

# New Lower Bounds for the Number of Straight-Edge Triangulations of a Planar Point Set

Extended Abstract

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## Abstract

We present new lower bounds on the number of straight-edge triangulations that every set of  $n$  points in plane must have. These bounds are better than previous bounds in case of sets with either many or few extreme points.

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## 1. Introduction

For a finite set  $S$  of points in the plane let  $T(S)$  denote the number of straight-edge triangulations of  $S$ . Let  $t(h, m)$  and  $T(h, m)$  denote the minimum and the maximum of  $T(S)$  with  $S$  ranging over all sets with  $h$  extreme and  $m$  non-extreme (“interior”) points. It is known that [4]

$$T(h, m) \leq \frac{59^m \cdot 7^h}{\binom{m+h+6}{6}}$$

and [1] that for  $h + m \geq 1212$

$$t(h, m) \geq 0.092 \cdot 2.33^{h+m} = \Omega(2.33^{h+m}).$$

We prove the following new bounds for  $t(h, m)$ :

**Theorem 1** For constant  $c = 4829/116640 > 0.0414$  we have for all  $h + m \geq 11$

$$t(h, m) \geq c \left(\frac{30}{11}\right)^h \cdot \left(\frac{11}{5}\right)^m = c \cdot 2.7272^h \cdot 2.2^m.$$

**Theorem 2** For every fixed  $h$  we have

$$t(h, m) \geq \Omega(2.63^m).$$

## 2. Proof Outline for Theorem 1

Let  $S$  be a finite planar set (in non-degenerate position), let  $p$  be an extreme point of  $S$ , and let  $S_p = S \setminus \{p\}$ . We call  $p$  of type  $(k, c)$  if the following holds: 1) The chain  $C_p$  of convex hull edges of  $S_p$  that are visible from  $p$  consists of exactly  $k + 1$  edges. 2) The chain  $C_p$  admits at most  $c$  simultaneous non-intersecting chords.

What is a chord? This is an edge  $e$  connecting vertices of  $C_p$  so that the polygon bounded by  $e$  and the relevant portion of  $C_p$  contains no point of  $S_p$  in its interior.

Note that if  $p$  is of type  $(k, c)$  then the difference of the number of extreme points of  $S - p$  and the number of extreme points of  $S$  is  $k - 1$ .

Theorem 1 is a consequence of the following two Lemmas:

**Lemma 3** Assume  $p$  is of type  $(k, c)$  and let  $I_p$  be the  $k$  interior vertices of the chain  $C_p$ . The following can be done  $c$  times, starting with  $U = S_p$ :

Find a vertex  $u \in I_p \cap U$  that is of type  $(0, 0)$  with respect to  $U$  and remove it from  $U$ .

**Lemma 4** Let  $p$  be an extreme point of  $S$  of type  $(k, c)$  so that  $S_p \setminus C_p$  contains at least 4 points:

$$T(S) \geq \Phi(k, c) \cdot T(S_p),$$

where  $\Phi(0, 0) = 3$ ,  $\Phi(1, 0) = 11/5$ , and for all other pairs  $c \leq k$

$$\Phi(k, c) = \left(\frac{2^c}{2^{c+1} - 1}\right) \beta(k, c) + 1$$

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<sup>1</sup> Part of this research was done while the first author was with the International-Max-Planck-Research-School in Saarbrücken.

$$\beta(k, c) = \begin{cases} \frac{2C_{k+1} - 1}{2C_{k+1} - 2} & \text{if } c = k \\ \frac{2C_{k+2} - 1}{2C_{k+2} - 2} & \text{if } c < k, \end{cases}$$

and  $C_j = \binom{2j}{j} / (j+1)$  is the  $j$ -th Catalan number.

Chasing through the definitions in Lemma 4 one finds that extreme points of type  $(k, 0)$  yield a nice increase in triangulation count from  $S_p$  to  $S$ , with the worst being type  $(1, 0)$ . If on the other hand  $p$  has type  $(k, c)$  with  $c > 0$ , which does not lead to such a large triangulation count increase, then Lemma 3 guarantees the existence of  $c$  other nice vertices of type  $(0, 0)$  that provide sufficient increase if  $S$  is built up by adding extreme points.

### 3. Proof Outline for Theorem 2

This proofs expands an initial idea of Francisco Santos [3]. It suffices to consider the case of  $t(3, m)$ , in other words the case of  $m$  points inside a triangle  $\Delta$ .

Consider each of the  $m$  interior points in turn. Connect it to the three corners of  $\Delta$ . This partitions  $\Delta$  into three triangles, each of which can be triangulated recursively. This leads to the following recursive relation:

$$t(3, m) \geq m \cdot \min_{m_1+m_2+m_3=m-1} \{t(3, m_1) \cdot t(3, m_2) \cdot t(3, m_3)\}$$

Now we would like to set  $t(3, m) \geq 2^{cm-a}$  for appropriate constants  $a$  and  $c$  and use induction based on the above recursive relation. The problem now is to make sure that this induction has a good base. For this purpose we construct using various computational methods explicit lower bounds  $b(m)$  for  $t(3, m)$  for  $m$  from 0 to some  $N$  (we used  $N = 300$ ). Then we choose  $a$  and  $c$  so that  $2^{cm-a} \leq b(m)$  for all  $m \leq N$  and so that with  $t(3, m) \geq 2^{cm-a}$  the above recursive relation is satisfied for all  $m > N$ . This amounts to the constraint that  $2^{c+2a} \leq N+1$ .

Values for  $c$  and  $a$  can then be found using linear programming, since the constraints for  $c$  and  $a$  after taking logarithms are linear. By optimizing  $c$  we then got that

$$t(3, m) \geq 0.093 \cdot 2.63^m.$$

### 4. Acknowledgments

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### References

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