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# Prime ideals of the enveloping algebra of the Euclidean algebra and a classification of its simple weight modules

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# Prime ideals of the enveloping algebra of the Euclidean algebra and a classification of its simple weight modules

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A classification of the simple *weight* modules is given for the (6-dimensional) Euclidean Lie algebra  $e(3) = \mathfrak{sl}_2 \ltimes V_3$ . As an intermediate step, a classification of *all* simple modules is given for the centralizer *C* of the Cartan element *H* (in the universal enveloping algebra  $\mathcal{U} = U(e(3))$ ). Generators and defining relations for the algebra *C* are found (there are three quadratic relations and one cubic relation). The algebra *C* is a Noetherian domain of Gelfand-Kirillov dimension 5. Classifications of prime, primitive, completely prime, and maximal ideals are given for the algebra  $\mathcal{U}$ . *Published by AIP Publishing*. [http://dx.doi.org/10.1063/1.4973378]

## I. INTRODUCTION

The semidirect product of groups  $E(3) \simeq SO(3) \ltimes \mathbb{R}^3$  is called the *Euclidean group*. The *Euclidean algebra* e(3) is the complexification of the Lie algebra of E(3). Various classes of modules over the Euclidean algebra have been constructed and studied by many authors.<sup>11,19,26,28</sup> In particular, in Ref. 11 the simple Whittaker and quasi-Whittaker e(3)-modules were classified. In Refs. 12 and 13, families of indecomposable representations of e(3) are constructed by embedding the Euclidean algebra e(3) into the simple Lie algebra  $\mathfrak{sl}(4,\mathbb{C})$  and using the irreducible representations of  $\mathfrak{sl}(2,\mathbb{C})$ , respectively. The Euclidean algebra e(3) is a member of a more general class of Lie algebras, the so-called conformal Galilei algebras. The representation theory for these algebras was developed in Refs. 1, 2, 23, 24, and 27.

In this paper,  $\mathbb{K}$  is a field of characteristic zero unless stated otherwise. The Euclidean algebra e(3) is a 6-dimensional Lie algebra with basis *H*, *E*, *F*, *X*, *Y*, *Z*, and Lie bracket as follows:

$$[H,E] = 2E, \quad [H,F] = -2F, \quad [E,F] = H, \qquad [H,X] = 2X, \quad [H,Y] = -2Y, \\ [H,Z] = 0, \qquad [E,Y] = 2Z, \qquad [E,Z] = 2X, \qquad [E,X] = 0, \qquad [F,X] = Z, \\ [F,Z] = Y, \qquad [F,Y] = 0, \qquad [X,Y] = [Y,Z] = [X,Z] = 0.$$

The Lie algebra e(3) is neither semisimple nor solvable. It is the semidirect product  $e(3) = \mathfrak{sl}_2 \ltimes V_3$ of Lie algebras where  $\mathfrak{sl}_2 = \mathbb{K}H \oplus \mathbb{K}E \oplus \mathbb{K}F$  and  $V_3 = \mathbb{K}X \oplus \mathbb{K}Y \oplus \mathbb{K}Z$  is an abelian Lie algebra which is the three dimensional simple  $\mathfrak{sl}_2$ -module. Let  $\mathcal{U} := U(\mathfrak{e}(3))$  be the universal enveloping algebra of  $\mathfrak{e}(3)$ . Then  $\mathcal{U}$  is a Noetherian domain of Gelfand-Kirillov dimension 6. A quantum analog of  $\mathcal{U}$ , the *quantum Euclidean algebra*, was defined and studied in Ref. 6 where its prime, completely prime, primitive, and maximal ideals were classified.

Classification of prime ideals of  $\mathcal{U}$ . The centre of the algebra  $\mathcal{U}$  is a polynomial algebra  $\mathcal{Z} = \mathbb{K}[C_1, C_2]$ , where  $C_1 = XY - \frac{1}{2}Z^2$  and  $C_2 = EY + HZ - 2FX$  (Proposition 2.4.(2)). By a different method, this result was also obtained in Ref. 11. The vector space  $V_3$  is a Lie ideal of  $\mathfrak{e}(3)$ . Hence,  $(V_3)$  is an ideal of the algebra  $\mathcal{U}$  such that  $\mathcal{U}/(V_3) \simeq U := U(\mathfrak{sl}_2)$  and Spec  $(\mathcal{U}) \subseteq$  Spec  $(\mathcal{U})$ . Furthermore,  $(V_3) = (X) = (Y) = (Z)$  (Lemma 2.6.(1)). In Section II, the following classification of prime ideals of the algebra  $\mathcal{U}$  is obtained.

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**Theorem 1.1.** *1.* Spec  $(\mathcal{U}) = \{(Z, \mathfrak{p}) \mid \mathfrak{p} \in \text{Spec}(U(\mathfrak{sl}_2))\} \sqcup \{(\mathfrak{p}) \mid \mathfrak{p} \in \text{Spec}(\mathbb{K}[C_1, C_2])\}$ . *2. The inclusions of prime ideals are given in the following diagram:* 



where  $\mathcal{M} := Max(\mathbb{K}[C_1, C_2]) \setminus \{(C_1, C_2)\}, \ \mathcal{P}_0 := \{ \mathfrak{p} \in Spec (\mathbb{K}[C_1, C_2]) \mid ht(\mathfrak{p}) = 1, \mathfrak{p} \subset (C_1, C_2) \},$ and  $\mathcal{P}_1 := \{ \mathfrak{p}_1 \in Spec (\mathbb{K}[C_1, C_2]) \mid ht(\mathfrak{p}_1) = 1, \mathfrak{p}_1 \notin (C_1, C_2) \}.$ 

The idea of the proof is to use localizations of the algebra  $\mathcal{U}$  and repeated application of Proposition 2.8. As a corollary of Theorem 1.1, the sets of maximal, primitive, and completely prime ideals of the algebra  $\mathcal{U}$  are described (Corollary 2.9, Corollary 2.10, and Theorem 2.11). The algebra  $\mathcal{U}$  is a free (left and right) module over the polynomial subalgebra  $\mathbb{K}[C_1, C_2, H, Z]$ (Proposition 2.5). In particular, it is a free module over its centre  $\mathbb{K}[C_1, C_2]$ .

The prime or/and primitive ideals of various quantum algebras (and their classification) are considered in Refs. 9, 10, 14–18, and 20–22.

The centralizer  $C_{\mathcal{U}}(H)$ , its generators and defining relations, a classification of simple  $C_{\mathcal{U}}(H)$ modules. In Section III, it is proved that, as an abstract algebra, the centralizer  $C_{\mathcal{U}}(H) := \{u \in \mathcal{U} | uH = Hu\}$  of the element H in  $\mathcal{U}$  is generated by elements  $C_1, C_2, H, Z, \theta$ , and  $\phi$  subject to the defining relations (Theorem 3.2) as follows:

$$\begin{aligned} [\phi, Z] &= Z^2 + 2C_1, \\ [\theta, Z] &= 2\phi + (H - 2)Z - C_2, \\ [\theta, \phi] &= 2(\theta + H)Z - H\phi, \\ \phi(\phi + HZ - C_2) &= (\theta + H)(Z^2 + 2C_1), \end{aligned}$$

where the elements  $C_1, C_2$ , and H are central. The algebra  $C_{\mathcal{U}}(H)$  is a Noetherian domain of Gelfand-Kirillov dimension 5 (Theorem 3.2). An  $\mathcal{U}$ -module M is called a *weight module* if  $M = \bigoplus_{\mu \in \mathbb{K}} M_{\mu}$ , where  $M_{\mu} = \{m \in M \mid Hm = \mu m\}$ . An element  $\mu \in \mathbb{K}$  such that  $M_{\mu} \neq 0$  is called a *weight* of M. Every weight space  $M_{\mu}$  is a module over the centralizer  $C_{\mathcal{U}}(H)$ . If the weight  $\mathcal{U}$ -module M is *simple*, then necessarily each nonzero  $M_{\mu}$  is a *simple*  $C_{\mathcal{U}}(H)$ -module. Therefore, as the first step in classifying simple weight  $\mathcal{U}$ -modules we have to classify *all* simple  $C_{\mathcal{U}}(H)$ -modules. This is done in Sections V and IV, respectively, whether a simple  $C_{\mathcal{U}}(H)$ -module is annihilated by the element  $C_1$  or not. These results are too technical to describe in the Introduction. Briefly, the problem of classification of simple  $C_{\mathcal{U}}(H)$ -modules is reduced to one but for the factor algebras  $C^{\lambda_1,\lambda_2,\mu} \coloneqq C_{\mathcal{U}}(H)/C_{\mathcal{U}}(H)(C_1 - \lambda_1, C_2 - \lambda_2, H - \mu)$  where  $\lambda_1, \lambda_2, \mu \in \mathbb{K}$  (we assume that  $\mathbb{K}$  is an algebraically closed field). It turns out that the cases  $\lambda_1 \neq 0$ ;  $\lambda_1 = 0, \lambda_2 \neq 0$ ; and  $\lambda_1 = 0, \lambda_2 = 0$  are very different and different techniques are used in each of them. In each case, localizations of the algebra  $C^{\lambda_1,\lambda_2,\mu}$  are used to partition its simple modules into torsion and torsionfree classes. A "generic" simple module depends on arbitrarily large number of independent parameters.

A classification of simple, finite dimensional  $C_{\mathcal{U}}(H)$ -modules is given (Theorem 3.13 and Theorem 5.3.(1)). Theorem 3.12 and Theorem 5.4 give a semisimplicity criterion for the algebra  $C^{\lambda_1,\lambda_2,\mu}$ .

**Theorem 1.2.** Let  $\mathbb{K}$  be an algebraically closed field of characteristic zero. Then the algebra  $C^{\lambda_1,\lambda_2,\mu}$  is simple if and only if either

1. 
$$\lambda_1 \neq 0 \text{ and } \frac{1}{2} \left( \mu \pm \frac{\lambda_2}{\sqrt{-2\lambda_1}} \right) \notin \mathbb{Z} \setminus \{0\} \text{ or }$$

$$2. \quad \lambda_1 = 0, \ \lambda_2 \neq 0.$$

Classification of simple weight *U*-modules. Briefly, the problem of classification of simple weight  $\mathcal{U}$ -modules comprises three steps as follows: Step 1: To classify all simple  $C_{\mathcal{U}}(H)$ -modules. Step 2: How to reassemble some of the simple  $C_{\mathcal{U}}(H)$ -modules into a simple weight  $\mathcal{U}$ -module? Step 3: Isomorphism problem for simple weight  $\mathcal{U}$ -modules.

Step 1 was done in Sections IV and V. In Section VI, simple weight  $\mathcal{U}$ -modules are classified. The main idea in finding the set  $\hat{\mathcal{U}}$  (weight) of simple weight  $\mathcal{U}$ -modules is to use certain explicit localizations of the algebra  $\mathcal{U}$  to partition the set  $\hat{\mathcal{U}}$  (weight) into various classes and then to classify modules of each class. In more detail,

$$\widehat{\mathcal{U}} \text{ (weight)} = \widehat{\mathcal{U}} \text{ (weight, } X \text{-torsion)} \sqcup \widehat{\mathcal{U}} \text{ (weight, } X \text{-torsionfree, } Y \text{-torsion})$$
$$\sqcup \widehat{\mathcal{U}} \text{ (weight, } (X, Y) \text{-torsionfree)}$$

and the simple weight modules from first two sets are described in Theorem 6.13 and Proposition 6.15, respectively. The third set is a disjoint union of two subsets  $\hat{\mathcal{U}}([1])$  and  $\hat{\mathcal{U}}([2])$ , see (56). The modules from  $\hat{\mathcal{U}}(\Pi)$  (respectively,  $\hat{\mathcal{U}}(\Omega)$ ) are described in Theorem 6.20 (respectively, Theorem 6.23).

In Section VI, simplicity criteria are given for the Verma modules and their dual analogs (Proposition 6.1.(3) and Proposition 6.2.(3)). Simple highest/lowest weight  $\mathcal{U}$ -modules are classified (Proposition 6.3 and Proposition 6.4). The *finite-infinite dimension dichotomy* was proved for simple U-modules (Corollary 6.8): For each simple weight U-module, all its (nonzero) weight spaces are either finite or infinite dimensional. Theorem 6.7 classifies all the simple weight  $\mathcal{U}$ -modules with finite dimensional weight spaces.

#### II. PRIME IDEALS OF THE ALGEBRA $\mathcal U$

In this section, it is proved that the centre of the algebra  $\mathcal{U}$  is a polynomial algebra  $\mathbb{K}[C_1, C_2]$ where  $C_1$  and  $C_2$  are quadratic elements of  $\mathcal{U}$  (Proposition 2.4.(2)) and that the algebra  $\mathcal{U}_X$  is a tensor product of three explicit algebras (Proposition 2.4.(1)). This fact is a key in finding the prime spectrum of the algebra  $\mathcal{U}$  (Theorem 1.1). Explicit descriptions of the sets of maximal, primitive, and completely prime ideals of the algebra  $\mathcal{U}$  are obtained (Corollary 2.9, Corollary 2.10, and Theorem 2.11).

Recall that an *involution* \* on a K-algebra is a K-algebra anti-automorphism  $((ab)^* = b^*a^*)$ such that  $a^{**} = a$  for all  $a \in A$ . The algebra  $\mathcal{U}$  admits an involution \* defined by the rule

$$F^* = -E, \quad H^* = H, \quad E^* = -F, \quad Y^* = 2X, \quad Z^* = Z, \quad X^* = \frac{1}{2}Y.$$
 (2)

*The automorphism i*: The algebra  $\mathcal{U}$  admits automorphisms

- $\iota: E \mapsto F, \qquad H \mapsto -H, \qquad F \mapsto E, \qquad X \mapsto -\frac{1}{2}Y, \qquad Z \mapsto -Z, \qquad Y \mapsto -2X, \quad (3)$  $\gamma: E \mapsto E, \qquad H \mapsto H, \qquad F \mapsto F, \qquad X \mapsto -X, \qquad Z \mapsto -Z, \qquad Y \mapsto -Y, \quad (4)$
- $\iota\gamma: \quad E\mapsto F, \qquad H\mapsto -H, \qquad F\mapsto E, \qquad X\mapsto \frac{1}{2}Y, \qquad Z\mapsto Z, \qquad Y\mapsto 2X.$ (5)

Clearly,  $\iota \gamma = \gamma \iota$  and  $\iota^2 = \gamma^2 = (\iota \gamma)^2 = \mathrm{id}_{\mathcal{U}}$ . The universal enveloping algebra  $\mathcal{U} = U(\mathfrak{e}(3))$  admits the canonical involution  $\kappa$  given by the rule  $\kappa(e) = -e$  for all  $e \in e(3)$ . Clearly,

$$\iota = \kappa \circ *. \tag{6}$$

Recall that the *n*th Weyl algebra  $A_n = A_n(\mathbb{K})$  is an associative algebra generated by elements  $x_1, \ldots, x_n, y_1, \ldots, y_n$  subject to the defining relations:  $[x_i, x_j] = 0$ ,  $[y_i, y_j] = 0$ , and  $[y_i, x_j] = \delta_{ij}$ , where [a,b] := ab - ba and  $\delta_{ii}$  is the Kronecker delta function. The Weyl algebra  $A_n$  is a central, simple Noetherian domain of Gelfand-Kirillov dimension 2n. For an algebra R, we denote by Z(R)its centre. For a subset  $S \subset R$ , we denote by (S) the ideal of R generated by the elements of S. An element  $a \in R$  is called a *normal element* if aR = Ra.

Lemma 2.1. [Ref. 25, Lemma 14.6.5] Let B be a  $\mathbb{K}$ -algebra,  $S = B \otimes A_n$  be the tensor product of the algebra B and the Weyl algebra  $A_n$ ,  $\delta$  be a  $\mathbb{K}$ -derivation of S, and  $T = S[t; \delta]$ . Then there exists an element  $s \in S$  such that the algebra  $T = B[t'; \delta'] \otimes A_n$  is a tensor product of algebras where t' = t + s and  $\delta' = \delta + ad_s$ .

Next, we consider two subalgebras  $\mathcal{E}$  and  $\mathcal{A}$  of  $\mathcal{U}$  that are used in finding the prime spectrum of  $\mathcal{U}$ .

The subalgebra  $\mathcal{E}$ . Let  $\mathcal{E}$  be the subalgebra of  $\mathcal{U}$  generated by the elements E, X, Y, and Z. Then  $\mathcal{E}$  is an iterated Ore extension  $\mathcal{E} = \mathbb{K}[X, Z][E; \delta_1][Y; \delta_2]$ , where  $\delta_1(X) = 0$ ,  $\delta_1(Z) = 2X$ ,  $\delta_2(X) = \delta_2(Y) = 0$ , and  $\delta_2(E) = -2Z$ . Clearly, X is a central element of  $\mathcal{E}$  and the subalgebra  $\mathbb{K}[X, Z][E; \delta_1]$  is isomorphic to the enveloping algebra of the three dimensional Heisenberg Lie algebra. Let  $\mathcal{E}_X$  be the localization of  $\mathcal{E}$  at the powers of the element X. Then

$$\mathcal{E}_X = \left(\mathbb{K}[X^{\pm 1}] \otimes A_1\right)[Y; \delta_2],$$

where  $A_1 = \mathbb{K}\langle EX^{-1}, \frac{1}{2}Z \rangle$  is the first Weyl algebra since  $[EX^{-1}, \frac{1}{2}Z] = 1$ . Now, using Lemma 2.1 we can "delete" the derivation  $\delta_2$ . Specifically, the element  $s = -\frac{1}{2}Z^2X^{-1}$  satisfies the conditions of Lemma 2.1, and the element  $Y' := Y + s = Y - \frac{1}{2}Z^2X^{-1}$  commutes with the elements of  $A_1$ . Notice that Y' also commutes with X, we have

$$\mathcal{E}_X = \mathbb{K}[X^{\pm 1}, Y'] \otimes A_1 = \mathbb{K}[X^{\pm 1}, C_1] \otimes A_1, \tag{7}$$

where  $C_1 := Y'X = XY - \frac{1}{2}Z^2$ . Note that  $C_1$  belongs to the centre of  $\mathcal{U}$ .

*Lemma 2.2.*  $Z(\mathcal{E}) = \mathbb{K}[X, C_1]$ .

*Proof.* By (7), 
$$Z(\mathcal{E}_X) = \mathbb{K}[X^{\pm 1}, C_1]$$
. Then  $Z(\mathcal{E}) = \mathcal{E} \cap Z(\mathcal{E}_X) = \mathcal{E} \cap \mathbb{K}[X^{\pm 1}, C_1] = \mathbb{K}[X, C_1]$ .  $\Box$ 

The subalgebra  $\mathcal{A}$ . Let  $\mathcal{A}$  be the subalgebra of the  $\mathcal{U}$  generated by the elements H, E, X, Y, and Z. Then  $\mathcal{A}$  is isomorphic to the enveloping algebra of the Lie subalgebra  $\mathfrak{a} := \mathbb{K}H \oplus \mathbb{K}E \oplus \mathbb{K}X \oplus \mathbb{K}Y \oplus \mathbb{K}Z$  of e(3). Notice that  $\mathfrak{a}$  is a solvable Lie algebra, thus every prime ideal of  $\mathcal{A}$ is completely prime [Ref. 25, Corollary 14.5.5]. Clearly,  $\mathcal{A}$  is an Ore extension  $\mathcal{A} = \mathcal{E}[H; \delta]$ , where  $\delta$  is a derivation of  $\mathcal{E}$  defined by  $\delta(E) = 2E, \ \delta(X) = 2X, \ \delta(Y) = -2Y$ , and  $\delta(Z) = 0$ . The element X is a normal element of the algebra  $\mathcal{A}$  since X is central in  $\mathcal{E}$  and XH = (H - 2)X. Let  $\mathcal{E}_X$  be the localization of  $\mathcal{E}$  at the powers of the element X. Then  $\mathcal{A}_X = \mathcal{E}_X[H; \delta]$ , by (7),  $\mathcal{A}_X = (\mathbb{K}[X^{\pm 1}, C_1] \otimes A_1)[H; \delta]$ . Since H commutes with the elements of  $A_1$ , the algebra  $\mathcal{A}_X$  is a tensor product of algebras

$$\mathcal{A}_X = \mathbb{K}[C_1] \otimes \mathbb{K}[X^{\pm 1}][H; \delta] \otimes A_1.$$
(8)

In particular,  $\mathcal{A}_X$  is a Noetherian domain of Gelfand-Kirillov dimension 5. The algebra  $\mathbb{K}[X^{\pm 1}][H; \delta]$  where  $\delta(X) = 2X$  and the Weyl algebra  $A_1$  are central simple algebras. Hence,  $Z(\mathcal{A}_X) = \mathbb{K}[C_1]$ .

Lemma 2.3.  $Z(\mathcal{A}) = \mathbb{K}[C_1].$ 

*Proof.* Since 
$$\mathbb{K}[C_1] \subseteq Z(\mathcal{A}) \subseteq \mathcal{A} \cap Z(\mathcal{A}_X) = \mathbb{K}[C_1]$$
, we have  $Z(\mathcal{A}) = \mathbb{K}[C_1]$ .

*Centre of*  $\mathcal{U}$ . By the defining relations of  $\mathcal{U}$ , we see that the algebra  $\mathcal{U}$  is a skew polynomial algebra

$$\mathcal{U} = \mathcal{R}[F;\sigma,\delta],\tag{9}$$

where  $\sigma$  is the automorphism of  $\mathcal{A}$  defined by  $\sigma(H) = H + 2$ ,  $\sigma(E) = E$ ,  $\sigma(X) = X$ ,  $\sigma(Y) = Y$ ,  $\sigma(Z) = Z$ , and  $\delta$  is the  $\sigma$ -derivation of  $\mathcal{A}$  defined by  $\delta(H) = \delta(Y) = 0$ ,  $\delta(E) = -H$ ,  $\delta(X) = X$ , and  $\delta(Z) = Y$ . Let  $\mathcal{U}_X$  be the localization of  $\mathcal{U}$  at the powers of the element X. Then  $\mathcal{U}_X = \mathcal{A}_X[F;\sigma,\delta]$ .

Proposition 2.4. 1.  $\mathcal{U}_X = \mathbb{K}[C_1, C_2] \otimes \mathbb{K}[X^{\pm 1}][H; \delta] \otimes A_1$  is a tensor product of algebras where  $C_2 := EY + HZ - 2FX$  and  $\delta(X) = 2X$ . 2.  $Z(\mathcal{U}) = \mathbb{K}[C_1, C_2]$ .

- 3.  $\mathcal{U}_{Z,X} \simeq \mathcal{U}_{X,Z} = \mathbb{K}[C_1, C_2] \otimes \mathbb{K}[X^{\pm 1}][H; \delta] \otimes B_1$ , where  $B_1 = A_{1,Z}$ .
- 4.  $C_1^* = \kappa(C_1) = \iota(C_1) = C_1 \text{ and } C_2^* = \kappa(C_2) = \iota(C_2) = C_2.$

*Proof.* 1. Let F' := FX. By (8) and (9),

$$\mathcal{U}_X = \mathcal{A}_X[F;\sigma,\delta] = \mathcal{A}_X[F';\delta'] = \left(\mathbb{K}[C_1] \otimes \mathbb{K}[X^{\pm 1}][H;\delta] \otimes A_1\right)[F';\delta'],$$

where  $\delta'$  is a derivation of  $\mathcal{A}_X$  such that  $\delta'(C_1) = 0$ ,  $\delta'(X) = XZ$ ,  $\delta'(H) = 0$ ,  $\delta'(EX^{-1}) = -H - EX^{-1} \cdot Z$ , and  $\delta'(Z) = YX$ . Using Lemma 2.1, we can "delete" the derivation  $\delta'$ . In more detail, the element  $s = -\frac{1}{2}HZ - \frac{1}{2}EY$  satisfies the conditions of Lemma 2.1, and the element  $\tilde{F} = F' + s = FX - \frac{1}{2}HZ - \frac{1}{2}EY$  commutes with the elements of  $A_1$ . Moreover,  $\tilde{F}$  commutes with X and H, hence  $\tilde{F}$  is central in  $\mathcal{U}_X$ . Let  $C_2 := -2\tilde{F} = EY + HZ - 2FX$ . Then  $\mathcal{U}_X = \mathbb{K}[C_1, C_2] \otimes \mathbb{K}[X^{\pm 1}][H; \delta] \otimes A_1$ , as required.

2. By statement 1,  $Z(\mathcal{U}_X) = \mathbb{K}[C_1, C_2]$ . Then the inclusions  $\mathbb{K}[C_1, C_2] \subseteq Z(\mathcal{U}) \subseteq \mathcal{U} \cap Z(\mathcal{U}_X) = \mathbb{K}[C_1, C_2]$  yield the equality  $Z(\mathcal{U}) = \mathbb{K}[C_1, C_2]$ .

3. Statement 3 follows from statement 1.

4. Straightforward (see also (6)).

Proposition 2.5. The set  $\mathcal{B} := \{E^i F^j, E^i F^j Y^k, E^i X^k \mid i, j \in \mathbb{N} \text{ and } k \in \mathbb{N}_+\}$  is a free basis of the (left and right)  $\mathbb{K}[C_1, C_2, H, Z]$ -module  $\mathcal{U}$ . In particular, the algebra  $\mathcal{U}$  is a free  $\mathbb{K}[C_1, C_2]$ -module.

*Proof.* As a vector space, the algebra  $\mathcal{U}$  is a tensor product  $U \otimes P_3$  of the vector spaces  $U = U(\mathfrak{sl}_2)$  and  $P_3 = U(V_3) = \mathbb{K}[X, Y, Z]$ . Since  $XY = C_1 + \frac{1}{2}Z^2$ , the polynomial algebra  $P_3$  is a free  $\mathbb{K}[C_1, Z]$ -module with a free basis  $\{1, X^k, Y^k \mid k \in \mathbb{N}_+\}$ . Using the equality  $FX = \frac{1}{2}(EY + HZ - C_2)$ , and the fact that  $V_3$  is an abelian ideal of the Lie algebra  $\mathfrak{e}(3) = \mathfrak{sl}_2 \ltimes V_3$ , the result follows.  $\Box$ 

The prime ideals of the algebra  $\mathcal{U}$ . The next two lemmas are key facts that are used in the proof of Theorem 1.1.

Lemma 2.6. 1. (X) = (Y) = (Z) = (X, Y, Z).

- 2.  $\mathcal{U}/(Z) \simeq U(\mathfrak{sl}_2).$
- 3. For all  $i \ge 1$ ,  $[X, F^i] = -iF^{i-1}Z + \frac{1}{2}i(i-1)F^{i-2}Y$ .
- 4. For all  $i \ge 1$ ,  $[Y, E^i] = -2iE^{i-1}Z + 2i(i-1)E^{i-2}X$ .

*Proof.* 1. Statement 1 follows immediately from the defining relations of  $\mathcal{U}$ .

2. Statement 2 follows from statement 1.

3. Statement 3 can be proved by induction on *i*.

4. Statement 4 follows from statement 3 by applying the automorphism  $\iota$ .

Lemma 2.7. 1.  $(Z) = \mathcal{U}Z + \mathcal{U}Y + \mathcal{U}X.$ 2.  $(Z)^i = (Z^i)$  for all  $i \ge 1$ .

*Proof.* 1. The inclusion  $Z\mathcal{U} \subseteq \mathcal{U}Z + \mathcal{U}Y + \mathcal{U}X$  holds in the algebra  $\mathcal{U}$ . This follows from the equalities  $[Z, E^i] = -2iE^{i-1}X$ ,  $[Z, F^i] = -iF^{i-1}Y$ , and Lemma 2.6.(3). Then  $(Z) \subseteq \mathcal{U}Z + \mathcal{U}Y + \mathcal{U}X \subseteq (X, Y, Z) = (Z)$ . Hence,  $(Z) = \mathcal{U}Z + \mathcal{U}Y + \mathcal{U}X$ .

2. It is clear that  $(Z^i) \subseteq (Z)^i$ . We prove that  $(Z)^i \subseteq (Z^i)$  by induction on *i*. The case i = 1 is obvious. Suppose that the inclusion holds for all i' < i. Then  $(Z)^i = (Z)(Z)^{i-1} \subseteq (Z)(Z^{i-1}) = \mathcal{U}Z\mathcal{U}Z^{i-1}\mathcal{U} = (\mathcal{U}Z + \mathcal{U}Y + \mathcal{U}X)Z^{i-1}\mathcal{U} = (Z^i) + (YZ^{i-1}) + (XZ^{i-1})$ , by statement 1. Notice that  $YZ^{i-1} \in (Z^i)$  since  $[F, Z^i] = iYZ^{i-1}$ , and  $XZ^{i-1} \in (Z^i)$  since  $[E, Z^i] = 2iXZ^{i-1}$ . Hence,  $(Z)^i \subseteq (Z^i)$ , as required.

For an algebra R, let Spec (R) be the set of its prime ideals. The set  $(Spec(R), \subseteq)$  is a partially ordered set (poset) with respect to inclusion of prime ideals. Each element  $r \in R$  determines two maps from R to  $R, r \colon x \mapsto rx$  and  $r \colon x \mapsto xr$ , where  $x \in R$ .

Proposition 2.8. (Ref. 5.) Let R be a Noetherian ring and s be an element of R such that  $S_s := \{s^i \mid i \in \mathbb{N}\}\$  is a left denominator set of the ring R and  $(s^i) = (s)^i$  for all  $i \ge 1$  (e.g., s is a normal element such that ker $(\cdot s_R) \subseteq \text{ker}(s_R \cdot)$ ). Then Spec  $(R) = \text{Spec}(R, s) \sqcup \text{Spec}_s(R)$ , where  $\text{Spec}(R, s) := \{\mathfrak{p} \in \text{Spec}(R) \mid s \in \mathfrak{p}\}$ ,  $\text{Spec}_s(R) = \{\mathfrak{q} \in \text{Spec}(R) \mid s \notin \mathfrak{q}\}\$  and

- (a) the map  $\operatorname{Spec}(R,s) \to \operatorname{Spec}(R/(s))$ ,  $\mathfrak{p} \mapsto \mathfrak{p}/(s)$ , is a bijection with the inverse  $\mathfrak{q} \mapsto \pi^{-1}(\mathfrak{q})$ where  $\pi : R \to R/(s), r \mapsto r + (s)$ .
- (b) The map  $\operatorname{Spec}_{s}(R) \to \operatorname{Spec}(R_{s}), \ \mathfrak{p} \mapsto S_{s}^{-1}\mathfrak{p}$ , is a bijection with the inverse  $\mathfrak{q} \mapsto \sigma^{-1}(\mathfrak{q})$  where  $\sigma : R \to R_{s} \coloneqq S_{s}^{-1}R, r \mapsto \frac{r}{1}$ .
- (c) For all  $\mathfrak{p} \in \operatorname{Spec}(R, s)$  and  $\mathfrak{q} \in \operatorname{Spec}_{s}(R), \mathfrak{p} \not\subseteq \mathfrak{q}$ .

In this paper, we identify the sets in the statements (a) and (b) via the bijections given there.

*Proof of Theorem 1.1.* The strategy of classifying the prime ideals of  $\mathcal{U}$  is to use the following localizations of the algebra  $\mathcal{U}$ 

together with the fact that  $(X^i)_Z = (X)_Z^i = \mathcal{U}_Z$  (which follows from the relation  $[Z^{-1}F,X] = 1$ ; in more detail, for all  $i \ge 1, 1 = \frac{1}{i!} \operatorname{ad}(Z^{-1}F)^i(X^i) \in (X^i)$ ). By Proposition 2.8, Spec  $(\mathcal{U}_Z) = \operatorname{Spec}(\mathcal{U}_{Z,X})$ and Spec  $(\mathcal{U}) = \operatorname{Spec}(\mathcal{U}/(Z)) \sqcup \operatorname{Spec}(\mathcal{U}_Z) = \operatorname{Spec}(U(\mathfrak{sl}_2)) \sqcup \operatorname{Spec}(\mathcal{U}_Z)$ . By Proposition 2.4.(3), Spec  $(\mathcal{U}_{Z,X}) = \operatorname{Spec}(\mathbb{K}[C_1, C_2])$  since the algebras  $\mathbb{K}[X^{\pm 1}][H; \delta]$  and  $A_{1,Z}$  are central simple algebras. By Proposition 2.5, the algebra  $\mathcal{U}$  is a free (left and right)  $\mathbb{K}[C_1, C_2, Z]$ -module. Therefore, for all  $\mathfrak{p} \in \operatorname{Spec}(\mathbb{K}[C_1, C_2]), \mathcal{U} \cap \mathfrak{p}\mathcal{U}_Z = \mathfrak{p}\mathcal{U}$ . Now, statement 1 is obvious. So all the prime ideals are presented in diagram (1) and the inclusions in (1) are obvious. Clearly, there are no additional inclusions in diagram (1).

The next corollary describes the set of maximal ideals  $Max(\mathcal{U})$  of the algebra  $\mathcal{U}$ .

*Corollary* 2.9. Max  $(\mathcal{U}) = Max (U(\mathfrak{sl}_2)) \sqcup Max (\mathcal{Z}) \setminus \{(C_1, C_2)\}.$ 

*Proof.* The equality follows from (1).

A prime ideal *P* of a ring *R* is said to be *locally closed* if the set {*P*} is locally closed in the topological space Spec (*R*) where Spec (*R*) is equipped with Zariski topology [Ref. 9, II.1.1]. A prime ideal *P* of a Noetherian  $\mathbb{K}$ -algebra *R* is said to be *rational* if the field  $Z(\operatorname{Frac}(R/P))$  is algebraic over  $\mathbb{K}$  where  $\operatorname{Frac}(R/P)$  is the left (right) quotient ring of the Noetherian prime algebra *R*/*P*. We say that the *Dixmier-Moeglin equivalence* holds for a Noetherian  $\mathbb{K}$ -algebra *A* if for each prime ideal *P* of *A* we have the following equivalences:

*P* is locally closed  $\iff$  *P* is primitive  $\iff$  *P* is rational.

The next corollary describes the set of primitive ideals  $Prim(\mathcal{U})$  of the algebra  $\mathcal{U}$ .

*Corollary 2.10.* Prim  $(\mathcal{U}) = Prim (U(\mathfrak{sl}_2)) \sqcup Max(\mathcal{Z}).$ 

*Proof.* Since  $\mathcal{U}$  is a universal enveloping algebra of a finite dimensional Lie algebra, it satisfies the Dixmier-Moeglin equivalence. By Ref. 9 [Lemma II.7.7], a prime ideal P in a ring R is locally closed if and only if the intersection of all prime ideals properly containing P is also an ideal properly containing P. By (1), the set of locally closed prime ideals is  $Prim(U(\mathfrak{sl}_2)) \sqcup Max(\mathbb{Z})$ . Then the corollary follows from the Dixmier-Moeglin equivalence for  $\mathcal{U}$ .

The next theorem describes the set of completely prime ideals  $\text{Spec}_c(\mathcal{U})$  of the algebra  $\mathcal{U}$  (its proof is given at the end of Section III).

**Theorem 2.11.** Let  $\mathcal{F}$  be the set of annihilators of simple finite dimensional  $U(\mathfrak{sl}_2)$ -modules of dimension  $\geq 2$ . Then  $\operatorname{Spec}_c(\mathcal{U}) = \operatorname{Spec}(\mathcal{U}) \setminus \mathcal{F}$ .

#### III. THE ALGEBRA $C_{\mathcal{U}}(H)$ , ITS GENERATORS, AND DEFINING RELATIONS

The aim of this section is to find generators and defining relations for the centralizer  $C_{\mathcal{U}}(H)$  of the element H in the algebra  $\mathcal{U}$  (Theorem 3.2.(1)), to show that the centre of  $C_{\mathcal{U}}(H)$  is a polynomial algebra  $\mathbb{K}[C_1, C_2, H]$  (Lemma 3.1.(2)), to prove that the algebra  $C_{\mathcal{U}}(H)$  is a free (left and right) module over its polynomial subalgebra  $\Gamma = \mathbb{K}[C_1, C_2, H, Z]$ , and to find an explicit free  $\Gamma$ -basis for  $C_{\mathcal{U}}(H)$  (Theorem 3.2.(2)). We introduced and studied the factor algebras  $C^{\lambda,\mu} = C^{\lambda_1,\lambda_2,\mu} :=$  $C_{\mathcal{U}}(H)/(C_1 - \lambda_1, C_2 - \lambda_2, H - \mu)$  of  $C_{\mathcal{U}}(H)$  (where  $\lambda_1, \lambda_2, \mu \in \mathbb{K}$ ) that play a key role in classifying simple weight  $\mathcal{U}$ -modules (in Section VI). The sets of prime, completely prime, maximal, and primitive ideals of the algebra  $C^{\lambda,\mu}$  are found (Theorem 3.16). Simple finite dimensional  $C^{\lambda,\mu}$ -modules are classified where  $\lambda_1 \neq 0$  (Theorem 3.13). We realize the algebra  $C_{\mathcal{U}}(H)$  as an algebra of differential operators ((12) and (13)).

The next lemma describes the centre of the algebra  $C_{\mathcal{U}}(H)$ .

Lemma 3.1. 1. 
$$C_{\mathcal{U}_X}(H) = \mathbb{K}[C_1, C_2, H] \otimes A_1$$
, where  $A_1 = \mathbb{K}\langle \frac{1}{2}EX^{-1}, Z \rangle$ .  
2.  $Z(C_{\mathcal{U}_X}(H)) = Z(C_{\mathcal{U}_X}(H)) = \mathbb{K}[C_1, C_2, H]$ .

*Proof.* 1. The result follows from Proposition 2.4.(1). 2. Statement 2 follows from statement 1 since  $Z(A_1) = \mathbb{K}$ .

Since  $\iota(H) = -H$ , the automorphism  $\iota$  of the algebra  $\mathcal{U}$  (see (3)) induces the automorphism  $\iota$  of the algebra  $C_{\mathcal{U}}(H)$  by the rule

$$\iota: C_{\mathcal{U}}(H) \to C_{\mathcal{U}}(H), \ \theta \mapsto \theta + H, \ \phi \mapsto -\phi - HZ + C_2, \ H \mapsto -H, \ Z \mapsto -Z, \ C_1 \mapsto C_1, \ C_2 \mapsto C_2.$$
(11)

Generators and defining relations of  $C_{\mathcal{U}}(H)$ . We embed the algebra  $C_{\mathcal{U}}(H)$  into the first Weyl algebra over the polynomial algebra  $\mathbb{K}[C_1, C_2, H]$  and use this fact in finding generators and defining relations of  $C_{\mathcal{U}}(H)$  (Theorem 3.2). Let  $\partial := \frac{1}{2}EX^{-1}$ . The Weyl algebra  $A_1 = \mathbb{K}\langle Z, \partial | [\partial, Z] = 1 \rangle$  is the GWA  $A_1 = \mathbb{K}[h][Z, \partial; \sigma, a = h]$ , where  $\sigma(h) = h - 1$  and  $h := \partial Z$ . The Weyl algebra  $A_1 = \mathbb{K}_{i\in\mathbb{Z}}A_{1,i}$  is a  $\mathbb{Z}$ -graded algebra  $(A_{1,i}A_{1,j} \subseteq A_{1,i+j} \text{ for all } i, j \in \mathbb{Z})$ , where  $A_{1,0} := \mathbb{K}[h]$  is a polynomial algebra in the variable h and, for  $i \ge 1$ ,  $A_{1,\pm i} = \mathbb{K}[h]v_{\pm i}$ , where  $v_i = Z^i$ ,  $v_{-i} = \partial^i$  and  $v_0 := 1$ . As a  $\mathbb{Z}$ -graded algebra, the Weyl algebra  $A_1$  has the ascending filtration  $\mathcal{G} = \{A_{1,\leqslant i}\}_{i\in\mathbb{Z}}$  associated with the  $\mathbb{Z}$ -grading, where  $A_{1,\leqslant i} := \bigoplus_{j \le i} A_{1,j}$ . The associated graded algebra  $\operatorname{gr}_{\mathcal{G}}(A_1) = \bigoplus_{i \in \mathbb{Z}} A_{1,\leqslant i}/A_{1,\leqslant i-1}$  is isomorphic to the GWA  $\mathbb{K}[h][Z; \sigma; \sigma, 0]$ . In particular, the algebra  $\operatorname{gr}_{\mathcal{G}}(A_1)$  contains two skew polynomial rings,  $\mathbb{K}[h][Z; \sigma]$  and  $\mathbb{K}[h][\partial; \sigma^{-1}]$ , as  $\mathbb{Z}$ -graded subalgebras. By Lemma 3.1, the centralizer  $C_{\mathcal{U}_X}(H) = \bigoplus_{i \in \mathbb{Z}} C_{\mathcal{U}_X}(H)_i$  is a  $\mathbb{Z}$ -graded algebra where the  $\mathbb{Z}$ -grading is inherited from the Weyl algebra  $A_1$ , i.e.,  $C_{\mathcal{U}_X}(H)_i = \mathbb{K}[C_1, C_2, H] \otimes A_{1,i}$ .

Clearly, the algebra  $C_{\mathcal{U}}(H)$  is a subalgebra of  $C_{\mathcal{U}_X}(H) = \mathbb{K}[C_1, C_2, H] \otimes A_1$  (Lemma 3.1). Let  $\theta := FE$  and  $\phi := EY$ . Then  $\theta, \phi \in C_{\mathcal{U}}(H)$  and

$$\phi = \partial(2C_1 + Z^2) = 2C_1\partial + hZ,\tag{12}$$

$$\theta = 2C_1\partial^2 - C_2\partial + (h+H)(h-1) = (\phi + HZ - C_2)\partial = -\iota(\phi)\partial.$$
(13)

In more detail,  $\phi = EY = EX^{-1} \cdot XY = 2\partial(C_1 + \frac{1}{2}Z^2) = 2C_1\partial + hZ$ , since  $\partial Z = h$ . Similarly,

$$\begin{aligned} \theta &= FE = FX \cdot X^{-1}E = FX \cdot EX^{-1} = (EY + HZ - C_2) \cdot \partial = (\phi + HZ - C_2)\partial \\ &= \left(2C_1\partial + (h+H)Z - C_2\right)\partial = 2C_1\partial^2 - C_2\partial + (h+H)(h-1), \end{aligned}$$

since  $Z\partial = \sigma(h) = h - 1$ . By (12),  $[\partial, \phi] = 2\partial Z$ . Then, by (12) and (13),

$$\theta = \partial \left( \phi + (H-2)Z - H - C_2 \right) = \partial \left( \partial (2C_1 + Z^2) + (H-2)Z - H - C_2 \right).$$
(14)

**Theorem 3.2.** *Recall that*  $\theta = FE$  *and*  $\phi = EY$ *. Then* 

1. The algebra  $C_{\mathcal{U}}(H)$  is generated by the elements  $C_1, C_2, H, Z, \theta$ , and  $\phi$  subject to the defining relations as follows:

$$[\phi, Z] = Z^2 + 2C_1, \tag{15}$$

$$[\theta, Z] = 2\phi + (H - 2)Z - C_2, \tag{16}$$

$$[\theta,\phi] = 2(\theta+H)Z - H\phi, \tag{17}$$

$$\phi(\phi + HZ - C_2) = (\theta + H)(Z^2 + 2C_1), \tag{18}$$

$$[C_1, \cdot] = 0, \ [C_2, \cdot] = 0, \text{ and } [H, \cdot] = 0,$$
 (19)

where (19) means that the elements  $C_1$ ,  $C_2$ , and H are central in  $C_{\mathcal{U}}(H)$ . In view of (15), the relation (18) can be replaced by relation

$$(\phi + HZ - C_2)\phi = \theta(Z^2 + 2C_1).$$
(20)

- 2. The set  $B = \{\theta^i \phi^j \mid i \in \mathbb{N}, j = 0, 1\}$  is a free basis of the (left and right)  $\Gamma$ -module  $C_{\mathcal{U}}(H)$ where  $\Gamma = \mathbb{K}[C_1, C_2, H, Z]$ . The sets  $\iota(B) = \{(\theta + H)^i \iota(\phi)^j \mid i \in \mathbb{N}, j = 0, 1\}$  and  $B' = \{\theta^i \iota(\phi)^j \mid i \in \mathbb{N}, j = 0, 1\}$  are free bases of the (left and right)  $\Gamma$ -module  $C_{\mathcal{U}}(H)$ .
- 3. The algebra  $C_{\mathcal{U}}(H)$  is a Noetherian algebra of Gelfand-Kirillov dimension 5.

*Proof.* 1 and 2. The second part of statement 2 follows from the first one by applying the automorphism  $\iota$ . By Proposition 2.5, the algebra  $C = C_{\mathcal{U}}(H)$  is generated by the elements  $C_1, C_2, H, Z, \theta$ , and  $\phi$ . It is straightforward to check that they satisfy the relations (15)–(19). It remains to show that these relations are defining relations. By (15)–(19), the set B in statement 2 is a set of generators of the (left and right)  $\Gamma$ -module C. The fact that the set B is a free basis for the (right and left)  $\Gamma$ -module C follows from the claim below. Then statement 2 implies statement 1. In order to formulate the claim we need to introduce some notation. Let  $\mathcal{K} = \mathbb{K}(C_1, C_2, H)$  be the field of rational functions in the variables  $C_1, C_2$ , and H. Let  $A_1(\mathcal{K})$  be the Weyl algebra over the field  $\mathcal{K}$ . We have the inclusions of algebras  $C \subseteq C_{\mathcal{U}_{\mathcal{K}}}(H) = \mathbb{K}[C_1, C_2, H] \otimes A_1 \subseteq A_1(\mathcal{K}) := \mathcal{K} \otimes A_1$ .

Claim. The elements  $\{\theta^i \phi^j Z^k \mid i, k \in \mathbb{N} \text{ and } j = 0, 1\}$  of the algebra  $A_1(\mathcal{K})$  are  $\mathcal{K}$ -linearly independent.

Suppose that this is not true. Then  $r := \sum \lambda_{ijk} \theta^i \phi^j Z^k = 0$  for some elements  $\lambda_{ijk} \in \mathcal{K}$ , where  $i, k \ge 0$  and j = 0, 1. The Weyl algebra  $A_1(\mathcal{K})$  is a domain. By multiplying on the right the element r by  $Z^s$ , we can assume that all the elements  $\theta^i \phi^j Z^k$  in the relation r belong to the skew polynomial algebra  $A_{1,+}(\mathcal{K}) := \bigoplus_{i\ge 0} \mathcal{K} \otimes A_{1,i} = \mathcal{K}[h][Z; \sigma]$ , where  $\sigma(h) = h - 1$ . The concept of Z-degree, deg<sub>Z</sub>, for  $A_{1,+}(\mathcal{K})$  makes sense. Notice that, by (12) and (13),

$$\phi Z = 2C_1 h + hZ^2 = hZ^2 + \cdots,$$
(21)

$$\theta Z^2 = 2C_1(h+1)h - C_2h + (h+H)(h-1)Z^2 = \alpha Z^2 + \cdots,$$
(22)

where  $\alpha = (h + H)(h - 1)$  and the three dots denote smaller terms with respect to the Z-degree. Let  $d := \max\{\deg_Z(\theta^i \phi^j Z^k) = j + k \mid \lambda_{ijk} \neq 0\}$ . Then the leading term l of the element r = 0 must be equal to zero, i.e., l = 0. Notice that

$$\theta^{i} Z^{k} = \alpha^{i} Z^{k} + \cdots,$$
$$\theta^{i} \phi Z^{k} = \alpha^{i} h Z^{k} + \cdots.$$

Then

$$0 = l = \left(\sum_{j+k=d, j=0,1} \lambda_{ijk} \alpha^i h^j\right) Z^d = \left(\sum_i (\lambda_{i0d} + \lambda_{i1,d-1}h) \alpha^i\right) Z^d.$$

Since deg<sub>*h*</sub>( $\alpha$ ) = 2, the relation *l* = 0 implies that all  $\lambda_{ijk} = 0$  (in the relation *l* = 0), a contradiction.

3. Since  $\mathcal{U} = \bigoplus_{i \in \mathbb{Z}} \mathcal{U}_i$  is a  $\mathbb{Z}$ -graded Noetherian algebra where  $\mathcal{U}_i = \ker_{\mathcal{U}}(H - i)$ , the algebra  $\mathcal{U}_0 = C_{\mathcal{U}}(H)$  is a Noetherian algebra. By statement 2, GK  $(C_{\mathcal{U}}(H)) = 5$ .

Relation (18) can be written as

$$-\phi\iota(\phi) = \iota(\theta)(Z^2 + 2C_2). \tag{23}$$

Relation (20) can be written as

$$-\iota(\phi)\phi = \theta(Z^2 + 2C_2). \tag{24}$$

So, Relation (20) is obtained from relation (18) by applying the automorphism  $\iota$ , and vice versa (since  $\iota^{-1} = \iota$ ).

The algebras  $C^{\lambda,\mu} = C^{\lambda_1,\lambda_2,\mu}$ . Let  $\lambda_1,\lambda_2,\mu \in \mathbb{K}$ , and  $\lambda = (\lambda_1,\lambda_2)$ . Let  $(C_1 - \lambda_1, C_2 - \lambda_2, H - \mu)$ be the ideal of the algebra  $C_{\mathcal{U}}(H)$  generated by the elements in the brackets. The algebras  $C^{\lambda,\mu} :=$  $C^{\lambda_1,\lambda_2,\mu} := C_{\mathcal{U}}(H)/(C_1 - \lambda_1, C_2 - \lambda_2, H - \mu)$  play an important role in the classification of simple weight  $\mathcal{U}$ -modules (see Section VI). Similarly, define  $C_{\mathcal{U}_X}^{\lambda,\mu} \coloneqq C_{\mathcal{U}_X}^{\lambda,\mu}(H) \coloneqq C_{\mathcal{U}_X}(H)/(C_1 - \lambda_1, C_2 - \lambda_2, H - \mu)$ . We use also notations  $C_{\mathcal{U}_X}^{\lambda_1,\lambda_2,\mu}$  and  $C_{\mathcal{U}_X}^{\lambda_1,\lambda_2,\mu}(H)$  to denote the algebra  $C_{\mathcal{U}_X}^{\lambda,\mu}$ . By Lemma 3.1.(1),  $C_{\mathcal{U}_X}^{\lambda,\mu} \simeq A_1$  is the Weyl algebra.

*Proposition 3.3. Let*  $\lambda_1, \lambda_2, \mu \in \mathbb{K}$ *. Then* 

1. As an abstract algebra, the algebra  $C^{\lambda,\mu}$  is generated by the elements Z,  $\theta$  and  $\phi$  that satisfy the defining relations as follows:

$$[\phi, Z] = Z^2 + 2\lambda_1, \tag{25}$$

$$[\theta, Z] = 2\phi + (\mu - 2)Z - \lambda_2, \tag{26}$$

$$[\theta, \phi] = 2(\theta + \mu)Z - \mu\phi, \qquad (27)$$

$$\phi(\phi + \mu Z - \lambda_2) = (\theta + \mu)(Z^2 + 2\lambda_1).$$
(28)

In view of (25), relation (28) can be replaced by the relation

$$(\phi + \mu Z - \lambda_2)\phi = \theta(Z^2 + 2\lambda_1).$$
<sup>(29)</sup>

- 2.
- The set  $B = \{\theta^i \phi^j \mid i \in \mathbb{N} \text{ and } j = 0, 1\}$  is a free basis of the (left and right)  $\mathbb{K}[Z]$ -module  $C^{\lambda,\mu}$ . The algebra homomorphism  $C^{\lambda,\mu} \to C^{\lambda,\mu}_{\mathcal{U}_X} = A_1, \ Z \mapsto Z, \ \phi \mapsto 2\lambda_1 \partial + hZ, \ \theta \mapsto 2\lambda_1 \partial^2 \lambda_2 \partial$ 3. +  $(h + \mu)(h - 1)$  is a monomorphism. In particular,  $C^{\lambda,\mu}$  is a domain.
- 4. The ideal  $(C_1 - \lambda_1, C_2 - \lambda_2, H - \mu)$  of the algebra  $C_{\mathcal{U}}(H)$  is equal to the intersection of the algebra  $C_{\mathcal{U}}(H)$  and the ideal  $(C_1 - \lambda_1, C_2 - \lambda_2, H - \mu)$  of the algebra  $C_{\mathcal{U}_X}(H)$ . In particular, the ideal  $(C_1 - \lambda_1, C_2 - \lambda_2, H - \mu)$  is a completely prime ideal of  $C_{\mathcal{U}}(H)$ .
- $GK(C^{\lambda,\mu}) = 2 and Z(C^{\lambda,\mu}) = \mathbb{K}.$ 5.

*Proof.* 1. Statement 1 follows from Theorem 3.2.(1).

2. Statement 2 follows from Theorem 3.2.(2).

3. In view of the inclusion  $C_{\mathcal{U}}(H) \subseteq C_{\mathcal{U}_X}(H) = \mathbb{K}[C_1, C_2, H] \otimes A_1$  and the equalities (12) and (13), the homomorphism in statement 3 is well defined. The fact that it is a monomorphism follows from statement 2 and the claim below.

Claim: The images of the elements  $\{\theta^i \phi^j Z^k \mid i, k \in \mathbb{N} \text{ and } j = 0, 1\}$  in  $A_1$  are  $\mathbb{K}$ -linearly inde*pendent*: Repeat the proof of the claim in the proof of Theorem 3.2 replacing  $(\mathcal{K}, C_1, C_2, H)$  by  $(\mathbb{K}, \lambda_1, \lambda_2, \mu)$  everywhere.

4. Statement 4 follows from statement 3.

5. The inclusion  $\Lambda := \mathbb{K}\langle Z, \partial \rangle \subseteq C^{\lambda, \mu} \subseteq A_1$  yields the inequalities  $2 = \operatorname{GK}(\Lambda) \leq \operatorname{GK}(C^{\lambda, \mu}) \leq C^{\lambda, \mu}$  $GK(A_1) = 2$ , i.e.,  $GK(C^{\lambda,\mu}) = 2$ . Since  $C_{A_1}(Z) = \mathbb{K}[Z]$ , we must have  $Z(C^{\lambda,\mu}) \subseteq \mathbb{K}[Z]$ . Let  $f \in \mathbb{K}[Z]$ .  $Z(C^{\lambda,\mu})$ . By (25),  $0 = [\phi, f] = \frac{df}{dZ} \cdot (Z^2 + 2\lambda_1)$ . Hence,  $f \in \mathbb{K}$ , i.e.,  $Z(C^{\lambda,\mu}) = \mathbb{K}$ . 

The Weyl algebra  $A_1$  admits a finite dimensional ascending filtration  $S = \{S_i := \sum_{j+k \leq i} \mathbb{K}Z^j\}$  $\partial^k \}_{i \in \mathbb{N}}$  by the total degree of the canonical generators Z and  $\partial$  of  $A_1$ . The associated graded algebra gr $(A_1) = \mathbb{K}[Z, \partial]$  is a polynomial algebra. The subalgebra  $C^{\lambda, \mu} \subset A_1$  (Proposition 3.3.(3)) admits the induced filtration  $\mathcal{F} = \{\mathcal{F}_i := C^{\lambda,\mu} \cap S_i\}_{i \in \mathbb{N}}$ . It follows that the associated graded algebra  $\operatorname{gr}_{\mathcal{F}}(C^{\lambda,\mu})$  is a subalgebra of the polynomial algebra  $\operatorname{gr}(A_1) = \mathbb{K}[Z,\partial]$ . In the algebra  $\operatorname{gr}_{\mathcal{F}}(C^{\lambda,\mu})$ ,  $\phi = Z^2 \partial$  and  $\theta = Z^2 \partial^2$ . In particular,  $\phi^2 = \theta Z^2$ .

Lemma 3.4. 1.  $\operatorname{gr}_{\mathcal{F}}(C^{\lambda,\mu}) \simeq \mathbb{K}[Z,\phi,\theta]/(\phi^2 - \theta Z^2).$ 

- The algebra  $gr(A_1)$  is not a finitely generated  $gr_{\mathcal{T}}(C^{\lambda,\mu})$ -module. 2.
- The Weyl algebra  $A_1$  is not a finitely generated left/right  $C^{\lambda,\mu}$ -module. 3.

*Proof.* 1. The algebra  $\operatorname{gr}_{\mathcal{F}}(C^{\lambda,\mu})$  is an epimorphic image of the factor algebra  $\mathbb{K}[Z,\phi,\theta]/(\phi^2 - \theta Z^2)$  (since  $\phi^2 = \theta Z^2$  in  $\operatorname{gr}_{\mathcal{F}}(C^{\lambda,\mu})$ ). In fact,  $\operatorname{gr}_{\mathcal{F}}(C^{\lambda,\mu}) \simeq \mathbb{K}[Z,\phi,\theta]/(\phi^2 - \theta Z^2)$ , by Proposition 3.3.(2).

2. Clearly, the algebra  $\operatorname{gr}_{\mathcal{F}}(C^{\lambda,\mu})$  is a subalgebra of the algebra  $\mathbb{K}[Z, Z\partial]$  (since  $\phi = Z^2 \partial$ and  $\theta = Z^2 \partial^2$ ). The polynomial algebra  $\mathbb{K}[Z, \partial]$  is not a finitely generated  $\mathbb{K}[Z, Z\partial]$ -module, and statement 2 follows.

3. Statement 3 follows from statement 2.

The  $C^{\lambda,\mu}$ -modules  $V^{\lambda,\mu}(\nu_1)$  and  $W^{\lambda,\mu}(\nu_1)$ . We introduce important  $C^{\lambda,\mu}$ -modules  $V^{\lambda,\mu}(\nu_1)$ and  $W^{\lambda,\mu}(\nu_1)$  that play an important role in the classification of simple  $C^{\lambda,\mu}$ -modules (especially, finite-dimensional ones). Generically, these modules are simple. For all  $\lambda_1, \lambda_2, \mu \in \mathbb{K}$ ,  $\iota((C_1 - \lambda_1, C_2 - \lambda_2, H - \mu)) = (C_1 - \lambda_1, C_2 - \lambda_2, H + \mu)$ . So, the automorphism  $\iota$  of  $C_{\mathcal{U}}(H)$  induces the automorphism of the algebras

$$\iota: C^{\lambda,\mu} \to C^{\lambda,-\mu}, \quad \theta \mapsto \theta - \mu, \ \phi \mapsto -\phi + \mu Z + \lambda_2, \ Z \mapsto -Z.$$
(30)

The polynomial subalgebra  $\Gamma = \mathbb{K}[Z, C_1, C_2, H]$  is *i*-invariant since  $\iota(Z) = -Z$ ,  $\iota(C_1) = C_1$ ,  $\iota(C_2) = C_2$  and  $\iota(H) = -H$ . By Theorem 3.2.(2), the algebra  $C_{\mathcal{U}}(H)$  is the tensor product of vector spaces

$$C_{\mathcal{U}}(H) = \left(\mathbb{K}[\theta] \oplus \mathbb{K}[\theta]\phi\right) \otimes \Gamma.$$
(31)

By applying the automorphism  $\iota$ , the algebra  $C_{\mathcal{U}}(H)$  is a tensor product of vector spaces

$$C_{\mathcal{U}}(H) = \left(\mathbb{K}[\theta + H] \oplus \mathbb{K}[\theta + H]\iota(\phi)\right) \otimes \Gamma.$$
(32)

Let  $(\lambda_1, \lambda_2, \mu) \in \mathbb{K}^* \times \mathbb{K} \times \mathbb{K}$  and  $\lambda = (\lambda_1, \lambda_2)$ . For  $\lambda_1 \in \mathbb{K}^*$ , the polynomial  $Z^2 + 2\lambda_1 \in \mathbb{K}[Z]$ has two *distinct*, *nonzero* roots  $\nu_1$  and  $-\nu_1$ . Let us fix a root, say  $\nu_1$ , of  $Z^2 + 2\lambda_1$ , i.e.,  $\nu_1^2 + 2\lambda_1 = 0$ . The maximal ideal  $\mathfrak{m} = (Z - \nu_1, C_1 - \lambda_1, C_2 - \lambda_2, H - \mu)$  of the algebra  $\Gamma$  determines a simple 1-dimensional  $\Gamma$ -module  $\Gamma/\Gamma_{\mathfrak{m}} \simeq \mathbb{K}$ . Consider the induced  $C_{\mathcal{U}}(H)$ -module

$$C_{\mathcal{U}}(H) \otimes_{\Gamma} \Gamma / \Gamma_{\mathfrak{m}} \stackrel{(31)}{\simeq} \left( \mathbb{K}[\theta] \oplus \mathbb{K}[\theta] \phi \right) \otimes \Gamma / \Gamma_{\mathfrak{m}}.$$

This  $C_{\mathcal{U}}(H)$ -module is, in fact,  $C^{\lambda,\mu}$ -module

$$C^{\lambda,\mu}(\nu_1) \coloneqq C^{\lambda,\mu}/C^{\lambda,\mu}(Z-\nu_1) \simeq \mathbb{K}[\theta]\tilde{1} \oplus \mathbb{K}[\theta]\phi\tilde{1},$$
(33)

where  $\tilde{1} = 1 + C^{\lambda,\mu}(Z - \nu_1)$ . The  $\mathbb{K}[\theta]$ -module  $C^{\lambda,\mu}(\nu_1)$  is a free module of rank 2. By (32), we also have

$$C^{\lambda,\mu}(\nu_1) = \mathbb{K}[\theta]\tilde{1} \oplus \mathbb{K}[\theta]\iota(\phi)\tilde{1} = \mathbb{K}[\theta]\tilde{1} \oplus \mathbb{K}[\theta](\phi + \mu\nu_1 - \lambda_2)\tilde{1}.$$
(34)

The  $\mathbb{K}[\theta]$ -submodule  $\mathbb{K}[\theta]\phi\tilde{1}$  of  $C^{\lambda,\mu}(v_1)$  is a  $C^{\lambda,\mu}$ -submodule,

$$C^{\lambda,\mu}\phi\tilde{1} \stackrel{(34)}{=} \mathbb{K}[\theta]\phi\tilde{1} + \mathbb{K}[\theta](\phi + \mu\nu_1 - \lambda_2)\phi\tilde{1} \stackrel{(29)}{=} \mathbb{K}[\theta]\phi\tilde{1} + \mathbb{K}[\theta]\theta(\nu_1^2 + 2\lambda_1)\phi\tilde{1} = \mathbb{K}[\theta]\phi\tilde{1}.$$

Define the  $C^{\lambda,\mu}$ -modules

$$W^{\lambda,\mu}(\nu_1) \coloneqq \mathbb{K}[\theta] \phi \tilde{1} \quad \text{and} \quad V^{\lambda,\mu}(\nu_1) \coloneqq C^{\lambda,\mu}/W^{\lambda,\mu}(\nu_1) \simeq C^{\lambda,\mu}/C^{\lambda,\mu}(Z-\nu_1,\phi) = \mathbb{K}[\theta] \tilde{1}, \quad (35)$$

where  $\overline{I} = 1 + C^{\lambda,\mu}(Z - \nu_1, \phi)$ . The  $\mathbb{K}[\theta]$ -modules  $W^{\lambda,\mu}(\nu_1)$  and  $V^{\lambda,\mu}(\nu_1)$  are free modules of rank 1. Since  $\mathbb{K}[\theta]\iota(\phi)\phi\widetilde{I} \stackrel{(29)}{=} \mathbb{K}[\theta]\theta(\nu_1^2 + 2\lambda_1)\widetilde{I} = 0$  and the  $\mathbb{K}[\theta]$ -module  $W^{\lambda,\mu}(\nu_1)$  is free of rank 1, it follows from (34) that

$$W^{\lambda,\mu}(\nu_1) \simeq C^{\lambda,\mu}/C^{\lambda,\mu}(Z-\nu_1,\iota(\phi)) \simeq C^{\lambda,\mu}/C^{\lambda,\mu}(Z-\nu_1,\phi+\mu\nu_1-\lambda_2) = \mathbb{K}[\theta]1',$$
(36)

where  $1' := 1 + C^{\lambda,\mu}(Z - \nu_1, \phi + \mu\nu_1 - \lambda_2)$ . Similarly, the  $\mathbb{K}[\theta]$ -submodule  $\mathbb{K}[\theta]\iota(\phi)\tilde{1} = \mathbb{K}[\theta](\phi + \mu\nu_1 - \lambda_2)\tilde{1}$  of  $C^{\lambda,\mu}(\nu_1)$  (see (34)) is a  $C^{\lambda,\mu}$ -submodule,

$$C^{\lambda,\mu}(\phi+\mu\nu_1-\lambda_2)\tilde{1} \stackrel{(33)}{=} \mathbb{K}[\theta](\phi+\mu\nu_1-\lambda_2)\tilde{1} + \mathbb{K}[\theta]\phi(\phi+\mu\nu_1-\lambda_2)\tilde{1}$$
$$\stackrel{(28)}{=} \mathbb{K}[\theta](\phi+\mu\nu_1-\lambda_2)\tilde{1} + \mathbb{K}[\theta](\theta+\mu)(\nu_1^2+2\lambda_1)\tilde{1} = \mathbb{K}[\theta](\phi+\mu\nu_1-\lambda_2)\tilde{1}.$$

It follows from the above arguments and the fact that the  $\mathbb{K}[\theta]$ -module  $\mathbb{K}[\theta](\phi + \mu v_1 - \lambda_2)\tilde{1}$  is free that the  $C^{\lambda,\mu}$ -homomorphism

$$V^{\lambda,\mu}(\nu_1) = \mathbb{K}[\theta]\overline{1} \to \mathbb{K}[\theta](\phi + \mu\nu_1 - \lambda_2)\widetilde{1}, \quad \overline{1} \mapsto (\phi + \mu\nu_1 - \lambda_2)\widetilde{1}$$

is an isomorphism. Summarizing, we have short exact sequence of  $C^{\lambda,\mu}$ -modules that follow from the equalities (33) and (34), respectively,

$$0 \to W^{\lambda,\mu}(\nu_1) \to C^{\lambda,\mu}(\nu_1) \to V^{\lambda,\mu}(\nu_1) \to 0, \tag{37}$$

$$0 \to V^{\lambda,\mu}(\nu_1) \to C^{\lambda,\mu}(\nu_1) \to W^{\lambda,\mu}(\nu_1) \to 0.$$
(38)

The next lemma shows that, generically, these short exact sequences split.

*Lemma 3.5.* Let  $(\lambda_1, \lambda_2, \mu) \in \mathbb{K}^* \times \mathbb{K} \times \mathbb{K}$ .

- 1. If  $\mu v_1 \lambda_2 \neq 0$ , then  $C^{\lambda,\mu}(v_1) = V^{\lambda,\mu}(v_1) \oplus W^{\lambda,\mu}(v_1)$  and  $V^{\lambda,\mu}(v_1) \simeq \mathbb{K}[\theta]\iota(\phi)\tilde{1} \simeq \mathbb{K}[\theta](\phi + \mu v_1 \lambda_2)\tilde{1}$  and  $W^{\lambda,\mu}(v_1) = \mathbb{K}[\theta]\phi\tilde{1}$ .
- 2. If  $\mu v_1 \lambda_2 = 0$ , then  $V^{\lambda,\mu}(v_1) \simeq W^{\lambda,\mu}(v_1)$  and there is a short exact sequence of  $C^{\lambda,\mu}$ -modules

$$0 \to V^{\lambda,\mu}(\nu_1) \to C^{\lambda,\mu}(\nu_1) \to V^{\lambda,\mu}(\nu_1) \to 0.$$

*Proof.* 1. Since  $\mu v_1 - \lambda_2 \neq 0$ , by (33) and (34),  $C^{\lambda,\mu}(v_1) = \mathbb{K}[\theta](\phi + \mu v_1 - \lambda_2)\tilde{1} \oplus \mathbb{K}[\theta]\phi\tilde{1} = V^{\lambda,\mu}(v_1) \oplus W^{\lambda,\mu}(v_1)$ .

2. Since  $\mu v_1 - \lambda_2 = 0$ , then  $V^{\lambda,\mu}(v_1) = \mathbb{K}[\theta](\phi + \mu v_1 - \lambda_2)\tilde{1} = \mathbb{K}[\theta]\phi\tilde{1} = W^{\lambda,\mu}(v_1)$ . Then, by (37) we have the short exact sequence in statement 2.

If we identify the algebras  $C^{\lambda,\mu}$  and  $C^{\lambda,-\mu}$  via the isomorphism  $\iota: C^{\lambda,\mu} \mapsto C^{\lambda,-\mu}$ , see (30), then the isomorphism  $\iota$  induces a  $C^{\lambda,\mu}$ -module isomorphism  $\iota: C^{\lambda,\mu}(\nu_1) \to C^{\lambda,-\mu}(-\nu_1)$ . Clearly,

$$\iota\left(V^{\lambda,\mu}(\nu_1)\right) = W^{\lambda,-\mu}(-\nu_1) \quad \text{and} \quad \iota\left(W^{\lambda,\mu}(\nu_1)\right) = W^{\lambda,-\mu}(-\nu_1). \tag{39}$$

The simple n-dimensional  $C^{\lambda,\mu}$ -module  $F_n^{\lambda,\mu}(v_1)$ : We assume that  $\lambda_1 \neq 0$ . Let  $v_1$  is a root of the polynomial  $Z^2 + 2\lambda_1$ , i.e.,  $v_1^2 = -2\lambda_1$ . There are two distinct roots of  $Z^2 + 2\lambda_1$ :  $v_1$  and  $-v_1$  (since  $\lambda_1 \neq 0$ ). Let us consider the  $A_1$ -module  $\mathcal{V}(v_1) := A_1/A_1(Z - v_1) = \mathbb{K}[\partial]\overline{1}$ , where  $\overline{1} = 1 + A_1(Z - v_1)$ . The  $A_1$ -module  $\mathcal{V}(v_1)$  is simple and the set of elements  $\{\partial^i \overline{1} \mid i \in \mathbb{N}\}$  is its  $\mathbb{K}$ -basis. In particular,  $\mathcal{V}(v_1)$  is a free  $\mathbb{K}[\partial]$ -module of rank 1. Clearly,

$$Z\overline{1} = v_1\overline{1} \quad \text{and} \quad Z\partial^i\overline{1} = v_1\partial^i\overline{1} - i\partial^{i-1}\overline{1} \text{ for } i \ge 1.$$

$$\tag{40}$$

We see that  $\mathcal{V}(\nu_1) = \bigcup_{i \ge 0} \ker(Z - \nu_1)^{i+1}$  and  $\ker(Z - \nu_1)^{i+1} = \mathbb{K}[\partial]_{\le i}\overline{1}$ , where  $\mathbb{K}[\partial]_{\le i} := \bigoplus_{j=0}^i \mathbb{K}\partial^j$ . It is straightforward to show (using Proposition 3.3.(3)) that the action of the elements  $\phi$  and  $\theta$  on the basis elements of the  $A_1$ -module  $\mathcal{V}(\nu_1)$  are given below

$$\phi \overline{1} = 0 \quad \text{and} \quad \phi \partial^{i} \overline{1} = -2i\nu_{1} \partial^{i} \overline{1} + i(i-1)\partial^{i-1} \overline{1}, \ i \ge 1, \tag{41}$$

$$\theta \partial^i \bar{1} = \theta_i \partial^{i+1} \bar{1} + \eta_i \partial^i \bar{1}, \ i \ge 0, \tag{42}$$

where  $\theta_i := v_1(\mu - 2(i+1)) - \lambda_2$  and  $\eta_i := -(\mu - i)(i+1)$ . Since  $C^{\lambda,\mu} \subseteq A_1$ ,  $\mathcal{V}(v_1)$  is also a  $C^{\lambda,\mu}$ -module. The lemma below is a simplicity criterion for the  $C^{\lambda,\mu}$ -module  $\mathcal{V}(v_1)$ . It shows that in case when the  $C^{\lambda,\mu}$ -module  $\mathcal{V}(v_1)$  is not simple, it contains a unique proper submodule which is a finite dimensional simple  $C^{\lambda,\mu}$ -module.

Lemma 3.6. Let  $\lambda_1 \neq 0$  and  $v_1^2 = -2\lambda_1$ . Then the  $C^{\lambda,\mu}$ -module  $\mathcal{V}(v_1)$  is not simple if and only if  $v_1(\mu - 2n) - \lambda_2 = 0$ , i.e.,  $\theta_{n-1} = 0$ , for some  $n \in \mathbb{N}_+$ . In this case,  $F_n^{\lambda,\mu}(v_1) \coloneqq \bigoplus_{i=0}^{n-1} \mathbb{K} \partial^i \overline{1}$  is a unique proper  $C^{\lambda,\mu}$ -submodule of  $\mathcal{V}(v_1)$ . The  $C^{\lambda,\mu}$ -module  $F_n^{\lambda,\mu}(v_1)$  is simple, dim  $F_n^{\lambda,\mu}(v_1) = n$ , and  $(Z - v_1)^n F^{\lambda,\mu}(v_1) = 0$ .

*Proof.* If  $\theta_{n-1} \neq 0$  for all  $n \in \mathbb{N}_+$ , then the  $C^{\lambda,\mu}$ -module  $\mathcal{V}(v_1)$  is simple by (40) and (42). If  $\theta_{n-1} = 0$  for some  $n \in \mathbb{N}_+$ , then the number *n* is unique and  $F_n^{\lambda,\mu}(v_1)$  is a simple, *n*-dimensional

is a simple  $C^{\lambda,\mu}$ -module and  $F_n^{\lambda,\mu}(v_1)$  is an essential submodule of  $\mathcal{V}(v_1)$ . Therefore,  $F_n^{\lambda,\mu}(v_1)$  is a unique proper submodule of the  $C^{\lambda,\mu}$ -module  $\mathcal{V}(\nu_1)$ .

Theorem 3.13 shows that the modules  $F_n^{\lambda,\mu}(v_1)$  and their 'partners'  $G_n^{\lambda,\mu}(v_1)$  (if exist) are precisely finite dimensional simple  $C^{\lambda,\mu}$ -modules.

The next two corollaries describe the  $C^{\lambda,\mu}$ -module  $F_n^{\lambda,\mu}(\nu_1)$  in terms of the algebra  $C^{\lambda,\mu}$ .

Corollary 3.7. We keep the assumptions and notation of Lemma 3.6. Then

$$F_n^{\lambda,\mu}(\nu_1) \simeq C^{\lambda,\mu}/C^{\lambda,\mu}(Z-\nu_1, \phi, f_{n,\nu_1}^{\lambda,\mu}),$$

where  $f_{n,\nu_1}^{\lambda,\mu} := \prod_{i=0}^{n-1} (\theta - \eta_i)$  and  $\eta_i = -(\mu - i)(i+1)$ . Furthermore,  $f_{n,\nu_1}^{\lambda,\mu} F_n^{\lambda,\mu}(\nu_1) = 0$ .

*Proof.* The set  $\{\bar{1}, \partial \bar{1}, \dots, \partial^{n-1}\bar{1}\}$  is a  $\mathbb{K}$ -basis of the simple  $C^{\lambda,\mu}$ -module  $F_n^{\lambda,\mu}(\nu_1)$ . By (40) and (41),  $(Z - v_1)\overline{1}$  and  $\phi\overline{1} = 0$ . By (42), the matrix  $[\theta]$  of the linear map  $\theta : F_n^{\lambda,\mu}(v_1) \to F_n^{\lambda,\mu}(v_1), u \mapsto$  $\theta u$ , in the basis above is a lower diagonal  $n \times n$  matrix given below where the diagonal elements are  $\eta_0, \eta_1, \ldots, \eta_{n-1}$  and below the diagonal are elements  $\theta_0, \theta_1, \ldots, \theta_{n-2}$ ,

$$\begin{bmatrix} \theta \end{bmatrix} = \begin{bmatrix} \eta_0 \\ \theta_0 & \eta_1 & 0 \\ 0 & \theta_1 & \eta_2 \\ \vdots & \vdots & \ddots & \ddots \\ 0 & 0 & \dots & \theta_{n-2} & \eta_{n-1} \end{bmatrix}.$$
(43)

Then  $f_{n,\nu_1}^{\lambda,\mu}F_n^{\lambda,\mu}(\nu_1) = 0$ . Therefore, the  $C^{\lambda,\mu}$ -module  $F_n^{\lambda,\mu}(\nu_1)$  is an epimorphic image of the  $C^{\lambda,\mu}$ -module  $V := C^{\lambda,\mu}/C^{\lambda,\mu}(Z-\nu_1,\phi,f_{n,\nu_1}^{\lambda,\mu})$ . By (35),  $\dim(V) = n = \dim F_n^{\lambda,\mu}(\nu_1)$ . Therefore,  $V \simeq F_n^{\lambda,\mu}(\nu_1).$ 

By (35),  $V^{\lambda,\mu}(\nu_1) \simeq C^{\lambda,\mu}/C^{\lambda,\mu}(Z-\nu_1,\phi) = \mathbb{K}[\theta]\overline{1}$ . Using the defining relations of the algebra  $C^{\lambda,\mu}$  (Proposition 3.3.(1)) and induction on *i*, we obtain that

$$\phi \overline{1} = 0 \quad \text{and} \quad \phi \theta^{i} \overline{1} = -2i\nu_{1}\theta^{i} \overline{1} + \cdots, \ i \ge 1, \tag{44}$$

$$Z\overline{1} = \nu_1\overline{1} \quad \text{and} \quad Z\theta^i\overline{1} = \nu_1\theta^i\overline{1} - i\theta_{i-1}\theta^{i-1}\overline{1} + \cdots, \ i \ge 1, \tag{45}$$

where  $\theta_i = v_1 (\mu - 2(i+1)) - \lambda_2$  (see (42)) and the three dots means smaller terms.

Simplicity criteria for the  $C^{\lambda,\mu}$ -modules  $V^{\lambda,\mu}(v_1)$  and  $W^{\lambda,\mu}(v_1)$ . The next proposition is a simplicity criterion for the  $C^{\lambda,\mu}$ -module  $V^{\lambda,\mu}(v_1)$ . It also describes the submodules and factor modules of  $V^{\lambda,\mu}(\nu_1)$ .

Proposition 3.8. Let  $\lambda_1 \in \mathbb{K}^*$  and  $v_1^2 = -2\lambda_1$ . Then the  $C^{\lambda,\mu}$ -module  $V^{\lambda,\mu}(v_1)$  is not simple if and only if  $n := \frac{1}{2}(\mu - \frac{\lambda_2}{\nu_1}) \in \mathbb{N}_+$  if and only if  $\theta_{n-1} = 0$  for some  $n \in \mathbb{N}_+$ . In this case,

- f<sup>λ,μ</sup><sub>n,v1</sub>V<sup>λ,μ</sup>(v<sub>1</sub>) is the only proper submodule of the C<sup>λ,μ</sup>-module V<sup>λ,μ</sup>(v<sub>1</sub>) where f<sup>λ,μ</sup><sub>n,v1</sub> = Π<sup>n-1</sup><sub>i=0</sub>(θ η<sub>i</sub>) and η<sub>i</sub> = -(μ i)(i + 1) (see Corollary 3.7).
   F<sup>λ,μ</sup><sub>n</sub>(v<sub>1</sub>) ≃ V<sup>λ,μ</sup>(v<sub>1</sub>)/f<sup>λ,μ</sup><sub>n,v1</sub>V<sup>λ,μ</sup>(v<sub>1</sub>) is the unique simple factor module of the C<sup>λ,μ</sup>-module V<sup>λ,μ</sup>(v<sub>1</sub>), dim F<sup>λ,μ</sup><sub>n</sub>(v<sub>1</sub>) = n, and K[θ] ∩ ann<sub>C<sup>λ,μ</sup></sub>(F<sup>λ,μ</sup><sub>n</sub>(v<sub>1</sub>)) = f<sup>λ,μ</sup><sub>n,v1</sub>K[θ].

*Proof.* By Proposition 3.3.(1), the algebra  $C^{\lambda,\mu}$  is generated by the elements Z and  $\theta$  (see (26)). Any submodule U of  $V^{\lambda,\mu}$  is equal to  $f\mathbb{K}[\theta]^{\overline{1}}$  for a unique monic polynomial  $f \in \mathbb{K}[\theta]$ . The submodule  $U = f \mathbb{K}[\theta] \overline{1}$  of  $V^{\lambda,\mu}$  is a proper submodule if and only if  $f \in \mathbb{K}[\theta] \setminus \mathbb{K}$  and  $Zf\overline{1} = v_1 f\overline{1}$ , by (45). Let  $n = \deg_{\theta}(f)$ . Then necessarily  $\theta_{n-1} = 0$ , by (45), and the number  $n \in \mathbb{N}_+$  is unique with this property. So, the proper submodule U is *unique*. Hence, the *n*-dimensional  $C^{\lambda,\mu}$ -module  $F_n := V^{\lambda,\mu}/fV^{\lambda,\mu}$  is a unique proper factor module of the  $C^{\lambda,\mu}$ -module  $V^{\lambda,\mu}$ . Now, the proposition follows from Corollary 3.7. 

The next corollary is a simplicity criterion for the  $C^{\lambda,\mu}$ -module  $W^{\lambda,\mu}(v_1)$ . It also describes the submodules and factor modules of  $W^{\lambda,\mu}(\nu_1)$ .

*Corollary 3.9.* Let  $\lambda_1 \in \mathbb{K}^*$  and  $v_1^2 = -2\lambda_1$ . Then

- The  $C^{\lambda,\mu}$ -module  $W^{\lambda,\mu}(\nu_1)$  is isomorphic to the twisted by the isomorphism  $\iota: C^{\lambda,\mu} \to C^{\lambda,-\mu}$ 1.  $C^{\lambda,-\mu}\text{-}module \ V^{\lambda,-\mu}(-\nu_1), \ i.e., \ W^{\lambda,\mu}(\nu_1) \simeq {}^{\iota}V^{\lambda,-\mu}(-\nu_1) \simeq C^{\lambda,\mu}/C^{\lambda,\mu}(Z-\nu_1,\iota(\phi)).$
- The  $C^{\lambda,\mu}$ -module  $W^{\lambda,\mu}(v_1)$  is not simple if and only if  $m := \frac{1}{2}(-\mu + \frac{\lambda_2}{v_1}) \in \mathbb{N}_+$ . In this case, 2.
  - (a)  $\iota(f_{m,-\nu_1}^{\lambda,-\mu})W^{\lambda,\mu}(\nu_1)$  is the only proper submodule of the  $C^{\lambda,\mu}$ -module  $W^{\lambda,\mu}(\nu_1)$  where  $f_{m,-\nu_1}^{\lambda,-\mu} = \prod_{i=0}^{m-1} (\theta - \eta'_i) \text{ and } \eta'_i := -(-\mu - i)(i+1).$
  - $G_m^{\lambda,\mu}(v_1) \coloneqq {}^{\iota}F_m^{\lambda,-\mu}(-v_1) \simeq C^{\lambda,\mu}/C^{\lambda,\mu}(Z-v_1,\iota(\phi),\iota(f_{m,-\nu_1}^{\lambda,-\mu})) \text{ is the unique simple factor}$ (b) module of the  $C^{\lambda,\mu}$ -module  $W^{\lambda,\mu}(v_1)$  and  $\dim G_m^{\lambda,\mu}(v_1) = m$ . (c)  $\mathbb{K}[\theta] \cap \operatorname{ann}_{C^{\lambda,\mu}}(G_m^{\lambda,\mu}(v_1)) = \iota(f_{m,-\nu_1}^{\lambda,-\mu})\mathbb{K}[\theta]$  and  $(Z - \nu_1)^m G_m^{\lambda,\mu}(v_1) = 0$ .

*Proof.* 1. Statement 1 follows from (39) and (35). 2. Statement 2 follows from statement 1 and Proposition 3.8.

Corollary 3.10. Let  $\lambda_1 \in \mathbb{K}^*$  and  $v_1^2 = -2\lambda_1$ . If one of the  $C^{\lambda,\mu}$ -modules  $V^{\lambda,\mu}(v_1)$  or  $W^{\lambda,\mu}(v_1)$  is not simple, then the other is necessarily simple.

*Proof.* By Proposition 3.8, the  $C^{\lambda,\mu}$ -module  $V^{\lambda,\mu}(v_1)$  is not simple if and only if  $\frac{1}{2}(\mu - \frac{\lambda_2}{v_1}) \in$  $\mathbb{N}_+$ . By Corollary 3.9.(2), the  $C^{\lambda,\mu}$ -module  $W^{\lambda,\mu}(\nu_1)$  is not simple if and only if  $-\frac{1}{2}(\mu - \frac{\lambda_2}{\nu_1}) \in \mathbb{N}_+$ . Now, the result follows.

Lemma 3.11. Let  $\lambda \in \mathbb{K}^*$ . Suppose that  $n = \frac{1}{2}(\mu - \frac{\lambda_2}{\nu_1}) \in \mathbb{N}_+$ . Then

- 1.  $0 \longrightarrow F_n^{\lambda,\mu}(v_1) \longrightarrow \mathcal{V}(v_1) \longrightarrow \mathcal{V}(\lambda_1)/F_n^{\lambda,\mu}(v_1) \simeq W^{\lambda,\mu}(v_1) \longrightarrow 0$  is a short exact sequence of  $C_n^{\lambda,\mu}$ -modules and  $W^{\lambda,\mu}(v_1)$  is a simple  $C^{\lambda,\mu}$ -module.
- $f_{n,\nu_1}^{\lambda,\mu} V^{\lambda,\mu}(\nu_1) \simeq W^{\lambda,\mu}(\nu_1).$ 2.

*Proof.* 1. Let us show that the isomorphism makes sense. Clearly,  $V := \mathcal{V}(\lambda_1)/F_n^{\lambda,\mu}(v_1) =$  $\bigoplus_{i\geq 0} \mathbb{K}\partial^{n+i}\tilde{1}$ , where  $\tilde{1} = 1 + F_n^{\lambda,\mu}(v_1)$ . By (40) and (41),  $(Z - v_1)\tilde{1} = 0$  and  $0 = (\phi + 2nv_1)\tilde{1} = 0$  $(\phi + \mu v_1 - \lambda_2)\tilde{1} = (\phi + \mu Z - \lambda_2)\tilde{1} = -\iota(\phi)\tilde{1}$ . So, V is an epimorphic image of  $W^{\lambda,\mu}(v_1)$  (Corollary 3.9.(1)). Since  $n \in \mathbb{N}_+$ , we have  $m := \frac{1}{2}(-\mu + \frac{\lambda_2}{\nu_1}) = -n \notin \mathbb{N}_+$ , and so the  $C^{\lambda,\mu}$ -module  $W^{\lambda,\nu}(\nu_1)$  is simple (Corollary 3.9.(2)). Hence,  $V \simeq W^{\lambda,\mu}(v_1)$ . Now, statement 1 follows.

2. Let  $f = f_{n,\nu_1}^{\lambda,\mu}$ . We keep the notation of Proposition 3.8. Notice that  $(Z - \nu_1)f\bar{1} = 0$  since  $\deg_{\theta}((Z-\nu_1)f\overline{1}) < \deg_{\theta}(f\overline{1}) \text{ and } (Z-\nu_1)f\overline{1} \in f\mathbb{K}[\theta]\overline{1}. \text{ By } (44), \deg_{\theta}((\phi+2n\nu_1)f\overline{1}) < \deg_{\theta}(f\overline{1}) = 0$ *n*, hence,  $(\phi + 2nv_1)f\bar{1} = 0$  since  $(\phi + 2nv_1)f\bar{1} \in f\mathbb{K}[\theta]\bar{1}$ . Using the equalities  $n = \frac{1}{2}(\mu - \frac{\lambda_2}{v_1})$  and  $(Z - v_1)f\bar{1} = 0$ , we obtain that  $\iota(\phi)f\bar{1} = 0$ :  $-\iota(\phi)f\bar{1} = (\phi + \mu Z - \lambda_2)f\bar{1} = (\phi + \mu v_1 - \lambda_2)f\bar{1}$  $(2n\nu_1)f\bar{1} = 0$ . By (36), there is an epimorphism  $W^{\lambda,\mu}(\nu_1) \to fV^{\lambda,\mu}(\nu_1)$ . Since  $n \in \mathbb{N}_+$ , the  $C^{\lambda,\mu}$ module  $W^{\lambda,\mu}(\nu_1)$  is simple (Corollary 3.9.(2)). Hence,  $W^{\lambda,\mu}(\nu_1) \simeq f V^{\lambda,\mu}(\nu_1)$ . 

Simplicity criteria for the algebra  $C^{\lambda,\mu}$  where  $\lambda_1 \neq 0$ . The next theorem is a simplicity criterion for the algebra  $C^{\lambda,\mu}$  where  $\lambda_1 \neq 0$ .

**Theorem 3.12.** Let  $\lambda_1 \in \mathbb{K}^*$  and  $v_1^2 = -2\lambda_1$ . The following statements are equivalent.

- $C^{\lambda,\mu}$  is a simple algebra. 1.
- The  $C^{\lambda,\mu}$ -modules  $V^{\lambda,\mu}(\pm v_1)$  and  $W^{\lambda,\mu}(\pm v_1)$  are simple. 2.
- $\frac{1}{2}(\mu \pm \frac{\lambda_2}{\nu_1}) \notin \mathbb{Z} \setminus \{0\}.$ 3.
- There is no finite dimensional simple  $C^{\lambda,\mu}$ -module. 4.

*Proof.*  $2 \Leftrightarrow 3 \Leftrightarrow 4$ . These implications follow from Proposition 3.8 and Corollary 3.9.  $(1 \Rightarrow 4)$  This implication is obvious since dim  $C^{\lambda,\mu} = \infty$ .

Theo

 $(4 \Rightarrow 1)$  Since the localization  $C_{Z^{2}+2\lambda_{1}}^{\lambda,\mu}$  of the algebra  $C^{\lambda,\mu}$  is isomorphic to the localization  $A_{1,Z^{2}+2\lambda_{1}}$  of the Weyl algebra  $A_{1}$ , the algebra  $C_{Z^{2}+2\lambda_{1}}^{\lambda,\mu}$  is simple. Therefore, any nonzero ideal  $\mathfrak{a}$  of  $C^{\lambda,\mu}$  contains  $s^{i}$  for some  $i \ge 1$  where  $s = Z^{2} + 2\lambda_{1}$ . Hence, the  $C^{\lambda,\mu}$ -module  $C^{\lambda,\mu}/\mathfrak{a}$  contains a submodule, say M, which is an epimorphic image of the  $C^{\lambda,\mu}$ -module  $C^{\lambda,\mu}/C^{\lambda,\mu}s \simeq C^{\lambda,\mu}(\nu_{1}) \oplus C^{\lambda,\mu}(-\nu_{1})$  since the  $C^{\lambda,\mu}$ -modules  $V^{\lambda,\mu}(\pm\nu_{1})$  and  $W^{\lambda,\mu}(\pm\nu_{1})$  are faithful (Lemma 3.15), the module M must be finite dimensional, by Proposition 3.8 and Corollary 3.9.

*Classification of simple finite dimensional*  $C^{\lambda,\mu}$ *-modules.* The next theorem classifies the simple finite dimensional  $C^{\lambda,\mu}$ -modules.

$$\widehat{\mathbf{C}^{\lambda,\mu}}(\text{fin. dim.}) = \begin{cases} \{F_n^{\lambda,\mu}(\nu_1), F_m^{\lambda,\mu}(-\nu_1)\} & \text{if } n, m \in \mathbb{N}_+, \\ \{F_n^{\lambda,\mu}(\nu_1), G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } n, -m \in \mathbb{N}_+, \\ \{F_n^{\lambda,\mu}(\nu_1), G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } -n, m \in \mathbb{N}_+, \\ \{G_{-n}^{\lambda,\mu}(\nu_1), F_m^{\lambda,\mu}(-\nu_1)\} & \text{if } -n, -m \in \mathbb{N}_+, \\ \{G_{-n}^{\lambda,\mu}(\nu_1), G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } n \in \mathbb{N}_+, \pm m \notin \mathbb{N}_+, \\ \{F_n^{\lambda,\mu}(\nu_1)\} & \text{if } n \in \mathbb{N}_+, \pm m \notin \mathbb{N}_+, \\ \{G_{-n}^{\lambda,\mu}(\nu_1)\} & \text{if } -n \in \mathbb{N}_+, \pm m \notin \mathbb{N}_+, \\ \{G_{-n}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, m \in \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, -m \in \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, -m \in \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, -m \in \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, -m \in \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, -m \in \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, -m \in \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, -m \in \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, -m \in \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, -m \in \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, -m \in \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \notin \mathbb{N}_+, \\ \{G_{-m}^{\lambda,\mu}(-\nu_1)\} & \text{if } \pm n \# \mathbb{N}_+, \\ \{G$$

*Proof.* By Theorem 3.9,  $\widehat{C^{\lambda,\mu}}(\text{fin. dim.}) = \emptyset$  if and only if  $\pm n, \pm m \notin \mathbb{N}_+$ . Let V be a simple finite dimensional  $C^{\lambda,\mu}$ -module. By Lemma 3.5, V is an epimorphic image of some of the  $C^{\lambda,\mu}$ -modules:  $V^{\lambda,\mu}(\pm v_1)$ ,  $W^{\lambda,\mu}(\pm v_1)$ . Now, the equalities in the theorem follow from the description of factor modules of the modules  $V^{\lambda,\mu}(\pm v_1)$  (Proposition 3.8) and  $W^{\lambda,\mu}(\pm v_1)$  (Corollary 3.9). It remains to show that in the first four cases the two modules are not isomorphic. This follows from the fact that  $(Z - v_1)^n F_n^{\lambda,\mu}(v_1) = 0$ ,  $(Z - v_1)^t G_t^{\lambda,\mu}(v_1) = 0$  and  $v_1 \neq 0$  (since  $v_1^2 = -2\lambda_1 \neq 0$ ).

Semisimplicity of the category of finite dimensional  $C^{\lambda,\mu}$ -modules where  $\lambda_1 \neq 0$ . The next theorem shows that the category of finite dimensional  $C^{\lambda,\mu}$ -modules is semisimple provided  $\lambda_1 \neq 0$ . As a corollary, the annihilator of every simple finite dimensional  $C^{\lambda,\mu}$ -module is an idempotent ideal.

**Theorem 3.14.** Let  $\lambda_1 \in \mathbb{K}^*$ . Then the category of finite dimensional  $C^{\lambda,\mu}$ -modules is semisimple.

*Proof.* The sets  $S(v_1) = \{(Z - v_1)^i \mid i \in \mathbb{N}\}$  and  $S(-v_1) = \{(Z + v_1)^i \mid i \in \mathbb{N}\}$  are Ore sets of the algebra  $C^{\lambda,\mu}$ . For a  $C^{\lambda,\mu}$ -module V, we denote by  $\operatorname{tor}_{S(\pm v_1)}(V) \coloneqq \bigcup_{i\geq 1} \ker_V(Z \mp v_1)^i$  its  $S(\pm v_1)$ -torsion submodule. To prove the theorem, we have to show that every short exact sequence of  $C^{\lambda,\mu}$ -modules  $0 \to F \to M \to \widetilde{F} \to 0$  splits where  $F, \widetilde{F}$  are simple finite dimensional  $C^{\lambda,\mu}$ -modules. Recall that every simple finite dimensional  $C^{\lambda,\mu}$ -module is either  $S(v_1)$ - or  $S(-v_1)$ -torsion (but not both).

If *F* is  $S(v_1)$ -torsion and  $\widetilde{F}$  is  $S(-v_1)$ -torsion, then  $F = \operatorname{tor}_{S(v_1)}(M)$  and  $\operatorname{tor}_{S(-v_1)}(M) \neq 0$  (since  $(Z - v_1)^n (Z + v_1)^n M = 0$  for some  $n \ge 1$  and  $(Z - v_1)^i M \neq 0$  for all  $i \ge 1$ ). Therefore,  $\operatorname{tor}_{S(-v_1)}(M) \simeq \widetilde{F}$  and  $M = \operatorname{tor}_{S(v_1)}(M) \oplus \operatorname{tor}_{S(-v_1)}(M) \simeq F \oplus \widetilde{F}$ , as required. In view of Corollary 3.9.(2b) and Theorem 3.13, it suffices to consider the case when F =

In view of Corollary 3.9.(2b) and Theorem 3.13, it suffices to consider the case when  $F = \widetilde{F} = F_n^{\lambda,\mu}(\nu_1)$ . Let  $\overline{1}$  and  $\overline{1}$  be the canonical generators of the modules F and  $\widetilde{F}$ , respectively, see Lemma 3.6. We may assume that  $M = F \oplus \widetilde{F}$  is a direct sum of vector spaces. By (41),  $\phi \overline{1} \in F$ ,  $F = \operatorname{im}(\phi) \oplus \operatorname{ker}(\phi)$  and  $\operatorname{ker}(\phi) = \mathbb{K}\overline{1}$ . So,  $\phi \overline{1} = \phi(f_1) + \phi_0\overline{1}$  for some  $f_1 \in F$  and  $\phi_0 \in \mathbb{K}$ . So, replacing the generator  $\widetilde{1}$  by  $\widetilde{1} - f_1$ , we can assume that  $\phi \overline{1} = \phi_0\overline{1}$ .

(i)  $(Z - v_1)\tilde{1} = 0$ : By (40),  $v := (Z - v_1)\tilde{1} \in F$ . Relation (25) can be written as  $[\phi, Z - v_1] = 0$  $(Z + v_1)(Z - v_1)$ . Since  $(Z - v_1)\phi \tilde{1} = (Z - v_1)\phi_0 \tilde{1} = 0$ , we have  $\phi v = \phi(Z - v_1)\tilde{1} = [\phi, Z - v_1]\tilde{1} = [\phi, Z - v_1]\tilde{1}$  $(Z + v_1)(Z - v_1)\tilde{1} = (Z + v_1)v$ , i.e.,  $(\phi - Z - v_1)v = 0$ . By (40) and (41), the element  $\phi - Z - v_1$ acts bijectively on the *n*-dimensional module F (since the linear map  $\phi - Z - v_1 : F \to F$  has  $n = \dim(F)$  distinct nonzero eigenvalues:  $-2v_1, -4v_1, \dots, -2nv_1$ ). Therefore, v = 0.

(ii)  $\phi \tilde{1} = 0$ : We have to show that  $\phi_0 = 0$ . By the statement (i) and (29),  $0 = \theta(Z + v_1)(Z - v_1)(Z - v_2)$  $v_1)\tilde{1} = \theta(Z^2 + 2\lambda_1)\tilde{1} = (\phi + \mu Z - \lambda_2)\phi\tilde{1} = \phi_0(\phi + \mu Z - \lambda_2)\bar{1} = \phi_0(\mu Z - \lambda_2)\bar{1} = \phi_0(\mu v_1 - \lambda_2)\bar{1} = \phi_0(\mu v_1$  $v_1(\mu - \frac{\lambda_2}{v_1})\bar{1} = \phi_0 v_1 2n\bar{1}$ , by Proposition 3.8.(2). Hence,  $\phi_0 = 0$ .

The theorem follows from the next statement.

(iii) The  $C^{\lambda,\mu}$ -module M is isomorphic to the direct sum of  $C^{\lambda,\mu}$ -modules  $F \oplus \widetilde{F}$ : By the statements (i) and (ii), the elements  $\overline{1}$  and  $\overline{1}$  are K-linearly independent elements of M that are annihilated by the elements  $Z - v_1$  and  $\phi$ . By (35),  $V^{\lambda,\mu}(v_1) = C^{\bar{\lambda},\mu}/C^{\lambda,\mu}(Z - v_1,\phi) = \mathbb{K}[\theta]\overline{1}$ . By Proposition 3.8, the image, say F', of the  $C^{\lambda,\mu}$ -module homomorphism  $V^{\lambda,\mu}(v_1) \to M$ ,  $\overline{1} \mapsto \overline{1}$ , is isomorphic to the  $C^{\lambda,\mu}$ -module  $F_n^{\lambda,\mu}(\nu_1)$ . Since the intersection of the kernels  $\ker_{F_n^{\lambda,\mu}(\nu_1)}(Z-\nu_1) \cap$  $\ker_{F_n^{\Lambda,\mu}(v_1)}(\phi)$  is a 1-dimensional vector space,  $F \cap F' = 0$  (since the elements  $\overline{1}$  and  $\widetilde{1}$  are linearly independent), i.e.,  $M = F \oplus F'$ , as required. 

Lemma 3.15. Let 
$$\lambda_1 \in \mathbb{K}^*$$
 and  $\nu_1^2 = -2\lambda_1$ . Then  $\operatorname{ann}_{C^{\lambda,\mu}}(V^{\lambda,\mu}(\nu_1)) = \operatorname{ann}_{C^{\lambda,\mu}}(W^{\lambda,\mu}(\nu_1)) = 0$ .

*Proof.* Let  $V = V^{\lambda,\mu}(v_1)$  and  $\mathfrak{a} := \operatorname{ann}_{C^{\lambda,\mu}}(V)$ . In view of (39), it suffices to show that  $\mathfrak{a} = 0$ . If *V* is a simple  $C^{\lambda,\mu}$ -module, then  $V \simeq \mathcal{V}(v_1)$  (Lemma 3.6) is a simple module over the Weyl algebra  $A_1$ . The algebra  $A_1$  is simple, hence  $0 = \operatorname{ann}_{A_1}(\mathcal{V}(v_1)) \supseteq \mathfrak{a}$ , i.e.,  $\mathfrak{a} = 0$ .

If V is not a simple  $C^{\lambda,\mu}$ -module, then it contains a nonzero submodule  $f_{n,\nu_1}^{\lambda,\mu}V^{\lambda,\mu}(\nu_1)$  (Proposition 3.8.(1)) which is isomorphic to the  $C^{\lambda,\mu}$ -module  $W^{\lambda,\mu}(\nu_1)$  (Lemma 3.11.(2)). By Corollary 3.9.(2), the  $C^{\lambda,\mu}$ -module  $W^{\lambda,\mu}(v_1)$  is simple, hence it is a faithful module, by Corollary 3.9.(1). Therefore, V is also a faithful module. П

The prime spectrum of  $C^{\lambda,\mu}$  where  $\lambda_1 \neq 0$ . The subalgebra  $\Phi := \mathbb{K}\langle Z, \phi \rangle$  of  $C^{\lambda,\mu}$  is isomorphic to the algebra  $\Phi = \mathbb{K}[Z][\phi; s\frac{d}{dZ}]$ , where  $s = Z^2 + 2\lambda_1$ . We have the inclusions of algebras

$$\Phi \subset C^{\lambda,\mu} \subset A_1 \subset \Phi_s = C_s^{\lambda,\mu} = A_{1,s}.$$
(46)

The next theorem together with the classification of finite dimensional simple  $C^{\lambda,\mu}$ -modules (Theorem 3.13) describes Spec  $(C^{\lambda,\mu})$ .

**Theorem 3.16.** Let  $\lambda_1 \in \mathbb{K}^*$ .

- 1. Spec  $(C^{\lambda,\mu}) = \{0, \operatorname{ann}_{C^{\lambda,\mu}}(M) \mid M \in \widehat{C^{\lambda,\mu}}(\operatorname{fin. dim.})\}.$
- 2.  $\operatorname{Max} (C^{\lambda,\mu}) = \begin{cases} \{0\} & \text{if } C^{\lambda,\mu} \text{ is simple,} \\ \{\operatorname{ann}_{C^{\lambda,\mu}}(M) \mid M \in \widehat{C^{\lambda,\mu}}(\operatorname{fin. dim.})\} & \text{if } C^{\lambda,\mu} \text{ is not simple.} \end{cases}$ 3.  $\operatorname{Prim} (C^{\lambda,\mu}) = \operatorname{Spec} (C^{\lambda,\mu}).$

- $\operatorname{Spec}_{c}(C^{\lambda,\mu}) = \{0, \operatorname{ann}_{C^{\lambda,\mu}}(M) \mid M \in \widehat{C^{\lambda,\mu}}(\operatorname{fin.\,dim.}), \operatorname{dim} M = 1\}.$ 4.

*Proof.* 1. Let P be a nonzero prime ideal of  $C^{\lambda,\mu}$ . We have to show that P is the annihilator of a finite dimensional simple  $C^{\lambda,\mu}$ -module. By (46), the algebra  $C_s^{\lambda,\mu} = A_{1,s}$  is a simple Noetherian algebra. Hence,  $s^i \in P$  for some  $i \ge 1$ . The left  $C^{\lambda,\mu}$ -module  $C^{\lambda,\mu}/P$  is an epimorphic image of the  $C^{\lambda,\mu}$ -module  $C^{\lambda,\mu}/C^{\lambda,\mu}s^i$ . By (31), for all  $j \in \mathbb{N}$ ,

$$C^{\lambda,\mu}s^j/C^{\lambda,\mu}s^{j+1} \simeq C^{\lambda,\mu}/C^{\lambda,\mu}s \simeq C^{\lambda,\mu}(\nu_1) \oplus C^{\lambda,\mu}(-\nu_1)$$

since  $\mathbb{K}[Z]/s \simeq \mathbb{K}[Z]/(Z-\nu_1) \times \mathbb{K}[Z]/(Z+\nu_1)$  and  $\nu_1 \neq -\nu_1$  (since  $\lambda_1 \neq 0$ ). By Lemma 3.5, the left  $C^{\lambda,\mu}$ -module  $C^{\lambda,\mu}/P$  has a finite ascending chain of submodules with factors, say  $F_1,\ldots,F_k$ , each of them is an epimorphic image of one of the  $C^{\lambda,\mu}$ -modules:  $V^{\lambda,\mu}(\pm v_1), W^{\lambda,\mu}(\pm v_1)$ . Since  $PF_i = 0$  for all  $i = 1, \dots, k$ , each factor  $F_i$  must be a proper epimorphic image, by Lemma 3.15. Since every proper epimorphic image of the modules  $V^{\lambda,\mu}(\pm v_1)$ ,  $W^{\lambda,\mu}(\pm v_1)$  is a finite dimensional simple  $C^{\lambda,\mu}$ -module, dim $(C^{\lambda,\mu}/P) < \infty$  and all factors  $F_1, \ldots, F_k$  are finite dimensional simple  $C^{\lambda,\mu}$ -modules. Then  $\mathfrak{a}_1 \cdots \mathfrak{a}_k \subseteq P$  where  $\mathfrak{a}_i = \operatorname{ann}_{C^{\lambda,\mu}}(F_i)$ . Hence,  $\mathfrak{a}_i \subseteq P$  for some *i*, and so  $\mathfrak{a}_i = P$  (since  $\mathfrak{a}_i$  is a maximal ideal of  $C^{\lambda,\mu}$ ).

- 2. Statement 2 follows from statement 1.
- 3. Statement 3 follows from statement 1 and Lemma 3.15.
- 4. Statement 4 follows from statement 1.

Proof of Theorem 2.11. Since  $\mathcal{U}/(Z) \simeq U(\mathfrak{sl}_2)$ , the ideal (Z) is a completely prime ideal. Let  $\mathfrak{p} \in \operatorname{Spec}(Z)$  where  $Z = Z(\mathcal{U}) = \mathbb{K}[C_1, C_2]$ . The factor algebra  $\mathcal{Z}[Z]/\mathfrak{p}\mathcal{Z}[Z] \simeq \mathcal{Z}/\mathfrak{p}[Z]$  is a domain and  $t = Z^2 + 2C_1 \notin \mathfrak{p}\mathcal{Z}[Z]$ . Hence, we have the inclusion

$$\frac{\mathcal{Z}[Z]}{\mathfrak{p}\mathcal{Z}[Z]} \subset \frac{\mathcal{Z}[Z]_t}{\mathfrak{p}\mathcal{Z}[Z]_t}$$

Since  $C_t \simeq \mathbb{K}[C_1, C_2, H] \otimes A_{1,t}$ , the factor algebra  $C_t/\mathfrak{p}C_t \simeq \mathbb{K}[C_1, C_2]/\mathfrak{p} \otimes \mathbb{K}[H] \otimes A_{1,t}$  is a domain. By Proposition 2.5 and the equality  $\mathcal{U}_t = C_t[X^{\pm 1}; \omega_X]$ , we have the inclusion of algebras

$$\mathcal{U}/\mathfrak{p}\mathcal{U} \subseteq \mathcal{U}_t/\mathfrak{p}\mathcal{U}_t \simeq \left(\frac{C_t}{\mathfrak{p}C_t}\right)[X^{\pm 1};\omega_X]$$

and the last one is a domain. Hence, the ideal  $\mathfrak{p}\mathcal{U}$  is a completely prime ideal.

## IV. CLASSIFICATION OF SIMPLE $C^{\lambda,\mu}$ -MODULES WHERE $\lambda_1 \neq 0$

In this section, a classification of simple  $C^{\lambda,\mu}$ -modules is given (Theorem 4.2 and Theorem 4.4) where  $\lambda_1 \neq 0$ . The case when  $\lambda_1 = 0$  is treated in Section V. Despite the fact that the algebras  $C^{\lambda,\mu}$  are more complicated algebras comparing to the Weyl algebra  $A_1$ , their simple modules are closely related.

As a corollary of Theorem 3.14 and Theorem 3.16, we obtain a classification of all the ideals of the algebra  $C^{\lambda,\mu}$  provided  $\lambda \neq 0$ .

*Corollary 4.1. Let*  $\lambda_1 \in \mathbb{K}^*$ *. Then* 

- 1. Every nonzero ideal I of the algebra  $C^{\lambda,\mu}$  is an annihilator of a finite dimensional  $C^{\lambda,\mu}$ -module. In particular, the factor algebra  $C^{\lambda,\mu}/I$  is a finite dimensional semisimple algebra.
- 2. All ideals of the algebra  $C^{\lambda,\mu}$  commute (IJ = JI).
- 3. All ideals of the algebra  $C^{\lambda,\mu}$  are idempotent ideals  $(I^2 = I)$ .
- 4. For all ideals I and J of the algebra  $C^{\lambda,\mu}$ ,  $I \cap J = IJ$ .
- 5. Every nonzero ideal of the algebra  $C^{\lambda,\mu}$  is a unique product (up to permutation) of distinct maximal ideals of  $C^{\lambda,\mu}$ . In particular, the number of ideals of  $C^{\lambda,\mu}$  is at most 4.
- 6. Every ideal of the algebra  $C^{\lambda,\mu}$  is a semiprime ideal.

*Proof.* If the algebra  $C^{\lambda,\mu}$  is simple, then there is nothing to prove. So, we may assume that the algebra  $C^{\lambda,\mu}$  is not simple. Let P and Q be annihilators of simple finite dimensional  $C^{\lambda,\mu}$ -modules. By Theorem 3.14,  $P^2 = P$  and  $PQ = P \cap Q = QP$ . By Theorem 3.16.(1), all prime ideals of the algebra  $C^{\lambda,\mu}$  commute and are idempotent ideals. Let I be a nonzero ideal of  $C^{\lambda,\mu}$ . The algebra  $C^{\lambda,\mu}$  is Noetherian. So, the set min (I) of minimal primes over I is a finite set and  $\mathfrak{n}^i \subseteq I \subseteq \mathfrak{n} \subseteq C^{\lambda,\mu}$  for some  $i \ge 1$ , where  $\mathfrak{n} = \bigcap_{P \in \min(I)} P$ . By Theorem 3.16.(1), every element of min (I) is a maximal ideal of  $C^{\lambda,\mu}$  of finite co-dimension. Hence, dim  $(C^{\lambda,\mu}/\mathfrak{n}) < \infty$ , and so dim  $(C^{\lambda,\mu}/\mathfrak{n}^j) < \infty$  for all  $j \ge 1$  (since  $C^{\lambda,\mu}$  is a Noetherian algebra). Therefore, dim  $(C^{\lambda,\mu}/I) < \infty$ . The finite dimensional algebra  $C^{\lambda,\mu}/I$  is semisimple, by Theorem 3.14. This proves statement 1. Hence,  $I = \mathfrak{n} = \prod_{P \in \min(I)} P$ . Now, statements 2–6 follows.

Classification of simple  $C^{\lambda,\mu}$ -modules where  $\lambda_1 \neq 0$ . The set  $S_s = \{s^i \mid i \in \mathbb{N}\}$  (where  $s = Z^2 + 2\lambda_1$ ) is an Ore set of the algebra  $C^{\lambda,\mu}$  such that  $C_s^{\lambda,\mu} = A_{1,s}$ , see (46). Then

$$\widehat{C^{\lambda,\mu}} = \widehat{C^{\lambda,\mu}}(S_s \text{-torsion}) \sqcup \widehat{C^{\lambda,\mu}}(S_s \text{-torsionfree}).$$
(47)

Descriptions of these two sets are given by Theorem 4.2 and Theorem 4.4, respectively.

The set  $\overline{C}^{\lambda,\mu}(S_s$ -torsion) where  $s = Z^2 + 2\lambda_1$  and  $\lambda_1 \neq 0$ . Recall that  $\pm v_1$  are the roots of the polynomial  $Z^2 + 2\lambda_1$ . By Proposition 3.8 and Corollary 3.9.(2), each of the  $C^{\lambda,\mu}$ -modules  $V^{\lambda,\mu}(v_1)$  and  $W^{\lambda,\mu}(v_1)$  admits a unique simple factor module denoted  $\overline{V}^{\lambda,\mu}(v_1)$  and  $\overline{W}^{\lambda,\mu}(v_1)$ , respectively. Let  $n = \frac{1}{2}(\mu - \frac{\lambda_2}{v_1})$  and  $m = \frac{1}{2}(-\mu + \frac{\lambda_2}{v_1}) = -n$ . Then

$$\overline{V}^{\lambda,\mu}(\nu_1) = \begin{cases} V^{\lambda,\mu}(\nu_1) \simeq \mathcal{V}(\nu_1) & \text{if } n \notin \mathbb{N}_+, \\ F_n^{\lambda,\mu}(\nu_1) & \text{if } n \in \mathbb{N}_+ \end{cases} \text{ and } \overline{W}^{\lambda,\mu}(\nu_1) = \begin{cases} W^{\lambda,\mu}(\nu_1) \simeq^{\iota} \mathcal{V}(-\nu_1) & \text{if } m \notin \mathbb{N}_+, \\ G_m^{\lambda,\mu}(\nu_1) & \text{if } m \in \mathbb{N}_+. \end{cases}$$
(48)

The next theorem is an explicit description of the set  $\widehat{C^{\lambda,\mu}}(S_s$ -torsion).

**Theorem 4.2.** Let  $\lambda_1 \neq 0$ ,  $v_1^2 + 2\lambda_1 = 0$ , and  $s = Z^2 + 2\lambda_1$ . Then

$$\widehat{C^{\lambda,\mu}}(S_s\text{-torsion}) = \{\overline{V}^{\lambda,\mu}(\pm \nu_1), \ \overline{W}^{\lambda,\mu}(\pm \nu_1)\}\$$

and the four modules in the bracket are not isomorphic unless

1.  $\mu v_1 - \lambda_2 = 0, \overline{V}^{\lambda,\mu}(v_1) \simeq \overline{W}^{\lambda,\mu}(v_1),$ 2.  $\mu(-v_1) - \lambda_2 = 0, \overline{V}^{\lambda,\mu}(-v_1) \simeq \overline{W}^{\lambda,\mu}(-v_1).$ 

In particular, all four modules are isomorphic if and only if  $\mu = 0$  and  $\lambda_2 = 0$ .

*Proof.* Let  $M \in \widehat{C^{\lambda,\mu}}(S_s$ -torsion). Then M is a simple epimorphic image of the  $C^{\lambda,\mu}$ -module  $C^{\lambda,\mu}/C^{\lambda,\mu}s \simeq C^{\lambda,\mu}(\nu_1) \oplus C^{\lambda,\mu}(-\nu_1)$  and vice versa. By Lemma 3.5.(1), *M* is a simple epimorphic image of one of the  $C^{\lambda,\mu}$ -modules  $V^{\lambda,\mu}(\pm v_1), W^{\lambda,\mu}(\pm v_1)$ , and vice versa. Therefore,  $\widehat{C^{\lambda,\mu}}(S_s$ -torsion) =  $\{\overline{V}^{\lambda,\mu}(\pm\nu_1), \overline{W}^{\lambda,\mu}(\pm\nu_1)\}$ . It remains to sort out when some of these four modules are isomorphic or not. By Lemma 3.5.(2), statements 1 and 2 hold. Since  $\overline{V}^{\lambda,\mu}(\pm \nu_1) = \bigcup_{i \ge 1} \ker(Z \mp \nu_1)^i$  and  $\overline{W}^{\lambda,\mu}(\pm \nu_1)$  $= \bigcup_{i \ge 1} \ker(Z \neq v_1)^i, \text{ the only possible isomorphisms are of the type } \overline{V}^{\lambda,\mu}(v_1) \simeq \overline{W}^{\lambda,\mu}(v_1) \text{ or } \overline{V}^{\lambda,\mu}(-v_1)$  $\simeq \overline{W}^{\lambda,\mu}(-\nu_1)$ . To finish the proof of the theorem, it suffices to show that if  $\overline{V}^{\lambda,\mu}(\nu_1) \simeq \overline{W}^{\lambda,\mu}(\nu_1)$  then  $\mu v_1 - \lambda_2 = 0$ . So, suppose that  $\overline{V}^{\lambda,\mu}(v_1) \simeq \overline{W}^{\lambda,\mu}(v_1)$ . By Corollary 3.10, if one of the modules  $\overline{V}^{\lambda,\mu}(v_1)$ or  $\overline{W}^{\lambda,\mu}(v_1)$  is finite dimensional then the other is necessarily infinite dimensional. Since we assume that the modules  $\overline{V}^{\lambda,\mu}(v_1)$  and  $\overline{W}^{\lambda,\mu}(v_1)$  are isomorphic, they must be both infinite dimensional. Then  $\overline{V}^{\lambda,\mu}(\nu_1) \simeq V^{\lambda,\mu}(\nu_1)$  (Proposition 3.8) and  $\overline{W}^{\lambda,\mu}(\nu_1) \simeq W^{\lambda,\mu}(\nu_1)$  (Corollary 3.9). By Lemma 3.6,  $V^{\lambda,\mu}(v_1) \simeq \mathcal{V}(v_1)$ . Then, by (41), the set of eigenvalues of the K-linear map  $\phi : \mathcal{V}(v_1) \rightarrow \mathcal{V}(v_1)$  $\mathcal{V}(v_1), v \mapsto \phi v$ , is  $\operatorname{Ev}(\phi) = -2\mathbb{N}v_1$ . By Corollary 3.9.(1),  $W^{\lambda,\mu}(v_1) \simeq {}^{\iota}V^{\lambda,-\mu}(-v_1)$ . Since the  $C^{\lambda,\mu}$ module  $W^{\lambda,\mu}(v_1)$  is simple and infinite dimensional, the  $C^{\lambda,-\mu}$ -module  $V^{\lambda,-\mu}(-v_1)$  is so. Hence,  $V^{\lambda,-\mu}(-\nu_1) \simeq \mathcal{V}(-\nu_1)$ , and so  $W^{\lambda,\mu}(\nu_1) \simeq {}^{\iota}\mathcal{V}(-\nu_1)$ . The action of the element  $\phi$  on  $W^{\lambda,\mu}(\nu_1)$  is the same as the action of the element  $\iota(\phi) = -\phi + \mu Z + \lambda_2$  on  $\mathcal{V}(-\nu_1)$ . By (40) and (41),  $\mathrm{Ev}(\iota(\phi)) =$  $\mu(-\nu_1) + \lambda_2 - 2\mathbb{N}\nu_1$ . Therefore, if  $V^{\lambda,\mu}(\nu_1) \simeq W^{\lambda,\mu}(\nu_1)$  then  $-2\mathbb{N}\nu_1 = \operatorname{Ev}(\phi) = \operatorname{Ev}(\iota(\phi)) = \mu(-\nu_1) + \mu(-\nu_1)$  $\lambda_2 - 2\mathbb{N}\nu_1$ , i.e.,  $\mu\nu_1 - \lambda_2 = 0$ , as required. П

Let A be an algebra and M be an A-module. We denote by  $l_A(M)$  the *length* of the A-module M.

**Theorem 4.3.** Let  $\lambda_1 \in \mathbb{K}^*$ . For each  $a \in C^{\lambda,\mu} \setminus \{0\}$ , the  $C^{\lambda,\mu}$ -module  $C^{\lambda,\mu}/C^{\lambda,\mu}a$  has finite length.

*Proof.* Recall that the algebra  $C^{\lambda,\mu}$  is a Noetherian domain of Gelfand-Kirillov dimension 2 (Proposition 3.3.(3,5)). By Lemma 3.4, the algebra  $C^{\lambda,\mu}$  is a somewhat commutative algebra. Hence, GK  $(M) \leq 1$  where  $M = C^{\lambda,\mu}/C^{\lambda,\mu}a$ . If GK (M) = 0 then the module M is finite dimensional, and the result is obvious. It remains to consider the case when GK (M) = 1. Suppose that the  $C^{\lambda,\mu}$ -module has infinite length, we seek a contradiction. Then there is a descending chain of submodules of M,  $M = M_0 \supset M_1 \supset \cdots \supset M_i \supset M_{i+1} \supset \cdots$ , with simple factors  $\overline{M_i} := M_i/M_{i+1}$ . By the additivity of the multiplicity, there is a natural number n such that the factors  $\overline{M_i}$  are finite dimensional for all  $i \geq n$ . Hence, the algebra  $C^{\lambda,\mu}$  is not simple. Let I be the least nonzero ideal of  $C^{\lambda,\mu}$ . By Corollary 4.1.(1), the algebra  $\overline{C} := C^{\lambda,\mu}/I$  is a semisimple finite dimensional algebra. Let  $d = \dim(\overline{C})$  and m be the number of generators of the  $C^{\lambda,\mu}$ -module M. By Theorem 3.14, for all i > n, the  $C^{\lambda,\mu}$ -module  $M_n/M_i$  is also a  $\overline{C}$ -module. Hence,  $\dim(M_n/M_i) \leq md$  for all i > n, a contradiction.

The sets  $\widehat{C^{\lambda,\mu}}(S$ -torsion) and  $\widehat{C^{\lambda,\mu}}(S$ -torsionfree) where  $\lambda_1 \neq 0$ . The set  $S = \mathbb{K}[Z] \setminus \{0\}$  is an Ore set of the Weyl algebra  $A_1$  and the algebra  $B_1 \coloneqq S^{-1}A_1 = \mathbb{K}(Z)[\partial; \frac{d}{dZ}]$  is an Ore extension where  $\mathbb{K}(Z)$  is the field of rational functions in the variable Z. The algebra  $B_1$  is a left and right principle ideal domain, i.e., every left/right ideal of  $B_1$  is generated by a single element. When  $\mathbb{K}$  is an algebraically closed field of characteristic zero, a classification of simple  $A_1$ -modules was given by Ref. 8 (see also Refs. 3 and 4 for an alternative approach),

 $\widehat{A_1} = \widehat{A_1}(S \text{-torsion}) \sqcup \widehat{A_1}(S \text{-torsionfree}),$ 

 $\widehat{A_1}(S\text{-torsion}) = \{ [\mathcal{V}(\gamma)] \mid \gamma \in \mathbb{K} \} \text{ where } \mathcal{V}(\gamma) = A_1/A_1(Z - \gamma),$ 

 $\widehat{A_1}(S\text{-torsionfree}) = \{ [M_b := A_1/A_1 \cap B_1 b] \mid b \in B_1 \text{ is irreducible and good} \},\$ 

where the element  $b \in B_1$  is called good if it satisfies the conditions of Ref. 8 [Theorem 1]. The set *S* is also an Ore set of the algebra  $C^{\lambda,\mu}$  and  $S^{-1}C^{\lambda,\mu} = B_1$ . Then

 $\widehat{C^{\lambda,\mu}} = \widehat{C^{\lambda,\mu}}(S\text{-torsion}) \ \sqcup \ \widehat{C^{\lambda,\mu}}(S\text{-torsionfree}).$ 

Clearly,  $\widehat{C^{\lambda,\mu}}(S_s$ -torsion)  $\subseteq \widehat{C^{\lambda,\mu}}(S$ -torsion) since  $S_s \subseteq S$ .

Theorem 4.2 and Theorem 4.4 classify the set of simple  $C^{\lambda,\mu}$ -modules where  $\lambda_1 \neq 0$ . Theorem 4.4 shows a close connection between the sets of simple  $C^{\lambda,\mu}$ -modules and  $A_1$ -modules.

**Theorem 4.4.** Let  $\lambda_1 \in \mathbb{K}^*$  and  $S = \mathbb{K}[Z] \setminus \{0\}$ . Suppose that  $\mathbb{K}$  is an algebraically closed field. Then

- 1.  $\widehat{C^{\lambda,\mu}}(S\text{-torsion}) \setminus \widehat{C^{\lambda,\mu}}(S_s\text{-torsion}) = \widehat{A_1}(S\text{-torsion}) \setminus \{\mathcal{V}(\pm v_1)\} = \{[\mathcal{V}(\gamma)] \mid \gamma \in \mathbb{K} \setminus \{\pm v_1\}\},\$ where  $v_1 = \sqrt{-2\lambda_1}$ , i.e., every simple S-torsion  $A_1$ -module which is not isomorphic to  $\mathcal{V}(\pm v_1)$ is a simple S-torsion  $C^{\lambda,\mu}$ -module which is not  $S_s$ -torsion.
- 2. The map  $\widehat{A_1}(S$ -torsionfree)  $\rightarrow \widehat{C^{\lambda,\mu}}(S$ -torsionfree),  $[M] \mapsto [\operatorname{soc}_{C^{\lambda,\mu}}(M)]$ , is a bijection with the inverse  $[N] \mapsto [\operatorname{soc}_{A_1}(N_s)]$ .
- 3. For each  $[M] \in \widehat{A_1}$  (S-torsionfree), i.e.,  $M \simeq M_b \coloneqq A_1/A_1 \cap B_1 b$ , where b is an irreducible and good element of  $B_1$ ,  $\operatorname{soc}_{C^{\lambda,\mu}}(M_b) \simeq N_{bs^{-i}} \coloneqq C^{\lambda,\mu}/C^{\lambda,\mu} \cap B_1 bs^{-i}$  for all  $i \gg 0$ .

*Proof.* 1. Notice that  $\widehat{A_1}(S$ -torsion) = { $[\mathcal{V}(\gamma)] \mid \gamma \in \mathbb{K}$ } and  $\widehat{A_1}(S_s$ -torsion) = { $[\mathcal{V}(\pm \nu_1)]$ }.

(i)  $\mathcal{A} := \widehat{A_1}(S\text{-torsion}) \setminus \{[\mathcal{V}(\pm v_1)]\} \subseteq C := \widehat{C^{\lambda,\mu}}(S\text{-torsion}) \setminus \widehat{C^{\lambda,\mu}}(S_s\text{-torsion})$ : We have to show that each  $A_1$ -module  $\mathcal{V}(\gamma)$  where  $\gamma \in \mathbb{K} \setminus \{\pm v_1\}$  is a simple  $C^{\lambda,\mu}$ -module and that two such modules are isomorphic as  $C^{\lambda,\mu}$ -modules  $\mathcal{V}(\gamma) \simeq \mathcal{V}(\gamma')$  if and only if  $\gamma = \gamma'$ . Since  $\mathcal{V}(\gamma) = \bigcup_{i \ge 0} \ker(Z - \gamma)^i$  and  $\gamma \neq \pm v_1$ , the map  $s = Z^2 + 2v_1 = (Z - v_1)(Z + v_1) : \mathcal{V}(\gamma) \to \mathcal{V}(\gamma), v \mapsto sv$  is a bijection. Therefore,  $\mathcal{V}(\gamma) = \mathcal{V}(\gamma)_s$ . Since  $C_s^{\lambda,\mu} = A_{1,s}$ , the  $C^{\lambda,\mu}$ -module  $\mathcal{V}(\gamma)$  is simple. Clearly, the  $C^{\lambda,\mu}$ -modules  $\mathcal{V}(\gamma)$  and  $\mathcal{V}(\gamma')$  (where  $\gamma, \gamma' \in \mathbb{K} \setminus \{\pm v_1\}$ ) are isomorphic if and only if  $\gamma = \gamma'$ . (ii)  $\mathcal{A} = C$ : Given  $[N] \in C$ . Then  $N_s$  is a simple  $C_s^{\lambda,\mu}$ -module, i.e.,  $N_s$  is a simple  $\mathbb{K}[Z]_s$ -

(ii)  $\mathcal{A} = C$ : Given  $[N] \in C$ . Then  $N_s$  is a simple  $C_s^{\lambda,\mu}$ -module, i.e.,  $N_s$  is a simple  $\mathbb{K}[Z]_{s^-}$  torsion  $A_{1,s}$ -module (since  $C_s^{\lambda,\mu} = A_{1,s}$ ). Therefore,  $N_s = A_{1,s}/A_{1,s}(Z - \gamma) = \mathcal{V}(\gamma)_s$  for some  $\gamma \in \mathbb{K} \setminus \{\pm \nu_1\}$ . Now, the statement (ii) follows from statement (i).

2. By Theorem 4.3, the map

 $\widehat{C^{\lambda,\mu}}(S\text{-torsionfree}) \to \widehat{C_s^{\lambda,\mu}}(S\text{-torsionfree}), \ [N] \mapsto [N_s]$ 

is a bijection with the inverse  $[N_s] \mapsto [\operatorname{soc}_{C^{\lambda,\mu}}(N_s)]$ . Similarly, the map

 $\widehat{A_1}(S\text{-torsionfree}) \rightarrow \widehat{A_{1,s}}(S\text{-torsionfree}), [M] \mapsto [M_s]$ 

is a bijection with the inverse  $[M_s] \mapsto [\operatorname{soc}_{A_1}(M_s)]$ . Since  $C_s^{\lambda,\mu} = A_{1,s}$ , we have the inclusions  $\operatorname{soc}_{C^{\lambda,\mu}}(M_s) \subseteq M \subseteq M_s$ , for all M as above, and so  $\operatorname{soc}_{C^{\lambda,\mu}}(M_s) = \operatorname{soc}_{C^{\lambda,\mu}}(M)$  and statement 2 follows.

3. The  $C^{\lambda,\mu}$ -module  $M_b$  contains the  $C^{\lambda,\mu}$ -module  $N_b := C^{\lambda,\mu}/C^{\lambda,\mu} \cap B_1 b = C^{\lambda,\mu}\overline{1}$  (where  $\overline{1} = 1 + C^{\lambda,\mu} \cap B_1 b$ ) which has finite length, by Theorem 4.3. The simple  $C^{\lambda,\mu}$ -module  $L := \operatorname{soc}_{C^{\lambda,\mu}}(M_b)$  is an essential submodule of  $M_b$ . Hence,  $L \subseteq N_b$ . If  $L = N_b$  then  $C^{\lambda,\mu} = C^{\lambda,\mu}s^i + C^{\lambda,\mu} \cap B_1 b$  for all  $i \ge 0$ , and so

$$L = N_b = \frac{C^{\lambda,\mu}s^i + C^{\lambda,\mu} \cap B_1 b}{C^{\lambda,\mu} \cap B_1 b} \simeq \frac{C^{\lambda,\mu}s^i}{C^{\lambda,\mu}s^i \cap B_1 b} \simeq \frac{C^{\lambda,\mu}}{C^{\lambda,\mu} \cap B_1 b s^{-i}} = N_{bs^{-i}}$$

and we are done. If  $L \subseteq N_b$  then the  $C^{\lambda,\mu}$ -module  $N_b/L$  is S-torsion, and so there is a  $C^{\lambda,\mu}$ -module epimorphism  $f: N_b/L \to U$ , where U is a simple, S-torsion  $C^{\lambda,\mu}$ -module.

Claim: U is S<sub>s</sub>-torsion: If not then, by statement 1,  $U \simeq \mathcal{V}(\gamma)$  for some  $\gamma \neq \pm v_1$ . Then  $\mathcal{V}(\gamma) = \mathcal{V}(\gamma)_s$  is simple  $C_s^{\lambda,\mu}$ -module/ $A_{1,s}$ -module since  $C_s^{\lambda,\mu} = A_{1,s}$ . There is a commutative diagram of  $C^{\lambda,\mu}$ -homomorphisms,

$$N_b \xrightarrow{} N_{b,s}$$

$$\downarrow \qquad \qquad \downarrow^g$$

$$N_b/L \xrightarrow{f} \mathcal{V}(\gamma) = \mathcal{V}(\gamma)_s$$

where g is an epimorphism. The  $C^{\lambda,\mu}$ -module/ $A_{1,s}$ -module  $N_{b,s}$  is a nonzero one which is an  $A_{1,s}$ -submodule of the simple  $A_{1,s}$ -module  $M_{b,s}$ . Hence,  $M_{b,s} = N_{b,s} \simeq \mathcal{V}(\gamma)_s$ , a contradiction. Therefore, U is  $S_s$ -torsion.

By Theorem 4.3, the  $C^{\lambda,\mu}$ -module  $N_b$  has finite length. Therefore, the descending chain  $\{L_i := C^{\lambda,\mu} s^i \bar{1} \mid i \in \mathbb{N}\}$  of  $C^{\lambda,\mu}$ -modules of  $N_b$  stabilizes, say, at *j*th step:  $N_0 = L_0 \supseteq L_1 \supseteq \ldots \supseteq L_j = L_{j+1} = \cdots$ . For all  $i \in \mathbb{N}$ ,

$$L_i \simeq \frac{C^{\lambda,\mu}s^i + C^{\lambda,\mu} \cap B_1 b}{C^{\lambda,\mu} \cap B_1 b} \simeq N_{bs^{-i}}.$$

By the claim and the choice of j, we have  $L = L_j = L_{j+1} = \cdots$ , and so  $L \simeq N_{bs^{-i}}$  for all  $i \ge j$ , as required.

*Corollary 4.5. Let*  $\lambda_1 \in \mathbb{K}^*$  *and*  $\nu_1 = \sqrt{-2\lambda_1}$ *. Then* 

- 1. The set  $\widehat{C^{\lambda,\mu}}(S_s$ -torsionfree) is a disjoint union of the sets in statements 1 and 2 of Theorem 4.4.
- 2. For each  $\gamma \in \mathbb{K} \setminus \{\pm \nu_1\}, \mathcal{V}(\gamma) \simeq C^{\lambda,\mu}(\gamma) \coloneqq C^{\lambda,\mu}(Z-\gamma).$

Proof. 1. Statement 1 follows from Theorem 4.4.

2. Since  $\gamma \neq \pm v_1$  and  $\mathcal{V}(\gamma) = \bigcup_{i \ge 0} \ker(Z - \gamma)^i$ , the map  $s_{\lambda_1} \coloneqq Z^2 + 2\lambda_1 \colon \mathcal{V}(\gamma) \to \mathcal{V}(\gamma), v \mapsto (Z^2 + 2\lambda_1)v$  is a bijection. Hence,  $\mathcal{V}(\gamma) = \mathcal{V}(\gamma)_s$ . Similarly, since  $\gamma \neq \pm v_1$  and  $C^{\lambda,\mu}(\gamma) = \bigcup_{i \ge 0} \ker(Z - \gamma)^i$ , the map  $s_{\lambda_1} = Z^2 + 2\lambda_1 \colon C^{\lambda,\mu}(\gamma) \to C^{\lambda,\mu}(\gamma), v \mapsto (Z^2 + 2\lambda_1)v$  is a bijection. Hence,  $C^{\lambda,\mu}(\gamma) = C^{\lambda,\mu}(\gamma)_s \simeq \mathcal{V}(\gamma)_s = \mathcal{V}(\gamma)$ .

### V. CLASSIFICATION OF SIMPLE $C^{\lambda,\mu}$ -MODULES WHERE $\lambda_1 = 0$

In this section, the following notation is fixed:  $\lambda = -\lambda_2$ ,  $C^{\lambda,\mu} := C^{0,-\lambda_2,\mu}$ , and  $C^{0,\mu} = C^{0,0,\mu}$ . The simple  $C^{\lambda,\mu}$ -modules were classified in Ref. 7 [Section 4]. In this section, we recall this classification. The cases when  $\lambda_2 \neq 0$  and  $\lambda_2 = 0$  are quite different. We assume that the field  $\mathbb{K}$  is an algebraically closed field of characteristic zero.

By Proposition 3.3, the algebra  $C^{\lambda,\mu} = C^{0,-\lambda_2,\mu}$  is generated by the elements  $Z,\theta$ , and  $\phi$  that satisfy the defining relations,

$$\begin{split} [\phi, Z] &= Z^2, & [\theta, Z] &= 2\phi + (\mu - 2)Z + \lambda, \\ [\theta, \phi] &= 2\theta Z + (-\phi + 2Z)\mu, \quad \theta Z^2 &= (\phi + \mu Z + \lambda)\phi, \end{split}$$

and it is a subalgebra of the Weyl algebra  $A_1$  via a monomorphism  $C^{\lambda,\mu} \to A_1, Z \mapsto Z, \phi \mapsto hZ, \theta \mapsto \lambda \partial + (h + \mu)(h - 1)$ . Furthermore,  $C^{\lambda,\mu} \subset A_1 \subset A_{1,Z} = C_Z^{\lambda,\mu}$ .

Classification of simple  $C^{\lambda,\mu}$ -modules where  $\lambda \neq 0$ . The Weyl algebra  $A_1$  is a subalgebra of the skew Laurent polynomial algebra  $B = \mathbb{K}(h)[Z, Z^{-1}; \sigma]$ , where  $\sigma(h) = h - 1$ . The algebra B is the localization  $S^{-1}A_1$  of the Weyl algebra  $A_1$  at the (left and right) Ore set  $S := \mathbb{K}[h] \setminus \{0\}$ . The algebra B is a Euclidean ring with left and right division algorithms. In particular, the algebra B is a principle left and right ideal domain. Each simple B-module is isomorphic to B/Bb where b is an irreducible (indecomposable) element of B. B-modules B/Bb and B/Bc are isomorphic if and only if the elements b and c are similar, i.e., there exists an element  $d \in B$  such that 1 is the greatest common right divisor of c and d, and bd is their least common left multiple.

Let  $\alpha, \beta \in S = \mathbb{K}[h] \setminus \{0\}$ . We write  $\alpha < \beta$  if there are no roots  $\lambda$  and  $\mu$  of the polynomials  $\alpha$  and  $\beta$ , respectively, such that  $\lambda - \mu \in \mathbb{N}$ .

**Definition**, [Ref. 4]. An element  $b = \partial^m \beta_m + \partial^{m-1} \beta_{m-1} + \cdots + \beta_0$ , where m > 0,  $\beta_i \in \mathbb{K}[h]$  and  $\beta_0, \beta_m \neq 0$ , is called *normal* if  $\beta_0 < \beta_m$  and  $\beta_0 < h$ .

For a simple  $A_1$ -module M there are two options either  $S^{-1}M = 0$  or  $S^{-1}M \neq 0$ . Accordingly, we say that the simple module is  $\mathbb{K}[h]$ -torsion or  $\mathbb{K}[h]$ -torsionfree, respectively.

**Theorem 5.1.** [*Refs.* 3 and 4].  $\widehat{A_1} = \widehat{A_1}(\mathbb{K}[h]$ -torsion)  $\sqcup \widehat{A_1}(\mathbb{K}[h]$ -torsionfree) where

- 1.  $\widehat{A_1}(\mathbb{K}[h]\text{-torsion}) = \{A_1/A_1Z, A_1/A_1\partial, A_1/A_1(h \lambda_0) \mid O \in \mathbb{K}/\mathbb{Z} \setminus \{\mathbb{Z}\}\}$  where  $\lambda_O$  is any fixed element of  $O = \lambda_O + \mathbb{Z}$ .
- 2. Each simple  $\mathbb{K}[h]$ -torsionfree  $A_1$ -module is isomorphic to  $M_b := A_1/A_1 \cap Bb$  for a normal, irreducible element b. Simple  $A_1$ -modules  $M_b$  and  $M_{b'}$  are isomorphic if and only if the elements b and b' are similar.

The following theorem gives a classification of simple  $C^{\lambda,\mu}$ -modules where  $\lambda \neq 0$ . It shows that there is a tight connection between the sets of simple  $C^{\lambda,\mu}$ -modules and  $A_1$ -modules. The theorem gives an explicit construction for each simple  $C^{\lambda,\mu}$ -module as a factor module  $C^{\lambda,\mu}/I$  where I is a left maximal ideal of  $C^{\lambda,\mu}$ . For a  $C^{\lambda,\mu}$ -module M, we denote by  $l_{C^{\lambda,\mu}}(M)$  its *length*.

**Theorem 5.2.** [*Ref.* 7]. Let  $\lambda \in \mathbb{K}^*$  and  $\mu \in \mathbb{K}$ . Then

- 1. The map soc =  $\operatorname{soc}_{C^{\lambda,\mu}}: \widehat{A_1} \longrightarrow \widehat{C^{\lambda,\mu}}, [M] \mapsto [\operatorname{soc}_{C^{\lambda,\mu}}(M)]$ , is an injection, and  $\widehat{C^{\lambda,\mu}} = \operatorname{soc}(\widehat{A_1}) \sqcup \{N^{\lambda,\mu}\}$ . Furthermore,
  - (a) the map  $\operatorname{soc}^{tf} : \widehat{A_1}(Z\operatorname{-torsionfree}) \longrightarrow \widehat{C^{\lambda,\mu}}(Z\operatorname{-torsionfree}), [M] \mapsto [\operatorname{soc}_{C^{\lambda,\mu}}(M)]$ , is a bijection, but
  - (b) the map  $\operatorname{soc}^{tt} : \widehat{A_1}(Z\operatorname{-torsion}) = \{A_1/A_1Z\} \longrightarrow \widehat{C^{\lambda,\mu}}(Z\operatorname{-torsion}) = \{M^{\lambda,\mu}, N^{\lambda,\mu}\}, [A_1/A_1Z] \mapsto [M^{\lambda,\mu}], \text{ is an injection which is not a bijection where } M^{\lambda,\mu} = C^{\lambda,\mu}/C^{\lambda,\mu}(Z,\phi)$ and  $N^{\lambda,\mu} = C^{\lambda,\mu}/C^{\lambda,\mu}(Z,\phi+\lambda)$ . In particular, the simple  $C^{\lambda,\mu}$ -modules  $M^{\lambda,\mu}$  and  $N^{\lambda,\mu}$  are not isomorphic.
- 2. For each  $[M] \in \widehat{A_1}(\mathbb{K}[h]$ -torsion), the  $C^{\lambda,\mu}$ -module M is simple, i.e.,  $\operatorname{soc}_{C^{\lambda,\mu}}(M) = M$ .
- 3. For each  $[M] \in \widehat{A_1}(\mathbb{K}[h]$ -torsionfree), i.e.,  $M = M_b = A_1/A_1 \cap Bb$ , where  $b \in B$  is as in Theorem 5.1.(2),  $N_b := C^{\lambda,\mu}/C^{\lambda,\mu} \cap Bb \subseteq M_b$  and  $\operatorname{soc}_{C^{\lambda,\mu}}(M_b) = \operatorname{soc}_{C^{\lambda,\mu}}(N_b) \simeq N_{bt^{-n}}$  for all  $n \gg 0$ .

Classification of simple  $C^{0,\mu}$ -modules. The subalgebra R of the Weyl algebra  $A_1$  which is generated by the elements Z and h is a skew polynomial algebra  $R = \mathbb{K}[h][Z; \sigma]$ , where  $\sigma(h) = h - 1$ . The algebra R is a homogeneous subalgebra of the  $\mathbb{Z}$ -graded algebra  $A_1$ , it is the non-negative part of the  $\mathbb{Z}$ -grading of  $A_1$ . For all  $\mu \in \mathbb{K}$ ,  $C^{0,\mu} \subset R \subset A_1$  and the subalgebra  $C^{0,\mu}$  of R is generated by the elements  $Z, \phi = hZ$  and  $\theta = (h + \mu)(h - 1)$ . Clearly,  $\mathbb{K}[\theta] \subseteq \mathbb{K}[h]$  and  $\mathbb{K}[h] = \mathbb{K}[\theta] \oplus \mathbb{K}[\theta]h$ . The element Z is a normal element of the algebra R and  $(Z) = \bigoplus_{i \ge 1} \mathbb{K}[h]Z^i$ . The set  $S = \mathbb{K}[h] \setminus \{0\}$ is a (left and right) Ore set of the domain  $C^{0,\mu}$  and  $B := S^{-1}C^{0,\mu} = \mathbb{K}(h)[Z; \sigma]$  is a skew polynomial algebra where  $\sigma(h) = h - 1$ . The algebra B is a principle (left and right) ideal domain. Let Irr(B) be the set of irreducible elements of B. Theorem 5.3. [Ref. 7].

- 1.  $\widehat{C^{0,\mu}}(Z\operatorname{-torsion}) = \{[M] \in \widehat{C^{0,\mu}} \mid (Z)M = 0\} = \widehat{C^{0,\mu}/(Z)} = \{[C^{0,\mu}/C^{0,\mu}(\theta \nu, Z, \phi)] \mid \nu \in \mathbb{K}\}.$ The set  $\widehat{C^{0,\mu}}(Z\operatorname{-torsion})$  contains precisely finite dimensional simple  $C^{0,\mu}$ -modules (all of them are 1-dimensional).
- 2.  $\overline{C}^{0,\mu}(Z ext{-torsionfree}) = \widehat{R}(Z ext{-torsionfree}) = \widehat{R}(\mathbb{K}[h] ext{-torsionfree}) = \{[M_b = R/R \cap Bb] \mid b \in \operatorname{Irr}(B), R = RZ + R \cap Bb\}.$

The next theorem is a simplicity criterion for the algebra  $C^{0, \lambda_2, \mu}$ .

**Theorem 5.4.** [*Ref.* 7]. The algebra  $C^{0, \lambda_2, \mu}$  is simple if and only if  $\lambda_2 \neq 0$ .

### VI. A CLASSIFICATION OF SIMPLE WEIGHT $\mathcal{U}$ -MODULES

In this section, a classification of simple weight  $\mathcal{U}$ -modules is given. They are partitioned into several classes that are dealt with separately (see the Introduction for details).

Weight  $\mathcal{U}$ -modules. An  $\mathcal{U}$ -module M is called a *weight module* if  $M = \bigoplus_{\mu \in \mathbb{K}} M_{\mu}$ , where  $M_{\mu} = \{m \in M \mid Hm = \mu m\}$ . An element  $\mu \in \mathbb{K}$  such that  $M_{\mu} \neq 0$  is called a *weight* of M. Let Wt(M) be the set of all weights of the module M.

*Verma module.* Let  $\alpha, \beta \in \mathbb{K}$ , we define the *Verma modules*  $M(\alpha, \beta) := \mathcal{U}/\mathcal{U}(H - \alpha, Z - \beta, E, X)$ . Since the 4-dimensional space  $\mathbb{K}H \oplus \mathbb{K}E \oplus \mathbb{K}Z \oplus \mathbb{K}X$  is a Lie subalgebra of e(3), the  $\mathcal{U}$ -module  $M(\alpha, \beta) = \mathbb{K}[F, Y]\overline{1}$  is a free  $\mathbb{K}[F, Y]$ -module where  $\overline{1} = 1 + \mathcal{U}(H - \alpha, Z - \beta, E, X)$ . Then

$$M(\alpha,\beta) = \bigoplus_{n=0}^{\infty} M(\alpha,\beta)_{\alpha-2n}, \text{ where } M(\alpha,\beta)_{\alpha-2n} := \ker_{M(\alpha,\beta)}(H-\alpha+2n) = \bigoplus_{i=0}^{n} \mathbb{K}F^{i}Y^{n-i}\overline{1}$$
(49)

Hence,  $Wt(M(\alpha,\beta)) = \alpha - 2\mathbb{N}$  and dim  $M(\alpha,\beta)_{\alpha-2n} = n+1$  for all  $n \ge 0$ .

Proposition 6.1. 1.  $Wt(M(\alpha,\beta)) = \{\alpha - 2n \mid n \in \mathbb{N}\}$  and  $\dim M(\alpha,\beta)_{\alpha-2n} = n+1$  for all  $n \in \mathbb{N}$ .

- 2.  $M(\alpha, \beta) \simeq M(\alpha', \beta')$  if and only if  $(\alpha, \beta) = (\alpha', \beta')$ .
- 3. The Verma module  $M(\alpha, \beta)$  is a simple  $\mathcal{U}$ -module if and only if  $\beta \neq 0$ .
- 4. If  $\beta \neq 0$  then  $\operatorname{ann}_{\mathcal{U}}(M(\alpha,\beta)) = (C_1 + \frac{1}{2}\beta^2, C_2 (\alpha+2)\beta).$

*Proof.* 1. Statement 1 follows from (49).

2. If the  $\mathcal{U}$ -module  $M(\alpha, \beta)$  and  $M(\alpha', \beta')$  are isomorphic, then  $\alpha - 2\mathbb{N} = \text{Wt}(M(\alpha, \beta)) = \text{Wt}(M(\alpha', \beta')) = \alpha' - 2\mathbb{N}$ , i.e.,  $\alpha = \alpha' - 2n$  for some  $n \in \mathbb{N}$ , and  $1 = \dim M(\alpha, \beta)_{\alpha} = \dim M(\alpha', \beta')_{\alpha'-2n} = n + 1$ , i.e., n = 0 and  $\alpha = \alpha'$ . The vector space  $M(\alpha, \beta)_{\alpha} = M(\alpha, \beta')_{\alpha}$  is one dimensional and is Z-invariant. Hence,  $\beta = \beta'$ .

3. Suppose that  $\beta \neq 0$  and N is a nonzero submodule of  $M(\alpha, \beta)$ . We have to show that N contains the canonical generator  $\overline{1}$  of the  $\mathcal{U}$ -module  $M(\alpha, \beta)$ . Clearly,  $N = \bigoplus_{n=0}^{\infty} N_{\alpha-2n}$ , where  $N_{\alpha-2n} = N \cap M(\alpha, \beta)_{\alpha-2n}$ . Since N is nonzero,  $N_{\alpha-2n}$  is nonzero for some  $n \in \mathbb{N}$ . Let  $0 \neq v = \sum_{i=0}^{m} \alpha_i F^i Y^{n-i} \overline{1} \in N_{\alpha-2n}$ , where  $\alpha_i \in \mathbb{K}$ ,  $\alpha_m \neq 0$  and  $0 \leq m \leq n$ . Notice that  $(Z - \mu)^m v = (-1)^m m!$   $\alpha_m Y^n \overline{1} \in N$ , hence  $Y^n \overline{1} \in N$ . Then  $E \cdot Y^n \overline{1} = 2n\mu Y^{n-1} \overline{1}$ , and so  $E^n \cdot Y^n \overline{1} \in \mathbb{K}^* \overline{1}$ , i.e.,  $\overline{1} \in N$ , as required.

If  $\beta = 0$  then the Verma module  $M(\alpha, 0)$  is not a simple  $\mathcal{U}$ -module since the left ideal  $\mathcal{U}(H - \alpha, Z, E, X)$  is properly contained in the left ideal  $\mathcal{J} := \mathcal{U}(H - \alpha, Z, E, X, Y) = U(\mathfrak{sl}_2)(H - \alpha, E) + (Z)$  by Lemma 2.7.(1). This follows from the facts  $\mathcal{U}/\mathcal{J} \simeq U(\mathfrak{sl}_2)/U(\mathfrak{sl}_2)(H - \alpha, E) \simeq \mathbb{K}[F]\tilde{1}$  and  $M(\alpha, \beta) \simeq \mathbb{K}[F, Y]\tilde{1}$ . This means that  $YM(\alpha, \beta)$  is a proper submodule of  $M(\alpha, \beta)$ .

4. Clearly,  $(C_1 + \frac{1}{2}\mu^2, C_2 - (\lambda + 2)\mu) \subseteq \operatorname{ann}_{\mathcal{U}}(M(\lambda, \mu))$ . Then the equality holds since the ideal  $(C_1 + \frac{1}{2}\mu^2, C_2 - (\lambda + 2)\mu)$  is maximal, by (1).

Dual Verma module. For  $\alpha, \beta \in \mathbb{K}$ , we define the dual Verma module  $M^*(\alpha, \beta) \coloneqq \mathcal{U}/\mathcal{U}(H - \alpha, Z - \beta, F, Y)$ . Then  $M^*(\alpha, \beta) \simeq \mathcal{U}(-\alpha, -\beta)$ , where  $\mathcal{U}(-\alpha, -\beta)$  is the Verma  $\mathcal{U}$ -module  $M(-\alpha, -\beta)$ 

twisted by the automorphism  $\iota$  of the algebra  $\mathcal{U}$ . Notice that  $M^{\star}(\alpha, \beta) = \mathbb{K}[E, X]^{\tilde{1}}$  is a free  $\mathbb{K}[E, X]$ module where  $\tilde{1} = 1 + \mathcal{U}(H - \alpha, Z - \beta, F, Y)$ . Then

$$M^{\star}(\alpha,\beta) = \bigoplus_{n=0}^{\infty} M^{\star}(\alpha,\beta)_{\alpha+2n}, \text{ where } M^{\star}(\alpha,\beta)_{\alpha+2n} \coloneqq \bigoplus_{i=0}^{n} \mathbb{K}E^{i}X^{n-i}\tilde{1}.$$
 (50)

We summarize the properties of the dual Verma module  $M^{\star}(\alpha, \beta)$  in the following proposition.

Proposition 6.2. 1.  $Wt(M^{\star}(\alpha,\beta)) = \{\alpha + 2n \mid n \in \mathbb{N}\}$  and  $\dim M^{\star}(\alpha,\beta)_{\alpha+2n} = n + 1$  for all  $n \in \mathbb{N}$ .

- $M^{\star}(\alpha, \beta) \simeq M^{\star}(\alpha', \beta')$  if and only if  $(\alpha, \beta) = (\alpha', \beta')$ . 2.
- 3. The dual Verma module  $M^*(\alpha, \beta)$  is a simple  $\mathcal{U}$ -module if and only if  $\beta \neq 0$ .

If  $\beta \neq 0$  then  $\operatorname{ann}_{\mathcal{U}}(M^{\star}(\alpha,\beta)) = (C_1 + \frac{1}{2}\beta^2, C_2 - (\alpha - 2)\beta).$ 4.

*Proof.* The result follows from Proposition 6.1 since  $M^{\star}(\alpha, \beta) \simeq {}^{\iota}M(-\alpha, -\beta)$ .

Classification of simple highest weight modules. Let V be a weight  $\mathcal{U}$ -module. A weight vector  $v \in V$  is called a highest weight vector if Ev = 0 and Xv = 0. The  $\mathcal{U}$ -module V is called a highest weight module if V is generated by a highest weight vector. Clearly, the Verma modules  $M(\alpha, \beta)$  are highest weight modules. The following proposition gives a classification of simple highest weight  $\mathcal{U}$ -modules.

Proposition 6.3. Let V be a simple highest weight *U*-module. Then V is isomorphic to one of the following modules:

- the Verma modules  $M(\alpha, \beta)$  where  $\alpha \in \mathbb{K}$  and  $\beta \in \mathbb{K}^*$ , or 1.
- the simple highest weight  $U(\mathfrak{sl}_2)$ -modules. 2.

*Proof.* Let  $v \in V_{\alpha}$  be a highest weight vector of V. Since V is a simple  $\mathcal{U}$ -module, the central

element  $C_2$  acts on V as a scalar, say  $\lambda_2$ . Then  $\lambda_2 v = C_2 v = (\alpha + 2)Zv$ . If  $\alpha + 2 \neq 0$  then  $Zv = \frac{\lambda_2}{\alpha + 2}v$ . So, V is an epimorphic image of the Verma module  $M(\alpha, \frac{\lambda_2}{\alpha + 2})$ . If  $\lambda_2 \neq 0$  then, by Proposition 6.1.(3),  $M(\alpha, \frac{\lambda_2}{\alpha+2})$  is a simple module and hence  $V \simeq M(\alpha, \frac{\lambda_2}{\alpha+2})$ . If  $\lambda_2 = 0$  then V is isomorphic to a simple factor module of the Verma module  $M(\alpha, 0)$ . But then  $\operatorname{ann}_{\mathcal{U}}(V) \supset (Z)$ , i.e., V is a simple (highest weight)  $U(\mathfrak{sl}_2)$ -module.

If  $\alpha + 2 = 0$  then  $C_2 v = 0$ . The central element  $C_1$  acts on V as a scalar, say  $\lambda$ . Then  $\lambda v = C_1 V =$  $-\frac{1}{2}Z^2v$ . So, V is an epimorphic image of the  $\mathcal{U}$ -module  $V(\lambda) = \mathcal{U}/\mathcal{U}(\frac{1}{2}Z^2 + \lambda, H + 2, E, X)$ . If  $\lambda \neq 0$  then  $V(\lambda)$  has two largest submodules:  $V(+) = \mathcal{U}v^+ = \mathbb{K}[F,Y]v^+$ , where  $v^+ = (Z + \sqrt{-2\lambda})\overline{1}$ and  $V(-) = \mathcal{U}v^- = \mathbb{K}[F, Y]v^-$ , where  $v^- = (Z - \sqrt{-2\lambda})\overline{1}$  (where  $\overline{1} = 1 + \mathcal{U}(\frac{1}{2}Z^2 + \lambda, H + 2, E, X)$ ). The two simple factor modules of  $V(\lambda)$  are  $L(+) = V(\lambda)/V(+) \simeq \mathcal{U}/\mathcal{U}(Z + \sqrt{-2\lambda}, H + 2, E, X) \simeq$  $M(-2, -\sqrt{-2\lambda})$  and  $L(-) = V(\lambda)/V(-) \simeq \mathcal{U}/\mathcal{U}(Z - \sqrt{-2\lambda}, H + 2, E, X) \simeq M(-2, \sqrt{-2\lambda})$ , respectively. If  $\lambda = 0$  then V is isomorphic to a simple factor module of  $V(0) = \mathcal{U}/\mathcal{U}(Z^2, H + 2, E, X)$ . Then it is clear that V is a simple (highest weight)  $U(\mathfrak{sl}_2)$ -module. П

Classification of simple lowest weight modules. Let V be a weight  $\mathcal{U}$ -module. A weight vector  $v \in V$  is called a *lowest weight vector* if Fv = 0 and Yv = 0. The  $\mathcal{U}$ -module V is called a *lowest weight module* if V is generated by a lowest weight vector. Clearly, the dual Verma modules  $M^{\star}(\alpha,\beta)$  are lowest weight modules. The following proposition gives a classification of simple lowest weight  $\mathcal{U}$ -modules.

Proposition 6.4. Let V be a simple lowest weight  $\mathcal{U}$ -module. Then V is isomorphic to one of the following modules:

- the dual Verma modules  $M^{\star}(\alpha, \beta)$  where  $\alpha \in \mathbb{K}$  and  $\beta \in \mathbb{K}^*$ , or 1.
- 2. *the simple lowest weight*  $U(\mathfrak{sl}_2)$ *-modules.*

*Proof.* The result follows from Proposition 6.3 by applying the automorphism  $\iota$ , see (3). In particular,  $M^*(\alpha, \beta) \neq M(\alpha', \beta')$  for all  $\alpha, \alpha' \in \mathbb{K}$  and  $\beta, \beta' \in \mathbb{K}^*$ , by Proposition 6.1.(1) and Proposition 6.2.(1).  Simple weight modules with a finite dimensional weight space. First, we give an example of simple weight  $\mathcal{U}$ -module with infinite dimensional weight spaces. For  $\alpha, \beta \in \mathbb{K}$ , we define the left  $\mathcal{U}$ -module  $S(\alpha, \beta) = \mathcal{U}/\mathcal{U}(H - \alpha, Z - \beta, X, Y)$ . Then  $S(\alpha, \beta) = \sum_{i,j \in \mathbb{N}} \mathbb{K} E^i F^j \overline{1}$ , where  $\overline{1} = 1 + \mathcal{U}(H - \alpha, Z - \beta, X, Y)$ .

Lemma 6.5. 1. The module  $S(\alpha, \beta)$  is a simple  $\mathcal{U}$ -module if and only if  $\beta \neq 0$ . 2.  $Wt(S(\alpha, \beta)) = \{\alpha + 2n \mid n \in \mathbb{Z}\}$  and each weight space is infinite dimensional. Moreover,

$$S(\alpha,\beta)_{\alpha+2n} = \begin{cases} \bigoplus_{i \in \mathbb{N}} \mathbb{K} E^{n+i} F^i \overline{1}, & \text{if } n \ge 0, \\ \bigoplus_{i \in \mathbb{N}} \mathbb{K} E^i F^{i-n} \overline{1}, & \text{if } n \le -1. \end{cases}$$

- 3. If  $\beta \neq 0$  then  $\operatorname{ann}_{\mathcal{U}}(S(\alpha, \beta)) = (C_1 + \frac{1}{2}\beta^2, C_2 \alpha\beta)$ .
- 4. Let  $(\alpha, \beta), (\alpha', \beta') \in \mathbb{K} \times \mathbb{K}^*$ . Then  $S(\alpha, \beta) \simeq S(\alpha', \beta')$  if and only if  $(\alpha, \beta) = (\alpha', \beta')$ .

*Proof.* 1. Suppose that  $\beta \neq 0$  we prove that  $S(\alpha, \beta)$  is a simple  $\mathcal{U}$ -module. It suffices to prove that for any nonzero element  $v = \sum_{j=0}^{n} f_j(E)F^j\overline{1} \in S(\alpha, \beta)$ , where  $f_j(E) \in \mathbb{K}[E]$  and  $f_n(E) \neq 0$ , there exists some element  $u \in \mathcal{U}$  such that  $uv \in \mathbb{K}^*\overline{1}$ . By Lemma 2.6.(3),  $X \cdot v = \sum_{j=1}^{n} f_j(E)[X, F^j]\overline{1} = \sum_{j=1}^{n} f_j(E)(-jF^{j-1}Z + \frac{1}{2}j(j-1)F^{j-2}Y)\overline{1} = \sum_{j=1}^{n} f_j(E)(-j)\beta F^{j-1}\overline{1}$ . Hence,  $X^n \cdot v$  is a nonzero element in  $\mathbb{K}[E]\overline{1}$ . Thus we may assume that v is a nonzero element in  $\mathbb{K}[E]\overline{1}$  and then v can be written as  $v = \sum_{i=0}^{n} \alpha_i E^i \overline{1}$ , where  $\alpha_i \in \mathbb{K}$  and  $\alpha_n \neq 0$ . Since  $Y \cdot v = \sum_{i=1}^{n} \alpha_i [Y, E^i]\overline{1} = \sum_{i=1}^{n} \alpha_i(-2)i\beta E^{i-1}\overline{1}$ , we have  $Y^n \cdot v \in \mathbb{K}^*\overline{1}$ , as required.

If  $\beta = 0$  then, by Lemma 2.7.(1), the left ideal  $\mathcal{U}(H - \alpha, Z, X, Y) = U(\mathfrak{sl}_2)(H - \alpha) + (Z)$ . Then it is clear that  $S(\alpha, 0) \simeq U(\mathfrak{sl}_2)/U(\mathfrak{sl}_2)(H - \alpha)$  is not a simple module.

2. The above argument also shows that  $S(\alpha, \beta) = \bigoplus_{i,j \in \mathbb{N}} \mathbb{K} E^i F^j \overline{1}$ . Hence,  $Wt(S(\alpha, \beta)) = \{\alpha + 2n \mid n \in \mathbb{Z}\}$ . The rest is clear.

3. It is clear that  $(C_1 + \frac{1}{2}\beta^2, C_2 - \alpha\beta) \subseteq \operatorname{ann}_{\mathcal{U}}(S(\alpha, \beta))$ , the equality holds since  $(C_1 + \frac{1}{2}\beta^2, C_2 - \alpha\beta)$  is a maximal ideal of  $\mathcal{U}$ , by (1).

4. Suppose that  $S(\alpha, \beta) \simeq S(\alpha', \beta')$ . Then by statement 3,  $\frac{1}{2}\beta^2 = \frac{1}{2}\beta'^2$  and  $\alpha\beta = \alpha'\beta'$ . The case  $\beta = -\beta'$  is not possible, since, otherwise, both the elements  $Z - \beta$  and  $Z + \beta$  act locally nilpotently on  $S(\alpha, \beta)$ . This implies that  $\beta = 0$ , a contradiction. So,  $\beta = \beta'$  and then  $\alpha = \alpha'$ .

Let  $\mathscr{F}$  be the set of simple weight  $\mathscr{U}$ -modules with a finite dimensional weight space, and  $\mathscr{B}$  be the set of simple highest weight and lowest weight modules. By Proposition 6.3 and Proposition 6.4,  $\mathscr{B} \subseteq \mathscr{F}$ . The next proposition describes the modules of the set  $\mathscr{F} \setminus \mathscr{B}$ . Recall that  $\Delta := 4FE + H^2 + 2H$  is the Casimir element of  $U(\mathfrak{sl}_2)$ .

*Proposition* 6.6. *Let*  $V \in \mathcal{F} \setminus \mathcal{B}$ *. Then* 

- 1. Wt(V) = { $\alpha + 2n \mid n \in \mathbb{Z}$ } for any  $\alpha \in Wt(V)$  and dim  $V_{\alpha} = \dim V_{\alpha+2n}$  for all  $n \in \mathbb{Z}$ .
- 2.  $\operatorname{ann}_{\mathcal{U}}(V) \supset (Z)$ , *i.e.*, *V* is a simple  $U(\mathfrak{sl}_2)$ -module.
- 3.  $V \simeq V(\alpha, \lambda) := U(\mathfrak{sl}_2)/U(\mathfrak{sl}_2)(H \alpha, \Delta \lambda)$ , where  $\lambda \neq (\alpha + 2i)(\alpha + 2i 2)$  for all  $i \in \mathbb{Z}$ ;  $V(\alpha, \gamma) \simeq V(\alpha', \gamma')$  if and only if  $\lambda = \lambda'$  and  $\alpha - \alpha' \in 2\mathbb{Z}$  and dim  $V_{\alpha+2n} = 1$  for all  $n \in \mathbb{Z}$ .

*Proof.* 1. Since *V* is a simple module,  $Wt(V) \subseteq \{\alpha + 2n \mid n \in \mathbb{Z}\}$  for any  $\alpha \in Wt(V)$ . Suppose that there exists  $\alpha \in Wt(V)$  such that  $\dim V_{\alpha} > \dim V_{\alpha+2}$  then the maps  $X : V_{\alpha} \to V_{\alpha+2}$  and  $E : V_{\alpha} \to V_{\alpha+2}$  are not injections. Then the elements *X* and *E* act locally nilpotently on *V*. Since XE = EX, there exists a weight vector  $v \in V$  such that Xv = Ev = 0. Then *V* is a highest weight module, a contradiction. Similarly, if  $\dim V_{\alpha} < \dim V_{\alpha+2}$  for some  $\alpha \in Wt(V)$  then  $Y : V_{\alpha+2} \to V_{\alpha}$  and  $F : V_{\alpha+2} \to V_{\alpha}$  are not injections. Then the elements *Y* and *F* act locally nilpotently on *V*. Since YF = FY, there exists a weight vector *v* such that Fv = Yv = 0. Then *V* is a lowest weight module, a contradiction. Therefore,  $\dim V_{\alpha} = \dim V_{\beta}$  for all  $\alpha, \beta \in Wt(V)$  and  $Wt(V) = \{\alpha + 2n \mid n \in \mathbb{Z}\}$  for any  $\alpha \in Wt(V)$ .

2. Since V is a simple  $\mathcal{U}$ -module, in view of Lemma 2.7.(1), it suffices to show that there exists a weight vector  $v \in V$  such that Xv = Yv = Zv = 0.

(i) There exists a weight vector v such that Xv = 0: Suppose this is not the case, then for all  $\alpha \in Wt(V)$ , the map  $X : V_{\alpha} \to V_{\alpha+2}$  is an injection and hence a bijection since all the weight spaces of V are finite dimensional and of the same dimension by statement 1. Hence, X acts bijectively on V, i.e., V is a simple module over the localized algebra  $\mathcal{U}_X$ . Notice that each weight space  $V_{\alpha}$  of V is a simple  $C_{\mathcal{U}_X}(H)$ -module then dim  $V_{\alpha} = \infty$  (since  $C_{\mathcal{U}_X}(H) = \mathbb{K}[C_1, C_2, H] \otimes A_1$ , see Lemma 3.1), a contradiction.

(ii) There exists a weight vector v such that Yv = 0: the proof is similar to that of statement (i) by noticing that  $C_{\mathcal{U}_Y}(H) = \mathbb{K}[C_1, C_2, H] \otimes \tilde{A}_1$ , where  $\tilde{A}_1 = \mathbb{K}\langle FY^{-1}, Z \rangle$  is the first Weyl algebra.

(iii) There exists a weight vector v such that Xv = Yv = Zv = 0: By statement (i) and statement (ii), the elements X and Y act locally nilpotently on V. By statement 1, each weight space  $V_{\alpha}$  of V is finite dimensional. Hence the map  $Z : V_{\alpha} \to V_{\alpha}$  has an eigenvector  $v \in V_{\alpha}$  with eigenvalue, say  $\beta$ , i.e.,  $Zv = \beta v$ . If  $\beta = 0$  then Z acts locally nilpotently on V. Since the elements X,Y, and Z commute, there exists a weight vector  $v \in V$  such that Xv = Yv = Zv = 0 and we are done. Now, suppose that there exists a weight vector  $v' \in V$  such that  $Zv' = \beta v'$  where  $\beta \neq 0$ , we seek a contradiction. Then there exists a weight vector  $v \in V_{\alpha}$  such that Xv = Yv = 0 and  $Zv = \beta v$ , since X and Y act locally nilpotently on V. Then V is an epimorphic image of the module  $S(\alpha, \beta) = \mathcal{U}/\mathcal{U}(H - \alpha, Z - \beta, X, Y)$ . By Lemma 6.5.(1),  $S(\alpha, \beta)$  is a simple module and hence  $V \simeq S(\alpha, \beta)$ . But by Lemma 6.5.(2), each weight space of  $S(\alpha, \beta)$  is infinite dimensional, a contradiction.

3.  $U(\mathfrak{sl}_2)$  is a GWA:  $U(\mathfrak{sl}_2) = \mathbb{K}[\Delta, H][E, F; \sigma, a = \frac{1}{4}(\Delta - H(H - 2))]$ . Now, the result follows from Ref. 4 [Theorem 3.2] (the condition  $\lambda \neq (\alpha + 2i)(\alpha + 2i - 2)$  is a necessary and sufficient condition that the  $\mathcal{U}$ -module  $V(\alpha, \gamma)$  belongs to the modules in statement 1 of Ref. 4 [Theorem 3.2]).

Let  $\widehat{U}(\mathfrak{sl}_2)$  (weight) be the set of simple weight  $U(\mathfrak{sl}_2)$ -modules. The following theorem gives an explicit description of the set  $\mathscr{F}$ .

**Theorem 6.7.**  $\mathcal{F} = \{M(\alpha, \beta) \mid \alpha \in \mathbb{K}, \beta \in \mathbb{K}^*\} \sqcup \{M^{\star}(\alpha, \beta) \mid \alpha \in \mathbb{K}, \beta \in \mathbb{K}^*\} \sqcup \widehat{U(\mathfrak{sl}_2)} \text{ (weight).}$ 

*Proof.* The theorem follows from Proposition 6.6.(2), Proposition 6.3, and Proposition 6.4.

The following two corollaries follow from Theorem 6.7.

Corollary 6.8. (Finite-Infinite Dimension Dichotomy). Let M be a simple weight  $\mathcal{U}$ -module. Then all its weight spaces are either finite or infinite dimensional.

*Corollary 6.9.*  $\widehat{\mathcal{U}}$  (fin. dim.) =  $\widehat{U(\mathfrak{sl}_2)}$  (fin. dim.).

Our aim is to classify all the simple weight  $\mathcal{U}$ -modules. Notice that the set  $\widehat{\mathcal{U}}$  (weight) of simple weight  $\mathcal{U}$ -modules is a disjoint union of two subsets

$$\hat{\mathcal{U}}(\text{weight}) = \hat{\mathcal{U}}(\text{weight}, X \text{-torsion}) \sqcup \hat{\mathcal{U}}(\text{weight}, X \text{-torsionfree}).$$
 (51)

Simple weight X-torsion  $\mathcal{U}$ -modules. Theorem 6.13 gives an explicit description of the set  $\widehat{\mathcal{U}}$  (weight, X-torsion) of simple weight X-torsion modules. It is clear that

$$\mathcal{U}(\text{weight}, X\text{-torsion}) = \mathcal{U}(\text{weight}, X\text{-torsion}, Y\text{-torsion})$$
$$\sqcup \widehat{\mathcal{U}}(\text{weight}, X\text{-torsion}, Y\text{-torsionfree}). \tag{52}$$

The set  $\hat{\mathcal{U}}$  (weight, X-torsion, Y-torsion). The next proposition is an explicit description of the set  $\hat{\mathcal{U}}$  (weight, X-torsion, Y-torsion).

Proposition 6.10.  $\widehat{\mathcal{U}}$  (weight, X-torsion, Y-torsion) = {[ $S(\alpha, \beta)$ ] |  $\alpha \in \mathbb{K}, \beta \in \mathbb{K}^*$ }  $\sqcup \widehat{U(\mathfrak{sl}_2)}$  (weight).

*Proof.* Let  $V \in \mathcal{U}$  (weight, X-torsion, Y-torsion). Then the elements X and Y act locally nilpotently on the module V. Since XY = YX, there is a weight vector  $v \in V$  such that Xv = Yv = 0.

Since V is a simple  $\mathcal{U}$ -module, the central element  $C_1$  acts on V as a scalar, say  $\lambda_1$ . Then  $\lambda_1 v = C_1 v = -\frac{1}{2}Z^2 v$ , i.e.,  $Z^2 v = -2\lambda_1 v$ . If  $\lambda_1 = 0$  then  $Z^2 v = 0$ . We may assume that Zv = 0 (otherwise, we can replace v by v' = Zv). Now, Xv = Yv = Zv = 0 and hence  $(Z) \subseteq \operatorname{ann}_{\mathcal{U}}(V)$ , by Lemma 2.7.(1). So, V is a simple  $U(\mathfrak{sl}_2)$ -module. If  $\lambda_1 \neq 0$  then there is a weight vector  $v \in V_\alpha$  such that Xv = Yv = 0 and  $Zv = \beta v$  for some  $\beta \in \mathbb{K}^*$ . (In more detail, notice that  $(Z - v_1)(Z + v_1)v = 0$  where  $v_1 = \sqrt{-2\lambda_1} \in \mathbb{K}^*$ . If  $(Z + v_1)v = 0$  then  $Zv = -v_1v$ , otherwise let  $v' \coloneqq (Z + v_1)v$  then  $Zv' = v_1v'$ .) Thus V is an epimorphic image of the module  $S(\alpha, \beta)$ . We must have  $V \simeq S(\alpha, \beta)$  since  $S(\alpha, \beta)$  is a simple module by Lemma 6.5.

The set  $\widehat{\mathcal{U}}$  (weight, X-torsion, Y-torsionfree). For  $\alpha, \beta \in \mathbb{K}$  and  $\gamma \in \mathbb{K}^*$ , we define the left  $\mathcal{U}$ module  $\mathfrak{X}^{\alpha,\beta,\gamma} := \mathcal{U}/\mathcal{U}(H - \alpha, Z - \beta, EY - \gamma, X)$ . Then  $\mathfrak{X}^{\alpha,\beta,\gamma} = \sum_{i \ge 1} \mathbb{K}[F]E^i\overline{1} + \mathbb{K}[F,Y]\overline{1}$ , where  $\overline{1} = 1 + \mathcal{U}(H - \alpha, Z - \beta, EY - \gamma, X)$ . Clearly,  $\mathfrak{X}^{\alpha,\beta,\gamma}$  is an X-torsion and Y-torsionfree weight  $\mathcal{U}$ module.

Proposition 6.11. 1. If  $\gamma \notin 2\mathbb{Z}\beta$  then  $\mathfrak{X}^{\alpha,\beta,\gamma}$  is a simple  $\mathcal{U}$ -module and  $\mathfrak{X}^{\alpha,\beta,\gamma} = \bigoplus_{i\geq 1} \mathbb{K}[F] E^i \overline{1} \oplus \bigoplus_{i\geq 0} \mathbb{K}[F] Y^i \overline{1}$ .

- 2. If  $\gamma \notin 2\mathbb{Z}\beta$  then  $Wt(\mathfrak{X}^{\alpha,\beta,\gamma}) = \{\alpha + 2n \mid n \in \mathbb{Z}\}$  and each weight space is infinite dimensional.
- 3.  $\operatorname{ann}_{\mathcal{U}}(\mathfrak{X}^{\alpha,\beta,\gamma}) = (C_1 + \frac{1}{2}\beta^2, C_2 \gamma \alpha\beta).$
- 4. Let  $(\alpha, \beta, \gamma)$ ,  $(\alpha', \beta', \gamma') \in \mathbb{K}^3$  such that  $\gamma \notin 2\mathbb{Z}\beta$  and  $\gamma' \notin 2\mathbb{Z}\beta'$ . Then  $\mathfrak{X}^{\alpha,\beta,\gamma} \simeq \mathfrak{X}^{\alpha',\beta',\gamma'}$  if and only if  $(\alpha',\beta',\gamma') = (\alpha+2i,\beta,\gamma-2i\beta)$  for some  $i \in \mathbb{Z}$ .

*Proof.* 1. It suffices to show that for any nonzero element  $v \in \mathfrak{X}^{\alpha,\beta,\gamma}$  there exists some element  $u \in \mathcal{U}$  such that  $uv \in \mathbb{K}^*\overline{1}$ . Notice that v can be written as  $v = \sum_{i=1}^n g_i(F)E^{i}\overline{1} + h\overline{1}$ , where  $g_i(F) \in \mathbb{K}[F]$  and  $h \in \mathbb{K}[F,Y]$ . By Lemma 2.6.(4),  $YE^{i}\overline{1} = (E^{i}Y - 2iE^{i-1}Z + 2i(i-1)E^{i-2}X)\overline{1} = (\gamma - 2i\beta)$  $E^{i-1}\overline{1}$  and the coefficient  $\gamma - 2i\beta \neq 0$  since  $\gamma \notin 2\mathbb{Z}\beta$ . If  $g_n(F) \neq 0$  then  $Yv = \sum_{i=1}^n g_i(F)(\gamma - 2i\beta)$  $E^{i-1}\overline{1} + Yh\overline{1}$ . Hence,  $Y^nv = P(F,Y)\overline{1}$  for some nonzero polynomial  $P(F,Y) \in \mathbb{K}[F,Y]$ . Therefore, we may assume that  $v \in \mathbb{K}[F,Y]\overline{1}$  and  $v = \sum_{j=0}^m a_j(Y)F^j\overline{1}$ , where  $a_j(Y) \in \mathbb{K}[Y]$  and  $a_m(Y) \neq 0$ . Notice that  $(Z - \beta)F^j\overline{1} = -jYF^{j-1}\overline{1}$ . Then  $(Z - \beta)v = \sum_{j=1}^m a_j(Y)(-j)YF^{j-1}\overline{1}$ . Hence,  $(Z - \beta)^m v = Q(Y)\overline{1}$  for some nonzero polynomial  $Q(Y) \in \mathbb{K}[Y]$ . Therefore, we may assume that  $v \in \mathbb{K}[Y]\overline{1}$  and  $v = \sum_{i=0}^k c_i Y^{i}\overline{1}$ , where  $c_i \in \mathbb{K}$  and  $c_k \neq 0$ . Since  $HY^i\overline{1} = (\alpha - 2i)Y^i\overline{1}$  for all *i* and the eigenvalues  $\{\alpha - 2i \mid i = 0, ..., k\}$  are distinct. There exists a polynomial  $f(H) \in \mathbb{K}[H]$  such that  $f(H)v = Y^k\overline{1}$ . Notice that  $EY^k\overline{1} = (Y^kE + 2kY^{k-1}Z)\overline{1} = (\gamma + 2(k-1)\beta)Y^{k-1}\overline{1}$  and the coefficient  $\gamma + 2(k-1)\beta \in \mathbb{K}^*$  since  $\gamma \notin 2\mathbb{Z}\beta$ . Then  $E^kY^k v \in \mathbb{K}^*\overline{1}$ , as required. The above argument also implies that  $\mathfrak{X}^{\alpha,\beta,\gamma} = \bigoplus_{i=1}^{\infty} \mathbb{K}[F]E^i\overline{1} \oplus \bigoplus_{i\geq 0} \mathbb{K}[F]Y^i\overline{1}$ .

2. Statement 2 follows from the last equality in statement 1.

3. Clearly,  $(C_1 + \frac{1}{2}\beta^2, C_2 - \gamma - \alpha\beta) \subseteq \operatorname{ann}_{\mathcal{U}}(\mathfrak{X}^{\alpha,\beta,\gamma})$ . Then the equality holds since  $(C_1 + \frac{1}{2}\beta^2, C_2 - \gamma - \alpha\beta)$  is a maximal ideal of  $\mathcal{U}$ , by (1).

4. ( $\Rightarrow$ ) Notice that the element  $Z - \beta$  acts locally nilpotently on the module  $\mathfrak{X}^{\alpha,\beta,\gamma}$ . If  $\mathfrak{X}^{\alpha,\beta,\gamma} \simeq \mathfrak{X}^{\alpha',\beta',\gamma'}$  then we must have  $\beta = \beta'$ . By statement 2,  $\{\alpha + 2i \mid i \in \mathbb{Z}\}$  = Wt  $(\mathfrak{X}^{\alpha,\beta,\gamma})$  = Wt  $(\mathfrak{X}^{\alpha',\beta',\gamma'})$  =  $\{\alpha' + 2i \mid i \in \mathbb{Z}\}$ . Hence,  $\alpha' = \alpha + 2i$  for some  $i \in \mathbb{Z}$ . Then, by statement 3,  $\gamma + \alpha\beta = \gamma' + \alpha'\beta'$ , i.e.,  $\gamma' = \gamma + (\alpha - \alpha')\beta = \gamma - 2i\beta$ .

 $(\Leftarrow) \text{ Suppose that } (\alpha', \beta', \gamma') = (\alpha + 2i, \beta, \gamma - 2i\beta) \text{ for some } i \in \mathbb{Z}. \text{ Let } \bar{1} \text{ and } \bar{1}' \text{ be the canon$  $ical generators of the modules } \mathfrak{X}^{\alpha,\beta,\gamma} \text{ and } \mathfrak{X}^{\alpha',\beta',\gamma'}, \text{ respectively. If } i \leqslant 0, \text{ then the map } \mathfrak{X}^{\alpha',\beta',\gamma'} \rightarrow \mathfrak{X}^{\alpha,\beta,\gamma}, \bar{1}' \mapsto Y^{|i|}\bar{1} \text{ defines an isomorphism of } \mathcal{U}\text{-modules with the inverse defined by } \bar{1} \mapsto \frac{1}{g_i(\gamma,\beta)} E^{|i|}\bar{1}' \text{ where } g_i(\gamma,\beta) = \prod_{j=1}^{|i|} (\gamma - 2j\beta) \in \mathbb{K}^*. \text{ If } i > 0 \text{ then the map } \mathfrak{X}^{\alpha',\beta',\gamma'} \rightarrow \mathfrak{X}^{\alpha,\beta,\gamma}, \bar{1}' \mapsto E^i\bar{1} \text{ de$  $fines an isomorphism of } \mathcal{U}\text{-modules with the inverse defined by } \bar{1} \mapsto \frac{1}{f_i(\gamma,\beta)} Y^i\bar{1}' \text{ where } f_i(\gamma,\beta) = \prod_{j=1}^{i} (\gamma + 2(j-1)\beta) \in \mathbb{K}^*. \square$ 

For any  $\beta \in \mathbb{K}$ , the subgroup  $2\mathbb{Z}(1, -\beta)$  of  $(\mathbb{K}^2, +)$  acts on  $\mathbb{K}^2$  in a obvious way. For each  $(\alpha, \gamma) \in \mathbb{K}^2$ , we denote by  $O(\alpha, \gamma) := (\alpha, \gamma) + 2\mathbb{Z}(1, -\beta)$  the orbit of the element  $(\alpha, \gamma) \in \mathbb{K}^2$  under the action of the subgroup  $2\mathbb{Z}(1, -\beta)$ . Clearly, the set of all  $2\mathbb{Z}(1, -\beta)$ -orbits can be identified with the factor group  $\mathbb{K}^2/2\mathbb{Z}(1, -\beta)$ . For each orbit  $O \in \mathbb{K}^2/2\mathbb{Z}(1, -\beta)$ , we fix an element  $(\alpha_0, \gamma_0) \in O$ . The next proposition is an explicit description of the set  $\widehat{\mathcal{U}}$  (weight, *X*-torsion, *Y*-torsionfree).

#### Proposition 6.12.

$$\widehat{\mathcal{U}} \text{ (weight, X-torsion, Y-torsionfree)} = \left\{ [M(\alpha, \beta)] \mid \alpha \in \mathbb{K}, \beta \in \mathbb{K}^* \right\}$$
$$\sqcup \left\{ [\mathfrak{X}^{\alpha_O, \beta, \gamma_O}] \mid \beta \in \mathbb{K}, O \in \mathbb{K}^2/2\mathbb{Z}(1, -\beta), \gamma_O \notin 2\mathbb{Z}\beta \right\}$$

*Proof.* Clearly, the Verma modules  $M(\alpha, \beta) \in \widehat{\mathcal{U}}$  (weight, X-torsion, Y-torsionfree). Now, let  $V \in \widehat{\mathcal{U}}$  (weight, X-torsion, Y-torsionfree) and V is not isomorphic to a Verma module. We show that  $V \simeq \mathfrak{X}^{\alpha,\beta,\gamma}$  for some  $\alpha, \beta, \gamma \in \mathbb{K}$  where  $\gamma \notin 2\mathbb{Z}\beta$ .

(i) The module V is E-torsionfree: Otherwise, E acts locally nilpotently on V and there is a nonzero weight vector  $v \in V$  such that Ev = 0. Since V is X-torsion, X acts locally nilpotently on V. There is a weight vector  $\tilde{v} \in V$  such that  $E\tilde{v} = X\tilde{v} = 0$ . Then V is a simple highest weight module and hence, by Proposition 6.3, V is isomorphic to a Verma module (since V is Y-torsionfree), a contradiction.

(ii) There exists a weight vector  $v \in V_{\alpha}$  such that  $Zv = \beta v$ ,  $EYv = \gamma v$  and Xv = 0 where  $\beta \in \mathbb{K}, \gamma \in \mathbb{K}^*$ : The element X acts locally nilpotently on V, in particular, there is a nonzero weight vector  $v' \in V$  such that Xv' = 0. The module V is a simple  $\mathcal{U}$ -module, so, the central elements  $C_1$  and  $C_2$  act on V as scalars, say  $\lambda_1$  and  $\lambda_2$ , respectively. Then  $\lambda_1 v' = C_1 v' = -\frac{1}{2}Z^2 v'$ , i.e.,  $Z^2 v' = -2\lambda_1 v'$ . So, there is a weight vector  $v \in V_{\alpha}$  such that  $Zv = \beta v$  and Xv = 0 (where  $\beta = v_1$  or  $-v_1$ ,  $v_1 = \sqrt{-2\lambda_1}$  and  $\lambda_1$  could be zero). Now,  $\lambda_2 v = C_2 v = EYv + \alpha\beta v$ , i.e.,  $EYv = \gamma v$ , where  $\gamma = \lambda_2 - \alpha\beta$ . It remains to show that  $\gamma \neq 0$ . The element  $w = Yv \in V$  is nonzero, since V is Y-torsionfree. If  $\gamma = 0$  then Ew = EYv = 0, contradicts to the fact that V is E-torsionfree (see statement (i)).

(iii)  $\gamma \notin 2\mathbb{Z}\beta$ : Suppose that  $\gamma = 2i\beta$  for some  $i \in \mathbb{Z}$ , we seek a contradiction. Then  $i \neq 0$  and  $\beta \neq 0$  since  $\gamma \in \mathbb{K}^*$ . If i > 0 we set  $v' = E^i v$ . Then  $v' \in V$  is nonzero since V is E-torsionfree. By Lemma 2.6.(4),  $Yv' = YE^i v = (E^iY - 2iE^{i-1}Z + 2i(i-1)E^{i-2}X)v = (\gamma - 2i\beta)E^{i-1}v = 0$ . This contradicts to the fact that V is Y-torsionfree. If i < 0 we set  $v'' = Y^{-i+1}v$ . Then  $v'' \in V$  is nonzero since V is Y-torsionfree. But then  $Ev'' = EY^{-i+1}v = (Y^{-i+1}E + 2(-i+1)Y^{-i}Z)v = (\gamma - 2i\beta)Y^{-i}v = 0$ . This contradicts to the fact that V is E-torsionfree, by statement (i).

By statement (ii), V is an epimorphic image of the  $\mathcal{U}$ -module  $\mathfrak{X}^{\alpha,\beta,\gamma}$  where  $\alpha,\beta \in \mathbb{K}$  and  $\gamma \in \mathbb{K}^*$ . By statement (iii) and Proposition 6.11.(1),  $\mathfrak{X}^{\alpha,\beta,\gamma}$  is a simple  $\mathcal{U}$ -module and hence,  $V \simeq \mathfrak{X}^{\alpha,\beta,\gamma}$ . Finally, Proposition 6.1.(2) and Proposition 6.11.(4) complete the proof.

The following theorem is an explicit description of the set  $\hat{\mathcal{U}}$  (weight, X-torsion).

#### Theorem 6.13.

$$\begin{aligned} \widehat{\mathcal{U}} \text{ (weight, } X\text{-torsion)} &= \left\{ [S(\alpha,\beta)] \mid \alpha \in \mathbb{K}, \beta \in \mathbb{K}^* \right\} \ \sqcup \ \widehat{U(\mathfrak{sl}_2)} \text{ (weight)} \\ & \sqcup \left\{ [M(\alpha,\beta)] \mid \alpha \in \mathbb{K}, \beta \in \mathbb{K}^* \right\} \sqcup \left\{ [\mathfrak{X}^{\alpha_O,\beta,\gamma_O}] \mid \beta \in \mathbb{K}, O \in \mathbb{K}^2/2\mathbb{Z}(1,-\beta), \gamma_O \notin 2\mathbb{Z}\beta \right\}. \end{aligned}$$

*Proof.* The theorem follows from (52), Proposition 6.10, and Proposition 6.12.

Now, our goal is to describe the set  $\hat{\mathcal{U}}$  (weight, X-torsionfree). This set can be partitioned further into two disjoint union of subsets,

$$\mathcal{U}(\text{weight}, X\text{-torsionfree}) = \mathcal{U}(\text{weight}, X\text{-torsionfree}, Y\text{-torsion})$$

 $\sqcup \widehat{\mathcal{U}}$  (weight, X-torsionfree, Y-torsionfree). (53)

The set  $\widehat{\mathcal{U}}$  (weight, X-torsionfree, Y-torsion). For  $\alpha, \beta \in \mathbb{K}$  and  $\gamma \in \mathbb{K}^*$ , we define the left  $\mathcal{U}$ -module  $\mathcal{Y}^{\alpha,\beta,\gamma} = \mathcal{U}/\mathcal{U}(H-\alpha, Z-\beta, FX-\gamma, Y)$ . Then  $\mathcal{Y}^{\alpha,\beta,\gamma} \simeq {}^{\iota}\mathfrak{X}^{-\alpha,-\beta,-2\gamma}$ , where  ${}^{\iota}\mathfrak{X}^{-\alpha,-\beta,-2\gamma}$  is the  $\mathcal{U}$ -module  $\mathfrak{X}^{-\alpha,-\beta,-2\gamma}$  twisted by the automorphism  $\iota$  of  $\mathcal{U}$ , see (3).

Proposition 6.14. 1. If  $\gamma \notin \mathbb{Z}\beta$  then  $\mathcal{Y}^{\alpha,\beta,\gamma}$  is a simple  $\mathcal{U}$ -module. 2. If  $\gamma \notin \mathbb{Z}\beta$  then  $Wt(\mathcal{Y}^{\alpha,\beta,\gamma}) = \{\alpha + 2i \mid i \in \mathbb{Z}\}$  and each weight space is infinite dimensional.

- 3.  $\operatorname{ann}_{\mathcal{U}}(\mathcal{Y}^{\alpha,\beta,\gamma}) = (C_1 + \frac{1}{2}\beta^2, C_2 \alpha\beta + 2\gamma).$
- 4. Let  $(\alpha, \beta, \gamma)$ ,  $(\alpha', \beta', \gamma') \in \mathbb{K}^3$  such that  $\gamma \notin \mathbb{Z}\beta$  and  $\gamma' \notin \mathbb{Z}\beta'$ . Then  $\mathcal{Y}^{\alpha,\beta,\gamma} \simeq \mathcal{Y}^{\alpha',\beta',\gamma'}$  if and only if  $(\alpha',\beta',\gamma') = (\alpha + 2i, \beta, \gamma + i\beta)$  for some  $i \in \mathbb{Z}$ .

*Proof.* The result follows from Proposition 6.11, since  $\mathcal{Y}^{\alpha,\beta,\gamma} \simeq {}^{\iota}\mathfrak{X}^{-\alpha,-\beta,-2\gamma}$ .

For any  $\beta \in \mathbb{K}$ , the subgroup  $\mathbb{Z}(2,\beta)$  of  $(\mathbb{K}^2,+)$  acts on  $\mathbb{K}^2$  in an obvious way. For each  $(\alpha,\gamma) \in \mathbb{K}^2$ , we denote by  $O(\alpha,\gamma) := (\alpha,\gamma) + \mathbb{Z}(2,\beta)$  the orbit of the element  $(\alpha,\gamma) \in \mathbb{K}^2$  under the action of the subgroup  $\mathbb{Z}(2,\beta)$ . Clearly, the set of all  $\mathbb{Z}(2,\beta)$ -orbits can be identified with the factor group  $\mathbb{K}^2/\mathbb{Z}(2,\beta)$ . For each orbit  $O \in \mathbb{K}^2/\mathbb{Z}(2,\beta)$ , we fix an element  $(\alpha_O,\gamma_O) \in O$ .

Proposition 6.15.

$$\widehat{\mathcal{U}} \text{ (weight, } X \text{-torsionfree, } Y \text{-torsion} \text{)} = \left\{ [M^{\star}(\alpha, \beta)] \mid \alpha \in \mathbb{K}, \beta \in \mathbb{K}^* \right\}$$
$$\sqcup \left\{ [\mathcal{Y}^{\alpha_O, \beta, \gamma_O}] \mid \beta \in \mathbb{K}, O \in \mathbb{K}^2 / \mathbb{Z}(2, \beta), \gamma_O \notin \mathbb{Z}\beta \right\}.$$

*Proof.* The result follows from Proposition 6.12 by applying the automorphism *ι*.

#### 

### Theorem 6.16.

$$\widehat{\mathcal{U}} (\text{weight}, Y \text{-torsion}) = \left\{ [S(\alpha, \beta)] \mid \alpha \in \mathbb{K}, \beta \in \mathbb{K}^* \right\} \sqcup \widehat{\mathcal{U}(\mathfrak{sl}_2)} (\text{weight}) \\ \sqcup \left\{ [M^{\star}(\alpha, \beta)] \mid \alpha \in \mathbb{K}, \beta \in \mathbb{K}^* \right\} \sqcup \left\{ [\mathcal{Y}^{\alpha_O, \beta, \gamma_O}] \mid \beta \in \mathbb{K}, O \in \mathbb{K}^2 / \mathbb{Z}(2, \beta), \gamma_O \notin \mathbb{Z}\beta \right\}.$$

*Proof.* The theorem follows from Proposition 6.10 and Proposition 6.15, since  $\hat{\mathcal{U}}$  (weight, *Y*-torsion) =  $\hat{\mathcal{U}}$  (weight, *X*-torsion, *Y*-torsion)  $\sqcup \hat{\mathcal{U}}$  (weight, *X*-torsion).

For  $\lambda_1, \lambda_2$  and  $\alpha \in \mathbb{K}$ , we define the left  $\mathcal{U}$ -module  $\mathfrak{Z}^{\lambda_1, \lambda_2, \alpha} = \mathcal{U}/\mathcal{U}(C_1 - \lambda_1, C_2 - \lambda_2, H - \alpha, Z)$ .

*Lemma* 6.17. 1. If  $\lambda_1 \in \mathbb{K}^*$  then the module  $\mathfrak{Z}^{\lambda_1,\lambda_2,\alpha}$  is a simple  $\mathcal{U}$ -module.

- 2. If  $\lambda_1 \in \mathbb{K}^*$  then  $Wt(3^{\lambda_1,\lambda_2,\alpha}) = \{\alpha + 2i \mid i \in \mathbb{Z}\}$  and each weight space is infinite dimensional.
- 3. If  $\lambda_1 \in \mathbb{K}^*$  then  $\operatorname{ann}_{\mathcal{U}}(\mathfrak{Z}^{\lambda_1,\lambda_2,\alpha}) = (C_1 \lambda_1, C_2 \lambda_2).$
- 4. Let  $(\lambda_1, \lambda_2, \alpha)$ ,  $(\lambda'_1, \lambda'_2, \alpha) \in \mathbb{K}^* \times \mathbb{K} \times \mathbb{K}$ . Then  $\mathfrak{Z}^{\lambda_1, \lambda_2, \alpha} \simeq \mathfrak{Z}^{\lambda'_1, \lambda'_2, \alpha'}$  if and only if  $(\lambda'_1, \lambda'_2, \alpha') = (\lambda_1, \lambda_2, \alpha + 2i)$  for some  $i \in \mathbb{Z}$ .

Proof. 1. Let  $\bar{1} = 1 + \mathcal{U}(C_1 - \lambda_1, C_2 - \lambda_2, H - \alpha, Z)$  be the canonical generator of the module  $3^{\lambda_1, \lambda_2, \alpha}$ . Then  $\lambda_1 \bar{1} = C_1 \bar{1} = XY \bar{1}$  and  $\lambda_2 \bar{1} = C_2 \bar{1} = EY \bar{1} - 2FX \bar{1}$ . So,  $\lambda_2 X \bar{1} = \lambda_1 E \bar{1} - 2FX^2 \bar{1}$ , i.e.,  $E \bar{1} = \lambda_1^{-1} (2FX^2 + \lambda_2 X) \bar{1}$  since  $\lambda_1$  is nonzero. Hence,  $3^{\lambda_1, \lambda_2, \alpha} = \sum_{i \ge 1} \mathbb{K}[F]X^i \bar{1} + \mathbb{K}[F,Y] \bar{1}$ . To prove that  $3^{\lambda_1, \lambda_2, \alpha}$  is a simple  $\mathcal{U}$ -module, it suffices to prove that for any nonzero element  $v = \sum_{i=1}^n a_i(F)X^i \bar{1} + g(F,Y) \bar{1} \in 3^{\lambda_1, \lambda_2, \alpha}$ , where  $a_i(F) \in \mathbb{K}[F]$  and g is a polynomial in  $\mathbb{K}[F,Y]$ , there exists some element  $u \in \mathcal{U}$  such that  $uv \in \mathbb{K}^* \bar{1}$ . If  $a_n(F) \neq 0$  then  $Yv = \sum_{i=1}^n a_i(F)\lambda_1 X^{i-1} \bar{1} + Yg \bar{1}$ . Hence,  $Y^n v = P \bar{1}$  where P is a *nonzero* polynomial in  $\mathbb{K}[F,Y]$ . So, we may assume that v is a nonzero element in  $\mathbb{K}[F,Y] \bar{1}$  and then v can be written as  $v = \sum_{j=0}^m b_j(Y)F^j \bar{1}$ , where  $b_j(Y) \in \mathbb{K}[Y]$ . If  $b_m(Y) \neq 0$  then  $Zv = \sum_{j=0}^m b_j(Y)ZF^j \bar{1} = \sum_{j=0}^m b_j(Y)j(-Y)F^{j-1} \bar{1}$ . So,  $Z^m v = Q \bar{1}$  where Q is a *nonzero* polynomial in  $\mathbb{K}[Y] \bar{1}$  and v then can be written as  $v = \sum_{i=0}^l c_i Y^i \bar{1}$  where  $c_i \in \mathbb{K}$  and  $c_l \neq 0$ . Since  $HY^i \bar{1} = (\alpha - 2i)Y^i \bar{1}$  for all i and the eigenvalues  $\{\alpha - 2i \mid i = 0, \ldots, l\}$  are distinct. There exists a polynomial  $f(H) \in \mathbb{K}[H]$  such that  $f(H)v = Y^l \bar{1}$ . Then  $X^l Y^l \bar{1} = \lambda_1^l \bar{1} \in \mathbb{K}^* \bar{1}$ , as required.

2. The proof of statement 1 implies that  $3^{\lambda_1,\lambda_2,\alpha} = \bigoplus_{i \ge 1} \mathbb{K}[F]X^i \overline{1} \oplus \bigoplus_{i \ge 0} \mathbb{K}[F]Y^i \overline{1}$ . Then statement 2 follows.

3. Clearly,  $(C_1 - \lambda_1, C_2 - \lambda_2) \subseteq \operatorname{ann}_{\mathcal{U}}(\mathfrak{Z}^{\lambda_1, \lambda_2, \alpha})$ . Then the equality holds since  $(C_1 - \lambda_1, C_2 - \lambda_2)$  is a maximal ideal of  $\mathcal{U}$ , by (1).

4. It is clear that if  $3^{\lambda_1,\lambda_2,\alpha} \simeq 3^{\lambda'_1,\lambda'_2,\alpha'}$  then  $(\lambda'_1,\lambda'_2,\alpha') = (\lambda_1,\lambda_2,\alpha+2i)$  for some  $i \in \mathbb{Z}$ . Now, suppose that  $(\lambda'_1,\lambda'_2,\alpha') = (\lambda_1,\lambda_2,\alpha+2i)$  for some  $i \in \mathbb{Z}$ . Let  $\overline{1}'$  and  $\overline{1}$  be the canonical generators of the modules  $3^{\lambda_1,\lambda_2,\alpha'}$  and  $3^{\lambda_1,\lambda_2,\alpha}$ , respectively. If  $i \leq 0$  the map  $3^{\lambda_1,\lambda_2,\alpha'} \to 3^{\lambda_1,\lambda_2,\alpha}$ ,  $\overline{1}' \mapsto Y^{|i|}\overline{1}$  defines an isomorphism of  $\mathcal{U}$ -modules. If i > 0 then the map  $3^{\lambda_1,\lambda_2,\alpha'} \to 3^{\lambda_1,\lambda_2,\alpha}$ ,  $\overline{1}' \mapsto E^i\overline{1}$  defines an isomorphism of  $\mathcal{U}$ -modules.

For any  $\alpha \in \mathbb{K}$ , the subgroup  $2\mathbb{Z}$  of  $(\mathbb{K}, +)$  acts on  $\mathbb{K}$  in a obvious way. For each  $\alpha \in \mathbb{K}$ , we denote by  $O(\alpha) := \alpha + 2\mathbb{Z}$  the orbit of the element  $\alpha \in \mathbb{K}$  under the action of the subgroup  $2\mathbb{Z}$ . Clearly, the set of all 2 $\mathbb{Z}$ -orbits can be identified with the factor group  $\mathbb{K}/2\mathbb{Z}$ . For each orbit  $O \in \mathbb{K}/2\mathbb{Z}$ , we fix an element  $\alpha_O \in O$ .

Proposition 6.18.

 $\widehat{\mathcal{U}} \text{ (weight, } X \text{-torsionfree, } Y \text{-torsionfree, } Z \text{-torsion} \text{)} = \{ [3^{\lambda_1, \lambda_2, \alpha_0}] \mid \lambda_1 \in \mathbb{K}^*, \lambda_2 \in \mathbb{K}, 0 \in \mathbb{K}/2\mathbb{Z} \}.$ 

*Proof.* Let  $V \in \widehat{\mathcal{U}}$  (weight, X-torsionfree, Y-torsionfree, Z-torsion). Then there is a weight vector  $v \in V_{\alpha}$  such that Zv = 0. Since V is a simple  $\mathcal{U}$ -module, the central elements  $C_1$  and  $C_2$  act on V as scalars, say  $\lambda_1$  and  $\lambda_2$ , respectively. In particular,  $\lambda_1 v = C_1 v = XYv$ . This implies that  $\lambda_1$  is nonzero since V is an X and Y-torsionfree  $\mathcal{U}$ -module. Therefore, V is an epimorphic image of the module  $3^{\lambda_1,\lambda_2,\alpha}$  where  $\lambda_1 \in \mathbb{K}^*$ . By Lemma 6.17.(1),  $3^{\lambda_1,\lambda_2,\alpha}$  is a simple module and, so,  $V \simeq 3^{\lambda_1,\lambda_2,\alpha}$ . Then Lemma 6.17.(4) completes the proof.

The algebra  $\mathcal{U}$  is a Noetherian domain. By Goldie's Theorem, its left/right quotient ring  $Q(\mathcal{U})$  is a division ring. Each non-zero element  $q \in Q(\mathcal{U})$  determines the *inner automorphism*  $\omega_q : Q(\mathcal{U}) \to Q(\mathcal{U}), a \mapsto qaq^{-1}$ . The inner automorphisms  $\omega_X$  and  $\omega_Y$  preserve the subalgebra  $C_t = C_{\mathcal{U}}(H)_t$  of  $Q(\mathcal{U})$ ,

$$\omega_X : C_t \to C_t, \quad \theta \mapsto \theta - 2Z\phi t^{-1}, \quad \phi \mapsto \phi, \quad H \mapsto H - 2, \quad Z \mapsto Z, \quad C_1 \mapsto C_1, \quad C_2 \mapsto C_2,$$
  
$$\omega_Y : C_t \to C_t, \quad \theta \mapsto \theta - 2Z\iota(\phi)t^{-1} - 2, \quad \phi \mapsto \phi - 2Z, \quad H \mapsto H + 2, \quad Z \mapsto Z, \quad C_1 \mapsto C_1, \quad C_2 \mapsto C_2.$$

In more detail, the action of  $\omega_X$  on the elements  $\phi$ , H, Z,  $C_1$ , and  $C_2$  are obvious. Then the element  $\omega_X(\theta)$  is found by applying  $\omega_X$  to the equality (20) and using the equality  $\omega_X(t) = t$  where  $t = Z^2 + 2C_1$ :  $\omega_X(\theta) = \omega_X(\theta t)t^{-1} = (\phi + (H - 2)Z - C_2)\phi t^{-1} = \theta tt^{-1} - 2Z\phi t^{-1} = \theta - 2Z\phi t^{-1}$ . The equality  $\iota(X) = -\frac{1}{2}Y$  implies the equality  $\omega_Y = \iota\omega_X \iota$ :  $\omega_Y = \omega_{-\frac{1}{2}Y} = \omega_{\iota(X)} = \iota\omega_X \iota^{-1} = \iota\omega_X \iota$  since  $\iota = \iota^{-1}$ . Then the action of the automorphisms  $\omega_Y$  on the canonical generators of the algebra  $C_t$  is obvious (by using  $\omega_X$ ). The automorphisms  $\omega_X$  and  $\omega_Y$  of the algebra  $C_t = \mathbb{Z}[H] \otimes A_1$  are  $\mathbb{Z}$ -automorphisms,

$$\omega_X(\partial) = \omega_X(\phi t^{-1}) = \phi t^{-1} = \partial, \qquad \omega_X(Z) = Z, \qquad \omega_X(H) = H - 2,$$
$$\omega_Y(\partial) = \omega_Y(\phi t^{-1}) = \partial - 2Zt^{-1}, \qquad \omega_Y(Z) = Z, \qquad \omega_Y(H) = H + 2.$$

In particular, the automorphism  $\omega_X|_{C_t}$  is a  $\mathbb{K}[C_1, C_2] \otimes A_1$ -automorphism such that  $\omega_X(H) = H - 2$ . Clearly,

$$\mathcal{U}_t = C_t[X^{\pm 1}; \omega_X] = C_t[Y^{\pm 1}; \omega_Y].$$
(54)

The set  $\widehat{\mathcal{U}}$  (weight, (X, Y)-torsionfree). Let M be a simple, weight (X, Y)-torsionfree  $\mathcal{U}$ -module. Then  $(C_1 - \lambda_1)M = (C_2 - \lambda_2)M = 0$  for some  $\lambda_1, \lambda_2 \in \mathbb{K}$ . The  $\mathcal{U}$ -module M is a simple and weight module, hence  $\operatorname{Wt}(M) \subseteq \mu + 2\mathbb{Z} = O(\mu)$  for some/any  $\mu \in \mathbb{K}$  such that  $M_{\mu} \neq 0$ . So,  $M = \bigoplus_{n \in \mathbb{Z}} M_{\mu+2n}$ . The  $\mathcal{U}$ -module M is (X, Y)-torsionfree, i.e., the maps  $X_M, Y_M : M \to M$  are injections. Therefore,

$$Wt(M) = \mu + 2\mathbb{Z}$$
(55)

since  $0 \neq X^n M_{\mu} \subseteq M_{\mu+2n}$  and  $0 \neq Y^n M_{\mu} \subseteq M_{\mu-2n}$ . Since  $XY = \frac{1}{2}(Z^2 + 2C_1) = \frac{1}{2}t \in C$  and  $S_t \subseteq S \subseteq C$ , every weight component  $M_{\mu+2n}$  is a simple,  $S_t$ -torsionfree  $C^{\lambda,\mu+2n}$ -module. The  $\mathcal{U}$ -module

*M* can be either *S*-torsion or, otherwise, *S*-torsionfree. Therefore, all the weight components of *M* are either *S*-torsion or, otherwise, *S*-torsionfree (since  $S \subseteq C$ ). So,

$$\widehat{\mathcal{U}} (weight, (X, Y)-torsionfree) = \widehat{\mathcal{U}} (1) \sqcup \widehat{\mathcal{U}} (2),$$
(56)
$$\widehat{\mathcal{U}} (1) := \widehat{\mathcal{U}} (weight, (X, Y)-torsionfree, S-torsion),$$

$$\widehat{\mathcal{U}} (2) := \widehat{\mathcal{U}} (weight, (X, Y)-torsionfree, S-torsionfree)$$

(since  $S_t \subseteq S$ ). The simple, weight, (X, Y)-torsionfree  $\mathcal{U}$ -module  $M = \bigoplus_{n \in \mathbb{Z}} M_{\mu+2n}$  belongs to  $\mathcal{U}$ (1) (respectively,  $\mathcal{U}$  (2)) if and only if, for all  $n \in \mathbb{Z}$ ,  $M_{\mu+2n} \in \widehat{C^{\lambda,\mu+2n}}$  (*S*-torsion,  $S_t$ -torsionfree) (respectively,  $M_{\mu+2n} \in \widehat{C^{\lambda,\mu+2n}}$  (*S*-torsionfree)).

Recall that  $t = Z^2 + 2C_1 \in C$  and its image in the algebra  $C^{\lambda,\mu}$  is  $Z^2 + 2\lambda_1$ . The sets  $S_t = \{t^i \mid i \in \mathbb{N}\}$  and  $S_{Z^2+2\lambda_1} = \{(Z^2+2\lambda_1)^i \mid i \in \mathbb{N}\}$  are Ore sets of the domains C and  $C^{\lambda,\mu}$ , respectively. Abusing the notations we define

$$\widehat{C^{\lambda,\mu}}(S\text{-torsion}, S_t\text{-torsionfree}) \coloneqq \widehat{C^{\lambda,\mu}}(S\text{-torsion}, S_{Z^2+2\lambda_1}\text{-torsionfree}).$$

For each  $\lambda \in \mathbb{K}$ , the  $C^{\lambda,\mu}$ -module

$$C^{\lambda,\mu}(\gamma) \coloneqq C^{\lambda,\mu}/C^{\lambda,\mu}(Z-\gamma) = \bigcup_{i \ge 1} \ker(Z-\gamma)^i$$

is S-torsion and, for each element  $\gamma' \in \mathbb{K}$  such that  $\gamma' \neq \gamma$ , the map  $(Z - \gamma) :: C^{\lambda,\mu}(\gamma) \to C^{\lambda,\mu}(\gamma)$ ,  $m \mapsto (Z - \gamma)m$  is a bijection. In particular, the  $C^{\lambda,\mu}$ -module  $C^{\lambda,\mu}(\gamma)$  is  $S_{Z^2+2\lambda_1}$ -torsionfree if and only if  $\gamma^2 + 2\lambda_1 \neq 0$ . Clearly, for  $\gamma, \gamma' \in \mathbb{K}$ ,  $C^{\lambda,\mu}(\gamma) \simeq C^{\lambda,\mu}(\gamma')$  if and only if  $\gamma = \gamma'$ .

The next lemma describes the elements of the set  $C^{\lambda,\mu}$  (S-torsion,  $S_t$ -torsionfree).

Lemma 6.19.  $\widehat{C^{\lambda,\mu}}(S$ -torsion,  $S_t$ -torsionfree) = { $[C^{\lambda,\mu}(\gamma)] \mid \gamma \in \mathbb{K}, \gamma^2 + 2\lambda_1 \neq 0$ } and  $C^{\lambda,\mu}(\gamma) \simeq C^{\lambda,\mu}(\gamma')$  if and only if  $\gamma = \gamma'$ .

*Proof.* Since every module  $M \in \widehat{C^{\lambda,\mu}}(S$ -torsion,  $S_t$ -torsionfree) is an epimorphic image of  $C^{\lambda,\mu}(\gamma)$  for a (unique)  $\gamma \in \mathbb{K}$  such that  $\gamma^2 + 2\lambda_1 \neq 0$  and the  $C^{\lambda,\mu}$ -module  $C^{\lambda,\mu}(\gamma)$  is S-torsion and  $S_t$ -torsionfree, it suffices to show that the  $C^{\lambda,\mu}$ -module  $C^{\lambda,\mu}(\gamma)$  is simple.

Since  $C^{\lambda,\mu}(\gamma) = \bigcup_{i \ge 1} \ker(Z - \gamma)^i$ , the map  $t : C^{\lambda,\mu}(\gamma) \to C^{\lambda,\mu}(\gamma)$ ,  $c \mapsto tc$  is a bijection (since  $t = Z^2 + 2C_1$  and  $\gamma^2 + 2C_1 \neq 0$ ). Since  $C_t^{\lambda,\mu} \simeq A_{1,t}$ ,  $C^{\lambda,\mu}(\gamma) = C^{\lambda,\mu}(\gamma)_t \simeq A_{1,t}/A_{1,t}(Z - \gamma)$  is a simple  $A_{1,t}$ -module, i.e.,  $C^{\lambda,\mu}(\gamma)$  is a simple  $C^{\lambda,\mu}$ -module, as required.

For  $\lambda_1, \lambda_2, \mu, \gamma \in \mathbb{K}$ , let us consider the  $\mathcal{U}$ -module

$$\mathcal{U}(\lambda,\mu,\gamma) := \mathcal{U}/\mathcal{U}(C_1 - \lambda_1, C_2 - \lambda_2, H - \mu, Z - \gamma)$$
  
=  $\mathcal{U}/\mathcal{U}(XY - \lambda_1 - \frac{1}{2}\gamma^2, C_2 - \lambda_2, H - \mu, Z - \gamma).$ 

The element  $\overline{1} = 1 + \mathcal{U}(C_1 - \lambda_1, C_2 - \lambda_2, H - \mu, Z - \gamma)$  is called the canonical generator of the  $\mathcal{U}$ -module  $\mathcal{U}(\lambda, \mu, \gamma)$ . The next theorem is an explicit description of the elements of the set  $\widehat{\mathcal{U}}(\underline{1})$ .

**Theorem 6.20.**  $\widehat{\mathcal{U}}(\underline{1}) = \{ [\mathcal{U}(\lambda, \mu_O, \gamma)] \mid \lambda_1, \lambda_2, \gamma \in \mathbb{K}, \gamma^2 + 2\lambda_1 \neq 0 \text{ and } O \in \mathbb{K}/2\mathbb{Z} \}, \text{ Wt}(\mathcal{U}(\lambda, \mu_O, \gamma)) = O = \mu_O + 2\mathbb{Z}, \text{ and } \mathcal{U}\text{-modules } \mathcal{U}(\lambda, \mu_O, \gamma) \text{ and } \mathcal{U}(\lambda', \mu_{O'}, \gamma') \text{ are isomorphic if and only if } O = O' \text{ and } (\lambda, \gamma) = (\lambda', \gamma'). Furthermore, the maps <math>t \cdot, Y \cdot, X \cdot : \mathcal{U}(\lambda, \mu_O, \gamma) \to \mathcal{U}(\lambda, \mu_O, \gamma)$  are bijections,

$$\mathcal{U}(\lambda,\mu_{O},\gamma) = \mathcal{U}(\lambda,\mu_{O},\gamma)_{t} = \bigoplus_{n\in\mathbb{Z}} X^{n} \mathcal{U}(\lambda,\mu_{O},\gamma)_{\mu_{O}} = \bigoplus_{n\in\mathbb{Z}} Y^{n} \mathcal{U}(\lambda,\mu_{O},\gamma)_{\mu_{O}}$$
$$= \bigoplus_{n\geqslant1} Y^{n} \mathcal{U}(\lambda,\mu_{O},\gamma)_{\mu_{O}} \oplus \bigoplus_{n\geqslant0} X^{n} \mathcal{U}(\lambda,\mu_{O},\gamma)_{\mu_{O}}$$

for all  $n \in \mathbb{Z}$ ,  $\mathcal{U}(\lambda, \mu_0, \gamma)_{\mu+2n} = \mathcal{U}(\lambda, \mu_0, \gamma)_{\mu+2n,t}$  is a  $C_t^{\lambda, \mu+2n}$ -module where  $\mu = \mu_0$  and  $\mathcal{U}(\lambda, \mu_0, \gamma)_{\mu+2n} = X^n \mathcal{U}(\lambda, \mu_0, \gamma)_{\mu} \simeq Y^{-n} \mathcal{U}(\lambda, \mu_0, \gamma)_{\mu}$   $\simeq {}^{(\omega_X)^{-n}} \mathcal{U}(\lambda, \mu_0, \gamma)_{\mu} \simeq {}^{(\omega_Y)^n} \mathcal{U}(\lambda, \mu_0, \gamma)_{\mu}$   $\simeq C^{\lambda, \mu+2n}/C^{\lambda, \mu+2n}(Z - \gamma) \in \widehat{C^{\lambda, \mu+2n}}(S$ -torsion,  $S_s$ -torsionfree)  $\simeq A_{1,t}/A_{1,t}(Z - \gamma) \simeq A_1/A_1(Z - \gamma).$ 

Furthermore,

$$\mathcal{U}(\lambda,\mu_{O},\gamma)_{\mu+2n} = \begin{cases} X^{n} \left(\mathbb{K}[\theta] \oplus \mathbb{K}[\theta]\phi\right) \bar{1}, & \text{if } n \ge 0, \\ Y^{|n|} \left(\mathbb{K}[\theta] \oplus \mathbb{K}[\theta]\phi\right) \bar{1}, & \text{if } n < 0, \end{cases}$$
(57)

where  $\theta = FE$ ,  $\phi = EY$  and  $\overline{1}$  is the canonical generator of the  $\mathcal{U}$ -module  $\mathcal{U}(\lambda, \mu_O, \gamma)$ .

*Proof.* Let  $M \in \widehat{\mathcal{U}}(\square)$ . We keep the notation as above. In particular, the elements  $C_1 - \lambda_1$ and  $C_2 - \lambda_2$  annihilate the module M,  $M = \bigoplus_{n \in \mathbb{Z}} M_{\mu+2n}$  where each weight component  $M_{\mu+2n}$ belongs to the set  $\widehat{C^{\lambda,\mu+2n}}$  (S-torsion, S<sub>s</sub>-torsionfree) where  $s = Z^2 + 2\lambda_1$ . By Lemma 6.19,  $M_{\mu+2n} \simeq C^{\lambda,\mu+2n}/C^{\lambda,\mu+2n}(Z - \gamma_{\mu+2n})$  for some  $\gamma_{\mu+2n} \in \mathbb{K}$  such that  $\gamma_{\mu+2n}^2 + 2\lambda_1 \neq 0$ .

(i)  $\gamma := \gamma_{\mu} = \gamma_{\mu+2n}$  for all  $n \in \mathbb{Z}$ : The multiplicative set  $T_{\gamma} := \{(Z - \gamma)^i \mid i \in \mathbb{Z}\}$  is an Ore set of the domain  $\mathcal{U}$ . The  $T_{\gamma}$ -torsion submodule of M is equal to  $\operatorname{tor}_{T_{\gamma}}(M) = \bigoplus_{\{n \in \mathbb{Z} \mid \gamma_{2n} = \gamma\}} M_{\mu+2n} \neq 0$ since  $M_{\mu+2n} = \bigcup_{i \ge 1} \ker (Z - \gamma_{2n})^i$ . The  $\mathcal{U}$ -module M is simple, hence  $M = \operatorname{tor}_{T_{\gamma}}(M)$ , and so  $\gamma = \gamma_{2n}$  for all  $n \in \mathbb{Z}$ .

(ii)  $\gamma^2 + 2\lambda_1 \neq 0$ : This is obvious.

(iii) The map  $t_M : M \to M$ ,  $m \mapsto tm$ , is a bijection: For all  $n \in \mathbb{Z}$ , the map  $t_{M_{\mu+2n}} : M_{\mu+2n} \to M_{\mu+2n}$ ,  $m \mapsto tm$  is a bijection, by the statement (ii) and the fact that  $M_{\mu+2n} = \bigcup_{i \ge 1} \ker(Z - \gamma)^i$ , and the result follows.

(iv) The maps  $X_M$ ,  $Y_M$  are bijections and  $X_M^{-1} = 2Y_M t_M^{-1}$ : This follows from the statement (iii) and the equality  $XY = YX = \frac{1}{2}t$ .

(v)  $M = M_t = \bigoplus_{n \in \mathbb{Z}} X^n M_\mu = \bigoplus_{n \in \mathbb{Z}} Y^n M_\mu$ ,  $M_{\mu+2n} = X^n M_\mu \simeq {}^{(\omega_X)^{-n}} M_\mu$  and  $M_{\mu+2n} = Y^{-n} M_\mu \simeq {}^{(\omega_Y)^n} M_\mu$ : The statement (v) follows from the statement (iv) and the facts  $X M_{\mu+2n} \subseteq M_{\mu+2(n+1)}$  and  $Y M_{\mu+2n} \subseteq M_{\mu+2(n-1)}$ .

and  $YM_{\mu+2n} \subseteq M_{\mu+2(n-1)}$ . Notice that  $C_t^{\lambda,\mu+2n} \simeq A_{1,t}$ . By the statement (iii), we have the following chain of  $C^{\lambda,\mu+2n}$ -isomorphisms:

$$\frac{C^{\lambda,\mu+2n}}{C^{\lambda,\mu+2n}(Z-\gamma)} \simeq \left(\frac{C^{\lambda,\mu+2n}}{C^{\lambda,\mu+2n}(Z-\gamma)}\right)_t \simeq \frac{A_{1,t}}{A_{1,t}(Z-\gamma)} \simeq \frac{A_1}{A_1(Z-\gamma)}$$

By Proposition 3.3.(2),  $C^{\lambda,\mu+2n}/C^{\lambda,\mu+2n}(Z-\gamma) \simeq (\mathbb{K}[\theta] \oplus \mathbb{K}[\theta]\phi)\overline{1}$ . Now, the equality (57) follows from the statement (v).

Given another module  $M' \in \widehat{\mathcal{U}}(\square)$  with the parameters  $\lambda'_1, \lambda'_2, \mu'$ , and  $\gamma'$ . Let  $O' = O(\mu') = \mu' + 2\mathbb{Z}$ .

(vi) Then  $M \simeq M'$  if and only if  $\lambda_1 = \lambda'_1, \lambda_2 = \lambda'_2, O = O'$ , and  $\gamma = \gamma'$ : Suppose that  $M \simeq M'$ . Then O = Wt(M) = Wt(M') = O'. Clearly,  $\lambda_1 = \lambda'_1$  and  $\lambda_2 = \lambda'_2$ . By the statement (i),  $M = \bigcup_{i \ge 1} \ker(Z - \gamma)^i$  and  $M' = \bigcup_{i \ge 1} \ker(Z - \gamma')^i$ . Hence,  $\gamma = \gamma'$ . The implication ( $\Leftarrow$ ) follows from the statements (iv) and (v).

In order to finish the proof of the theorem it suffices to prove the next statement.

(vii)  $M \simeq \mathcal{U}(\lambda, \mu, \gamma)$  (where  $\gamma^2 + 2\lambda_1 \neq 0$ ): Let  $M' \coloneqq \mathcal{U}(\lambda, \mu, \gamma)$ . By the very definition,  $M' = \bigcup_{i \geq 1} \ker(Z - \gamma)^i$ , and so the map  $t_{M'}$  is a bijection. Then also the maps  $X_{M'}$  and  $Y_{M'}$  are bijections and  $X_{M'}^{-1} = 2Y_M t_{M'}^{-1}$ . Hence,  $M' = \bigoplus_{n \in \mathbb{Z}} X^n M'_{\mu}$ . By the very definition of the module M',  $M'_{\mu} \simeq C^{\lambda,\mu}/C^{\lambda,\mu}(Z - \gamma) \simeq M_{\mu}$  is a simple  $C^{\lambda,\mu}$ -module. By the statement (v),  $M' \simeq M$ .

The set  $\widehat{\mathcal{U}}(2)$ . Clearly,

$$\widehat{\mathcal{U}}(\underline{2}) = \bigsqcup_{\lambda \in \mathbb{K}^2, 0 \in \mathbb{K}/2\mathbb{Z}} \widehat{\mathcal{U}}(\underline{2}, \lambda, 0),$$
(58)

where  $\widehat{\mathcal{U}}([2], \lambda, O)$  contains  $[M] \in \widehat{\mathcal{U}}([2])$  such that  $(C_1 - \lambda_1)M = (C_2 - \lambda_2)M = 0$  and Wt(M) = O.

Let  $M \in \widehat{\mathcal{U}}([2], \lambda, O)$ . Then the simple  $\mathcal{U}$ -module M is an essential submodule of the  $\mathcal{U}_t$ module  $M_t$ . Hence,  $M = \operatorname{soc}_{\mathcal{U}}(M_t)$ . Clearly,  $M_t = \bigoplus_{n \in \mathbb{Z}} X^n M_{\mu,t}$ , where  $\mu = \mu_O$ , and  $M_{t,\mu+2n} = X^n M_{\mu,t}$  for all  $n \in \mathbb{Z}$ . So, the simple  $\mathcal{U}_t$ -module  $M_t$  is uniquely determined by the simple  $C_t^{\lambda,\mu}$ module  $M_{\mu,t}$ , and the last one is uniquely determined by its socle  $M = \operatorname{soc}_C(M_{\mu,t})$ , since  $M_{\mu,t} = \operatorname{soc}_C(M_{\mu,t})_t$ . So, the map

$$\widehat{\mathcal{U}}\left([2],\lambda,\mathcal{O}\right) \to \widehat{C^{\lambda,\mu_{O}}}(S\text{-torsionfree}), \ [M] \mapsto [M_{\mu_{O}}] \tag{59}$$

is an injection.

Proposition 6.21. The map (59) is a bijection.

*Proof.* Since the map (59) is an injection, in order to finish the proof it suffices, for a given  $[N] \in \widehat{C^{\lambda,\mu}}$  (*S*-torsionfree), to construct a  $\mathcal{U}$ -module  $[M] \in \widehat{\mathcal{U}}([\underline{2}], \lambda, O)$  with  $M_{\mu_O} \simeq N$ . The induced  $\mathcal{U}$ -module  $\mathcal{U} \otimes_C N$  is a weight module with Wt ( $\mathcal{U} \otimes_C N$ ) = O (since  $S_t \subseteq S \subseteq C$ , and N is an *S*-torsionfree *C*-module) and ( $\mathcal{U} \otimes_C N$ )<sub> $\mu_O$ </sub> = N. It is annihilated by the elements ( $C_1 - \lambda_1$ ) and ( $C_2 - \lambda_2$ ). It contains the largest submodule, say L, with  $L \cap N = 0$ . The module L is the sum of all (weight) submodules that do not meet N. The  $\mathcal{U}$ -module L is weight.

Claim.  $M := \mathcal{U} \otimes_C N/L \in \widehat{\mathcal{U}}([2], \lambda, O)$  and  $M_{\mu_O} = N$ : By the very definition, the  $\mathcal{U}$ -module M is simple, weight,  $M_{\mu_O} = N$  and annihilated by the elements  $C_1 - \lambda_1$  and  $C_2 - \lambda_2$ . The inclusion  $N \subset N_t$  yields the inclusion  $\mathcal{U} \otimes_C N \subseteq \mathcal{U} \otimes_C N_t$  (since the algebra C is a direct summand of the C-bimodule  $\mathcal{U}$ ). Since  $S \subseteq C$ , we have that  $0 \neq S^{-1}N \subseteq S^{-1}M$ , hence the  $S^{-1}\mathcal{U}$ -module  $S^{-1}M$  is simple and  $M \subseteq S^{-1}M$ , and so M is an S-torsionfree  $\mathcal{U}$ -module. In particular, M is an  $S_t$ -torsionfree module (since  $S_t \subseteq S$ ). Hence, M is an (X, Y)-torsionfree  $\mathcal{U}$ -module since XY = 2t. Therefore,  $Wt(M) = \mu_O + 2\mathbb{Z} = O$ . This finishes the proof of the claim and the proposition.

An explicit construction of modules in the class  $\hat{\mathcal{U}}(2, \lambda, O)$ . Let us consider the inverse map to (59),

$$\widehat{\mathcal{C}^{\lambda,\mu_{O}}}(S\text{-torsionfree}) \to \widehat{\mathcal{U}}([2],\lambda,O), \ [N] \mapsto [M(\lambda,O,N)].$$
(60)

In order to finish with classification of the modules in the class  $\hat{\mathcal{U}}(\underline{z}, \lambda, O)$ , we give an explicit construction of them, i.e., we give a construction of the  $\mathcal{U}$ -module  $M(\lambda, O, N)$  for each choice of N (Lemma 6.22). By (54), the  $\mathcal{U}_t$ -module

$$\mathcal{U}_t \otimes_{C_t} N_t = \left( C_t[X^{\pm 1}; \omega_X] \right) \otimes_{C_t} N_t = \bigoplus_{n \in \mathbb{Z}} X^n N_t$$

is simple and S-torsionfree. Hence,  $N \subseteq N_t \subseteq \mathcal{U}_t \otimes_{C_t} N_t$ . The  $\mathcal{U}$ -module  $\mathcal{U}_t \otimes_{C_t} N_t$  contains the  $\mathcal{U}$ -module  $\mathcal{U}N$ .

Lemma 6.22.  $M(\lambda, O, N) \simeq \mathcal{U}N$  as  $\mathcal{U}$ -modules.

*Proof.* By the claim of the proof of Proposition 6.21,  $M(\lambda, O, N) \simeq M$  where  $M := \mathcal{U} \otimes_C N/L$ and L is the largest submodule  $\mathcal{U} \otimes_C N$  such that  $L \cap N = 0$ . The kernel, say L', of the obvious  $\mathcal{U}$ -homomorphism  $\mathcal{U} \otimes_C N \to \mathcal{U} N \subseteq \mathcal{M} := \mathcal{U}_t \otimes_{C_t} N_t$ ,  $u \otimes n \mapsto un$ , is contained in L. So,  $\mathcal{U} \otimes_C N/L' \simeq \mathcal{U} N$ .

*Claim.* L' = L: Suppose that  $L' \neq L$ , we seek a contradiction. Then  $0 \neq L/L' \subseteq \mathcal{U}N$ , and so  $(L/L')_t = \mathcal{M} = (\mathcal{U}N)_t$ , by simplicity of the  $\mathcal{U}_t$ -module  $\mathcal{M}$ . Hence,

$$0 \neq N_t \subseteq \left(\frac{\mathcal{U} \otimes_C N}{L}\right)_t \simeq \frac{\left(\mathcal{U} \otimes_C N/L'\right)_t}{\left(L/L'\right)_t} \simeq \mathcal{M}/\mathcal{M} = 0,$$

a contradiction. The proof of the claim is complete. By the claim,  $M \simeq \mathcal{U}N$ , as required.

The next theorem is an explicit description of the elements of the set  $\widehat{\mathcal{U}}(2)$ .

**Theorem 6.23.**  $\widehat{\mathcal{U}}(\underline{2}) = \bigsqcup_{\lambda \in \mathbb{K}^2, O \in \mathbb{K}^2/2\mathbb{Z}} \widehat{\mathcal{U}}(\underline{2}, \lambda, O) \text{ and } \widehat{\mathcal{U}}(\underline{2}, \lambda, O) = \{[M(\lambda, O, N)] \mid [N] \in \widehat{C^{\lambda, \mu_O}}(S \text{-torsionfree})\} \text{ and } M(\lambda, \mu_O, N) \simeq M(\lambda, O, N') \text{ if and only if } N \simeq N'.$ 

*Proof.* The theorem follows from (58), Proposition 6.21, and Lemma 6.22.

Corollary 6.24. In view of (56), Theorems 6.20 and 6.23 classify the modules in  $\widehat{\mathcal{U}}(2)$ .

Corollary 6.25. For each  $[M] \in \widehat{\mathcal{U}}$  (weight (X, Y)-torsionfree),  $\operatorname{ann}_{\mathcal{U}}(M) = (C_1 - \lambda_1, C_2 - \lambda_2)$ for some  $\lambda_1, \lambda_2 \in \mathbb{K}$ .

*Proof.* Clearly,  $\mathfrak{a} \coloneqq \operatorname{ann}_{\mathcal{U}}(M) \supseteq \mathfrak{a}' \coloneqq (C_1 - \lambda_1, C_2 - \lambda_2)$  for some  $\lambda_1, \lambda_2 \in \mathbb{K}$ . If  $(\lambda_1, \lambda_2) \neq (0, 0)$  then the ideal  $\mathfrak{a}'$  is maximal (Theorem 1.1). Hence,  $\mathfrak{a} = \mathfrak{a}'$ . If  $(\lambda_1, \lambda_2) = (0, 0)$  and  $\mathfrak{a} \supseteq \mathfrak{a}'$  then  $\mathfrak{a} \supseteq (Z) = (X, Y, Z)$  (Theorem 1.1), a contradiction (since M is (X, Y)-torsionfree). Therefore,  $\mathfrak{a} = \mathfrak{a}'$ .

Proof of Corollary 2.10. We use Theorem 1.1 and (1). By Corollary 6.25,  $(C_1, C_2) \in Prim(\mathcal{U})$ . Then  $Prim(\mathcal{U}) \supseteq Prim(U(\mathfrak{sl}_2)) \sqcup Max(\mathcal{Z})$ , by (1). Since  $\mathcal{U}/(Z) \simeq U(\mathfrak{sl}_2)$  and  $Z(U(\mathfrak{sl}_2)) = \mathbb{K}[\Delta]$ , (Z) is not a primitive ideal of  $\mathcal{U}$ . Now, the result follows from (1).

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