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Abstract

One of the most significant problems facing older individuals is difficulty staying asleep at night and awake during the day. Understanding the mechanisms by which the regulation of sleep/wake goes awry with age is a critical step in identifying novel therapeutic strategies to improve quality of life for the elderly. We measured wake, non-rapid eye movement (NREM) and rapid-eye movement (REM) sleep in young (2-4 months-old) and aged (22-24 months-old) C57BL6/NIA mice. We used both conventional measures (i.e., bout number and bout duration) and an innovative spike-and-slab statistical approach to characterize age-related fragmentation of sleep/wake. The short (spike) and long (slab) components of the spike-and-slab mixture model capture the distribution of bouts for each behavioral state in mice. Using this novel analytical approach, we found that aged animals are less able to sustain long episodes of wakefulness or NREM sleep. Additionally, spectral analysis of EEG recordings revealed that aging slows theta peak frequency, a correlate of arousal. These combined analyses provide a window into the mechanisms underlying the destabilization of long periods of sleep and wake and reduced vigilance that develop with aging.

Keywords

aging, animals, arousal, brain, circadian rhythm, electroencephalography, mice, sleep, sleep stages, REM, wakefulness

Disciplines

Biology | Biostatistics | Neuroscience and Neurobiology | Vital and Health Statistics

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Aging in Mice Reduces the Ability to Sustain Sleep/Wake States

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Abstract

One of the most significant problems facing older individuals is difficulty staying asleep at night and awake during the day. Understanding the mechanisms by which the regulation of sleep/wake goes awry with age is a critical step in identifying novel therapeutic strategies to improve quality of life for the elderly. We measured wake, non-rapid eye movement (NREM) and rapid-eye movement (REM) sleep in young (2–4 months-old) and aged (22–24 months-old) C57BL6/NIA mice. We used both conventional measures (*i.e.*, bout number and bout duration) and an innovative spike-and-slab statistical approach to characterize age-related fragmentation of sleep/wake. The short (spike) and long (slab) components of the spike-and-slab mixture model capture the distribution of bouts for each behavioral state in mice. Using this novel analytical approach, we found that aged animals are less able to sustain long episodes of wakefulness or NREM sleep. Additionally, spectral analysis of EEG recordings revealed that aging slows theta peak frequency, a correlate of arousal. These combined analyses provide a window into the mechanisms underlying the destabilization of long periods of sleep and wake and reduced vigilance that develop with aging.

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Introduction

Life expectancy is on the rise worldwide. Within the US alone, it is estimated that 20% of the population will be over the age of 65 years by 2030 (U.S. Census Estimate). Normal aging produces sleep fragmentation and impairs the ability to sustain wakefulness in humans [1,2,3,4,5,6], and rodents [7,8,9,10,11,12,13,14,15,16,17,18]. Aging has also been shown to impact the amplitude and timing of circadian biological rhythms [3] and reduce the homeostatic response to sleep loss [9]. Previous studies suggest that alterations in neurotransmitter and receptor levels in brain regions that regulate sleep/wake underlie these age-induced disruptions in sleep. Aged animals show lower extracellular levels of the wake promoting peptide hypocretin (orexin) and reduced expression of hypocretin receptors [19]. Orexinergic and noradrenergic neurons in aged mice show reduced activity during the active phase [13]. These age-related disruptions in signaling may underlie the inability to maintain wakefulness and sleep, as well as the alterations of EEG spectral profile that accompany normal aging. These two hallmarks of aging have been well characterized in humans [3,6] and rats [12]. However, few studies have investigated the effects of normal aging on sleep in mice [9,13], and only one recent report [9] examined the impact of aging on the spectral profile of the EEG during sleep. To address changes in sleep with aging in mice

to lay the groundwork for future genetic studies, we studied differences in EEG spectral profile and sleep architecture of young and aged C57BL/6 mice, one of the most commonly used strains of mice in genetic and pharmacological studies. The unique architecture of rodent sleep is characterized by the uneven distribution of short and long bouts in each behavioral state [20,21,22], rendering average bout duration a poor descriptor of sleep/wake structure. Here, we used an innovative statistical approach [23] that faithfully models both components of each behavioral state and permits the analysis of short and long bouts simultaneously. We hypothesized that aging would impair the ability of mice to sustain the longer bouts of sleep and wake.

Methods

Ethics Statement

All animal care and experiments were approved by the Institutional Animal Care and Use Committee of the University of Pennsylvania and conducted in accordance with the National Institute of Health guidelines. Efforts were made to limit the number of animals used in each experiment and to minimize animal suffering using anesthetics and analgesics.

Animals and surgery

8 young (2–4 months) and 12 old (22–24 months) male C57BL/6NIA mice were obtained from the National Institute of Aging mouse colony. Animals were maintained on a 12 hour light/12 hour dark cycle with lights on (ZT 0) at 7:00 am. Food and water were available *ad libitum*. All animal care and experiments were approved by the Institutional Animal Care and Use Committee of the University of Pennsylvania (permit # 801547) and conducted in accordance with the National Institute of Health guidelines. Animals were implanted with EEG and EMG electrodes under isoflurane anesthesia and all efforts were made to minimize animal suffering. Electrodes were held in place with dental cement (Ketac, 3M, St Paul, MN). Electrodes consisted of Teflon coated wires (Cooner wires, Chatsworth, CA) soldered to gold socket contacts (Plastics One, Roanoke, VA) and pushed into a 6-pin plastic plug (363 plug, Plastics One). The contacts were cemented to the plug using dental cement. Animals were connected to amplifiers using light-weight cables (363, Plastics One) attached to a rotating commutator (SLC6, Plastics One). All recordings were obtained using parietal electrodes (ML \pm 1.5 mm, AP $-$ 1.5 mm from bregma) referenced to an electrode over the cerebellum (1.5 mm posterior of lambda). Mice were allowed to recover from surgery for a minimum of 2 weeks. During the

second week of recovery, mice were acclimated to the cables and to the recording chambers.

EEG recordings and analysis

EEG/EMG signals were sampled at 256 Hertz (Hz) and filtered at 0.5–30 Hz and 1–100 Hz, respectively with 12A5 amplifiers (Astro-Med, West Warwick, RI). Data acquisition and visual scoring was performed using SleepSign software (Kissei Comtec, INC, Japan). EEG/EMG recordings will be stored on the Abel lab server and are available upon request. EEG/EMG recordings were scored in 4-second epochs as wake, NREM, or REM by a trained experimenter blind to age. Epochs containing movement artifacts were included in the state totals and architecture analysis, but excluded from subsequent spectral analysis. Spectral analysis was performed using a fast Fourier transform (FFT; 0.5–20 Hz, 0.25 Hz resolution). Wake EEG spectra were computed during the dark phase, when wakefulness prevails. NREM and REM EEG spectra were calculated during the light phase, when mice are mostly asleep. NREM slow wave activity (SWA) was computed across the 24-hour recording period and SWA was normalized to the last 4 hours of the light phase for each animal as previously described [24].

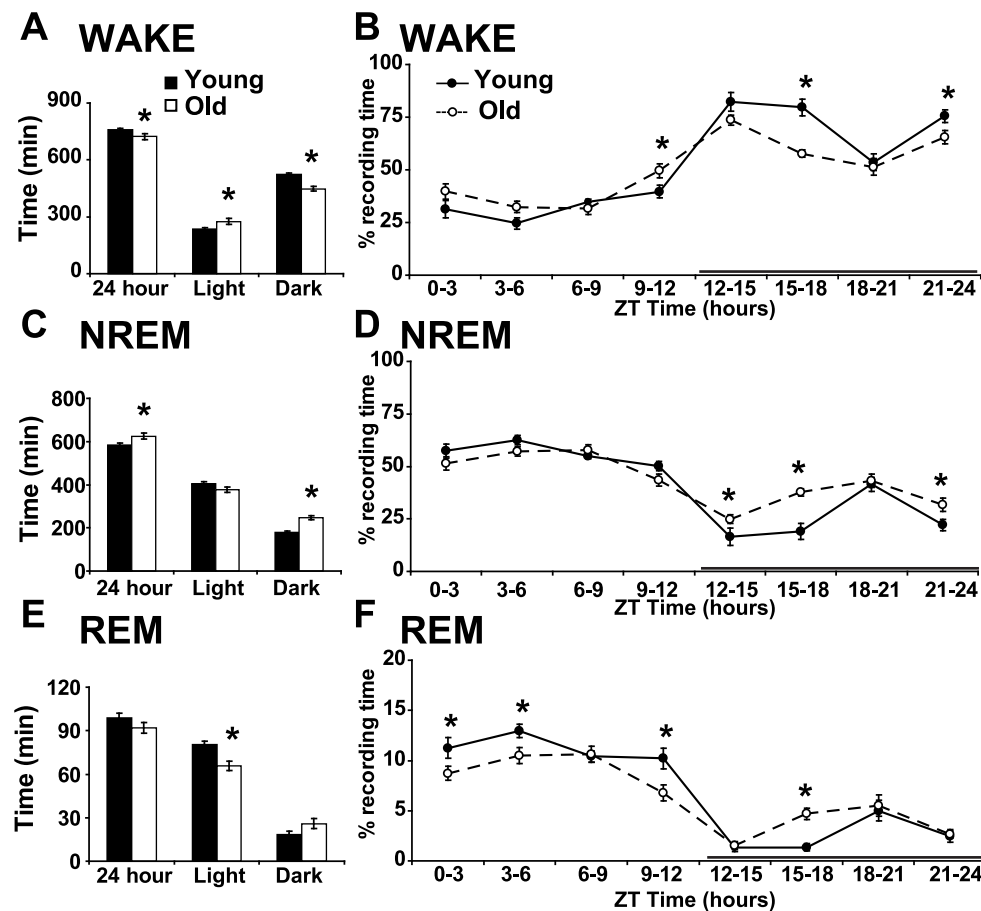


Figure 1. Aged mice show reduced wakefulness during dark phase and decreased REM sleep during light phase. **A.** Total time (min) spent awake for young and old mice over 24 hours (left) and during the light and dark period (right). **B.** Percent time spent awake shown in 8×3-hour bins across light/dark cycle. Solid line represents the dark period. **C.** Aged mice show increased NREM sleep during the dark phase. Total time spent in NREM sleep over 24 hours (left) and during the light and dark period (right). **D.** Percent time spent in NREM in 3-hour bins. **E.** Old mice have decreased REM sleep during the rest period. Total time spent in REM sleep for young and old mice over 24 hours (left) and during the light and dark period. **F.** Percent time spent in REM sleep shown in 3-hour bins across light/dark cycle. Mean \pm standard error of the mean (s.e.m.), * $p < 0.05$. doi:10.1371/journal.pone.0081880.g001

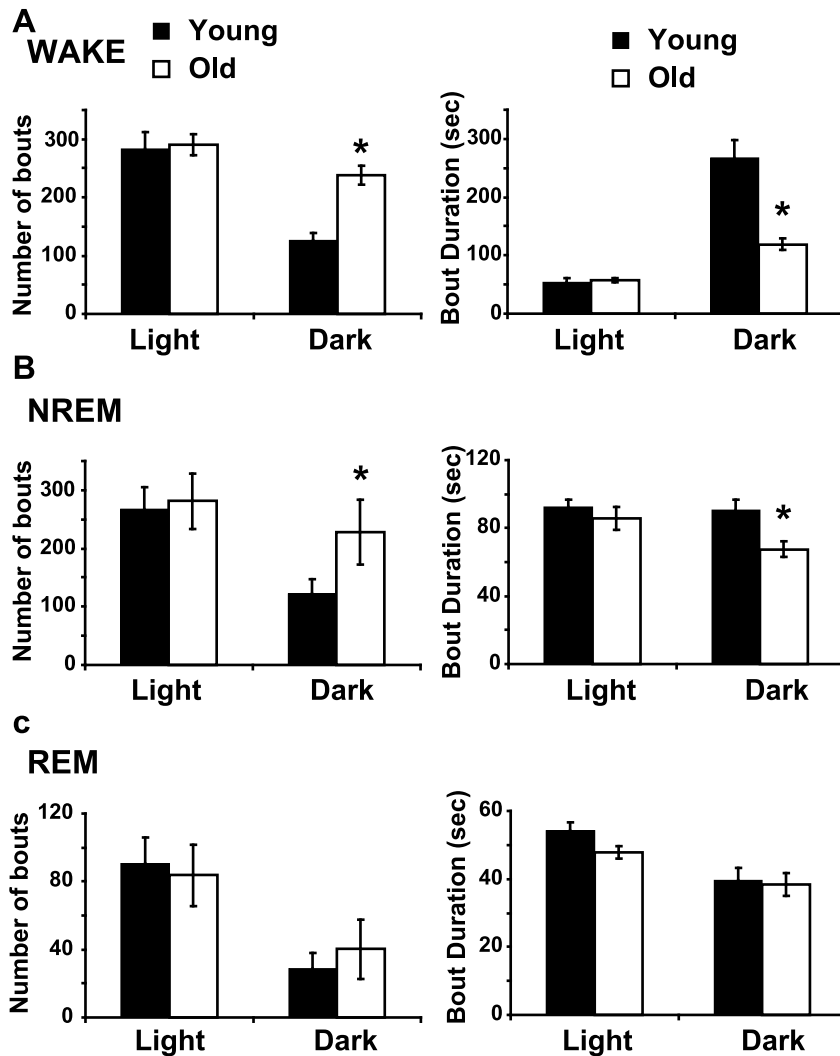


Figure 2. Aging causes fragmentation of wake and NREM sleep. **A.** Number of bouts of wakefulness (left) and average wake bout duration (right) during the light and dark phase. Aged mice show more bouts of wakefulness of shorter average duration during the dark phase. **B.** Aged mice show fragmented NREM sleep during the dark phase. Number of NREM bouts (left) and average NREM bout duration (right) during the light and dark phase. **C.** Number of REM episodes (left) and average REM bout duration during the light and dark period. Mean \pm s.e.m., * $p < 0.05$. doi:10.1371/journal.pone.0081880.g002

Statistics: Student's *t*-tests were used to compare wake, NREM and REM sleep levels averaged over 24 hours. Multivariate analysis of variance (MANOVA) was used on the proportion of time spent in each state during the light phase, the dark phase and during the 8 \times 3-hour bins across 24 hours, followed by Tukey studentized range tests to compare the 2 age groups. The same procedure was applied to the number of bouts and the average bout duration to compare age groups. Analyses were conducted using either SPSS (version 17.0) or SAS (version 9.2). Tukey studentized range tests were used to analyze raw EEG spectra for wake, NREM and REM sleep. Student's *t*-tests were used to analyze theta peak frequency for wake and REM EEG spectra. NREM SWA was analyzed using MANOVA followed by Tukey studentized range tests. SWA during the light period and during the dark phase were analyzed separately. Pearson's correlation was used to examine the relationship between time awake during the dark phase and SWA during the first two hours of the subsequent light period.

Spike and Slab Statistical Model

A spike-and-slab statistical model was also used to examine sleep/wake microstructure. This mixture model, where short bouts are represented by the spike and long bouts are captured by the slab component was previously described in detail [23]. Briefly, this approach models the sequence of unique sleep/wake states and their duration. The spike and slab formulation is used to generate a set of 12 descriptive summary statistics that can be further distilled into three key parameters: 1) n , the number of bouts of the behavioral state, conditional on the previous state 2) π , the proportion of spikes (short bouts) 3) μ , the average duration of the slabs (long bouts).

Results

Aged mice have decreased wakefulness during the active period

We used EEG/EMG recordings from young (2–4 months) and old (22–24 months) mice to quantify wake, NREM and REM

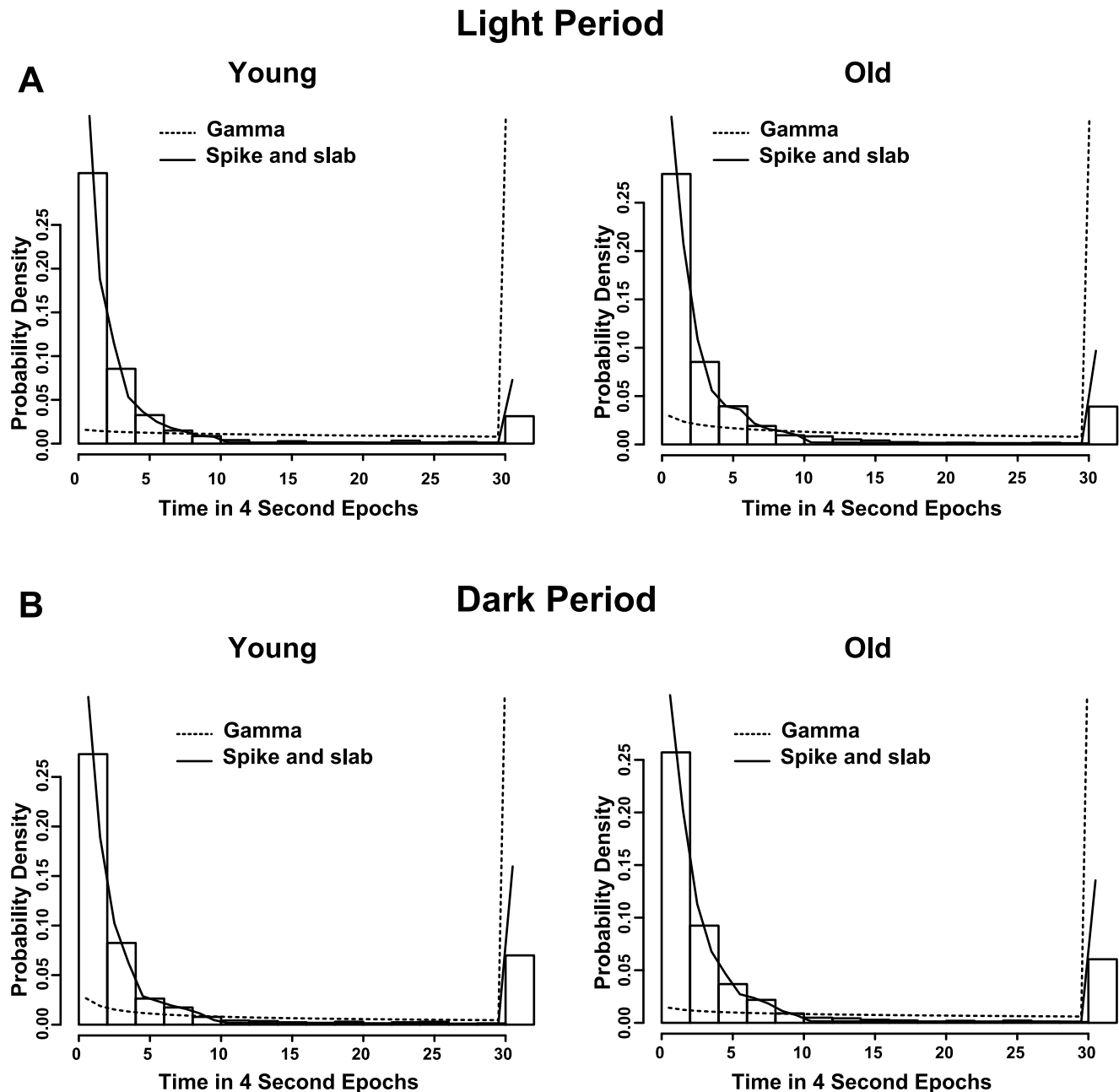


Figure 3. Spike and slab model shows improved fit over a single distribution. Distribution of NREM to Wake bout durations are shown for young and old mice during the light (A) and dark period (B). The solid line represents the density of the spike and slab model, and the dashed line represents the density of the traditional gamma model. The gamma model assigns too little probability to short bouts and too much probability to long bouts.

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sleep. Aged animals showed decreased wakefulness over 24 hours compared to young mice (Satterthwaite t-test, $p = 0.039$, Fig. 1A). Aged mice spend more time awake during the light period compared to young animals and less time awake during the dark phase, when mice are typically active ($F(2,17) = 10.84$, $p < 0.001$, Fig. 1A). We also found that age affects the percentage of time spent awake across the light/dark cycle ($F(8,11) = 7.4$, $p = 0.0017$, Fig. 1B). We used Tukey studentized range tests to examine the effect of age at 8×3 hour bins across the 24-hour period (Fig. 1B). These tests revealed that aged mice have more wakefulness at the end of the light period and show decreased wake during most of

the dark phase (Fig. 1B). Decreased wakefulness in aged mice over 24 hours was accompanied by increased NREM sleep (t-test, $p = 0.031$). This increase was most obvious during the dark phase ($F(2,17) = 12.91$, $p < 0.001$, Fig. 1C). Indeed, aged mice showed increased NREM sleep at 3 of the 4 time points during the dark period ($F(8,11) = 7.3$, $p = 0.002$, Fig. 1D). REM sleep was overall unchanged when averaged over 24 hours (t-test, $p = 0.17$, Fig. 1E). However, aged animals showed decreased REM sleep during the light phase ($F(2,17) = 6.51$, $p = 0.008$, Fig. 1E). When analyzed in 3 hour bins, aged animals showed decreased REM sleep during

Table 1. Bout duration in a given state is conditional on the previous state.

Age	Period	NREM to Wake	REM to Wake	p-value	REM to NREM	Wake to NREM	p-value
Young	Light	2	4	$2.09 \times 10^{-45*}$	10	14	0.001*
Young	Dark	2	4	$6.33 \times 10^{-19*}$	4.5	11	–
Old	Light	2	3	$1.64 \times 10^{-40*}$	9	16	0.002*
Old	Dark	2	5	$3.96 \times 10^{-24*}$	1	16	0.065

Wake and NREM bout durations were subdivided according to the previous state. Shown are the medians (in 4 second epochs) for each group. Kruskal-Wallis tests were used to compare bout durations for young and old mice during the light and dark period.

*indicates p-values that are significant after Bonferonni correction.

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most of the light phase and increased REM sleep during one time point of the dark phase ($F(8,11) = 4.3$, $p = 0.014$, Fig. 1F).

Aged mice do not sustain sleep/wake states

Next, we examined sleep and wake architecture in young and aged mice using conventional measures (*i.e.*, number of bouts and average bout duration) for each state. Aged mice had more bouts of wakefulness during the dark period compared to young mice ($F(2,17) = 14.90$, $p = 0.0002$) and the average duration of wake bouts was shorter in old mice during the dark phase ($F(2,17) = 18.07$, $p < 0.0001$, Fig. 2A). Similarly, the number of NREM bouts was higher in old mice during the dark period ($F(2,17) = 12.31$, $p = 0.0005$) and the average NREM bout duration was lower in aged animals ($F(2,17) = 9.7$, $p = 0.0015$, Fig. 2B). The number of REM bouts was not affected by age ($F(2,17) = 2.46$, $p = 0.1151$) and neither was the average duration of REM bouts ($F(2,17) = 2.14$, $p = 0.1484$, Fig. 2C). We also used a new spike-and-slab mixture model to compare sleep/wake microstructure in young and aged animals [23]. Typically, bout durations have been modeled as gamma-distributed random variables. However, we found that gamma assigns too little probability to short bouts and too much probability to very long bouts (Fig. 3). The short (spike) and long (slab) components of this model permit an improved fit of the distribution of bout durations in mice (Fig. 3). This was confirmed using BIC and Q-Q plots-based analyses. In addition, we found that the duration of a bout in a given state is dependent upon the previous state of the animals (Table 1). Therefore, each state was analyzed using two models (*i.e.* wake was subdivided into NREM to wake and REM to wake. Note: REM to NREM transitions were too rare to include in this analysis). The number of NREM to wake episodes and wake to

NREM bouts was higher in aged mice ($p = 9.35 \times 10^{-6}$ and $p = 6.43 \times 10^{-5}$, respectively, Fig. 4A). In addition, the average duration of the slab was shorter for both wake and NREM sleep in aged mice ($p = 1.53 \times 10^{-5}$ for NREM to wake and $p = 1.67 \times 10^{-3}$ for wake to NREM, Fig. 4B). Thus, the major difference between young and old mice is in the ability of old mice to sustain long bouts of NREM sleep and long bouts of wakefulness. The architecture of sleep/wake was only disrupted when animals were transitioning between wake and NREM sleep. Aging did not disrupt the architecture of REM sleep during the dark phase (Table 2) or during the light phase (Table 3).

Aged mice show slower theta peak frequency (TPF) and reduced slow wave activity (SWA) at low frequencies (0.5–1.5 Hz)

We used fast Fourier transform (FFT) of EEG recordings to examine the EEG spectral profiles during each behavioral state for both age groups. Wake EEG spectra from both young and old animals showed a peak in the theta frequency range but aged mice showed reduced power in the theta range at frequencies between 8.5–9.625 Hz (Tukey Studentized range t-test, Fig. 5A). Interestingly, age did not affect NREM sleep spectra, which showed a characteristic peak in the delta (0.5–4 Hz) frequency range in both young and aged animals (Fig. 5B). Aged mice showed higher power for a small range of frequencies of the REM sleep spectra (2.375–2.625, Fig. 5C). Theta peak frequency (TPF) was determined by recording the frequency at which absolute power was highest in the theta range (6–10 Hz). Aging slowed TPF by about 1.5 Hz from 7.5 ± 0.39 Hz to 6.03 ± 0.02 Hz in the wake EEG spectra (Satterthwaite t-test, $p = 0.0072$, Fig. 5D). Similarly, TPF was lower by about 0.34 Hz in aged mice (6.63 ± 0.05 Hz)

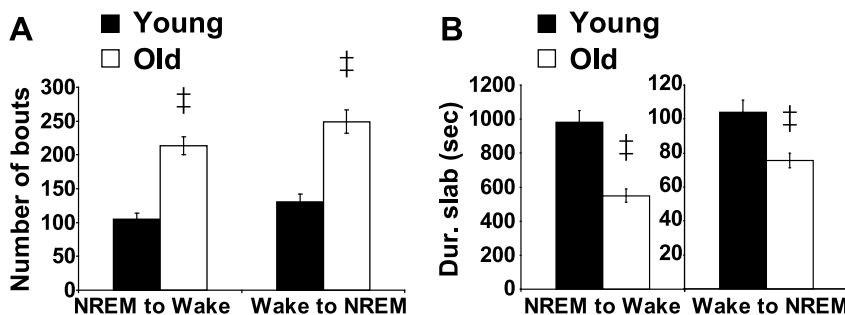


Figure 4. Aged mice are unable to sustain long bouts of wakefulness and NREM sleep. **A.** Number of bouts during the dark phase for young and old mice transitioning from NREM to wake (left) and from wake to NREM (right). Aged mice show an increased number of episodes for both states. **B.** Average duration of slabs (long bouts) for mice transitioning from NREM to wake (left) and wake to NREM (right). The duration of the slabs for both transitions is reduced in aged animals. Mean \pm s.e.m., ‡ $p < 0.00625$ (Bonferroni correction). doi:10.1371/journal.pone.0081880.g004

Table 2. Spike-and-slab analysis, dark period.

Transition	Quantity	Young	Old	p-value
NREM to REM	n	25.88±9.06	36.00±16.10	0.125
	μ	19.22±4.20	20.93±7.52	0.569
	π	0.55±0.16	0.59±0.23	0.7
NREM to Wake	n	104.63±25.06	213.67±46.01*	9.35×10⁻⁶
	μ	245.79±48.58	137.39±34.54*	1.53×10⁻⁵
	π	0.79±0.085	0.81±0.04	0.574
REM to Wake	n	25.88±8.86	35.67±16.12	0.136
	μ	363.83±363.224	93.55±52.70	0.019
	π	0.77±0.07	0.80±0.08	0.437
Wake to NREM	n	130.38±31.86	249.08±59.11*	6.43×10⁻⁵
	μ	26.02±5.07	18.89±3.60*	1.67×10⁻³
	π	0.27±0.09	0.28±0.16	0.794

Spike-and-slab analysis of each state during the dark period for young and old mice. n = number of episodes, μ = average duration of slabs (long bouts, in epochs), π = probability that the animal is in a spike (short bout). Mean ± standard deviation.

*p < 0.00625 (Bonferroni correction).

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compared to young (6.97±0.09 Hz) in the REM spectra (t-test, p = 0.0026, Fig. 5D). The height of the peak in the theta range was not changed by aging during wake or REM sleep. Slow wave activity (SWA), the spectral power of the EEG in the 0.5–4 Hz range during NREM sleep, is the best characterized marker of sleep intensity and changes in response to sleep loss [25]. SWA (0.5–4 Hz) decreased over the course of the light phase (F(11,176) = 22.59, p < 0.0001, Fig. 5E), and SWA (0.5–4 Hz) was not different between young and aged mice (F(11,176) = 1.72, p = 0.1406, Fig. 5E). These results suggest that sleep pressure is discharged similarly during the rest period in young and aged

mice. During the dark period, increased SWA was more pronounced in young animals compared to aged mice (F(11,99) = 5.58, p = 0.0009, Fig. 5E). Time spent awake during the dark phase was not correlated with SWA (0.5–4 Hz) during the first two hours of the subsequent light phase (Pearson's, R² = 0.14, p = 0.54).

SWA in lower frequencies (0.5–1.5 Hz) is sensitive to changes in arousal and exploratory behavior during the preceding active period [26,27]. Thus, changes in low frequency SWA (0.5–1.5 Hz) is indicative of altered vigilance and exploration during wakefulness. We found that low frequency SWA (0.5–1.5 Hz) decreases over the course of the light phase (F(11,165) = 16.75, p < 0.0001, Fig. 5F) and that low frequency SWA is lower during the first two hours of the light phase in aged mice compared to young animals (F(11,165) = 2.96, p = 0.0038, Fig. 5F). During the dark phase, age did not affect low frequency SWA (F(11,99) = 0.87, p = 0.5086, Fig. 5F). Interestingly, time awake during the active period was positively correlated with low frequency SWA during the first two hours of the subsequent light phase (Pearson's, R² = 0.49, p = 0.03), suggesting that the decrease in low frequency SWA is due in part to reduced wake during the active period. One possibility is that aged animals have less opportunity for exploration during the dark phase, which leads to reduced low frequency SWA during the early part of the light phase.

Discussion

We confirmed and extended previous studies examining age-associated changes in sleep/wake patterns with disturbances of sleep and wakefulness in aged mice [7,8,9,10,11,12,13,14,15,16,17,18]. We found that aged mice have reduced wakefulness and did not sustain long periods of wake during the active phase. Decreased wake with aging was accompanied by increased NREM sleep and aged mice did not sustain long periods of NREM sleep compared to young adult animals. Using the spike and slab formulation, we found that transitions between wakefulness and NREM sleep seem particularly sensitive to the deleterious effects of aging. Interestingly, destabilization of wakefulness and NREM sleep have also been reported in the elderly [28,29,30]. Spectral analysis of EEG signals

Table 3. Spike-and-slab analysis, light period.

Transition	Quantity	Young	Old	p-value
NREM to REM	n	84.00±11.28	77.00±16.51	0.311
	μ	21.74±1.54	22.14±3.06	0.734
	π	0.45±0.11	0.54±0.11	0.074
NREM to Wake	n	205.38±49.69	224.67±49.65	0.406
	μ	90.64±21.43	84.91±22.02	0.571
	π	0.89±0.03	0.83±0.06	0.025
REM to Wake	n	80.50±10.39	73.00±16.73	0.275
	μ	66.29±41.66	42.06±17.29	0.086
	π	0.88±0.05	0.86±0.10	0.533
Wake to NREM	n	284.88±53.42	297.25±58.10	0.636
	μ	25.52±4.28	23.73±4.85	0.409
	π	0.22±0.09	0.26±0.12	0.529
REM to NREM	n	4.125±1.126	4.167±2.250	0.962
	μ	12.346±10.995	16.584±18.730	0.572
	π	0.250±0.250	0.310±0.358	0.69

Spike-and-slab analysis of each state during the light period for young and old mice. n = number of episodes, μ = average duration of slabs (in epochs), π = probability that the animal is in a spike. Mean ± standard deviation. No differences were significant during the light period.

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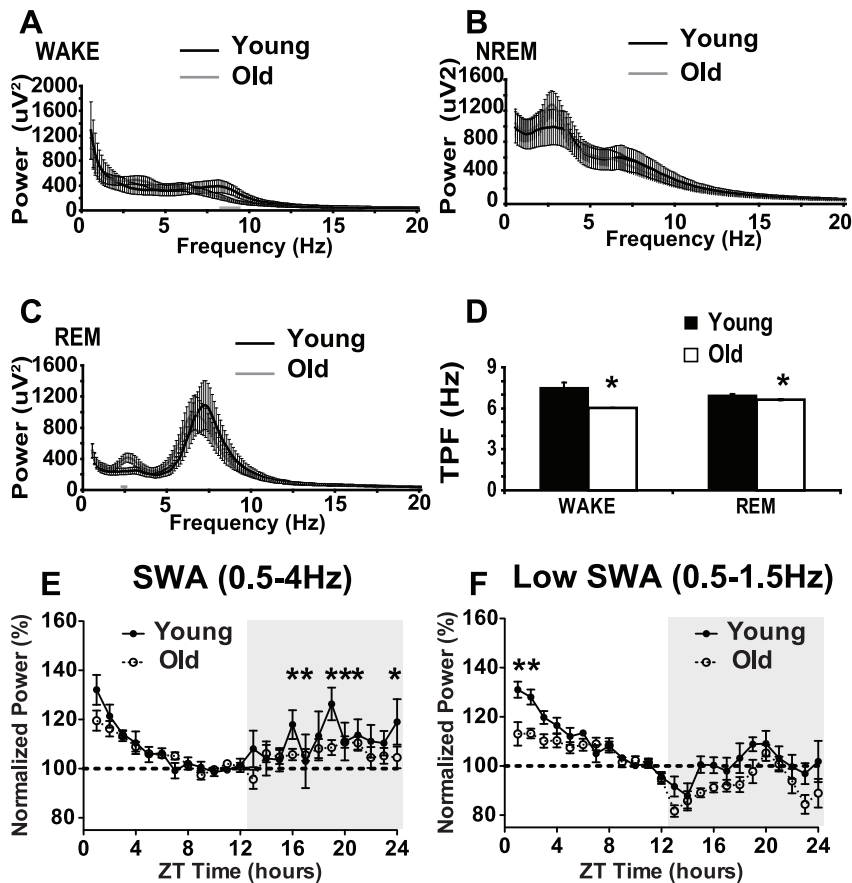


Figure 5. Aging slows theta peak frequency (TPF) and decreases slow wave activity (SWA) at low frequencies (0.5–1.5 Hz). **A.** Wake EEG spectra calculated during the dark period for young and old mice. Aged animals show lower power in the higher frequency range. **B.** EEG spectra for NREM sleep generated during the light period for young and aged mice. Aging did not profoundly affect NREM spectral profile. **C.** EEG spectra of REM sleep computed during the light phase for young and old mice. **D.** Theta peak frequency (TPF) for wake and REM EEG during the dark and light phase, respectively. TPF slowed with aging for both states. **E.** Slow wave activity (0.5–4 Hz, SWA) of NREM sleep for young and old mice expressed relative to the last 4 hours of the light period (= 100%) for each animal. Grey area denotes the dark period. **F.** Low frequency (0.5–1.5) SWA of NREM sleep young and old mice expressed relative to the last 4 hours of the light period (= 100%) for each animal. Grey area denotes the dark period. Mean \pm s.e.m., gray bar and * indicate $p < 0.05$. doi:10.1371/journal.pone.0081880.g005

revealed that activity in the theta frequency range, a correlate of arousal, was slowed in aged animals. Slow wave activity (SWA) at low frequencies (0.5–1.5 Hz) during the early part of the light phase is sensitive to vigilance and exploration during the previous period of wakefulness. We found that aged animals have decreased SWA at low frequencies, suggesting that aging also reduces vigilance during the active period. Taken together, our results suggest that decreased wakefulness in aged mice is due to the inability to sustain long periods, which causes reduced arousal and vigilance.

Studies in the past 50 years have mapped the complex neural circuitry that controls wakefulness and sleep. The consensus based on these electrophysiological, genetic and lesion experiments is that interactions between wake-producing and sleep-promoting networks control transitions from one state to the next, whereas separate components of these networks stabilize each state [27,31,32,33,34]. Consistent with this idea, behavioral states can be further classified into short and long bouts in rodents [20,21,22,35,36,37]. Historically, the conventional measures that are used to characterize behavioral structure measure each of these components separately by computing the number of transitions from one state to the next, the number of bouts for

each state and the average bout duration for wake, NREM and REM sleep [27,38]. However, these standard metrics are correlated with one another and do not give independent views of each behavioral state. Our improved understanding of the biological mechanisms governing sleep/wake structure necessitated the creation of new models that better reflect and capture the complexity of these processes. Some recent models of sleep/wake dynamics have used a mixture of distributions to account for the uneven distribution of bout lengths [22,23,39,40]. Our approach builds upon this literature, using a mixture of two distributions to simultaneously model short and long processes for each state transition. This spike and slab formulation permits the quantification of all unique states, number of bouts and bout duration for each sub-stage of wake, NREM and REM sleep. We believe this model better reflects the complexity of sleep/wake dynamics in mice. We used 4-second epochs to score sleep because it is the more commonly used sleep scoring method in mice [9,24,41]. The spike and slab formulation was previously used to confirm that sleep/wake architecture is under genetic control [23]. Both 4-second and 10-second epochs were used to score sleep stages in this study and the length of the scoring epoch had no bearing on the outcome of the spike and slab analysis [23]. Future work could

examine whether scoring sleep/wake using shorter and longer epochs (i.e. 2 or 8 seconds) would affect the results of the spike and slab analysis in the context of aging. The current study shows for the first time that spike-and-slab analysis can be used to detect changes in sleep/wake microstructure that accompany aging in mice.

Using the spike and slab analysis, we found that transitions from wake to NREM sleep and NREM sleep to wake were uniquely altered by aging and that REM sleep architecture was unchanged with age. These results are consistent with rodent and human studies, which show that sleep becomes more fragmented with age [9,10,13,28,29,30]. Changes in sleep architecture may contribute to alterations in the quality of sleep and wake in aged animals. In rats, hippocampal theta activity during wakefulness is indicative of arousal, exploratory behavior, and spatial navigation [42] and we found that aging slowed the peak in the theta component of the wake EEG spectra. Theta activity is also prevalent during REM sleep and aging slowed the peak in the theta component of the REM EEG spectra. Interestingly, spatial navigation is impaired by aging [11,43], and theta activity in the hippocampus during REM sleep is experience dependent [44]. Hence, one possibility is that decreased exploration in aged mice alters hippocampal theta activity during wakefulness and during subsequent REM sleep. We measured SWA (0.5–4 Hz), a marker of sleep pressure and SWA at low frequencies (0.5–1.5 Hz) during NREM sleep because this low frequency range is particularly sensitive to changes in exploratory behavior and reductions in arousal during the previous wake period [26,27]. For instance, animals with lesions to the LC show reduced exploratory behavior and decreased low frequency SWA during the early part of the light phase [26]. SWA (0.5–4 Hz) during the dark period was lower in aged mice, suggesting that fragmented wakefulness interfered with the normal build up of sleep pressure during the active period. Consistent with this possibility, we found that reduced wake time during the dark period likely contributes to decreased low frequency SWA (0.5–1.5 Hz) in aged mice during the following first two hours of the light phase. Because the correlation between wake time and SWA is fairly weak, other factors are likely to contribute to reduced SWA in lower frequency ranges in aged mice. Theta activity and exploratory behavior during wake can affect subsequent SWA

during sleep [45], suggesting that slower TPF and lower SWA (<1.5 Hz) in aged animals may be linked. Studies in human and rodents indicate that deterioration of sleep/wake with aging may contribute to age-related cognitive impairments [46,47,48,49,50,51,52]. Our results suggest that aging affects the quality of wakefulness, which may also contribute to memory deficits. Further studies would be needed to explore this possibility.

The age-related changes in sleep/wake patterns that we observed are specific to circadian time, as previously reported [7,9,17], indicating that changes in circadian rhythms with aging may be linked to disruptions of sleep/wake states. Consistent with this hypothesis, the superchiasmatic nucleus (SCN), which drives circadian rhythm in mammals, is directly affected by aging. SCN cells show altered firing patterns or cease to fire rhythmically in aged mice [53,54], which may lead to reduced wakefulness during the active period [53,55]. In addition, the rhythm of clock gene expression in the SCN of aged mice shows reduced amplitude [56]. Therefore, lower SCN outputs during the dark phase may contribute to destabilization of wakefulness and NREM sleep.

In conclusion, the spike-and-slab approach, which simultaneously models short and long processes for all state transitions, is useful for characterizing age-related changes in sleep/wake microstructure. The major effect of age is to limit the durations of NREM sleep and wake that old mice can sustain during the active period. This provides a foundation for future investigations of the mechanisms involved in how the maintenance of wake and NREM sleep is altered by aging.

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Author Contributions

Conceived and designed the experiments: MW AW AP TA. Performed the experiments: MW. Analyzed the data: MW JR RG. Contributed reagents/materials/analysis tools: AW RJ. Wrote the paper: MW. Edited the manuscript: AW AP TA.

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