

# Challenges for Brain Repair: Insights from Adult Neurogenesis in Birds and Mammals

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## Key Words

Birds · Mice · Adult neurogenesis · Regeneration · Stem cells

## Abstract

Adult neurogenesis is a widespread phenomenon occurring in many species, including humans. The functional and therapeutic implications of this form of brain plasticity are now beginning to be realized. Comparative approaches to adult neurogenesis will yield important clues about brain repair. Here, we compare adult neurogenesis in birds and mammals. We review recent studies on the glial identity of stem cells that generate new neurons, the different modes of migration used by the newly generated neurons to reach their destinations, and how these systems respond to experimentally induced cell death. We integrate these findings to address how comparative analysis at the molecular level might be used for brain repair.

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## Introduction

Neurogenesis is a large-scale developmental phenomenon that, on a smaller scale, persists throughout adulthood in a wide range of species spanning the phylogenetic

tree, from insects to humans [Polenov and Chetverukhin, 1993; Cayre et al., 1996; Alvarez-Buylla and Kirn, 1997; Goldman, 1998; Gould et al., 1999a; Marcus et al., 1999; Schmidt and Harzsch, 2000; Font et al., 2001; Zupanc, 2001]. Both in embryogenesis and in adulthood, and across many species, similarities exist regarding the site of neuronal birth, identity of stem cells, factors regulating precursor proliferation, and the migration and differentiation of neurons. However, important differences also exist among species in the spatial distribution of adult-generated neurons, in their mode of migration, and phenotypic diversity. Studying the commonalities and differences of neurogenesis along the developmental and phylogenetic continuum promises to yield clues about the basic biology of adult neurogenesis and, therefore, also about its potential for brain repair. In this review, we will compare naturally occurring adult neurogenesis in avian and mammalian classes and how these systems respond to experimentally induced cell death. We will also discuss recent concepts emerging from developmental studies to speculate on future paths towards regeneration of adult brains.

## Similar Origins but Different Destinations

As during embryogenesis, adult neurogenesis occurs in regions adjacent to the ventricles, in birds in the ventricular zone (VZ) [Goldman and Nottebohm, 1983; Alvarez-

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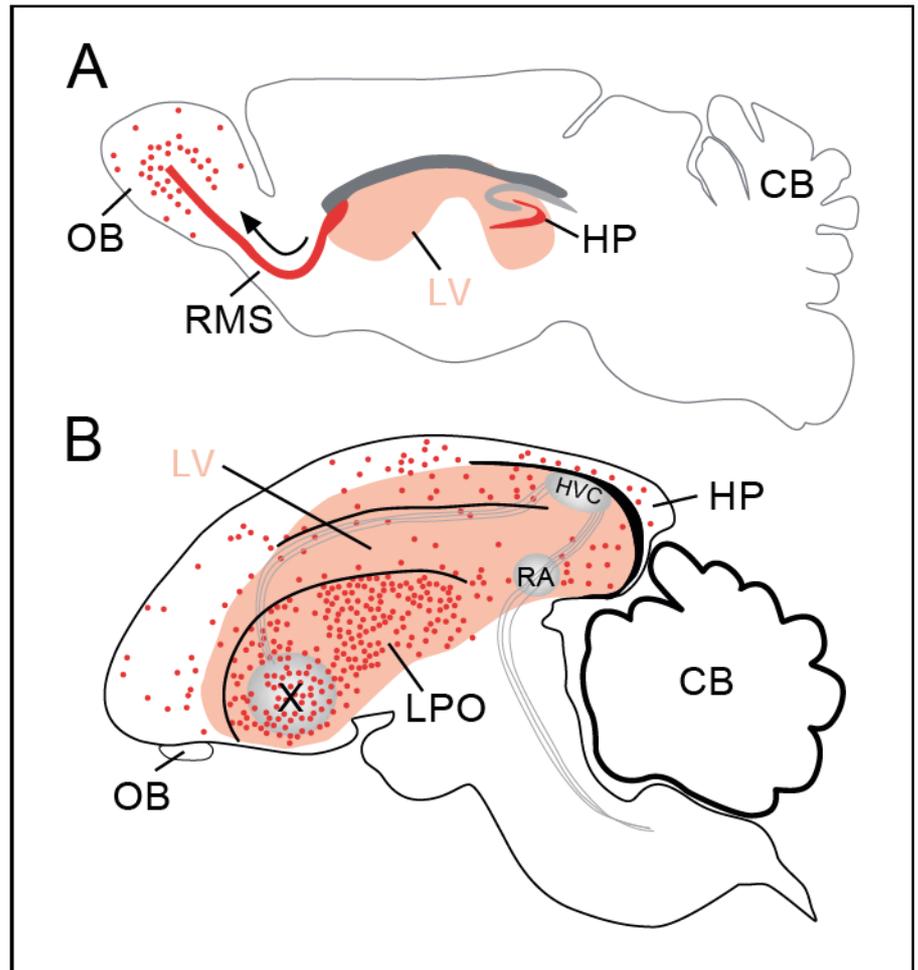
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**Fig. 1.** Sagittal schematic overview of neurogenesis in the adult rodent and avian brain. **A** In mice, high levels of neurogenesis occur in the subventricular zone (SVZ; orange) and the hippocampus (HP), where most neurons are incorporated into the dentate gyrus (red). Neuroblasts born throughout the SVZ of the lateral wall of the lateral ventricle (LV) migrate along the rostral migratory stream (RMS) to the olfactory bulb (OB), where they differentiate into inhibitory interneurons (red dots). The cerebellum (CB) does not appear to incorporate new neurons in adulthood. **B** In birds, new neurons are born in the ventricular zone (orange) of the lateral ventricle (LV). From there, they disperse widely and differentiate into neurons throughout many regions of the forebrain (red dots). Particularly high levels of neuronal incorporation occur in the lobus parolfactorius (LPO), which forms part of the avian basal ganglia. Nucleus HVC of the circuit that controls song learning and production also receives a higher proportion of new neurons than surrounding areas. HVC sends projections to Area X (X) within LPO and also towards the robust nucleus of the archistriatum (RA), which is pre-motor in nature. No new neurons incorporate into either the adult RA or the cerebellum.



Buylla and Nottebohm, 1988; Alvarez-Buylla et al., 1998], and in mice in the subventricular zone (SVZ) [García-Verdugo et al., 1998] (fig. 1). Specialized cases are the rodent hippocampal neurogenic subgranular zone, which is originally derived from the SVZ, but in adults is no longer contiguous with the ventricle [Altman and Das, 1965; Altman and Gopal, 1965; Altman and Bayer, 1990a, b]; and neurogenic zones near the midline in the molecular layer of various subdivisions of the cerebellum in adult teleost fish [Zupanc, 2001]. Precursors in these germinal regions divide to give rise to neurons that migrate to their ultimate destinations where they differentiate into mature neurons of distinct phenotypes.

Despite a common origin, neurons born in adulthood disperse into different brain regions in different species. In cold-blooded vertebrates and birds, new neurons incorporate into many regions throughout the adult telencephalon [Alvarez-Buylla and Nottebohm, 1988; see also

reviews by Font et al., 2001; and Zupanc, 2001], whereas only the adult olfactory bulb and hippocampus are now widely acknowledged to incorporate neurons in all mammals studied so far [Altman and Das, 1965; Altman, 1969; Gould and Cameron, 1996; Gould et al., 1997; Eriksson et al., 1998; Gage et al., 1998; Gould et al., 1999a; Kornack and Rakic, 1999, 2001a; Rietze et al., 2000; Pencea et al., 2001a], despite initial reports to the contrary [Rakic, 1985; Eckenhoff and Rakic, 1988] (fig. 1). Outside of these neurogenic regions, low levels of ongoing adult neurogenesis might occur, but evidence is contradictory. In rodents and cats, few or no adult born neurons have been found in the neocortex [Altman, 1963; Kaplan, 1981; Magavi et al., 2000], whereas in primates reports vary from no to considerable amounts of adult neurogenesis [Gould et al., 1999b; Gross, 2000; Nowakowski and Hayes, 2000; Kornack and Rakic, 2001b].

## Different Modes of Migration Serve Different Destinations

During brain histogenesis, newly generated neurons utilize different modes of migration to reach their final location: radial glial-guided migration, somal translocation, and tangential migration. During radial glial-guided migration, neurons migrate away from their birthplace along the fibers of radial glia [Rakic, 1972, 1995; Hatten, 1993], whose cell bodies are located in the VZ and whose processes span the width of the developing brain and end on the pial surface [Schmechel and Rakic, 1979a; Gadiseux et al., 1989]. In somal translocation, a neuron moves towards the surface of the brain without radial glial guidance through its own radial process that is in contact with the surface of the brain [Morest, 1970; Miyata et al., 2001; Nadarajah et al., 2001]. Radial migration has been proposed to be important for the formation of functional radial units in the brain [Gray et al., 1988; Rakic, 1988; Noctor et al., 2001]. In contrast, tangential migration allows for the dispersal of neurons along pathways perpendicular to and not 'served' by radial glia, enabling new neurons born in similar neighborhoods (and, therefore, possibly with similar characteristics) to disperse widely throughout the brain [Parnavelas, 2000]. The different spatial distribution of adult-formed neurons in mice and birds (restricted in mice and widespread in birds) is likely due to the fact that radial glia are maintained throughout adulthood in birds, but not in mice [Schmechel and Rakic, 1979a, b; Alvarez-Buylla et al., 1988]. In contrast, tangential migration persists in the germinal regions of all adult vertebrates where it has been investigated [Doetsch and Alvarez-Buylla, 1996; Lois et al., 1996; Scharff et al., 1998; Kornack and Rakic, 2001].

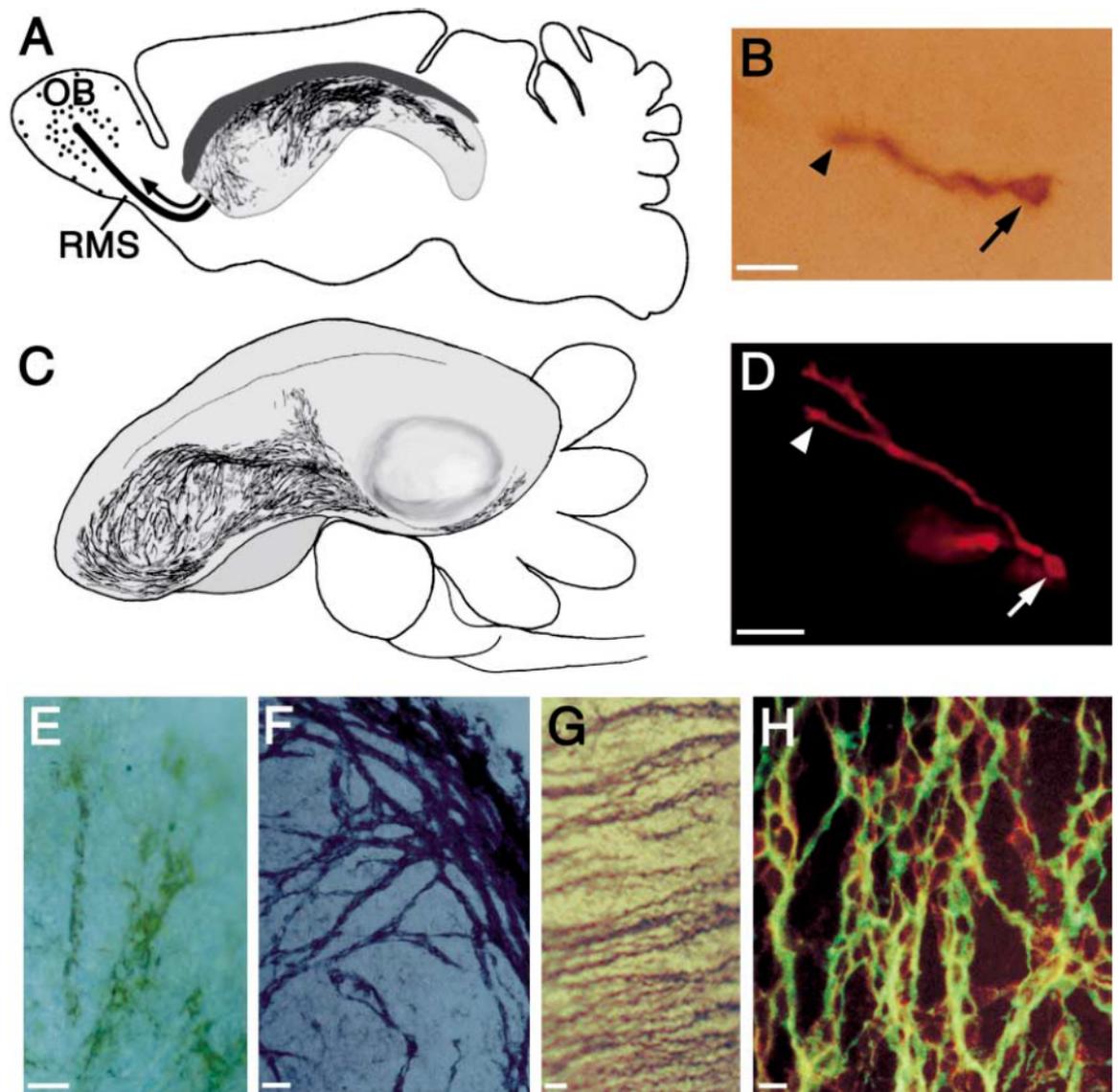
## Universal Web of New Neurons

In rodents, the adult germinal SVZ, which generates neurons destined for the olfactory bulb, is a thin layer of cells adjacent to the lateral wall of the lateral ventricle. It has been suggested that the SVZ is compartmentalized into a small anterior neurogenic region, the SVZa, and a large posterior expanse, which is gliogenic or in which dividing cells undergo abortive death after mitosis [Smart, 1961; Privat and Leblond, 1972; Morshead and Van der Kooy, 1992; Luskin, 1993; Levison and Goldman, 1993]. However, whole mount preparations that expose the entire surface of the lateral ventricular wall reveal that the length of the SVZ is traversed by an extensive network of

chains of migrating immature neurons (called neuroblasts) stained with an antibody against a polysialylated neural cell adhesion molecule, PSA-NCAM (fig. 2A, F) [Doetsch and Alvarez-Buylla, 1996]. Newly generated neurons even from caudal regions of the SVZ (at the level of the posterior hippocampus) migrate 5–8 mm rostrally to reach the olfactory bulb where they differentiate into inhibitory interneurons. This was shown by transplantation of genetically labeled SVZ tissue and by focal labeling of endogenous SVZ cells with the lipophilic dye 1,1'-di-octadecyl-3,3,3',3'-tetramethylindocarbocyanine perchlorate (DiI) [Lois and Alvarez-Buylla, 1994; Doetsch and Alvarez-Buylla, 1996]. This tangential migration occurs through homophilic interactions, without the need for radial glia [Lois et al., 1996; Peretto et al., 1997; Wichterle et al., 1997].

Frogs, geckos, alligators, songbirds (fig. 2C, E), rats, cats (fig. 2G), and primates (squirrel monkey and macaque; fig. 2H) all have pathways of tangentially migrating neurons in the ventricular wall, although the destinations of the newly generated neurons have not yet been mapped in each species [Scharff et al., 1998, F. Doetsch and A. Alvarez-Buylla, unpubl. obs.].

In songbirds, focal injections of the lipophilic dye DiI or the proliferation marker bromodeoxyuridine (BrdU) into the most actively proliferating regions in the VZ, called hot-spots [Alvarez-Buylla et al., 1990], indicate that, as in mice, the direction of neuronal migration is rostral, but that in addition, there is a stream of migrating neurons coursing ventro-caudally underneath the caudal telencephalon (fig. 2C). In contrast to mice, the major destination of the rostrally migrating PSA-NCAM-positive neurons is not the olfactory bulb which is relatively small and not considered an important sensory processing center in songbirds. Instead, many of these cells likely end up in the avian basal ganglia, the lobus parolfactorius (fig. 1B), which incorporates a disproportionate number of new neurons in adult canaries [Alvarez-Buylla et al., 1994]. It is not yet clear how radial and tangential migration are integrated in the adult bird VZ. Newly generated neurons may sequentially use both tangential and radial modes of migration, or, alternatively, individual neurons may be limited to one type of migration. This would be in contrast to chick development, where radial migration is the prominent early form of migration, followed by a switch to more tangential dispersal [Gray et al., 1990]. In adult songbirds, new neurons (labeled with BrdU and an early neuronal marker, Hu) are first observed migrating away from the VZ along radial glia 4 days after their birth, leading to the belief that new cells committed to become neurons 'wait'



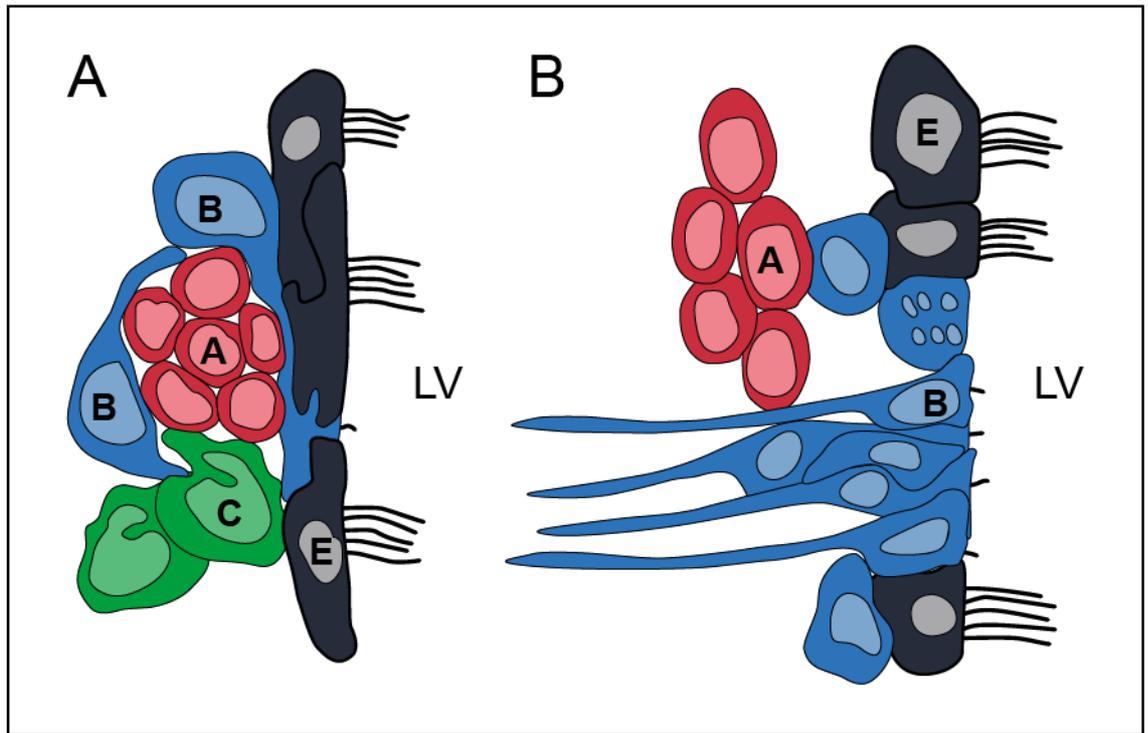
**Fig. 2.** Universal web of neurons: A network of newly generated neurons is present throughout the lateral ventricular wall of all vertebrates. Schematic sagittal sections of **(A)** the adult mouse and **(C)** bird brain showing camera lucida drawings of the network of chains stained in whole-mounts with an antibody against the polysialylated form of neural cell adhesion molecule, PSA-NCAM. For better visualization, the drawing of the lateral ventricular wall with the migrating chains in mice **(A)** is shown slightly larger than the rest of the brain. (Modified after Doetsch and Alvarez-Buylla, 1996.) Mi-

grating neurons have small (5  $\mu\text{m}$ ) fusiform cell bodies (arrows) and a characteristic long leading process (arrowheads) with one or multiple growth cones labeled with a retrovirus encoding the marker gene alkaline phosphatase (mouse) **(B)** and with the lipophilic dye DiI (bird) **(D)**. Chains of migrating PSA-NCAM-stained neurons appear remarkably similar in adult birds **(E)**, brown staining), mice **(F)**, purple staining), cats **(G)**, brown staining) and **(H)** primates (macaque) (double labeled with both PSA-NCAM (red) and the neuronal marker TuJ1 (green) **(H)**). Scale bars: **B, D**, 20  $\mu\text{m}$ ; **E-G**, 50  $\mu\text{m}$ , **H**, 5  $\mu\text{m}$ .

in the VZ before initiating radial migration [Barami et al., 1995]. However, after focal injection of BrdU into the VZ of adult songbirds, we have observed 1-day-old Hu+/BrdU+ neurons, with a morphology typical of tangentially migrating neurons [Scharff et al., 1998] distant from the site of injection. We suggest that Hu+ new neurons are not

simply 'waiting' in the VZ, but undergo homophilic tangential migration before turning into the brain parenchyma using radial glia as further guideposts. Further research needs to directly address this issue.

Another area of the songbird brain that receives a substantial contribution of new neurons in adulthood is the



**Fig. 3.** Cell types of the adult mouse (**A**) and songbird (**B**) germinal regions. **A** In the adult mouse SVZ, multi-ciliated ependymal cells (type E, grey) line the lateral ventricle. This lining is occasionally broken by the process of an SVZ astrocyte (type B cell, blue) that extends a process and contacts the ventricle. These type B cells often have a unique cilium with a 9+0 internal structure. Chains of neuroblasts (type A cells, red, cut in cross-section) travel through tunnels formed by the processes of SVZ astrocytes. Clusters of rapidly dividing transit amplifying cells (type C, green) are adjacent to the chains of neuroblasts. (Modified after Doetsch et al., 1997.) SVZ astrocytes are stem cells in this region and generate type C cells which, in turn,

divide to give rise to the neuroblasts. **B** In contrast to mice, in songbirds glial cells (type B cells, blue) are frequently in contact with the lateral ventricle. They have a unique short cilium with an 8+0 or 9+0 microtubule structure. They undergo interkinetic nuclear migration, and mitosis occurs at the ventricular surface. Many of these glial cells have a radial glial phenotype. Migrating neuroblasts (type A cells, red) are organized in chains that run parallel to the ventricle. This schematic view shows a chain cut in cross-section, with the ventricle (LV) to the right. As in mice, multi-ciliated ependymal cells (type E, grey) also line the ventricle. (Modified after Alvarez-Buylla et al., 1998.)

song control nucleus HVC (acronym used as proper name) which lies dorsocaudally to the ventricular network of tangentially migrating new neurons (fig. 1B). Curiously, in spite of the significant recruitment of new neurons into adult HVC, no clear paths of tangentially migrating neuron chains are observed in its vicinity [Scharff et al., 1998]. New neurons may reach the adult HVC via migration along radial glia, yet radial glia are also conspicuously rare in HVC [see fig. 10A in Holzenberger et al., 1997]. This raises the possibility that new HVC neurons originate in the directly adjacent VZ. However, short-survival-BrdU labeling studies show only very few dividing cells in the VZ immediately overlying HVC. Thus, the origin and route of arrival for HVC neurons remains enigmatic.

### Stem Cells in the Adult Brain

#### *Cell Types and Architecture of the Adult Mouse SVZ*

The SVZ of adult rodents comprises four main cell types (fig. 3A): neuroblasts (also called type A cells, red), SVZ astrocytes (type B cells, blue), transit amplifying cells (type C cells, green), and ependymal cells which line the walls of the ventricle (type E cells, gray). These cells can be unambiguously identified by a combination of their distinct ultrastructural characteristics, molecular markers, and proliferative potential, some of which are listed in table 1 [Doetsch et al., 1997, 1999a]. In particular, PSA-NCAM is only expressed by neuroblasts, whereas a monoclonal antibody for GFAP only labels SVZ astrocytes and not ependymal cells in this region [F. Doetsch, unpubl.

**Table 1.** Characteristics of adult mouse subventricular zone cells

Label or ultrastructural feature	Neuroblast (type A cell)	SVZ astrocyte (type B cell)	Transit amplifying cell (type C cell)	Ependymal cell (type E cell)	References
PSA-NCAM	+	-	-	-	Doetsch et al., 1997
TuJ1	+	-	-	-	Doetsch et al., 1997
GFAP (monoclonal)	-	+	-	-	F. Doetsch, unpublished observations
GFAP (polyclonal)	-	+	-	+	Doetsch et al., 1997
mCD24	+	-	not determined	+	Calaora et al., 1996
Vimentin	-	+	-	+	Doetsch et al., 1997
Nestin	+	+	+	+	Doetsch et al., 1997
BrdU or [ <sup>3</sup> H]thymidine	+	+	+	-	Doetsch et al., 1997; Doetsch et al 1999a, b
Cytoplasm	dark, scant	light	intermediate	very light	Doetsch et al., 1997
Nuclei	elongated, occasionally invaginated	irregular, frequently invaginated	large spherical, deeply invaginated	spherical, not invaginated	Doetsch et al., 1997
Intermediate filaments	-	+	-	+	Doetsch et al., 1997
Lipid droplets	-	-	-	+	Doetsch et al., 1997
Cilium	-	single, 9+0 internal microtubule structure	-	multiple, 9+2 microtubule structure	Doetsch et al., 1997; Doetsch et al., 1999b
Contacts	A-A, A-C	B-B, B-E	C-C, C-A	E-E, E-B	Doetsch et al., 1997

BrdU = 5-bromo-2'-deoxyuridine; GFAP = glial fibrillary acidic protein; PSA-NCAM = polysialylated neural cell adhesion molecule.

obs.], in contrast to a polyclonal antibody against GFAP that labels both ependymal cells and SVZ astrocytes.

#### *Glial Cells as Stem Cells in Adult Mice*

Neural stem cells can be cultured from the adult brain as adherent cultures or non-adherent cultures in the presence of growth factors [Gage, 2000]. These cells exhibit the two fundamental properties of stem cells – they undergo self-renewal and are multipotent, that is, they can differentiate into neurons and glia. Multipotent neural stem cells can be isolated from the adult mouse SVZ by dissociating and culturing single SVZ cells in the presence of epidermal growth factor (EGF) [Morshead et al., 1994], basic fibroblast growth factor (bFGF) [Gritti et al., 1996], or both [Weiss et al., 1996; Gritti et al., 1999; Rietze et al., 2001]. A subset of these cells divide in response to these growth factors and generate floating clusters of cells called neurospheres. Neurospheres can be passaged to generate secondary neurospheres (demonstrating self-renewal) and are multipotent, i.e. they can generate neurons, astrocytes, and oligodendrocytes upon removal of the growth factors [Reynolds and Weiss, 1992]. However, multipotency and self-renewal capacity might not be limited to the primary in vivo stem cells (primary is defined here as the first cell

in the lineage), and, therefore, multiple cell types could give rise to neurospheres.

Stem cell candidates in the adult SVZ have recently been identified. Surprisingly, they are SVZ astrocytes, which exhibit features of differentiated glial cells. There are four independent, but complimentary, lines of evidence that together demonstrate that SVZ astrocytes act as stem cells and generate neurons in this region [Doetsch et al., 1999b]. First, SVZ astrocytes give rise to neurospheres in vitro. This was shown by vitally labeling SVZ astrocytes in vivo with an adenovirus driving expression of green-fluorescent protein (GFP) under the GFAP promoter, which is specific to SVZ astrocytes (table 1). When cultured, the vitally labeled astrocytes gave rise to GFP-positive neurospheres. Second, the progeny of SVZ astrocytes migrate and differentiate into granule and periglomerular olfactory bulb neurons. This was demonstrated via in vivo astrocyte-specific infection with a retrovirus carrying the marker gene for alkaline phosphatase. The selective infection of astrocytes was achieved by using a transgenic mouse in which only GFAP-expressing cells carry the receptor for an avian retrovirus, RCAS [Holland and Varmus, 1998]. Thus, only dividing GFAP-positive cells (i.e., only astrocytes in the SVZ) can be infected with

RCAS. Third, consistent with their being stem cells, SVZ astrocytes are the only label-retaining cells (LRCs) in this region. Stem cells are often relatively quiescent, and can only be labeled with prolonged administration of proliferation markers, such as BrdU or [<sup>3</sup>H]thymidine. LRCs remain labeled long after administration of the label is stopped and are thought to be the result of an asymmetric self-renewing division of a stem cell [Potten and Morris, 1988; Sprangrude et al., 1988; Cotsarelis et al., 1989]. In contrast, rapidly dividing cells that are initially labeled will dilute their label with continuous divisions, while their progeny will migrate away from the germinal region to their site of terminal differentiation. One month after termination of long-term intraventricular infusions of [<sup>3</sup>H]thymidine, ultrastructural analysis revealed that all labeled cells were SVZ astrocytes. In addition, a retrovirus encoding  $\beta$ -galactosidase was also used as a label. Again, all cells labeled one month after infection were SVZ astrocytes.

Fourth, SVZ astrocytes are able to regenerate the entire SVZ. When the SVZ is destroyed by elimination of all neuroblasts and transit amplifying type C cells by intracerebral infusion of an anti-mitotic drug, only ependymal cells and SVZ astrocytes remain [Doetsch et al., 1999a, b]. Remarkably, after anti-mitotic treatment ceases, the SVZ regenerates simultaneously over the entire ventricle. This regeneration process is initiated by the SVZ astrocytes that are the first and only cells to start dividing. They give rise to type C cells that, in turn, generate the neuroblasts. This was shown by pulse-labeling experiments *in vivo* and time-lapse photography of single GFP-labeled astrocytes in whole-mount explants *in vitro*. Thus, SVZ astrocytes are neural stem cells in this region under both normal conditions and during regeneration. In the dentate gyrus, GFAP+ astrocytes with similar morphology to those in the SVZ have recently also been shown to be neuronal precursors [Seri et al., 2001].

#### *Ependymal Cells as Stem Cells?*

Ependymal cells have been suggested to be the primary neural stem cells in the SVZ, giving rise to neurospheres *in vitro*, to retain label *in vivo*, and to generate olfactory bulb neurons [Johansson et al., 1999]. However, the LRCs were identified based only on anatomical location, without double immunostaining for ependymal cell markers or electron microscopic analysis. Without these methods, it is possible to confuse ependymal cells and SVZ astrocytes, particularly as sometimes a thin ependymal cell process is all that separates an SVZ cell from the ventricle. In our hands, no labeled ependymal cells (identified by

immunostaining with anti-mCD24 antibodies or by electron microscopy) were found *in vivo* even after two weeks of continuous administration of BrdU or [<sup>3</sup>H]thymidine. Also, during regeneration of the SVZ, when stem cells are actively recruited, no ependymal cells were observed incorporating [<sup>3</sup>H]thymidine at any survival time after anti-mitotic treatment. Johansson et al. [1999] used the lipophilic dye DiI to label ependymal cells *in vivo* before culturing neurospheres and found DiI-positive neurospheres. However, DiI is easily transferred from one cell to another and will label any cell in contact with the ventricle, including some SVZ astrocytes. Because other groups have not been successful in culturing neurospheres from ependymal cells [Chiasson et al., 1999; Doetsch et al., 1999b; Laywell et al., 2000; Morshead and Van der Kooy, 2001], resolution of the controversy surrounding the stem cell nature of ependymal cells will require additional experiments.

Ependymal cells, however, play an important role in creating an environment that is permissive for neurogenesis. SVZ astrocytes produce bone morphogenic proteins (BMPs) that inhibit neurogenesis in this region. Ependymal cells secrete noggin, an antagonist of BMP signaling, thereby relieving the inhibitory effects of BMPs (Lim et al., 2000). Thus, the interaction between ependymal cells and other SVZ cell types likely creates a niche permissive for adult neurogenesis.

#### *Cell Types and Architecture of the Adult Songbird Ventricular Zone*

As in mice, the adult germinal layer in birds contains ependymal cells (9 + 2 internal microtubule structure), young migrating neurons, and glial cells, some of which have a single cilium (8 + 0 or 9 + 0 internal microtubule structure) [Alvarez-Buylla et al., 1998] (fig. 3B). However, there are a number of differences. There is no equivalent to the murine transit amplifying cell (type C cell), and the glial cell-type in birds is not of branched astrocytic morphology, but of radial glial phenotype which maintains an elongated process reaching deep into the brain parenchyma. In addition, the glial cell type in birds is typically in direct contact with the ventricle, whereas in mice the glial cells only occasionally contact the ventricle (fig. 3). Mice and birds are also distinguished by which cells divide in the SVZ/VZ. In birds, only the glial cells divide [Alvarez-Buylla et al., 1998], whereas in mice glial cells, transit amplifying cells (type C cells), and migrating neuroblasts all divide [Doetsch et al., 1997, 1999b].

### *Glial Cells Give Rise to Neurons in Adult Song Birds*

In songbirds, radial glia are the likely precursors of adult-generated neurons, as indicated by pulse-labeling studies with [<sup>3</sup>H]thymidine. Shortly after a single injection of [<sup>3</sup>H]thymidine, only radial glia are labeled; at intermediate survival times labeled neurons are seen migrating away from the VZ; and after three or more weeks, labeled differentiated neurons are found throughout the telencephalon [Alvarez-Buylla and Nottebohm, 1988; Alvarez-Buylla et al., 1990]. Further support for the notion that radial glia are neuronal precursors comes from retroviral infection of dividing cells in the VZ that yields clones containing both radial glia and neurons [Goldman et al., 1996], suggesting that a single radial glial cell gives rise to both glia and neurons.

### *Glial Cells as Stem Cells Across Phylogeny and Development?*

The finding that glia are stem cells in the adult brain and generate neurons in several species is surprising, given that glia and neurons were thought to derive from different lineages during embryogenesis and that the major wave of gliogenesis occurs after neurogenesis [Levitt et al., 1981; Jacobson, 1991; Alvarez-Buylla et al., 2001]. However, it is becoming increasingly clear that both during embryonic development and in adulthood some types of glia can give rise to neurons. Radial glia have been hypothesized to serve dual functions, acting not only as scaffolding for neuronal migration [Rakic, 1972; Hatten and Mason, 1990], but also as neuronal precursors during brain histogenesis [Frederiksen and McKay, 1988; Gray and Sanes, 1992; Malatesta et al., 2000]. In fact, recent work directly and elegantly demonstrates that radial glia give rise to neurons [Noctor et al., 2001]. When radial glia persist into adulthood, as is the case in birds, both precursor and guide functions are retained [Alvarez-Buylla and Nottebohm, 1988; Alvarez-Buylla et al., 1990]. What remains to be determined is whether radial glia are true self-renewing, multipotent stem cells, both in the embryo and in the adult avian brain.

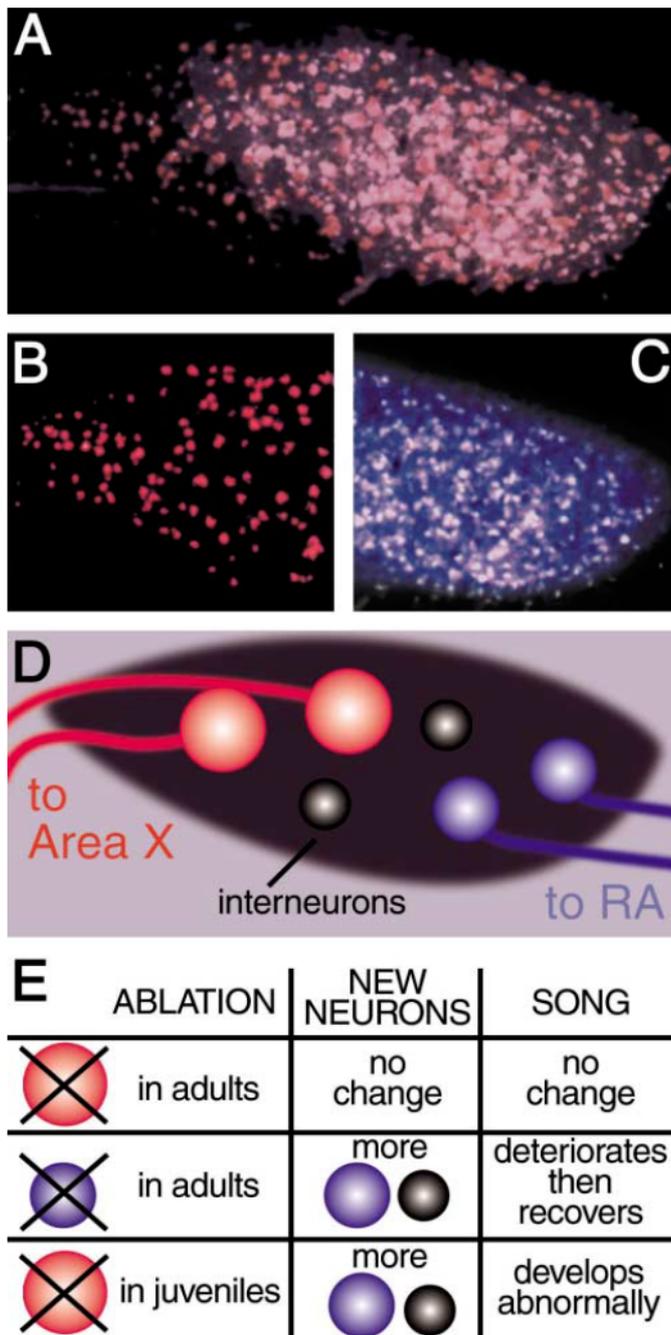
In mice, radial glia in the cortex transform into astrocytes at the end of development, and this conversion apparently occurs throughout the brain [Schmechel and Rakic, 1979a; Voigt, 1989]. It is an attractive hypothesis that the neurogenic potential of adult SVZ astrocytes is derived from (and preserved through) their origin in embryonic radial glia. Recent experiments have shown that, when neuroepithelial cells are infected with a retrovirus encoding both a marker gene and activated Notch, which is thought to maintain precursors in an undifferen-

tiated state, a radial glial fate is promoted. Over time, the infected radial glia then seem to transform into astrocytes, including SVZ astrocytes [Gaiano et al., 2000]. Whether radial glia become SVZ astrocytes in the absence of activated Notch needs to be further explored.

Radial glia comprise a heterogeneous population of cells [Hartfuss et al., 2001] that generate both neuronal and non-neuronal progeny. During embryonic and early postnatal stages, glial cells from multiple brain regions can give rise to both neurons and neurogenic neurospheres [Laywell et al., 2000; Malatesta et al., 2000; Noctor et al., 2001; Skogh et al., 2001; Miyata et al., 2001]. The neurosphere-generating competence exhibited by glial cells becomes restricted to SVZ astrocytes after the second postnatal week [Laywell et al., 2000]. It is possible that extrinsic (inhibitory) region-specific molecular factors cause this restriction, as radial glia transform into astrocytes. Because radial glia also transform into astrocytes in marsupials [Ghooray and Martin, 1993], the neurogenic potential of some astrocytes in the adult central nervous system may be common to all mammals. This scenario, in which radial glia act as neuronal precursors both during development and adulthood in non-mammalian vertebrates, but are transformed into neurogenic astrocytes in adult mammals [Doetsch et al., 1999b; Seri et al., 2001], satisfies developmental and phylogenetic logic.

### *Phenotype and Turnover of Adult-Generated Neurons*

Adult-born neurons are usually of the phenotype that typically emerges late in development. The particular neuron types vary with species and even different brain regions, and can be interneurons or projection neurons. In the case of adult mammals, new neurons can be local circuit interneurons in the olfactory bulb [Altman, 1969], or local projection neurons in the hippocampus [Stanfield and Trice, 1988; Markakis and Gage, 1999] and primate neocortex [Gould et al., 1999b]. The projection neurons of the olfactory bulb, the mitral and tufted cells, are not generated in adulthood. Rather, two kinds of inhibitory interneurons are generated in the adult olfactory bulb, granule neurons and periglomerular neurons, both of which form dendrodendritic synapses with mitral cells. Both granule and periglomerular neurons are GABAergic, although periglomerular neurons also produce dopamine. In the adult dentate gyrus, newly generated granule neurons project to CA3 as the mossy fiber pathway. Hippocampal granule neurons are excitatory, utilizing glutamate and aspartate as neurotransmitters. In both the dentate gyrus and the olfactory bulb, it is assumed that the newly generated cells adopt the neurotransmitter pheno-



**Fig. 4.** Targeted neuronal death causes compensatory neuronal repair. **A** HVC contains two intermingled and largely overlapping projection neuron types, HVC→RA neurons and HVC→X neurons, shown here in a 50-µm-thick sagittal section double-exposed with the appropriate UV filters to show red neurons retrogradely labeled with rhodamine microspheres from Area X (**B**) and white neurons retrogradely labeled with fluorogold from RA (**C**). HVC→X neurons are larger, but less numerous, than HVC→RA neurons (**B**, **C**). In addition to these projection neurons, HVC contains interneurons (**D**). Interneurons and HVC→RA neurons are generated de novo in adult-

type typical of that region. Although recent studies have begun to characterize the maturation of these cells electrophysiologically [Wang et al., 2000; Liu et al., 2000], little is known about the neurotransmitter profiles of the newly generated neurons.

Although neurogenesis occurs throughout the telencephalon of adult birds [Alvarez-Buylla and Kirn, 1997], most knowledge about this phenomenon derives from studies in songbirds, and the identity of newly generated neurons is known for only one brain area, HVC. New local interneurons are incorporated into HVC (fig. 4D), and long-distance projection neurons to a premotor nucleus, the nucleus robustus archistriatalis (RA), are also added to this region; this latter type is called HVC→RA neuron (fig. 4C, D). A third type of HVC neuron, which projects to the avian basal ganglia, is not generated in adulthood; this type is called HVC→X neuron (fig. 4B, D). New neurons are frequently surrounded by tyrosine hydroxylase (TH)-like immunoreactivity, probably a reflection of the dopaminergic HVC innervation from a midbrain structure, the nucleus tegmentalis pedunculopontinus (TPc) [Paton et al., 1986]. Whether this innervation is specific for the new interneurons or the new projection neurons remains to be seen. GABA does not appear to colocalize with new HVC neurons [Paton et al., 1986]. Beyond this, little is known about the pharmacological and electrophysiological profile of new neurons. However, a number of recent studies using intracellular recording to characterize HVC neurons point towards the feasibility of this endeavor [Dutar et al., 1998, 2000; Kubota and Taniguchi, 1998].

Many of the adult-formed neurons in birds and mammals appear to be transitory populations that are culled within weeks after birth [Cameron et al., 1993; Gould et al., 1999b, c; Kirn et al., 1999; Petreanu and Alvarez-Buylla, 2000], although a proportion of those that are

hood, whereas HVC→X neurons are not. **E** Schematic overview of effect of targeted neuronal death on neurogenesis. Ablation of HVC→X neurons in adults does not cause compensatory neuron recruitment, and song is not changed. Ablation of HVC→RA neurons in adults causes song deterioration with subsequent recovery. An increased recruitment of both interneurons and HVC→RA neurons is observed at the time when song has recovered. Ablation of HVC→X neurons in juveniles results in abnormal song development and in an increase of interneurons and HVC→RA neurons, but not HVC→X neurons. Scale bars, 50 µm.

functionally incorporated are maintained for longer periods [Kirn et al., 1991; Nottebohm et al., 1994]. Clearly, our knowledge of the phenotype of adult-generated neurons is still rudimentary, and particularly little is known about their function within the structures they populate. If new neurons undergo a change in the use of a particular neurotransmitter when they mature – as can be the case during development [Ganguly et al., 2001] – then even transient populations of new cells could significantly impact on circuit function. This, as well as determining how much of their final phenotype depends on intrinsic programming or extrinsic factors will be important if one is to harness these cells for therapeutic uses.

### Birth and Death

In both birds and mammals, the ongoing recruitment of new neurons in adulthood is balanced by neuronal death [Kirn and Nottebohm, 1993; Kirn et al., 1994; Gould and Cameron, 1996; Petreanu and Alvarez-Buylla, 2000], leading to the idea that death might actually trigger birth. To directly test this hypothesis we selectively killed the majority of either the HVC→RA projecting neurons (which are normally undergoing replacement), or the HVC→X projecting cells (which normally do not die), in adult male zebra finches. Selectivity of this procedure is achieved by injection of nanospheres conjugated to the chromophore chlorin  $e_6$  to retrogradely label the targeted neuron type. This drug is harmless to the neurons that carry it, unless activated by 674-nm laser illumination, which renders chlorin  $e_6$  cytotoxic and induces the neurons to undergo apoptosis [Madison and Macklis, 1993; Sheen and Macklis, 1994]. Using this method in adult zebra finches established that neuronal recruitment can, indeed, be triggered by prior neuronal death, as shown by a substantial increase of [ $^3\text{H}$ ]thymidine-labeled HVC→RA neurons after neuronal degeneration had been induced in this neuron type [Scharff et al., 2000] (fig. 4E). In fact, it appears that upregulation of neuronal recruitment after selective degeneration was able to replenish the entire contingent of lost neurons, as the total number of HVC→RA neurons three months after the selective injury was not different from intact controls. Also suggestive of a compensation for the induced brain damage was the observation that song production, which is critically dependent on HVC→RA neuron function, first deteriorated significantly after the selective killing of the HVC→RA neurons, but then recovered to various degrees in a number of birds.

Equally important though is the finding that death-induced upregulation of neuronal recruitment appears to be cell-type specific; only the neuron type that normally undergoes replacement responds to this treatment. When HVC→X neurons, which normally neither die nor get recruited, were experimentally targeted to die, no compensatory neuronal recruitment of any type occurred. Thus, as a first approximation, recruitment of new neurons into adult HVC does not seem to be simply a question of available slots, nor of a universal death-signal commanding new neurons to arrive. Why death of one neuron type, but not of another, can result in recruitment of new neurons in adulthood will be a challenge to address. A first clue could be that targeted degeneration of the HVC→X neurons in young zebra finches did result in increased incorporation of new HVC→RA neurons (fig. 4E). The discrepancy between juvenile and adult animals could be due to different amounts of target space or the molecular milieu of HVC after targeted death, such as the availability of growth factors [e.g., insulin-like growth factor II; Holzenberger et al., 1997].

Compensatory neurogenesis also occurs in sensory epithelia, including the olfactory epithelium in rodents which can be reconstituted after chemical ablation [for review, see Calof et al., 1998], the hair cells in the ears of birds which regenerate after chemical or noise injury [for review, see Cotanche, 1999; Smolders, 1999], the retina of postnatal chicken which regenerates in response to neurochemical lesions [for review, see Reh and Fisher, 2001], and in lizards after acetylpyridine-induced degeneration [Font et al., 1997]. Likewise, excitotoxic lesions in the adult mammalian hippocampus that result in the death of mature granule neurons effectively stimulate increased granule neuron recruitment [Gould and Tanapat, 1997], a process that requires FGF-2 [Yoshimura et al., 2001]. Such lesions do not succeed to the same extent in mouse cortex, where no new neurons are normally observed [Weinstein et al., 1996]. Yet, using the approach of targeted neuronal death (described above for songbirds) in mouse cortex, in which neurogenesis does not normally occur, Magavi et al. [2000] found a significant increase in the recruitment of new neurons to the site of injury. Moreover, some of them appeared to be connected to the appropriate target and survived for months. This result implies that even in mammals there is a dormant capacity for injured cortex to recruit and incorporate neurons in adulthood under the appropriate conditions. Similarly, injury-induced demyelination induced the generation of remyelinating oligodendrocytes [Nait-Oumesmar et al., 1999], with the SVZ probably being the source of those cells.

Elimination of the adult neuronal precursors themselves, rather than their mature differentiated progeny, also stimulates regeneration of the germinal region. When neuronal precursors in the SVZ are killed by infusion of the anti-mitotic drug Ara-C, (see section 'Glial Cells as Stem Cells in Adult Mice'), the SVZ regenerates robustly and rapidly to produce olfactory bulb interneurons [Doetsch et al., 1999a, b]. The subgranular layer of the hippocampal formation also regenerates the granule neurons of the dentate gyrus after elimination of precursors by a similar anti-mitotic protocol [Seri et al., 2001].

### Challenges for Brain Repair

The conditions under which neuronal regeneration after experimentally induced cell death in mice and birds mentioned above takes place is instructive for two reasons: in all but one case [Magavi et al., 2000], the neurons regenerated were those produced under normal intact conditions; and in all cases, the type of cell death induced did not interfere with surrounding tissue or result in glial scarring.

These examples highlight the capacity of brains to repair themselves when mechanical (e.g., glial scarring) and molecular barriers are absent, both of which often impede regeneration after injury or disease. How to overcome these limitations and how to prompt cells of various provenance (either endogenous or transplanted) to assume the appropriate fates have been addressed recently in excellent review articles [Horner and Gage, 2000; Temple, 2001], and only a few pertinent points will be discussed here.

#### *Novel Fates*

Understanding the potential of different precursors to differentiate into neurons of diverse phenotypes, and how to manipulate that potential, are two of the many necessary steps towards successful brain repair. The surprising identification of SVZ astrocytes as stem cells raises the hopeful possibility that astrocytes throughout the brain may have neurogenic and/or stem cell potential given the appropriate prodding. In fact, stem cells can be cultured from areas throughout the brain [Palmer et al., 1995, 1999], but it is unknown whether these stem cells also correspond to astrocytes.

The capacity of postnatal and adult precursor cells to differentiate into neurons with a novel fate, or to differentiate into the same fate but in a different place, has been addressed by transplanting precursors from one area (or

developmental stage) into other brain areas (or developmental stages). For the fate of a precursor to be maintained in an ectopic location, the host environment needs to be permissive for the cell to express its intrinsically specified fate. In contrast, for differentiation into a novel fate, the precursor must not yet be irreversibly committed and be able to respond to the local cues, including those that specify cell fate and cell migration [Jankovski and Sotelo, 1996].

The success of these kinds of studies has been mixed and depends on whether the transplanted cells are directly transplanted after dissection from the donor brain, or are first cultured in the presence of growth factors before transplantation. In addition, one should bear in mind that transplanted cells are not a homogeneous population, but typically consist of a mixture of progenitors and other cells rather than purified stem cells. Cells freshly isolated from the postnatal or adult SVZ and directly transplanted into other adult brain areas can continue to differentiate into their normal phenotype but stay close to the implantation site [Zigova et al., 1998; Herrera et al., 1999]. However, postnatal SVZ cells grafted into embryonic brains, which are presumably richer in neurogenic cues, can also integrate into other brain regions but as interneurons only [Lim et al., 1997]. Recent work suggests that neurogenic potential may be increased by co-injecting factors, such as noggin, that can override local inhibitory signals [Lim et al., 2000]. Activation of other neurogenic genes, such as neurogenin, may provide similar properties [Nieto et al., 2001; Sun et al., 2001].

Greater plasticity of cell fate is possible when cells are cultured first in the presence of growth factors before transplantation. Under these conditions, cells that are normally not neurogenic but gliogenic, such as precursors in the adult mammalian spinal cord, can give rise to neurons when transplanted into the dentate gyrus after culturing in basic fibroblast growth factor (bFGF) [Shihabuddin et al., 2000]. Cells from neurogenic regions also exhibit this plasticity: cultured cells from the dentate gyrus can give rise to olfactory bulb interneurons after transplantation into the olfactory bulb [Suhonen et al., 1996]. Whether these cells have the potential to do so without preculturing in the presence of growth factors needs to be evaluated. It is possible that growth factors cause the cells to dedifferentiate or become reprogrammed, which could allow greater flexibility in manipulation towards desired phenotypes. A promising example of this plasticity is the recent report that the conversion of O2A progenitors into astrocytic cells endows them with the novel potential to give rise to neurons [Kondo and Raff, 2000]. Besides

being of potentially therapeutic value, this observation also re-emphasizes that a glial stage apparently precedes neurogenesis.

As an alternative to transplantation, endogenous precursors can be stimulated *in vivo*, using molecular factors that have proven mitogenic or to promote survival *in vitro*, such as EGF, bFGF, brain-derived neurotrophic factor (BDNF) and transforming growth factor alpha (TGF- $\alpha$ ) [Craig et al., 1996; Kuhn et al., 1997; Tropepe et al., 1997; Fallon et al., 2000; Benraiss et al., 2001; Pencea et al., 2001b]. Intraventricular infusion of EGF seems to induce glial differentiation [Craig et al., 1996; Kuhn et al., 1997], mirroring the fate of neurospheres that are transplanted back into embryos after culturing in EGF [Winkler et al., 1998]. Interestingly, infusion of ephrins/Eph family members results in a twofold increase in the number of astrocytes in the adult SVZ [Conover et al., 2000]. Recent work has shown that intraventricular infusion or overproduction of BDNF by ependymal cells leads to an increased recruitment of new neurons into the striatum and other non-neurogenic brain regions. Whether this effect is on SVZ precursors or on other latent precursors remains to be determined. As more molecules are identified that control cell proliferation during development, their potential to control or promote proliferation of adult precursors is also being explored. The list of such molecules includes sonic hedgehog which has been shown to control proliferation of cerebellar granule cell precursors [Wechsler-Reya and Scott, 1999], Wnts [Smalley and Dale, 1999; Patapoutian and Reichardt, 2000], and pituitary adenylate cyclase activating polypeptide (PACAP) [DiCicco-Bloom et al., 1998]. Many of these factors are conserved across phylogeny, including insulin-like growth factor (IGF), which is selectively and strongly expressed in HVC $\rightarrow$ RA neurons of songbirds [Holzenberger et al., 1997] and enhances their post-birth *in vitro* differentiation [Jiang et al., 1998] and also promotes neurogenesis in mouse hippocampus [Aberg et al., 2000].

#### *Commitment States*

Given that molecular pathways of neuronal and glial differentiation are beginning to be elucidated, it should soon become feasible to control the differentiation of cells along a desired lineage. However, the attempt to custom-design neurons of a particular phenotype from an uncommitted stem cell by finding the correct differentiation factors might end up being more difficult than to identify and harvest the cells at a specific stage of differentiation. For example, the molecular characterization of the SVZ stem cells, transit amplifying cells, and committed neuro-

blasts will allow identification of cell-type specific markers, thus leading to the ability to isolate each population by fluorescent-activated cell sorting using these markers. The potential fates of purified populations of cells can then be tested. This promises new and more defined sources to replace damaged brain tissue. In addition, characterization of the neuronal phenotype of transplanted neurons must go beyond the use of generic and not exclusively neuronal markers, such as NeuN, and include electrophysiological and anatomical characterization. Ultimately, functional recovery is the gold standard of brain repair.

#### *Control of the Cell Cycle*

The ongoing cell division in adult germinal regions requires exquisite control to prevent unwanted proliferation or tumor formation. Although positive regulators of the cell cycle have been extensively studied, recent work has shown that cell cycle inhibitors also play an important role in controlling cell proliferation [for review, see Dyer and Cepko, 2001]. Intriguingly, cell cycle inhibitors are not only conserved across phylogeny, but are utilized by progenitor cells of different lineages, including cerebellum [Miyazawa et al., 2000], retina [Dyer and Cepko, 2000; Levine et al., 2000], SVZ [van Lookeren Campagne and Gill, 1998; Coskun and Luskin, 2001], and the inner ear [Lowenheim et al., 1999]. Two families of cyclin-dependent kinase inhibitors (the Cip/Kip and INK4 families) control progression through the G1-S phase of the cell cycle. Depending on the species, cyclin-dependent kinase inhibitors can regulate not only cell division, but also cell differentiation [Ohnuma et al., 1999]. Another important principle is that distinct cell cycle inhibitors may preferentially act on different progenitors within a lineage, which could provide a mechanism for expansion of one progenitor type over another. For example, in the retina, subsets of progenitor cells can be defined by expression of distinct cell cycle inhibitors [Dyer and Cepko, 2001]. Such a division of labor is likely also occurring in the post-natal and adult rodent SVZ. p27Kip-1 and p19Ink4 are expressed both in the SVZ and in the rostral migratory stream, where p19Ink4 occurs in an increasing gradient towards the olfactory bulb [van Lookeren Campagne and Gill, 1998; Coskun and Luskin, 2001]. Interestingly, p27Kip-1 appears to control proliferation of the transit amplifying cells [Doetsch et al., 2002], whereas p19Ink4 is more involved in controlling cell division of the migratory neuroblasts [Coskun and Luskin, 2001]. Such a hierarchical mode of cell cycle control is also found in the hematopoietic system, where p27Kip1 acts at the transit amplify-

ing stage [Cheng et al., 2000a] and p21Waf1 controls the cell cycle of more quiescent stem cells [Cheng et al., 2000b]. The findings that mutation of p27Kip1 leads to division of normally quiescent cells in mammals in the inner ear [Lowenheim et al., 1999] and to reactive gliosis in the retina [Dyer and Cepko, 2000] hints that by up- or down-regulating cell cycle inhibitors it might eventually be possible to stimulate quiescent precursors and to prevent the damaging reactive gliosis that occurs after injury. Defining exactly which cell cycle inhibitors are expressed in different progenitors of distinct lineages will be critical to achieve this.

### *Targeted Migration*

One of the limitations of cell replacement by endogenous precursors in adult mammals is their apparent unidirectional migration to the olfactory bulb. This could be overcome by redirecting the tangentially migrating stream of olfactory bulb-bound neurons wholesale into a different direction, perhaps by manipulation of chemorepellents which have been invoked in the migration along the rostral migratory stream [Hu, 1999] or chemoattractants, even though the olfactory bulb itself does not seem to be a source of such molecules [Jankovski et al., 1998; Kirschbaum et al., 1999]. Alternatively, one could test whether those neurons could use radial glia as a substrate for migration and if so try to re-induce radial glia in adult mice. Towards the first end, mouse SVZ neural precursors could be transplanted into the adult bird VZ where radial glia are present throughout adulthood. Means of re-inducing radial glia in mammals are already being pursued. After laser-induced cell death in cortex, and transplantation of embryonic neurons into the lesion site, astrocytes lose their branched processes, transition to a more elongated morphology, and re-express the radial glial marker RC-2 [Leavitt et al., 1999]. Similarly, transplantation of embryonic cortical Cajal-Retzius cells into adult cerebellum results in induction of a radial glial phenotype in Bergmann glia [Soriano et al., 1997]. Although the factors underlying this transition have not been identified, it is likely that they are being secreted by the transplanted embryonic neurons. One candidate is neuregulin/GGF signalling through the erbB receptors, which are expressed by radial glia during development and are necessary for the maintenance of the radial glial phenotype [Anton et al., 1997]. *In vitro* studies have also identified a biochemical activity that causes astrocytes to adopt a radial glial morphology [Hunter and Hatten, 1995]. The plasticity of the radial glia-astrocyte switch is promising, but can induced radial glia support migration of neurons into oth-

er brain regions and can this be achieved directionally? Can induced radial glia also act as neuronal precursors? And if so, does the regional heterogeneity they exhibit in development, e.g. Pax6 expression [Götz et al., 1998], determine which kind of neurons can be generated?

A principle insight we can draw from comparative analysis of adult neurogenesis in birds and mice is that glial cells are conserved as stem cells (or precursors) across phylogeny. It will be vital to elucidate the lineage tree of radial glia and their ultimate conversion into astrocytes, not only to cement the apparent relationship of stem cells in the embryo and in the adult, but also to understand the progressive restriction exhibited as they transform into astrocytes. Elegant tracing of lineage trees of multipotential stem cells from the cortex of the mouse embryo has revealed that stem cells undergo asymmetric divisions generating neurons and later switching to generating glia [Qian et al., 1998, 2000]. We can postulate that radial glia are the stem cells in these trees, generating daughter cells and ultimately, at the end of neurogenesis, differentiating into astrocytes. Over time, the diversity of neurons generated becomes increasingly limited to a few types in the adult. One might speculate that the greater neuronal diversity produced by adult birds is the result of the maintenance of a less committed (radial) glial phenotype, and that after the conversion to astrocytes (in mammals) fewer neuronal fates can be generated. Thus, cross-species molecular characterization of embryonic and adult stem cells promises to be a rich source of insight.

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