative trait loci. *Genetics* 140: 1111–1127.
- Kebebe a. Z., L. M. Reid, X. Zhu, J. Wu, T. Woldemariam *et al.*, 2014 Relationship between kernel drydown rate and resistance to gibberella ear rot in maize. *Euphytica* 201: 79–88.
- Knapp S. J., W. W. Stroup, and W. M. Ross, 1985 Exact confidence intervals for heritability on a progeny mean basis. *Crop Sci.* 25: 192–194.
- Kolattukudy P. E., R. Mohan, M. A. Bajar, and B. A. Sherf, 1992 Plant oxygenases, peroxidases and oxidases. *Biochem. Soc. Trans.* 20: 333–337.
- Kosambi D. D., 1944 The estimation of map distances from recombination values. *Ann. Hum. Genet.* 12: 172–175.
- Larsson S. J., A. E. Lipka, and E. S. Buckler, 2013 Lessons from Dwarf8 on the strengths and weaknesses of structured association mapping. (JK Pritchard, Ed.). *PLoS Genet.* 9: e1003246.
- Li H., G. Ye, and J. Wang, 2007 A modified algorithm for the improvement of composite interval mapping. *Genetics* 175: 361–74.
- Li H., J. M. Ribaut, Z. Li, and J. Wang, 2008 Inclusive composite interval mapping (ICIM) for digenic epistasis of quantitative traits in biparental populations. *Theor. Appl. Genet.* 116:

243–260.

- Li Y., Y. Huang, J. Bergelson, M. Nordborg, and J. O. Borevitz, 2010 Association mapping of local climate-sensitive quantitative trait loci in *Arabidopsis thaliana*. *Proc. Natl. Acad. Sci. U. S. A.* 107: 21199–21204.
- Li Z. M., J. Q. Ding, R. X. Wang, J. F. Chen, X. D. Sun *et al.*, 2011 A new QTL for resistance to *Fusarium* ear rot in maize. *J Appl Genet* 52: 403–406.
- Liu C., J. Weng, D. Zhang, X. Zhang, X. Yang *et al.*, 2014 Genome-wide association study of resistance to rough dwarf disease in maize. *Eur. J. Plant Pathol.* 139: 205–216.
- Lu Y., S. Zhang, T. Shah, C. Xie, Z. Hao *et al.*, 2010 Joint linkage-linkage disequilibrium mapping is a powerful approach to detecting quantitative trait loci underlying drought tolerance in maize. *PNAS* 107: 19585–19590.
- Lu Y., T. Shah, Z. Hao, S. Taba, S. Zhang *et al.*, 2011 Comparative SNP and Haplotype Analysis Reveals a Higher Genetic Diversity and Rapider LD Decay in Tropical than Temperate Germplasm in Maize (PK Ingvarsson, Ed.). *PLoS One* 6: e24861.
- Mackay I., and W. Powell, 2007 Methods for linkage disequilibrium mapping in crops. *Trends Plant Sci.* 12: 57–63.
- Meeley R. B., G. S. Johal, S. P. Briggs, J. D. Walton, G. Maize *et al.*, 1992 A Biochemical Phenotype for a Disease Resistance Gene of Maize. *Plant Cell* 4: 71–77.
- Mesterházy Á., M. Lemmens, and L. M. Reid, 2012 Breeding for resistance to ear rots caused by *Fusarium* spp. in maize - a review. *Plant Breed.* 131: 1–19.
- Missmer S., L. Suarez, and M. Felkner, 2006 Exposure to fumonisins and the occurrence of

- neural tube defects along the Texas-Mexico border. *Environ. Health Perspect.* 114: 237–241.
- Morales-Rodríguez I., M. D. J. Yañez-Morales, H. V Silva-Rojas, G. García-de-Los-Santos, and D. a Guzmán-de-Peña, 2007 Biodiversity of *Fusarium* species in Mexico associated with ear rot in maize, and their identification using a phylogenetic approach. *Mycopathologia* 163: 31–39.
- Motte H., A. Vercauteren, S. Depuydt, S. Landschoot, D. Geelen *et al.*, 2014 Combining linkage and association mapping identifies RECEPTOR-LIKE PROTEIN KINASE1 as an essential *Arabidopsis* shoot regeneration gene. *Proc. Natl. Acad. Sci. U. S. A.* 111: 8305–8310.
- Moummou H., Y. Kallberg, L. B. Tonfack, B. Persson, and B. van der Rest, 2012 The plant short-chain dehydrogenase (SDR) superfamily: genome-wide inventory and diversification patterns. *BMC Plant Biol.* 12: 219.
- Mukanga M., J. Derera, and P. Tongoona, 2010 Gene action and reciprocal effects for ear rot resistance in crosses derived from five tropical maize populations. *Euphytica* 174: 293–301.
- Munkvold G. P., and A. E. Desjardins, 1997 Fumonisin in Maize. Can we reduce their occurrence? *Plant Dis.* 81: 556–564.
- Munkvold G. P., 2003 Epidemiology of *Fusarium* diseases and their mycotoxins in maize ears. *Eur. J. Plant Pathol.* 109: 705–713.
- Parenicová L., S. de Folter, M. Kieffer, D. S. Horner, C. Favalli *et al.*, 2003 Molecular and phylogenetic analyses of the complete MADS-box transcription factor family in *Arabidopsis*: new openings to the MADS world. *Plant Cell* 15: 1538–1551.
- Patterson N., A. L. Price, and D. Reich, 2006 Population structure and eigenanalysis. *PLoS*

Genet. 2: e190.

Pedergnana V., L. Syx, A. Cobat, J. Guergnon, P. Brice *et al.*, 2014 Combined linkage and association studies show that HLA class II variants control levels of antibodies against Epstein-Barr virus antigens. PLoS One 9: e102501.

Pérez-Brito D., D. Jeffers, D. González-de-León, M. Khairallah, M. Cortés-Cruz *et al.*, 2001 QTL mapping of Fusarium moniliforme ear rot resistance in highland maize, Mexico. Agrociencia 35: 181–196.

Presello D., G. Botta, J. Iglesias, and G. Eyherabide, 2008 Effect of disease severity on yield and grain fumonisin concentration of maize hybrids inoculated with Fusarium verticillioides. Crop Prot. 27: 572–576.

Price A. L., N. J. Patterson, R. M. Plenge, M. E. Weinblatt, N. A. Shadick *et al.*, 2006 Principal components analysis corrects for stratification in genome-wide association studies. Nat. Genet. 38: 904–909.

Pritchard J. K., M. Stephens, and P. Donnelly, 2000 Inference of population structure using multilocus genotype data. Genetics 155: 945–959.

Purcell S., B. Neale, K. Todd-Brown, L. Thomas, M. R. Ferreira *et al.*, 2007 PLINK: a tool set for whole-genome association and population-based linkage analyses. Am. J. Hum. Genet. 81: 559–575.

R Core Team, 2015 R: A Language and Environment for Statistical Computing. R Found. Stat. Comput.

Rambaut A., and A. Drummond., 2009 FigTree v1. 3.1.

- Reid L., R. Hamilton, and D. Mather, 1995 Effect of macroconidial suspension volume and concentration on expression of resistance to *Fusarium graminearum* in maize. *Plant Dis* 79: 461–466.
- Robertson L. A., C. E. Kleinschmidt, D. G. White, G. A. Payne, C. M. Maragos *et al.*, , 2006 Heritabilities and correlations of *Fusarium* ear rot resistance and Fumonisin contamination resistance in two maize populations. *Crop Sci.* 46: 353–361.
- Robertson-Hoyt L. A., M. P. Jines, P. J. Balint-Kurti, C. E. Kleinschmidt, D. G. White *et al.*, , 2006 QTL Mapping for *Fusarium* Ear Rot and Fumonisin Contamination Resistance in Two Maize Populations. *Crop Sci.* 46: 1734–1744.
- Samayoa L. F., R. A. Malvar, B. A. Olukolu, J. B. Holland, and A. Butrón, 2015 Genome-wide association study reveals a set of genes associated with resistance to the Mediterranean corn borer (*Sesamia nonagrioides* L.) in a maize diversity panel. *BMC Plant Biol.* 15: 35.
- SAS Institute, 2011 SAS for Windows, version 9.2. SAS Inst. Inc., Cary, NC, USA.
- Seifert K. A., T. Aoki, R. P. Baayen, D. Brayford, L. W. Burgess *et al.*, 2003 The name *Fusarium moniliforme* should no longer be used. *Mycol. Res.* 107: 643–644.
- Semagn K., R. Babu, S. Hearne, and M. Olsen, 2014 Single nucleotide polymorphism genotyping using Kompetitive Allele Specific PCR (KASP): Overview of the technology and its application in crop improvement. *Mol. Breed.* 33: 1–14.
- Small I. M., B. C. Flett, W. F. O. Marasas, A. McLeod, and A. Viljoen, 2012 Use of resistance elicitors to reduce *Fusarium* ear rot and fumonisin accumulation in maize. *Crop Prot.* 41: 10–16.

- Sosnowski O., A. Charcosset, and J. Joets, 2012 BioMercator V3: an upgrade of genetic map compilation and quantitative trait loci meta-analysis algorithms. *Bioinformatics* 28: 2082–2083.
- Vargas M., E. Combs, G. Alvarado, G. Atlin, K. Mathews *et al.*, 2013 META: A Suite of SAS Programs to Analyze Multienvironment Breeding Trials. *Agron. J.* 105: 11–19.
- Wang J., H. Li, L. Zhang, and L. Meng, 2012a Users' manual of QTL IciMapping version 3.2.
- Wang M., J. Yan, J. Zhao, W. Song, X. Zhang *et al.*, 2012b Genome-wide association study (GWAS) of resistance to head smut in maize. *Plant Sci.* 196: 125–131.
- Weng J., C. Xie, Z. Hao, J. Wang, C. Liu *et al.*, 011 Genome-wide association study identifies candidate genes that affect plant height in Chinese elite maize (*Zea mays* L.) inbred lines. *PLoS One* 6: e29229.
- Wisser R. J., P. J. Balint-Kurti, and R. J. Nelson, 2006 The genetic architecture of disease resistance in maize: a synthesis of published studies. *Phytopathology* 96: 120–129.
- Yan J., T. Shah, M. L. Warburton, E. S. Buckler, M. D. McMullen *et al.*, 2009 Genetic characterization and linkage disequilibrium estimation of a global maize collection using SNP markers. *PLoS One* 4: e8451.
- Yan J., M. Warburton, and J. Crouch, 2011 Association Mapping for Enhancing Maize (L.) Genetic Improvement. *Crop Sci.* 51: 433–449.
- Yu J., G. Pressoir, W. H. Briggs, I. Vroh Bi, M. Yamasaki *et al.*, 006a A unified mixed-model method for association mapping that accounts for multiple levels of relatedness. *Nat. Genet.* 38: 203–208.

- Yu J., E. E. S. Buckler, B. E. Mamo, B. L. Barber, and B. J. Steffenson, 2006b Genetic association mapping and genome organization of maize. *Plant Biotechnol.* 17: 155–160.
- Yu J., Z. Zhang, C. Zhu, D. A. Tabanao, G. Pressoir et al., 2009 Simulation Appraisal of the Adequacy of Number of Background Markers for Relationship Estimation in Association Mapping. *Plant Genome J.* 2: 63–77.
- Zhang F., X. Wan, and G. Pan, 2007 Molecular mapping of QTL for resistance to maize ear rot caused by *Fusarium moniliforme*. *Acta Agron. Sin.* 33: 491–496.
- Zila C. T., L. F. Samayoa, R. Santiago, A. Butrón, and J. B. Holland, 2013 A genome-wide association study reveals genes associated with fusarium ear rot resistance in a maize core diversity panel. *G3 (Bethesda)*. 3: 2095–2104.
- Zila C. T., F. Ogut, M. C. Romay, C. A. Gardner, E. S. Buckler *et al.*, 2014 Genome-wide association study of Fusarium ear rot disease in the U.S.A. maize inbred line collection. *BMC Plant Biol.* 14: 372.

Table 1 Descriptive statistics and correlation of Percentage of Infected Area (PIA) parameter for *Fusarium* ear rot resistance for the GWAS panel

Env	Mean (%)	Range (%)	SD	CV	Skewness	Kurtosis	H^2	Correlation			σ^2_G	σ^2_{GE}
TL11	22.96	0-87	21.4	93.2%	1.1875	0.6274	0.89	1	0.64**	0.34**	0.040**	-
AF11	7.76	0-47	9.49	122.3%	2.4636	6.8599	0.71	0.54**	1	0.58**	0.016**	-
AF10	9.59	0-61	8.99	93.7%	1.9646	5.1865	0.68	0.26**	0.44**	1	0.005**	-
Combine	16.03	0-74	12.1	75.5%	1.2374	1.3768	0.66	0.88**	0.79**	0.59**	0.014**	0.015**

Note: Env: Environments; SD: Standard Deviation; CV: Coefficient of Variation; Correlation below the diagonal is phenotypic correlation coefficient; Correlation above the diagonal is genotypic correlation coefficient; **: Significance at $p=0.01$; σ^2_G : genetic variance; σ^2_{GE} : genotype–environment interactions variance.

Table 2 Phenotypic (below the diagonal) and genetic (above the diagonal) correlation coefficient between *Fusarium* ear rot resistance and agronomic traits.

Variable	Ear Rot (PIA)	Days to Anthesis (DTA)	Days to Silking (DTS)	Plant Height	Ear Height	Stem Lodging	Bad Husk Cover
Ear Rot (PIA)	1	-0.07*	0.10**	-0.13*	-0.11*	0.38**	-0.03
Anthesis	-0.06	1	0.97**	0.25**	0.28**	-0.20**	-0.36**
Silking	-0.08*	0.92**	1	0.28**	0.29**	-0.29**	-0.34**
Plant Height	-0.11**	0.24**	0.25**	1	0.83**	0.13**	-0.23**
Ear Height	-0.10**	0.24**	0.23**	0.82**	1	0.07*	-0.22**
Stem Lodging	0.01	-0.01	-0.007	0.01	0.02	1	0.43**
Bad Husk Cover	0.00	-0.29**	0.29**	-0.21**	-0.19**	0.02	1

*: Indicates significance at $p=0.05$; **: Indicates significance at $p=0.01$

1 **Table 3** SNP and candidate genes significantly associated with *Fusarium* ear rot resistance and detected through single marker GWAS.

# ^a	SNP	Bin	Position ^b	MAF ^c	<i>p</i> -value	R ²	Candidate genes	SNP located ^d	Annotation
S1	PUT-163a-16926058-1127	1.00	2786055	0.39	9.16E-04	0.014	GRMZM2G041881	3 UTR	Nascent polypeptide-associated complex
S2	PZE-101018023	1.01	10506267	0.20	9.64E-04	0.014	GRMZM2G028469	promoter	-
S3	SYN19964	1.11	285314047	0.27	5.97E-04	0.015	GRMZM2G110295	3 UTR	Antifreeze protein
S4	SYN3011	1.11	286228712	0.14	6.25E-04	0.015	GRMZM2G178341	3 UTR	Ribosomal protein S13
S5	PZE-102018300	2.02	8733661	0.44	2.54E-04	0.018	GRMZM2G443445	Exon	GroES-like
S6	PZE-102073397	2.04	53583850	0.13	5.29E-04	0.017	GRMZM2G069093	promoter	Plant peroxidase
S7	PZE-103018799	3.03	10791638	0.26	1.99E-04	0.019	GRMZM2G024551	3 UTR	-
S8	PZE-103079779	3.05	128563291	0.13	8.10E-04	0.015	GRMZM2G175968	promoter	-
S9	SYN24165	3.06	187947934	0.26	6.02E-04	0.015	GRMZM2G085392	Exon	Dense granule Gra7 protein
S10	PZE-103149185	3.07	201056001	0.29	2.17E-04	0.017	AC207628.4	intron	IQ calmodulin-binding region
S11	PZE-104001384	4.01	1497071	0.22	2.61E-04	0.018	GRMZM2G156346	promoter	Flagellar motor switch protein
S12	PZE-104025032	4.04	29025217	0.39	5.31E-04	0.016	Intergenic	-	-
S13	SYN6472	4.08	183999530	0.41	9.27E-04	0.014	GRMZM2G115499	Exon	-
S14	PZE-104130779	4.09	217656184	0.33	3.27E-04	0.017	GRMZM2G702806	Exon	2-oxoglutarate (2OG) and Fe(II)-dependent oxygenase superfamily protein
S15	PZE-104130780	4.09	217656207	0.33	3.76E-04	0.016	GRMZM2G702806	Exon	2-oxoglutarate (2OG) and Fe(II)-dependent oxygenase superfamily protein
S16	PZE-104130783	4.09	217656309	0.33	1.97E-04	0.018	GRMZM2G702806	Exon	2-oxoglutarate (2OG) and Fe(II)-dependent oxygenase superfamily protein
S17	PZE-105024161	5.02	11879005	0.21	7.66E-04	0.014	Intergenic	-	-
S18	PZE-105029276	5.02	15202871	0.45	5.64E-05	0.021	Intergenic	-	-
S19	PZE-105029277	5.02	15202993	0.44	1.57E-04	0.018	Intergenic	-	-

S20	SYN32921	5.03	72324287	0.09	1.52E-04	0.021	GRMZM2G029879	intron	Cyclin-related
S21	PZE-105116484	5.04	172983404	0.17	5.06E-05	0.021	GRMZM2G128146	promoter	Glucose/ribitol dehydrogenase
S22	PZE-105116502	5.04	172990198	0.16	1.32E-04	0.018	GRMZM2G128228	Exon	-
S23	PZE-106068510	6.05	121834796	0.31	2.69E-04	0.017	GRMZM2G341027	Exon	-
S24	SYN12691	6.07	164074687	0.37	8.67E-04	0.014	Intergenic	-	-
S25	PZE-108104835	8.06	158591683	0.41	7.58E-04	0.014	GRMZM2G002135	5 UTR	Phospholipid/glycerol acyltransferase family protein
S26	PZE-109011484	9.01	11972127	0.14	9.39E-04	0.014	GRMZM2G467169	3 UTR	-
S27	PZE-109031748	9.03	37162489	0.23	5.06E-04	0.015	GRMZM2G034318	promoter	-
S28	PZE-109031963	9.03	37423712	0.17	6.24E-05	0.020	Intergenic	-	-
S29	PZE-109050938	9.03	85677755	0.24	3.63E-04	0.016	GRMZM2G095206	Exon	Glucose/ribitol dehydrogenase
S30	PZE-109050944	9.03	85678508	0.24	4.77E-04	0.016	GRMZM2G095206	5 UTR	Glucose/ribitol dehydrogenase
S31	SYN6661	9.08	150241000	0.14	7.86E-04	0.014	GRMZM2G148057	intron	Kinase interacting (KIP1-like) family protein
S32	PZE-110012997	10.02	11675413	0.29	2.50E-04	0.017	GRMZM2G413943	Exon	-
S33	PZE-110022153	10.03	30829449	0.10	8.33E-05	0.019	GRMZM2G010669	5 UTR	Transcription factor, MADS-box
S34	PZE-110022154	10.03	30829471	0.10	5.00E-05	0.021	GRMZM2G010669	5 UTR	Transcription factor, MADS-box
S35	PZE-110022412	10.03	31526825	0.14	6.75E-04	0.014	GRMZM2G560307	promoter	-
S36	PZE-110022609	10.03	32154695	0.14	2.11E-04	0.017	GRMZM2G544512	promoter	-
S37	PZE-110022613	10.03	32155942	0.14	5.81E-04	0.015	Intergenic	-	-
S38	PZE-110022625	10.03	32159272	0.14	9.98E-04	0.013	Intergenic	-	-
S39	PZE-110022694	10.03	32402406	0.13	1.36E-04	0.018	Intergenic	-	-
S40	PZE-110022708	10.03	32475067	0.14	2.00E-04	0.017	Intergenic	-	-
S41	PZE-110022724	10.03	32493898	0.14	2.74E-04	0.017	GRMZM2G027431	5 UTR	Putative endonuclease or glycosyl hydrolase
S42	PZE-110022808	10.03	32797753	0.15	4.13E-04	0.016	Intergenic	-	-

S43	PZE-110022827	10.03	32979981	0.14	1.59E-04	0.018	GRMZM2G109783	promoter	Protein kinase C
S44	PZE-110022852	10.03	33120424	0.13	9.15E-05	0.019	Intergenic	-	-
S45	PZE-110022891	10.03	33194481	0.14	6.54E-04	0.015	Intergenic	-	-

2 Note: ^a: the name used in the software BioMereator V3.0. ^b: The physical position based on B73 reference genome v1 (B73
3 RefGen_V1).

4

5

6 **Table 4** Haplotypes and respective candidate genes that were significantly associated with *Fusarium* ear rot resistance detected
7 through haplotype based GWAS.

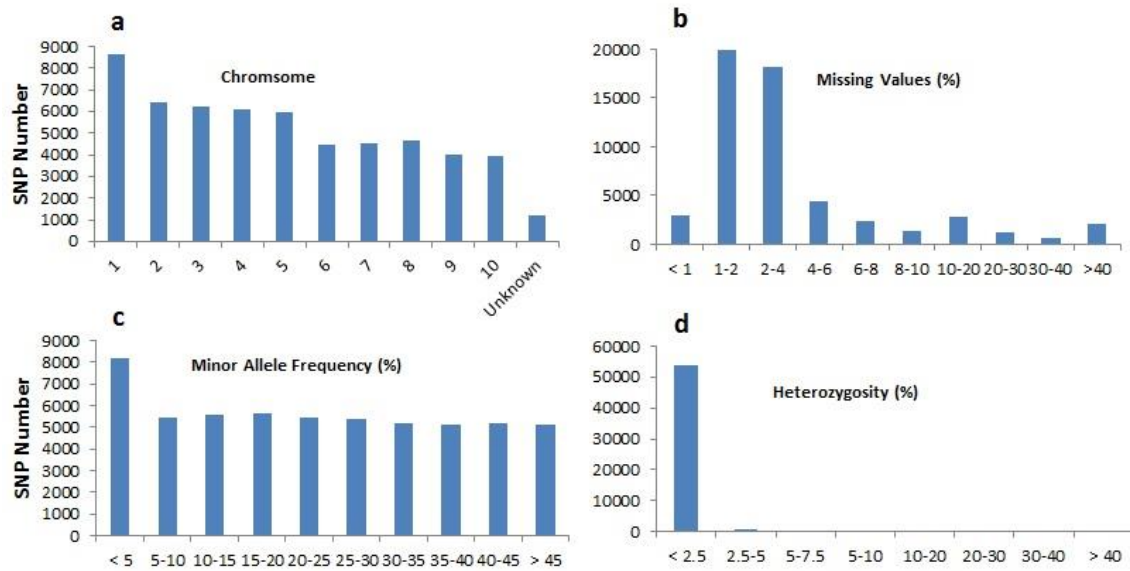
# ^a	Haplotype	Bin	First marker position ^b	End marker position ^b	SNPs number	Alleles number	<i>p</i> -value	R ²	Candidate genes	Annotation
H1	1459	2.05	88710768	88847068	4	5	8.94E-04	0.027	AC204390.3	-
H2	1460	2.05	89154656	89280724	8	6	7.50E-04	0.032	GRMZM2G091313	-
H3	1467	2.05	91759712	91845565	5	5	9.00E-05	0.031	GRMZM2G562083	-
H4	3606	5.02	15202871	15202993	2	4	5.04E-04	0.023	GRMZM2G100412	Oxidation reduction
H5	3693	5.03	36846799	37030576	11	6	3.96E-04	0.031	GRMZM2G350853	-
H6	4168	5.04	172983404	173032965	4	7	4.96E-04	0.031	GRMZM2G128146	Glucose/ribitol dehydrogenase
H7	5049	7.02	45334864	45530990	4	3	1.09E-05	0.031	GRMZM2G058128	-
H8	5053	7.02	46245964	46406735	8	9	7.02E-04	0.041	GRMZM2G095557	-
H9	5075	7.02	53371838	53372042	2	3	5.16E-04	0.020	GRMZM2G023184	DNA topological change
H10	5076	7.02	53609623	53610328	2	3	4.45E-07	0.039	GRMZM2G513532	-
H11	5080	7.02	55590091	55778923	4	6	5.13E-06	0.043	GRMZM2G048257	zinc ion binding
H12	5083	7.02	56459593	56460086	2	4	8.02E-04	0.022	-	-
H13	5754	8.03	86545938	86546527	2	4	1.09E-04	0.027	GRMZM2G415172	C5YXL1_SORBI Putative uncharacterized protein Sb09g019530
H14	5923	8.05	125354692	125362629	2	4	4.49E-04	0.023	AC197021.3	Zinc finger family protein
H15	6676	10.03	30829449	30829471	2	2	7.74E-05	0.020	GRMZM2G010669	Transcription factor, MADS-box

8 Note: ^a: the name used in the software BioMereator V3.0. ^b: The physical position based on B73 reference genome v1 (B73
9 RefGen_V1).

10 **Table 5** QTL mapping of *Fusarium* ear rot resistance in four bi-parental populations.

Population	Name	Bin	Position	Left Marker	Right Marker	LOD	PVE (%)	Add ^a	Dom ^a
POP1	Q1	1.04	83	PZA03168_5	PZA01267_3	3.68	5.68	4.55	-
POP1	Q2	1.07	166	PHM5480_17	PHM14614_22	4.77	5.99	-4.68	-
POP1	Q3	2.03/04	56	PZA00590_1	PZA02378_7	11.15	15.41	7.57	-
POP1	Q4	3.06/07	70	PZA03647_1	PHM13673_53	3.62	4.26	3.96	-
POP1	Q5	5.03	56	PHM12992_5	PHM2524_4	10.24	13.56	7.1	-
POP2	Q6	1.03/04	2	PZA02490_1	PZA00240_6	8.08	11.36	6.67	-0.53
POP2	Q7	3.05	54	PZB02179_1	PHM9914_11	4.85	6.11	-4.58	2.15
POP2	Q8	4.03/04	26	PZA02358_1	PHM3112_9	6.53	9.27	-5.83	0.09
POP2	Q9	4.06/08	50	PHM5572_19	PHM14618_11	3.19	3.93	-1.03	5.29
POP2	Q10	9.01/02	8	sh1_12	PHM9374_5	3.47	3.94	3.74	1.12
POP2	Q11	10.03	36	PHM4066_11	PZA03607_1	5.28	7.82	5.45	0.06
POP3	Q12	5.03	32599447	PHM4647_8	-	3.06	4.96	0.09	-0.02
POP3	Q13	5.04	164230168	PZA00148_3	-	4.19	6.73	0.11	-0.01
POP3	Q13	5.04	166468431	PZA02981_2	-	4.1	6.59	0.11	-0.01
POP3	Q13	5.05	179060561	PHM1899_157	-	3.08	4.99	0.09	0.02
POP3	Q13	5.05	179953106	PZA02633_4	-	2.81	4.56	0.09	0.03
POP3	Q13	5.05	180603220	PZA02356_7	-	2.81	4.56	0.09	0.03
POP4	Q14	2.04	40967991	PHM10404_8	-	7.95	12.56	3.9	-0.55
POP4	Q15	2.06	166659759	PZA03692_1	-	10.2	15.8	4.12	-1.11
POP4	Q15	2.07	176000581	PZA00224_4	-	10.22	15.84	4.04	-0.59
POP4	Q15	2.07	194696039	PHM793_25	-	9.42	14.69	4	-0.74

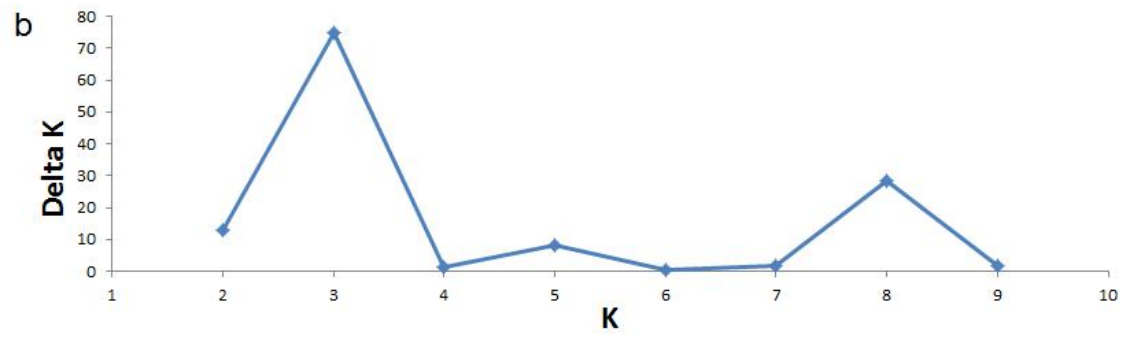
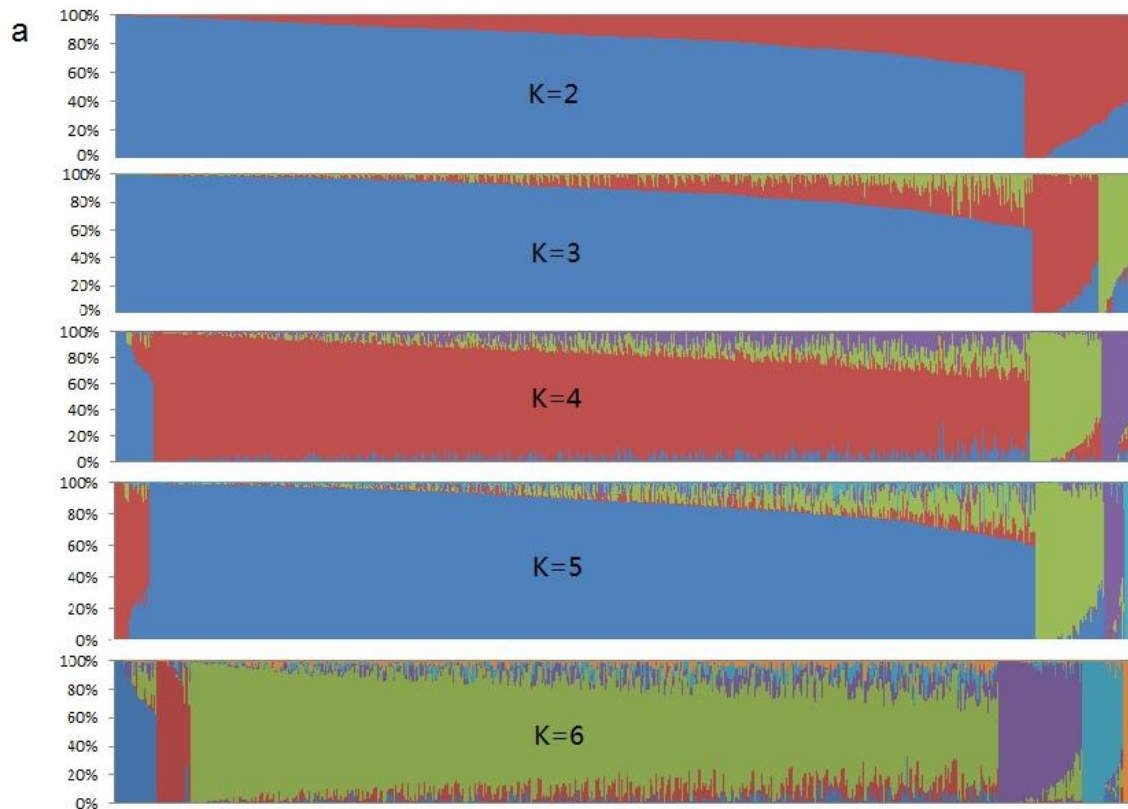
11 Note: Name: Indicate the QTL name used in the software BioMereator V3.0. Position: For POP1 and POP2 indicates the genetic
12 position on the linkage map; for POP2 and POP3 indicated the physical position of the marker on B73 reference genome
13 (B73Ref_V1). LOD: logarithm of odds ratio. PVE: phenotypic variance explained. Add: Additive effect. Dom: Dominance effect.
14 ^a:The positive value means the favorite allele come from resistant parent and negative value means the favorite allele come from
15 susceptible parent.



16

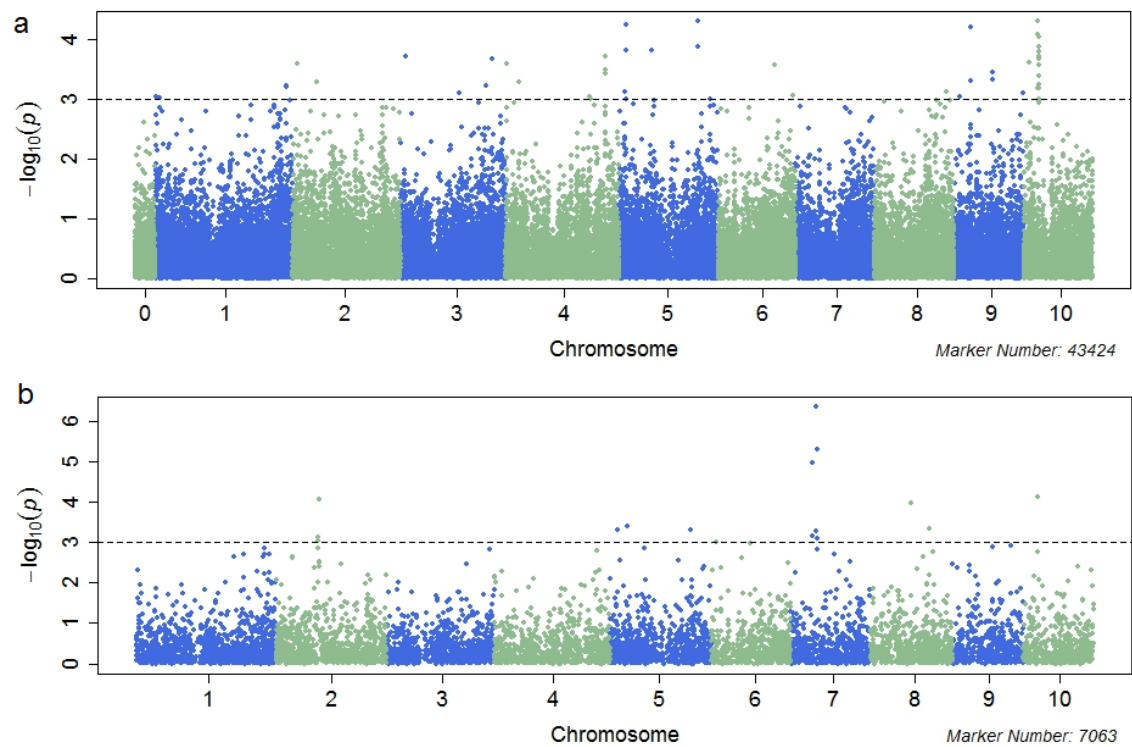
17

18 **Figure 1** The number of SNP markers per chromosome (a), SNP marker missing value (b), minor allele frequency (c) and marker
 19 heterozygosity (c) among 854 maize inbred lines that were genotyped.



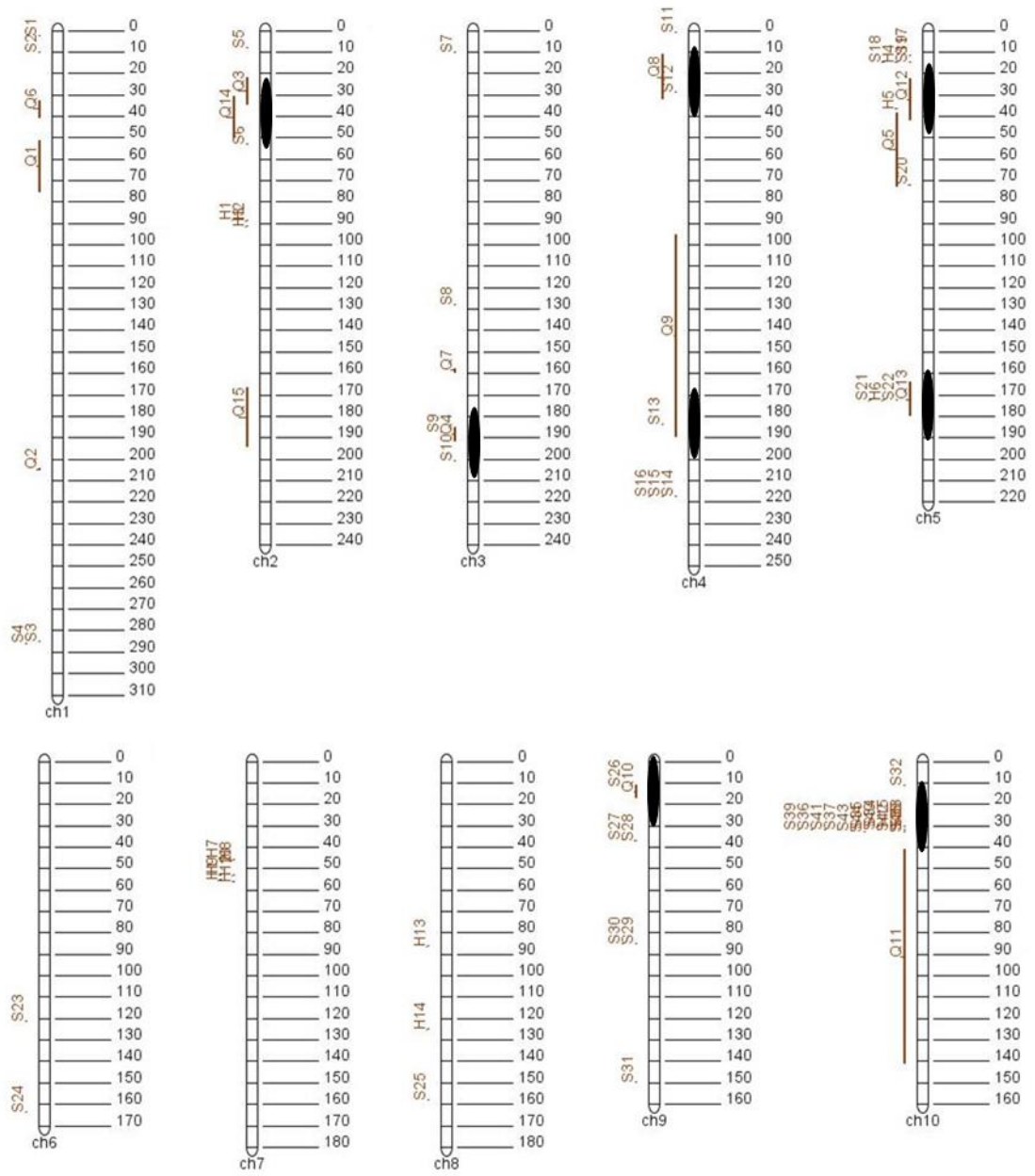
21 **Figure 2** Estimation of number of sub-populations (K) in 818 maize inbred lines used for GWAS analysis using unlinked 2000
22 random SNP markers. a) Population structure of maize inbred line panel from $K=2$ to $K=6$. The genotype of each line on the figure is
23 represented by a colored line where each color reflects the membership of a cultivar in one of the K clusters. b) Estimation of number
24 of sub-populations (K) in maize inbred line panel using ΔK values.

25



26
27

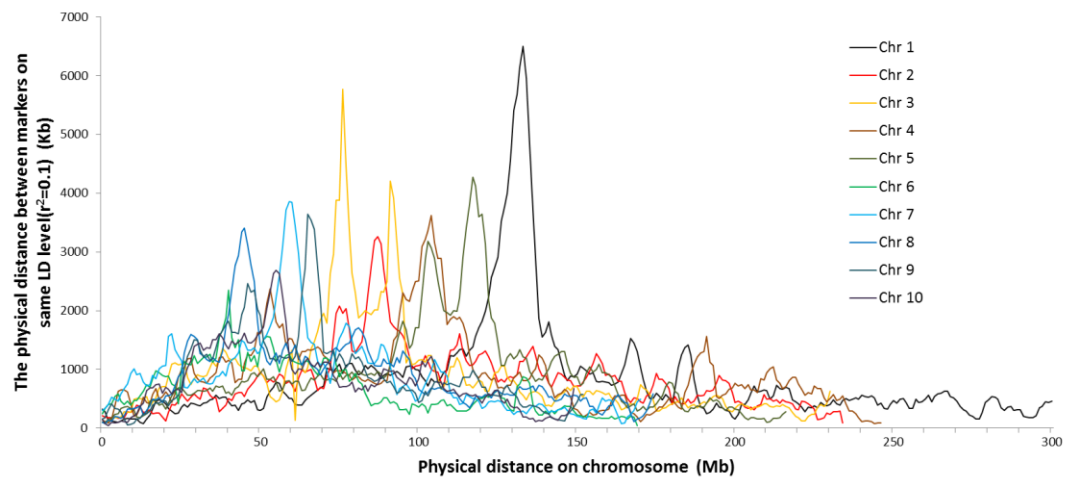
28 **Figure 3** Manhattan plot of genome-wide association analysis (GWAS) for fusarium ear rot resistance (FER) with mixed linear model
29 and combined phenotypic data from three environments; a: single marker GWAS; b: Haplotype based GWAS. The vertical axis
30 indicates $-\log_{10}$ of p -value scores, and the horizontal axis indicates chromosomes and physical positions of SNPs.



32 **Figure 4** Visualization of all loci associated with *Fusarium* ear rot resistance that were detected in this study using the software
33 BioMereator V3.0. The black points presence location of the eight loci detected by both GWAS and linkage mapping. The numbers on
34 right of the chromosome indicate the physical position of the chromosome with Mb (Million base pair) as unit.

35

36
37



38

39

40 **Figure 5.** Linkage disequilibrium (LD) decay distance on each of the 10 maize chromosomes for the GWAS panel used