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FAST AND ACCURATE RECEIVER JITTER TOLERANCE EXTRAPOLATION USING THE Q-FACTOR LINEAR FITTING METHOD

By

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A Dissertation submitted in partial fulfillment of the requirement for the degree of Master of Science (Electronic Systems Design Engineering)

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List of Abbreviations and Nomenclature

BER – Bit error rate

BERT – Bit error rate tester

BIST – Built-in self-test

BMF – Bayesian model fusion

CDR – Clock and data recovery

CL – Confidence level

CPU – central processing unit

DCD – Duty cycle distortion

DL – Data link

DUT – Device under test

EQ – Equalizer

Erfc – Complementary error function

FPGA – Field-programmable gate array

Gb/s – Gigabits per second

Gen – Generation

HMC – Hybrid memory cube

HSSI – High-speed serial interface

I/O – Input-output

IC – Integrated circuit

ISI – Inter-symbol interference

JTOL – Jitter tolerance

MHz – Mega hertz

MLE – Maximum likelihood estimation

ns - nanosecond

PC – Personal computer

PCB – Printed circuit board

PCIe – Peripheral Component Interconnect Express

PDF – Probability distribution function

PHY – Physical

PLL – Phase lock loop

PRBS – Pseudo-random bit sequence

ps – picosecond

PVT – Process, voltage and temperature

RJ – Random jitter

RMS – Root mean square

Rx – Receiver

SATA – Serial ATA

SerDes – Serializer/de-serializer

SJ – Sinusoidal jitter

SNR – Signal to noise ratio

SUT – System under test

TL – Transaction layer

Tx – Transmitter

UI – Unit interval

USB – Universal serial bus

VCO – voltage control oscillator

EKTRAPOLASI TOLERANSI PENERIMA KETAR YANG CEPAT DAN TEPAT DENGAN MENGGUNAKAN KAEDAH PEMADANAN LINEAR FAKTOR Q

Abstrak

Peningkatan prestasi perhubungan berkelajuan tinggi terkini telah menyaksikan kadar bit lebih daripada 6 giga bit sesaat menjadi perkara biasa untuk sistem perhubungan berkelajuan tinggi. Masa yang diperlukan untuk kaedah konvensional melengkapkan pengukuran penerima toleransi ketar untuk nilai nisbah ralat bit rendah biasanya akan mengambil masa seminggu bergantung kepada kadar data, dan penghantaran sejumlah bit yang besar akan mengakibatkan kos pengukuran yang mahal. Projek kajian kaedah pemadanan linear faktor Q digunakan untuk mengurangkan masa pengukuran daripada penerima toleransi ketar di nisbah ralat bit rendah dengan menggunakan data nisbah ralat bit tinggi. Hasilnya menunjukkan bahawa pengukuran penerima toleransi ketar menggunakan kaedah pemasangan linear faktor Q menggunakan data nisbah ralat bit 10^{-10} mencapai kelajuan 11x berbanding dengan pengukuran langsung penerima toleransi ketar. Kaedah yang dicadangkan untuk menggabungkan pelbagai tahap nilai nisbah ralat bit dan menambah lebih banyak titik data bagi nisbah ralat bit yang tinggi berjaya dilakukan di dalam kajian ini di mana hasil yang diperolehi menunjukkan ralat relatif penerima toleransi ketar menggunakan kaedah pemadanan linear faktor Q nisbah ralat bit 10^{-10} dikurangkan daripada 9.47% kepada 3.31% selepas menggabungkan dengan data nisbah ralat bit 10^{-11} dan ralat relatif untuk pengukuran ekstrapolasi penerima toleransi ketar menggunakan data nisbah ralat bit 10^{-10} pada suhu rendah (-25˚C) dikurangkan daripada 9.47% kepada 5.43% dengan meningkatkan titik data pengukuran dari 20 titik data kepada 30 titik data.

FAST AND ACCURATE RECEIVER JITTER TOLERANCE EXTRAPOLATION USING THE Q-FACTOR LINEAR FITTING METHOD

Abstract

A performance bit rates of more than 6 Gb/s is deemed as a common standard in high-speed interconnect system in conjunction with the recent enhancement of high-speed serial interface (HSSI). In industry, receiver (Rx) jitter tolerance (JTOL) measurement required to characterize the high-speed interconnect. Time required for conventional methods to complete Rx JTOL measurement for low bit error rate (BER) values normally took a week's time depending on the data rate. In addition, a large number of bits is required to be transmitted hence resulting measurement cost as inefficient. This research project implements a method known as Q-factor linear fitting method to reduce the measurement time of the Rx JTOL at low BER by using high BER data. The result shows that the measurement of Rx JTOL using Q-factor linear fitting method using BER 10^{-10} data achieved 11x speed-up in comparison to direct measurement of Rx JTOL. The proposed methods of combined different level of BER values and increase more data points of higher BER able to significantly improve the accuracy of the Rx JTOL measurement result. The proposed method is successfully established in the experiment where the results obtained indicated relative error of Rx JTOL using Q-factor linear fitting method of BER 10^{-10} data are reduced from 9.47% to 3.31% after combining with the BER 10^{-11} data and relative error for Rx JTOL extrapolation measurement using BER 10^{-10} data at low temperature (-25˚C) is reduced from 9.47% to 5.43% by increasing the measurement data point from 20 data points to 30 data points.

CHAPTER 1

INTRODUCTION

1.1 Overview

The growth of information technology in the past few decades has made computers become a common platform for communication information, businesses, education, and gaming. Researchers from around the world have developed advanced Integrated Circuit (IC) processing and fabrication techniques which allow microprocessor manufacturers to pursue their technology scaling trends such that modern processors have hundreds of millions of transistors with multiple giga-Hertz of clock rate [1]. This evolution has radically increased the amount of end users that need to access the information hence lead to growing demand for bandwidth.

High-end computer systems require high-speed serial interface (HSSI) protocol to continuously evolve to higher speed in accordance to the requirement for higher bandwidth [2]. Transmitter (Tx) and receiver (Rx) are the main part of HSSI and facilitate short-distance communication by transmitting and receiving bits between central processing unit (CPU) and input-output (I/O) components. There are many HSSI standards, such as Serial ATA (SATA), Peripheral Component Interconnect Express (PCIe), Interlaken, Hybrid Memory Cube (HMC) and Serial Lite III which addressed a broad range of application.

The performance of a high-speed interconnect system can be measured in terms of the bit error rate (BER). With the enhanced performance of computer system in recent years, there are needs to improve the comprehensive signal throughput in the whole computer chip [3]. It is very challenging to efficiently design and characterize HSSI to guarantee small BER. When the HSSI data rate reaches a few gigabits per second (Gb/s), the timing budget gets very tight [4], and an accurate methodology must be utilized for BER measurement.

Industrial perspective focuses more on the factors affecting the cause of BER such as the interference levels. The interference levels existing in a system are usually caused by external events and cannot be changed during system design [5]. However, it is possible to reduce the level of interference by reducing the bandwidth of the system. Low-level noises can be captured by lowering the bandwidth thus the signal to noise ratio (SNR) will improve. However, reducing the bandwidth will limit the throughput data that the system can achieve.

The time consumption for a single point of BER measurement depends on the data rate and the specified BER value of the HSSI standards. Conventional BER test is carried out by transmitting a sequence of bits and checking the received bit errors, and any differences between transmitted and received bit stream are flagged as errors [6].

BER of a high-speed interconnect is often in the order of 10^{-12} which requires the transmission of a huge number of bits translated to a 1-bit error in 50 minutes at 1 Gb/s, or 4 minutes at 12.5 Gb/s to meet a 95% BER confidence level. Hence it is important to transmit enough number of bits through the system to ensure that the BER estimation is

an accurate reflection of a true BER of the system [6]. Jitter tolerance analysis requires even more points by measuring the BER at different frequencies to ensure that a system could tolerate the jitter injected before an error occurs by sweeping the jitter amplitude.

In industry, the transceiver part of the device is usually characterized by small BER value, and it is a typically a week's time process to complete the jitter tolerance measurement that will cause an expensive measurement cost. BER performance of an HSSI system is related to Q-factor and this relationship used in Q-factor linear fitting method to speed up the BER measurement. The Q-factor linear fitting method able to predict the amplitude of sinusoidal jitter (SJ) of a lower BER based on the SJ measurements of higher BER values.

The accuracy of the result of receiver jitter tolerance using Q-factor linear fitting method is lower compare to conventional direct measurement of receiver jitter tolerance. In conjunction, an accurate and fast methodology must be utilized to measure small values of BER, which will be a time and cost efficient on jitter tolerance measurement.

1.2 Problem Statement

The recent enhancement of HSSI performance of bit rates of more than 6 Gb/s has become the very standard for a high-speed interconnect system. The impact of jitter components from numerous sources in the surrounding environment on the transmission quality is critical and cannot be ignored when using high-speed signals. Furthermore, the signals in high-speed link systems are majorly corrupted by significant amounts of jitter,

and its BER impact worsens as the data rate increases. Timing jitter has always degraded electrical systems, but the drive to higher data rates and lower logic swings has focused growing interest and concern in its characterization. Characterization is needed to identify sources of jitter for reduction by redesign, and it also serves to define, identify or measures jitter for compliance standards and design specifications.

For post-silicon validation, jitter tolerance measurement required by industry to characterize the high-speed interconnect. BER of a high-speed interconnect is often in the order of 10^{-12} which requires the transmission of a huge number of bits translated to a 1-bit error in 50 minutes at 1 Gb/s, or 4 minutes at 12.5 Gb/s to meet a 95% BER confidence level. Furthermore, BER measurement is repeated for different jitter frequencies to obtain a complete set of Rx JTOL measurements. Thus, total measurement time of conventional receiver jitter tolerance to complete at 1 Gb/s will take at least 4 hours 10 minutes, or at 12.5Gb/s will take 20 minutes with 95% confidence level.

Time needed for conventional method to complete jitter tolerance measurement of single protocol HSSI for low BER values usually will take a week's time depending on the data rate. Furthermore, jitter tolerance measurement also required transmitting a large number of bits, resulting in an expensive measurement cost. Thus, an accurate and fast jitter tolerance methodology are needed to apprehend the problem, and this will be the focus of this research.

1.3 Research Objectives

The objectives of this research are:

i. To reduce the measurement time of the Rx JTOL at low BER by using high BER data using a Q-factor linear fitting method.

ii. To improve the accuracy of the Rx JTOL measurement result by combining different levels of BER values and increasing the measurement points data of higher BER.

1.4 Project Scope

There are many types of HSSI standards used by the industry to characterize multi-gigabit transceivers on a high-end field-programmable gate array (FPGA) device. This research project focuses on the jitter tolerance measurement using Intel FPGA 20nm technology with Interlaken 12.5 Gb/s HSSI protocol. Also, this research will use the typical speed of transceiver and measure at two different temperature settings which are at low temperature (-25˚C) and high-temperature (100˚C). Matlab software will be used in this research to analyze and calculate the collected data for extrapolation process.

1.5 Research Contribution

This work of using Q-factor linear fitting method for jitter tolerance measurement could apprehend the problem of slow jitter tolerance measurement by direct measurement.

This work also uses a combination data of different levels of BER values to improve the accuracy of the result, hence derogate test measurement cost and improve the efficiency of the jitter tolerance result of multi-gigabit FPGA communication.

1.6 Thesis Organization

The remains of this dissertation are organized as follows:

Chapter 2 reviews the suitable high-speed serial protocols for jitter tolerance measurement, a different type of jitter, bits error rate, Q-statistical for extrapolation process and recent work on the BER and extrapolation process.

Chapter 3 describes the overall methodology of this research starting with measurement setup for jitter tolerance measurement and continues with details flow of a Q-linear fitting method for extrapolation process. This chapter ends with a chapter summary outlining of the overall measurement setup.

Chapter 4 begins with a Rx JTOL measurement strategy, followed by the result of Rx JTOL using Q-factor linear fitting method and using direct measurement. This chapter analysis and discussion on the comparison of jitter tolerance result between direct measurement with conventional BER value and extrapolation using Q-factor linear fitting method and approaches to improve the accuracy of Rx JTOL extrapolation measurement.

Chapter 5 summarizes and concludes the results from the performance comparison across two different jitter tolerance measurements described in Chapter 4 and outlines future recommendations for improvement related to this research.

CHAPTER 2

LITERATURE REVIEW

2.1 Overview

This chapter starts with a study on HSSI standards and structure based on transceiver technology design focusing on Tx and Rx. The reason using serial interface will be explained in conjunction with several modern HSSI standards. Furthermore, jitter is described with a focus on a different type of jitter by exploring the causes and the impact each of the jitter to the BER measurement. The BER and the extrapolation method will be discussed briefly in this chapter, which the focus contained the BER confidence level and Q-factor linear fitting method. For jitter tolerance extrapolation, mathematical background of Gaussian Quantile Normalization explained in details by showing the mathematical equation to find the relationship between BER and Q-factor equation. Prior to the summary chapter, a section to discuss recent researches on extrapolation and BER will be presented. This chapter ends with a chapter summary that explains the reason Q-factor linear fitting method were taken into consideration in this research.

2.2 High-Speed Serial Interface

Data transmission defines as the process of transferring data between two or more digital devices in analog or digital format. The HSSI has been widely used in modern telecommunication and data transmission at data rates from few Gb/s up to 8Gb/s. Serial data communication is the process of transmitting sequential data one bit at a time over a communication channel. Meanwhile, parallel data communication is a process of conveying multiple data bits simultaneously. The clock and data recovery (CDR) circuitry is a fundamental component of HSSI [7] and technology that allows multiple Gb/s high-speed serial communication.

Apart from the high-speed capability, other advantages of using HSSI is simplifying the data transmission routes. HSSI helps to reduce the implementation of more wire connection in comparison to typical parallel data transmission approach whereas each wire connection represents either differential input or output. Moreover, clock skew is a non-existence in serial communication due to the clock signal embedded in the data and differential serial links can drive a longer distance than parallel communication and less susceptible to noise.

2.2.1 HSSI standards

InfiniBand, Peripheral Component Interconnect Express (PCIe) and Interlaken protocol are the current popular HSSI standards used these days. InfiniBand is a recent development in high-speed interconnect for servers and peripherals. It is a switch-based

serial I/O interconnect architecture working at a base speed of 2.5 Gb/s or 10 Gb/s in each direction and designed to overcome the lack of high bandwidth, concurrency and consistency of existing technologies for system area networks [8]. Furthermore, InfiniBand is widely used in high-performance computing [9] as an alternative platform to parallel computers which is more cost-effective to build a network of workstation, clusters of a personal computer (PC) and data center networks.

PCI Express is one of the most popular HSSI standards, the next generation PCI standard conceived to increase the bandwidth requirements by providing a scalable, point-to-point serial connection between chips over cable or via connector slots of expansion cards. The PCIe protocol comprises three different layers[10] which are the Transaction Layer (TL), the Data Link (DL) layer and the Physical (PHY) layer. Data is packaged into packets and transferred at the TL, and at the PHY layer, the PCIe bus delivers a serial high-throughput link between two devices. PCIe Generation (Gen) 1 offers a data transfer for HSSI operating at 2.5 Gb/s per lane, Gen2 increased up to 5.0 Gb/s, and Gen3 provide 8.0 Gb/s speed data transfer per lane.

Interlaken is a narrow, high-speed channelized chip-to-chip interface. Data transmission format and Meta Frame are two basic structures that define the Interlaken Protocol. The data transmission sends data across the interfaces are segmented into bursts which are subdivisions of the original packet data. Each burst is bounded by two control words, the sub-fields within these control words affect either the data following or preceding them for functions. By segmenting the data into bursts, the interface allows low-latency operation for the interleaving data transmissions from different channels. The Meta Frame is defined to cover the transmission of the data over a serializer/de-serializer

(SerDes) infrastructure. It covers a set of four unique control words[11] as illustrated in Figure 2.1 which are per-lane set of the Synchronization, Scrambler State Word, Skip Word(s), and Diagnostic words functions. The Synchronization aligns the lanes of the bundle, Scramble State Word synchronizes the scrambler, Skip Word(s) compensates clock in a repeater and Diagnostic provides per-lane error check and optional status message.

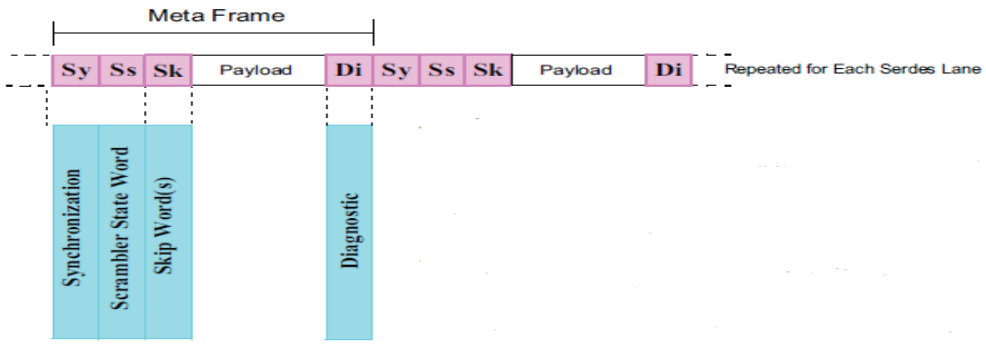

Figure 2.1 Meta Frame Structure (Per Lane) [11].

2.2.2 HSSI structure

The main part of HSSI consists of Tx and Rx and connected by a transmission medium such as cables or printed circuit board (PCB) traces, it acts as the front end of the device. In general, the Tx serializes the parallel data to a high-speed serial data stream while the Rx de-serializes the high-speed serial data to create a parallel data stream for FPGA core. Figure 2.2 shows the Tx block diagram of transceiver inside the Intel FPGA which consist of the Tx serializer and the Tx buffer. The serializer converts the entering low-speed parallel data from the FPGA core to high-speed serial data and then sends the data to the Tx buffer before transmitting out the serial data.

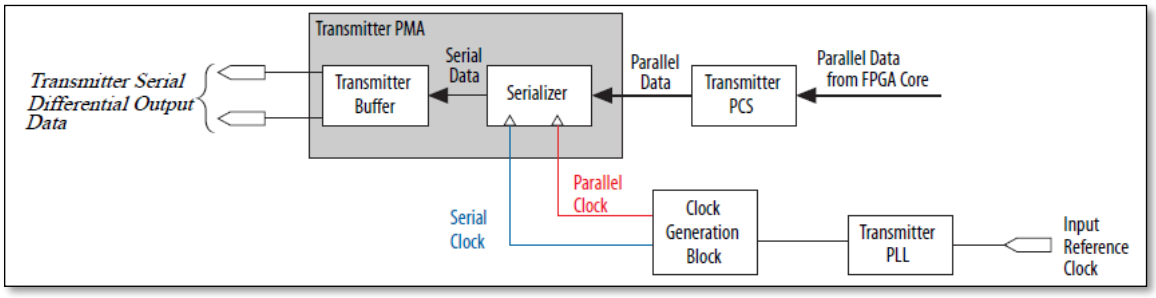

Figure 2.2 Tx block diagram of Intel FPGA architecture [12].

Figure 2.3 shows the Rx block diagram of transceiver inside the Intel FPGA which consist of the Rx buffer, CDR, and the de-serializer. The Rx buffer receives serial data from the input port and sends the serial data to the CDR unit for data recovery and clock process, later became a low-speed parallel data before it delivers to FPGA core by the de-serializer component.

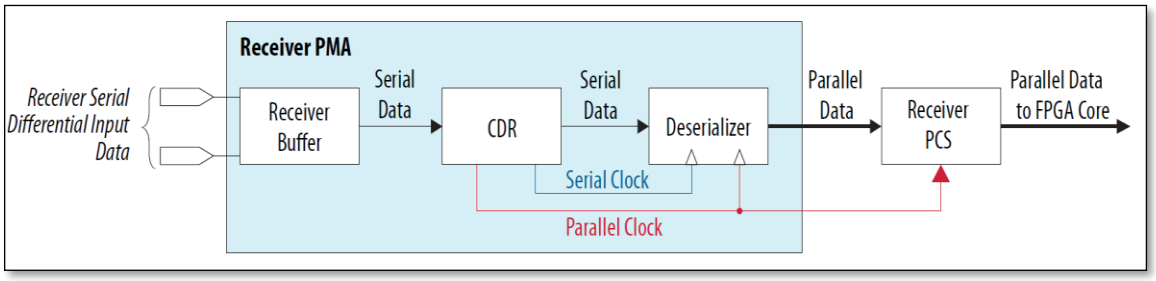

Figure 2.3 Rx block diagram of Intel FPGA architecture [12].

CDR provides a method of embedding the clock within the data to guarantee the data integrity. The transition between different data bits as illustrated in Figure 2.4 is used by CDR to align the phase edge of the recovered clock to the phase of the embedded clock. The recovered clock is used to perform the recovering process by sampling the received signal to recover the data. The conventional method for CDR to retrieve back data is by

using phase-tracking [13] which uses a local oscillator located within a feedback loop that causes the phase of the local oscillator to track the phase of the embedded clock.

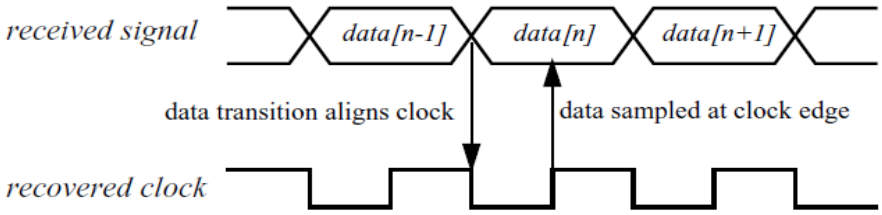

Figure 2.4 Relationship of clock and timing [14].

The relationship of clock and timing of phase tracking CDR shown in figure 2.4. The phase detector compares the phase of the recovered clock to the phase of the received signal and generates an output proportional to the phase difference of its inputs. The phase difference output later gets through the low-pass filter to fine-tune the phase of the recovered clock that derived from a voltage control oscillator (VCO). Looping process continue until the phase detector detects there is no phase difference received hence the recovered serial data is sent to de-serializer block.

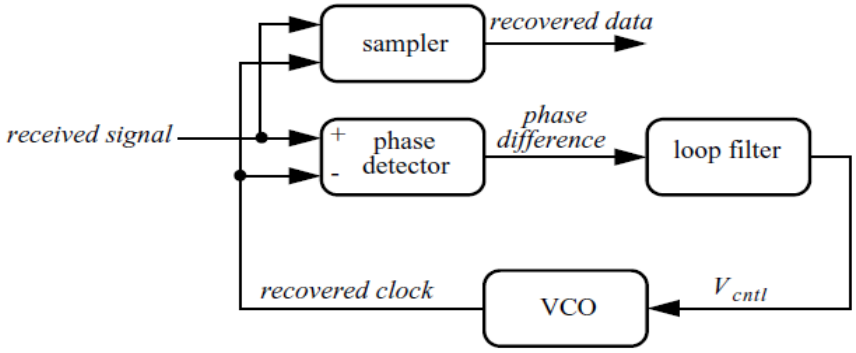

Figure 2.5 Block diagram of phase tracking CDR [14].

2.3 Jitter

Jitter is the deviation or short-term variation of a signal from its ideal timing, and it is an important key factor in the design of HSSI. A robust Rx transceiver is one of the most challenging design criteria since timing uncertainty is the primary cause for erroneous data recovery [15]. Figure 2.6 shows the total jitter accumulated at the Rx coming from different jitter source in HSSI.

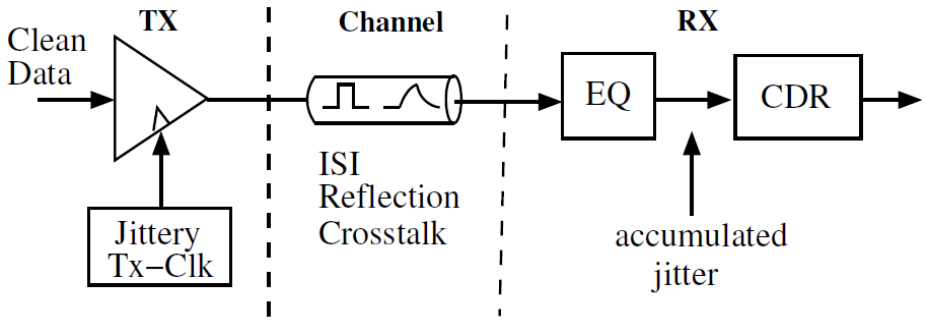

Figure 2.6 Jitter sources in HSSI [16].

Non-ideal clock synthesizer inside the Tx part will introduce a certain amount of jitter. Furthermore, inter-symbol interference (ISI), reflections and crosstalk highly impact the signal integrity along the channel depending on the quality [17] of the transmission path. Besides, total accumulated jitter also added from Rx structure due to a non-ideal equalizer (EQ) and phase lock loop (PLL) internal phase noise[18] of the CDR component.

Typically, jitter expressed in normalized to the time duration of a bit of the transmit data or called one unit interval (UI) and eye diagram represent the effect of jitter as illustrated in Figure 2.7. Eye diagram formed by overlapping different segments of a long

data signal and containing useful information of jitter such as the value of random jitter, duty cycle distortion (DCD) jitter, inter-symbol interference (ISI), bounded uncorrelated jitter and periodic jitter.

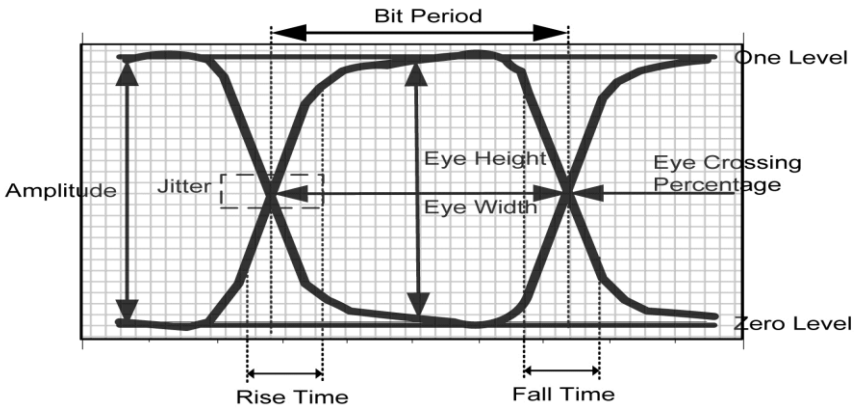

Figure 2.7 Eye Diagram and its significance [19].

Eye-opening is the main characteristics of an eye diagram that provide useful data for designers to estimate the system acceptable [20] jitter, and transmission system must have margin in both the horizontal and vertical eye openings for proper operation.

2.3.1 Classification of jitter

Figure 2.8 shows the classification of jitter components which is the total jitter consists of a random and deterministic component of jitter. Deterministic jitter composed of periodic jitter, data dependent jitter and bounded uncorrelated jitter. ISI and DCD are located under data-dependent jitter.

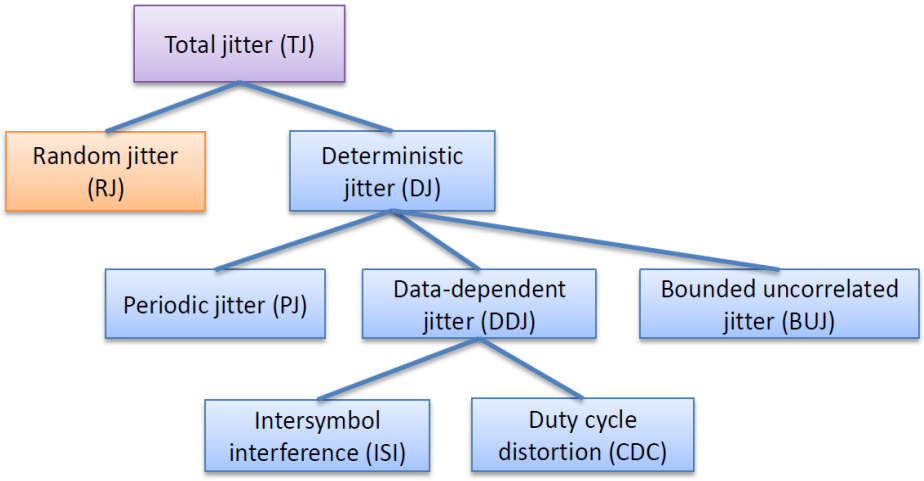

Figure 2.8 Classification of jitter components [22].

Random jitter (RJ) is timing noise that caused by random events and unpredictable because it has no visible pattern. The main reason is by thermal, flicker and shot noise [21]. RJ usually quantified by the root mean square (RMS) value and modeled with a Gaussian probability distribution function (PDF) as shown in Figure 2.9 and can be calculated by a mean and a standard deviation.

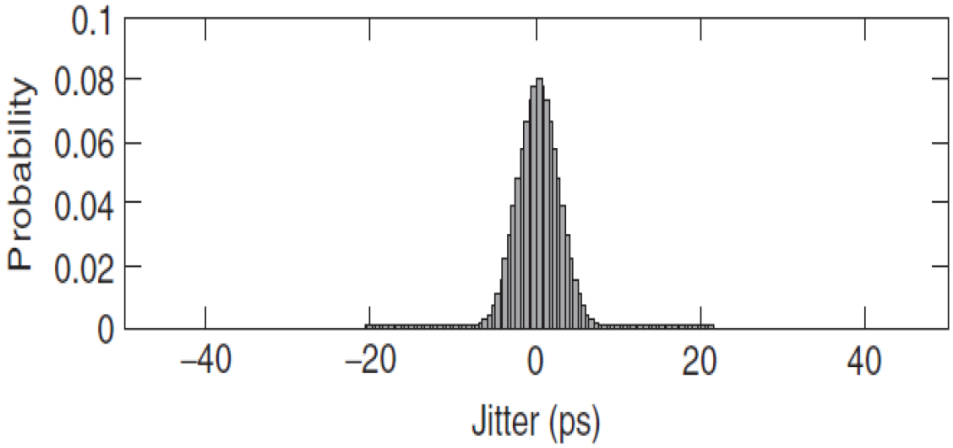

Figure 2.9 Jitter histogram of random jitter [22].

Figure 2.10 show the jitter histogram of deterministic jitter. Deterministic jitter is timing jitter that is repeatable and predictable. Deterministic jitter is further divided into periodic jitter, data dependent jitter and bounded uncorrelated jitter. This timing jitter is quantified by bounded peak-to-peak value and normally caused by crosstalk, DCD, channel losses or spread spectrum clocking.

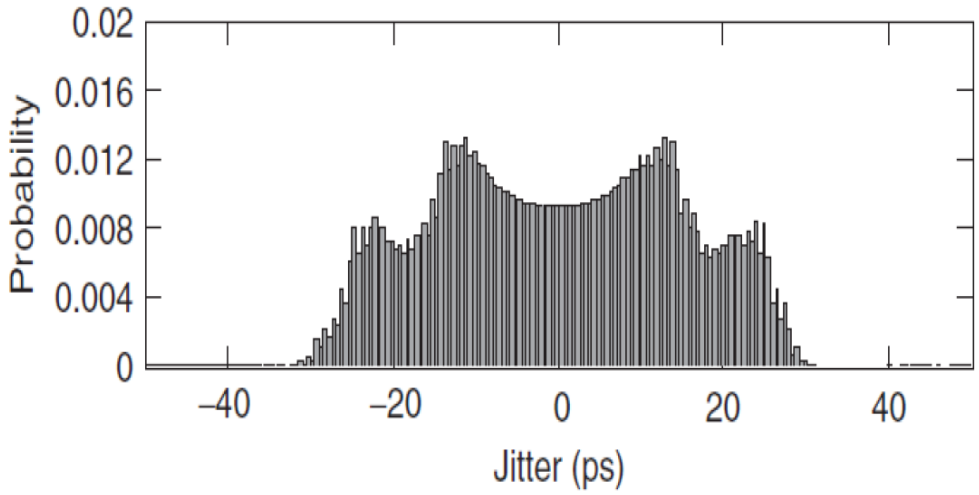

Figure 2.10 Jitter histogram of deterministic jitter [22].

Periodic jitter or known as sinusoidal jitter is jitter that repeats at a fixed frequency due to cross coupling from clock or clock drive signal. Data-dependent jitter is associated with either the transmitted data pattern or aggressor data patterns and divided to ISI and DCD. ISI is one symbol interfering with another, commonly the previous symbol influencing the current and caused by limited bandwidth and reflection while DCD is jitter that is predicted based on whether the associated edge is rising or falling and mainly caused by offset in Tx threshold and asymmetry in rising and falling edges.

Bounded uncorrelated jitter is not aligned in time with the data stream and appears random. It is most common caused by crosstalk from another signal and classified as uncorrelated due to being correlated to aggressor signals and not the victim signal or data stream. While uncorrelated, it is still a bounded source with a quantifiable peak-to-peak value. Figure 2.11 shows the total jitter PDF produced by convolving the RJ PDF and deterministic jitter PDF. Both the RMS value of Gaussian component and the peak-to-peak value of deterministic component separately extracted [23] from the same jitter histogram to accurately estimate BER.

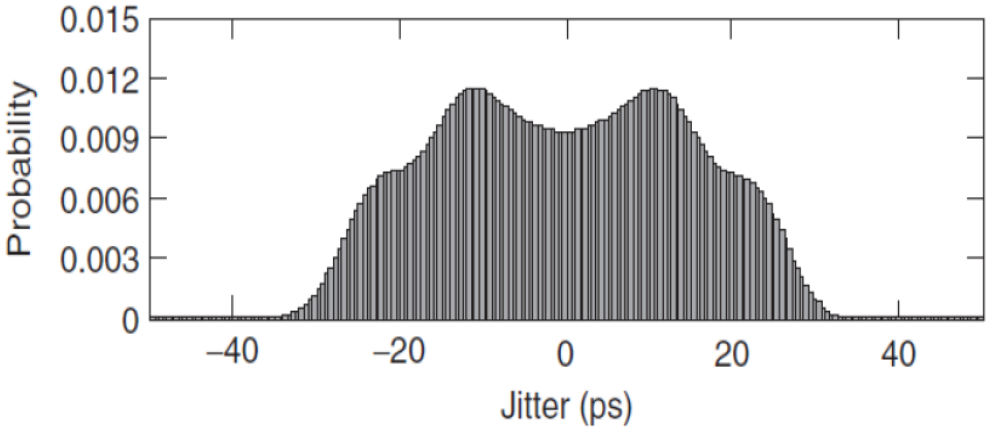

Figure 2.11 Jitter histogram of total jitter [22].

2.3.2 Jitter tolerance (JTOL)

Jitter tolerance expressed the maximum amplitude of sinusoidal jitter at a specified frequency that can be tolerated to a receiver while recovering data with specified BER before it starts to generate errors. A standard jitter tolerance mask is used as a reference during the test, and the jitter tolerance mask for 12.5 Gb/s Interlaken protocol is shown in Figure 2.12. This figure indicates that the receiver circuit must be able to track jitter

frequencies below 500 kHz with a magnitude up to 5 UI. The unit interval is 80 picosecond (ps) due to data rate 12.5 Gb/s, and its compliant receiver circuit must be able to track a data stream at 500 kHz with up to 0.4 nanosecond (ns) of peak-to-peak jitter. Frequencies higher than 500 kHz, the mask drops by magnitude in discrete steps and at jitter frequencies greater than 4 MHz, the Rx circuit must tolerate jitter magnitude more than 0.5UI or 40 ps peak-to-peak.

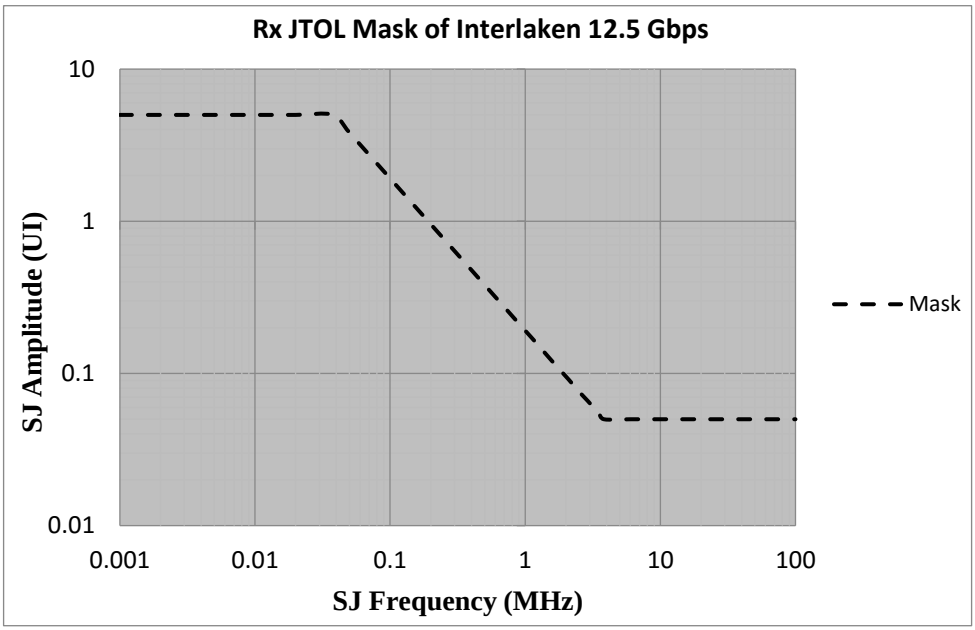

Figure 2.12 Rx JTOL mask for Interlaken protocol [12].

Jitter tolerance test can be performed by encoding the clock into the data stream. The clock and CDR circuitry assure that the clock and data are in phase when the jitter frequency is in the bandwidth of the CDR. However, CDR may not track the data, and bit errors occur if there is high-frequency jitter in the data stream[24]. The objective of jitter tolerance test is to validate that Rx architecture can operate at a target BER when testing under worst case conditions.

2.4 Bit error ratio (BER)

Bit error may occur on several occasions in HSSI system, mainly due to the incorrectly transmitted data stream from Tx component, data distortion along the transmission channel from one end to another, and incorrect data translation by Rx component.

BER is a basic measurement of the overall channel quality of HSSI system and derived by calculating the ratio of the total error bits received to the total number of transmitted bits denoted as,

$$BER = \frac{N_e}{N_T} \tag{2.1}$$

such that N_e is the number of bits received in error and N_T is the total number of bits transmitted. Jitter and noise shown a significant impact toward BER value when the data rates are over 3 Gb/s in comparison to lower data rates. Thus, jitter and noise are required to minimize proportionately at higher data rates in order to sustain an acceptable error rate.

The conventional method of BER measurement in HSSI system consists of a pattern generator, a system under test (SUT), and an error detector component as shown in Figure 2.13. The pattern generator transmits a series of bits known as test pattern into two component blocks, the SUT, and the error detector. It also supplies a synchronous clock signal to error detector component to perform a bit-for-bit comparison [25] between the receiving data from SUT with test pattern data from the pattern generator.

Data received from SUT and pattern generator are necessarily needed to be synchronized to compare accurate bits, whereas dissimilarity between the two sets of data received by the error detector component deemed as bit error.

The clock signal supplied by pattern generator serves error detector component to synchronize the test pattern by adapting time delay to the signal hence synchronized the data received from both ends; the pattern generator and SUT.

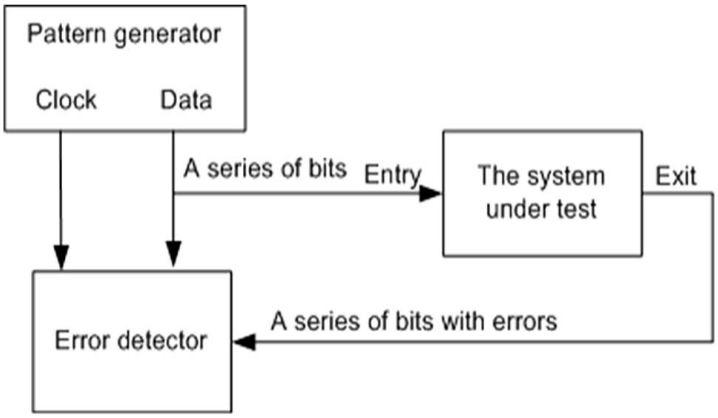

Figure 2.13 Equipment setup for BER test [25].

Several factors need to be considered to obtain a reliable BER test result whilst performing the BER measurement, for instance, a total number of bits need to be transmitted, and the total number of bit errors need to be captured. Moreover, there is a minimum requirement value for the BER need to be measure, and it depends on the spec's definition of the HSSI standard.

2.4.1 BER Confidence Level (CL)

The actual required number of transmitted bits depends on the desired BER confidence level. The BER CL is defined as the probability that the true BER, *p(e)* is better than a specified BER level, *y* or also can define as the percentage of confidence when the true BER is less than *y*. Mathematically, CL can be expressed [26] as

$$CL = p\,[\,p(e) < y \mid l, n\,] \tag{2.2}$$

where *p [ ]* indicates a probability, *y* is a specified BER level, and | *l, n* denotes a system where *n* bits are transmitted, and *l* bits of errors are detected. Possible values of CL are range from 0 to 100%.

In term of statistical method involving the binomial distribution function and Poisson theorem [27], CL denoted as,

$$CL = 1 - e^{-N\,x\,BERs}\,x\,\sum_{k=0}^{E}\frac{(N\,x\,BERs)^{k}}{k\,!} \tag{2.3}$$

where N is the number of transmitter bits, k is the number of events that took place in N attempts, E is the number of measured bit errors, and BER is the specified bits error rate.

Figure 2.14 shows graph rule of thumb of BER confidence level. This graph can be used a simple way to calculate the BER CL by multiplying the number of transmitted bits with the specified BER and draw a horizontal line at this point in the Y-axis. Based on the appropriate curve the number of detected errors, the CL is where a vertical line from the curve of the detected errors intersects the X-axis.

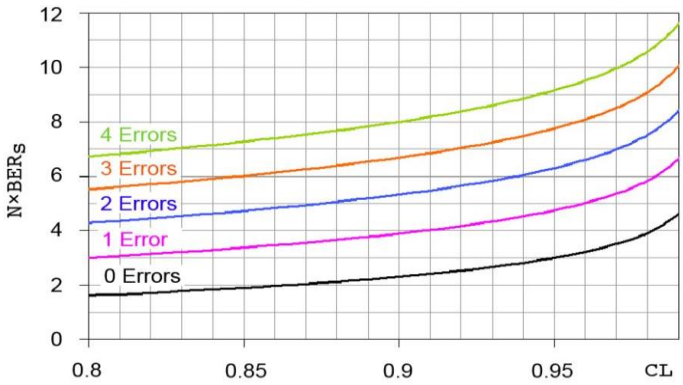

Figure 2.14 Rule of thumb of BER confidence level [4].

The rule of thumb of BER CL also can be implemented to determine the number of bits needed to transmit in order to guarantee a specific CL by drawing a vertical line at the desired CL. From the curve of the estimated number of errors, draw a horizontal line, and then point where horizontal line intersects the Y-axis is divided by the specified BER to calculate the required number of transmitted bits.

2.5 JTOL extrapolation

Extrapolation is an estimation or prediction of data based on extending of a known sequence of data beyond the area that is certainly known [28]. This method can be applied to estimate the value of jitter for a lower BER based on the jitter measurements from higher BER values [29]. The significant added advantages of using the extrapolation method are able to execute fast jitter analysis for lower BER and alleviate measurement cost. For jitter tolerance extrapolation, the approach of using the Quantile Normalization of Gaussian tails able to predict the jitter value of lower BER 10^{-15} based on the measured data of the higher BER value of 10^{-11} or 10^{-12}.

2.5.1 Mathematical background of Gaussian Quantile Normalization

Jitter tolerance extrapolation developed by mathematical basics of Quantile Normalization used in linear fitting. The method is inspired by the idea that a quantile-quantile plot shows a straight diagonal line if the distributions of two data directions are the same [30] and this concept was extended to multiple samples so that it will have the same distribution as a result of the normalization procedure.

RJ is assumed to be Gaussian, and if RJ disturbs the signal edge transition, it will produce Gaussian jitter distribution as shown in Figure 2.15. The probability density function of Gaussian jitter denoted as,

$$p\,(x) = \frac{1}{\sigma\sqrt{2\pi}}\, e^{-x^2/2\sigma^2} \tag{2.4}$$

where σ is the standard deviation.

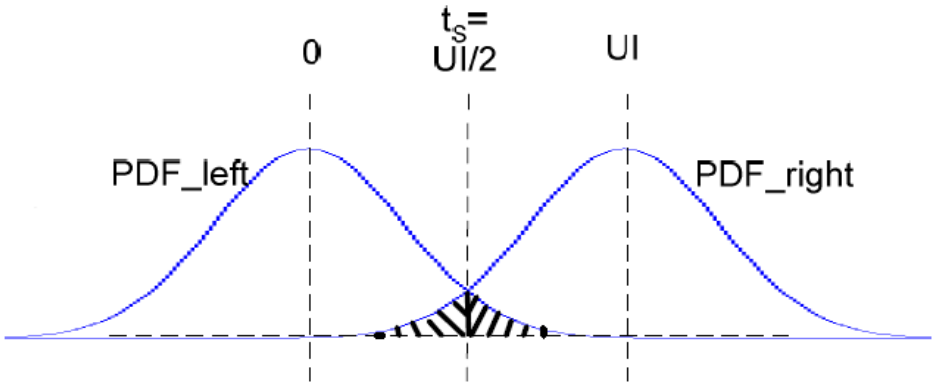

Figure 2.15 Probability density function of Gaussian jitter [15].

To obtain a standardized representation of the PDF in Equation (2.4), the variable Q normalizes a Gaussian function with respect to mean, μ and standard deviation, σ [15] can be expressed by

$$Q = \frac{x - \mu}{\sigma} \tag{2.5}$$

Normalized to zero mean and unit variance *Q(x)* is defined as

$$Q(x) = \frac{1}{\sqrt{2\pi}} \int_{x}^{\infty} e^{-x^2/2}\, dx\ , \qquad x \geq 0 \tag{2.6}$$

The complementary error function is defined as

$$erfc\,(x) = \frac{2}{\sqrt{\pi}} \int_{x}^{\infty} e^{-x^2}\, dx \tag{2.7}$$

and hence, Equation (2.6) can be simplified to

$$Q(x) = \frac{1}{2}\, erfc\,(\frac{x}{\sqrt{2}})\,, \qquad x \geq 0 \tag{2.8}$$

The probability density function of the left and right edge transitions of the data bit as shown in Figure 2.15 can be expressed by

$$P_{left}(x) = \frac{1}{\sigma\sqrt{2\pi}}\, e^{-x^2/2\sigma^2} \tag{2.9}$$

$$P_{right}(x) = \frac{1}{\sigma\sqrt{2\pi}}\, e^{-(x-UI)^2/2\sigma^2} \tag{2.10}$$