1	Individual hemoglobin mass response to normobaric and hypobaric
2	"live high–train low": A one-year crossover study
3	Anna Hauser ^{1,2} , Severin Troesch ¹ , Jonas J. Saugy ² , Laurent Schmitt ³ , Roberto Cejuela-
4	Anta ⁴ , Raphael Faiss ² , Thomas Steiner ¹ , Neil Robinson ⁵ , Grégoire P. Millet ^{2*} and Jon P.
5	Wehrlin ^{1*}
6	
7	¹ Swiss Federal Institute of Sport, Section for Elite Sport, Magglingen, Switzerland
8	² ISSUL, Institute of Sport Sciences, Department of Physiology, Faculty of Biology and
9	Medicine, University of Lausanne, Switzerland
10	³ National School of Mountain Sports/National Ski-Nordic Centre, Prémanon, France
11	⁴ Departmental Section of Physical Education and Sports, University of Alicante, Spain
12	⁵ Swiss Laboratory for Doping Analyses, University Center of Legal Medicine, Geneva
13	& Lausanne, Center Hospitalier Universitaire Vaudois & University of Lausanne,
14	Switzerland.
15	*These authors contributed equally to this work.
16	
17	Running title: Individual Hb_{mass} responses in normobaric and hypobaric LHTL
18	
19	Corresponding author:
20	Anna Hauser, MSc
21	Swiss Federal Institute of Sport, Section for Elite Sport
22	Alpenstrasse 16, 2532 Magglingen, Switzerland
23	E-mail: anna.hauser@baspo.admin.ch
24	Phone: +41 58 467 64 89
25	

26 ABSTRACT

27 Purpose: To compare individual hemoglobin mass (Hb_{mass}) changes following a live 28 high-train low (LHTL) altitude training camp under either normobaric hypoxia (NH) or 29 hypobaric hypoxia (HH) conditions in endurance athletes. Methods: In a crossover 30 design with a one-year washout, 15 male triathletes randomly performed two 18-d 31 LHTL training camps in either HH or NH. All athletes slept at 2250 m and trained at 32 altitudes < 1200 m. Hb_{mass} was measured in duplicate with the optimized carbon 33 monoxide rebreathing method before (pre-) and immediately after (post-) each 18 d 34 training camp. **Results:** Hb_{mass} increased similarly in HH (916 to 957 g, $4.5 \pm 2.2\%$, P <35 0.001) and in NH (918 to 953 g, $3.8 \pm 2.6\%$, P < 0.001). Hb_{mass} changes did not differ 36 between HH and NH (P = 0.42). There was substantial inter-individual variability 37 among subjects to both interventions (i.e., individual responsiveness, or the individual 38 variation in the response to an intervention free of technical noise): 0.9% in HH and 1.7% in NH. However, a correlation between intra-individual delta Hb_{mass} changes (%) 39 40 in HH and in NH (r = 0.52, P = 0.048) was observed. Conclusion: HH and NH evoked 41 similar mean Hb_{mass} increases following LHTL. Among the mean Hb_{mass} changes, there 42 was a notable variation in individual Hb_{mass} response, which tended to be reproducible. 43

- 44 Key words: altitude; training; hypoxia; LHTL; athletes
- 45

46 **NEW & NOTEWORTHY**

This is the first study to compare individual Hb_{mass} response to normobaric and hypobaric LHTL using a same-subject crossover design. The main findings indicate that hypobaric and normobaric hypoxia evoked a similar mean increase in Hb_{mass} following 18-d LHTL. Notable variability and reproducibility in individual Hb_{mass} responses between athletes was observed, indicating the importance of evaluating individual Hb_{mass} response to altitude training.

54 INTRODUCTION

55 **Paragraph Number 1** Simulated and natural altitude training methods are commonly 56 used by elite endurance athletes to enhance sea-level performance (25, 45). The 57 question as to, whether simulated (normobaric hypoxia) altitude and natural (hypobaric 58 hypoxia) altitude differ considerably regarding physiological and performance 59 responses is still debated (5, 26, 32). A frequently used altitude training method, which 60 can be performed under either hypobaric or normobaric conditions, is the "live high-61 train low" (LHTL) model (22, 41), where athletes live and sleep at a certain altitude but 62 train at a lower altitude or near sea-level (1, 45). However, researchers have rarely 63 directly compared the possible differences between the effects of hypobaric and 64 normobaric LHTL on relevant physiological responses, such as hemoglobin mass 65 (Hb_{mass}) (16) and performance responses (32). Thus far, only one study (16) has 66 compared individual Hb_{mass} responses between normobaric and hypobaric LHTL 67 training camps after the same duration (18 d) and the same hypoxic hours 68 (approximately 230 h) in endurance athletes. Interestingly, these results showed that 69 hypobaric and normobaric LHTL evoked similar group mean increases in Hb_{mass} (4.1% 70 vs. 4.5%) and that there was no difference between the two hypoxic conditions. In line 71 with previous studies (6, 8, 24, 30, 38, 43), individual Hb_{mass} responses demonstrated a 72 wide variability (-1.4% to 10.6%) in hypobaric and normobaric LHTL. As the number 73 of athletes was small within the hypobaric hypoxia (HH) and normobaric hypoxia (NH) 74 groups (n = 10, 11), an uneven distribution of athletes who responded positively or less 75 positive to altitude in Hb_{mass} may have affected the outcome. Thus, the question whether 76 normobaric and hypobaric LHTL results in similar Hb_{mass} responses has not been 77 conclusively answered. The straightforward option to diminish the observed effect is to 78 conduct a same-subject crossover design.

Paragraph Number 2 The primary aim of the present study was to investigate whether
Hb_{mass} responses differ between 18-d hypobaric and normobaric LHTL with a samesubject crossover design. The secondary aim was to quantify individual Hb_{mass}
responsiveness in HH and NH.

84 METHODS

85 Subjects

86 **Paragraph Number 3** Fifteen well-trained male triathletes, living at or near sea level 87 (age: 23.9 ± 4.0 yr, height: 178.5 ± 4.9 cm and weight: 64.9 ± 7.6 kg) completed both 88 altitude training camps and fulfilled the following inclusion criteria for participation and 89 data analysis: 1) a minimum of 5 yr of endurance training and frequent participation in 90 endurance competitions, 2) initial ferritin levels > 30 μ g·L⁻¹, and 3) no doping abuse 91 (OFF score within reference range (11)). All athletes provided written informed consent 92 to participate in the study. The study was approved by the local ethical committees 93 (Commission Cantonale Valaisanne d'Ethique Médicale, CCVEM; Agreement 051/09 94 and French National Conference of Research Ethics Committees; N°CPP EST I: 95 2014/33; Dijon, France), corresponding to the two training locations. All procedures were conducted in accordance with the Declaration of Helsinki. 96

97

98 Study design

99 Paragraph Number 4 Originally, it was planned to perform a single parallel group 100 study design (camp 1). To get a crossover study design, we decided after the first 101 training camp to extend the study with another training camp (camp 2), but not all 102 athletes from the first training camp were able to participate a second time. Thus, the 103 present study was based on two training camp phases performed over one year. In the 104 first year (camp 1), a total of 24 athletes were randomly assigned to either a hypobaric 105 or a normobaric hypoxic 18-d LHTL training camp. In the second year (camp 2), at the 106 same time point during the year and during the competitive season, 15 of the 24 athletes 107 performed a second 18-d LHTL training camp with the opposite hypoxic condition (HH 108 or NH). Individual Hb_{mass} responses of one single training camp have been published;

109	for details see Hauser et al. (16). To have a same-subject crossover design (Fig. 1), only
110	the results of these 15 athletes were used in this study. The athletes' data were pooled
111	for each hypoxic condition from both camps of the study as follows: HH condition
112	included the pooled values from the HH athletes in camp 1 ($n = 5$) and the HH athletes
113	in camp 2 ($n = 10$); the same athletes were considered for the NH condition but reversed
114	(n = 10 in camp 1 and n = 5 in camp 2). During the one-year washout period, the
115	athletes did not perform any additional altitude training. Under both hypoxic conditions
116	(NH and HH), athletes slept at an altitude of 2250 m and trained at altitudes < 1200 m.
117	Immediately before (pre-) and after (post-) each training camp, Hb _{mass} was measured in
118	duplicate, and venous blood samples were collected. At day 13 of the second training
119	camp in HH (camp 2), in 10 of 15 subjects, an additional duplicate Hb_{mass} measurement
120	was performed, as it corresponded to the expected hypoxic hours in NH after 18 d
121	(matched hypoxic hours in HH and NH). All measurements were performed at 1150 m.
122	During the training camp, training load and hypoxic hours were continuously recorded.
123	
124	
125	***Figure 1 near here***
126	
127	
128	Hypoxic exposure
129	Paragraph Number 5 For the LHTL training camps under HH, the athletes lived in

Fiescheralp, Switzerland (2250 m, inspired oxygen pressure (P_iO_2) 111.6 ± 0.6 mm Hg, inspired oxygen fraction (F_iO_2) 20.9 ± 0.0%, barometric pressure (P_B) 580.2 ± 2.9 mm Hg) and traveled by cable car twice daily to the valley (altitude < 1200 m) for training. Daily hypoxic exposures in HH totaled 17.3 ± 2.3 h. The total hypoxic hours after 18 d were 311.6 ± 7.8 h and after 13 d (only measured in the second camp, n = 10) 229.5 ±

135 1.2 h, respectively. For the LHTL training camps under NH, the athletes lived in Prémanon, France (1150 m) and were exposed to normobaric hypoxia equivalent to 136 2250 m in hypoxic rooms (medium size: 15 m²). Normobaric hypoxia was obtained by 137 138 extracting oxygen from ambient air in hypoxic rooms (P_iO_2 111.9 ± 0.6 mm Hg, F_iO_2 $18.05 \pm 0.1\%$, P_B 666.6 \pm 3.6 mm Hg). In each hypoxic room, the gas composition was 139 140 continuously monitored with oxygen and carbon dioxide analyzers (FIELDBROOK 141 Ltd, London, UK), which were connected to a central monitoring station under the 142 control of an experienced physiologist. In Prémanon, the athletes left the hypoxic rooms 143 on average 5-6 times per day to eat and train. Daily hypoxic exposures in NH totaled 144 12.5 ± 0.4 h, and the total hypoxic hours after 18 d were 225.3 ± 9.0 h. During all 145 training camps, the time spent in hypoxia was monitored daily and recorded manually.

146

147 Training load

148 **Paragraph Number 6** All training sessions during the training camps were advised and 149 supervised by two experienced certified coaches. The intervention groups trained 150 separately (located at two different places: Fiesch, Switzerland and Prémanon, France) 151 under the supervision of one coach. The training consisted of cycling, running, and 152 swimming. Training load quantification was performed using the Objective Load Scale 153 (ECOs; (2)), which was specially developed for training load quantification in 154 triathlons. Briefly, the ECOs were calculated by multiplying the total duration of a 155 training session (time in minutes) with a scoring value between 1 and 50, depending on 156 the heart rate based training zone (1 to 8) and by a factor of 1.0, 0.75, or 0.5 for running, 157 swimming, or biking, respectively. The daily training loads (ECOs) of each subject 158 were measured based on each subject's physical characteristics and training program 159 intensity.

161

162 Hemoglobin mass

163 Paragraph Number 7 Hb_{mass} was measured in duplicate using a slightly modified 164 version of the optimized carbon monoxide (CO)-rebreathing method described by 165 Schmidt and Prommer (36). Briefly, a CO dose of 100 mL (Multigas SA, Domdidier, 166 Switzerland) was administered and rebreathed with 3.5 L oxygen for 2 min in a closed 167 circuit system (glass spirometer, Blood Tec GbR, Bayreuth, Germany). Capillary 168 earlobe blood samples (35 µl) were collected three times before the CO-rebreathing 169 procedure and once at minute 6 and 8 after CO rebreathing was started. Blood samples 170 were analyzed for carboxyhemoglobin (%HbCO) using a CO-oximeter (ABL 800flex, 171 Radiometer A/S, Copenhagen, Denmark). Hb_{mass} was calculated from the mean change 172 in %HbCO before and after CO rebreathing, as described previously by Steiner and 173 Wehrlin (39). Both measurements were performed on two consecutive days (12-24 h 174 time lag between the measures), and the results were averaged. The typical error (TE) of 175 Hb_{mass} measurement was calculated from duplicate measurements as the standard 176 deviation (SD) of the difference score divided by $\sqrt{2}$ (17). To provide a dimensionless 177 measure of reliability, which is comparable between subjects and studies (17), the TE 178 was translated into a coefficient of variation (CV). The CV is calculated by dividing the 179 TE by the mean value of Hb_{mass} and is expressed in percent. Averaged multiple 180 measurements reduce the TE by a factor of $1/\sqrt{n}$, where n is the number of 181 measurements (17). In this study, the TEs for duplicate measurements of Hb_{mass} at the 182 different time points were as follows: pre-camp 1: 1.8% (90% confidence limits (CLs): 183 1.3-2.5%; post-camp 1: 1.0% (0.7.1-1.3%); pre-camp 2: 0.9% (0.7.1-1.3%); day 13: 184 1.9% (1.3–2.6%); post-camp 2: 1.1% (0.8–1.6%). In our mobile laboratory, the overall 185 TE of the CO-rebreathing method was 2.0% (1.5–2.6%), and the TE for the average 186 duplicate measurements was 1.4% (1.1–1.8%).

187

188 Ferritin and OFF score

189 **Paragraph Number 8** On the first morning in the pre- and post-testing of both training 190 camps, venous blood samples were drawn from an antecubital vein (4.9 ML EDTA 191 tube, Sarstedt, Nümbrecht, Germany) immediately after the athletes woke up (7 am). To identify iron-deficient athletes (initial ferritin levels > 30 $\mu g \cdot L^{-1}$), serum ferritin 192 concentration analysis was determined with a biochemistry analyzer (Dimension EXL, 193 194 Siemens Healthcare Diagnostics SA, Zürich, Switzerland). The CV, which was 195 determined using internal quality controls, was 4.5%. To exclude the potential risk of 196 illegal blood manipulation, athletes were tested for doping by an accredited laboratory 197 (Swiss Laboratory for Doping Analyses, Lausanne, Switzerland). Therefore, the OFF score (OFF score = Hb (g·L⁻¹) - $60\sqrt{\text{(reticulocytes in \%)}}$) according to Gore et al. (11) 198 199 was calculated and compared to cut-off limits for athletes tested at altitude > 610 m with 200 a false positive rate of 1:100.

201

202 Statistical analyses

203 **Paragraph Number 9** Values are presented as means \pm SD. All data were checked for 204 normality (Shapiro-Wilk test) and equality of variance. A two-way repeated measure 205 analysis of variance was applied to evaluate the differences between the conditions (HH 206 and NH) over time. When a significant global effect was indicated, Tukey's post-hoc 207 test was performed to identify significant differences between different levels of time 208 and conditions. For a comparison of the training load between HH and NH, a paired t-209 test was performed. Linear regressions were used to determine the Pearson's correlation 210 coefficient (r) between individual delta Hb_{mass} changes (%) in HH and in NH. The level of significance was set at *P* < 0.05. All analyses were processed using Sigmaplot 11.0
(Systat Software, San Jose, CA, USA).

213 Paragraph Number 10 To assess the likelihood that the differences in percent change in Hb_{mass} between HH and NH were relevant (i.e. more extreme than the smallest 214 215 worthwhile change in Hb_{mass}, set to \pm 1%) a contemporary statistical approach 216 according to Hopkins (18) was used. This approach calculates the chances (in %) that 217 the true value of an effect is positive, trivial or negative. To classify the magnitude of 218 the effects (positive, trivial, or negative), the change in mean and the 90% CL of the 219 individual change scores were used (19). The effect was termed "unclear" if its CL 220 overlapped the positive and negative smallest worthwhile changes. Individual Hb_{mass} 221 responsiveness (i.e. the individual variation in the response to an intervention free of TE 222 (17)) for NH and HH is expressed as the SD from the mean Hb_{mass} change and was 223 calculated as the square root of the difference between the variance of the Hb_{mass} change 224 scores in the intervention and the variance in change scores arising from TE only $((TE \cdot \sqrt{2})^2)$. To detect significant individual effects, the 95% CL for percent changes of 225 226 Hb_{mass} was derived from the present overall TE of the Hb_{mass} measurement 227 $(95\% \text{ CL} = \pm 1.96 \cdot \text{TE} \cdot \sqrt{2} \cdot 1/\sqrt{2}; (17)).$

229 **RESULTS**

230 Mean Hb_{mass} responses

231 Paragraph Number 11 After 18 d (n = 15), Hb_{mass} increased similarly in HH (916.0 \pm

232 84.6 g to 957.1 \pm 93.5 g, 4.5 \pm 2.2%, *P* < 0.001) and NH (918.0 \pm 86.5 g to 952.6 \pm 92.7

- 233 g, $3.8 \pm 2.6\%$, P < 0.001; see Fig. 2). For matched hypoxic hours (n = 10), Hb_{mass}
- 234 increased by $4.9 \pm 3.7\%$ (891.7 ± 81.7 g to 936.2 ± 106.1 g, P < 0.001) in HH and by
- 235 $3.4 \pm 2.2\%$ (883.4 ± 72.4 g to 914.0 ± 82.5 g, P = 0.005) in NH. Hb_{mass} changes did not
- 236 differ between the conditions after 18-d LHTL (P = 0.42) or for same hypoxic hours (P
- 237 = 0.29). The chance in percent Hb_{mass} changes being greater in HH compared to NH was
- 238 36% following 18-d LHTL and 61% for matched hypoxic hours (Table 1).
- 239
- 240 ***Table 1 near here***
- 241
- 242 ***Figure 2 near here***
- 243

244

245 Individual Hb_{mass} responses

246 **Paragraph Number 12** Percent changes in individual Hb_{mass} ranged from +0.4% to 247 +8.7% in HH and from -1.4% to +7.7% in NH (Fig. 3) after 18-d LHTL. The 95% CL for individual percent Hb_{mass} changes was \pm 3.9%, and the upper CL was exceeded by 248 249 eight out of 15 athletes in HH and by seven out of 15 athletes in NH. Individual 250 responsiveness was $\pm 0.9\%$ in HH and $\pm 1.7\%$ in NH. For matched hypoxic hours, 251 individual responsiveness was $\pm 3.4\%$ in HH and $\pm 0.9\%$ in NH. There was a significant 252 correlation between individual delta Hb_{mass} changes (%) in HH and in NH after 18-d 253 LHTL (r = 0.52, P = 0.048

255 ***Figure 3 near here***

256

257

258 Ferritin and OFF score

259 **Paragraph Number 13** Initial ferritin levels were > 30 μ g·L⁻¹ in all athletes. Pre-ferritin 260 values were 108.1 ± 36.0 μ g·L⁻¹ and 107.3 ± 36.3 μ g·L⁻¹ in HH and NH, respectively. 261 All athletes were within the cut-off limits for the OFF scores (< 125.3) for pre- (91.7 ± 262 5.4 vs. 94.6 ± 14.1) and post- (97.2 ± 6.3 vs. 97.9 ± 5.1) testing in HH and NH, 263 respectively.

264

265 Training load and body weight

266 Paragraph Number 14 No differences were found in daily average training loads 267 between the two groups, HH ($217.6 \pm 87.9 \text{ ECOs}$) and NH ($229. \pm 80.0 \text{ ECOs}$), during the 18-d LHTL training camps of the crossover study (P = 0.54). In camp 1, the daily 268 269 training load was similar to that in camp 2 in HH (231.7 \pm 42.1 vs. 210.6 \pm 105.6 ECOs, 270 P = 0.68) and NH (229.4 ± 25.2 vs. 228.6 ± 7.9 ECOs, P = 0.98). Body weight did not differ over time between HH and NH after 18 d (P = 0.72). The average pre-body 271 272 weight was 70.3 ± 6.3 kg and 71.6 ± 7.6 kg, and the average post-body weight was 69.8 273 \pm 5.3 kg and 70.6 \pm 6.4 kg — for HH and NH, respectively.

274 **DISCUSSION**

Paragraph Number 15 This is the first study to compare individual Hb_{mass} responses to normobaric and hypobaric LHTL using a same-subject crossover design. The main findings indicate that HH and NH evoked a similar mean increase in Hb_{mass} following 18-d LHTL. The mean changes in Hb_{mass} did not differ between HH and NH. Notable variability in individual Hb_{mass} responses following 18-d LHTL in HH and NH was observed as well as a significant correlation between individual delta Hb_{mass} changes (%) in HH and in NH.

282

283 Mean Hb_{mass} responses

284 Paragraph Number 16 Both hypoxic conditions (HH vs. NH) demonstrated a similar 285 mean Hb_{mass} increase (+4.5% vs. +3.8%) following 18-d LHTL. Furthermore, the 286 chance in percent Hb_{mass} changes being greater in HH compared to NH was only 36%. 287 Recently, the part study (16) of the crossover study also reported similar Hb_{mass} 288 responses after an 18-d LHTL training camp in either HH or NH, despite larger total 289 hypoxic hours in HH compared to NH. A recent meta-analysis estimated that Hb_{mass} 290 increases at a mean rate of 1.1%/100 h of exposure at simulated or natural altitude (14), 291 which would have expected lower mean Hb_{mass} responses (1% to 2%) in the present 292 study. However, in this meta-analysis, the "upper 95% individual response limits" for 293 225 h and 310 h were around 5% and 6%, respectively, indicating that group 294 composition can noticeably influence the mean Hb_{mass} response. The present mean 295 Hb_{mass} increases were of similar magnitude to previous LHTL studies with longer 296 hypoxic exposures (> 300 h; (15, 44)) and were of greater magnitude than in LHTL studies with similar hypoxic hours (4, 20, 28). The current recommendation suggests an 297 298 adequate hypoxic exposure of > 12 h/day at natural or simulated altitude > 2000 m for >

299 21 d; that is, approximately 300 h is required to substantially increase Hb_{mass} (4, 31). 300 However, the data for the NH group after 18 d (225 h) and for the HH group after 13 d 301 (230 h) suggest that a relevant Hb_{mass} increase can be achieved with less hypoxic hours 302 (< 300 h) in some subjects. Recently, studies have examined earlier time courses (8, 43)and shorter hypoxic exposure (9, 27) on changes in Hb_{mass} to moderate altitude (2500-303 3000 m). The data from these studies showed measurable Hb_{mass} increases (2.1% to 304 305 3.7%) within a shorter time period (11–13 d) or lower hypoxic exposure (< 210 h) than 306 recommended (14, 31). However, the present study and the reported studies (8, 9, 27, 307 43) used different athlete populations and applied different altitude protocols, which 308 may limit generalization. Therefore, further research is needed to better understand the 309 time course and dose-response relationship of Hb_{mass} to different altitude protocols in 310 different athlete populations.

311 Paragraph Number 17 An hypoxia-induced increase in Hb_{mass} seems to be one of the 312 main physiological mechanisms leading to improved sea-level endurance performance after altitude training (14, 22, 23, 42). Hb_{mass} is closely related to maximal oxygen 313 uptake ($\dot{V}O_{2max}$) – that is, a gain of 1 g in Hb_{mass} results in a 4 mL·min⁻¹ increase in 314 VO_{2max} under normoxic conditions (37). Further, Hb_{mass} correlates with time trial 315 316 performance and maximal incremental power output in highly trained endurance 317 athletes (21). In both 18-d LHTL camps, the athletes performed a 3-km running time 318 trial near sea level before and after each camp. The mean performance data of both 319 LHTL camps have been already published (34). If we correlate the percent changes in individual Hb_{mass} data (in $g \cdot kg^{-1}$) of the present article with the individual performance 320 321 data from the already published article (34), we obtain a correlation of r = -0.47 (P = 0.07) in HH and a correlation of r = -0.57 (P = 0.03) in NH. This is comparable to our 322 previously published paper (16), where we reported also a correlation (r = -0.64, P = 323 0.002) between running performance improvements and increase in Hb_{mass} ($g \cdot kg^{-1}$) after 324

325 18-d LHTL (n = 21), suggesting that the enhancement in endurance performance was 326 directly linked to changes in Hb_{mass} after LHTL. Whereas, there was no significant 327 correlation between percent changes in individual performance and Hb_{mass} (in g) in HH (r = -0.14, P = 0.61) and in NH (r = -0.35, P = 0.20). This in turn supports the literature 328 showing an increase in Hb_{mass} following altitude training with different performance 329 330 outcomes (7, 12, 30). Further, it seems that also nonhematological mechanisms such as 331 improved mitochondrial efficiency and/or muscle pH regulation (13) can contribute to 332 enhanced sea-level performance following altitude training. Thus, the impact of Hb_{mass} 333 increase on performance benefits following altitude training remains unclear.

334 **Paragraph Number 18** To date, whether the type of hypoxia (e.g., NH or HH) differs 335 considerably regarding physiological and performance responses is still debated (5). 336 Short-term exposure (< 26 h) to HH seems to evoke greater hypoxemia, lower oxygen 337 arterial saturation (35), and more altered cycling time trial performance (33) compared to NH. Whereas long-term exposure of the same duration (e.g., following LHTL) to HH 338 339 and NH induced similar Hb_{mass} (16) and performance improvements (32, 34). The 340 present crossover study confirmed that 18-d LHTL training at 2250 m either in HH or in 341 NH induced similar mean Hb_{mass} responses, despite a larger number of hypoxic hours in 342 HH compared to NH. Thus, from a practical point of view it seems that both hypoxic conditions (HH or NH) can be used equally for LHTL camps to enhance Hb_{mass}. 343 344 However, it must be considered that HH conditions can accumulate hypoxic hours much 345 faster than NH, while NH conditions are logistically easier and more customizable than 346 HH.

347

348 Individual Hb_{mass} responses and reproducibility

349 *Paragraph Number 19* Individual variability in Hb_{mass} response to altitude training
350 camps in either HH or NH has previously been shown and discussed (6, 8, 16, 38, 43);

351 however, not many altitude training studies quantified individual responsiveness (24, 352 27, 29, 30). In the present study, individual Hb_{mass} responsiveness (measure of 353 individual responses that is free from the TE) was $\pm 0.9\%$ in HH and $\pm 1.7\%$ in NH, 354 which was slightly lower compared to other studies demonstrating individual Hb_{mass} 355 responsiveness of $\pm 1.3\%$ to $\pm 2.6\%$ in HH (24, 29) and of $\pm 1.4\%$ to $\pm 2.9\%$ in NH (27, 356 30). Interestingly, after the same hypoxic hours in HH, the magnitude of individual 357 Hb_{mass} responsiveness was $\pm 3.4\%$. This result was much greater than expected, 358 suggesting that it was due to measurement imprecision and that even with duplicate 359 Hb_{mass} measurements there is still a chance of random noise (14). The reason for 360 individual variability in Hb_{mass} response to altitude training remains to be clarified and 361 can be attributed to many factors, such as individual variation in erythropoietic response 362 to hypoxia (3, 6), genetic predisposition (46), occurrence of a mild neocytolysis after 363 descending after return to sea level (6) or different baseline conditions such as low pre-364 altitude ferritin levels (40). Regarding the latter, in the present study, all individual ferritin levels were above > 30 $\mu g \cdot L^{-1}$ and an inverse correlation between the pre-365 altitude ferritin level and Hb_{mass} (in g) changes (r = -0.30, P = 0.10) was shown 366 367 suggesting that in the present study initial ferritin levels did not influence individual variability in Hb_{mass} response. However, there is also evidence that low iron stores (< 30 368 $\mu g \cdot L^{-1}$) may impair Hb_{mass} production and thus an individualized iron supplementation 369 370 strategy during altitude training is recommended (10).

Paragraph Number 20 To detect significant individual Hb_{mass} responses, the 95% CLs for the percent changes of Hb_{mass} were derived from the present overall TE, which was $\pm 3.9\%$. The upper CL was exceeded by half the athletes in both hypoxic conditions (HH: eight of 15 and NH: seven of 15, Fig. 3). Because Hb_{mass} was measured in duplicate, which reduces the TE by a factor of $1/\sqrt{2}$ (17) and thus enhances the measurement precision, the athletes who exceeded the 95% CL were likely responders 377 in Hb_{mass} to the altitude training in the current study. Further, most of the athletes who 378 increased their Hb_{mass} during the first LHTL altitude camp demonstrated a reproducible 379 Hb_{mass} response after the second LHTL altitude camp, suggesting that those athletes 380 who responded once to altitude training will very likely respond another time regardless 381 of the type of hypoxia. Previous studies focusing on reproducibility of Hb_{mass} responses 382 in athletes to altitude training camps (24, 43) have demonstrated reproducible mean 383 percent Hb_{mass} changes but only a small trend toward reproducible individual Hb_{mass} 384 changes, which is not in line with the present results. Thus, whether reproducibility in 385 individual Hb_{mass} responses to altitude training camps and/or to different hypoxic 386 conditions (HH vs. NH) exists remains unclear. Overall, the variability in individual 387 Hb_{mass} response to hypoxia detected in the present study emphasizes the importance of 388 evaluating the individual Hb_{mass} response of an athlete to altitude training camps. 389 Therefore, we recommend measuring Hb_{mass} in duplicate directly before and after an 390 altitude training camp within a time lag of less than 24 h between the two 391 measurements.

392

393 CONCLUSION

394 **Paragraph Number 21** The findings of the present crossover study indicate that 395 hypobaric and normobaric LHTL evoked a similar mean increase in Hb_{mass} following 396 18-d LHTL. There was no difference in Hb_{mass} changes between HH and NH. Notable 397 variability in individual Hb_{mass} responses between athletes was observed, indicating the 398 importance of individual evaluation of Hb_{mass} responses to altitude training.

400 ACKNOWLEDGEMENTS

401 Paragraph Number 22 The authors thank Director Arnaud Pinguet and the staff of the 402 National Ski-Nordic Centre (Prémanon, France) as well as Director Claudio Rossetti 403 and the staff of the Fierendorf Center (Fiesch, Switzerland) for their invaluable 404 assistance and access to facilities.

405

406 **GRANTS**

407 *Paragraph Number 23* This study was financially supported by the Federal Office of
408 Sport (FOSPO; Switzerland) and by the Ministère des Sports, de la Jeunesse, de
409 l'Education Populaire et de la Vie Associative (MSJEPVA)/Institut National du Sport,
410 de l'Expertise et de la Performance (INSEP, France).

411

412 **DISCLOSURES**

413 *Paragraph Number 24* No conflicts of interest, financial or otherwise, are declared by
414 the author(s).

415

416 AUTHOR CONTRIBUTIONS

417 A.H., L.S., G.P.M., and J.P.W. conceived and designed the work. A.H., S.T., L.S.,

418 J.J.S., N.R., R.C.A., R.F., T.S., G.P.M., and J.P.W. performed the research. A.H., S.T.,

- 419 L.S., J.J.S., N.R., R.C.A., R.F., T.S., G.P.M., and J.P.W. analyzed or interpreted the
- 420 data for the work. A.H. and J.P.W. drafted the manuscript. All authors edited and
- 421 revised the manuscript critically and approved the final version of the manuscript.

423 **REFERENCES**

- 424
- Bonetti DL, Hopkins WG. Sea-level exercise performance following adaptation to
 hypoxia: a meta-analysis. *Sports Med* 39: 107-127, 2009.
- 427 2. Cejuela Anta R, Esteve-Lanao J. Training load quantification in triathlon. *JHSE* 6: 218428 232, 2011.
- 429 3. Chapman RF, Stray-Gundersen J, Levine BD. Individual variation in response to altitude training. J Appl Physiol 85: 1448-1456, 1998.
- 431 4. Clark SA, Quod MJ, Clark MA, Martin DT, Saunders PU, Gore CJ. Time course of
 432 haemoglobin mass during 21 days live high:train low simulated altitude. *Eur J Appl*433 *Physiol* 106: 399-406, 2009.
- 434 5. Coppel J, Hennis P, Gilbert-Kawai E, Grocott MP. The physiological effects of
 435 hypobaric hypoxia versus normobaric hypoxia: a systematic review of crossover trials.
 436 *Extrem Physiol Med* 4: 2, 2015.
- Friedmann B, Frese F, Menold E, Kauper F, Jost J, Bartsch P. Individual variation in
 the erythropoietic response to altitude training in elite junior swimmers. *Br J Sports Med*39: 148-153, 2005.
- Garvican LA, Pottgiesser T, Martin DT, Schumacher YO, Barras M, Gore CJ. The
 contribution of haemoglobin mass to increases in cycling performance induced by
 simulated LHTL. *Eur J Appl Physiol* 111: 1089-1101, 2011.
- 443 8. Garvican LA, Martin DT, Quod MJ, Stephens B, Sassi A, Gore CJ. Time course of
 444 the hemoglobin mass response to natural altitude training in elite endurance cyclists.
 445 Scand J Med Sci Sports 22: 95-103, 2012.
- 446
 447
 447
 448
 448
 448
 448
 449
 449
 440
 440
 440
 440
 441
 441
 441
 442
 442
 443
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
 444
- 449 10. Garvican Lewis LA, Govus AD, Peeling P, Abbiss CR, Gore CJ. Iron
 450 Supplementation and Altitude: Decision Making Using a Regression Tree. J Sports Sci 451 Med 15: 204-205, 2016.
- 452 11. Gore CJ, Parisotto R, Ashenden MJ, Stray-Gundersen J, Sharpe K, Hopkins W,
 453 Emslie KR, Howe C, Trout GJ, Kazlauskas R, Hahn AG. Second-generation blood 454 tests to detect erythropoietin abuse by athletes. *Haematologica* 88: 333-344, 2003.
- 455 12. Gore CJ, Hopkins WG. Counterpoint: positive effects of intermittent hypoxia (live
 456 high:train low) on exercise performance are not mediated primarily by augmented red cell
 457 volume. J Appl Physiol (1985) 99: 2055-2057; discussion 2057-2058, 2005.
- 458 13. Gore CJ, Clark SA, Saunders PU. Nonhematological mechanisms of improved sea 459 level performance after hypoxic exposure. *Med Sci Sports Exerc* 39: 1600-1609, 2007.
- 460 14. Gore CJ, Sharpe K, Garvican-Lewis LA, Saunders PU, Humberstone CE,
 461 Robertson EY, Wachsmuth NB, Clark SA, McLean BD, Friedmann-Bette B, Neya

- 462 **M, Pottgiesser T, Schumacher YO, Schmidt WF**. Altitude training and haemoglobin 463 mass from the optimised carbon monoxide rebreathing method determined by a meta-464 analysis. *Br J Sports Med* 47 (Suppl 1): i31-39, 2013.
- 465
 15. Gough CE, Saunders PU, Fowlie J, Savage B, Pyne DB, Anson JM, Wachsmuth N,
 466
 467 Prommer N, Gore CJ. Influence of altitude training modality on performance and total
 467 haemoglobin mass in elite swimmers. *Eur J Appl Physiol* 112: 3275-3285, 2012.
- Hauser A, Schmitt L, Troesch S, Saugy JJ, Cejuela-Anta R, Faiss R, Robinson N,
 Wehrlin JP, Millet GP. Similar Hemoglobin Mass Response in Hypobaric and
 Normobaric Hypoxia in Athletes. *Med Sci Sports Exerc* 48: 734-741, 2016.
- 471 17. Hopkins WG. Measures of Reliability in Sports Medicine and Science. *Sports Med* 30: 1472 15, 2000.
- 473 18. Hopkins WG. A spreadsheet for analysis of straightforward controlled trials [Online]
 474 Sportscience 7. sportsci.org/jour/03/wghtrials.htm. [Aug 2016].
- 475 19. Hopkins WG, Marshall SW, Batterham AM, Hanin J. Progressive statistics for studies
 476 in sports medicine and exercise science. *Med Sci Sports Exerc* 41: 3-13, 2009.
- 477 20. Humberstone-Gough CE, Saunders PU, Bonetti DL, Stephens S, Bullock N, Anson
 478 JM, Gore CJ. Comparison of Live High: Train Low Altitude and Intermittent Hypoxic
 479 Exposure. J Sports Sci Med 12: 394-401, 2013.
- 480 21. Jacobs RA, Rasmussen P, Siebenmann C, Diaz V, Gassmann M, Pesta D, Gnaiger E,
 481 Nordsborg NB, Robach P, Lundby C. Determinants of time trial performance and
 482 maximal incremental exercise in highly trained endurance athletes. *J Appl Physiol (1985)* 483 111: 1422-1430, 2011.
- 484 22. Levine BD, Stray-Gundersen J. "Living high-training low": effect of moderate-altitude
 485 acclimatization with low-altitude training on performance. *J Appl Physiol* 83: 102-112,
 486 1997.
- 487 23. Levine BD, Stray-Gundersen J. Point: positive effects of intermittent hypoxia (live
 488 high:train low) on exercise performance are mediated primarily by augmented red cell
 489 volume. J Appl Physiol (1985) 99: 2053-2055, 2005.
- 490 24. McLean BD, Buttifant D, Gore CJ, White K, Kemp J. Year-to-year variability in haemoglobin mass response to two altitude training camps. *Br J Sports Med* 47 (Suppl 1):
 492 i51-58, 2013.
- 493 25. Millet GP, Roels B, Schmitt L, Woorons X, Richalet JP. Combining hypoxic methods
 494 for peak performance. *Sports Med* 40: 1-25, 2010.
- 495 26. Millet GP, Faiss R, Pialoux V. Point: Hypobaric hypoxia induces different physiological
 496 responses from normobaric hypoxia. *J Appl Physiol (1985)* 112: 1783-1784, 2012.
- 497 27. Neya M, Enoki T, Ohiwa N, Kawahara T, Gore CJ. Increased hemoglobin mass and
 498 VO2max with 10 h nightly simulated altitude at 3000 m. *Int J Sports Physiol Perform* 8:
 499 366-372, 2013.

- 28. Robach P, Schmitt L, Brugniaux JV, Nicolet G, Duvallet A, Fouillot JP, Moutereau
 S, Lasne F, Pialoux V, Olsen NV, Richalet JP. Living high-training low: effect on
 erythropoiesis and maximal aerobic performance in elite Nordic skiers. *Eur J Appl Physiol* 97: 695-705, 2006.
- Robertson EY, Aughey RJ, Anson JM, Hopkins WG, Pyne DB. Effects of simulated
 and real altitude exposure in elite swimmers. *J Strength Cond Res* 24: 487-493, 2010.
- 30. Robertson EY, Saunders PU, Pyne DB, Aughey RJ, Anson JM, Gore CJ.
 Reproducibility of performance changes to simulated live high/train low altitude. *Med Sci Sports Exerc* 42: 394-401, 2010.
- 31. Rusko HK, Tikkanen HO, Peltonen JE. Altitude and endurance training. J Sports Sci 22: 928-945, 2004.
- Saugy JJ, Schmitt L, Cejuela R, Faiss R, Hauser A, Wehrlin JP, Rudaz B, Delessert
 A, Robinson N, Millet GP. Comparison of "Live High-Train Low" in normobaric versus
 hypobaric hypoxia. *PLoS One* 9: e114418, 2014.
- 33. Saugy JJ, Rupp T, Faiss R, Lamon A, Bourdillon N, Millet GP. Cycling Time Trial Is
 More Altered in Hypobaric than Normobaric Hypoxia. *Med Sci Sports Exerc* 48: 680688, 2016.
- 517 34. Saugy JJ, Schmitt L, Hauser A, Constantin G, Cejuela R, Faiss R, Wehrlin JP,
 518 Rosset J, Robinson N, Millet GP. Same Performance Changes after Live High-Train
 519 Low in Normobaric vs. Hypobaric Hypoxia. *Front Physiol* 7: 138, 2016.
- 520 35. Savourey G, Launay JC, Besnard Y, Guinet A, Travers S. Normo- and hypobaric 521 hypoxia: are there any physiological differences? *Eur J Appl Physiol* 89: 122-126, 2003.
- 522 36. Schmidt W, Prommer N. The optimised CO-rebreathing method: a new tool to determine total haemoglobin mass routinely. *Eur J Appl Physiol* 95: 486-495, 2005.
- 524 37. Schmidt W, Prommer N. Impact of alterations in total hemoglobin mass on VO 2max.
 525 *Exerc Sport Sci Rev* 38: 68-75, 2010.
- 38. Siebenmann C, Robach P, Jacobs RA, Rasmussen P, Nordsborg N, Diaz V, Christ A,
 Olsen NV, Maggiorini M, Lundby C. "Live high-train low" using normobaric hypoxia: a double-blinded, placebo-controlled study. *J Appl Physiol (1985)* 112: 106-117, 2012.
- Steiner T, Wehrlin JP. Does hemoglobin mass increase from age 16 to 21 and 28 in elite
 endurance athletes? *Med Sci Sports Exerc* 43: 1735-1743, 2011.
- 40. Stray-Gundersen J, Alexander C, Hochstein A, deLemos D, Levine BD. Failure of red
 cell volume to increase to altitude exposure in iron deficient runners. *Med Sci Sports Exerc* 24: S90, 1992.
- 534 41. Stray-Gundersen J, Chapman RF, Levine BD. "Living high-training low" altitude
 535 training improves sea level performance in male and female elite runners. J Appl Physiol
 536 (1985) 91: 1113-1120, 2001.
- 537 42. Stray-Gundersen J, Levine BD. Live high, train low at natural altitude. Scand J Med Sci
 538 Sports 18 Suppl 1: 21-28, 2008.

539 540 541	43. Wachsmuth NB, Volzke C, Prommer N, Schmidt-Trucksass A, Frese F, Spahl O, Eastwood A, Stray-Gundersen J, Schmidt W. The effects of classic altitude training on hemoglobin mass in swimmers. <i>Eur J Appl Physiol</i> 113: 1199-1211, 2013.
542 543 544	44. Wehrlin JP, Zuest P, Hallen J, Marti B. Live high-train low for 24 days increases hemoglobin mass and red cell volume in elite endurance athletes. <i>J Appl Physiol</i> 100: 1938-1945, 2006.
545 546	45. Wilber RL. Application of altitude/hypoxic training by elite athletes. <i>Med Sci Sports Exerc</i> 39: 1610-1624, 2007.
547 548	46. Wilber RL, Stray-Gundersen J, Levine BD. Effect of hypoxic "dose" on physiological responses and sea-level performance. <i>Med Sci Sports Exerc</i> 39: 1590-1599, 2007.
549	
550	
551	
552	FIGURE LEGENDS
553	
554	FIGURE 1. Illustration of the study design ($n = 15$).
555 556	FIGURE 2. Individual Hb _{mass} (g) for before (Pre) and after (Post) 18 d of LHTL in either
557	hypobaric or normobaric hypoxia, $n = 15$.
558 559	FIGURE 3. Individual hemoglobin mass (Hb _{mass}) changes (%) after 18 d of LHTL in
560	hypobaric hypoxia (HH, 312 h) or in normobaric hypoxia (NH, 225 h). The 95% limits (95%

CLs) are indicated by dotted lines.







Hypobaric Hypoxia



Downloaded from http://jap.physiology.org/ by 10.220.32.247 on May 22, 2017

Table 1 Likelihoods of magnitudes of hemoglobin mass (Hb_{mass}) changes between hypobaric hypoxia (HH) and normobaric hypoxia (NH) after 18-days LHTL camp and after matched hypoxic hours (230 h and 225 h).

Compared Groups		Parameter	Δ Mean (%)	90% CL	positive	trivial	negative
HH vs. NH	18-days LHTL	Hb _{mass} (g)	0.7	± 1.4	36%	61%	3%
HH vs. NH	Same hypoxic hours	Hb _{mass} (g)	1.4	± 2.3	61%	34%	5%

 Δ Mean = differences in mean, CL = confidence limits. With references to a smallest worthwhile change of 1% for Hb_{mass}. Comparison of groups always first group minus second group.