

# Water requirements and single and dual crop coefficients of sugarcane grown in a tropical region, Brazil

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## ABSTRACT

A field experiment was conducted throughout 2009/2010 in a sugarcane field of a commercial distillery located on the coastal area of Paraíba state, Brazil. The objectives were to determine sugarcane water requirements and to test the single and dual crop coefficients by comparing the calculated values of  $ET$  with measured ones. Crop evapotranspiration was determined by field water balance, reference evapotranspiration ( $ET_0$ ) by the Penman-Monteith approach, while single and dual crop coefficients were computed through the standard FAO-56 methodology. The experimental area was cultivated with irrigation applied weekly by a centre pivot system in addition to rainfall and the irrigation scheduling was based on 100%  $ET_0$ . Three statistical tests, mean bias difference (MBD), normalized root mean square difference (NRMSD) and regression analysis, were used to evaluate the performance of single and dual crop coefficients. Results showed that there was a notable symmetry between  $ET$  measured and  $ET$  calculated by  $K_c$  dual. The  $ET$  values, calculated from  $K_c$  single, underestimated those obtained from soil water balance measurements by 36%.

**Keywords:** Crop Coefficient; Evapotranspiration; Rainfed; Rainfall; Water Stress

## 1. INTRODUCTION

Due to its application in the food industry and in the

production of ethanol, a less polluting renewable biofuel [1] (Menossi *et al.*, 2008), sugarcane has great economic value, especially in Brazil [2] (Pinto *et al.*, 2005). In many regions of Brazil sugarcane is grown in rainfed area, especially in humid and sub-humid regions in the southern parts of the country. However, full or supplementary irrigation is essential for the production of sugarcane in the northeastern region of Brazil, where the climate is predominantly semiarid with air temperature ranging from 20°C to 40°C and mean annual rainfall being about 800 mm [3] (Silva *et al.*, 2006).

When rainfall amount and intensity are irregular in time and space [4] (Lebourgeois *et al.*, 2010), calculation of complementary irrigation amounts as well as the decision of when to start and stop irrigation is not easy to implement. Investigations have been carried out in many growing regions of the world in order to develop an efficient sugarcane irrigation scheduling scheme. This issue is particularly important, because the excess salinity in irrigation water reduces sugarcane yield and juice quality. Previous studies have shown the impact of extended reduced water availability on sugarcane production because the high biomass crop requires large quantities of water for maximum production [5] (Wiedenfeld, 2008). Much has been reported on different aspects of sugarcane growing, including crop coefficients [6] (Watanabe *et al.*, 2004); transpiration [7] (Chabot *et al.*, 2002); leaf and stalk extension, leaf area development; response to water stress [8] (Inman-Bamber and Smith, 2005); yield and juice quality [9] (Choudhary *et al.*, 2004; [5] Wiedenfeld, 2008); water-use efficiency [10] (Inman-Bamber and McGlinchey, 2003) and evapotranspiration [11] (Omary and Izuno, 1995). Even though Brazil is one of the major sugarcane producing countries in the world, studies on

water requirements of sugarcane cultivated under tropical conditions in Brazil are scarce. Brazil is one of the major sugarcane producing in the world, with 8.36 million of hectares in planted area which provides 26.6 million of cubic meters in alcohol and 30 million of tons in sugar. However, only few analyses have been carried out in Brazil for studying sugarcane crop.

This paper addresses possible errors in supplementary irrigation estimates for sugarcane grown in tropical environment, implying an increase in production cost and low crop yield. Reductions in sugarcane yield in rainfed area are due to the “veranicos” (dry spells of more than 2 weeks during the rainy season). The water availability is the major cause of inter-annual yield variation and yield differences of sugarcane grown on different soils in Brazil [12] (Van den Berg *et al.*, 2000). Sugarcane is highly productive in tropical and sub-tropical areas of the world, but the water stress decreases plant productivity [13] (Rodrigues *et al.*, 2009).

The crop coefficient plays an essential role in various agricultural practices and it has been widely used to estimate the actual *ET* in irrigation scheduling [14] (Pereira *et al.*, 1999). Empirical crop coefficients have been criticized as regards their meaning and use, because their values vary according to the conditions of both climate and crop stage under which they were derived. [15] Doorenbos and Pruitt (1977) in FAO-24 and [16] Allen *et al.* (1998) in FAO-56 suggested crop coefficient values for a large number of crops under different climatic conditions which are commonly used in places where the local data is not available. However, there is a need for local calibration of the crop coefficients under given climatic conditions [17] (Kashyap and Panda, 2001). Nevertheless, the FAO-56 dual crop coefficient provides evapotranspiration (*ET*) calculations separately for transpiration and evaporation from soil. This approach is useful for studies that aim to optimize crop production by reducing the soil evaporation fraction of *ET*. A model that separates evapotranspiration (*ET*) into soil water evaporation (*E*) and plant transpiration (*T*), and calculates parameters associated with the water and energy balance of the soil and crop surfaces is required to understand the fate of water in different cropping systems [18] (Qiu *et al.*, 1999). Although the dual crop coefficient procedure has been applied to many agricultural areas [19] (Allen *et al.*, 2000; [20] López-Urrea *et al.*, 2009; [21] Liu and Luo, 2010; [22] Flumignan *et al.*, 2011), for sugarcane it is still incipient particularly for tropical regions. Thus, the objectives of this study were: 1) to evaluate sugarcane evapotranspiration by using the water balance method and compare the results to those obtained by applying the standard FAO-56 methodology, and 2) determining the single and dual crop coefficient curves of sugarcane growth in tropical region.

## 2. MATERIALS AND METHODS

### 2.1. Experimental Site

The field experiment was carried out in 2009/2010 in a sugarcane field of commercial distillery located in the coastal area of Paraíba state, Brazil (latitude 6°54'59"S; longitude 35°09'17"W; altitude 121 m). The study crop was sugarcane (*Saccharum* spp.), cultivar RB 92579, in the first ratoon crop. The mean annual rainfall in study area is about 1500 mm and mean annual air temperature ranges from 19°C (rainy season) to 34°C (dry season), while the rainy season generally starts in March and ends in August [23] (Silva, 2004). The local climate is tropical wet with tropical savanna vegetation and the soil type is Lixisols (FAO soil taxonomy). A trench was open in the experimental site for extracting soil samples that were used to determine the textural class, bulk density, porosity, and field capacity and wilting point. The groundwater level at the experimental site dropped down to 3.0 m during the growing season. The main soil physical and chemical properties of soil at study area are summarized in **Table 1**. The experimental area was cultivated with irrigation applied weekly by a centre pivot system, in addition to rainfall. Irrigation scheduling was based on 100% of reference evapotranspiration ( $ET_0$ ) which was obtained by the Penman-Monteith approach [16] (Allen *et al.*, 1998).

### 2.2. Measurements

Daily measurements of air temperature, wind speed,

**Table 1.** Physical and chemical properties at the experimental area in the coastal area of Paraíba state, Brazil.

Soil property	Profile depth (cm)		
	0 - 20	21 - 50	51 - 100
Sand (%)	73.00	68.86	55.64
Silte (%)	3.75	3.77	9.86
Clay (%)	23.25	27.37	33.50
Bulk density (g·cm <sup>-3</sup> )	2.71	2.67	2.66
Total porosity (%)	48.33	47.56	50.00
Field capacity (m <sup>3</sup> ·m <sup>-3</sup> )	0.64	0.94	1.48
Wilting point (m <sup>3</sup> ·m <sup>-3</sup> )	0.39	0.57	0.91
Organic carbon (%)	0.40	0.41	0.38
pH (water)	6.80	5.36	4.71
Calcium (meq%)	1.97	1.45	1.51
Magnesium (meq%)	2.46	3.19	3.85
Sodium (meq%)	0.01	0.01	0.01
Potassium (meq%)	0.01	0.02	0.005
Hydrogen (meq%)	0.79	0.92	0.26
Aluminum (meq%)	0.20	0.40	0.40

solar radiation and relative humidity for estimating  $ET_o$ , as well as rainfall were made on a data collection platform (DCP) located near the experimental site. The soil water content was measured every 3-days from 10 October 2009 to 12 September 2010 using a profile probe PR2 sensor and an HH2 data logger (Delta-T Devices LTA). Once a site specific calibration was identified as necessary for the PR2 probe [24] (Qi and Helmers, 2010), we used *in-situ* calibrated equations for estimating soil water content. The soil water content was monitored at 0.10 m intervals down 1.0 m starting at 0.10 m. For representative measurements of soil water content by the profile probe, 9 access tubes were inserted into the ground at the experimental plot and then the mean soil water content was computed as the arithmetic mean of the water content values observed from access tubes.

Crop parameters were measured during different stages of sugarcane growth. The crop data included the planting date, 10% cover date, full cover date, maturity date, harvest date, mean root depth, height of sugarcane, and leaf area. The root depth was measured through destructive plant sampling. The mean root depth was obtained by averaging the root depth of 5 plants at different stages of growth. One plot of  $30 \times 30 \text{ m}^2$  size was selected in a sugarcane field for obtaining crop parameters and soil water content. The main experimental area was surrounded by other sugarcane fields of 8000 ha. Direct measurements of plant height (perpendicular distance from the soil at its base to the highest point reached amongst all parts in their natural position) were taken weekly. The leaf area was obtained by gravimetric techniques. The gravimetric method correlates the dry weight of leaves and leaf area using predetermined green-leaf-area-to-dry-weight ratios (leaf mass per area, LMA). LMA is determined from a sub-sample extracted from the global field sample [25] (Jonckheere *et al.*, 2004). The leaf area index was calculated from the measured mean leaf area dividing the plot area.

### 2.3. Determination of Soil Water Balance

The soil water balance in the root zone over a given time interval was calculated from the mass conservation equation expressed as:

$$\Delta S = R + I + CR - RO - D - ET \quad (1)$$

where  $\Delta S$  is the change in root zone water storage,  $R$  is the rainfall,  $I$  is the depth of irrigation applied,  $RO$  is the runoff from the soil surface,  $CR$  is the capillary rise,  $D$  is the drainage at depth  $z$ , below the root zone, and  $ET$  is the actual evapotranspiration. All the water balance components are in mm. Surface runoff was neglected, once the experimental site had flat topography. Similarly,  $CR$  was assumed to be zero because the water table was more than about 1 m below the bottom of the root zone

at the experimental site. The change in soil water storage ( $\Delta S$ ) was determined as:

$$\Delta S = S_t - S_{t-1} \quad (2)$$

where  $S_t$  and  $S_{t-1}$  are the changes in soil water storage at times  $t$  and  $t - 1$ , respectively. The changes in soil water storage ( $S_L$ ) were determined by considering the soil layers from the surface ( $z = 0$ ) down to the bottom of the measurements soil depth ( $z = 0.6 \text{ m}$ ). For subsequent soil layers, soil water content values from the upper and lower borders of each layer were averaged to find the mean water content of the entire layer. Deep drainage ( $D$ ) in the root zone following a heavy rain or irrigation was calculated as

$$D = (R - RO) + I - ET - D_{r,i-1} \quad (3)$$

where  $R$  is the rainfall (mm),  $RO$  is the runoff (mm),  $I$  is the irrigation (mm),  $ET$  is the crop evapotranspiration (mm), and  $D_{r,i-1}$  is the water content in the root zone at the end of the previous day (mm). Both  $ET$  and  $D_{r,i-1}$  were calculated using the FAO-56 dual crop coefficient method [16] (Allen *et al.*, 1998).

#### 2.3.1. Evapotranspiration by FAO-56 Dual Crop Coefficient

The crop evapotranspiration ( $ET$ ) under soil water stress conditions was calculated following the FAO-56 methodology [16] (Allen *et al.*, 1998) when single (**Eq.4**) and dual (**Eq.5**) crop coefficient approaches were employed:

$$ET = K_s K_c ET_o \quad (4)$$

$$ET = (K_s K_{cb} + K_e) ET_o \quad (5)$$

where  $K_s$  is the stress reduction coefficient,  $K_c$  is the crop coefficient,  $K_{cb}$  is the basal crop coefficient,  $K_e$  is the soil evaporation coefficient, and  $ET_o$  is the reference evapotranspiration (mm). When the average soil water content of the root zone was not adequate to sustain full plant transpiration,  $K_s$  reduced the value of  $K_{cb}$ . The  $K_e$  values represent the evaporation from wet soil, which occurs in addition to the evapotranspiration represented in  $K_{cb}$  [19] (Allen, 2000). The procedure used to obtain the  $K_s$ ,  $K_{cb}$  and  $K_e$  values was that proposed by Allen *et al.* [16] (1998). A full account of these estimates is also given in Allen [19] (2000) and [26] Bodner *et al.* (2007). Therefore, only the relevant aspects of the FAO-56 methodology [16] (Allen *et al.*, 1998) to the present study are briefly discussed here. The typical values of single and dual crop coefficients for non stressed, well-managed crops in sub humid climates ( $RH_{\min} \approx 45\%$ ,  $u_2 \approx 2 \text{ m/s}$ ), and lengths of crop development stages of sugarcane grown in tropical regions are presented in **Table 2**. Only three values of  $K_c$  are required to describe and construct the crop coefficient curve. However, it is necessary for

**Table 2.** Single and basal crop coefficients for non stressed, well-managed crops in sub humid climates and lengths of crop development stages of sugarcane grown in tropical region recommended by Allen *et al.* (1998).

Stages/Lengths	Initial	Desenvlopment	Mid-season	Late season
	Lengths of crop development stages			
Lenght	30	50	180	60
Stages/ $K_c$	Crop coefficient (adimensional)			
$K_{c\text{-single}}$	0.40	-	1.25	0.75
$K_{c\text{-basal}}$	0.15	-	1.20	0.70

determine the locally adjusted lengths of the four growth stages: Initial, development, mid-season, and late stages.

The daily  $K_c$  value was determined by assuming  $K_c$  to be constant during the initial and mid-season stages and assuming linear relationship between the  $K_c$  value at the end of the previous stage ( $K_{c, \text{prev}}$ ) and the  $K_c$  value at the beginning of the next stage ( $K_{c, \text{next}}$ ) during the crop development and late season stages. The daily  $K_c$  values during the crop development and late season stages can be calculated as:

$$K_{c,i} = K_{c(\text{prev})} + \left( \frac{i - \sum L_{(\text{prev})}}{L_{(\text{stage})}} \right) (K_{c(\text{next})} - K_{c(\text{prev})}) \quad (6)$$

where  $i$  is the day number within the growing season,  $K_{c,i}$  is the crop coefficient on day  $i$ ,  $L_{\text{stage}}$  is the length of the stage under consideration, and  $\sum L_{(\text{prev})}$  is the sum of the lengths of all previous stages.

### 2.3.2. Performance of the FAO-56 Results

Two statistical criteria used to evaluate the agreement between the calculated  $ET$  following the FAO-56 methodology and measured  $ET$  by water balance values were the mean bias difference ( $MBD$ ) and the normalized root mean square difference ( $NRMSD$ ) which were obtained as [27] (Silva *et al.*, 2010):

$$MBD = \sum_{i=1}^n \left( \frac{ET_i^* - ET}{n} \right) \quad (7)$$

$$RQMDN = \frac{\left[ \sum_{i=1}^n (ET_i^* - ET)^2 / n \right]^{1/2}}{\frac{1}{n} \sum_{i=1}^n ET} \quad (8)$$

where  $n$  is the number of data pairs,  $ET_i^*$  and  $ET$  are the  $i$ th modeled and measured values of evapotranspiration, respectively. In addition to  $MBD$  and  $RQMDN$ , regression analysis between measured and calculated values is also an indicator of model performance.  $MBD$  indicates the average deviation of predicted values from

the measured values and  $RQMDN$  measures the discrepancy of predicted values around observed values.

## 3. RESULTS AND DISCUSSION

The soil water balance components for each developmental stage of sugarcane are summarized in **Table 3**. The high cumulative drainage value occurred in response to a large irrigation during the experimental period which corresponded to 22.4% of the total irrigation. Total rainfall through the experimental period was 35% less than the long-term average (for the period 1960-2006), while the cumulative irrigation summed 1342.2 mm. A higher irrigation application from development stage to late season stage resulted from lower seasonal rainfall and combined effects of air temperature, evaporative demand and development of leaf area. The cumulative  $ET$  values of sugarcane were very high over crop growth stages (1686.7 mm) and crop growth stages, except in the initial stage (77.9 mm) due to the low number of irrigation events.

The highest and lowest cumulative values of water balance components occurred in the mid-season and initial crop growth stages, respectively, as a natural consequence of the lengths of crop development stages. In addition, the higher evaporative demand and irrigation application produced an increase in the cumulative  $ET$  for the whole growth stages. As can be observed, both  $\Delta S$  and  $D$  showed a large variation. For instance,  $D$  for the development stage was low compared to the exceptional high values in the consecutive growth stage. On the other hand,  $\Delta S$  varied from -61.7 mm to 46.7 mm from initial to mid-season stage due to the increase in irrigation application.

Employing procedures in laboratory, studies were carried out to experimentally determine the upper and lower limits of the available water. The measured values of field capacity water content and wilting point were 0.637 and 0.388  $\text{cm}^3 \cdot \text{cm}^{-3}$ , respectively. Using the FAO-56 dual crop coefficient method, the total evaporable water ( $TEW$ ) = 44.3 mm, readily evaporable water ( $REW$ ) = 8.0 mm, total available water ( $TAW$ ) = 24.9 mm and readily available water ( $RAW$ ) = 16.2 mm. In this study, we used an evaporation depletion factor  $p$  of 0.65 for sugarcane [16] (Allen *et al.*, 1998). The different  $K_c$  and  $ET$  values and leaf area index ( $LAI$ ) for each crop growth stage in the first ratoon crop of sugarcane under study are also given in **Table 4**. On the whole crop season, due to high irrigation load,  $ET$  was lower for  $K_c$  single than  $ET$  based on both soil water balance ( $K_c$ -SWB) and dual crop coefficient ( $K_c$ -dual). On average, both  $K_c$  and  $ET$  based on SWB were coincident with those based on  $K_c$ -dual. In this comparison,  $ET$  ( $K_c$ -single) represented daily average values over the time interval of each crop growth

**Table 3.** Soil water balance components (mm) during the developmental stages for sugarcane observed at the experimental site in a tropical climate, Brazil. *R* = rainfall, *I* = irrigation, *ET* = crop evapotranspiration,  $\Delta S$  = water storage change and *D* = deep drainage.

Growth stage	Period	<i>R</i>	<i>I</i>	<i>ET</i>	$\Delta S$	<i>D</i>
Initial	October 10 to November 8, 2009	0.0	30.0	77.9	-61.7	13.8
Development	November 9 to 28 December, 2009	12.0	146.9	166.4	-7.4	0.0
Mid-season	December 29, 2009 to June 26, 2010	421.0	932.2	1148.9	46.7	157.5
Late season	June 27 to August 25, 2010	188.0	233.1	293.5	-2.2	129.8
Total		621.0	1342.2	1686.7	-24.6	301.1

**Table 4.** Mean values of crop coefficient and evapotranspiration for each crop growth stage of sugarcane based on single and dual approach of FAO-56 methodology (Allen *et al.*, 1998), soil water balance (SWB) and leaf area index (LAI).

Crop growth stage	Single		SWB		Dual		LAI
	$K_c$	<i>ET</i>	$K_c$	<i>ET</i>	$K_c$	<i>ET</i>	
Initial	0.40	1.97	0.56	2.60	0.56	2.60	-
Development	0.85	4.42	0.65	3.33	0.87	4.53	1.50
Mid-season	1.31	6.10	1.43	6.38	1.34	6.23	4.86
Late season	1.06	4.16	1.32	4.89	1.16	4.54	5.62
Average	0.90	4.16	0.99	4.30	0.98	4.47	3.99

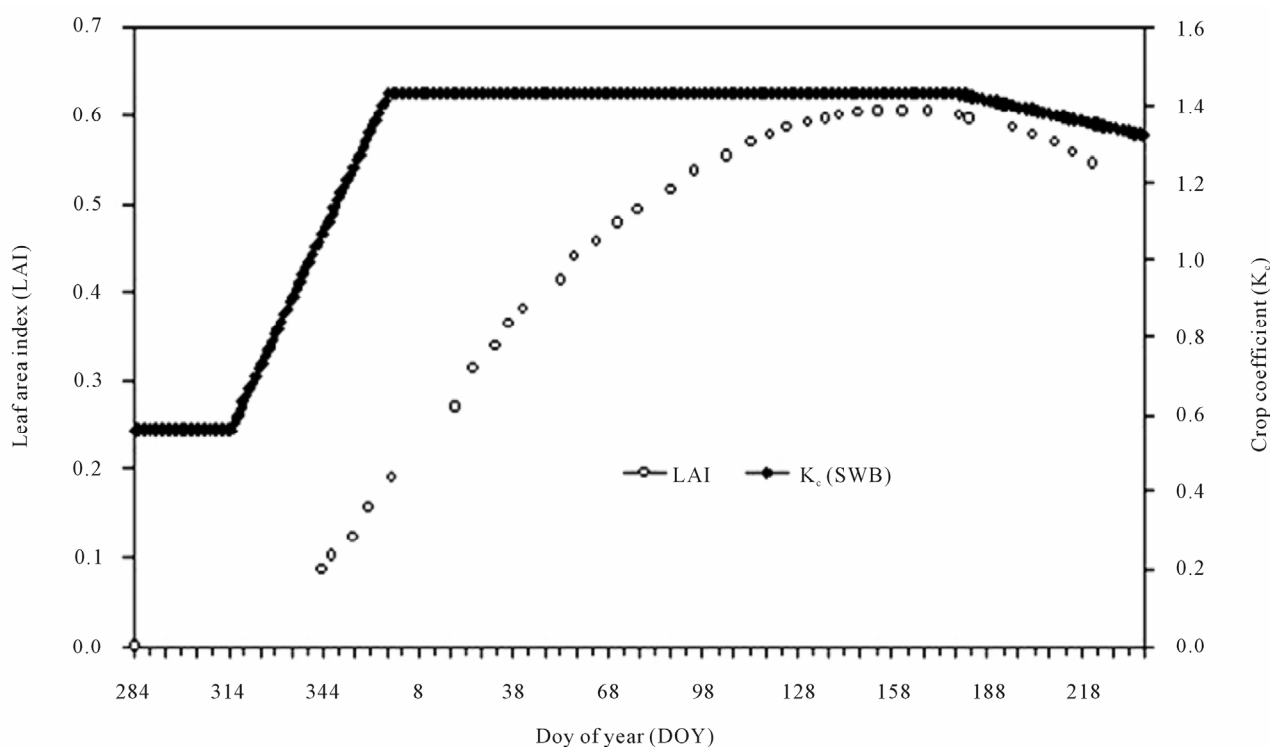
stage based on  $K_c$ -single by adjusting their values for tropical region.

The difference between  $K_c$ -single and  $K_c$ -SWB was about 10%, while between  $K_c$ -SWB and  $K_c$ -dual it was less than 1%. The highest difference between  $K_c$ -single and  $K_c$ -WB occurred on late season stage (20%), corresponding to a difference between *ET* values of 0.73 mm·day<sup>-1</sup>. In practice, results reported here showed that  $K_c$  single can lead to significant errors in irrigation scheduling of sugarcane in tropical conditions. On the other hand, from the comparison it appears that if the FAO-56 dual crop coefficient method was used, there was always a good correspondence between  $K_c$ -SWB and  $K_c$ -dual in the entire growing season. These results provided preliminary elements which permit to achieve the objective of this study: dual crop coefficient does have interesting possibilities for irrigation scheduling of sugarcane grown in a tropical climate. Indeed, the  $K_c$  values based on SWB are significantly higher than  $K_c$ -single recommended by FAO-56 [16] (Allen *et al.*, 1998) for sugarcane in the whole growth stage. This result is consistent with previous studies which take into account the evaporative demand. More arid climates and conditions of greater wind speed will have higher values for  $K_c$ , while more humid climates and conditions of lower wind speed will have lower values for  $K_c$  [16] (Allen *et al.*, 1998). The effect of the difference in aerodynamic properties between the grass reference surface and agricultural crops is not only crop specific but also varies with cli-

matic conditions. The crop coefficient values derived from field soil water balance during the initial, mid-season and late stages for sugarcane growth in a tropical region are 0.56; 1.43 and 1.32, respectively. These values are higher than those recommended by FAO-56 and by [10] Inman-Bamber and McGlinchey (2003) for sugarcane. This is because the results of these studies were obtained either for other climate conditions or sugarcane ratoon/varieties. Inman-Bamber and McGlinchey [10] (2003) provided a sound basis for confirmation of the current FAO 56 crop coefficients of 0.4, 1.25 and 0.7 for sugarcane during initial, mid-season and late stages, respectively.

At various times during the growing season, sugarcane leaf area, calculated leaf area index (LAI) and plant height were determined. During the entire growth season, the leaf area index (LAI) ranged from 1.50 to 5.62 m<sup>2</sup>·m<sup>-2</sup>, following a similar course of crop coefficient (**Figure 1**). For some intervals of curve, due to a number of operational problems related to sampling of leaf area, LAI was not available. During the initial and development stages, LAI increased rapidly reaching a maximum value around the day of year DOY 170 in the range between 5.0 and 5.5 m<sup>2</sup>·m<sup>-2</sup>. There after, LAI decreased slowly toward to the end of late season. On the other hand, for maximum LAI (completely covered ground surface)  $K_c$  decreased from a peak value (~1.5) tending to an asymptotic low value around 1.3.

The crop coefficient is dependent upon the stage of



**Figure 1.** Seasonal course of evapotranspiration ( $ET$ ) and leaf area index ( $LAI$ ) of sugarcane throughout the experimental year.

canopy height, crop growth, architecture and cover [16] (Allen *et al.*, 1998). Several authors have demonstrated that  $K_c$  is highly correlated with leaf area index [28] (Medeiros *et al.*, 2001), leaf area [29] (Williams and Ayars, 2005) and ground cover [20] (López-Urrea *et al.*, 2009). Indeed, these studies showed that the water losses, resulting from evapotranspiration processes, increase when vegetation develops, so that adaptation to local climate promotes canopy development until water shortage prevents further growth.

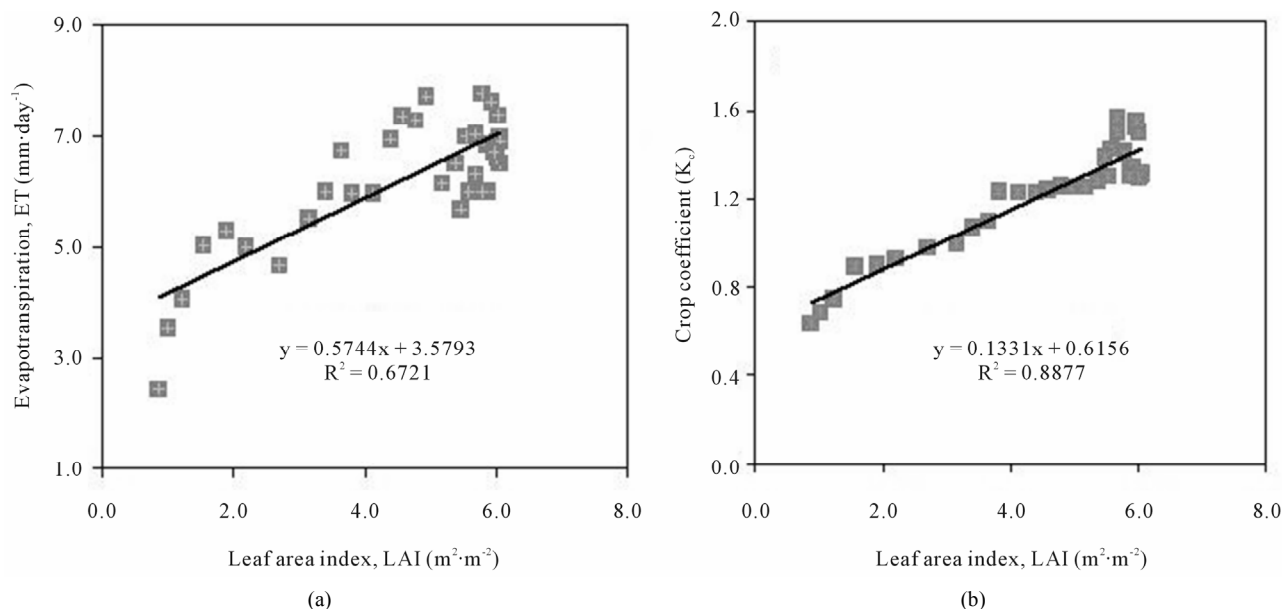
The present study also shows the relationship between  $LAI$  and evapotranspiration, as another means of characterizing the sugarcane canopy. Evapotranspiration ( $ET$ ) and crop coefficient ( $K_c$ ) were linearly related to sugarcane leaf area index (Figure 2). The greatest  $r^2$  value (0.88) of the relationship with  $LAI$  was that for crop coefficient compared to an  $r^2$  value of 0.67 for evapotranspiration. The calculated evapotranspiration during the growing season increased almost linearly from October 10 (at the beginning of experimental period) until approximately DOY 120. Furthermore, in spite of the relatively low coefficient of determination, the relationship between  $LAI$  and  $ET$  was statistically significant by Student's  $t$ -test at the 0.05 level. A particularly good fitting between  $ET$  and  $LAI$  was observed from DOY 343 to DOY 120, when  $LAI$  had reached the maximum value.

The coefficient of determination of 0.83 confirmed a good agreement between the  $K_c$  and  $LAI$  data for this

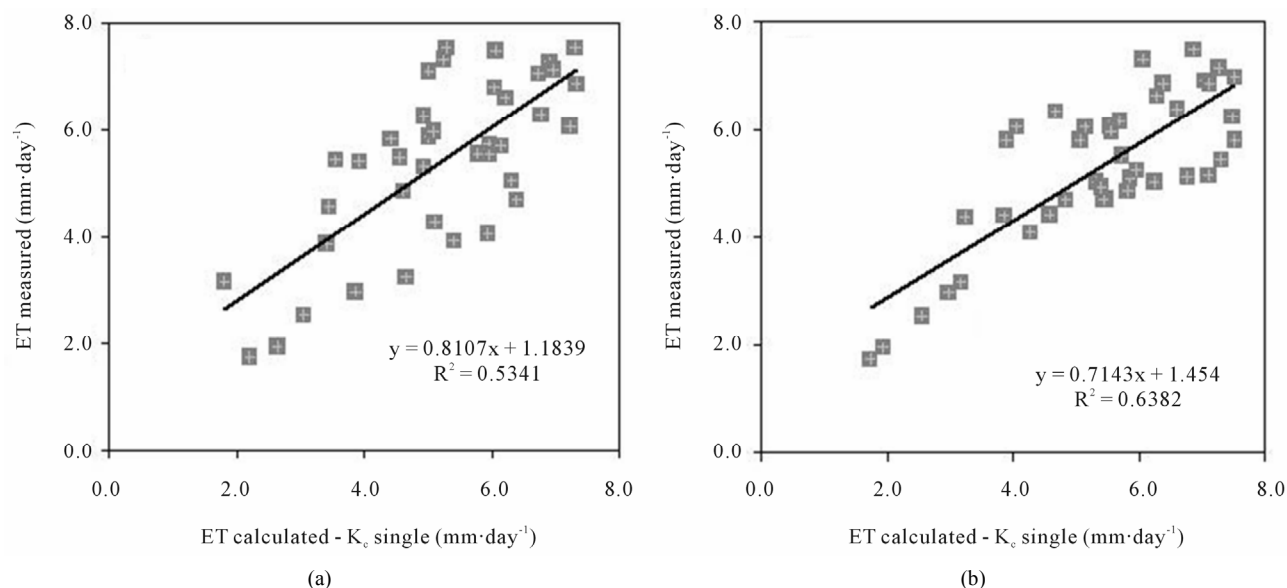
period as well as between  $K_c$  and  $LAI$  for the whole period which are statistically significant at the 0.01 level. This result indicates that reduction in irrigation application in late season had a negative impact on  $ET$  reduction compared to a slow decrease in  $LAI$ .

Comparisons between  $ET$  values measured by soil water balance and calculated by  $K_c$  single and  $K_c$  dual are illustrated in Figure 3. A linear regression of the two groups of observations was made for evaluating the performance of both crop coefficients. The  $ET$  calculated by  $K_c$  dual provided a better performance than  $ET$  calculated by  $K_c$  single. The slope of the linear regression was 0.81 and 0.71 with coefficient of determination equal to 0.53 and 0.63 for  $ET$  calculated by  $K_c$  single and  $K_c$  dual, respectively.

The different components of the field soil water balance within the first ratoon crop of sugarcane under study are given in Figure 4. As previously mentioned, irrigation application was high and rainfall amount was low in almost the entire experimental period but resulted in high water supply ( $P$  and/or  $I$ ) which had a positive impact on evapotranspiration increase. Daily average evapotranspiration ( $ET$ ) was calculated over 7-day periods using the soil water balance method. This method must be used over periods of longer than one week to provide an acceptable precision in estimating field evapotranspiration [30] (Trambouze *et al.*, 1998). A similar procedure was used by Azevedo *et al.* [31] (2003) who



**Figure 2.** Relationship between the leaf area index (LAI) and (a) calculated evapotranspiration ( $ET$ ) and (b) crop coefficient ( $K_c$ ) of sugarcane growth in tropical environment. Points represent 7-day averages of daily  $K_c$  and  $ET$ .

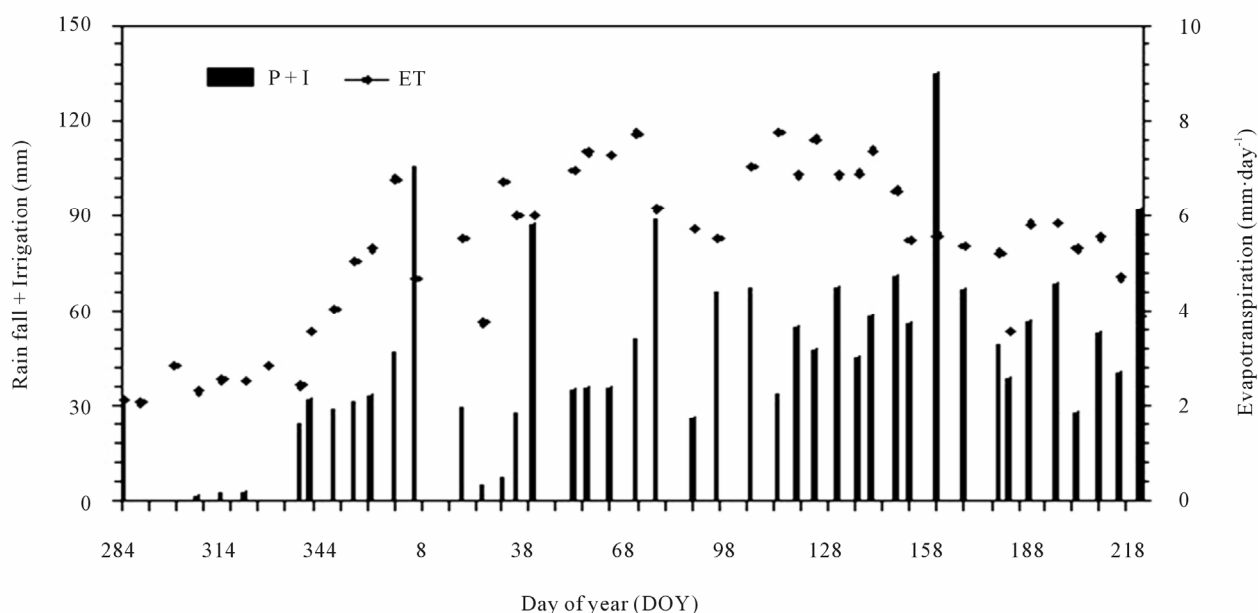


**Figure 3.** Comparison between measured evapotranspiration and calculated evapotranspiration based on  $K_c$  single (a) and  $K_c$  dual (b). Points represent 7-day averages of daily  $ET$  measured and calculated.

determined the soil water balance components for mango orchard grown in a semiarid environment in a northeastern region of Brazil. There was a close correspondence between weekly measures of  $ET$  and water supplied as rainfall and irrigation, indicating that evapotranspiration ranged over a large interval depending on the water amount. Sugarcane  $ET$  by soil water balance had an average  $\pm$  standard deviation of  $4.3 \pm 1.6 \text{ mm}\cdot\text{day}^{-1}$ .

The highest water supply of 134.32 mm on DOY 158 was mainly provided by high episodic rainfall events (75 mm) between June 10 and June 18, 2010. The related

drainage for this period was 57.54 mm, indicating the high-efficiency of **Eq.3** for detecting deep drainage in the root zone following heavy rain or irrigation. Therefore, this equation can be used for monitoring drainage when sets of mercury manometer tensiometers are not available for determining the soil water negative pressure suction. Although the water supply had the highest influence on the magnitude of sugarcane  $ET$ , small influences of the atmospheric demand and leaf area during the development period can greatly affect the daily values of  $ET$ .



**Figure 4.** Comparison between sugarcane evapotranspiration and water supply (irrigation and rainfall) throughout experimental period.

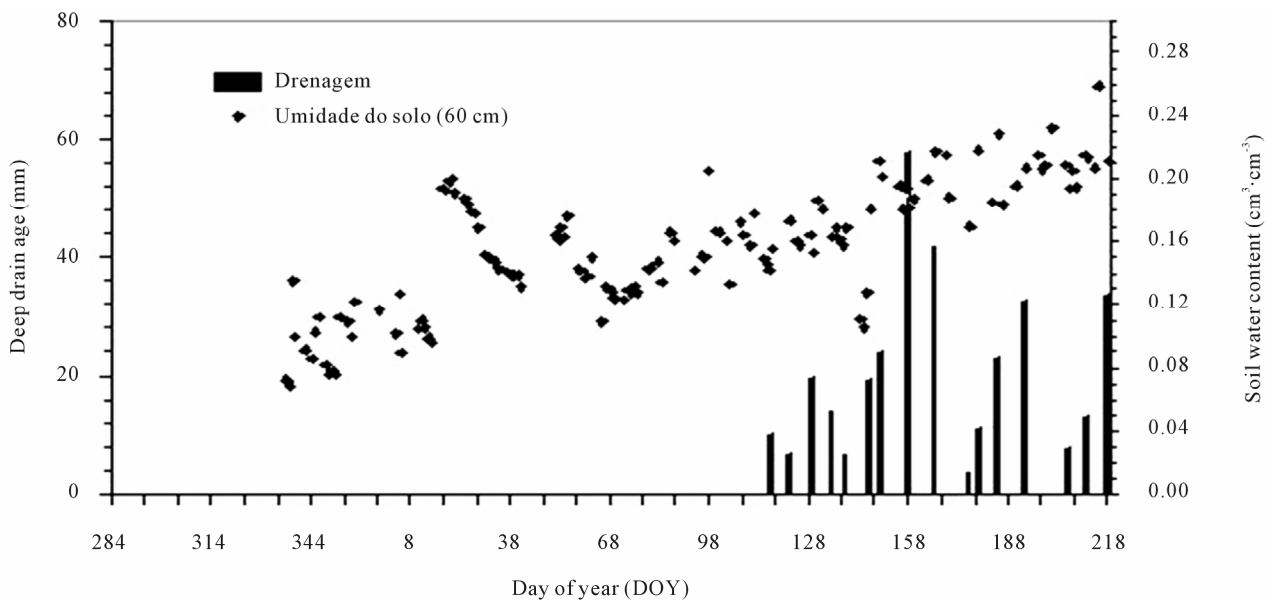
Although soil water content was recorded at regular times at depths of 0.10, 0.20, 0.30, 0.40, 0.50, 0.70, 0.80, 0.90 and 1.0 m, the soil water storage was calculated between the soil surface and a depth of 0.60 m using the trapeze rule, allowing for computing the evolution of evapotranspiration with time in the crop root zone. The cumulative change in soil water storage over a depth of 0.60 m and deep drainage as a function of time for the crop season under study is presented in **Figure 5**. In general, the increase in water supply had a noticeable effect on soil water storage and deep drainage. The highest deep drainage was observed at the end of the mid-season stage followed by a considerable increase in the cumulative soil water storage. This can be explained by the exceptional high rainfall of 75 mm within 7 days of irrigation in June resulting in increased subsoil water which caused drainage.

At the beginning of the growing season, consecutive rainy days with low rainfall and no irrigation were observed, which reduced the soil water storage and consequently did not provide deep drainage. Daily average  $ET$  calculated over 7-day periods using the dual crop coefficient approach was compared with the corresponding daily average  $ET$  using single crop coefficient as shown in **Figure 6**. Due to normal data scatter and in order to compare to measured  $ET$  values,  $ET$  values by crop coefficients were reported as 7-days. The seasonal pattern of evapotranspiration estimated by the dual crop coefficient was similar to that by single crop coefficient, but there existed differences in the magnitudes. The estimated  $ET$  from the  $K_c$  dual overestimated significantly the  $ET$  from

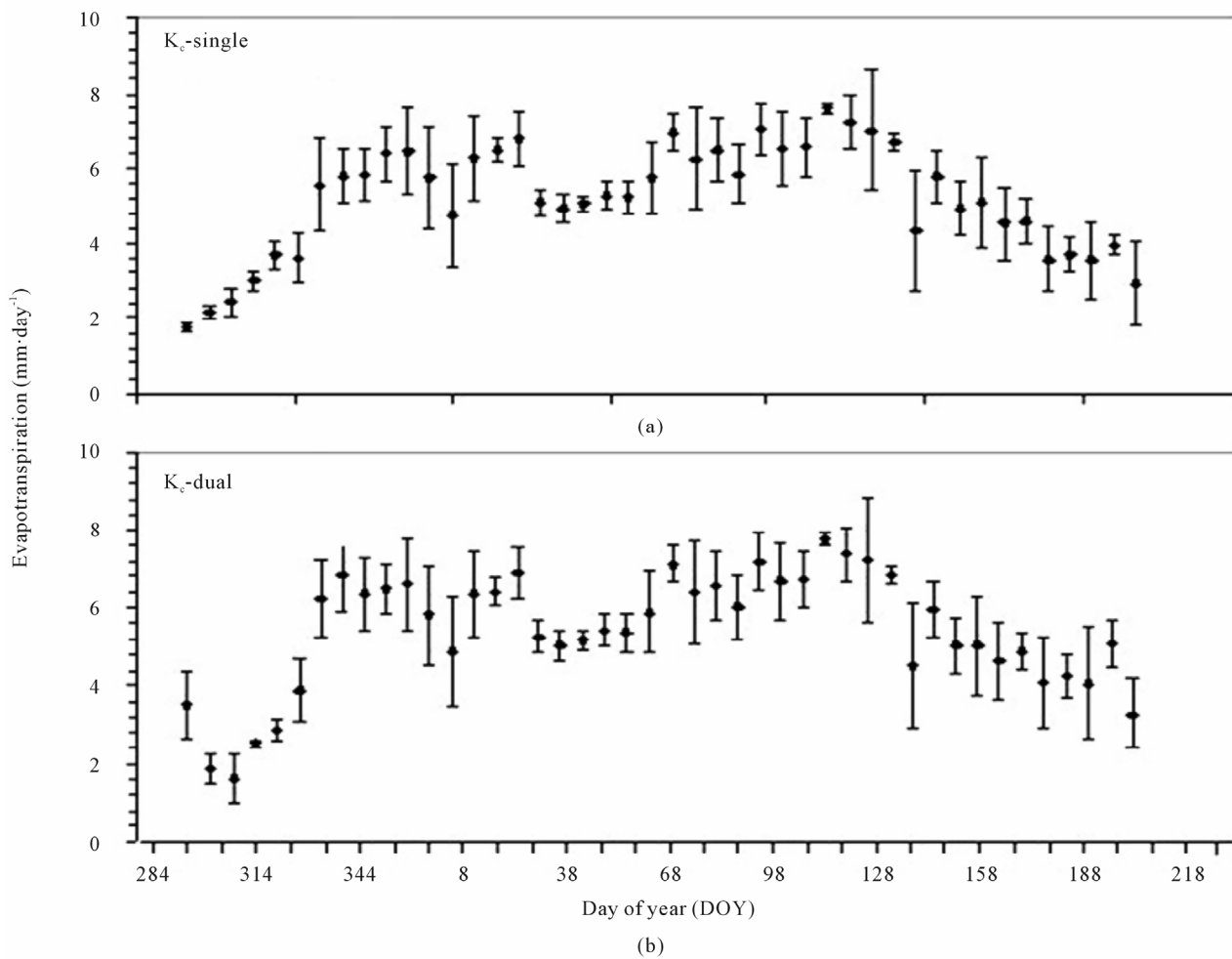
$K_c$  single, especially during and after mid-season due to high water application.

The values of  $ET$  from dual crop coefficient ranged from 1.05 to 9.05 mm·day<sup>-1</sup>, with average  $\pm$  standard deviation of 4.47  $\pm$  1.05 mm·day<sup>-1</sup>, while  $ET$  from  $K_c$  single ranged from 1.64 to 8.86 mm·day<sup>-1</sup>, with average  $\pm$  standard deviation of 4.16  $\pm$  1.63 mm·day<sup>-1</sup>. These  $ET$  ranges were significantly higher than those for measured  $ET$  which varied from 1.05 to 7.76 mm·day<sup>-1</sup>. This was probably caused mostly by the fact that measured  $ET$  from soil water balance responded primarily to the amount of water applied. Our results of measured  $ET$  are consistent with other studies. Average measured daily evapotranspiration rates can be as high as 7.4 mm during the summer months for sugarcane growing areas in Australia (Australian Bureau of Meteorology, <http://www.bom.gov.au/>). When determining crop coefficients and water-use estimates for sugarcane based on the long-term Bowen ratio energy balance measurements in Australia and Swaziland, Inman-Bamber and McGlinchey [10] (2003) found similar results for  $ET$ . The data showed that minimum  $ET$  rates occurred during August through December (2 - 4 mm·day<sup>-1</sup>) and maximum  $ET$  rates (6 - 9 mm·day<sup>-1</sup>) occurred during March through May throughout mid-season stage. Similar results were obtained by Moroizumi *et al.* [32] (2009) when estimating the actual evapotranspiration of rice and sugarcane using micrometeorological data and crop coefficients for a semi-humid tropical climate in Northeast Thailand. For the same region, [6] Watanabe *et al.* (2004) found  $ET$  rates for the sugarcane field ranging between 2 and 6 mm per day





**Figure 5.** Evolution of cumulative soil water storage and deep drainage with time for the crop season under study.



**Figure 6.** Time course for calculated evapotranspiration on a sugarcane crop field based on single and dual crop coefficients ( $K_c$ -single and  $K_c$ -dual). Points indicate 7-day averages of daily  $ET$  and bars represent the standard error.

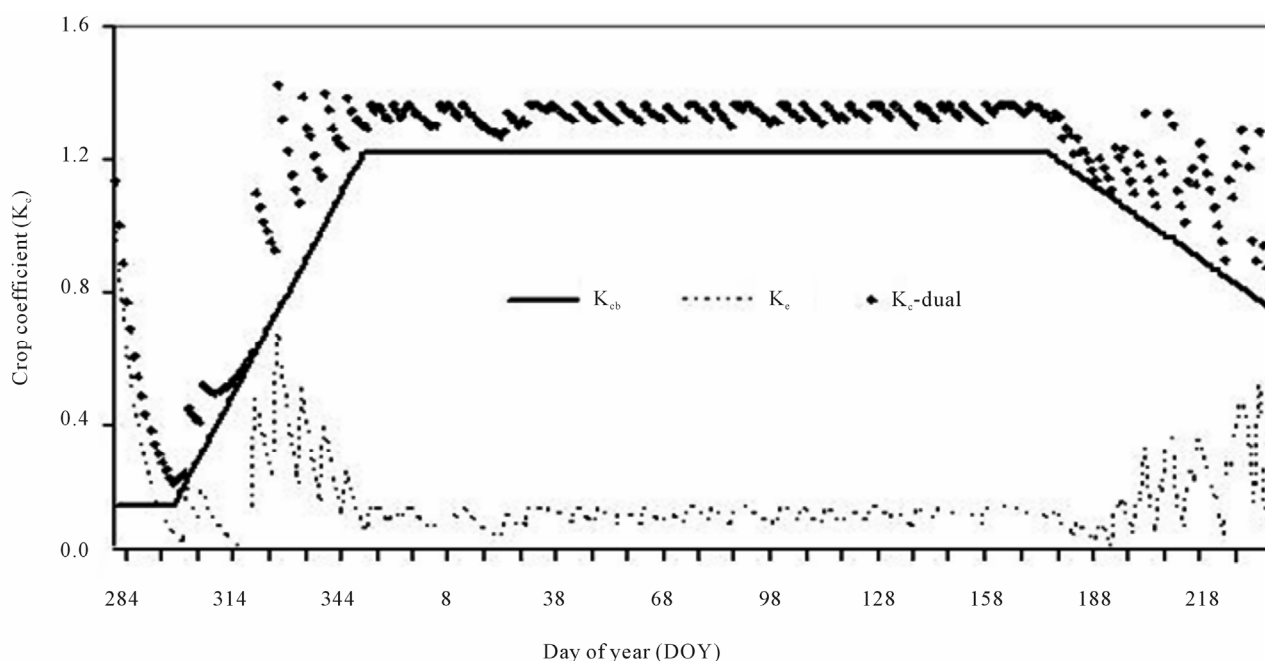
during the rainy season.

The total  $ET$  values from  $K_c$  single and  $K_c$  dual were as 1595.6 and 1656.5 mm per year, respectively. On the other hand, the total measured  $ET$  was 1645.8 mm per year. The curves of dual crop coefficient, basal crop coefficient and soil evaporation coefficient during the initial, development, mid-season and late season growth stages for sugarcane following the FAO-56 dual  $K_c$  approach are shown in **Figure 7**. The modeled  $K_c$  dual curve was consistent with the observed  $K_c$  values derived from field soil water balance (**Figure 1**). At the initial growth stage  $K_c$  dual remained constant and equal to  $K_{cb}$  (0.15). During this stage, the soil evaporation coefficient ( $K_e$ ) was the dominant component of  $K_c$  dual due to high frequency of irrigation and not much crop covered ground. Where the topsoil was wet, following rain or irrigation,  $K_e$  was maximal [16] (Allen *et al.*, 1998). During the development stage, both  $K_{cb}$  and  $K_c$  dual increased quickly to the maximum at the mid-season stage, where they remained constant during all stages, and there after  $K_{cb}$  decreased rapidly toward the late season, while  $K_c$  dual was quite variable but with a decreasing trend. Inversely, the  $K_e$  data exhibited a decreasing trend during the development stage, with declines close to zero at the mid-season stage as a consequence of the increase in vegetative cover. As opposed to the reduction in  $K_{cb}$ ,  $K_e$  increased during the late season due to the reducing of the degree of soil cover with vegetation. Therefore, the lowest  $K_e$  values were recorded during the mid-season growth stage, when LAI was high. On other hand, higher

$K_e$  values during initial growth stage were attributed to small LAI (**Figure 1**) and little plant growth.

The soil evaporation ( $E_v = ET_o \times K_e$ ) for the entire growth season of sugarcane was 220.56 mm, ranging from 0.06 to 4.03 mm·day<sup>-1</sup>. As can be deduced from the results, the transpiration component from the dual crop coefficient calculated by using the FAO-56 method was 1435.9 mm, while the evaporation component accounted for 13% of the total evapotranspiration. Similar to  $K_e$ , the highest  $E_v$  values were recorded at the initial growth stage due to the high frequency of irrigation and little plant growth. In spite of high LAI, high evaporative demand and good water supply were determinants to achieve high  $E_v$  rates during the late season. The  $ET$  calculations by using dual crop coefficient (FAO-56 method) indicated that the sugarcane at the experimental site was not under moisture stress because the water stress coefficient was always 1 throughout the whole growth season. This is mostly due to the high amount and frequency of irrigation application. This type of water management is typical for sugarcane production in Brazil.

Comparison of daily values of  $ET$  measured with soil water balance and calculated with  $K_c$  single and  $K_c$  dual on a soil sandy are shown in **Table 5**. The trends of measured and calculated values of  $ET$  by  $K_c$  dual were similar to  $MBD$  of only 0.04 mm·day<sup>-1</sup>. However, the measured and calculated values of  $ET$  by  $K_c$  single were a variance, indicating that calculated  $ET$  was lower than measured  $ET$  with a high  $MBD$  of 0.16 mm·day<sup>-1</sup>.  $NRMSD$  between measured and calculated  $ET$  ranged



**Figure 7.** Dual crop coefficient curve ( $K_c$  dual) and values of basal crop coefficient ( $K_{cb}$ ) and soil evaporation coefficient ( $K_e$ ) for different development stages of sugarcane growth in the tropical region.

**Table 5.** Summary of statistical performance of sugarcane evapotranspiration from single ( $K_c$ -single) and dual ( $K_c$ -dual) crop coefficients and soil water balance (SWB).  $MBD$  ( $\text{mm}\cdot\text{day}^{-1}$ ) = mean bias difference,  $NRMSD$  (%) = normalized root mean square difference,  $r^2$  = coefficient of determination.

Crop coefficient	EMD	RQMDM (%)	$r^2$
$K_c$ -Single	-0.16	32	0.53
$K_c$ -Dual	0.04	26	0.64

from 32% to 26% for single and dual crop coefficients, respectively. Since the value of NRMSD is always positive, representing zero as in the ideal case [27] (Silva *et al.*, 2010), the best fit was found for  $K_c$  dual, while the worse fit was obtained for  $K_c$  single.

The  $MBD$  values were negative for  $K_c$  single, indicating a  $0.16 \text{ mm}\cdot\text{day}^{-1}$  underestimation of sugarcane evapotranspiration. On the other hand, the values of coefficient of determination also show that  $K_c$  dual is a better way for determining evapotranspiration. The linear regression coefficient ( $r^2$ ) between measured and calculated values of  $ET$  by  $K_c$  single was 0.55, with a slope of 0.81 not significant different than zero. On the other hand, the relationship between measured and calculated  $ET$  values by  $K_c$  dual provides a coefficient of determination of 0.64 with a slope of 0.71, at a 0.01 probability level. These results show that  $K_c$  single values for sugarcane recommended by FAO-56 [16] (Allen *et al.*, 1998) are not appropriate for tropical climate. However, the  $K_c$  dual provided an acceptable estimation of water requirements for this region. A number of studies have shown that the  $K_c$  values can be found in disagreement between local calculated  $K_c$  and those reported in the literature (Azevedo *et al.* [31], 2003; Silva *et al.* [33], 2009).

#### 4. CONCLUSION

This study evaluates the applicability of the dual crop coefficient method for sugarcane in a tropical region, Brazil, and compares the estimated  $ET$  by the single and dual crop coefficients with the  $ET$  measured by the field water balance. In a tropical area with sub humid climate, evapotranspiration ranges over a large interval depending on water amount. Crop coefficient and evapotranspiration for sugarcane are found to be linearly related to the leaf area index. The greatest coefficient of determination value (0.88) of the relationship with the LAI is that for  $K_c$  compared to  $r^2$  value of 0.67 for  $ET$  and LAI. However, the  $r^2$  value for the relationship between  $ET$  and  $K_c$  from initial to mid-season stages (0.84) is greater than that for the whole season. The maximum  $ET$  rates occurred in the mid-season stage, ranging from 6 to 9  $\text{mm}\cdot\text{day}^{-1}$ . The daily  $ET$  calculated using  $K_c$  dual are comparable to  $ET$  calculated by soil water balance. The

recommended crop coefficient values derived from field soil water balance during the initial, mid-season and late stages for sugarcane growth in tropical region are 0.56, 1.43 and 1.32, respectively. These values are consistently higher than those suggested by FAO-56 for sugarcane. The most commonly used methods for estimating  $ET$  demand considerable instrumentation, namely, the soil water balance and micrometeorological methods. Indeed, some methods are more suitable than others in terms of convenience, accuracy or cost for the measurement of  $ET$  at a particular spatial and/or a particular time scale. The dual crop coefficient method can provide accurate estimates of sugarcane  $ET$  at both daily and seasonal time scales in the tropical regions when appropriate instrumentation is not available. Statistical tests show that the observed differences between values from the estimated  $ET$  by  $K_c$  dual and  $ET$  calculated by field water balance are not significant. However, the  $ET$  values calculated from  $K_c$  single underestimates those obtained from soil water balance measurements with RQMDM by 36% and EMD by  $-0.16 \text{ mm}\cdot\text{day}^{-1}$ .

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