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### **Empirical approaches for assessing impacts of climate change on agriculture: The EcoCrop model and a case study with grain sorghum**

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#### **Citation:**

Ramirez-Villegas J, Jarvis A, Läderach P. 2013. Empirical approaches for assessing impacts of climate change on agriculture: The EcoCrop model and a case study with grain sorghum. *Agricultural and Forest Meteorology* 170:67-78

#### **Publisher's DOI:**

<https://dx.doi.org/10.1016/j.agrformet.2011.09.005>

#### **Access through CIAT Research Online:**

<http://hdl.handle.net/10568/42056>

#### **Terms:**

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# Empirical Approaches for Assessing Impacts of Climate Change on Agriculture: The EcoCrop Model and a Case Study with Grain Sorghum

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## Target Journal

Agricultural and Forest Meteorology (AFM)

## Abstract

Climate has been changing in the last three decades and will continue changing regardless of any mitigation strategy. Agriculture is a climate-dependent activity and hence is highly sensitive to climatic changes and climate variability. Nevertheless, there is a knowledge gap when agricultural researchers intend to assess the production of minor crops for which data or models are not available. Therefore, we integrated the current expert knowledge reported in the FAO-EcoCrop database, with the basic mechanistic model (also named EcoCrop), originally developed by Hijmans et al. (2001). We further developed the model, providing calibration and evaluation procedures. To that aim, we used sorghum (*Sorghum bicolor* Moench) as a case study and both calibrated EcoCrop for the sorghum crop and analyzed the impacts of the SRES-A1B 2030s climate on sorghum climatic suitability. The model performed well, with a high true positive rate (TPR) and a low false negative rate (FNR) under present conditions when assessed against national and subnational agricultural statistics (min TPR=0.967, max FNR=0.026). The model predicted high sorghum climatic suitability in areas where it grows optimally and matched the sorghum geographic distribution fairly well. Negative impacts were predicted by 2030s. Vulnerabilities in countries where sorghum cultivation is already marginal are likely (with a high degree of certainty): the western Sahel region, southern Africa, northern India, and the western coast of India are particularly vulnerable. We highlight the considerable opportunity of using EcoCrop to assess global food security issues, broad climatic constraints and regional crop-suitability shifts in the context of climate change and the possibility of coupling it with other large-area approaches.

*Keywords: climatic suitability, modeling, impacts, adaptation, EcoCrop, sorghum*

## 1. Introduction

Climate has been changing in the last three decades and will continue changing regardless of any mitigation strategy (IPCC, 2001, 2007). By mid-21<sup>st</sup> century, temperatures are predicted to increase about 3-5°C (depending on the greenhouse gas emission pathway, though with uncertainties in the climate system response), while precipitation patterns (in amount, seasonality, and intensity) are predicted to shift (Arnell et al., 2004; IPCC, 2007; Meehl et al., 2005). In all the world's economies, agriculture is amongst the most vulnerable of sectors to these changes in climate (Gregory et al., 2005; Jarvis et al., 2010; Thornton et al., 2011), it is the basis for food security and economic sustainability and provides the necessary input for sustaining people's livelihoods, regardless of their economic status (FAO, 2009, 2010c). In developing countries, agriculture is a key driver of national and local economies and the way households live largely depends on what they can grow and how efficiently they can do it.

Several authors report that agricultural production could suffer progressive yield losses in the next hundred years (Challinor et al., 2009, 2010; IPCC, 2007; Lobell et al., 2008; Thornton et al., 2011). While the recent successes in the climate negotiations are promising, it is still unknown how or to what extent the emissions cuts will affect global temperature rises. The effects of a +4°C warmer world could be disastrous without adequately guided adaptation processes (Thornton et al., 2011). In particular, in the tropics and subtropics, current crop varieties of several crops would be unlikely to produce under extreme conditions (Byjesh et al., 2010; Challinor et al., 2005, 2010), since crop niches in these regions (Fuller, 2007), are highly sensitive to changes and variations in climates (Lane and Jarvis, 2007), and adaptation processes are likely to face numerous constraints (Thornton et al., 2011)

Despite that, there is still no consensus on the magnitude of climate change impacts on crop production, due in part to a lack of understanding of crop growth processes and in other part to a general lack of coordination among crop modelers. To date, more than one hundred crop models exist, and each makes different assumptions and holds different uncertainties (Challinor et al., 2009; Rivington and Koo, 2011). Filling in these information gaps and delivering this key information to guide adaptation processes in the field is not an easy task, particularly for underutilized or neglected crops. Because of the absence of precise methods to evaluate yield response to climate, there are still hundreds of regionally-relevant crops that have been poorly researched. For these crops, suitability indices have been used by several researchers as a proxy to evaluate the response of a variable (or mixture of variables) to a set of environmental factors (Lane and Jarvis, 2007; Nisar Ahamed et al., 2000; Schroth et al., 2009). These indices have been developed as proxies to quantify the relationship between climate and crop performance when no detailed information is available.

In this paper, we integrate the current expert-based ecological ranges data reported in the FAO-EcoCrop database (FAO, 2000) with the basic mechanistic model (also named EcoCrop) originally implemented in DIVA-GIS (Hijmans et al., 2001) to evaluate the likely impacts of climate change on agricultural production. We propose a modification of the original algorithm implemented by Hijmans et al. (2001) and use sorghum (*Sorghum bicolor* Moench) as a case study for developing our model. We choose sorghum on the basis of the crop's importance—it is an important and widely

51 adapted small-grain cereal grown in the tropics and subtropics (Craufurd et al., 1999),  
52 ranking 6<sup>th</sup> globally in total harvested area after wheat, rice, maize, soybean, and  
53 barley (FAO, 2010b)—in addition to the availability of calibration and evaluation  
54 data. We use current detailed distribution of climates from WorldClim (Hijmans et al.,  
55 2005) along with a calibrated set of growing parameters and develop a set of metrics  
56 and specific calculations to determine current suitability on a geographic basis over  
57 Africa and South-east Asia. We then project the model using a set of 24 statistically  
58 downscaled Global Circulation Models (GCMs) for the SRES-A1B emissions  
59 scenario (Ramirez and Jarvis, 2010; Tabor and Williams, 2010; Wilby et al., 2009) by  
60 2030s (2020-2049). Finally, we assess the impacts of climate change on sorghum  
61 climatic suitability, identify the main caveats and advantages of our approach,  
62 compare our results for different regions with the results of other studies, and assess  
63 and note the main model- and climate-driven uncertainties.

64

65

## 66 **2. Materials and methods**

67

68 The approach shown in this paper has mainly three different steps: (1) the first step  
69 includes the description of the model, its parameterization, and the description of  
70 input climatic datasets; (2) the second step involves the implementation of the model  
71 in a case study with sorghum in Africa and South Asia; and (3) the third step consists  
72 of the post-modeling calculations, and the description of the usage and interpretation  
73 of relevant metrics.

74

75

### 76 **2.1. Model description**

77

78 The basic mechanistic model (EcoCrop) we implemented uses environmental ranges  
79 as inputs to determine the main niche of a crop and then produces a suitability index  
80 as output. The model was originally developed by Hijmans et al. (2001) and named  
81 EcoCrop since it was based on the FAO-EcoCrop database (FAO, 2000).

82

83 In the model, there are two ecological ranges for a given crop, each one defined by a  
84 pair of parameters for each variable (i.e. temperature and rainfall). First, the absolute  
85 range, defined by  $T_{MIN-C}$  and  $T_{MAX-C}$  (minimum and maximum absolute temperatures  
86 at which the crop can grow, respectively) for temperature, and by  $R_{MIN-C}$  and  $R_{MAX-C}$   
87 (minimum and maximum absolute rainfall at which the crop grows, respectively) for  
88 precipitation; and second, the optimum range, defined by  $T_{OPMIN-C}$  and  $T_{OPMAX-C}$   
89 (minimum optimum and maximum optimum temperatures, respectively), and  $R_{OPMIN-C}$   
90 and  $R_{OPMAX-C}$  (minimum optimum and maximum optimum rainfall, respectively). An  
91 additional temperature parameter is used ( $T_{KILL}$ ) to illustrate the effect of a month's  
92 minimum temperature (explained below).

93

94 When the conditions over the growing season (i.e. temperature, rainfall) at a particular  
95 place are beyond the absolute thresholds there are no suitable conditions for the crop  
96 (white area, Figure 1A); when they are between absolute and optimum thresholds  
97 (dark grey area, Figure 1A) there are a range of suitability conditions (from 1 to 99),  
98 and whenever they are within the optimum conditions (light grey area, Figure 1 left)  
99 there are highly suitable conditions and the suitability score is 100%. The model

100 performs two different calculations separately, one for precipitation and the other for  
 101 temperatures and then calculates the interaction by multiplying them (Figure 1B).

102

103

104

**INSERT FIGURE 1**

105 The first parameter that requires definition is the duration of the crop's growing  
 106 season ( $G_{AVG}$ , in months). For a given site ( $P$ ), for each month ( $i$ ) of the growing  
 107 season and for each of the 12 potential growing seasons of the year (assuming each  
 108 month is potentially the first month of the crop's growing season), the temperature  
 109 suitability ( $T_{SUIT}$ ) is calculated by comparing the different crop parameters with the  
 110 climate data at that site (Eqn. 1)

111

$$112 \quad T_{SUITi} = \begin{cases} 0 & T_{MIN-Pi} < T_{KILL-M} \\ 0 & T_{MEAN-Pi} < T_{MIN-C} \\ a_{T1} + m_{T1} * T_{MEAN-Pi} & T_{MIN-C} \leq T_{MEAN-Pi} < T_{OPMIN-C} \\ 100 & T_{OPMIN-C} \leq T_{MEAN-Pi} < T_{OPMAX-C} \\ a_{T2} + m_{T2} * T_{MEAN-Pi} & T_{OPMAX-C} \leq T_{MEAN-Pi} < T_{MAX-C} \\ 0 & T_{MEAN-Pi} \geq T_{MAX-C} \end{cases} \quad [Eqn. 1]$$

113

114 Where  $T_{SUITi}$  is the temperature suitability index for the month  $i$ ,  $T_{MIN-C}$ ,  $T_{OPMIN-C}$ ,  
 115  $T_{OPMAX-C}$  and  $T_{MAX-C}$  are defined on a crop basis,  $a_{T1}$  and  $m_{T1}$  are the intercept and  
 116 slope (respectively) of the regression curve between  $[T_{MIN-C}, 0]$  and  $[T_{OPMIN-C}, 100]$ ,  
 117  $a_{T2}$  and  $m_{T2}$  are the intercept and slope (respectively) of the regression curve between  
 118  $[T_{OPMAX-C}, 100]$  and  $[T_{MAX-C}, 0]$ .  $T_{MIN-Pi}$  is the minimum temperature of the month  $i$  at  
 119 the site  $P$ ,  $T_{MEAN-Pi}$  is the mean temperature of the month  $i$ ,  $T_{KILL-M}$  is the crop's killing  
 120 temperature plus 4°C. The model assumes that if the minimum temperature of the  
 121 month in a particular place is below  $[T_{KILL}+4^\circ\text{C}]$ , then the minimum absolute killing  
 122 temperature will be reached in at least one day of the month, and the crop will freeze  
 123 and fail. The final temperature suitability ( $T_{SUIT}$ ) is the minimum value of all 12  
 124 potential growing seasons.

125

126 For precipitation, the calculation is done only once, using the crop's growing season  
 127 total rainfall (sum of the rainfall in all the growing season's months), and using both  
 128 the minimum, and maximum absolute and optimum crop's growing parameters (Eqn.  
 129 2)

130

$$131 \quad R_{SUIT} = \begin{cases} 0 & R_{TOTAL-P} < R_{MIN-C} \\ a_{R1} + m_{R1} * R_{TOTAL-P} & R_{MIN-C} \leq R_{TOTAL-P} < R_{OPMIN-C} \\ 100 & R_{OPMIN-C} \leq R_{TOTAL-P} < R_{OPMAX-C} \\ a_{R2} + m_{R2} * R_{TOTAL-P} & R_{OPMAX-C} \leq R_{TOTAL-P} < R_{MAX-C} \\ 0 & R_{TOTAL-P} \geq R_{MAX-C} \end{cases} \quad [Eqn. 2]$$

132

133 Where  $R_{TOTAL-P}$  is the total rainfall of the crop's growing season at site  $P$ ,  $R_{SUIT}$  is the  
 134 rainfall suitability score, the crop parameters ( $R_{MIN-C}$ ,  $R_{OPMIN-C}$ ,  $R_{OPMAX-C}$  and  $R_{MAX-C}$ )  
 135 are defined on a crop basis,  $a_{R1}$  and  $m_{R1}$  are the intercept and the slope of the  
 136 regression curve between  $[R_{MIN-C}, 0]$  and  $[R_{OPMIN-C}, 100]$ , and  $a_{R2}$  and  $m_{R2}$  are the  
 137 intercept and the slope of the regression curve between  $[R_{OPMAX-C}, 100]$  and  $[R_{MAX-C},$

138 0]. Finally, the total suitability score is the product (multiplication) of the temperature  
139 and precipitation suitability surfaces calculated separately (Eqn. 3).

$$140 \quad S_{UIT} = R_{S_{UIT}} * T_{S_{UIT}} \quad [\text{Eqn. 3}]$$

142  
143 All the model parameters (i.e.  $T_{KILL}$ ,  $T_{MIN-C}$ ,  $T_{OPMIN-C}$ ,  $T_{OPMAX-C}$ ,  $T_{MAX-C}$ ,  $R_{MIN-C}$ ,  $R_{OPMIN-}$   
144  $C$ ,  $R_{OPMAX-C}$ ,  $R_{MAX-C}$ ) are referred to as “crop ecological parameters” hereafter.

## 146 147 2.2. Model calibration

148  
149 The process we call model calibration is the process of statistically finding the correct  
150 ecological parameters for the crop to be modeled, based on point-based crop presence  
151 and 20<sup>th</sup> century spatially explicit climatology data. We selected sorghum in Africa  
152 and South Asia as a case study for testing the parameter selection process. We  
153 selected these geographical areas because (1) they are of high relevance under the  
154 context of climate change and are predicted to receive severe negative impacts (IPCC,  
155 2007), and (2) it has been the focus of several research programs up until now.  
156 Similarly, we selected sorghum for several reasons: (1) is an important crop for rural  
157 communities in developing countries in Africa and Asia (our study area), (2) there are  
158 enough data on it for the proposed calibration, (3) FAOSTAT ranks it 6<sup>th</sup> in area  
159 harvested, so it is very likely that there are ample national statistics for evaluation, and  
160 (4) it has been assessed in other studies related to climate change, allowing us to  
161 compare our results with these.

### 162 163 164 2.2.1. Present climate data

165  
166 As the EcoCrop model is intended to be applied over a geographic domain rather than  
167 a single point, present climate data for model calibration needs to (1) have enough  
168 spatial coverage to permit analysis of the whole region of study, (2) have adequate  
169 spatial resolution to provide a decent and realistic representation of current climates  
170 and landscape features. Since the end goal is to predict the impacts of progressive  
171 climate change, climate data also need to provide a representation of present day  
172 climates as an average over a baseline period.

173  
174 Towards that end, we have selected WorldClim (Hijmans et al., 2005), available at  
175 <http://www.worldclim.org>. These data represent present (1950-2000 averages)  
176 monthly climatology (maximum, minimum and mean temperatures, and total monthly  
177 precipitation). We downloaded the data at 2.5 arc-minute spatial resolution  
178 (approximately 5 km at the equator) for four variables (rainfall, and maximum,  
179 minimum and mean temperature) for each of the 12 months of the year.

### 180 181 182 2.2.2. Crop data

183  
184 We harvested data on the presence of the crop from the GENESYS portal  
185 (<http://www.genesys-pgr.org>). The data consisted of geographic coordinates of 18,955  
186 accessions of sorghum (*Sorghum bicolor*) landraces collected in areas where the crop  
187 is grown. The harvested data were carefully verified for the consistency of its

188 geographic coordinates (latitude, longitude) and corrected whenever necessary. We  
189 selected only unique locations in 2.5 arc-minute spatial resolution gridcells for all  
190 further steps (3,681 locations, “crop dataset” hereafter). We prefer to use crop  
191 locations as given by landraces since the alternative approach of using crop  
192 distribution gridded data (Monfreda et al., 2008; You et al., 2009) can lead to  
193 inaccuracies due to the known biases in those datasets.

194

195 We acknowledge that by using a set of landrace accessions we might be capturing a  
196 wide range of the crop’s genetic variation, and therefore capturing a wide range of  
197 abiotic adaptations. Given the fact that the approach proposed here intends to develop  
198 a distributional range for the crop rather than for a particular genotype, we decided to  
199 use the whole set of accessions. In some cases, this approach might lead to the  
200 detection of different parameterizations yielding different results and differently  
201 fitting the data, an issue we cope with in subsequent sections.

202

203

### 204 2.2.3. Determination of ecological parameters

205

206 The aim of determining the ecological parameters is to explore the data using some  
207 basic statistical concepts and understand the ecological ranges of the crop. We used  
208 80% of the presence points to calculate different ecological parameter sets, and the  
209 remaining 20% for selecting the correct parameter set and perform the model runs.

210

211 For each of the data points in the crop dataset, we extracted the corresponding values  
212 (from the present climate dataset) for maximum and minimum temperature and total  
213 rainfall variables and for each of the 12 months of the year. Then, for each of 12  
214 potential growing seasons (assuming all months are equally likely to be the first  
215 month of the growing season), we calculate the average maximum and minimum  
216 temperatures and total rainfall. For each point, we then calculate the mean (ME),  
217 mode (MO), maximum (MX) and minimum (MN) of all growing seasons for each  
218 variable and each point. Finally, a total of 12 month-based potential growing seasons  
219 (starting in each of the 12 months) and 4 additional “fabricated” seasons (hence  
220 totaling 16) derived from initial set of 12 season (ME, MO, MX and MN) are  
221 produced for calculating different parameter sets as explained below.

222

223 For each of the growing seasons using all the presence points for each of the (3)  
224 variables and (16) growing seasons, a histogram is plotted, the mode is calculated, and  
225 five thresholds are extracted and assigned as the different ecological parameters to be  
226 used for running the EcoCrop model (Figure 2). For temperatures,  $T_{KILL}$  is assigned as  
227 the 95% class value to the left of the mode,  $T_{MIN-C}$  and  $T_{MAX-C}$  are assigned as the 80%  
228 class values to the left and right of the mode, respectively; and  $T_{OPMIN-C}$  and  $T_{OPMAX-C}$   
229 are assigned as 40% of the class values to the left and right of the mode, respectively  
230 (Figure 2A). For precipitation,  $R_{MIN-C}$  and  $R_{MAX-C}$  are assigned as the 80% class values  
231 to the left and right of the mode respectively, while  $R_{OPMIN-C}$  and  $R_{OPMAX-C}$  are  
232 assigned as 40% of the class values to the left and right of the mode, respectively  
233 (Figure 2B).

234

235

**[INSERT FIGURE 2 HERE]**

236



237 All the parameter sets are then used to drive the EcoCrop model. For each of the 16  
 238 potential growing seasons, we perform 2 runs of the model, one using the minimum  
 239 temperature parameter set and the other using the maximum temperature parameter  
 240 set; both of them use the same precipitation parameter set. Since it was observed in  
 241 early versions of these analyses that individual parameterizations might not work in  
 242 all cases, we combined the resulting suitability surfaces obtained from the maximum  
 243 and minimum temperatures parameter sets (Eqn. 4).  
 244

$$245 \quad SUIT_{TOTAL\ k} = \begin{cases} SUIT_{TMIN\ k} & SUIT_{TMIN\ k} \neq 0; SUIT_{TMAX\ k} = 0 \\ SUIT_{TMAX\ k} & SUIT_{TMIN\ k} = 0; SUIT_{TMAX\ k} \neq 0 \\ \frac{SUIT_{TMIN\ k}^2 + SUIT_{TMAX\ k}^2}{SUIT_{TMIN\ k} + SUIT_{TMAX\ k}} & SUIT_{TMIN\ k} \neq 0; SUIT_{TMAX\ k} \neq 0 \end{cases} \quad [Eqn. 4]$$

246  
 247 The calculation is done on a pixel basis.  $SUIT_{TMIN\ k}$  is the suitability of the pixel of the  
 248  $k$ -th growing season, as calculated with the minimum temperature parameter set;  
 249  $SUIT_{TMAX\ k}$  is the suitability of the pixel of the  $k$ -th growing season, as calculated with  
 250 the maximum temperature parameter set. In this way, a total of 48 suitability surfaces  
 251 are finally produced. Each one of them is assessed using the 20% remaining of the  
 252 data.

253  
 254 The distribution of the 20% randomly selected data should resemble the distribution  
 255 of the crop. The two measures of accuracy used to select the most accurate  
 256 parameterization are the omission rate (OR, Eqn. 5), and the root mean square error  
 257 (RMSE, Eqn. 6). A minimization of both values is not sought when assessing the  
 258 preliminary suitability runs for the reasons given as it is not certain how suitable these  
 259 environments are and therefore, in the comparison between the randomly selected  
 260 known presences of the crop and the suitability surfaces we cannot assume a presence  
 261 point means the crop is 100% suitable.  
 262

$$263 \quad OR = \frac{n_{NZ}}{n} \quad [Eqn. 5]$$

$$264 \quad RMSE = \sqrt{\frac{\sum_{p=1}^n X_p - 1^2}{n}} \quad [Eqn. 6]$$

266  
 267 Where  $n$  is the total number of points,  $X$  is the corresponding suitability value of the  
 268 point  $p$ , and  $n_{NZ}$  is the number of points that fall in suitable areas ( $SUIT > 0$ ). In  
 269 general, after observation of preliminary test runs of the model, a model with  $OR > 0.1$   
 270 and  $RMSE > 0.5$  was observed to heavily restrict the geographic distribution of the  
 271 crop. Runs with  $OR \leq 0.1$  and  $RMSE \leq 0.5$  are selected. From these, the one with  
 272 most accurate distributed prediction is chosen by examining the predictions against  
 273 the known distribution of the crop (Monfreda et al., 2008; You et al., 2009; You et al.,  
 274 2007). If the best growing season's suitability surface is  $SUIT_{TOTAL}$ , then this means  
 275 that despite there is one single niche, climatic constraints act differently depending

276 upon geographies, and hence two possible parameter sets for the crop, one derived  
277 from minimum temperatures and the other from maximum temperatures.

278

279

### 280 2.3. Modeling crop suitability

281

282 The modeling of the crop's suitability is a process that involves the evaluation of the  
283 model and the usage of the selected parameter set(s) to run the model using a certain  
284 (set of) climate scenario(s). Here we used a present climate scenario (given by  
285 WorldClim) and 24 different downscaled future climate scenarios.

286

287

#### 288 2.3.1. Present day climates run and model evaluation

289

290 Present day climate run consisted of applying the algorithm on a pixel basis using the  
291 selected parameterization and the climate data in WorldClim. We decided to test  
292 model predictions against the known presence of the crop, as reported in national and  
293 sub-national agriculture statistics. Four databases were queried, each with different  
294 gaps in the existing data (countries and years with data) and with different levels of  
295 detail (i.e. country, state, and district):

296

297 • FAOSTAT: the Food and Agriculture Organization (FAO) of the United  
298 Nations Statistics Database, containing several crops and (almost) all the  
299 countries in the world (FAO, 2010b).

300 • Agro-MAPS: a database developed by different organizations, also supported  
301 by FAO. It includes data at the state and district level, but its geographic  
302 coverage is not optimal (FAO, 2002).

303 • CountrySTAT: a database developed by FAO. Contains data at the state and  
304 district level, but the availability is not optimal both across time and space  
305 (FAO, 2010a).

306 • International Crops Research Institute for the Semi-Arid Tropics (ICRISAT):  
307 a database compiled by ICRISAT's Socio-economic Policy Division. Contains  
308 data for the period 1966-2000 for at least 80% of the districts in India  
309 (Challinor et al., 2004).

310

311 We performed the evaluation procedure at three different spatial levels: country, state,  
312 and district. For each of the administrative units for each of the spatial levels, the  
313 presence of the crop was assumed if the source reported at least one year with more  
314 than 10 ha within the study period (i.e. 1961-2000), and assumed suitable if there was  
315 at least one pixel suitable. As evaluation metrics, we calculate the true positive rate  
316 (*TPR*, Eqn. 7) as the number of features predicted and marked as suitable by the  
317 model (*NTP*) to the total number of available features to assess, and the false negative  
318 rate (*FNR*, Eqn. 8) as the number of features predicted by the model to not be suitable  
319 for the crop, but marked as cropped in national statistics (*NFN*) to the total number of  
320 available features to assess. Since the distribution of a crop is not only driven by  
321 climate, but also by political and socio-economic drivers, neither the true negative nor  
322 false positive rates could be calculated.

323

$$324 \quad TPR = \frac{NTP}{Total} \quad [Eqn. 7]$$

325

$$326 \quad FNR = \frac{NFN}{Total} \quad [\text{Eqn. 8}]$$

327

328 It was observed that the higher the resolution, the less the available data. The  
329 exception was India, covered by the ICRISAT dataset, which both was high in  
330 resolution and had extensive temporal and within-country geographic coverage (Table  
331 1).

332

**[INSERT TABLE 1 HERE]**

333

334  
335 The available data are rather poor for some datasets, particularly CountrySTAT,  
336 which had only 11.8% states in the whole study region. For some datasets (i.e. Agro-  
337 MAPS, CountrySTAT) there was no single feature (i.e. state, district) with at least  
338 50% of the years available. We also compared our parameterization with that in the  
339 FAO-EcoCrop database, to test the agreement of both and highlight the importance  
340 and relevance of the data at FAO.

341

342

343 2.3.2. Future climatic data

344

345 We downloaded projections of future climate used here from the Coupled Model  
346 Intercomparison Project Phase 3 (CMIP3) database. We downloaded monthly time  
347 series of maximum, minimum, and mean temperature, and total rainfall from  
348 <https://esg.llnl.gov:8443/index.jsp> for the 20<sup>th</sup> century and SRES-A1B 21<sup>st</sup> century  
349 simulations, from 24 different coupled global climate models -GCMs (Table 2) used  
350 in the IPCC Fourth Assessment Report (IPCC, 2007) for two different periods: (a)  
351 baseline (1961-1990), and (b) 2030s (2020-2049). We downscaled the data as  
352 described in Ramirez and Jarvis (2010).

353

**[INSERT TABLE 2 HERE]**

354

355

356 Although we acknowledge this type of downscaling is referred to as “unintelligent”  
357 (Thornton et al., 2011; Wilby et al., 2009), it is often the only option when assessing  
358 impacts at higher spatial scales than GCM resolutions and in areas with considerable  
359 variability in orography (Ramirez and Jarvis, 2010; Tabor and Williams, 2010).  
360 Finally, we obtained a total of 24 future scenarios at the same spatial resolution of  
361 WorldClim data (i.e. 2.5 arc-minutes). Each of these scenarios represent monthly  
362 means of maximum, minimum, and mean temperatures, and total rainfall, for the  
363 SRES-A1B emission scenario by 2030s. We selected this time-slice and scenario  
364 because the 2030s are at a close time horizon by which most of the necessary  
365 adaptation strategies for climate change-vulnerable crops should be in place.  
366 Additionally, by 2030s there is not much difference between the different SRES  
367 storylines (Arnell et al., 2004; IPCC, 2007)

368

369

370 2.3.3. Relations to yield, assessing impacts and uncertainties

371

372 Using crop distributions as reported by You et al. (You et al., 2009) we compared the  
373 numerical output of EcoCrop with yield by extracting 1,000 random points over the

374 study area from areas that did not have optimal (100%) or no (0%) suitability in  
375 EcoCrop. The latter was done to avoid biases, as there are other factors that drive crop  
376 yields and it is likely that 100% suitable areas would have low values due to other  
377 factors. We then did a basic exploration of the data using quantile plots and dispersion  
378 diagrams.

379

380 For the selected parameter set(s), we drove the EcoCrop model using the 24 future  
381 climate scenarios in the same way we did with WorldClim (Sect. 2.3.1). We  
382 calculated some uncertainty metrics to accompany the climate change impact metrics.  
383 For each of the 24 future suitability results, we calculated the change in suitability as  
384 the difference between the future scenario and the baseline. We then calculated the  
385 average (of all GCMs) on a pixel basis of these changes as measure of the general  
386 trend and the geographic distribution of among-GCM variability. In addition, for each  
387 GCM-specific result, we calculated the overall percent increase and decrease in area  
388 suitable assuming both migration and no migration of agriculturally suitable lands.

389

390 To illustrate uncertainties, we constructed four maps: (a) a map of the standard  
391 deviation of all GCMs; (b) a map showing the average of the first 25% of the GCMs  
392 per pixel; (c) a map showing the average of the last 25% of the GCMs per pixel; and  
393 (d) a map showing the percent of models that predict changes in the same direction of  
394 the average prediction (IPCC, 2007; Schlenker and Lobell, 2010).

395

396

### 397 **3. Results**

398

#### 399 **3.1. Model calibration and parameterization**

400

401 First, we chose the duration of the growing season. According to different studies  
402 (Craufurd et al., 1999; FAO, 2000; Geleta and Labuschagne, 2005; Mishra et al.,  
403 2008), sorghum can be harvested between 90 and 300 days after sowing, depending  
404 on the variety, with the most frequent range being 150-200 days (Craufurd et al.,  
405 1999; FAO, 2000; Geleta and Labuschagne, 2005). As the growing season length in  
406 EcoCrop is defined in months, we decided to test for different growing seasons  
407 (between 3 and 10 months). The best performance was achieved with a growing  
408 season of 6 months (data not shown), although differences in results of present  
409 suitability using this value and 7, 8 and 9 months were negligible. Shorter growing  
410 seasons always showed poor performance, although we acknowledge this in reality  
411 depends on the temperatures and radiation available to the crop and that often  
412 sorghum is harvested between 4 and 5 months after sowing (Geleta and Labuschagne,  
413 2005; Mishra et al., 2008). Two conclusions were drawn from this result (1) our  
414 model is not highly sensitive to the length of the growing season (i.e. a flaw in  
415 EcoCrop), and (2) the considerable variability in the landrace dataset is likely to have  
416 a mixture of different growing seasons, and it is likely we are capturing the most  
417 frequent of it (i.e. 6 months).

418

419 We found that only 10.4% of the parameterizations were highly accurate (i.e. OR<0.1  
420 and RMSE between 0.25 and 0.5). The combined parameterizations (derived from  
421 Eqn. 4) were the most accurate, suggesting that despite there is only one possible  
422 niche for the crop there could be two different environmental constraints (i.e.  
423 minimum and maximum temperature as principal limiting factors), each producing a

424 different climate-suitability geographical gradient. These responses can be considered  
425 as within-crop among-landrace genetic variability.

426

427

**[INSERT FIGURE 3 HERE]**

428

429 The selected parameter set (Table 3) indicated that the crop's distributional range is  
430 meant to be subjected to two climate constraints. The first one indicates the crop is  
431 located in low-temperature stressed areas (i.e. sub-tropical environments and  
432 highlands, figure not shown) and it would thus freeze if minimum temperature during  
433 the growing season goes below 0.5°C [+4°C], is not suited below 4.1°C, thrives  
434 optimally between 13.6°C and 24.6°C and is heat stressed in temperatures above 26°C.  
435 On the other hand, the parameter set derived from seasonal maximum temperature  
436 data indicates that the crop landraces in these areas to high-temperature stresses (i.e.  
437 mainly across the Sahelian belt, figure not shown). In this case the crop would die if  
438 the minimum temperature of at least one month goes below 14.5[+4°C], is not suited  
439 for a mean temperature below 17.8°C, grows optimally in the range 26.7–37.4°C, and  
440 will not grow if temperatures are above 39.1°C. This result stressed the difficulty in  
441 fitting one single parameter set to (1) a large number of environments and (2) a  
442 genetically-variable landrace dataset, and also stressed the importance of considering  
443 the different constraints in space (and time).

444

445

**[INSERT TABLE 3 HERE]**

446

447 Regarding precipitation, the crop is harmfully stressed if the total rainfall during the  
448 growing season is less than 160 mm (drought) or above 2,780 mm (excess water, or  
449 waterlogging). Sorghum develops best between 500 and 1,800 mm of rainfall during  
450 the growing season.

451

452

### 453 3.2. Present day suitability and model evaluation

454

455 As expected, the greatest constraint to sorghum distribution is the very hot and dry  
456 weather above the Sahel region in Africa (Figure 4). Suitability is mostly below 50%  
457 in areas under high temperature and/or rainfall stress in southern Mauritania, central  
458 Mali, Niger, Chad, Sudan and Eritrea, southeastern Ethiopia, central Somalia,  
459 northeastern Kenya, Namibia and Botswana. In contrast, in India, the crop was found  
460 to be highly suitable across nearly the whole country.

461

462

**[FIGURE 4 HERE]**

463

464 The areas where the crop is most intensively grown are located in the borders between  
465 Niger and Nigeria, and in the states of Maharashtra and Karnataka (Monfreda et al.,  
466 2008; Portmann et al., 2010; You et al., 2009), which are also areas of high suitability  
467 in our prediction.

468

469 The TPR and FNR in general showed high and low values, respectively, regardless of  
470 the dataset from which they were calculated (Table 4). TPR ranged from 0.967  
471 (FAOSTAT dataset) to 1.0 (CountrySTAT and ICRISAT datasets), while FNR ranged  
472 from 0 (CountrySTAT and ICRISAT dataset) and 0.026 (AgroMAPS state-level  
473 dataset).

474

475

**[INSERT TABLE 4 HERE]**

476

477

478

### 3.3. Relations to yield, future predictions of suitability and impacts

479

480

Relationships between suitability with yields were not clear from the actual values of both suitability and yields, and we could not find a way to numerically relate both outputs in absolute terms. A linear regression is not statistically significant, although it has a positive slope. Also, we clearly observed through a quantile plot that high values of suitability corresponded to high values of yield more likely than they corresponded to low values, although the relationship is not linear.

486

487

Changes ranged between -93 and 61% (Figure 5) and lower GCM-specific averages (Table 5). Tropical humid areas are likely to present the most significant losses, whilst subtropical regions (i.e. the north-east Indo-Gangetic Plains, Nepal, and central Botswana) present some gains (Figure 7). There are also gains in some areas in East Africa (i.e. eastern Ethiopia) and in the semi-arid regions of Mali, Niger, Chad and Sudan. East Africa and the Indian subcontinent appear as the most affected regions (Figure 5) and considerable between-GCM variability (Table 5).

493

494

495

**[INSERT TABLE 5 HERE]**

496

497

There were particularly negative impacts in central Ethiopia, Uganda, south-eastern Kenya and Tanzania, where between 50–80% of the suitable areas could decrease in climatic suitability even when assuming agriculturally suitable lands can move to new environments (Figure 5).

500

501

502

**[INSERT FIGURE 5 HERE]**

503

504

The most significant decrease in the amount of suitable area and in the average suitability occurred in the range of 80-90%, particularly in areas where the crop is already marginal ( $SUIT < 50\%$ ). On the other hand, only a limited expansion of suitable croplands was predicted, and this was observed mainly in currently very low suitability areas (where cropping is unsustainable) or in areas where suitability is optimal (Figure 5).

509

510

511

### 3.4. Climate-driven uncertainties

512

513

514

The great majority of croplands within the study region present rates of agreement between models ranging between 60 and 80% (Figure 6C), mostly covering Sub-Saharan Africa, and several parts of India. Low confidence ( $AG < 50\%$ ) is observed in the Congo and Central African Republic, as well as in Namibia, Botswana and Zimbabwe and the Sahel. The analysis shows a considerably high confidence in negatively impacted areas (Figure 6); however, there is less certainty when the predicted impacts are positive.

520

521

522

**[INSERT FIGURE 6 HERE]**

523

524 More than 50% of the countries showed particularly low amounts of area with high  
525 certainty (AG>80%), and high proportions of area with very low certainty  
526 (AG=50%), particularly in Eastern and Southern Africa. Despite that, differences in  
527 conservative (upper 25%, Figure 6C) and non-conservative GCMs (lower 25%,  
528 Figure 6B) are considerable in some regions, particularly in those where very negative  
529 (SUIT change < 30%) impacts are observed. In these areas, the different models  
530 depict completely different pictures on impacts.

531

532

## 533 4. Discussion

534

### 535 4.1. Modeling approach and model-evaluation results

536

537 The benefits of a more simplistic approach are considerable, despite some caveats and  
538 uncertainties (see Sect. 4.3) that require further research and work. An approach as the  
539 one proposed here reduces the parameterizations to a minimum while at the same time  
540 making sense of the biology of the crop species (Hijmans et al., 2001). Here, the  
541 ecological parameters are related to crop growth as they represent the thresholds at  
542 which the crop can grow and produce harvestable product.

543

544 Although a calibration procedure has been provided, crop experts and/or literature  
545 must be queried to gather the ecological parameters required to perform EcoCrop. The  
546 FAO-EcoCrop database (FAO, 2000) contains ~1,800 different crops' ecological  
547 parameterizations. While these ecological parameterizations have not been validated,  
548 they are based on either literature or expert views on the crop and can provide a  
549 relatively accurate estimate of the crop's adaptive capacity and ecological niche. We  
550 compared our predictions done with default parameters for three types of sorghum  
551 genotypes (as reported in FAO-EcoCrop, Table 3) and found that for high altitude,  
552 medium altitude and low altitude sorghum the agreement was very high ( $R^2=0.865$ ,  
553  $R^2=0.878$ , and  $R^2=0.854$ , all at  $p<0.0001$ ), though the default parameters tended to  
554 exclude areas in southern Africa, very likely due to the difficulty in capturing seasonal  
555 climates, an advantage of the calibration using crop locations.

556

557 Although it is difficult to quantitatively compare results from other studies mainly  
558 because these use (a) a different emissions scenario, (b) a different set of GCMs, (c) a  
559 different period, or (d) a combination of (a), (b) and (c). When comparing EcoCrop  
560 results with the studies of Chipanshi et al. (2003), Lobell et al. (2008), Schlenker and  
561 Lobell (2010), and Srivastava et al. (2010), we found that results on a country and  
562 region basis agreed 88.4% of the times. Negative impacts were predicted 92.5% of the  
563 times whereas positive impacts were predicted 33.3% of the times (but only 3 cases  
564 with positive impacts were found in the reviewed studies). In addition, we compared  
565 the actual estimates of the different studies (Figure 7) and found despite all estimates  
566 are heavily subjected to uncertainties, there were considerable similarities in our  
567 estimates of changes in suitable area and suitability *per se* and the changes in yields  
568 reported in the other studies, both expressed as percentages.

569

570 **[INSERT FIGURE 7 HERE]**

571

572 Central Africa (CAF), southern Africa (SAF), East Africa (EAF), are the areas where  
573 we found the greatest agreement, whereas the Sahel (SAH), Southern Asia (SAS) and

574 western Africa (WAF) show higher variability within and between studies yet  
575 showing up to 75% agreement in the direction of changes.

576

577

#### 578 4.2. Climate constraints, future impacts and adaptation

579

580 Sorghum is adapted to a wide range of environmental conditions, but the main factor  
581 operating against the expansion of sorghum croplands in the tropics is seasonal  
582 precipitation (Folliard et al., 2004; Kouressy et al., 2008; Neild et al., 1983). Sorghum  
583 is particularly sensitive to shortages in water in late development stages, and hence,  
584 sowing time, although flexible, is critical for avoiding crop failure (Smith and  
585 Frederiksen, 2000). Additionally, it is very likely that increases in temperatures (as  
586 found in this study) will not pose a strong pressure in areas where sorghum grows  
587 optimally (though yields could reduce if the temperature rises beyond the +2°C limit),  
588 but that is not the case in marginal areas (Lobell et al., 2008).

589

590 In vulnerable areas in Sub-Saharan Africa and the Indo Gangetic Plains, adaptation  
591 needs to happen before negative impacts become too severe or too costly. There is an  
592 opportunity for simple strategies to minimize yield losses. For instance, delayed  
593 sowing can help crops avoid water stress during initial growth phases (Srivastava et  
594 al., 2010). Nevertheless, biological adaptation also needs to happen. The sorghum  
595 genetic pool contains a wide range of traits that might be useful under changing  
596 climate conditions (Geleta and Labuschagne, 2005; Kameswara Rao et al., 2003;  
597 Mekbib, 2008). In terms of sorghum adaptation in Sub-Saharan Africa and India, both  
598 growing cycle duration and drought tolerance are two of the most important abiotic  
599 traits meriting research focus (Kouressy et al., 2008; Krishna Kumar et al., 2004;  
600 Srivastava et al., 2010)

601

602 Other strategies such as crop substitution and targeting have also been suggested in  
603 different studies (Chipanshi et al., 2003; Jarvis et al., 2010; Lane and Jarvis, 2007).  
604 Expansion to new agriculturally suitable areas is another adaptive pathway under  
605 climate change, since some environments with particularly low temperatures will  
606 likely become suitable in the future; in our observations, these areas were in the  
607 highlands of the semi-arid tropics.

608

609

#### 610 4.3. Uncertainties, caveats and further improvements

611

612 Figures and results obtained from these types of approaches are subject to both  
613 inaccuracies and uncertainties, and this suggests that they could be improved. Below  
614 we summarize the most relevant sources of uncertainty in our approach and point out  
615 some ways in which these could be addressed.

616

##### 617 4.3.1. Climate data

618

619 Two different sources of climate data were used in this study: WorldClim and GCM  
620 data. Although not quantified in the present study, in WorldClim, uncertainties can  
621 arise from the location of the weather stations (latitudinal, altitudinal biases, see  
622 Hijmans et al. 2005), from the interpolation algorithm (Hutchinson and de Hoog,



623 1985), from the quality of historical records, and/or from the geographic distances  
624 between stations.

625

626 GCM data accounted for a significant amount of uncertainty (Figure 5 and Sect. 3.4),  
627 mainly because the predicted changes in climates (i.e. temperatures, rainfall) exhibit  
628 considerable variability among GCMs (Pierce et al., 2009; Quiggin, 2008). In areas  
629 where GCM predictions do not reach an admissible certainty threshold, options are  
630 basically to further climate research to improve calibration or to develop and/or  
631 calibrate regional models (RCMs) that can yield better results.

632

633 Finally, the process of spatial downscaling performed is also a source of uncertainty  
634 (Wilby et al., 2009). Further research needs to be done to improve GCM and RCM  
635 predictions for areas where convection processes are complex and cannot be easily  
636 captured with parameterization schemes (Wagner and Graf, 2010). Meanwhile,  
637 assessments of the quality of downscaled GCM data, in relation to a possible  
638 “degradation” and “misinterpretation” of the GCM data, need to be addressed.

639

640

#### 641 4.3.2. Model calibration and evaluation data

642

643 Cleansing of the occurrence data used for calibration of the present approach is  
644 critical in order to properly identify the actual areas where the crop is suitable (Hill et  
645 al., 2009; Yesson et al., 2007). Therefore, cross-checking, verification and retrieval of  
646 accurate coordinates are necessary when performing this type of approach.

647

648 Using expert data or literature to identify the ecological parameters needed to perform  
649 the EcoCrop model as done in the FAO-EcoCrop database can also induce errors.  
650 Hence, it is important to query as many different sources as possible when deriving  
651 the ecological ranges, as well as to interact with experts in the crop to visually inspect  
652 and further refine the suitability result.

653

654 Given that evaluation data are mainly a mixture of different political-level agricultural  
655 statistics, the evaluation proposed here is dependent on both the availability and the  
656 precision of such data. Further development and improvement of global online  
657 platforms such as FAOSTAT and CountrySTAT is therefore fundamental to proper  
658 evaluation of model’s performance.

659

660

#### 661 4.3.3. Model formulation

662

663 The implementation of the EcoCrop model proposed here is subjected to some  
664 limitations:

665

666 (1) The biological sense of the model’s parameters: For temperature, optimal and  
667 marginal thresholds are also included in mechanistic crop models and are used to  
668 derive growing degree days (Yang et al., 2004). In process-based models, water  
669 flow is first analyzed in the soil and then in the plant as absorbed until it is  
670 transpired in the leaves. Although responses in plants vary, lack or excess of water  
671 cause lower yields, and there is a level of available soil water above and below  
672 which plants fail to flower, flower too early, do not fill grains, or die (Whitmore

673 and Whalley, 2009). In rainfed systems, these values depend upon rainfall. The  
674 simplistic approach in EcoCrop tries to simulate the non-linear effects of these  
675 stresses, but it fails to capture the whole set of interactions occurring within the  
676 plant at the physiological level. Therefore, suitability indices and their likely  
677 changes need to be interpreted carefully as the ability of a certain environment to  
678 allow the growth of a certain species in a broad sense.

679 (2) For perennial crops, it is harder to calibrate the modeling approach, since the  
680 rainfall and temperatures during the growing season are equal to the annual  
681 rainfall and temperature, which results in neglecting climate seasonality. A good  
682 option to overcome this issue would be the development of a function to involve  
683 the concept of degree days (Neild et al., 1983),

684 (3) The model does not account for soil conditions and becomes less accurate when  
685 estimating suitability in very well-drained soils in high-rainfall areas where  
686 waterlogging could be but is not a constraint. Here we decided to not use soil data  
687 since (a) there is not enough spatial resolution in the available soil datasets and (b)  
688 it would be complicated to derive soil conditions when predicting future crop  
689 suitability;

690 (4) The model does not account for drought, waterlogging, excessive heat or cold  
691 during key physiological periods (i.e. fruit filling, flowering), leading to a climatic  
692 suitability over-estimation;

693 (5) The application of the model relies upon monthly data, whilst stressful conditions  
694 may occur in shorter periods (i.e. one week or two). In addition, the model does  
695 not provide an indication of the relationship between suitability and yield.

696 (6) The fact that the model has a fixed duration of the growing season facilitates the  
697 selection of ecological parameters, but poses a constraint as physiologically crops  
698 do not have always the same growing season. Clustering of data into agro-  
699 ecological zones can solve this problem, accompanied by a derivation of growing  
700 season duration on these agro-ecologies.

701

702 We consider that given the flexibility of the approach, it can be continuously  
703 improved, and some additional processes can be incorporated. We acknowledge that  
704 other environmental, social, cultural, and political conditions likely also affect the  
705 resulting yield of a field plot. More research is therefore required towards the clear  
706 identification of the relationship between our climatic suitability rating and the  
707 resulting attainable yield obtained in fields.

708

709

#### 710 4.4. Future focus and research priorities

711

712 Further mining of datasets to find a clearer relationship between yield and the  
713 suitability index is necessary for EcoCrop results to be comparable with results from  
714 other models and studies, whose responses are in terms of yield (Aggarwal et al.,  
715 2006; Challinor et al., 2004; Jones and Thornton, 2003; Steduto et al., 2009; Thornton  
716 et al., 2009; Thornton et al., 2011).

717

718 Policy makers may invest in the most effective measures with the least risk (win-win  
719 strategies). The caveats in the modeling and the agreement between different GCMs'  
720 are key to deciding where, when and how much to invest. Despite the limitations,  
721 which we have tried to mention at the maximum extent possible, the approach  
722 implemented here provides an initial broad picture of what the effects could be of

723 changing conditions on the regional suitability of the sorghum crop. Moreover, the  
724 EcoCrop model can be used for the same purpose for basically any existing crop, as  
725 long as the ecological range is determined.

## 727 728 **5. Conclusions**

729  
730 Here we have proposed a simple model to assess the impacts of progressive climate  
731 change. The model can be tuned either by using the known presences of a crop or  
732 using expert knowledge, or by directly drawing data from the FAO-EcoCrop  
733 database. The model was found to perform well when predicting suitable areas under  
734 present conditions, although some questions as to how accurate its predictions of  
735 future impact and how predictions relate to yield remain unresolved. In the present  
736 study, we found that these are similar to other studies, though it depends upon the  
737 region of study.

738  
739 Using the model, we predicted the impacts of climate change on sorghum-growing  
740 areas and found that in general the crop is performing well in the areas where it grows  
741 optimally. Vulnerabilities in countries where sorghum cultivation is already marginal  
742 are likely (with a high degree of certainty). The western Sahel region, southern Africa,  
743 northern India, and the western coast of India are particularly vulnerable. The same  
744 pattern is observed in southern Africa, where suitable areas could be reduced by some  
745 20% by the 2030s. Uncertainty was found to play an important role, with a large area  
746 under the high uncertainty range (Figure 6). Our results could benefit considerably  
747 from better GCM parameterizations and results.

748  
749 We highlight the considerable potential of this approach to assess global and regional  
750 food security issues, broad climatic constraints and regional crop-suitability shifts in  
751 the context of climate change, as well as the possible linkage of the approach with  
752 other broad-scale approaches such as large-area process-based crop models or  
753 statistical and/or empirical approaches.

## 754 755 **Acknowledgments**

756  
757 Authors thank the anonymous reviewers for their useful and insightful comments  
758 aimed at improving this manuscript, and Myles Fisher (despite he withdraw from  
759 reviewing the manuscript) for the helpful discussions held via e-mail and at the  
760 International Center for Tropical Agriculture (CIAT). Authors also thank Charlotte  
761 Lau, from the International Center for Tropical Agriculture (CIAT) for her editorial  
762 work, Robert Hijmans, the developer of the initial algorithm (implemented through  
763 DIVA-GIS) used as the basis for this research, and David Lobell for providing data  
764 from his studies. This work was supported by the CGIAR Research Program on  
765 Climate Change, Agriculture and Food Security (CCAFS).

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**Figure captions**

**Figure 1** Two- (A) and three-dimensional (B) diagram of the mechanistic model used in the analysis.

**Figure 2** Example of parameter selection for a certain distribution over a particular growing season for (A) temperature and (B) precipitation

**Figure 3** Assessment of preliminary predictions for parameter selection. OR: Omission rate and RMSE: Root mean square error. Areas in the chart indicate the optimal ranges for both accuracy parameters: highly under-estimative (HU), highly over-estimative (HO), moderately accurate (MA), and highly accurate (HA)

**Figure 4** Present suitability and known distribution of the crop. (A) Sorghum suitability calculated with EcoCrop and parameter set (small bottom-right map), (B) sorghum distribution as reported in You et al. (2009), (C) sorghum distribution as reported in Monfreda et al. (2008), (D) sorghum distribution as in Portmann et al. (2010)

**Figure 5** Predicted changes in suitability across the region as an average of 24 GCMs.

**Figure 6** Uncertainties in suitability prediction across the region. (A) Standard deviation of 24 GCMs and standard deviation among predictions, (B) Average of the first 25% GCMs, (C) average of the last 25% GCMs, (D) Agreement among GCMs (fraction of GCMs agreeing direction).

**Figure 7** Agreement of the estimates of impacts in the present study with those reported in previous studies. CSA: change in suitable area (in percent), CS: change in suitability (in percent), LO 2008: Lobell et al. (2008), SL 2010: Schlenker and Lobell (2010), CH 2003: Chipanshi et al. (2003), SR 2010: Srivastava et al. (2010), all in percent. The boxplot represent the distribution of all available outputs (country means, and GCM-specific results, if available) for each study as found in the original papers or as provided by the authors (i.e. SL2010, LO2008). Black horizontal lines are the median, boxes show the first and third quartile and whiskers extend 5 and 95% of the distributions. Zone typology is the same as in Lobell et al. (2008) (see <http://www.sciencemag.org/cgi/content/full/319/5863/607/DC1>)



**Table 1** Proportion of data available relative to the total potential data in the different databases

<b>Database</b>	<b>Level*</b>	<b>Countries with data (%)</b>	<b>Features in database (%)</b>	<b>Features with data (%)</b>	<b>Features with &gt;50% of data (%)</b>	<b>Maximum percent of data (%)</b>
FAOSTAT	C	75.7	100.0	75.7	72.9	100.0
CountrySTAT	S	10.0	14.2	11.8	4.5	65.0
CountrySTAT	D	4.3	3.9	3.2	0.0	37.5
Agro-MAPS	S	40.0	84.5	32.3	0.0	47.5
Agro-MAPS	D	12.9	66.2	6.1	0.0	12.5
ICRISAT	D	1.4	100.0	66.7	62.1	92.5

\*C=Country, S=State, D=District

**Table 2** Global Circulation Models used in the analyses

Model	Country	Atmosphere*	Ocean*
BCCR-BCM2.0	Norway	T63, L31	1.5x0.5, L35
CCCMA-CGCM3.1 (T47)	Canada	T47 (3.75x3.75), L31	1.85x1.85, L29
CCCMA-CGCM3.1 (T63)	Canada	T63 (2.8x2.8), L31	1.4x0.94, L29
CNRM-CM3	France	T63 (2.8x2.8), L45	1.875x(0.5-2), L31
CSIRO-Mk3.0	Australia	T63, L18	1.875x0.84, L31
CSIRO-Mk3.5	Australia	T63, L18	1.875x0.84, L31
GFDL-CM2.0	USA	2.5x2.0, L24	1.0x(1/3-1), L50
GFDL-CM2.1	USA	2.5x2.0, L24	1.0x(1/3-1), L50
GISS-AOM	USA	4x3, L12	4x3, L16
GISS-MODEL-EH	USA	5x4, L20	5x4, L13
GISS-MODEL-ER	USA	5x4, L20	5x4, L13
IAP-FGOALS1.0-G	China	2.8x2.8, L26	1x1, L16
INGV-ECHAM4	Italy	T42, L19	2x(0.5-2), L31
INM-CM3.0	Russia	5x4, L21	2.5x2, L33
IPSL-CM4	France	2.5x3.75, L19	2x(1-2), L30
MIROC3.2-HIRES	Japan	T106, L56	0.28x0.19, L47
MIROC3.2-MEDRES	Japan	T42, L20	1.4x(0.5-1.4), L43
MIUB-ECHO-G	Germany/Korea	T30, L19	T42, L20
MPI-ECHAM5	Germany	T63, L32	1x1, L41
MRI-CGCM2.3.2A	Japan	T42, L30	2.5x(0.5-2.0)
NCAR-CCSM3.0	USA	T85L26, 1.4x1.4	1x(0.27-1), L40
NCAR-PCM1	USA	T42 (2.8x2.8), L18	1x(0.27-1), L40
UKMO-HADCM3	UK	3.75x2.5, L19	1.25x1.25, L20
UKMO-HADGEM1	UK	1.875x1.25, L38	1.25x1.25, L20

\*Horizontal (T) resolution indicates number of cells in which the globe was divided for each component of the coupled climate model (i.e. atmosphere, ocean). Vertical (L) resolution indicates the number of layers in which the atmosphere was divided. When a model is developed with different latitudinal and longitudinal resolutions, the respective cellsizes (LonxLat) in degrees are provided instead of a unique value

**Table 3** Selected parameter set for suitability calculation and reported parameters in the FAO-EcoCrop database

Source	Variable	Originating data	Kill	Min	Opmin	Opmax	Max
Calibration	Temperature	Min temp.	0.5	4.1	13.6	24.6	26.0
Calibration	Temperature	Max. temp.	14.5	17.8	26.7	37.4	39.1
Calibration	Precipitation	Precipitation	NA	160	500	1,800	2,780
FAO (2000)	Temperature	HAS*	0	12.0	22.0	32.0	35.0
FAO (2000)	Precipitation	HAS*	NA	300	500	1,000	3,000
FAO (2000)	Temperature	MAS*	0	8.0	27.0	32.0	40.0
FAO (2000)	Precipitation	MAS*	NA	300	500	1,000	3,000
FAO (2000)	Temperature	LAS*	0	10.0	24.0	35.0	40.0
FAO (2000)	Precipitation	LAS*	NA	300	500	1,000	3,000

\*HAS: High altitude sorghum, MAS: medium altitude sorghum, LAS: low altitude sorghum

**Table 4** Selected parameter set evaluation metrics for all evaluation datasets

<b>Database</b>	<b>Level*</b>	<b>TPR</b>	<b>FNR</b>
Agro-MAPS	S	0.974	0.026
Agro-MAPS	D	0.984	0.016
CountrySTAT	S	1.000	0.000
CountrySTAT	D	1.000	0.000
FAOSTAT	C	0.967	0.033
ICRISAT	D	1.000	0.000

**Table 5** Regional changes in suitability for each individual GCM

Climate model	OSC* (%)	SCPIA* (%)	PIA* (km <sup>2</sup> x 10 <sup>6</sup> )	SCNIA* (%)	NIA* (km <sup>2</sup> x 10 <sup>6</sup> )
BCCR-BCM2.0	0.62	11.84	9.60	-15.01	6.49
CCCMA-CGCM3.1-T47	1.41	11.35	10.13	-13.99	5.81
CCCMA-CGCM3.1-T63	1.18	11.99	10.12	-15.19	6.03
CNRM-CM3.0	2.86	13.15	11.01	-15.50	4.91
CSIRO-MK3.0	-1.76	11.64	9.17	-19.95	7.24
CSIRO-MK3.5	-2.29	13.15	9.27	-22.21	7.72
GFDL-CM2.0	-3.28	12.22	8.66	-21.24	8.37
GFDL-CM2.1	-5.19	13.30	8.34	-24.60	9.21
GISS-AOM	-1.10	10.10	9.29	-17.48	6.67
GISS-MODEL-EH	0.25	11.82	10.54	-19.65	5.88
GISS-MODEL-ER	-3.08	11.74	8.39	-20.26	8.36
IAP-FGOALS1.0-G	-7.32	6.29	5.12	-18.34	10.93
INGV-ECHAM4	-5.44	8.72	6.95	-19.93	9.30
INM-CM3.0	-1.64	13.04	10.21	-23.73	6.96
IPSL-CM4	-0.35	10.90	10.19	-20.03	5.81
MIROC3.2-HIRES	-1.17	15.61	10.84	-28.37	6.64
MIROC3.2-MEDRES	3.71	17.24	10.96	-17.93	5.45
MIUB-ECHO-G	-2.97	10.22	8.23	-18.81	8.08
MPI-ECHAM5	-1.14	7.78	8.59	-12.28	7.41
MRI-GCGM2.3.2A	0.60	6.37	9.66	-8.64	5.39
NCAR-CCSM3.0	1.72	15.31	10.94	-22.03	5.64
NCAR-PCM1	-29.92	15.68	7.74	-63.17	12.81
UKMO-HadCM3	-4.04	12.26	8.63	-22.78	8.62
UKMO-HadGEM1	-5.55	9.55	7.35	-19.66	10.07

\*OSC: overall suitability change, SCPIA: suitability change in positively impacted areas, PIA: amount of positively impacted area, SCNIA: suitability change in negatively impacted areas, NIA: amount of negatively impacted area.