

Mechanical and electrical properties of low SWNT content 3YTZP composites

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Abstract

Fully dense 3 mol% Y_2O_3 - ZrO_2 (3YTZP) composites with low single wall carbon nanotube content (0.5, 1 and 1.5 vol% SWNT) were prepared by colloidal processing and Spark Plasma Sintering. SWNT were distributed at ceramic grain boundaries and also into agglomerates. Characterization of SWNT agglomerates indicated that increase in SWNT vol% does not imply an increase in agglomeration. SWNT agglomerate density was related to the evolution of hardness and fracture toughness with SWNT vol%. Electrical properties of the composites were characterized in a wide temperature range, and percolation threshold was estimated. A model allowing separation of the individual SWNT bundles contribution to resistance from the resistance due to junctions between bundles was proposed for composites with a percolating SWNT network.

Keywords: composites; carbon nanotubes; processing; mechanical properties; electrical properties

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Introduction

Within the past 20 years, the scientific and engineering communities have paid great attention to carbon nanotubes (CNTs) due to their attractive properties. CNTs can show a Young's modulus up to 1.2 TPa, a tensile strength around a hundred times higher than steel and an elevated resilience. They also possess tunable surface properties, well-defined hollow interiors, and can be either metallic or semiconducting depending on diameter and chirality.^{1,2} Based on these fascinating properties, many potential applications such as scanning probe tips, drug delivery systems, electronic devices, sensors and actuators, high-strength composites, catalyst support, field emission displays, transparent conducting films, and so on were proposed.^{3,4} In these years, both the research articles and patents on this area increased rapidly. Recently, Zhang *et al.*⁵ analyzed the publications topic tendency from 2001 to 2011, showing the study of composites with CNTs to be one of the emerging areas, with an increase from 13 to 27% of the total publications. Interest in these composites is based on the possibility of transferring some of the attractive properties of CNTs to the resulting composites⁶.

Among advanced ceramics, yttria-doped zirconia is considered a technologically interesting material due to its superior mechanical properties and good ionic conductivity.^{7,8} In recent years, several works were devoted to the study of zirconia/CNTs composites, pursuing an enhancement of the mechanical properties. However, up to date, this enhancement has not been clearly demonstrated. A decrease of Vickers hardness when increasing CNT content was reported by different authors,⁹⁻¹³ even for composites with low CNT vol%.¹⁴⁻¹⁶ Although some authors related this effect to the observed decrease in composite density when increasing CNT content,^{9,11} it has been also reported for fully densified composites with SWNT contents up to 10 vol%.¹³

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4 The decreasing trend was also linked to higher presence of agglomerated CNTs¹⁷ in
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6 composites with high amount of nanotubes, since it is assumed that agglomeration
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8 becomes more relevant due to dispersion difficulties during processing.¹⁸ However,
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10 recent investigations on Al₂O₃/SWNT composites have pointed out that the presence of
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12 agglomerates does not play a fundamental role on the decrease in hardness.¹⁹ On the
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14 contrary, it was rather explained by the presence of higher SWNT quantities at the grain
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16 boundaries. The detachment between SWNTs within thick bundles has been pointed out
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18 as the origin of the lower fracture toughness obtained for high SWNT content
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20 3YTZP/SWNT composites when compared to monolithic ceramic.¹³ In this context, the
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22 study of low SWNT vol% composites, in order to assess whether a lower SWNT content
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24 at the grain boundaries results in an enhancement of the mechanical properties, appears
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26 as a challenge.
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31 The study of the electrical properties of ceramic/CNT composites has recently
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33 also come into focus, as adding CNTs to a ceramic matrix also modify the electrical
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35 conductivity of the resulting composite. Most of the studies have focused on the
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37 analysis of the electrical percolative behaviour,^{11,16,20-26} since a step raise in electrical
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39 conductivity is the common trend observed in composites once percolation of the CNT
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41 network is achieved. Percolation thresholds from 0.64 to 5.5 vol% have been published
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43 for composites with alumina or zirconia matrix.^{11,16,21-25} This wide range of reported
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45 values can be related to the different processing techniques used to prepare the
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47 materials. Shin and Liang^{22,23} and Fonseca *et al.*²⁴ reported percolation thresholds of 5.5
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49 and 3 vol% CNTs for 3 mol% Y₂O₃-ZrO₂/MWNT and 8 mol% Y₂O₃-ZrO₂/SWNT
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51 composites, respectively. In these studies, composite powders were processed by ball
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53 milling the mixture of CNTs and ceramic powder. Recently, composites obtained from
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55 powders prepared in a similar way have been shown to present a high amount of CNT
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4 agglomerates,²⁷ which would result in a lower CNT content at the ceramic grain
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6 boundaries than the nominal one. Thus, a higher amount of CNT would be required in
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8 order to achieve a percolating network, resulting in an overestimated percolation
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10 threshold. These results highlight the need to correlate the CNT agglomerate density
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12 and the percolation threshold in ceramic/CNT composites.
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16 Regarding the ac conductivity on ceramic/CNT composites, the published
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18 studies are scarce.²⁴⁻²⁶ Only Fonseca *et al.*²⁴ and González-Julián *et al.*²⁶ reported
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20 electrical properties in ac conditions in a wide temperature range. A mixed ionic-
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22 electronic conductivity was described for 8 mol% Y₂O₃-ZrO₂/SWNT composites.²⁴
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24 Charge transport along the nanotube shells and hopping conduction across nanotube-
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26 nanotube junctions were suggested as the two contributions to electrical conductivity in
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28 Si₃N₄/MWNT composites.²⁶ To the best of our knowledge, studies on ac conductivity
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30 and charge transport contributions in 3YTZP/SWNT composites have not been
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32 published up to date.
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36 In this paper, 3 mol% yttria doped zirconia composites containing low SWNT
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38 content (0.5, 1 and 1.5 vol%) were prepared by a combination of aqueous colloidal
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40 processing and Spark Plasma Sintering, with the aim of obtaining a homogeneous
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42 SWNT distribution throughout the ceramic matrix and minimizing the presence of
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44 agglomerates. The SWNT agglomerate density was characterized and related to the
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46 evolution of hardness and fracture toughness with SWNT vol%. Electrical properties of
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48 the composites were characterized in a wide temperature range. Conductivity
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50 measurements at room temperature allowed determination of the percolation threshold.
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52 Modelling of the impedance properties of the composite with a percolating SWNT
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54 network was carried out, and an equivalent circuit which separates the individual
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4 SWNT bundles resistance contribution from the resistance due to junctions between
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6 bundles was proposed.
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10 **2. Experimental procedure**

11 **Raw materials and processing**

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15 Monolithic polycrystalline 3YTZP and SWNT/3YTZP composites with different
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17 carbon nanotube content (0.5, 1 and 1.5 vol%) were prepared from 3 mol% yttria
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19 stabilized tetragonal zirconia powder (3YTZP, 40 nm particle size and 99% purity)
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21 supplied by Nanostructured and Amorphous Materials Inc. (Houston, TX) and HIP-co
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23 purified SWNTs provided by Carbon Solutions Inc. (Riverside, CA). Acid treatment of
24
25 the SWNTs was carried out using a mixture of concentrated sulfuric acid (98%) and
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27 nitric acid (70%) in the ratio 3:1, with the aim of disentangle and cut the raw SWNTs
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29 ropes.²⁸ This treatment also introduces carboxyl groups on the walls and ends of
30
31 SWNTs enabling their dispersion in basic medium. SWNTs were suspended in the acid
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33 mixture for 24 h at room temperature and the suspension was sonicated for 8 h. SWNTs
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35 were collected on ~20 nm pore alumina filter membranes, washed in high purity ethanol
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37 for several times and freeze-dried in order to avoid possible re-agglomeration.
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43 Colloidal processing of composite powders with the different SWNT contents
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45 was carried out using ammonia solution as a basic medium.²⁸ Ceramic powder and acid-
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47 treated SWNT suspensions were subjected to ultrasonic agitation using a sonication
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49 bath before and after mixing. Composite powder blends were dried on a hot plate
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51 assisted by stirring, and pH and homogeneity were controlled during the process.
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53 Finally, composite powders were homogenized in an agate mortar.
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59 **Ceramic sintering**

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4 SPS (Model 515S, SPS Dr Sinter Inc., Kanagawa, Japan) was used to sinter the
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6 materials in a 15-mm diameter cylindrical graphite die/punch setup in vacuum
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8 atmosphere. The sintering processes were carried out at 1250 °C with a hold time at
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10 peak temperature of 5 min, under uniaxial pressure of 75 MPa. Heating and cooling
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12 rates were 300 and 50 °C/min, respectively. Bulk densities were measured using
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14 Archimedes' method, with distilled water as immersion medium. Theoretical density
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16 values for composites were calculated by the rule of mixtures assuming density values
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18 of 6.10 g·cm⁻³ for 3YTZP and 1.80 g·cm⁻³ for SWNTs.
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24 **Microstructural, mechanical and electrical characterization**

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26 Structural integrity of SWNTs in the composites after SPS sintering was
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28 assessed by Raman spectroscopy on fracture surfaces using a dispersive microscope
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30 (Horiba Jobin Yvon LabRam HR800, Kyoto, Japan) equipped with a 20 mW He-Ne
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32 green laser (532.14 nm). The microscope used a 100x objective and a confocal pinhole
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34 of 100 μm. The Raman spectrometer was calibrated using a silicon wafer.
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39 Microstructural studies of composites fracture and polished surfaces were
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41 performed by high-resolution scanning electron microscopy (HR-SEM), using a Hitachi
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43 S5200 microscope (Hitachi High-Technologies America Inc., USA), to analyze the
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45 distribution of SWNTs in the 3YTZP matrix, and to characterize the ceramic grains
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47 morphology. Distribution and morphology of SWNT agglomerates were characterized
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49 by low magnification conventional SEM (Model JEOL 6460LV, JEOL USA Inc., MA,
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51 USA). Cross section slices, i.e. surfaces parallel to the SPS pressing direction were
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53 polished with diamond paste up to 1 μm for morphological studies. Additionally,
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55 polished surfaces devoted to characterize the 3YTZP grains were thermally etched at
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57 1200 °C for 20 min in air to reveal grain boundaries. The morphology characterization
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4 was made measuring 200 grains or agglomerates, respectively, to obtain the equivalent
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6 planar diameter as size parameter, d (or D)= $2(\text{area}/\pi)^{1/2}$, and the shape factor, f (or
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8 F)= $(4\pi \cdot \text{area})/(\text{perimeter})^2$. Standard deviation of distributions was also evaluated.
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10 Hereafter, lowercase letters will refer to 3YTZP grains parameters and uppercase letters
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12 to agglomerates ones. Agglomerate surface density was evaluated from the area fraction
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14 covered by them in low magnification SEM micrographs. *ImageJ* software was used for
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16 morphological analysis.
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20 Vickers indentation tests were carried out to evaluate the hardness and fracture
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22 toughness of sintered 3YTZP and composites at room temperature. Tests were
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24 performed on sample surfaces polished to 1 μm diamond paste using a Vickers indenter
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26 with a range of loads up to 2 kgf (Duramin Struers, Germany). Twelve indents were
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28 made on each sample avoiding boundary effects (i.e. keeping the appropriate distance
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30 from sample edges and between indentations marks) and were analyzed using a
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32 confocal microscope LEICA DCM 3D. Vickers hardness, H_v , was calculated from the
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34 indentation load, P , and the diagonal of Vickers imprints, a : $H_v=1.854(P/a^2)$.
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38 Fracture toughness, K_{IC} , was calculated by using the equation given by Anstis *et*
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40 *al.*²⁹ where c is the crack length measured from the centre of the imprint and E the
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42 elastic modulus. **The crack length was measured 24 h after the indentation, once the**
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44 **cracks were fully developed.**
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$$47 \quad K_{IC} = 0.016 \left(\frac{E}{H_v} \right)^{1/2} \left(\frac{P}{c^{3/2}} \right) \quad (1)$$

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52 Electrical characterization was carried out by Impedance Spectroscopy using an
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54 Agilent 4294A analyzer in the frequency range from 100 to 2×10^6 Hz, at temperatures
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56 from 25 to 450 °C. Measurements were carried out in argon atmosphere to avoid
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58 oxidation of the samples and subsequent degradation of the SWNTs during the process.
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4 Colloidal silver paste was applied on both sides of the samples and electrodes were fired
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6 at 600 °C for 30 min under argon flow. Equivalent circuit approach was adopted for the
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8 data analysis with fitted curve using Z-view software and equivalent circuit model.
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10 11 12 13 **3. Results and discussion**

14 15 *3.1 Microstructural characterization*

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17 Table 1 displays the density values together with the global results of
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19 morphological parameters of as-sintered samples. A full densification was obtained in
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21 all the sintered materials. Similar mean grain size (~230 nm) and shape factor (~0.75)
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23 were measured in the different composites and no significant differences were observed
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25 compared to monolithic zirconia grains, except for slightly narrower size distributions
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27 (smaller $\sigma_{<d>}$).
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31 Fig. 1 shows the Raman spectra measured in the composites, monolithic 3YTZP
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33 ceramic and as-received SWNTs. The composites spectra show SWNT characteristic
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35 radial breathing mode (RBM) band near 150–200 cm^{-1} and G-band near 1500–1600
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37 cm^{-1} . **In the G-band it can be observed the lower-frequency broad shoulder**
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39 **centred around 1570 cm^{-1} (Breit-Wigner-Fano or BWF lineshape), which is**
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41 **characteristic of metallic SWNT²⁸.** The composites spectra are very similar to the
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43 SWNT spectrum before processing of the composites, clearly confirming the absence of
44
45 significant damage to SWNTs during powder processing and sintering. **A G-band shift**
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47 **towards higher frequencies, by $\sim 20 \text{ cm}^{-1}$, is observed in the three composites,**
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49 **which can be attributed to residual stresses in the SWNTs imposed by the**
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51 **constraining ceramic matrix²⁸.** D-band (centred on 1350 cm^{-1}), associated to
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53 disordered graphite and crystalline defects, is also observed. I_D/I_G ratio calculations give
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55 similar values for the three composites (6.6, 6.5 and 7.2% for 0.5, 1 and 1.5 vol%
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4 SWNT, respectively) pointing to a similar amount of crystalline defects in the
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6 nanotubes. On the other hand, peaks at 165, 260, 320, 465, 610, and 643 cm^{-1} are
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8 observed in the spectra acquired in the composites, corresponding to the six Raman
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10 bands theoretically predicted for tetragonal zirconia.³⁰
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13 HR-SEM micrographs of characteristic fracture surfaces of the composites are
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15 presented in Fig. 2. SWNT bundles are located at the ceramic grain boundaries and
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17 debonded CNTs from the matrix can also be observed. Whereas the 3YTZP grains
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19 surrounded by SWNT bundles are scarce in the composite with 0.5 vol% SWNT (fig
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21 2(a)), the amount of SWNT bundles at the grain boundaries increases in the composites
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23 with higher SWNT vol% (figures 2(b) and 2(c)). CNTs are mainly well distributed on
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25 the 3YTZP matrix; however, some agglomerates are also found in these high-resolution
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27 observations. The presence of these agglomerates or clusters has been previously
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29 reported in CNT/ceramic matrix composites.^{13,19,27,31,32}
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34 Low-magnification SEM micrographs (Fig. 3) illustrate the arrangement and
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36 morphology of SWNT agglomerates in the studied composites. The maximum
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38 agglomerate size ranges from 30 to 60 μm (Table 2), which is similar to previously
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40 published values for $\text{Al}_2\text{O}_3/\text{MWNT}$ composites also prepared by colloidal processing³².
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42 Although it is assumed that the tendency of forming agglomerates is due to Van der
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44 Waals interactions between nanotubes, they are not expected to lead to such important
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46 agglomerate sizes in composites with a rather low SWNT content³² as in this study.
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48 Other forces that might play a key role in the behaviour of the 3YTZP/SWNT powder
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50 mixtures have been suggested, and it was shown from thermodynamic considerations
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52 that long, thin rods mixed with spheres can induce phase separation and demixion³².
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57 Similar mean agglomerate size, about 7–9 μm , and a marked elongation ($F \sim$
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59 0.45) are found for the three composites. These morphological characteristics are similar
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4 to those reported by Morales-Rodríguez *et al.*¹⁹ for Al₂O₃/SWNT composites prepared
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6 by a similar processing route. These authors showed that SWNT agglomerates are
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8 flattened structures strongly aligned on the direction perpendicular to the SPS
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10 compression axis.

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13 An increase in the agglomerate surface density ρ_s is observed when increasing
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15 SWNT vol% (Table 2). Nevertheless, this fact does not imply an increase of the
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17 percentage of the total SWNT content that is agglomerated, A%. Assuming that the area
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19 fraction covered by agglomerates in SEM micrographs is equal to its volume fraction in
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21 composites ($\rho_s = \rho_v$, Delesse's principle of stereology), this percentage can be estimated
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23 as:
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$$25 \quad A\% = 100 \rho_v / \text{SWNT vol\%} \quad (2)$$

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28 Despite the increase in agglomerate volume fraction for higher SWNT vol%,
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30 similar percentages of agglomerated SWNTs (~ 30%) from the total SWNT content
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32 have been found in the three composites. So an increase in SWNT content does not
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34 imply an increase in agglomeration.
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39 The SWNT vol% contained in the agglomerates (A-SWNT) and distributed at
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41 the grain boundaries (GB-SWNT) can be directly inferred from agglomerate volume
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43 density as:
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$$45 \quad A\text{-SWNT} = \rho_v \quad (3)$$

$$46 \quad GB\text{-SWNT} = \text{SWNT vol\%} - A\text{-SWNT} \quad (4)$$

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49 As it is shown in Table 2, after colloidal processing and sintering, the real
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51 content of nanotubes at the grain boundaries are estimated to be 0.32, 0.74 and 1.1
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53 SWNT vol% for composites with 0.5, 1, and 1.5 nominal SWNT vol%, respectively.
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58 It is interesting to note that lower A-SWNT vol% has been achieved in these
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60 materials in comparison with Al₂O₃/SWNT composites with similar SWNT content.¹⁹
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4 Whereas 60% of the SWNT are contained in agglomerates in Al₂O₃/1 vol% SWNT
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6 composites,¹⁹ only 26% of SWNT are agglomerated in our 3YTZP composites with the
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8 same SWNT content. Although similar processing routines were used in both studies,
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10 SWNT freeze-drying after acid-treatment was introduced in the present work with the
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12 aim of obtaining a more homogeneous SWNT distribution.^{16,33} It is clear that, although
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14 it is not possible to reduce the maximum or the mean agglomerate size by freeze-drying
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16 the nanotubes instead of drying on a hot plate, a decrease of the percentage of the CNT
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18 content that are agglomerated is achieved.
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24 *3.2 Mechanical properties*

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26 Similar Vickers hardness, H_v , values within the experimental error are obtained
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28 for the three composites and the monolithic 3YTZP ceramic (Table 3). To the best of
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30 our knowledge, absence of decrease or increase of hardness with increasing CNT
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32 content in ceramic matrix composites has only been reported for Al₂O₃ with 1 vol%
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34 SWNT composites,³⁴ since most of the authors report a decrease of hardness, even for
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36 composites with low CNT content.^{11,14-16} The decreasing trend reported by previous
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38 authors for 3YTZP/CNT composites is shown in Figure 4(a). This tendency is usually
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40 linked to a decrease in the composite density,¹¹ an increase in nanotube agglomeration¹⁷
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42 or a weakening of interfacial bonding when the grains are wrapped by CNT and,
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44 therefore, the direct contact area and bonding force among grains decrease with
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46 increasing CNT content.^{12,13} In this study, fully densified composites have been
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48 obtained, and the increase in the surface density of agglomerates (Table 2) does not play
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50 a fundamental role in the evolution of hardness when increasing SWNT content. Thus,
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52 it is clear that the incorporation of low SWNT content in the ceramic matrix minimizes
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4 the SWNT weakening effect on interfacial cohesion between ceramic grains observed in
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6 composites with high SWNT content.¹³
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10 **Regarding the fracture toughness values, in recent years, there have been**
11 **different arguments about the validity of the Vickers indentation technique to**
12 **characterize fracture toughness of CNT-ceramic matrix composites, and it has**
13 **been suggested that it should be measured by the single-edge notched beam**
14 **(SENB) method^{6,10,35,36}. Some authors have compared the results obtained in**
15 **ceramic matrix composites using the indentation method with the ones obtained**
16 **with the SENB test and, although the absolute values of K_{IC} measured by both**
17 **tests differ (higher values obtained from indentation measurements), a similar**
18 **trend was observed when measuring using the two techniques^{35,36}. Taking this**
19 **result into account, and considering also the simplicity of the indentation test and**
20 **the difficulty of obtaining bars for SEBN test from SPS disks in several studies, it**
21 **has been proposed that, although the K_{IC} values obtained from indentation tests**
22 **are not fully quantitative, they can be used to compare different compositions**
23 **tested in a same study, or for comparison purpose with previous works.**
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41 **Figure 4(b) shows that the obtained K_{IC} data follow a increasing trend for**
42 **the composites with increasing SWNT vol.%. These values are similar^{11,14,15} to**
43 **previously published results (also obtained from Vickers indentation tests) for**
44 **3YTZP composites with low SWNT content.** This slight enhancement is consequence
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48 of toughening mechanisms present in the composites, such as CNT crack bridging and
49 pull out, and CNT ropes debundling and uncoiling, as described by previous authors.⁶
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57 *3.3 Electrical properties*

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4 A room temperature conductivity of $6 \times 10^{-6} \text{ S} \cdot \text{cm}^{-1}$ was measured on the
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6 composite with 1.5 vol% SWNT (Table 3). On the contrary, monolithic 3YTZP ceramic
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8 and composites with 0.5 and 1 vol% SWNT were found to be electrically isolating with
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10 a very high room temperature resistivity. The percolation threshold of the carbon
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12 nanotubes in the 3YTZP matrix is therefore between 1 and 1.5 vol%. Nevertheless,
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14 considering the agglomerates characterization presented above (Table 2), the real
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16 percolation threshold would be between 0.74 and 1.1 vol% SWNT (real SWNT content
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18 at grain boundaries), values that are comparable to those published in literature for
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20 $\text{Al}_2\text{O}_3/\text{MWNT}$ composites (0.64-1 vol%).^{20,21,25,32}
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24 The percolation threshold obtained in this study is lower than the published one
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26 by Shin and Liang^{22,23} for 3YTZP/MWNT composites (~5.5 vol%). This is probably
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28 consequence of the processing efforts devoted in this study to minimize the presence of
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30 SWNT agglomerates in the composites, which lead to a higher amount of SWNT
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32 distributed in the ceramic grain boundaries.
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36 Impedance complex plane plots corresponding to the composite with 1.5 vol%
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38 SWNT from room temperature up to 180 °C, are shown in Fig. 5(a). A single impedance
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40 arc can be observed until 180 °C, when a second arc appears at lower frequency.
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42 According to Garrett *et al.*³⁷ the impedance properties of a SWNT percolating network
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44 can be modelled with an equivalent circuit consisting of two R-C elements in series. It
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46 has been published that the resistance of the carbon nanotube bundles and the resistance
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48 of the junctions between these bundles are the two major contributions to SWNT
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50 network resistance,^{26,38,39} and it is well established that the resistance across junctions is
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52 higher than the resistance through the bundles themselves.^{38,39,40} Thus, the lower
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54 resistance element in the equivalent circuit model can be assigned to the CNT bundles
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56 whereas the higher resistance one can be assigned to the junctions.
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4 In this context, we have modelled the single impedance arc obtained from room
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6 temperature to 160 °C (arc not shown) using an equivalent circuit model (inset in Fig.
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8 5(a)) similar to the described one by previous authors,^{37,41} consisting of a R-C element
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10 connected in series with a R-CPE (constant phase element). The CPE is generally used
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12 to represent a distribution of relaxation times.⁴² Fitting parameters are displayed in
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14 Table 4. Capacitance values similar to the reported ones for single wall carbon nanotube
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16 networks³⁷ were obtained.
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20 Fig 5(b) shows the evolution of SWNT bundles and junctions resistivities with
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22 temperature from room temperature to 160 °C. A significant difference between them in
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24 the whole range of temperatures, with quite higher resistivity values for the junctions, is
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26 observed. It has been shown that the junction resistance is strongly dependent on the
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28 size of the interconnecting bundles, with the smallest values associated with individual
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30 tubes.³⁹ Thus, the high junction resistivity observed in this study is clearly pointing to
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32 junctions involving large diameter bundles or SWNT agglomerates, which will control
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34 the overall conductivity properties of the composite, as remarked by previous authors.³⁹
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36 Decreasing the bundle diameter will reduce the junction resistivity, and will increase the
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38 composite conductivity. Future works will be devoted to further SWNT debundling.
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43 It is also observed that whereas the SWNT bundles resistivity is almost constant
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45 with temperature, a remarkable decrease of junctions' resistivity is observed with
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47 increasing temperature. This behaviour is in good agreement with Sheng's theory of
48
49 fluctuation-induced electron tunnelling,⁴³ model that has been successfully applied to
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51 describe the primary conduction mechanism across nanotube-nanotube junctions in
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53 CNT composites.^{23,24} Briefly, a system of SWNTs, such a rope or mat, can be
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55 considered as containing many conducting regions separated by small insulating
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57 barriers. In such a system, these tiny barriers will be very susceptible to charge
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4 fluctuations, resulting in electric field fluctuations across the tunneling junctions. These
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6 fluctuations increase with increasing temperature, and the system conductivity can be
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8 described by Sheng's formula
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$$\sigma = \sigma_0 \exp\left(\frac{-T_1}{T + T_0}\right) \quad (5)$$

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15 where σ_0 is a preexponential constant, and T_0 and T_1 are the tunneling parameters,
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17 which has previously been used to explain conductivity behaviour for carbon nanotube
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19 systems.^{23,24}
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22 Figure 6 shows impedance complex plane plots corresponding to the composite
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24 with 1.5 vol% SWNT from 200 to 400 °C. Two impedance arcs can be observed, which
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26 behave surprisingly in a very different way. Whereas the arc at higher frequency
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28 decreases monotonously with temperature, the arc at lower frequency increases up to
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30 350 °C (arc not shown) and decreases for higher temperatures.
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33 Two impedance arcs were also obtained when characterizing the monolithic
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35 3YTZP ceramic and the composites with 0.5 and 1 vol% SWNT (not shown), the higher
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37 frequency one corresponding to conductivity through the ceramic bulk and the lower
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39 frequency one corresponding to conductivity through the grain boundaries. However, in
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41 these two composites the conductivity evolution with temperature is the typical of an
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43 ionic conductor, and both arcs decrease monotonously when increasing temperature,
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45 resulting in an increase of conductivity both through the bulk and through the grain
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47 boundaries.
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52 In the case of the composite with 1.5 vol% SWNT, the arc at higher frequency
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54 would contain the ionic contribution to conductivity through the ceramic bulk and also
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56 the electronic one through the SWNT network. The second arc would be related to the
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58 ionic conductivity through the grain boundaries, but in this case the fact that a great
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4 fraction of the grain boundaries is covered by SWNTs reduces the ionic conductivity
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6 resulting in an increase of the grain boundary resistivity for temperatures up to 350 °C,
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8 and thus, an increase of the arc. For higher temperatures this effect is overcome and the
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10 grain boundary conductivity increases with temperature. Equivalent circuit approach of
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12 these data in order to obtain conductivity values for the different contributions presents
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14 a high complexity and will be addressed in future studies.

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17 Figure 7 shows the Arrhenius plots for total conductivity in the studied
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19 composites, together with monolithic zirconia. **Total resistivity was calculated from**
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21 **resistance values obtained from intercepts on the real, Z', axis.** The slope of these
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23 diagrams was used to calculate the activation energy of the conducting species (Table
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25 3). For composites with 0.5 and 1 vol% SWNT, as well as monolithic zirconia, a value
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27 close to 0.8 eV was obtained. This result is consistent with the activation energy for
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29 oxygen vacancy conduction in zirconia-based ceramic oxides.^{44,45,46} Thus, these two
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31 composites behave as ionic conductors without SWNT percolation. For the composite
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33 with 1.5 vol% SWNT, a completely different behaviour was observed, with activation
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35 energy of 65 meV, revealing that SWNT contribution to charge transport dominates for
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37 temperatures up to 350 °C. In this case, an ionic-electronic mixed conductor is obtained
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39 due to the percolated SWNT network. A similar result was reported for composites of 8
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41 mol% Y₂O₃/ZrO₂ with SWNTs²⁴, with activation energy of 30 meV.
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48 49 **4. Conclusions**

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51 Fully dense 3 mol% yttria doped zirconia matrix composites containing 0.5, 1
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53 and 1.5 vol% SWNT, with equiaxed grain microstructure and almost analogous ceramic
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55 grain size (250 nm), were prepared by colloidal processing and SPS. SWNTs were
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57 homogeneously distributed at grain boundaries and also into large SWNT agglomerates.
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59 Similar agglomerate mean size and shape were found for the three composites.
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4 Increasing SWNT vol% leads to similar percentage of the total SWNT content
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6 contained in agglomerates (~30%), and an increasing SWNT content at the grain
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8 boundaries.
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11 Similar Vickers hardness and slightly enhanced fracture toughness were
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13 obtained for the composites in comparison with the monolithic 3YTZP ceramic. The
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15 increase in the surface density of agglomerates does not play a fundamental role in their
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17 evolution when increasing SWNT content.
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20 Percolation threshold of the carbon nanotubes in the 3YTZP matrix was
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22 estimated to be between 0.74 and 1.1 vol% SWNT corresponding to nominal contents
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24 of 1 and 1.5 vol%, respectively. Composites with 0.5 and 1 vol% SWNT behaved as
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26 ionic conductors without SWNT percolation, with ~0.8 eV activation energy.
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30 Room temperature conductivity in the composite with 1.5 vol% SWNT revealed
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32 the existence of a percolated SWNT network in this material, which presented a mixed
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34 ionic-electronic conduction with 65 meV activation energy. Modelling of impedance
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36 properties in this composite using an equivalent circuit allowed separation of the
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38 individual SWNT bundles contribution to resistance from the resistance due to junctions
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40 between bundles. The existence of junctions involving large diameter bundles or SWNT
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42 agglomerates resulted in higher junction resistivity in comparison with the SWNT
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44 bundles resistivity. The remarkable decrease of junctions' resistivity with increasing
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46 temperature up to 160 °C was successfully described in terms of the fluctuation-induced
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48 electron tunnelling across nanotube-nanotube junctions.
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1079). Microscopy studies have been performed in facilities belonging to the CITIUS
(Universidad de Sevilla).

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4 **Figure captions**
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8 **Figure 1:** Raman spectra measured in the composites including the RBM frequency
9 range and the D and G-Bands frequency range. Raman spectra measured in the
10 monolithic 3YTZP ceramic and in the SWNTs have been included for comparison.
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17 **Figure 2:** HRSEM micrographs of fracture surface of the composites with different
18 SWNT contents (a) 0.5 vol%, (b) 1 vol%, and (c) 1.5 vol%.
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24 **Figure 3:** SEM micrographs showing the SWNT agglomerate distribution on the cross-
25 sections of composites with different SWNT contents (a) 0.5 vol%, (b) 1 vol%, and (c)
26 1.5 vol%.
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33 **Figure 4:** (a) Vickers Hardness and (b) fracture toughness for monolithic 3YTZP and
34 SWNT/3YTZP composites and comparisons with the bibliography values.
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40 **Figure 5:** (a) Impedance plots acquired in the composite with 1.5 vol% SWNT from
41 room temperature to 180 °C and (b) SWNT bundles and junctions resistivity in this
42 temperature range. The inset in (a) shows the equivalent circuit used to fit the
43 impedance data.
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51 **Figure 6:** Impedance plots acquired in the composite with 1.5 vol% SWNT from 200 to
52 400 °C.
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Figure 7: Arrhenius plots of the electrical conductivity for monolithic 3YTZP and 3YTZP/SWNT composites.

Table 1: Theoretical and relative density and morphological parameters for ceramic grains in monolithic 3YTZP and SWNT/3YTZP composites.

SWNT vol%	ρ_{th} ($\text{g}\cdot\text{cm}^{-3}$)	ρ_r (%)	$\langle d \rangle$ (nm)	$\sigma_{\langle d \rangle}$ (nm)	f
0	6.10	100	215	85	0.75±0.08
0.5	6.08	100	230	80	0.74±0.08
1	6.06	100	215	75	0.73±0.06
1.5	6.04	99.4	240	80	0.76±0.05

Table 2: Surface density of SWNT agglomerates, percentage of carbon nanotube content in agglomerates and at grain boundaries calculated for each composite and morphological parameters of agglomerates.

SWNT vol%	ρ_s (%)	A (%)	A-SWNT vol%	GB-SWNT vol%	$\langle D \rangle$ (μm)	$\sigma_{\langle D \rangle}$ (μm)	$D_{\text{máx}}$ (μm)	F
0.5	0.18 ± 0.07	36	0.18	0.32	9	7	60	0.4 ± 0.3
1	0.26 ± 0.03	26	0.26	0.74	7	4	30	0.5 ± 0.2
1.5	0.40 ± 0.05	27	0.40	1.10	8	5	40	0.5 ± 0.2

Table 3: Mechanical and electrical properties measured for the studied materials.

SWNT vol%	H_V (GPa)	K_{IC} (MPa·m^{1/2})	σ_{RT} (S·cm⁻¹)	E_{act} (eV)
0	12.7±0.4	4.3±0.3	----	0.86±0.02
0.5	13.4±0.3	4.2±0.3	----	0.84±0.08
1	12.6±0.2	4.4±0.2	----	0.76±0.07
1.5	12.9±0.3	4.6±0.3	6x10 ⁻⁶	0.065±0.002

Table 4: Equivalent circuit values for the fitting of the impedance properties of the composite with 1.5 vol% SWNT.

T (°C)	ρ_J (k Ω ·cm)	C _J (pF)	ρ_B (k Ω ·cm)	C _B (pF)
25	158±13	272±3	10.4±0.9	137±3
40	135±11	279±3	9.1±0.8	139±4
60	113±10	293±4	7.8±0.7	139±4
80	93±7	302±4	6.9±0.5	137±4
100	83±6	318±4	6.4±0.5	136±4
120	76±6	340±6	6.5±0.6	132±4
140	70±5	351±5	6.1±0.4	132±4
160	66±6	400±8	6.4±0.6	135±4
180	56±5	475±1	6.6±0.6	128±5

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Figure 1

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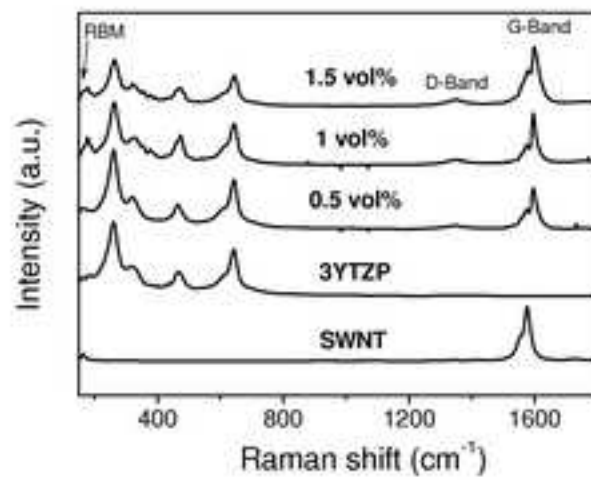


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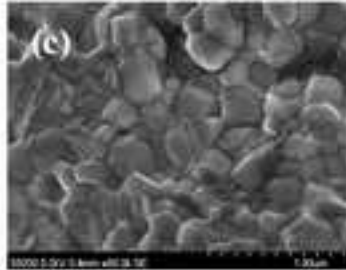
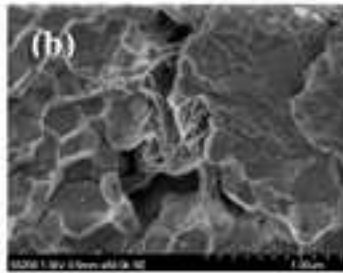
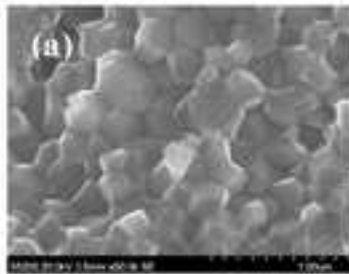


Figure 3
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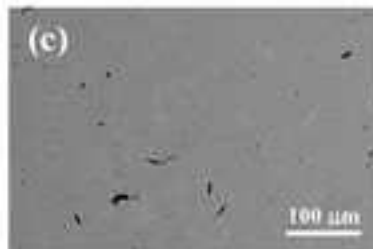
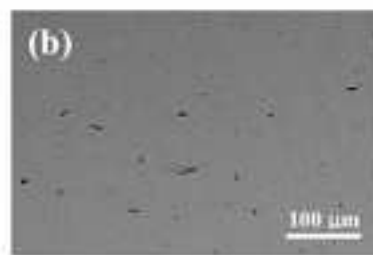
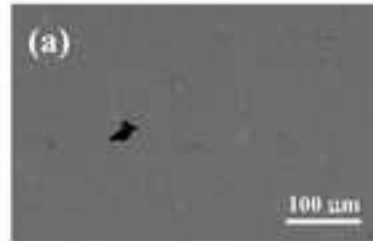


Figure 4

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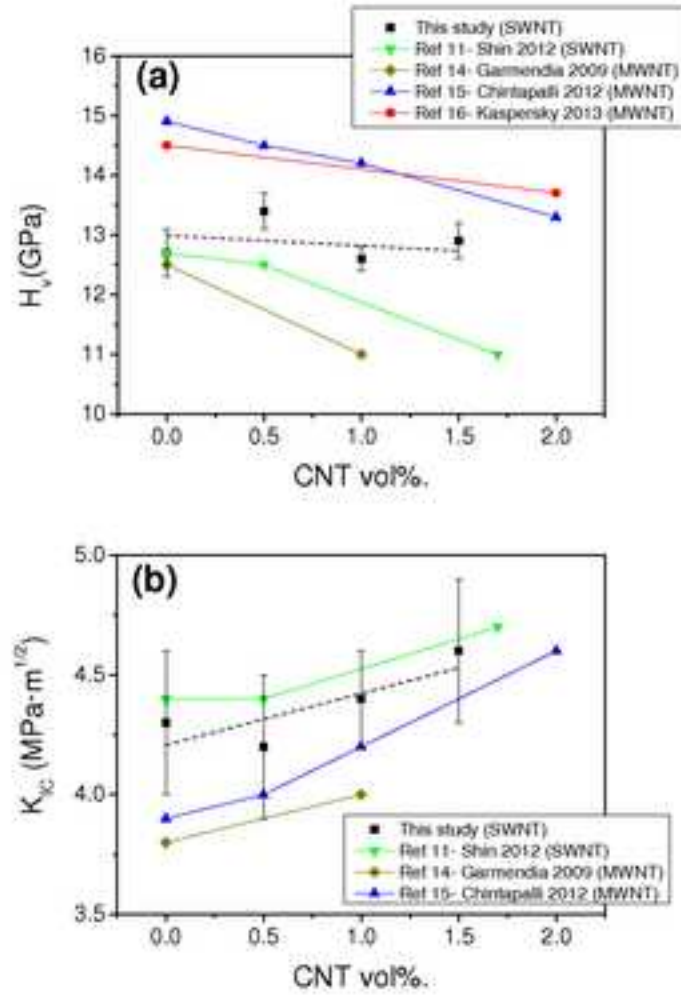


Figure 5
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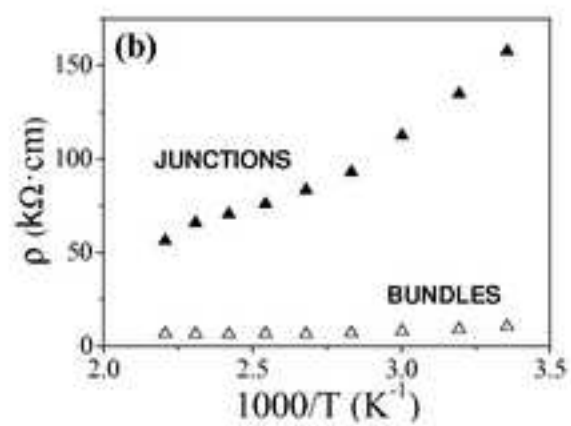
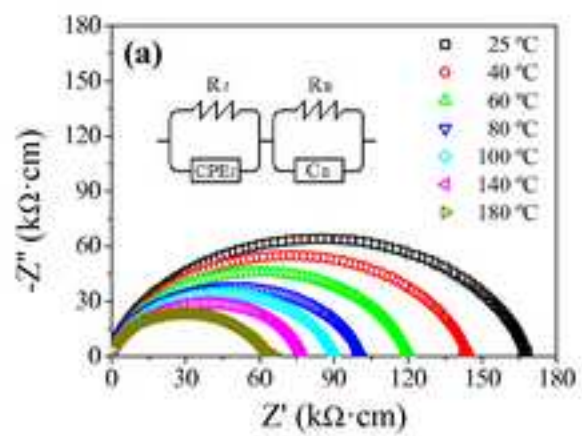


Figure 6
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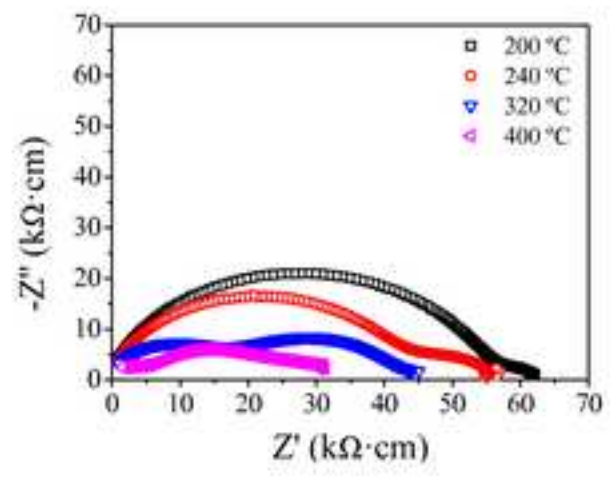
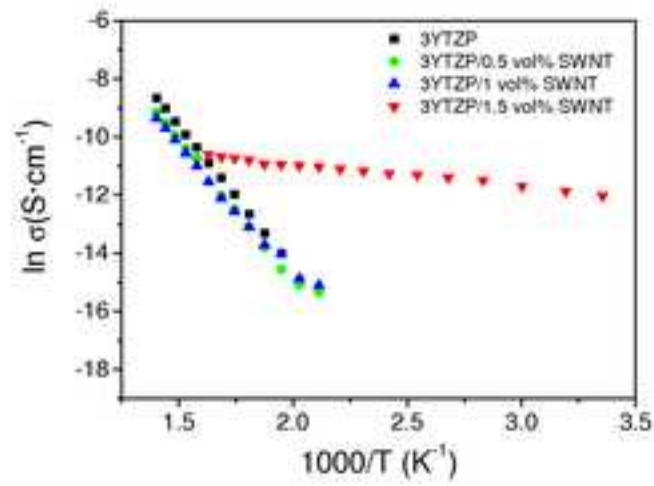


Figure 7
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Figure 1: Raman spectra measured in the composites including the RBM frequency range and the D and G-Bands frequency range. Raman spectra measured in the monolithic 3YTZP ceramic and in the SWNTs have been included for comparison.

Figure 2: HRSEM micrographs of fracture surface of the composites with different SWNT contents (a) 0.5 vol%, (b) 1 vol%, and (c) 1.5 vol%.

Figure 3: SEM micrographs showing the SWNT agglomerate distribution on the cross-sections of composites with different SWNT contents (a) 0.5 vol%, (b) 1 vol%, and (c) 1.5 vol%.

Figure 4: (a) Vickers Hardness and (b) fracture toughness for monolithic 3YTZP and SWNT/3YTZP composites and comparisons with the bibliography values.

Figure 5: (a) Impedance plots acquired in the composite with 1.5 vol% SWNT from room temperature to 180 °C and (b) SWNT bundles and junctions resistivity in this temperature range. The inset in (a) shows the equivalent circuit used to fit the impedance data.

Figure 6: Impedance plots acquired in the composite with 1.5 vol% SWNT from 200 to 400 °C.

Figure 7: Arrhenius plots of the electrical conductivity for monolithic 3YTZP and 3YTZP/SWNT composites.