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# DC-Free Coset Codes

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**Abstract**—A class of block coset codes with disparity and run-length constraints are studied. They are particularly well suited for high-speed optical fiber links and similar channels, where dc-free pulse formats, channel error control, and low-complexity encoder-decoder implementations are required. The codes are derived by partitioning linear block codes. The encoder and decoder structures are the same as those of linear block codes with only slight modifications. A special class of dc-free coset codes are derived from BCH codes with specified bounds on minimum distance, disparity, and run length. The codes we derive have low disparity levels (a small running digital sum) and good error-correcting capabilities.

## I. INTRODUCTION

RECENTLY, work on constructing line codes (or transmission codes) for systems such as fiber optic links and magnetic or optical recording has received much attention in the literature [1]–[11]. These codes are designed to impose some type of spectral constraint on the transmitted sequence, e.g., have a null at dc (for fiber optic links) or to limit the high-frequency components (magnetic recording). In some applications it is also desirable that these codes provide some error-correcting or error-detecting capability as well. The codes we construct in this paper simultaneously meet the dc constraints and the error-correcting requirements and, because of the simplicity of the encoder and decoder design, can be used for high-speed digital communications.

Linear block codes can be designed to have powerful error-correcting and error-detecting capabilities and can be encoded and decoded efficiently due to their elegant algebraic structures [12]. However, they usually do not possess desirable dc properties. Line codes, on the other hand, are designed to have a zero dc component and limited run length to aid in the receiver synchronization and detection processes but typically offer little or no error-control capabilities. The dc-free attribute can be achieved by strongly bounding the running disparity of the transmitted sequences [7]. The *disparity* of a codeword is the difference between the number of ones and the number of zeros. We

let  $D$  denote the maximum *running* (cumulative) disparity after any bit position, while  $D'$  denotes the running disparity at the end of a codeword. The *run length* is defined as the number of consecutive 1's or 0's in a sequence of coded bits. It is often given in the form  $(l, L)$ , where  $l$  is equal to one less than the shortest run length, and  $L$  is equal to one less than the longest run length. Codes designed for digital transmission, such as the ones presented in this paper, have  $l = 0$ . The preferred codes for magnetic recording, on the other hand, usually have  $l \geq 1$ , i.e., the minimum spacing between transitions is longer than a symbol interval.

We study a class of dc-free coset codes for use on high-speed optical links and similar channels, where dc-free binary symbol formats, channel error control, and high-speed (or low-complexity) encoding/decoding are demanded. The dc-free coset code is denoted by  $(n, k, D)$ , where  $n$  is the codeword length and  $k$  is the information block length. In Section II we first present a class of dc-free coset codes that have particularly simple encoding and decoding algorithms. This section also serves as an introduction to the error-correcting dc-free coset codes studied in Section III. The dc-free coset code considered in Section II is defined by  $v = (0, u) + a\mathbf{1}_n$ , where  $v$  is an  $n$ -bit codeword,  $u$  is an  $(n-1)$ -bit information vector,  $a \in \{0, 1\}$ , and  $\mathbf{1}_n$  is the  $n$ -bit all-one vector. The coset code consists of the linear code

$$T_1 = \{v_i | v_i = (0, u)\}, \quad a = 0$$

and its coset,

$$T_2 = \{v'_i | v'_i = v_i + \mathbf{1}_n\}, \quad a = 1$$

for  $i = 0, 1, \dots, 2^{n-1} - 1$ . (In this paper, the “+” and “ $\Sigma$ ” operators indicate modulo-2 addition when applied to binary vectors.) The construction of the dc-free coset code is based on the idea of “vector space partitioning.” The linear space of  $2^n$  vectors is partitioned into  $2^{n-1}$  disjoint subsets  $\{A_0, A_1, \dots, A_1, \dots, A_{2^{n-1}-1}\}$ , where  $A_i = \{v_i, v'_i\}$ , for  $i = 0, 1, \dots, 2^{n-1} - 1$ .

In Fig. 1 we show the general encoding scheme for the dc-free coset code. The parameter  $D'_t$  denotes the running disparity at the end of a codeword at time  $t$  (one time unit corresponding to the transmission period of a codeword). The encoding can be described as follows. Suppose an  $(n-1)$ -bit information vector  $u$  (corresponding to message  $i = 0, 1, 2, \dots, 2^{n-1} - 1$ ) is to be encoded at time  $t$ . The  $n-1$  bits are fed into the subset selector to select the subset  $A_i$ . Then  $D'_{t-1}$  is used to select one of the codewords in  $A_i$ , i.e., either  $v_i$  or  $v'_i$ , such that the output codeword sequence has the desired running disparity.

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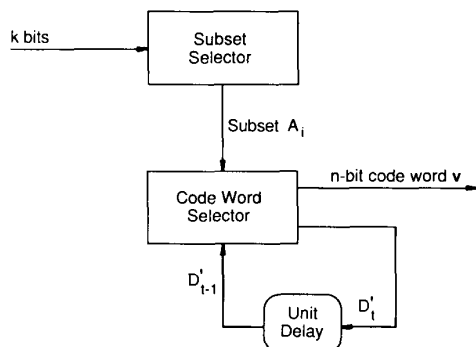


Fig. 1. General encoding scheme for dc-free coset code.

In Section III the idea of “vector space partitioning” is extended to “code vector space partitioning” to construct dc-free coset codes with error-correcting capability. The construction of these codes is motivated by the recent work of Herro and Hu [4]. The  $2^{k+J}$  codewords generated by an  $(n, k+J)$  linear block code are partitioned into  $2^k$  disjoint subsets  $\{B_0, B_1, \dots, B_{2^k-1}\}$ , and each  $k$ -bit information vector is associated with exactly one subset. The encoding can also be described by Fig. 1 if  $A_i$  is replaced by  $B_i$ . Because only codewords of the  $(n, k+J)$  linear block code are transmitted, the error-correcting dc-free coset code has the same error-correcting capability as the linear block code, and the encoder/decoder structures of the linear block code can be used to encode and decode the error-correcting dc-free coset code with only slight modifications.

In Section IV we present a systematic method for constructing error-correcting dc-free coset codes from BCH codes. Codes of practical interest are also given and listed. Many of the codes we found have low disparity levels (a small running digital sum) and good error-correcting capabilities. Finally, in Section V we draw some conclusions from our results.

## II. DC-FREE COSET CODES—WITHOUT ERROR-CORRECTING CAPABILITY

The  $(n, k, D)$  dc-free coset code is defined by

$$\begin{aligned} \mathbf{v} &= (0, \mathbf{u}) + a\mathbf{1}_n \\ &= (a, \mathbf{u} + a\mathbf{1}_{n-1}), \quad a = 0, 1 \end{aligned} \quad (1)$$

where  $\mathbf{v}$  is an  $n$ -bit codeword corresponding to a  $k = (n-1)$ -bit information vector  $\mathbf{u}$ , and  $\mathbf{1}_n$  is the  $n$ -bit all-one vector. Equation (1) implies that an  $(n-1)$ -bit information vector can be encoded into either  $(0, \mathbf{u})$  or  $(1, \mathbf{u} + \mathbf{1}_{n-1})$ , both of which have the same absolute disparity but opposite polarity. The encoding rule requires that the disparity polarity of consecutive codewords with nonzero disparity alternates.

*Encoding:* Suppose an  $(n-1)$ -bit information vector  $\mathbf{u}$  is to be encoded at time  $t$ . Let  $D'_{t-1}$  be the running disparity at the end of a codeword at time  $t-1$ . We

proceed as follows:

- 1)  $\mathbf{v} \leftarrow (0, \mathbf{u})$ ,  $D'_t \leftarrow D'_{t-1}$ ; let  $d_t$  be the disparity of  $\mathbf{v}$  at time  $t$ ;
- 2) if  $D'_t \cdot d_t \leq 0$ ,  $D'_t \leftarrow D'_t + d_t$ , and go to 4; else go to 3;
- 3)  $\mathbf{v} \leftarrow \mathbf{v} + \mathbf{1}_n$ ,  $D'_t \leftarrow D'_t - d_t$ , go to 4;
- 4) encode the next  $n-1$  information bits.

*Decoding:* Let  $\hat{\mathbf{v}} = (\hat{v}_1, \hat{v}_2, \dots, \hat{v}_n)$  be the received version of  $\mathbf{v}$ :

- 1) if  $\hat{v}_1 = 0$ ,  $\hat{\mathbf{u}} = (\hat{v}_2, \hat{v}_3, \dots, \hat{v}_n)$ , and go to 2; else,  $\hat{\mathbf{u}} = (\hat{v}_2, \hat{v}_3, \dots, \hat{v}_n) + \mathbf{1}_{n-1} = (\hat{v}'_2, \hat{v}'_3, \dots, \hat{v}'_n)$ , and go to 2;
- 2) decode the next  $n$ -bit received word.

From the encoding rule, we see that the running disparity at the end of any codeword is bounded by

$$|D'_t| \leq n. \quad (2)$$

(We have dropped the subscript  $t$  from  $D'$  since these bounds hold for all codewords.) The maximum running disparity at any given bit position is given by

$$|D| = n + \left\lfloor \frac{n}{2} \right\rfloor \quad (3)$$

and the worst case occurs when the disparity at the end of a codeword is 0, followed by an all-one codeword, then followed by a codeword with  $\lfloor n/2 \rfloor$  1's in its first  $\lfloor n/2 \rfloor$  positions. The maximum run length equals  $2n + \lfloor n/2 \rfloor$ , so that

$$L = \text{maximum run length} - 1 = 2n + \left\lfloor \frac{n}{2} \right\rfloor - 1 \quad (4)$$

and the worst case occurs when the disparity at the end of a codeword is  $n$ , followed by two all-zero codewords, then followed by a codeword with  $\lfloor n/2 \rfloor$  0's in its first  $\lfloor n/2 \rfloor$  positions. Table I lists a set of rate  $R = (n-1)/n$  dc-free coset codes. Also shown in Table I is the capacity  $C(D)$  for the disparity constrained channel given by Chien [13].

TABLE I  
RATE  $R = (n-1)/n$  DC-FREE COSET CODES

$n$	$D$	$R$	$C(D)$
4	6	0.75	0.963
8	12	0.875	0.989
16	24	0.938	0.997
32	48	0.969	0.999

## III. DC-FREE COSET CODES—WITH ERROR-CORRECTING CAPABILITY

In this section we will extend the idea of the previous section to construct dc-free coset codes with error-correcting capabilities. As we will see in the next section, error-correcting dc-free coset codes can be derived from BCH codes and will have almost the same dc properties as the codes constructed in the last section, with only a slight decrease in code rate. More importantly, these codes require only simple encoding/decoding operations, which is in contrast to the block line codes found in the existing

literature. It is this feature that renders them very attractive for applications in high-speed transmission links.

### A. Code Structure

An  $(n, k + J)$  linear block code  $C_b$  is specified by its  $(k + J) \times n$  generator matrix  $G_1$ . If the code has a minimum distance  $d_b$ , then it can correct  $t$  or fewer errors and simultaneously detect  $\lambda$  or fewer errors provided that [12]

$$2t + \lambda + 1 \leq d_b. \quad (5)$$

Although linear block codes can be designed for powerful error protection, they usually do not have good dc properties.

The  $(n, k, D)$  error-correcting dc-free coset code, denoted by  $C_e$ , is defined by

$$\mathbf{v} = \mathbf{u}[G_2 G_1] + \mathbf{g} \quad (6)$$

where  $\mathbf{u}$  is a  $k$ -bit information vector, and  $G_2$  is a  $k \times (k + J)$  matrix, called the *transfer matrix*. The matrix  $G_2$  transfers the  $k$  information bits into the last  $k$  bits of the  $(k + J)$ -bit vector  $\mathbf{u}G_2$ . That is,  $\mathbf{u}G_2$  has the form  $(0, 0, \dots, 0, u_1, u_2, \dots, u_k)$ . The  $n$ -bit codeword

$$\mathbf{g} = \sum_{j=1}^J a_j \mathbf{g}_j, \quad a_j = 0, 1 \quad (7)$$

is a linear combination of the first  $J$  rows of the generator matrix  $G_1$  of  $C_b$ . The codeword  $\mathbf{g}$  plays a similar role to the vector  $\mathbf{1}_n$  in (1) in Section II, i.e., it is used to control the codeword disparity. (In (1),  $J=1$  and  $\mathbf{g} = a_1 \mathbf{1}_n$ .) Since  $C_e$  is a subset of  $C_b$ , the minimum distance of  $C_e$ , denoted by  $d_e$ , is at least as large as  $d_b$ , i.e.,  $d_e \geq d_b$ . Therefore,  $C_e$  is at least as powerful as  $C_b$  in error-correcting and error-detecting capability.

To be effective in controlling codeword disparity, the first  $J$  rows of  $G_1$  are chosen to satisfy

$$\text{supp}(\mathbf{g}_i) \cap \text{supp}(\mathbf{g}_j) = \emptyset, \quad \text{for } i \neq j \quad (8)$$

and

$$\mathbf{g}_1 + \mathbf{g}_2 + \dots + \mathbf{g}_J = \mathbf{1}_n \quad (9)$$

where the support of  $\mathbf{g}_j$ ,  $\text{supp}(\mathbf{g}_j)$ , is the set of coordinates at which the components of  $\mathbf{g}_j$  are nonzero. Equation (8) implies that  $\mathbf{g}_j$  only controls the disparity of  $\mathbf{v}$  at coordinates  $\text{supp}(\mathbf{g}_j)$ , while (9) guarantees that the disparity at all the coordinates of  $\mathbf{v}$  can be controlled. Let  $w_j$  denote the Hamming weight of  $\mathbf{g}_j$ . Without loss of generality, we assume that<sup>1</sup>

$$\begin{aligned} \mathbf{g}_1 &= (\mathbf{1}_{w_1}, \mathbf{0}_{w_2}, \mathbf{0}_{w_3}, \dots, \mathbf{0}_{w_J}) \\ \mathbf{g}_2 &= (\mathbf{0}_{w_1}, \mathbf{1}_{w_2}, \mathbf{0}_{w_3}, \dots, \mathbf{0}_{w_J}) \\ &\vdots \\ \mathbf{g}_J &= (\mathbf{0}_{w_1}, \mathbf{0}_{w_2}, \dots, \mathbf{0}_{w_{J-1}}, \mathbf{1}_{w_J}). \end{aligned} \quad (10)$$

<sup>1</sup>If  $\mathbf{g}_j$ ,  $j=1, 2, \dots, J$  satisfy (8) and (9) but are not in the form of (10), the  $J$  vectors can be transformed into the form of (10) by reordering the coordinates of the original codewords since reordering produces an equivalent code.

That is, the  $\{\mathbf{g}_j\}$  in (10) consist of  $J-1$  all-zero segments and one all-one segment. Obviously, the  $\{\mathbf{g}_j\}$  in (10) satisfy (8) and (9). Divide the  $n$ -bit codeword  $\mathbf{v}$  in (6) into  $J$  segments, i.e.,  $\mathbf{v} = (\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_J)$ , where  $\mathbf{v}_j$  is a  $w_j$ -bit vector. Then  $\mathbf{g}_j$  only controls the disparity of the  $j$ th segment  $\mathbf{v}_j$ .

The  $k \times (k + J)$  matrix  $G_2$  is given by

$$G_2 = \begin{bmatrix} 0 & \dots & 0 & \\ 0 & \dots & 0 & \\ \vdots & & \vdots & \\ 0 & \dots & 0 & I_k \end{bmatrix} \quad (11)$$

where  $I_k$  is the  $k \times k$  identity matrix. From (6), (7), and (11) we obtain

$$\begin{aligned} \mathbf{v} &= \mathbf{u}G_2 G_1 + \mathbf{g} = (\mathbf{0}_J, \mathbf{u})G_1 + \sum_{j=1}^J a_j \mathbf{g}_j \\ &= (a_1, a_2, \dots, a_J, \mathbf{u}) + G_1. \end{aligned} \quad (12)$$

Note that  $G_2 G_2^T = I_k$ . From (12) the  $k$ -bit information vector  $\mathbf{u}$  can be recovered from

$$\mathbf{u} = (a_1, a_2, \dots, a_J, \mathbf{u})G_2^T. \quad (13)$$

*Example 1:* Let  $n=7$ ,  $k=2$ , and  $J=2$ . Let the  $d_b=3$  (7,4) Hamming code be generated by

$$G_1 = \begin{bmatrix} 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 & 1 \end{bmatrix}.$$

Note that the first two rows of  $G_1$ ,  $\mathbf{g}_1 = (1 \ 1 \ 1 \ 0 \ 0 \ 0 \ 0)$  and  $\mathbf{g}_2 = (0 \ 0 \ 0 \ 1 \ 1 \ 1 \ 1)$  satisfy (10). The  $2 \times 4$  matrix  $G_2$ , from (11) is given by

$$G_2 = \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}.$$

The 2-bit information vector  $\mathbf{u} = (u_1, u_2)$  is then encoded into

$$\mathbf{v} = \mathbf{u}G_2 G_1 + \sum_{j=1}^2 a_j \mathbf{g}_j = (a_1, a_2, u_1, u_2)G_1.$$

At the decoder, suppose  $(a_1, a_2, u_1, u_2)$  is recovered correctly based on the (7,4) block code decoder, then

$$\hat{\mathbf{u}} = (a_1, a_2, u_1, u_2)G_2^T = (u_1, u_2).$$

### B. Encoding and Decoding

Let  $D_i'$  be the disparity at the end of a codeword at time  $t$ . Let  $d_j$  denote the disparity of the  $j$ th segment  $\mathbf{v}_j$  of  $\mathbf{v}$ . If  $\mathbf{g}_j$  is added to  $\mathbf{v}$ , the polarity of  $d_j$  in the new codeword will change, and the disparity  $d_i$ ,  $i \neq j$ ,  $i=1, 2, \dots, J$ , remains the same as in the old codeword. The encoding rule, as in Section II, also requires that the disparity polarity of consecutive nonzero disparity segments alternate.

*Encoding:* Suppose a  $k$ -bit information vector  $\mathbf{u}$  is to be encoded at time  $t$ . We proceed as follows:

- 1)  $\mathbf{v} \leftarrow \mathbf{u}\mathbf{G}_2\mathbf{G}_1$ ,  $j \leftarrow 1$ ,  $D'_i \leftarrow D'_{i-1}$ ;
- 2) if  $D'_i \cdot d_j \leq 0$ ,  $D'_i \leftarrow D'_i + d_j$ ,  $j \leftarrow j+1$ , go to 4; else go to 3;
- 3)  $\mathbf{v} \leftarrow \mathbf{v} + \mathbf{g}_j$ ,  $D'_i \leftarrow D'_i - d_j$ ,  $j \leftarrow j+1$ , go to 4;
- 4) if  $j \leq J$ , go to 2; else encode the next information block.

*Decoding:* Let  $\hat{\mathbf{v}}$  be the received version of  $\mathbf{v} = (a_1, a_2, \dots, a_j, \mathbf{u})\mathbf{G}_1$ :

- 1) find  $(\hat{a}_1, \hat{a}_2, \dots, \hat{a}_j, \hat{\mathbf{u}})$  based on the block code  $C_b$  with generator matrix  $\mathbf{G}_1$ ;
- 2)  $\hat{\mathbf{u}} = (\hat{a}_1, \hat{a}_2, \dots, \hat{a}_j, \hat{\mathbf{u}})\mathbf{G}_2^T$ ;
- 3) decode the next received word.

*Example 2:* Here we illustrate the encoding/decoding rules by using the code of Example 1. Suppose  $D'_{-1} = 1$ , and  $\mathbf{u} = (0 \ 0)$  is to be sent at time  $t$ . From the encoding rule, we have the following:

- 1)  $\mathbf{v} = \mathbf{u}\mathbf{G}_2\mathbf{G}_1 = (0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0)$ ,  $j \leftarrow 1$ ,  $D'_i \leftarrow D'_{i-1} = 1$ ;
- 2)  $d_j = d_1 = -3$ ,  $D'_i \cdot d_1 = -3 < 0$ ,  $D'_i \leftarrow 1 - 3 = -2$ ,  $j \leftarrow j+1 = 2$ ;
- 3)  $d_j = d_2 = -4$ ,  $D'_i \cdot d_2 = 8 > 0$ , then
- 4)  $\mathbf{v} = (0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0) + \mathbf{g}_2 = (0 \ 0 \ 0 \ 1 \ 1 \ 1 \ 1)$ ,  $j \leftarrow j+1 = 3$ ;
- 5) since  $j = 3 > J = 2$ , encode the next information block.

Therefore,  $\mathbf{u}$  is encoded as  $\mathbf{v} = (0 \ 0 \ 0 \ 1 \ 1 \ 1 \ 1)$ . Note that  $\mathbf{v} = (0 \ 1 \ 0 \ 0)\mathbf{G}_1$ , (i.e.,  $a_1 = 0$  and  $a_2 = 1$ ).

Suppose that at most one channel error occurred during the transmission, i.e., the received word is decoded correctly. Then at the Hamming code decoder output we have  $(\hat{a}_1, \hat{a}_2, \hat{a}_1, \hat{a}_2) = (0 \ 1 \ 0 \ 0)$ . The estimated information vector is

$$\hat{\mathbf{u}} = (0 \ 1 \ 0 \ 0)\mathbf{G}_2^T = (0 \ 1 \ 0 \ 0) \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & 1 \end{bmatrix} = (0 \ 0),$$

which is the transmitted information vector.

### C. Code Properties

In this subsection we will study the dc properties of the  $(n, k, D)$  error-correcting coset code just presented. Specifically, we give bounds on  $D$  and  $L$ . The subsection ends with some general comments on the code construction.

From the encoding rule we easily see that the disparity at the end of any segment of a codeword is bounded by

$$|D'| \leq \max_{1 \leq j \leq J} w_j \quad (14)$$

where  $w_j$  is the Hamming weight of  $\mathbf{g}_j$ . The precise derivations of the maximum running disparity ( $D$ ) and the largest run length ( $L$ ) require detailed knowledge of the algebraic structures of the specified linear block code. Hence it is impossible to give a unified derivation. How-

ever, by reasoning similar to that given in Section II,  $D$  and  $L$  can be upper-bounded by

$$|D| \leq w_{\max} + \left\lceil \frac{w_{\max}}{2} \right\rceil \quad (15)$$

and

$$L \leq 2w_{\max} + \left\lceil \frac{w_{\max}}{2} \right\rceil - 1 \quad (16)$$

respectively, where

$$w_{\max} = \max_{1 \leq j \leq J} w_j.$$

The actual values of  $|D|$  and  $L$  may be significantly smaller than the bounds. This is because a codeword in  $C_e$  is subject to many constraints. For example, the blocks that yield the worst case disparity and run length may not even be codewords in  $C_e$ . Even if these blocks are codewords in  $C_e$ , their weight  $w$  must satisfy  $w \leq (n + w_{\max})/2$ .

Before finishing this section we give some further comments on the code construction. If the  $J$  vectors  $\mathbf{g}_j$ ,  $j = 1, 2, \dots, J$ , all have equal weight, then  $w_j = n/J$  for all  $j$ . If  $J$  equal weight vectors cannot be found, then the  $\{\mathbf{g}_j\}$  should be chosen such that their weights are as equal as possible. In any case, a larger value of  $J$  will result in smaller values of  $|D|$  and  $L$ . Therefore, the determination of the maximum possible value of  $J$  is very important. This is stated in the following theorem.

*Theorem 1:* For an  $(n, k+J)$  linear block code of minimum distance  $d_b$ , the number of vectors satisfying (8) and (9) is bounded by

$$J \leq \left\lfloor \frac{n}{d_b} \right\rfloor. \quad (17)$$

*Proof:* Because the  $J$  vectors  $\mathbf{g}_1, \mathbf{g}_2, \dots, \mathbf{g}_J$  satisfy (8) and (9), we have

$$\sum_{j=1}^J w_j = n.$$

However, since for all  $j$ ,  $d_b \leq w_j$ ,

$$J \cdot d_b \leq \sum_{j=1}^J w_j = n$$

or

$$J \leq \frac{n}{d_b}.$$

Since  $J$  is an integer, we must have  $J \leq \lfloor n/d_b \rfloor$ . Q.E.D.

## IV. CONSTRUCTION OF ERROR-CORRECTING DC-FREE COSET CODES FROM BCH CODES

### A. BCH Code Properties

BCH codes are a powerful class of codes which have well-defined code structures. A large selection of block lengths, code rates, alphabet sizes, and code minimum distances are possible. The most interesting codes to us are the binary codes. For any positive integer  $b$ , ( $b > 3$ ), and

$t(t < 2^b - 1)$ , there exists a binary BCH code with the following parameters [12]:

- block length:  $n = 2^b - 1$ ;
- number of parity-check bits:  $n - (k + J) \leq bt$ ;
- minimum distance:  $d_b \geq 2t + 1$ .

This code, denoted  $C_{\text{BCH}}$ , is called a  $t$ -error-correcting BCH code. Since BCH codes are a class of cyclic codes, we treat the components of a codeword  $\mathbf{v} = (v_1, v_2, v_3, \dots, v_n)$  as the coefficients of a polynomial over  $\text{GF}(2)$  as follows:

$$v(X) = v_1 + v_2X + v_3X^2 + \dots + v_nX^{n-1}.$$

The correspondence between the codeword  $\mathbf{v}$  and the polynomial  $v(X)$  is one to one. The polynomial  $v(X)$  is called the code polynomial of  $\mathbf{v}$ . Hereafter, we use the terms "codeword" and "code polynomial" interchangeably. The  $t$ -error-correcting BCH code is generated by the generator polynomial

$$\begin{aligned} g(X) &= \text{LCM}\{\phi_1(X), \phi_2(X), \dots, \phi_{2t}(X)\} \\ &= \text{LCM}\{\phi_1(X), \phi_3(X), \dots, \phi_{2t-1}(X)\} \\ &= 1 + g_1X + g_2X^2 + \dots + g_{n-k-J-1}X^{n-k-J-1} \\ &\quad + X^{n-k-J} \end{aligned} \quad (18)$$

where  $\phi_i(X)$  is the minimal polynomial of  $\alpha^i$ , and  $\alpha$  is a primitive element in  $\text{GF}(2^b)$ . Therefore, the generator polynomial  $g(X)$  of the  $t$ -error-correcting BCH code of length  $2^b - 1$  is the lowest degree polynomial over  $\text{GF}(2)$  which has

$$\alpha, \alpha^2, \alpha^3, \dots, \alpha^{2t} \quad (19)$$

as its roots (i.e.,  $g(\alpha^i) = 0$  for  $1 \leq i \leq 2t$ ). The code polynomial  $v(X)$  of the  $(n, k + J)$  BCH code is generated from

$$\begin{aligned} v(X) &= u(X)g(X) \\ &= (u_1 + u_2X + u_3X^2 + \dots + u_{k+J}X^{k+J-1})g(X) \end{aligned} \quad (20)$$

where the coefficients in  $u(X)$ ,  $(u_1, u_2, \dots, u_{k+J})$ , are the  $k + J$  information bits to be encoded. A polynomial  $v(X)$  is a code polynomial if and only if it has  $\alpha, \alpha^2, \alpha^3, \dots, \alpha^{2t}$  as roots [12].

The construction of the  $(n, k, D)$  error-correcting dc-free coset code from a BCH code is based on the following theorem.

*Theorem 2:* Let  $m$  and  $J$  be two odd integers such that

$$m \cdot J = 2^b - 1 \quad (21a)$$

and

$$m \geq 2t + 1. \quad (21b)$$

Then

$$\begin{aligned} z_1(X) &= 1 + X^J + X^{2J} + \dots + X^{(m-1)J} \\ z_2(X) &= Xz_1(X) \\ &\vdots \\ z_J(X) &= X^{J-1}z_1(X) \end{aligned} \quad (22)$$

are  $J$  code polynomials of the  $t$ -error-correcting BCH code  $C_{\text{BCH}}$  generated by (18) and their corresponding code-words satisfy

$$\text{supp}(z_i) \cap \text{supp}(z_j) = \phi, \quad i \neq j \quad (23a)$$

and

$$z_1 + z_2 + \dots + z_J = \mathbf{1}_n. \quad (23b)$$

*Proof:* Because  $m \cdot J = 2^b - 1 = n$ , the polynomial  $X^{2^b-1} + 1$  can be factored as

$$X^{2^b-1} + 1 = (1 + X^J)z_1(X)$$

where

$$z_1(X) = 1 + X^J + X^{2J} + \dots + X^{(m-1)J}$$

Let  $\alpha$  be a primitive element of  $\text{GF}(2^b)$ . Since  $(\alpha^m)^J = \alpha^{2^b-1} = 1$ , the polynomial  $X^J + 1$  has  $\alpha^0 = 1, \alpha^m, \alpha^{2m}, \dots, \alpha^{(J-1)m}$  as all its roots. Since the  $2^b - 1$  nonzero elements of  $\text{GF}(2^b)$  form all the roots of  $X^{2^b-1} + 1$ ,  $z_1(X)$  has  $\alpha^i$  as a root if and only if  $i$  is not a multiple of  $m$ . From (21b) we have  $m \geq 2t + 1$ , so  $\alpha, \alpha^2, \alpha^3, \dots, \alpha^{2t}$  are the roots of  $z_1(X)$ . From the definition of  $g(X)$  given in (18) and (19), we see that  $z_1(X)$  is a multiple of  $g(X)$ , and from (20) it is a code polynomial of the  $t$ -error-correcting BCH code,  $C_{\text{BCH}}$ , generated by  $g(X)$ . Clearly,  $z_2(X) = Xz_1(X), \dots, z_J(X) = X^{J-1}z_1(X)$  are also code polynomials. From (22) we observe that for  $i \neq j$ , and  $i, j = 1, 2, \dots, J$ ,  $z_i(X)$  and  $z_j(X)$  do not have any common nonzero coefficients, and therefore their corresponding code-words  $z_1, z_2, \dots, z_J$  satisfy (23a). Moreover, since each  $z_i$  has a weight  $m$ , and  $m \cdot J = 2^b - 1$ , their modulo two sum must have a weight  $n = 2^b - 1$ , which proves (23b).

*Example 3:* Let  $\alpha$  be a primitive element of  $\text{GF}(2^4)$ . The  $(15, 11)$   $d_b = 3, t = 1$  BCH code is generated by

$$g(X) = \text{LCM}\{\phi_1(X), \phi_2(X)\} = \phi_1(X) = X^4 + X + 1$$

where  $\phi_1(X)$  and  $\phi_2(X)$  are minimal polynomials of  $\alpha$  and  $\alpha^2$  and therefore  $\phi_1(X) = \phi_2(X)$ . Since  $3 \cdot 5 = 15$  and  $2t + 1 = 3$ ,  $m$  can be either 3 or 5.

1) Let  $m = 3$  and  $J = 5$ . From (22) we have

$$\begin{aligned} z_1(X) &= 1 + X^5 + X^{10} \\ z_2(X) &= X + X^6 + X^{11} \\ z_3(X) &= X^2 + X^7 + X^{12} \\ z_4(X) &= X^3 + X^8 + X^{13} \\ z_5(X) &= X^4 + X^9 + X^{14}. \end{aligned}$$

We can easily see that  $g(X)$  divides  $z_1(X)$ , in fact,

$$z_1(X) = (1 + X + X^2 + X^3 + X^6)g(X).$$

2) Let  $m = 5$  and  $J = 3$ . From (22) we have

$$\begin{aligned} z_1(X) &= 1 + X^3 + X^6 + X^9 + X^{12} \\ z_2(X) &= X + X^4 + X^7 + X^{10} + X^{13} \\ z_3(X) &= X^2 + X^5 + X^8 + X^{11} + X^{14}. \end{aligned}$$

It can be seen that  $z_1(X) = (1 + X + X^2 + X^4 + X^8)g(X)$ .

### B. Code Construction

The encoding equation for the  $(n, k, D)$  error-correcting dc-free coset code is given by (6). The code can be constructed from a BCH code by first finding the  $(k+J) \times n$  generator matrix  $G_1$  whose first  $J$  rows satisfy (10).

For an  $(n, k+J)$   $t$ -error-correcting BCH code with two odd integers  $m$  and  $J$  such that  $m \cdot J = n$  and  $m \geq 2t-1$ , the generator matrix can take the following form:

$$G_{\text{BCH}} = \begin{bmatrix} z_1 \\ \vdots \\ z_J \\ z_{J+1} \\ \vdots \\ z_{J+k} \end{bmatrix} \quad (24)$$

where  $z_j$ ,  $j=1, 2, \dots, J$ , are the code vectors corresponding to the code polynomials  $z_j(X)$  in (22), and  $z_j$ ,  $j=J+1, J+2, \dots, J+k$ , are the code vectors corresponding to the code polynomials

$$z_j(X) = X^{j-1}g(X). \quad (25)$$

It can be seen that the  $k+J$  code vectors in (24) are linearly independent. The desired generator matrix  $G_1$  can then be obtained by performing a permutation  $\sigma$  on the columns of  $G_{\text{BCH}}$  such that

$$\begin{aligned} \sigma(z_1) &= (\mathbf{1}_m, \mathbf{0}_m, \mathbf{0}_m, \dots, \mathbf{0}_m) \\ \sigma(z_2) &= (\mathbf{0}_m, \mathbf{1}_m, \mathbf{0}_m, \dots, \mathbf{0}_m) \\ &\vdots \\ \sigma(z_J) &= (\mathbf{0}_m, \mathbf{0}_m, \mathbf{0}_m, \dots, \mathbf{1}_m). \end{aligned} \quad (26)$$

The new  $(n, k+J)$  block code generated by  $G_1$  is equivalent to the BCH code  $C_{\text{BCH}}$ , i.e., they have the same weight distribution.

*Example 4:* In this example, we construct an  $n=15$ ,  $k=8$  error-correcting dc-free coset code from the  $(15, 11)$   $t=1$  BCH code considered in Example 3. That is, with  $J=3$  and  $m=5$ , we find the  $11 \times 15$  matrix  $G_1$ . The generator matrix  $G_{\text{BCH}}$ , from (24), (25), and Example 3, is given by

$$G_{\text{BCH}} = \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \end{bmatrix}$$

By performing the permutation  $\sigma$  on the columns of  $G_{\text{BCH}}$ , we obtain

$$G_1 = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 1 \end{bmatrix}$$

Since  $w_{\text{max}} = m$  for these codes, from (15) and (16) we have

$$|D| \leq m + \left\lfloor \frac{m}{2} \right\rfloor \quad (27)$$

and

$$L \leq 2m + \left\lfloor \frac{m}{2} \right\rfloor - 1 \quad (28)$$

respectively. In Table II we give the code parameters for some  $(n, k, D)$  codes constructed from BCH codes of length  $n$ .

TABLE II  
( $n, k, D$ ) ERROR-CORRECTING DC-FREE COSET CODES CONSTRUCTED FROM BCH CODES OF LENGTH  $n$

$n$	$k$	$R$	Upper bound on $D$	$t$
15	8	0.533	7	1
15	4	0.267	7	2
15	6	0.4	4	1
63	48	0.762	10	1
63	42	0.667	10	2
63	36	0.571	10	3
63	36	0.571	4	1
255	237	0.929	22	1
255	222	0.871	22	2
255	214	0.839	22	3
255	206	0.808	22	4
255	198	0.776	22	5
255	190	0.745	22	6
255	182	0.714	22	7
255	196	0.769	7	1
255	188	0.737	7	2
255	162	0.635	4	1

### V. CONCLUSION

We introduced a class of error-correcting DC-free coset codes for high-speed fiber optic communication links and similar channels. The codes were derived from partitioning linear block codes as coset codes so that high-speed encoding and decoding could be achieved.

In Section III we gave the general description of the  $(n, k, D)$  error-correcting dc-free coset codes. The key problem in code design requires the finding of  $J$  basis vectors  $g_1, g_2, \dots, g_J$  which sum to  $\mathbf{1}_n$  and have disjoint supports. The solution to this problem was presented in Theorem 2 in Section IV for a large class of primitive binary BCH codes. Codes of practical interest constructed from BCH codes were listed in Table II. The  $(15, 8, 7)$  and

the (15,6,4) codes in Table II can be compared with the (16,8,5) code given in [2]. They all have an error-correcting capability of  $t=1$ , and their code rates are 0.53, 0.4, and 0.5, respectively. However, the decoding of the (15,8,7) and the (15,6,4) codes are far simpler than the decoding of the (16,8,5) code. The decoders for our codes can be implemented with modified Hamming decoders, while the code in [2] requires a  $64K \times 8$  bit table.

The advantage of increasing the block size is apparent from Table II. The single-error-correcting (63,36,4) and the (255,162,4) codes have lower disparity bounds than the rate 0.5 code presented in [2] but have rates 0.57 and 0.63, respectively. The double-error-correcting (255,188,7) code has the same disparity bound as the (15,8,7) rate 0.53 code but has a rate of 0.73. If even longer block lengths are acceptable, higher rates and/or better dc performance is achievable with these codes.

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