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Early biomarker response and patient preferences to oral and intramuscular vitamin B₁₂ substitution in primary care: a randomised parallel-group trial

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Summary

BACKGROUND: Vitamin B_{12} (VB12) deficiency can be treated with oral high-dose substitution or intramuscular (i.m.) injection of VB12. Whenever alternative routes of administration exist, patient preferences should be considered when choosing the treatment. We aimed to assess outpatient preferences towards oral or IM VB12 substitution and confirm noninferiority of early biomarker response with oral treatment, in a typical primary care population.

METHODS: Prospective randomised nonblinded parallel-group trial. Patients were recruited by their general practitioner and randomly assigned to oral or IM treatment. Group O-oral was given 28 tablets of 1000 μ g cyanocobalamin in a monthly punch card fitted with an electronic monitoring system. Group I-IM received four, weekly injections of 1000 μ g hydroxocobalamin. Blood samples were drawn before the first administration and after 1, 2 and 4 weeks of treatment, and analysed for VB12, holotranscobalamin (HoloTc), homocysteine (Hcy) and methylmalonic acid (MMA). For group O-oral, treatment adherence and percentage of days with \geq 2 dosing events were calculated. Before and after 28 days of treatment, patients were asked to fill in a questionnaire about their preference for the therapy options and associated factors.

RESULTS: Between November 2013 and December 2015, 37 patients (age: 49.5 ± 18.5 years; women: 60.5%) were recruited for oral (19) or IM (18) treatment. Baseline values with 95% confidence intervals for serum VB12, HoloTc, Hcy and MMA were 158 pmol/l [145-172], 49.0 pmol/l [40.4-57.5], 14.8 µmol/l [12.0-17.7] and 304 nmol/l [219-390], respectively, in group O-oral and 164 pmol/l [154-174], 50.1 pmol/l [38.7-61.6], 13.0 µmol/l [11.0-15.1] and 321 nmol/l [215-427], respectively, in group I-IM (not significant). After 1 month of treatment, levels of VB12 and HoloTc showed a significant increase compared with baseline (group O-oral: VB12 354 pmol/l [298-410] and HoloTc 156 pmol/l [116–196]; group I-IM: VB12 2796 pmol/l [1277–4314] and HoloTc 1269 pmol/l [103-2435]). Hcy and MMA levels showed a significant decrease compared with baseline (group O-oral: Hcy 13.8 µmol/l [10.7–16.8] and MMA 168 nmol/l [134–202]; group I-IM: Hcy 8.5 µmol/l [7.1–9.8] and MMA 156 nmol/l [121–190]). HoloTc and MMA levels were normalised in all patients after 4 weeks of treatment, whereas normalisation of VB12 and Hcy was reached by all patients in group I-IM only. Response of VB12, HoloTc and Hcy was more pronounced in group I-IM (p <0.01) and the primary hypothesis that oral VB12 treatment would be noninferior to IM treatment was rejected. Average adherence to therapy was 99.6 ± 1.1% and days with \geq 2 dosing events reached 5.6%. Before randomisation, preference was in favour of oral treatment (45.9%, n = 17) over IM administration (21.6%, n = 8). Twelve patients (32.4%) had no preference. Nine (24.3%) patients changed their preference after treatment. Patients who obtained their preferred route of administration maintained their preference in the case of oral treatment and changed their preference after IM treatment.

CONCLUSIONS: Differences in VB12 levels between groups were higher than expected. Therefore, noninferiority of oral treatment had to be rejected. However, normalisation of HoloTc and MMA was reached by all patients after a 1-month treatment period. The clinical benefit of the exaggerated biomarker response after IM treatment within a typical primary care population is questionable. Midterm biomarker effects and patient preferences should be considered when a therapeutic scheme is chosen. Initial rating in favour of either IM or oral therapy can change over time and justifies repeated re-evaluation of patient preferences. (ClinicalTrials.gov ID NCT01832129)

Background

Depending on the definition used, prevalence of vitamin B_{12} (VB12) deficiency is 8–16% and 5–40% in adults (26–64 years) [1] and the elderly [2], respectively. However, the true prevalence of VB12 deficiency in the general population is still uncertain, but it is known to rise with age, probably because of impaired absorption [3, 4].

Causes of VB12 deficiency can be divided into nutritional [5, 6], malabsorption syndromes and other gastrointestinal causes [5]. Pernicious anaemia typically presents with haematological signs and is associated with antibodies to intrinsic factor and/or gastric parietal cells, but accounts for only a small proportion of the observed cases of VB12 deficiency [7]. Furthermore, defective transport mechanisms due to genetic factors account for a very small proportion of cases [8]. Long-term treatment with acid-lowering agents [9, 10] and metformin [11] may also play a role in the development of VB12 deficiency.

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Clinical symptoms of VB12 deficiency are numerous. Besides nonspecific symptoms such as tiredness and a loss of appetite, haematological manifestations (megaloblastic anaemia), neurological disorders (e.g., polyneuropathy, ataxia) and symptoms of a psychiatric nature (e.g., depression) are possible [8, 12]. Additionally, cardiovascular manifestations, which accompany hyperhomocysteinaemia, are described [13– 16]. Because VB12 deficiency is a reversible cause of demyelinating nervous system disease and bone marrow failure, its early detection and treatment are important [12].

The indications for VB12 supplementation is VB12 deficiency of various causes (e.g., pernicious anaemia, gastrectomy, dietary deficiency) [12]. In addition, preventive treatment should be initiated in pure vegetarians, pregnant women on a Mediterranean diet, patients with gastric surgery and nitrous oxide exposure [17]. However, there are no official threshold concentrations for initiating treatment. Biochemically, VB12 deficiency is characterised by subnormal to borderline serum VB12 levels. Holotranscobalamin (HoloTc) is the bioactive form of VB12 and has been discussed controversially as a more specific and sensitive marker of VB12 deficiency [18-20]. Functional VB12 deficiency is characterised by an increase of methylmalonic acid (MMA) and/or homocysteine (Hcy). Functional testing is recommended when VB12 deficiency is highly expected, levels of serum VB12 are moderately low (148-221 pmol/l), in patients with unexplained macrocytosis or unexplained neurological issues, and when VB12 deficiency is highly suspected to be a treatable cause of dementia [17]. Further laboratory findings are haematological abnormalities such as macrocytosis, pancytopenia and hypersegmented neutrophils. Haematological changes can be found in the more severe cases, whereas biochemical findings go in parallel with less specific clinical manifestations of VB12 deficiency. However, no clear-cut limits exist for the prediction of symptoms [12]. Subclinical VB12 deficiency occurs and is found in up to 10-25% of the aged population. Treatment of these patients is common, even though the long-term benefits of such treatment are unclear [17].

The treatment of VB12 deficiency consists of VB12 supplementation, which can be either oral or by intramuscular (IM) injection. Patients with severe VB12 deficiency should receive injections of 1000 µg VB12 at least several times per week for 1 to 2 weeks, then weekly until clear improvement is shown, followed by monthly injections [12, 17]. Initial oral treatment with high dose VB12 can be considered in patients with mild malabsorption or dietary deficiency [12]. Given the unpredictable absorption of oral VB12, in severe cases the oral route should be used only after the serum VB12 level has been normalised with parenteral treatment or when the response to the treatment is monitored frequently with measurement of serum VB12 and MMA [17]. Measurements for monitoring response to treatment after VB12 substitution comprise VB12 itself, its active fraction HoloTc and either Hcy or MMA as a functional marker (in the case of a mild form without haematological manifestations), and potassium, iron status, lactate dehydrogenase and bilirubin (in cases of VB12-associated anaemia).

In Switzerland, VB12 supplementation is predominantly given as IM injections [21], which are usually painful. No high-dose VB12 oral monopreparation is currently available, despite evidence of its effectiveness [22–24]. Good response to oral supplementation has been observed even in the presence of gastrointestinal diseases that are commonly associated with VB12 deficiency. One study showed that VB12 deficiency could even be reversed in patients who had undergone gastreectomy [25]. However, evidence for the effectiveness of oral high-dose VB12 substitution from randomised trials comparing oral and IM administration is limited [26].

Oral treatment with VB12 may be superior to IM injections in terms of patient acceptance and cost-effectiveness [27]. Patient preferences in treatment-related decisions should be elicited and taken into account, because patients who felt less empowered with regard to treatment decisions reported lower rates of adherence [28]. Finally, a better understanding of patient preferences and values for making choices is fundamental to achieving shared decision-making and ultimately improving adherence.

We aimed to assess outpatient preferences for VB12 supplementation by the oral or IM route, and to confirm noninferiority of early biomarker response with oral treatment in a typical primary-care population with biochemically defined VB12 deficiency.

Material and methods

This prospective, randomised, nonblinded parallel-group trial was approved by the ethics committee of the cantons Aargau and Solothurn, Switzerland, and has been registered at ClinicalTrials.gov ID NCT01832129. The study was conducted in accordance with the Declaration of Helsinki and follows the International Conference on Harmonisation Good Clinical Practice (ICH-GCP) guidelines. The primary hypothesis was that oral VB12 treatment would be noninferior to IM treatment in terms of serum VB12 response after 1 month of treatment. Patients were expected to prefer oral treatment over IM injections before and after treatment.

Participants

Recruitment was initiated at three general practitioner (GP) practices in the area of Olten, Switzerland. Eligible patients had a VB12 serum concentration <200 pmol/l, an indication for VB12 supplementation according to their GP's estimation, were \geq 18 years old and were able to give written informed consent. Exclusion criteria were: the concurrent intake of vitamin preparations containing VB12, previously diagnosed dementia, known hereditary transcobolamin transportation defects, or lack of written and/or oral understanding of German, French, Italian or English.

Recruitment

A letter with the patient information leaflet and a written informed consent form was given to patients whose physician had ordered a laboratory test for the biochemical confirmation of VB12 deficiency. Patients were asked to bring the informed consent form to their next scheduled visit with their GP, during which the results of the laboratory test would be discussed. Eligible patients were asked by their GP to participate in the study. Patients who gave written informed consent were randomly assigned to group O-oral daily treatment or to group I-IM conventional weekly treatment. Blocks of four were generated from computer software. Each GP practice received two blocks (four O-oral group and four I-IM group) each packed in sealed and unlabelled envelopes. Once a patient had consented, the GP or his staff opened one envelope to reveal which group of the study the patient had been randomised to. Upon request, further blocks were available.

Interventions

Patients of group O-oral were instructed to ingest one tablet of $1000 \,\mu g$ cyanocobalamin daily (B12 "Ankermann"; Wörwag Pharma GmbH & Co, Böblingen, Germany) for 28 consecutive days supplied in a 7x4 punch card with electronic adherence monitoring. Polymedication electronic monitoring system (POEMS) technology [29] was used to assess adherence to the oral VB12 intake. POEMS consists of a film with imprinted electronic components that measure the electrical resistance and record the time of its changes when a loop is broken, i.e., when a cavity is emptied. A first punch card fitted with POEMS was handed out for 14 days. A second identical punch card was handed out for a further 2 weeks at the third visit 2 weeks later. Patients were instructed to return the punch cards for pill count and for the extraction of the electronic adherence data. Patients of the group I-IM received conventional supplementation with weekly injections of 1000 µg hydroxocobalamin (Vitarubin® Depot 1000 µg / 1ml; Streuli Pharma AG, Uznach, Switzerland, mixed with Lidocaine 1% 1 ml before injection). The treatment options were not blinded.

Adherence outcomes

For each patient in the group O-oral, we calculated two adherence rates: adherence with pill count, defined as the percentage of days with performed intakes divided by the days with prescribed intakes, and dosing irregularities, defined as the percentage of days with ≥ 2 dosing events from the POEMS data.

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Biomarker assessment

Venous blood samples were drawn before the first administration (V0), and after 1 (V7), 2 (V14), and 4 weeks of treatment (V28). Blood samples were analysed by means of immunological assays on a Beckman Coulter DxC 860i (VB12), Roche cobas® 6000 (homocysteine, folic acid), and Abbott Architect i2000SR (holotranscobalamin). Methylmalonic acid was measured with liquid chromatography mass spectrometry (LC-MS/MS) on a Thermo Scientific UltiMate 3000 Rapid Separation LC coupled to an AB Sciex 5500 TripleQuad MS. Blood cell count was determined on a Beckman Coulter DxH 800. Normalisation of VB12-associated biomarkers was defined as a serum VB12 >258 pmol/l, HoloTc >37 pmol/l, Hcy <15 π mol/l and MMA <270 nmol/l. GPs were informed about biomarker levels after V28.

Patient preferences

Preferences were determined before block randomisation (V0) and after 4 weeks of treatment (V28), with use of a scenario-based approach. Patients were asked to select treatment by ticking tablets, syringes or no preference in a questionnaire, given that oral and IM substitution was equally effective. The questionnaire consisted of nine items focusing on factors influencing preference: pain, disgust, side effects, effectiveness, inconvenience, difficulties, time consumption, costs, and nonadherence to treatment schedule. Each item was to be answered twice for each therapy option (oral and IM). Answers could be given on a 10-point Likert scale.

Sample size

Sample size estimation was based on assumptions regarding outcomes after 4 weeks. Patients were expected to display baseline VB12 concentrations of 100–150 pmol/l. Based on published data, patients reach levels of approximately 600 pmol/l with an estimated standard deviation of 120 pmol/l after treatment. A difference of ≤ 100 pmol/l between levels after intramuscular or oral supplementation was deemed acceptable for noninferiority, on the presumption that this difference is clinically meaningless.

With the hypothesis that there is no difference between the groups, 50 patients were required to show with 90% confidence that the lower limit of a one-sided 95% confidence interval will be above the noninferiority limit of 100 pmol/l.

Statistical analysis

Values are given as mean ± standard deviation (SD), median with quartiles and percentages where appropriate. Frequencies were analysed using chi-square tests or Fishers test. The Mann-Whitney test was used to compare numerical variables between two groups and the Kruskal-Wallis test was used to compare between three groups. Spearman's r was calculated to assess correlations between numerical variables and interpreted with the following criteria: 0-0.25 = little or no correlation; 0.26-0.50 = small correlation; 0.51-0.75 = moderate to good correlation, and >0.75 = very good to excellent correlation. A p-value ≤0.05 was considered significant.

Results

Between November 2013 and December 2015, 37 patients (age 49.5 \pm 18.5 years; 60.5% women) were recruited for oral (n = 19) or IM (n = 18) treatment. No patient reported any harms or side effects during the study period. Recruitment was terminated after an anticipated analysis showed sufficient biomarker response for both treatment options and an

enormous difference between groups in mean VB12 values at V28 (2442 pmol/l), leading to the rejection of the primary noninferiority hypothesis for oral treatment. *Post-hoc* sample size estimation was based on VB12 outcomes at V28. Patients in group O-oral and group I-IM had VB12 levels of approximately 350 pmol/l with a standard deviation of 120 pmol/l and 2700 pmol/l with a standard deviation of 2700 pmol/l, respectively. With the hypothesis that there is a difference between the groups, a total of 28 patients were required to ensure that the 90% confidence interval includes the true difference between groups with an α of 5%. The baseline characteristics were equally distributed between the two treatment groups (table 1).

The study population contained patients with the following diagnosis or risk factors associated with VB12 deficiency: pernicious anaemia (n = 2), metformin intake (n = 2), use of acid lowering drugs (n = 4), vegetarian or low dietary intake of VB12-containing food (n = 18), diagnosed alcohol abuse or daily intake of alcohol (n = 4), and gastric stapling (n = 1). No established risk factor for VB12 deficiency was identified in six patients. Distribution of risk factors was balanced between the groups (data not shown).

A total of 38 electronic punch cards containing oral treatment were delivered, one of which was not returned (excluded from analysis) and 13 only partially detected the removals because of technical problems. From the 518 expected events, 356 were recorded (31.3% missed data). Days with ≥ 2 dosing events occurred in 5.6% of all recordings. Average treatment adherence by pill count was 99.6% $\pm 1.1\%$

Blood counts and levels of VB12, HoloTc, Hcy, MMA, folic acid, sodium, potassium, creatinine and liver enzymes did not differ at baseline (V0) between groups (table 1). Levels of VB12 and HoloTc were significantly increased at V7, V14 and V28 compared with baseline for both groups (p < 0.01) (table 2). For group I-IM at each assessment point, VB12 and HoloTc response was significantly higher (p < 0.01, fig. 1) and the level of Hcy was significantly more reduced (p < 0.01) compared with group O-oral. Reduction of Hcy levels compared with baseline was significant at V7 for group I-IM and at V28 for both groups (group O-oral p < 0.05; group I-IM <0.01). MMA levels were significantly decreased compared with baseline at V7 for both groups (p < 0.01) and did not differ between groups (fig. 1). Blood count and folic acid levels did not change significantly between V0 and V28 in both groups (data not shown).

After 28 days of treatment, in group O-oral normalised VB12 levels were reached by 16 (84.2%) patients, normal Hcy levels by 14 (73.9%) patients and normal HoloTc and MMA levels by 19 (100%) patients (fig. 2). After 28 days of treatment in group I-IM, all 18 patients (100%) had normal VB12, HoloTc, Hcy and MMA levels. Percentage of patients with a normalisation of all biomarkers at V28 was significantly higher in group I-IM compared with group O-oral (100% vs 63.2%, p <0.05).

At V0, VB12 or HoloTc did not correlate with Hcy or MMA within the study population. Within group O-oral a non-significant small correlation between Hcy levels and VB12 was found at V7 (r = -0.369; p = 0.12), V14 (r = -0.388; p = 0.10) and V28 (r = -0.341; p = 0.15) and between Hcy and HoloTc at V14 (r = -0.397; p = 0.10) and V28 (r = -0.392; p = 0.10). Levels of VB12 or HoloTc did not correlate with MMA. Within group I-IM a moderate correlation was found for VB12 and Hcy levels at V7 (r = -0.725; p < 0.001), V14 (r = -0.507; p < 0.05) and a small nonsignificant correlation at V28 (r = -0.254; p = 0.38). Levels of HoloTc and Hcy did not correlate nor did levels of MMA correlate with VB12 or HoloTc levels. Correlation between Hcy and reatinine levels was moderate for the whole study population at V0 (r = 0.522; p < 0.001) and for the group O-oral at V28 (r = 0.491; p < 0.05). A high correlation was observed for group I-IM at V28 (r = 0.713, p < 0.001).

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Table 1: Baseline characteristics in patients receiving oral or IM vitamin B ₁₂ substitution (n = 37).							
	Normal values		Group O-oral (n = 19)	Group I-IM (n = 18)	p-value		
Women (n)		% (n)	68.4% (n = 13)	55.6% (n = 10)	0.508		
Age (years)		Mean ± SD	47.3 ± 17.8	51.5 ± 19.6	0.543		
Body mass index (kg/m ²)		Mean ± SD	27.3 ± 7.00	25.6 ± 4.20	0.715		
Vitamin B ₁₂ (pmol/l)	>258	Mean ± SD Median (quartiles)	158 ± 27.4 164 (135/177)	164 ± 20.1 161 (152/178)	0.578		
Holotranscobalamin (pmol/l)	>37	Mean ± SD Median (quartiles)	49.0 ± 17.7 49.9 (32.1/63.2)	50.1 ± 23.0 43.1 (35.8/65.5)	0.940		
Homocysteine (µmol/l)	<15	Mean ± SD Median (quartiles)	14.8 ± 5.80 13.2 (10.9/16.7)	13.0 ± 4.10 13.4 (9.6/16.7)	0.408		
Methylmalonic acid (nmol/l)	<270	Mean ± SD Median (quartiles)	304 ± 172 284 (160/379)	321 ± 183 249 (183/332)	0.757		
Haemoglobin (g/l)	120–160 (women) 135–175 (men)	Mean ± SD Median (quartiles)	138 ± 13.1 136 (127/145)	136 ± 11.8 137 (127/141)	0.822		
Mean corpuscular volume (fl)	85–101	Mean ± SD Median (quartiles)	91.7 ± 6.40 91 (89/96)	93.7 ± 6.10 93 (90/95)	0.663		
Mean corpuscular haemoglobin (pg)	28–33	Mean ± SD Median (quartiles)	30.7 ± 2.10 31 (30/32)	31.2 ± 2.00 31 (30/32)	0.799		
Folic acid (nmol/l)	9.1-42.4	Mean ± SD Median (quartiles)	16.2 ± 5.80 16.6 (11.8/18.4)	17.6 ± 5.80 16.7 (13.7/22.0)	0.558		
Sodium (mmol/l)	136–145	Mean ± SD Median (quartiles)	140 ± 1.9 140 (139/141)	140 ± 1.7 141 (139/141)	0.775		
Potassium (mmol/l)	3.5–5.1	Mean ± SD Median (quartiles)	4.6 ± 1.0 4.2 (4.1/4.5)	4.2 ± 0.3 4.2 (4.0/4.3)	0.298		
Creatinine (µmol/l)	49–90 (women) 64–104 (men)	Mean ± SD Median (quartiles)	74 ± 14.1 72 (64/83)	74 ± 12.7 73 (65.25/79.5)	0.916		
Alanine aminotransferase (U/I))	<55	Mean ± SD Median (quartiles)	8.0 ± 8.6 8.0 (0/11)	6.6 ± 5.5 6.5 (0/12)	0.964		
Aspartate aminotransferase (U/I)	5–34	Mean ± SD Median (quartiles)	25.9 ± 5.9 24 (21/32)	22.6 ± 3.9 22 (20/25)	0.105		
Gamma-glutamyltransferase (U/I)	9–46	Mean ± SD Median (quartiles)	39.2 ± 53.2 15 (10/46)	19.5 ± 10.5 17.5 (10/23.75)	0.916		
SD = standard deviation	•	•	•	•	•		

Table 2: Serum concentrations of vitamin B₁₂, holotranscobalamin, homocysteine and methylmalonic acid at baseline (V0) and after 7, 14 and 28 days of treatment (V7, V14 and V28).

		Mean concentration (95	Mean concentration (95% confidence interval)					
	Group	V0	V7	V14	V28			
VB 12 (pmol/l)	O-oral	158 (145–172)	304 (250–357)	319 (284–355)	354 (298–410)			
	I-IM	164 (154–174)	1088 (934–1236)	1897 (1219–2574)	2796 (1277–4314)			
	p-value	0.58	<0.001	<0.001	<0.001			
HoloTc (pmol/l)	O-oral	49.0 (40.4–57.5)	148 (109–187)	144 (115–173)	156 (116–196)			
	I-IM	50.1 (38.7–61.6)	244 (200–288)	495 (373–617)	1269 (103–2435)			
	p-value	0.94	<0.01	<0.001	<0.001			
Hcy (µmol/l)	O-oral	14.8 (12.0–17.7)	14.6 (11.7–17.3)	14.3 (11.4–17.3)	13.8 (10.7–16.8)			
	I-IM	13.0 (11.0–15.1)	9.5 (8.2 – 11.0)	9.0 (7.4–10.7)	8.5 (7.1–9.8)			
	p-value	0.41	<0.001	<0.001	<0.001			
MMA (nmol/l)	O-oral	304 (219–390)	188 (148–227)	187 (151–223)	168 (134–202)			
	I-IM	321 (215–427)	172 (135–208)	161 (127–197)	156 (121–190)			
	p-value	0.76	0.53	0.16	0.51			
Hcy = homocysteine; HoloTc = holotranscobalamin; MMA = methylmalonic acid; VB12 = vitamin B ₁₂								

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Figure 1: Biomarker levels (mean and 95 confidence intervals [CI]) at baseline (Visit 0), Visit 7, Visit 14 and Visit 28 for group O-oral treatment (●) and group I-IM treatment (►). Grey areas indicate subtherapeutic levels, dotted lines indicate threshold values for biomarker normalisation. (A) Mean serum vitamin B₁₂ (VB12) levels, dotted line at 258 pmol/l; (B) mean holotranscobalamin (HoloTc) levels, dotted line at 37 pmol/l; (C) mean homocysteine (Hcy) levels, dotted line at 15 π mol/l; (D) mean methylmalonic acid (MMA) levels, dotted line at 270 nmol/l.

Before randomisation, 17 patients preferred oral treatment (45.9%) and eight patients preferred IM treatment (21.6%). Twelve patients (32.4%) had no preference. Concerns were compared between patients grouped by preference. For the patients who preferred tablets, therapy with syringes would raise more concerns about the pain (p = 0.001), disgust (p = 0.004), side effects (p = 0.017), inconvenience (p = 0.001), difficulties (p = 0.001) and time consumption (p = 0.001). Patients preferring IM treatment indicated their concerns about forgetting to take the medicine regularly (addressed as nonadherence to treatment schedule) (p = 0.018), inconvenience (p = 0.024) or higher time consumption when taking tablets (p = 0.001) (table 3).

Nine patients (24.3%) changed their preference after treatment. Of the patients who were allocated to the nonpreferred administration group, 20% changed their mind regardless of whether they were exposed to oral or IM treatment: patients who received oral treatment changed their mind in favour of oral treatment (100%) and patients receiving IM treatment changed their mind in both direction at V28. Patients who preferred oral treatment and were assigned to group O-oral maintained their preference (100% congruence). Patients who preferred IM treatment and obtained parenteral treatment changed their preference at V 28 (0% congruence, see figure S1 in the appendix).



Figure 2: Proportion of patients with normalised VB12-associated biomarkers after 28 days of treatment administered by the oral (n = 19; white bar) or IM route (n = 18; grey bar).

* indicates significant difference (p< 0.05). Hcy = homocysteine; HoloTc = holotranscobalamin; MMA = methylmalonic acid; VB12 = vitamin B₁₂ Table 3: Patients' answers to nine items known to influence treatment preference, before randomisation. Answers are sorted according to the first question "Which treatment do you prefer?" tablets / syringes / no preference. Each item was to be answered twice for therapy with syringes and with tablets. The higher the score the higher the anticipated effect of the corresponding item on a Likert scale 1–10. Data are given as mean ±SD. A p-value <0.05 indicates a significant difference between the group with the highest values versus the group with the lowest values.

Item		Prefers tablets (n = 17)	Prefers syringes (n = 8)	No preference (n = 12)	p-value between three groups		
Pain	Syringes	5.7 ± 2.0	3.1 ± 1.6	2.6 ± 1.2	0.001		
	Tablets	1.0 ± 0.0	2.1 ± 2.2	1.1 ± 0.3	0.101		
Disgust	Syringes	5.9 ± 2.4	3.1 ± 2.2	3.2 ± 2.4	0.004		
	Tablets	3.1 ± 1.7	4.6 ± 2.3	2.5 ± 1.4	0.078		
Side effects	Syringes	4.2 ± 2.6	2.1 ± 1.8	2.3 ± 1.5	0.017		
	Tablets	2.4 ± 1.3	2.8 ± 2.5	2.3 ± 1.5	0.950		
Effectiveness of the treatment	Syringes	8.4 ± 1.5	9.0 ± 1.1	8.8 ± 1.3	0.740		
	Tablets	7.8 ± 2.2	6.9 ± 2.3	8.0 ± 1.5	0.454		
Inconvenience	Syringes	6.8 ± 1.8	2.6 ± 1.4	2.3 ± 1.2	0.001		
	Tablets	2.7 ± 1.5	4.9 ± 2.4	2.3 ± 2.0	0.024		
Difficulties	Syringes	4.7 ± 2.7	1.8 ± 1.8	1.4 ± 0.7	0.001		
	Tablets	1.7 ± 1.7	1.9 ± 1.6	1.3 ± 0.6	0.808		
Time consumption	Syringes	7.0 ± 2.6	4.1 ± 2.8	2.4 ± 1.0	0.001		
	Tablets	1.2 ± 0.5	2.6 ± 0.9	1.3 ± 0.7	0.001		
Costs	Syringes	4.5 ± 2.6	3.9 ± 3.1	3.5 ± 2.7	0.503		
	Tablets	2.8 ± 1.7	2.6 ± 1.8	2.4 ± 2.7	0.504		
Nonadherence to treatment schedule	Syringes	3.3 ± 2.6	3.8 ± 2.8	2.6 ± 2.6	0.390		
	Tablets	2.4 ± 2.6	4.3 ± 1.7	2.7 ± 2.9	0.018		

Discussion

In our study, levels of VB12 and HoloTc were significantly increased and levels of Hcy and MMA significantly decreased after 28 days of treatment with high-dose VB12 administered by either the oral or IM route. These findings are in line with other trials, of which two assessed the effect of oral high-dose VB12 substitution (1000 μ g cyanocobalamin) vs placebo [24, 30], whereas three other randomised, controlled trials compared cyanocobalamin therapy administered orally (1000– 2000 μ g) or parenterally (1000 μ g cyanocobalamin) [22, 23, 31].

In contrast to prior studies, we observed an exaggerated response after IM administration and therefore the hypothesis for noninferiority of oral in comparison to IM treatment had to be rejected. Because we used electronic punch cards and monitored an almost perfect intake of tablets (99.6% adherence), nonadherence can be ruled out as a contributor to the less pronounced response of VB12, HoloTc and Hcy in patients following oral administration. Thus, the enormous difference must have a chemical or physiological explanation. One reason might be the use of hydroxocobalamin, a physiological intermediate form that is more available to cells than other cobalamin forms [32]. In children with VB12 deficiency, one injection of 400 µg hydroxocobalamin resulted in improvement in motor function and cobalamin repletion [33]. Additionally, hydroxocobalamin is retained in plasma longer than equivalent doses of cyanocobalamin, which allows less frequent dosing. However, owing to its low stability, hydroxocobalamin is less suited for oral supplementation, whereas cvanocobalamin is best suited for oral supplementation as it is a more stable and inexpensive [34]. Surprisingly, sustainability of biomarker response after IM hydroxocobalamin administration has been poorly described. In one study among 8 patients with VB12 levels below 80 pg/ml (59.4 pmol/l), VB12 levels between 300 and 1100 pg/l (221-812 pmol/l) were obtained 10 days after the injection of 1000 µg hydroxocobalamin. The levels fell below 200 pg/l (148 pmol/l) between 4 and 10 weeks later [35]. In our study, wide interindividual variation in VB12 and HoloTc responses was observed within the IM group, which corresponds to the wide interindividual variations in hydroxocobalamin pharmacokinetics reported by others [36, 37].

To our knowledge, there are no reports of high levels of VB12 and HoloTc with daily oral VB12 substitution over a longer treatment period similar to those we observed after IM treatment. In one study with high-dose oral substitution of VB12 for 3 months, patients with initially low levels of VB12 ($186 \pm 56 \text{ pmol/l}$) reached higher VB12 levels (mean 477 pmol/l) than we observed after 28 days of treatment, albeit levels of HoloTc (183 pmol/l), Hcy (13.4 µmol/l) and MMA (0.23 µmol/l) were comparable to the levels we observed after 7 days (HoloTc and MMA) and after 28 days (Hcy) of oral treatment [30].

Continuation of treatment for up to 6 months did not result in additional significant changes in VB12, HoloTc, Hcy and MMA [30]. A further study observed a plateau in serum VB12 levels with a mean of 1164 pg/ml (858 pmol/l) after 3 months when patients received a loading dose of 1000 µg hydroxocobalamin and a subsequent 18 months of treatment with 1000 µg oral cyanocobalamin [38]. These findings suggest that continuous oral treatment with high dose VB12 reaches saturation in serum VB12 levels after 3 months of treatment. In our study, three patients did not have normal levels of VB12 after oral treatment. However, two out of those three patients had VB12 levels above the normal range at V14, which slightly decreased afterwards. In the absence of any rational explanations (e.g., patients did not stop treatment prematurely), results indicate that time to VB12 saturation after therapy and the level of saturation may vary between patients. The other patient responded slowly to oral substitution, probably owing to the underlying cause of VB12 deficiency, which was gastric stapling (VB12 levels at V0 and V28: 108 pmol/l and 182 pmol/l, respectively). Furthermore, the active part of vitamin B12, HoloTc, was normalised in all patients at V28. Pernicious anaemia could be another explanation for nonresponse in the O-oral group. However, because all patients had some kind of response or a physiological rationale for a slower response (i.e. gastric stapling), this explanation is very unlikely.

Five patients in the oral group did not reach normalised Hcy levels at V28. However, there is as yet no agreement on normal ranges for VB12-associated biomarkers [39]. Hcy lacks specificity, and response may be confounded by folic acid and vitamin B_6 deficiency, as well as by renal insufficiency, liver insufficiency and genetic abnormalities. Additionally, Hcy levels are influenced by lifestyle factors, such as consumption of coffee, alcohol and tobacco [40]. The incomplete normalisation of Hcy levels in our cohort could be explained in two patients with folic acid deficiency or diagnosed renal insufficiency, respectively. Additionally, renal function might have affected normalisation in other patients as well, indicated by the correlation between Hcy and creatinine. Compared with IM treatment, decrease in Hcy levels was slower with oral treatment. Other studies have also found Hcy levels to respond slowly to oral treatment [24], with a trend to further decrease over a period of 18 months [38].

A negative concentration-effect relationship was observed for Hcy and VB12 in the group I-IM. A trend towards similar correlation between low Hcy levels and high VB12 levels was observed for group O-oral. A stronger concentration-effect relationship may be observed in patients with a more pronounced deficiency of VB12-associated biomarkers. Before drawing conclusions on concentration-effect relation-

ship between VB12 and Hcy, results should be verified in a bigger sample of anaemic patients with consideration of further factors such as renal function and lifestyle.

Interestingly, the functional biomarkers MMA and Hcy did not respond consistently in either group. Patients in both groups reached normal MMA levels at V7. Hcy levels in the I-IM group were decreased at V7 too, whereas levels in O-oral-group were decreased at V28, and significantly higher at each assessment point compared with the I-IM group. The different enzyme systems converting Hcy and MMA in the human body might explain the observed difference in functional biomarker response between groups. *In vitro* studies showed that hydroxocobalamin induces the activation of one of these enzyme systems (methionine synthase) more strongly and quickly than cyanocobalamin [41].

In summary, supratherapeutic levels were observed for VB12 and HoloTc after the IM treatment with hydroxocobalamin, which might never be reached through oral substitution. Additionally, normalisation of all biomarkers was significantly higher in group I-IM compared with group O-oral (100% vs 63.2%, p<0.05). However, incomplete response in group O-oral was limited to VB12 and Hcy. Therefore, the benefit of such an exaggerated response after IM injection seems limited to a practical advantage in the form of fewer administrations, i.e. longer treatment intervals and in the case of symptomatic patients needing rapid normalisation of VB12-associated biomarkers. A large prospective randomised controlled trial comparing high-dose oral with intramuscular cyanocobalamin in elderly patients is currently underway (PMID: 22650964, NCTNCT 01476007). This study is expected to report on long-term oral and IM VB12 substitution (8, 26 and 52 weeks). However, no reports on short-term biomarker response or patient preferences are expected. Further studies are required to assess the effects of different cobalamin forms on biomarker response and on clinical outcomes. Accordingly, observed differences between cobalamin forms should be incorporated into guidelines for treatment of VB12 deficiency.

As expected, patients preferred oral treatment to IM treatment, before the assignment to treatment as well as after treatment completion. Our findings are in line with reports from two studies on patient preferences in relation to oral VB12 treatment [38, 42]. In a study in primary care, 83% of patients preferred oral to IM treatment [38]. In another study involving patients receiving VB12 as injection and willing to try oral administration, the majority were satisfied with the switch and wished to remain permanently on oral therapy. Important factors for switching to oral therapy were the disadvantage of injections and their association with many visits to their healthcare providers, higher costs and the convenience of oral treatment [42]. We also found time consumption and inconvenience of IM treatment as important factors in favour of oral treatment.

There was a slight change in patient preferences after receiving oral therapy (n = 2, 10.5%), whilst changes occurred only in favour of oral treatment. This may indicate that patients appreciate the route of administration more after experiencing oral treatment at first hand. After experiencing IM treatment, 11 patients (38.9%) changed their preference in various directions. This is interesting in view of the pretreatment attitudes towards important factors associated with patient preferences, regarding a therapy with syringes (n = 6) and regarding a therapy with tablets (n = 3). These findings suggest that patients may have more prejudice regarding syringes, which may explain the numerous and various changes after experiencing IM treatment.

Given the exaggerated response after IM treatment, the required frequency of injections with hydroxocobalamin in clinical practice may be lower in patients with mild VB12 deficiency, which may augment the preference for IM administration. Additional research with validated methods is needed to gain an insight into the patient preferences, especially when therapeutic options with comparable efficacy and safety are available.

Limitations of the study include the fact that we enrolled patients mostly without haematological symptoms and not necessarily abnormal functional biomarkers. Therefore, patients in our study were less likely to respond to VB12 substitution, which affects our ability to generalise our results to a symptomatic, anaemic, VB12-deficient population. Second, the questionnaire we used to assess patients' preferences consisted of re-used questions from several assessment tools but was not validated completely as an entity. Nevertheless, the single questions can be judged valid for retrieving patient preferences. Additionally, we did not assess patient preferences for maintenance therapy and therefore cannot evaluate whether preferences would change in this long-term situation. However, it seems justified to re-evaluate treatment 1 month after initiation, irrespective of treatment schedule as received treatment is strongly suspected to influence attitudes and ultimately patient preferences. Third, we had a high proportion of technical issues leading to a loss of one third of the electronically gained adherence data; therefore, days with more dosing events might have occurred more frequently, as suggested by our calculations. However, this technical drawback had no impact on the calculation of adherence based on the conventional pill count method. Last, we stopped the study prematurely after an anticipated analysis and thus obtained a small sample size. However, the normalised levels reached in both groups did not justify continuing the study since the hypothesis was rejected with the small number of patients.

Conclusion

Differences in VB12, HoloTc and Hcy levels between groups were higher than expected. Therefore, the hypothesis of noninferiority of oral treatment had to be rejected. However, HoloTc and MMA were normalised in all patients and VB12 and Hcy in the majority of patients within group O-oral after 1 month of treatment. The clinical benefit of the exaggerated biomarker response after IM treatment within a typical primary care population is questionable. Midterm biomarker effects and patient preferences should be considered in the choice of therapeutic scheme. Initial rating in favour of either IM or oral therapy can change over time and justifies repeated re-evaluation of patient preferences. However, the majority of patients preferred oral treatment before and after the study, indicating the need for a high-dose oral VB12 preparation in Switzerland. Further research may help to evaluate which route of administration, oral vs IM, of long-term VB12 treatment, will be appropriate to yield a sustained biomarker response.

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Competing interests

The authors declare that they have no conflicts of interest.

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Appendix: Supplementary figure



Figure S1: Patients preferences before and after 28 days of treatment administered by the oral (n = 19) or IM route (n = 18).

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