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1 Geoarchaeological evidence for the construction, irrigation, 2 cultivation, and resilience of fifteenth- to eighteenth-century AD 3 terraced landscape at Engaruka, Tanzania

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Q2 7 (RECEIVED July 29, 2016; ACCEPTED June 12, 2017)
8

9 Abstract

10 Agricultural landscapes are human-manipulated landscapes, most obviously in areas modified by terracing and/or
11 irrigation. Examples from temperate, arid, and desert environments worldwide have attracted the attention of many
12 disciplines, from archaeologists, palaeoecologists, and geomorphologists researching landscape histories to economists,
13 agronomists, ecologists, and development planners studying sustainable resource management. This article combines
14 these interdisciplinary interests by exploring the role archaeology can play in assessing sustainability. Our case study is
15 Engaruka, Tanzania, archaeologically famous as the largest abandoned irrigated and terraced landscape in East Africa.
16 The site has been cited as an example of economic and/or ecological collapse, and it has long been assumed to have been
17 irrigated out of necessity because agriculture was presumed to be nearly impossible without irrigation in what is now a
18 semiarid environment. Geoarchaeological research refutes this assumption, however, demonstrating that parts of the site
19 flooded with sufficient regularity to allow the construction of more than 1000 ha of alluvial sediment traps, in places
20 greater than 2 m deep. Soil micromorphology and geochemistry also record changes in irrigation, with some fields
21 inundated to create paddylike soils. Geoarchaeological techniques can be applied to both extant and abandoned
22 agricultural systems, thereby contributing to an understanding of their history, function, and sustainability.

23 **Keywords:** Agricultural terracing; Irrigation; Sediment traps; Check dams; Landscape change; Geoarchaeology;
24 Archaeological stratigraphy; Soil micromorphology; Inorganic soil chemistry; Sustainable agriculture; Resilience; Engaruka,
25 Tanzania

26 INTRODUCTION

27 Over the past two decades, researchers from a range of disci-
28 plines have argued that archaeological and palaeoecological
29 data should have a role to play in defining past processes that
30 have an impact on the sustainability of modern practices (e.g.,
31 Costanza et al., 2007). This has led to a variety of suggested
32 methodologies, including the use of historical data to validate
33 the outcomes of predictive computer modelling (e.g., Barton,
34 2016), and the use of case studies to help define key social,
35 technical, or environmental factors that can act to improve
36 or inhibit systemic resilience (e.g., Nelson et al., 2010) or
37 sustainability (e.g., Butzer and Endfield, 2012). These are
38 ambitious aims that require highly interdisciplinary appro-
39 aches, the proponents of which often cite archaeology's ability

40 to define change over long periods and large spatial areas as
41 the discipline's greatest potential contribution to sustainability
42 studies (Redman and Kinzig, 2003).

43 Others, however, have suggested an alternative yet com-
44plementary approach, whereby detailed archaeological data
45 such as geoarchaeological examinations can be employed to
46 help define how individual farming practices functioned and
47 changed through time (Sandor et al., 2002; Homburg and
48 Sandor, 2011). Doing so can act to put modern landscapes
49 and farming practices in their historical context (e.g., Hall
50 et al., 2013; Morrison, 2015) and can correct simplistic
51 assumptions that evidence of cultural continuity constitutes
52 evidence of sustainable resource use (Stump, 2010) or that
53 the abandonment of a practice demonstrates that it was
54 necessarily unsustainable (for a discussion of which, see,
55 e.g., Balée and Erickson, 2006).

56 The research reported here takes this geoarchaeological
57 approach and is predicated on the recognition that cultivation
58 not only alters landscapes but also alters both the structure and

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59 geochemical properties of soil (Entwistle et al., 1998). These
 60 modifications can affect agricultural potential in the short and
 61 long term, producing legacy effects that may be detectable
 62 centuries later by soil science and geoarchaeological techni-
 63 ques (Wilson et al., 2008). Understanding these changes and
 64 their potential impacts on agricultural systems in either the past
 65 or the present requires techniques that can detect (and if pos-
 66 sible quantify) processes that take place at a range of spatial
 67 and temporal scales. Spatial scales range from landscape-level
 68 alterations, such as deforestation and soil erosion, to highly
 69 localised changes in soil structure, chemistry, and biological
 70 activity. Temporal scales can range from a few weeks to a few
 71 decades in the case of modifications such as the application
 72 of fertilizers, to those that can have legacies lasting years,
 73 centuries, or even indefinitely in the case of irreversible
 74 processes such as swamp drainage or peat extraction.

75 The geoarchaeological research and results presented here
 76 focus on Engaruka in northeastern Tanzania, an abandoned
 77 irrigated and terraced landscape that covers ~2000 ha and
 78 was occupied for ca. 400 yr prior to abandonment in the
 79 eighteenth century AD (Westerberg et al., 2010). The results
 80 include stratigraphic evidence demonstrating that much of
 81 the former cultivation area was artificially created by the
 82 construction and periodic extension of check dams to capture
 83 alluvial sediments, as well as studies of geochemistry and
 84 soil micromorphology that record distinct differences in the
 85 irrigation regimes employed in different fields or within the
 86 same plots at different times. Although focussed on an

abandoned agricultural landscape, these techniques of
 investigation can also be profitably applied to areas that
 continue to be farmed, thereby providing a direct archaeo-
 logical contribution to assessments of sustainability.

Site location

Engaruka is located in northeast Tanzania to the immediate
 east of the Crater Highlands, centred at 2°59.9'S, 35°57.4'E.
 The sediments on the site are formed from volcanoclastic
 parent material, forming alluvial fans in the southern area of
 the site and alluvial plains in the central and northern areas.
 The parent material is composed predominantly of calcitic
 basalt, feldspathoid nephelinite, calcium-rich plagioclase,
 pyroxene, and olivine, with potential additions of volcanic
 material from four nearby volcanoes (Fig. 1) that each
 produce a specific mineral signature (Mattsson et al., 2013).
 Soils in the area have been classified as Eutric Leptosols (Jones
 et al., 2013), but Westerberg et al. (2010) also note areas of
 well-developed Andisols along the line of the Engaruka River.
 The Andisols primarily comprise 2:1 smectite swelling clays.

With sufficient water, these soils are favourable for agri-
 culture (Westerberg et al., 2010). Current average rainfall is
 just 400 mm per year, however, meaning that farming today
 is only possible with supplementary irrigation drawn from
 the perennial Engaruka River, the catchment of which
 receives ~1000 mm of rain annually. Modern irrigation is
 thus reliant on water flowing down the Engaruka from the

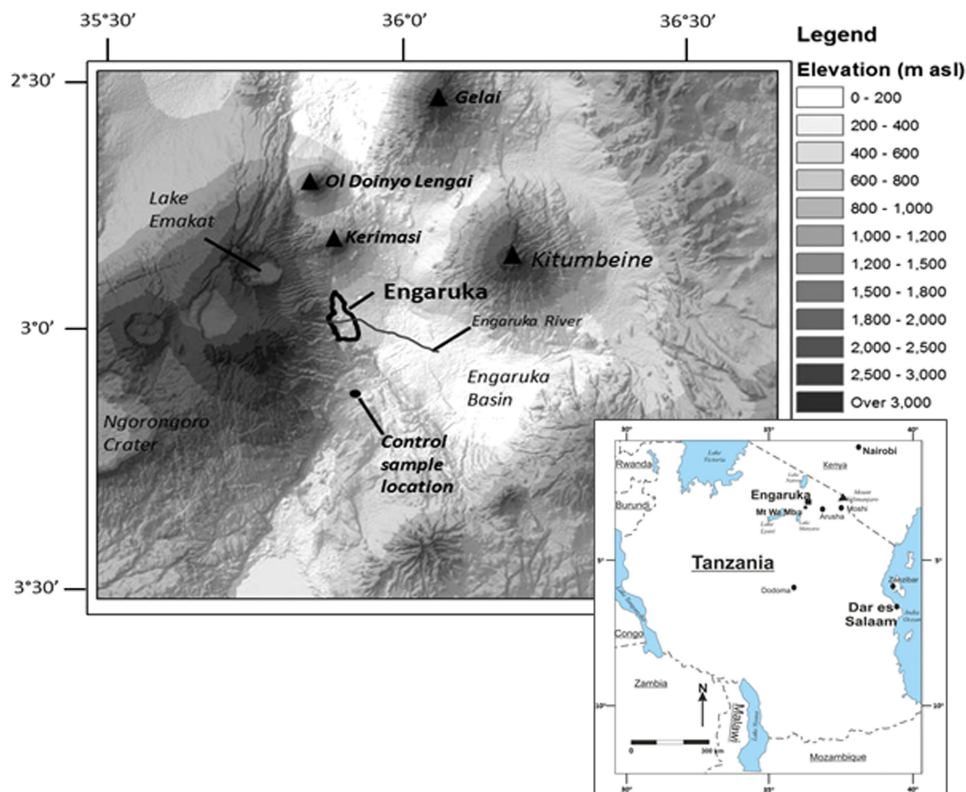


Figure 1. (colour online) The location of Engaruka within northeastern Tanzania and the location of the control sample site in relation to the volcanic tufts and the Crater Highlands. m asl, meters above sea level.

113 adjacent highlands (Fig. 1), but during wet years, agricultural
 114 production is also possible using water from three other
 115 watercourses (the Lochoro and Makuyuni to the north of the
 116 Engaruka and the Olemelepo to the south; Fig. 2), which
 117 in years of heavy rains flow during the two wet seasons
 118 (February to May and October to December).

119 Research background

120 Multiple archaeological surveys conducted by Sutton (1978,
 121 1998) have mapped abandoned artificial irrigation channels
 122 that drew water from all of these watercourses (Fig. 2), as
 123 well as from a now permanently dry river gorge with no local
 124 name—dubbed the “Intermediate North Gorge” by Sutton
 125 (1978). This observation of abandoned irrigation channels
 126 leading from what are now dry or unreliable water sources
 127 (e.g., Sutton, 1998, 2004) has led to two hypotheses relating
 128 to the sustainability of the historical agricultural system:
 129 (1) that farming at Engaruka was probably always impossible
 130 without artificial irrigation, and (2) that declining river flows
 131 were probably the reason the agricultural system and asso-
 132 ciated settlements were abandoned (for discussions of which,
 133 see Sutton, 1998; Stump, 2006; Westerberg et al., 2010).

134 Although this hypothesis of abandonment attributable to
 135 diminishing water supply is also the preferred interpretation
 136 here, this is not in itself sufficient to conclude that the system
 137 proved unsustainable because of the actions of the site’s
 138 inhabitants. This is because a reduction in the amount of water

139 flowing within the Engaruka and adjacent streams could have
 140 been caused by a range or combination of factors, of which
 141 Sutton (1978) lists deforestation within the river catchments,
 142 seismic disturbances to the watercourses, or regional climatic
 143 change. Of these potential factors, deforestation through tree
 144 felling or burning could be reasonably seen as an unsustainable
 145 practice if it can be causally related to hydrologic decline. The
 146 same could clearly not be said of seismic activity or of regional
 147 fluctuations in rainfall.

148 Any attempt to assess the sustainability of the agricultural
 149 system at Engaruka thus requires an interdisciplinary
 150 approach. Westerberg et al. (2010), for example, combined
 151 radiocarbon dates from previous excavations within the
 152 abandoned settlements at Engaruka with a dated pollen core
 153 from Lake Emakat located 15 km to the northwest (as indi-
 154 cated in their fig. 4). This research concluded that the former
 155 agricultural system should be seen as resilient, arguing that
 156 the use of irrigation allowed farming throughout a compar-
 157 atively dry period between ca. AD 1500 and 1670
 158 (for pollen data, see Ryner et al., 2008; for resilience, see
 159 Westerberg et al., 2010). However, this apparent correlation
 160 of archaeological and palaeoenvironmental data is merely
 161 suggestive of systemic sustainability and resilience because it
 162 is unclear how the inhabitants of Engaruka responded to these
 163 apparent changes in the rainfall regime. Were major phases of
 164 terrace and irrigation construction a response to drier condi-
 165 tions, for example, or were they primarily a means of
 166 exploiting opportunities created during wetter periods?

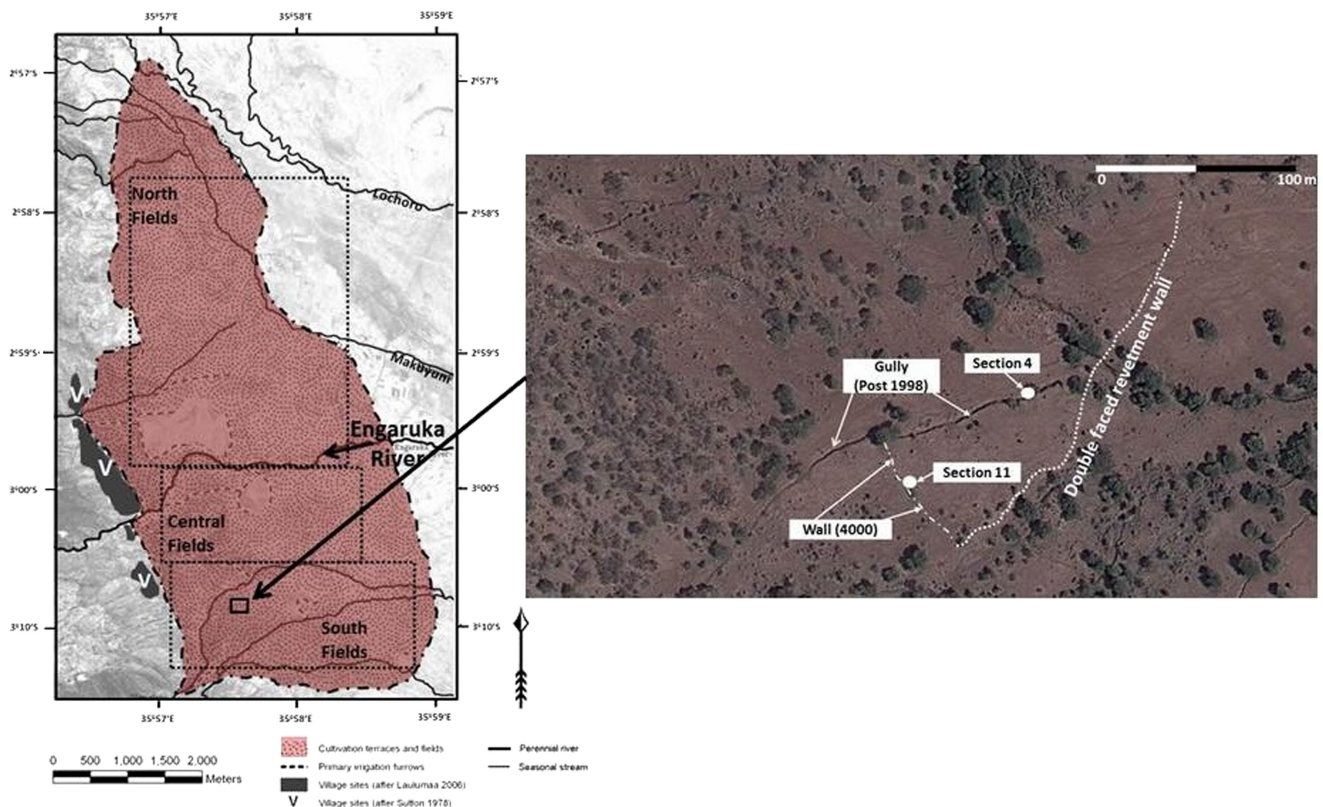


Figure 2. (colour online) Map highlighting the extent of the 2000 ha site, the location of the North and South Fields (left), and an aerial image of section 11 and section 4 in the South Fields area (right).

167 Research objectives

168 The archaeological fieldwork and sediment analyses reported
 169 here were designed to assess whether irrigation features and
 170 agricultural fields at Engaruka were constructed during
 171 periods of high or low water availability, and thereby to
 172 provide details essential to an assessment of the system's
 173 sustainability. To achieve this, the project builds on the
 174 results of excavations carried out in the ~900 ha of aban-
 175 doned fields to the north of the Engaruka River (Stump,
 176 2006). Based entirely on stratigraphic data for the sequence
 177 of field construction, these excavations demonstrated that
 178 agricultural plots in this area were built by capturing alluvial
 179 sediments entrained within canalized streams. On the basis of
 180 satellite imagery and ground surveys, it was thought probable
 181 that much of the field area south of the Olemelepo stream was
 182 also built through sediment capture (Stump, 2006).

183 The specific aim of the fieldwork and analyses reported
 184 here was thus to test this hypothesis through excavation and
 185 geoarchaeological investigations and to provide further
 186 details of irrigation and cultivation practices. Doing so sup-
 187 ports a broader project aim of questioning the role that
 188 archaeological and palaeoecological data can play in assess-
 189 ments of sustainability.

190 MATERIALS AND METHODS

191 Excavation methods

192 The fieldwork was carried out between September and
 193 October 2014. Archaeological excavation was undertaken
 194 by the removal and recording of individual lithographic
 195 units (i.e., layers within the stratigraphic sequence with
 196 distinct colours, textures, structures, or compositions of
 197 silt, sand, and clay). Where possible, the units were
 198 removed in the reverse order of their deposition. Each
 199 unit was assigned a unique record number, starting at 4000
 200 to distinguish these from the numbers assigned during
 201 previous excavations. Events evidenced by the removal
 202 of a deposit (including erosion events and human actions
 203 such as digging of an irrigation canal) were assigned a
 204 record number in the same sequence. Sediment samples
 205 taken from within lithographic units were assigned unique
 206 numbers from a distinct number sequence, allowing multiple
 207 samples to be taken from individual units. However, for
 208 the sake of clarity, all samples are referred to here by
 209 reference to deposit record number only, where necessary
 210 distinguishing between upper, middle, and lower subsamples
 211 (e.g., deposit 4015_U).

212 Comparative off-site controls were collected from sediments
 213 adjacent to the seasonal Selela River, located 23 km to the south
 214 of Engaruka (Fig. 1). These control sediments are derived from
 215 similar volcanoclastic parent material and are located within an
 216 alluvial fan similar to those at Engaruka. There is, however, no
 217 evidence of former cultivation at the location of the control
 samples. It should be noted, however, that the process of

sedimentation at the control location is not the same, but
 equivalent because they are both alluvial, the difference being
 that the alluvium from Engaruka was artificially captured (as the
 results will show; see also Stump, 2006).

Soil sampling and macroanalysis

Undisturbed soil samples and bulk soils were collected in
 Kubiena tins (85 × 50 × 6 mm) from two excavated cross sec-
 tions at Engaruka and from one exposed gully section at the
 control location (this gully having been cut back 1 m to avoid
 the risk of recent contamination). The undisturbed soil samples
 were removed from within and between lithographic units,
 with bulk soil samples collected directly behind the undis-
 turbed soil sample locations. Macromorphological analysis
 was undertaken in the field through colour differentiation,
 semiquantitative particle-size characterisation of the coarse
 fraction, and hand texturing of the fine material. Measurements
 of pH were made on the bulk soil samples in the field using a
 HANNA Hi-98127 pHe44 pH tester.

Soil micromorphology

Soil thin sections were air dried at 40°C and impregnated
 with polyester resin using standardised processing proce-
 dures (<http://www.thin.stir.ac.uk> [accessed July 29, 2016]).
 The soil blocks were mounted on glass slides, lapped, and
 then polished to 30 µm thickness. Each thin section was
 characterised using plane polarized light and cross-polarized
 light on an AxioScope A1 binocular microscope with rotary
 stage. Micromorphological classification (Table 2) was based
 on those proposed by Bullock et al. (1985) and Stoops
 (2003), with a coarse/fine limit of 50 µm.

Geochemical analysis

Quantitative analysis of archaeological sediments was carried
 out to measure nine inorganic elements commonly reported
 as being associated with anthropogenic activity and arable
 augmentation (Aston et al., 1998; Holliday and Gartner,
 2007; Wilson et al., 2008, 2009; Alexander et al., 2012).
 These are: aluminium (Al), phosphorus (P), potassium (K),
 calcium (Ca), chromium (Cr), manganese (Mn), iron (Fe),
 zinc (Zn), and strontium (Sr).

The soils were air dried and sieved (2 mm), with Al, K, Ca,
 Cr, Mn, Fe, Zn, and Sr then analysed using an Olympus
 DELTA portable x-ray fluorescence (pXRF) analyser with an
 operating frequency of 530 MHz CPU, mounted in a flex stand.
 Standards—SiO₂, National Institute of Standards and Techno-
 logy (NIST) 2710a, and NIST 2711a (Montana II Soil)—were
 used to identify beam drift, with the calibration of the analyser
 undertaken prior to analysis. Five replicates were measured per
 sample for quality control. Because of significantly lower
 quantification limits, total P content was analysed using induc-
 tively coupled plasma optical emission spectrometry (ICP-OES)
 with a Perkins Elmer Optima 5300 OES using standard
 operating procedures (ChemTest, SOP 2430).

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Data analysis

Grubbs's (1969) test was used to inspect the data for outliers and to determine whether the data had a normal distribution. The Pearson correlation coefficient was used to measure the degree of linear association between variables. Correlation coefficients (r^2) presented in the text are statistically significant ($P < 0.05$).

Results of pXRF and ICP-OES measurements were analysed using factor analysis by principal components analysis (PCA). Only factor loadings higher than 0.7 or more negative than -0.7 (i.e., with an r^2 of at least ~ 0.5 , and therefore 50% of variance associated with a given principal component [PC]) are discussed in the text. PCA was done with the Minitab17 software using Varimax rotated solutions. Differences between the PCA sample scores at the three locations (section 4, section 11, and controls) were tested using analysis of variance.

Particle-size analysis (PSA) and magnetic susceptibility (MS)

PSA was undertaken using a Malver Mastersizer Hydro 2000 NU Laser Granulometer (MEH/MJG 180914) applying general purpose multigrade sand as a standard (40–100 μm).

The loose bulk soil samples were placed in ~ 15 mL plastic containers and then weighed in grams to two decimal places. Low-frequency (0.465 kHz $\pm 1\%$) measurement was performed

on the dry samples using a Bartington Instruments MS2, consisting of a Magnetic Susceptibility Meter MS2 and MS2B Dual Frequency Sensor. The sensor was calibrated using deionised water. Five replicates of all measurements were taken to estimate variability, and the mean calculated to determine the MS (units are expressed as SI); all measurements were calculated as follows:

$$\chi = \frac{\text{mass}}{10} \text{ expressed as } \chi (10^{-6} \text{ m}^3 / \text{kg})$$

RESULTS

Stratigraphy

Excavation focussed on an open area centred at $3^{\circ}0.745'S$, $35^{\circ}57.453'E$ (Fig. 2), a location previously interpreted as probably representing the remains of sediment traps (Stump, 2006). A total of 18 cross sections were excavated to investigate deposits associated with drystone walls—these drystone walls being visible either on the surface prior to excavation (as in the wall marked as 4000 in Fig. 2) or exposed in the side of a head erosion gully initiated by the heavy rains of the 1997–1998 El Niño (this gully is visible oriented roughly east to west in Fig. 2). The stratigraphic results from section 4 and section 11, the representative cross sections, are summarized subsequently (Fig. 3; location in Fig. 2).

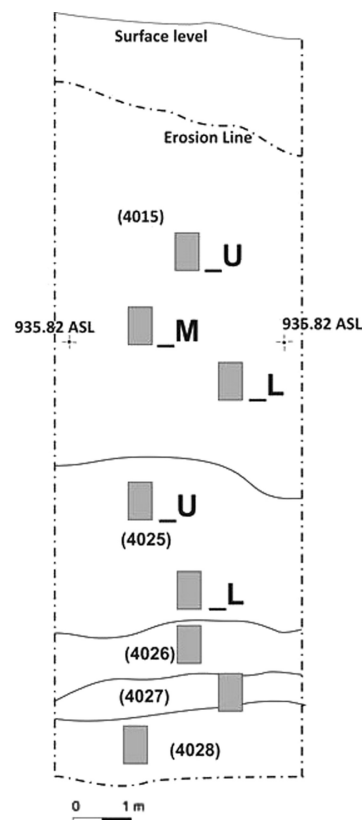


Figure 3. (colour online) Photograph of section 4 showing check-dam wall (left) and the section drawing indicating the stratigraphic sequence and soil sampling positions (right); the macro- and micromorphological summaries are displayed in Tables 1 and 2.

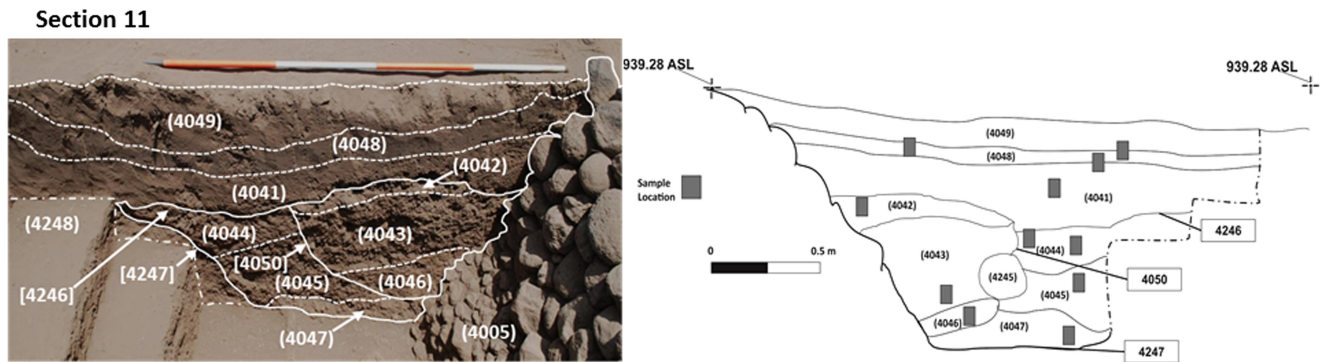


Figure 4. (colour online) Section 11: photograph of north-facing section (left) highlighting boundaries between deposits (scale = 2 m) and drawing of south-facing section (right) showing deposits and sample locations; the macro- and micromorphological summaries are displayed in Tables 1 and 2.

317 The earliest depositional event evidenced in section 4 is a
 318 layer of well-sorted gravels (deposit 4028). This predates the
 319 deposition of compact gravelly sand (deposit 4027), which
 320 was followed successively by the deposition of sediment
 321 layers 4026, 4025, and 4015, the last of which is homogenous
 322 to a depth of 1.2 m and is capped by a 150-mm-deep layer
 323 that forms the current ground surface.

324 Viewed in isolation, this stratigraphic sequence is simple
 325 and straightforward, but to understand it requires interpreta-
 326 tion of how these deposits relate to the drystone wall to the
 327 immediate east of these sediments (Fig. 3, left). The wall is
 328 more than 2 m high and is inclined upslope (i.e., to the west)
 329 at an angle of approximately 70°. This means the wall is not
 330 self-supporting and is supported instead by the sediments
 331 behind it. The stratigraphic sequence of deposition of this
 332 wall and the deposits to its immediate west is therefore as
 333 follows: deposition of layer 4028, construction of the
 334 founding two or three courses of the wall, accumulation of
 335 deposit 4027 behind these wall courses, the construction
 336 of the next two or three courses of the wall, accumulation of
 337 deposit 4026 behind these new courses, and so on. This
 338 process was repeated to allow the accumulation of deposits
 339 4025 and 4015.

340 The wall in section 4 is a succession of check dams, with
 341 the deposits listed previously being layers of alluvium accu-
 342 mulating within a sediment trap.

343 The stratigraphic results and interpretation of the excava-
 344 tion of section 11 are shown in Figure 4, the location of which
 345 is shown in Figure 2.

346 The stratigraphic sequence in section 11 is similar to that of
 347 section 4, though this cross section examined deposits accu-
 348 mulating in front of, rather than behind, a check-dam wall. As
 349 in section 11, the wall in section 4 was undoubtedly built in
 350 several phases as sediments accumulated behind and in front
 351 of it. Indeed there are evident changes in the size of stones
 352 used in the lower and upper courses of this wall (Fig. 4, left),
 353 an observation that provides supporting evidence for the
 354 interpretation that further courses were added to this wall
 355 periodically. For the purposes of this simple summary,
 356 however, the wall can be regarded as a single event—
 357 assigned record number 4005.

Following its construction, wall 4005 was buried by a suc-
 cession of deposits. Five of these (4248, 4044, 4041, 4048, and
 4049) are composed of fine sands, clays, and silts, whereas
 four others (4047, 4045, 4043, and 4042) contain much higher
 concentrations of gravel. Deposit 4245 refers to three large
 stones (one of which is recorded in the south-facing section;
 Fig. 4, right) that formed a boundary between deposit 4043
 to their west and deposits 4045 and 4044 to their east.

The interpretation of the stratigraphic results shown in
 Figure 4 is as follows: The first evidence of human inter-
 vention is the construction of the lower courses of wall 4005.
 These courses are then buried by alluvial deposit 4248. An
 irrigation canal was then excavated into 4248, with this canal
 (recorded as event 4247 in Fig. 4) employing wall 4005 as its
 upslope side. Water flowing within this canal successively
 deposited the ditch fills 4047, 4045, and 4044. These ditch
 fills were then partially dug away to create a new, narrower
 irrigation canal: 4050. This was followed by the deposition of
 fine gravels and silty clays (4046) within the new canal.
 Large stones (4245) were then placed against the downslope
 (eastern) side of canal 4050 to prevent erosion. Ditch fills
 4043 and 4042 were then deposited. An erosion event
 (numbered as 4246 in Fig. 4) then partially eroded the upper
 fills of both the earlier and later irrigation canals. Thereafter,
 a series of fine sediments (4041 and 4048) were deposited and
 are interpreted here as deliberate accumulations within a
 sediment trap. The final deposit in this sequence (4049) is
 predominantly aeolian and is interpreted as dating to after the
 abandonment of this field. This final event left only the upper
 course of sediment trap wall 4005 visible on the surface.

Both section 4 and section 11 are thus interpreted here as
 cross sections through sediments deliberately captured behind
 drystone check dams, with section 11 also including evidence of
 two successive irrigation canals. The sediment analyses pre-
 sented subsequently support and refine these interpretations.

Field description and soil macromorphology

Soil macromorphological field observations and soil pH from
 section 4, section 11, and the control samples are reported in
 Table 1.

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Table 1. Summary of the soil field descriptions.

Location	Event no.	Depth (cm)	pH	Field description
Selela	C2	30–88	7.3	Aeolian deposition; A-horizon; brown (7.5YR 6/2), silty clay; granular peds; coarse-to-fine ratio (c/f) 3:7; unsorted subangular gravels (vf, 10%; f, 10%; S, 5%; M, 10%); distinct boundary below and diffused boundary to upper sediment
	C4	110–156	7.4	Moderate velocity alluvial deposition; silty clay; light brown (7.5YR 5/2); c/f 1:4; unsorted subangular gravels (vf, 10%; f, 10%); subangular blocky peds; distinct boundaries
	C6	189–220	7.2	Fast-flowing alluvial deposition; silty clay; brown (5YR 3/4); c/f 1:4; unsorted subangular gravels (vf, 10%; f, 10%); subangular blocky peds; distinct boundaries
Section 4	4015	44–136	6.9	Aeolian deposit and slow-moving entrained alluvial sediment; light-brown/grey (7.5YR 5/3) silty clay; friable; loose structure; visible cracks; weakly developed angular blocky peds; moderate root penetration (10%); c/f 1:9; diffused boundary
	4025	136–194	6.9	Slow/moderate alluvial deposition/inundation (irrigation); light-grey/brown (7.5YR 4/4) silty/clay with subangular gravels (vf, 5%; f, 5%; S, 20%); c/f 3:7; weakly developed subangular blocky peds, low root penetration; diffused boundary
	4026	194–212	6.7	Slow/moderate alluvial deposition/inundation (irrigation); grey-brown (10YR 4/3) subangular gravels (S, 10%; M, 20%; L, 10%); c/f 2:3; laminated layers (weakly developed platy peds separated channels to ground surface); diffused boundary
	4027	212–220	6.8	Irrigation (moderate) alluvial deposition; reddish-grey-brown (7.5YR 5/2) silty clays and gravels (angular and subangular; S, 10%; M, 10%); c/f 1:4; moderately developed platy peds; distinct boundary
	4028	220–240	6.7	Fast-moving alluvial deposition; grey (2.5Y 5/3) compact silty clays with unsorted angular and subangular gravels (f, 20%; S, 30%); c/f 1:1; weakly developed platy peds; distinct boundary
Section 11	4049	0–35	6.9	Aeolian deposit; grey brown (7.5YR 6/2); clay silts with unsorted coarse angular gravels (vf, 5%; f, 10%); c/f 1:4; visible bioturbation roots (20%) and mesofaunal burrows; diffused boundary below (4048)
	4048	35–45	6.8	Aeolian deposit and slow-moving entrained alluvial surface deposition; dark grey (7.5YR 6/2); clay silts and unsorted angular fine gravels (10%); c/f 1:9; roots were visible (20%); weakly developed subangular peds and a diffused boundary above (4049); distinct boundary below (4041)
	4041	45–90	6.9	Aeolian deposit and slow-moving entrained alluvial sediment; silty clay (7.5YR 5/2) sand; unsorted angular gravel (vf, 5%; f, 10%); c/f 1:4; visible fine root; faunal burrows (20%); weakly angular peds; distinct boundary 4041–4048
	4042	90–120	7.0	Alluvial overbanking deposits of the phase 2 channel; grey brown (10YR 5/2); silty clay; subangular sand/gravel coarse fraction (f, S); c/f 3:7; visible roots/rootlets; moderately developed platy peds; well-defined boundary
	4043	120–130	7.1	Moderate-/fast-flowing fine alluvial irrigation deposits (phase 2); greyish brown (7.5YR 4/1); silty clay; root (5%); mesofaunal burrows; partially sorted angular/subangular gravels (vf, 10%; f, 10%; S, 20%); c/f 2:3; subangular peds; channel and chamber voids
	4046	150–160	6.9	Slow-flowing alluvial irrigation deposit (phase 2); brown yellow (10YR 4/6); clay silt; c/f 1:4; with subangular sand and gravel (vf, 10%; f, 5%; S, 5%; M, 5%); moderately developed subangular blocky peds; distinctive boundaries
	4044	125–145	6.9	Slow-flowing alluvial irrigation deposits (phase 1 channel); brown grey (7.5YR 6/2); silty clay sands; roots (2%); c/f 1:9; sorted subangular gravels (vf, 5%; f, 10%; S, 10%; M, 5%); moderately developed platy peds; distinct boundaries
	4045	145–160	7.0	Slow-flowing alluvial irrigation deposits (phase 1 channel); brown grey (7.5YR 4/1); clay silt; c/f 1:1; roots visible (2%); unsorted angular gravels (vf, 10%; f, 5%; S, 5%; M, 5%); subangular peds (compacted); well-defined boundaries

397 Light grey-brown, friable, poorly developed soils, with a
 398 low frequency of coarse mineral material, were observed in
 399 the upper events of section 4 (above deposit 4015), section 11
 400 (deposits 4049 and 4048), and in the upper 30 cm of the
 401 2.3-m-deep profile excavated at Selesa (sample number
 402 Selesa2). The amount of fine clay minerals increased with
 403 depth in section 4 (~20% of fine material composition) but
 404 not in the controls. Within the control profile, the proportion
 405 of coarse material increased with depth. Distinct boundaries
 406 and strongly developed soil structure were observed in
 407 the control profile. Coarser material was identified in the
 408 lower deposits of section 4 (4027 and 4028). Boundaries
 409 between deposits within this profile were diffuse, except
 410 between deposits 4015 and 4025.

411 **Micromorphological observations**

412 A summary of the soil micromorphology is presented in
 413 Table 2. Coarse mineral material observed in samples shows
 414 high frequencies of basalt (~30%), olivine (~15%), pyroxene
 415 (~10%), biotite (~10%), and plagioclase (~5%). Coarse
 416 organic material was observed predominantly in the upper
 417 deposits at all three sample locations (Figs. 5a and 6d), as
 418 well as in deposit 4025 within section 4. The most common
 419 organic material was modern root fragments, which, along
 420 with fungal sclerotia (Fig. 6a), were most common in the
 421 upper levels.

422 The excavated sections at Engaruka and the controls include
 423 evidence for the presence and movement of water within
 424 sediments. However, these features are far more frequent in
 425 section 4 and section 11 than in the controls. Dusty and calcitic
 426 crystalline coatings are observed on the inner side of chamber
 427 voids, and dusty and calcitic crystalline inclusions can be seen
 428 in the fine matrix (Figs. 5d and 6b and c). The coatings and the
 429 inclusions both formed through the suspension of fine clay and
 430 calcite particles in water that travelled through the voids and
 431 micropores of the peds before the water evaporated. The
 432 development of pendant calcite coatings (Fig. 5b) demon-
 433 strates saturation of the soil in the lower of the two subsamples
 434 of event 4025 (4025_L) and in 4026, both in section 4. Iron
 435 impregnation features in the form of hypocoatings were
 436 observed on the outer edges of both subangular and granular
 437 peds in layers 4015_U and 4027 in section 4 (Fig. 5c). These
 438 features are indicative of repeated rapid fluctuations in soil
 439 saturation (Lindbo et al., 2010). The development of redox-
 440 imorphic nodules (Fig. 6d) occurs in seasonally waterlogged
 441 soils. Orthic (in situ) redoximorphic nodule pedofeatures were
 442 observed in greater frequencies within section 4 (>20%) and
 443 section 11 (~20%) than in the controls (5%).

444 **PSA and MS**

445 PSA analysis indicates that there was a higher level of clay
 446 particles in the upper deposits of both section 4 and section 11;
 447 this was not seen in the control samples. Higher proportions of
 448 medium and fine gravels were identified in the lowest deposit
 449 of section 4 (4028) when compared with other samples in this

section and in the section 11 deposits resulting from fast flows
 within the irrigation canals (4047, 4043, and 4042).

MS and particle-size results (Fig. 7) show that the highest
 variability of MS is in section 4 (536.8 ± 582.1) with deposit
 4026 exhibiting the highest reading (SI 1947.3). Section 11
 and the control samples display lower variability (SI
 525.6 ± 122.3 and SI 504.6 ± 55.0).

Soil geochemistry

Silicon concentrations vary in the range 147.7–203.3 g/kg
 (see Supplementary Table 1). Concentrations of Fe are high,
 up to 113.3 g/kg, with similar patterns shown by Zn, Mn, and
 Cr, although in lower concentrations. Contents of Ca range
 between 12.2 g/kg and 61.3 g/kg, and Sr varies from 0.6 g/kg
 to 1.0 g/kg. The highest concentrations of Ca and Sr are in the
 lowermost deposit from section 4 (4028), and the lowest
 concentrations are in the controls. However, Ca and Sr do not
 covary ($r^2 = 0.46$).

Concentrations of K show a different vertical variation,
 with the control samples showing the highest contents
 (14.9 g/kg in C4), whereas the lowest concentrations are in
 deposit 4043 in section 11 (10.7 g/kg) and in 4015_L in
 section 4 (11.0 g/kg).

The highest concentrations of P are found in sample 4042
 (2.4 g/kg) from section 11, with this section also having a higher
 average concentration of P than section 4 and the controls.
 Sample 4015_L of section 4 has the lowest concentration of P in
 this profile and also for all sample locations (1.4 g/kg).

The results of the PCA show that the first three PCs
 account for 82.1% of the variance. PC1 (46.8%) relates, with
 high positive loadings, to Fe, Mn, Zn, and Cr. Scores for PC1
 are positive for all samples from section 11 and the bottom
 sample of section 4 (4028), and they are negative for the rest
 of the samples of section 4 and the controls (Figs. 8 and 9).
 PC2 (20.4% of the variance) relates to Ca and Sr contents,
 both with high positive loadings. All samples from section 4
 have positive scores in PC2 (except 4015_L, which is close to
 zero), in contrast with the three control samples, which have
 negative PC2 scores. PC3 (14.9%) relates almost exclusively
 to K and so is not discussed here as this is a single element
 that is related primarily to the control samples and not the
 irrigation landscape at Engaruka.

DISCUSSION

Stratigraphy

Through this investigation, it is now recognised that the
 landscape at Engaruka was not only irrigated (a fact first
 recognised by Sutton [1978]) but also built using the careful
 manipulation of water and the sediments entrained within it.
 This was known from previous excavations in the fields to the
 north of the Engaruka River (Stump, 2006), but the capture of
 sediments over 2 m deep in the South Fields area of the site
 occurred on a far grander scale.

Table 2. Summary of the micromorphological observations.

Location	Context	No. fabrics	Fabric (% of thin section)	<i>b</i> -Fabric (XPL)	<i>c</i> / <i>f</i>	Coarse material							Structure		Coatings				Pedofeatures																							
						Rock/mineral						Organic			Peds	Void	Dusty	Calcite	Hypo	Pendant	Redox nodules	Calcite inclusions	Excrement pedofeature																			
						Basalt	Olivine	Plagioclase	Biotite	Pyroxene	Feldspar	Quartz	Root	Bone										Charcoal																		
Selela	C2	A1	100	S	3:7	***			*	*		*	**		SAB	Ch, Cr																										
		A2	50	S	1:3	**		*		*	*		*	**		SAB	Chb, Ch, v																									
	C4	A1	50	S	1:3	**					**		*			A									**																	
		A2	5	p-S	1:1						*		*			SAB	Ch, Cr, Chb								*																	
	C6	A1	100	S	1:4	**	*		*	*		*	*		SAB	Ch, v			*					*		*																
Section 4	4015_U	A1	60	p-S	1:9	***	**			**			**	**	***	SAB	Ch, Cr	**	**	**					***	**																
	4015_M	A2	35	S	1:9	**				**			**	*	SAB, Gr	Cr, cp, v	**							****	**																	
	4015_L	A3	5	S	1:4	**				**		*	*		A	v																										
	4025_U	A1	80	S	3:7	****			**	**		*	**			Ch, Chb, v	**	**							**																	
	4025_L	A2	20	p-S	1:3	****			**	**		*	*		A	v							**	**		**																
	4026	A1	100	S	3:7	****		**		**		*	*		SAB, Gr	Ch, v, cp, Chb									***	***																
	4027	A1	40	S	1:1	***		**		**	**	*	*		Gr	cp	***								***	***																
		A2	60	S	3:7	**		**	**	**	**	*	*		SAB	Ch, v				**																						
	4028	A1	100	p-S	3:7	**	*	**	**	**	**	*	*		SAB	Ch			**							***																
Section 11	4049	A1	100	p-S	3:7	****			**		**	*	***		SAB	Ch, Chb								**	**														**			
	4048	A1	100	p-S	3:7	****	**		**	**	**	*	***	*	**	SAB	Ch, Chb, Cr, v								**	**																
	4041	A1	100	S	1:3	****			**						SAB	Ch, Chb, Cr			**					**	**	**	**															
	4042	A1	70	S	1:3	**	**		*			*	*	**		SAB	Ch, v, Chb			**					**	**	**	**														
		A2	25	S	3:7	**	**				*		*	*		SAB	Ch, v								**	**	**	**												****		
		A3	5	S	1:4	****	**		**		**		**	***		A										**	**															
	4043	A1	60	S	1:3	**			**		**	**	**	***	**	SAB	Ch, v								**	**	**	**												***		
		A2	40		1:1	**			**		**	**	**	**		Gr	cp								**	**	**	**														
	4044	A1	100	p-S	1:3	****		**	**	**	**	**	**	**	**	SAB	Ch, Chb, Cr			**				**	**	**	**															
	4045	A1	100	S	3:7	**	**	**	**	**	**	*	*		SAB, Gr	Chb, v, Ch, cp			**					**	**	**	**	**														
	4046	A1	100	S	2:3	**	**		**		**		*	*	SAB, Gr	Ch, v, cp									**	**	**	**														
4047	A1	100	S	1:3	****	**		**		**		*	*	SAB, Gr	Chb, v, Ch, cp			**					**	**	**	**	**															

Notes: Frequency levels: *, low; **, moderate; ***, high; ****, very high. Voids: Ch, channel; Chb, chambers; cp, complex packing voids; Cr, cracks; v, Vughs. Peds: A, angular; Gr, granular; SAB, subangular block. *b*-Fabric: p-S, partially striated; S, striated. *c*/*f*, coarse-to-fine ratio; XPL, cross-polarized light.

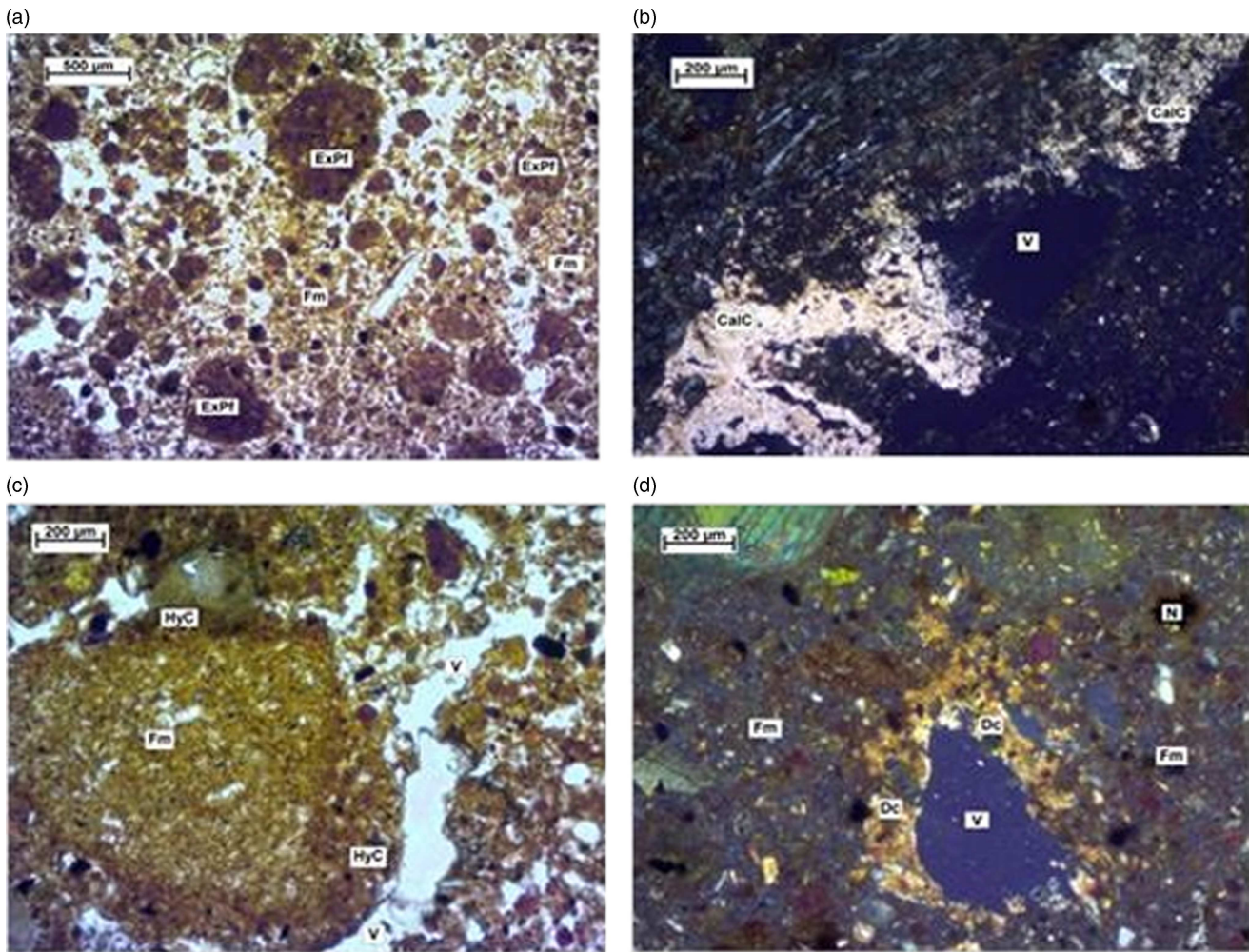


Figure 5. (colour online) Pedological features observed in section 4. (a) Event 4015 displayed spherical excremental pedofeatures (ExPf) (plane polarized light [PPL]). (b) Events 4026 and 4025 both displayed calcitic pendent coatings (CalC) that formed below subangular blocky peds within the channel voids (V) (cross-polarized light [XPL]). (c) Fe-impregnated hypocochs observed on the large granular peds of event 4015 (PPL). (d) Dusty calcitic crystalline coatings (Dc) developed in the edges of chamber voids (V) (XPL). Fm, fine material; HyC, ; N, .

Q15

501 Stratigraphically, the conclusion that these sediments
 502 have been captured behind artificial drystone check dams
 503 rests on the fact that the walls recorded through excavation
 504 are inclined upslope and are far too high to be self-
 505 supporting. Indeed, two or three courses of wall would
 506 seem to be the maximum that could be self-supporting
 507 given the style of construction. This means that no more
 508 than 20 cm of sediments was captured in any single deposi-
 509 tional event, and that the process of wall construction and
 510 sediment accumulation must have proceeded in phases.
 511 Moreover, because there are no discernible breaks in the line
 512 of the wall (i.e., each new courses rests on the top of the
 513 previous course), it would seem that the amount of sediment
 514 captured at any one time was never sufficient to bury the
 515 structure, and that consequently at least the upper course
 516 of the wall was always visible prior to the next phase
 517 of construction. Given the sheer volume of sediments that
 518 ultimately accumulated behind and in front of these walls,
 519 it is impossible to imagine that these sediments were

transported manually. On stratigraphic grounds alone, there-
 fore, alluvial deposition is the only feasible process at this
 topographic location.

Because we can infer that the wall was built in phases of no
 more than three courses at a time, deep homogenous layers
 such as 4025 and 4015 in section 4 should—strictly speaking
 —be stratigraphically divided into at least two distinct
 events. To do so, however, would be somewhat arbitrary
 given that it was not possible to discern on the basis of
 observation how many courses were added to the wall each
 time it was raised. The internal homogeneity of these deposits
 in terms of soil macromorphology is nevertheless significant
 because it demonstrates that the process and regime of
 deposition were consistent during the period these sediments
 were laid down (i.e., that water flow rates and the method of
 channelling flows onto the field area remained constant). A
 distinct boundary between 4025 and the overlying 4015
 demonstrates that some change in these variables took place
 at this time, but thereafter the homogeneity of 4015 for a

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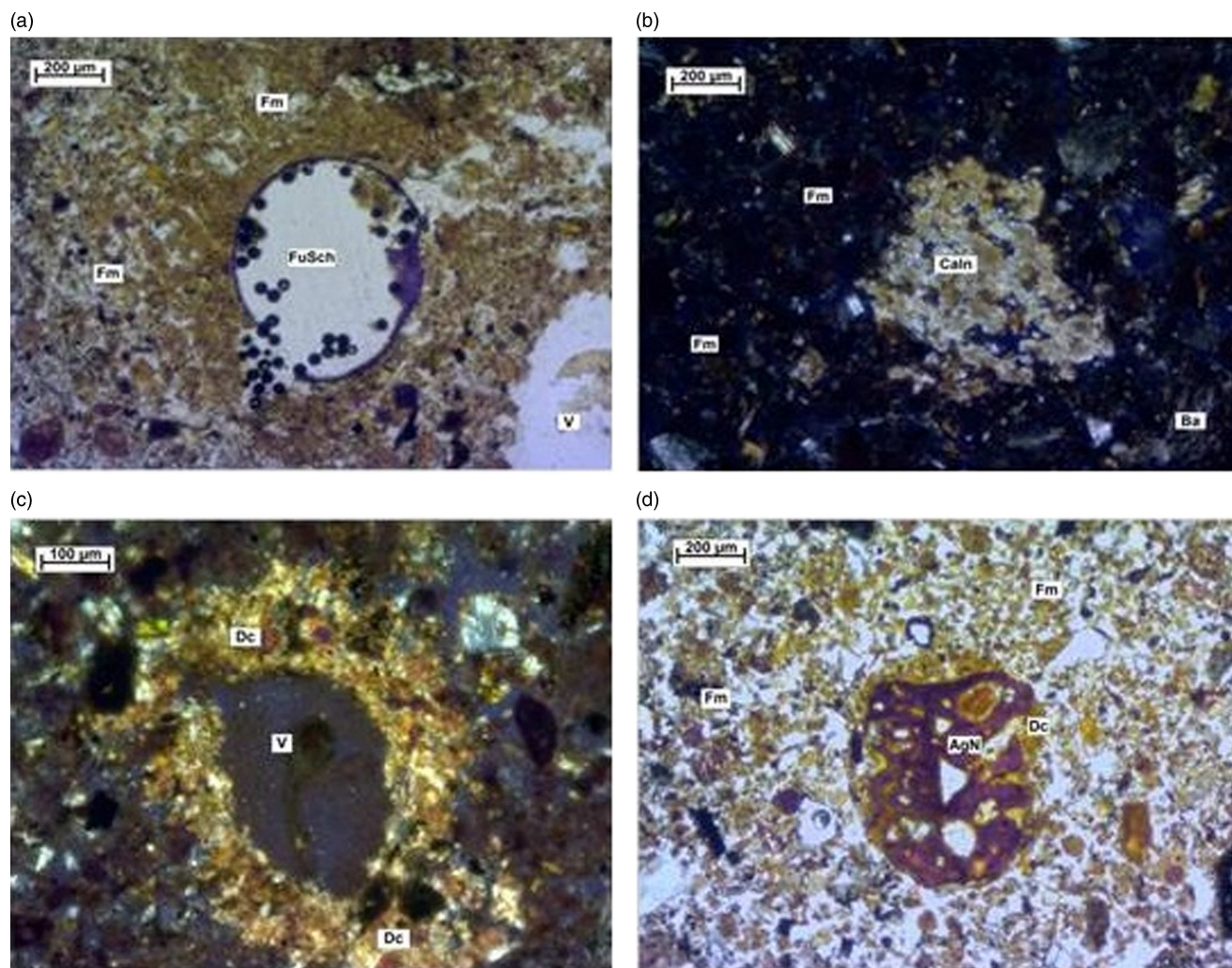


Figure 6. (colour online) Pedological features observed in section 11. (a) Event 4041 shows fungal schlerotia (FuSch) within the fine material (Fm) (plane polarized light [PPL]). (b) Crystalline calcitic intercalations (CaIn) formed within the Fm in event 4044 (cross-polarized light [XPL]). (c) Event 4044 exhibited hydrologic soil features in the form of calcitic crystalline coatings developed on the surface of chamber voids (V) (XPL). (d) Dusty coatings (Dc) developed on the outer edge of ortho- and disortho-redoximorphic nodules (AgN) within the Fm (PPL).

Q16

539 depth of more than 1.2 m shows no change in the depositional
540 process for a period that encompassed at least three episodes
541 of wall construction.

542 The stratigraphic results from section 11 demonstrate
543 that this area was also formed by capturing sediments,
544 although in this example the check-dam wall was also used
545 as one side of an artificial watercourse. Given its location
546 and association with former agricultural plots, this water-
547 course can be surmised to have been an irrigation canal, a
548 supposition that is also supported by the soil micro-
549 morphology results.

550 Soil macromorphology and micromorphology

551 Microscopic pedofeatures indicative of different water
552 regimes were observed in the Engaruka samples and in the
553 controls. These hydrologic features include redoximorphic

554 nodules, iron hypocoatings, and pedant calcitic coatings.
555 Redoximorphic nodules are pedofeatures used by the U.S.
556 Department of Agriculture, Natural Resources Conservation
557 Service (2010) as indicators of seasonal waterlogging of
558 soils, whereas iron hypocoatings develop in conditions of
559 rapid fluctuations of soil saturation (Lindbo et al., 2010). The
560 development of pendant calcite coatings demonstrates
561 saturation of the soil (Durand et al., 2010). Calcitic inclusions
562 and coatings are indicative of evaporation of soil water
563 (Stoops, 2003).

564 The control samples contain redoximorphic nodules and
565 calcitic coatings and inclusions, demonstrating that these
566 soils experienced wetting and drying and evaporation of soil
567 water. This is to be expected given the position of the samples
568 within an alluvial fan formed by a seasonal river. However,
569 these features are observed at lower frequencies in the con-
570 trols compared with the samples from section 4 and section
571 11, where their presence is related to water management.

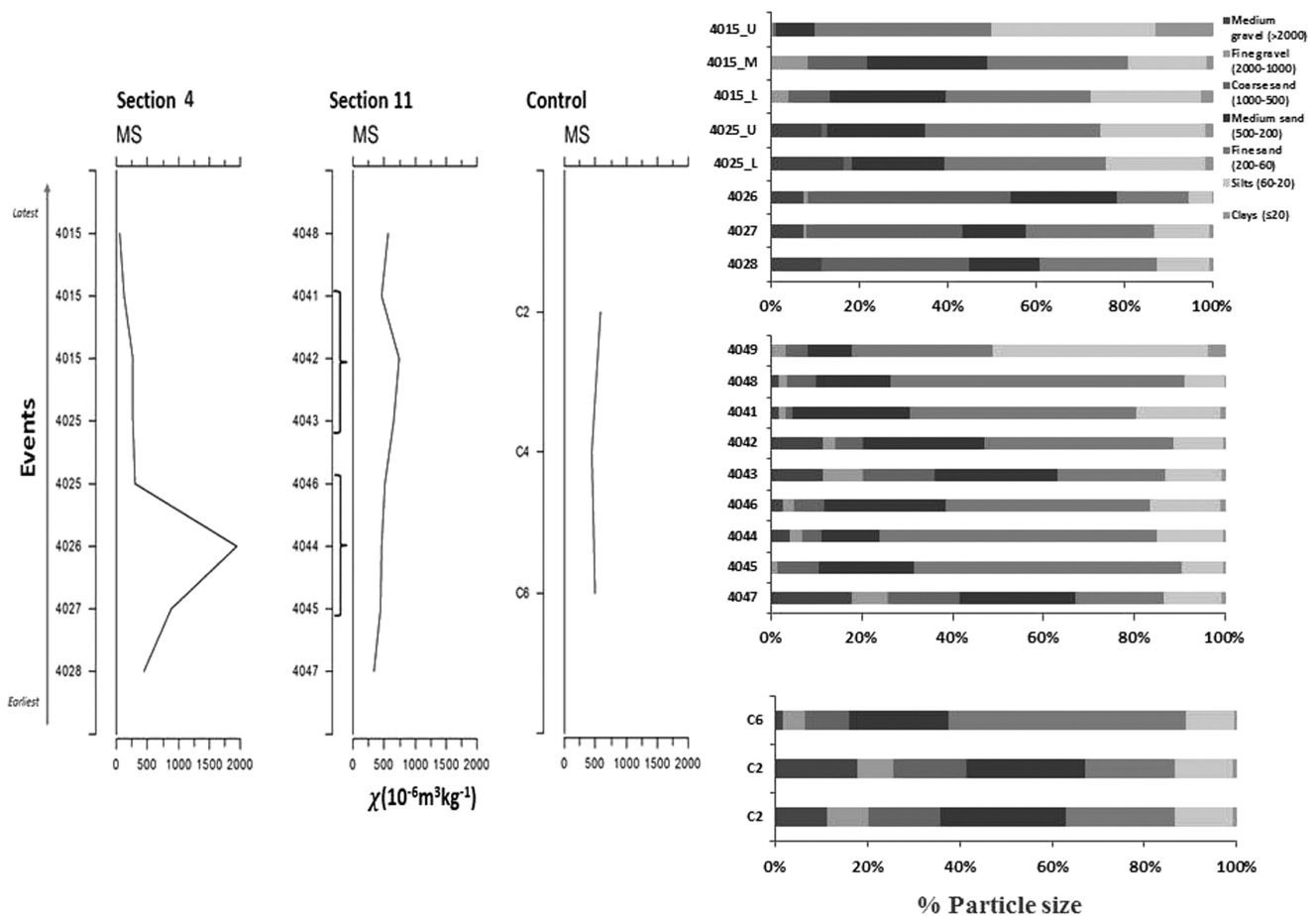


Figure 7. Magnetic susceptibility (MS) and particle-size analysis of section 4, section 11, and the control samples.

572 Section 11, when compared with the controls, has a
 573 slightly higher frequency of hydrologic and evaporation
 574 pedofeatures. Frequencies are highest in the deposits within
 575 the two canals (4042, 4043, 4044, 4045, 4046, and 4047) and
 576 are lower in the deposits that postdate the abandonment of the
 577 later canal: 4041, 4048, and 4049.

578 Water management is clearly evidenced in section 4,
 579 which not only shows pedofeatures characteristic of wetting
 580 and drying, but also includes pendant calcitic coatings
 581 demonstrating water inundation. Hypocoatings and redoxi-
 582 morphic nodules only occur together in deposits 4015_U and
 583 4027, and when seen together, they are clear evidence of
 584 rapid wetting and drying. The highest frequency of redoxi-
 585 morphic nodules is seen in 4015_M. Although in this deposit
 586 these nodules are not associated with hypocoatings, the
 587 presence of redoximorphic pedofeatures is sufficient to
 588 indicate irrigation of this layer.

589 Both 4028 (that predates the construction of the check-dam
 590 wall) and 4026 have high frequencies of redoximorphic
 591 nodules. However, 4026 also has a high frequency of pendant
 592 calcitic coatings indicative of protracted inundation. These
 593 pendant coatings are also present in the overlying deposit
 594 4025_L, although in slightly lower frequencies.

595 Taken together, the micromorphology results, summarised
 596 in Table 2, add significant details to our understanding of

the agricultural activity at Engaruka. It has long been recog-
 nised that the fields at Engaruka were irrigated (Sutton,
 1978), but it is now clear that irrigation techniques within
 fields changed through time. This is clearest in section 4,
 with the earliest cultivated level (4027) displaying evidence of
 rapid wetting and drying, whereas the subsequent deposits in
 the sequence (4026 and 4025_L) were subject to prolonged
 inundation, leading to the development of pedofeatures
 characteristic of paddy fields (Durand et al., 2010). This was
 followed by a period again characterised by wetting and
 drying (4025_U), though not to the same extent as evidenced
 in 4027. This was followed by the phased deposition of 4015,
 the lower subsample from which (4015_L) includes no
 micromorphological evidence of water management, but
 thereafter the data demonstrate a return to an irrigation
 regime characterised by rapid wetting and drying of this
 agricultural plot.

Prolonged irrigation can, however, cause salinization that
 can detrimentally affect agriculture and thereby limit its long-
 term sustainability (Gregory, 2012; Shahid et al., 2013).
 Despite reliable proxies for irrigation and evaporation, there is
 no evidence of salt crusting from the micromorphology. This
 may suggest that despite high levels of water and evaporation
 at Engaruka, farming practices served to avoid or counteract
 the detrimental effects associated with long-term irrigation.

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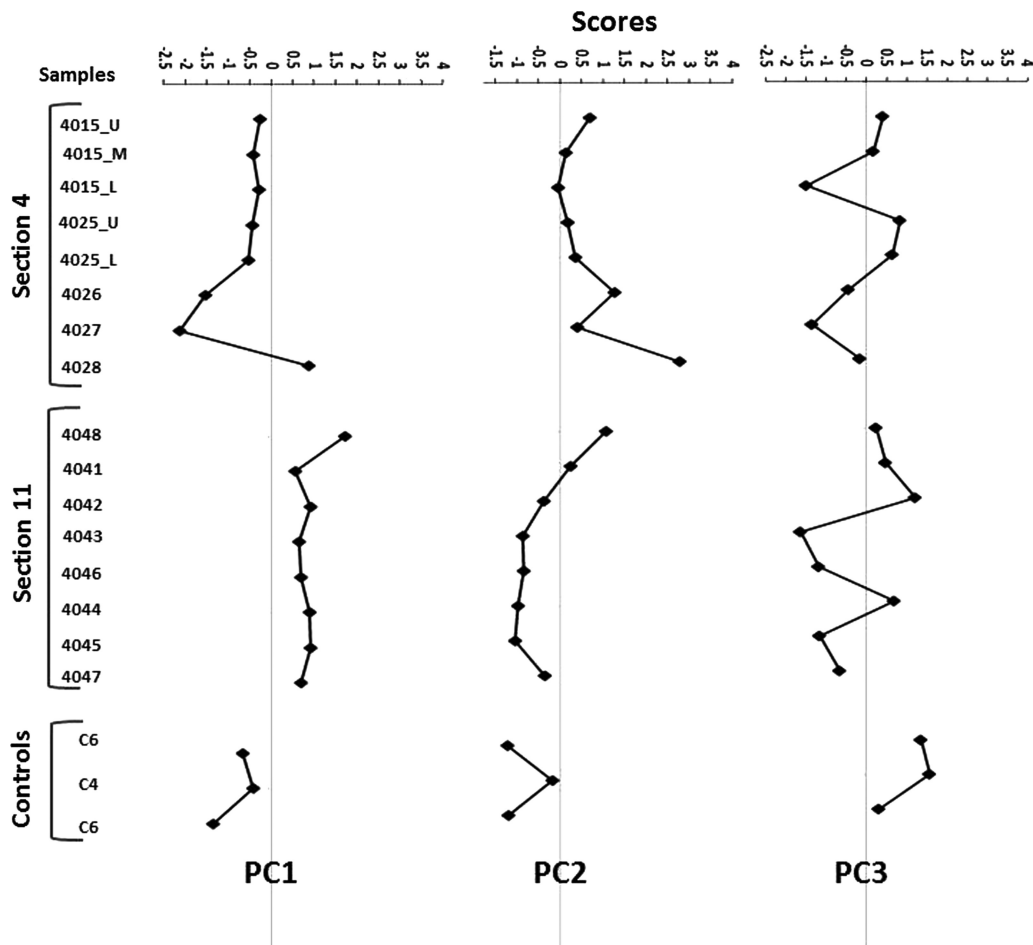


Figure 8. Vertical variation in the distribution of Fe, Mn, Zn, and Cr represented by principal component (PC) 1 (46.8%); Ca and Sr represented by PC2 (20.4%); and K represented by PC3 (14.9%).

PSA and MS

The PSA and MS results are consistent with the stratigraphic field descriptions and with sediment variability and composition. As discussed previously, the deposits are alluvial: they are formed through the deposition of sediments carried by water, and the differences in water regime, and therefore in sedimentation patterns, would lead to differences in particle-size distribution.

The control samples contain a higher level of gravels ($17.5\% \pm 8.1$) than the averages of section 4 ($8.5\% \pm 4.5$) and section 11 ($9.3\% \pm 8.2$). The controls also have lower clay contents: $0.68\% \pm 0.1$ compared with an average of $2.8\% \pm 3.8$ for all of section 4 (or $1.4\% \pm 0.7$ without sample 4015_U) and $1.0\% \pm 1.0$ for all samples in section 11. This indicates that water flow rates were faster at the control site, as opposed to a slower managed regime at Engaruka. The particle-size distribution in the controls indicates a more heterogeneous sedimentation pattern that reflects an unmanaged water flow.

However, there is also a heterogeneous particle-size distribution within section 4 and section 11. Section 11 has a higher level of sands than section 4, especially fine sands, whereas section 4 has a higher proportion of clay and silt

(Fig. 7). These differences result from distinct formation processes occurring in both sections: section 4 developed within a sediment capture field (therefore a slower water regime), whereas the predominant process in the formation of section 11 was the infilling of an irrigation canal, as demonstrated in the stratigraphy section.

Deposit 4043 in section 11 has relatively low levels of fine sand and a relatively high proportion of coarse sand and fine gravel in comparison with other samples in this section. As identified in the stratigraphic results, deposit 4043 corresponds to the fill of a narrower irrigation canal (4050) created by the cutting away of previously deposited sediments. Similar volumes of water in a smaller canal would increase the velocity of the water flow, thus preventing deposition of finer sediments and resulting in a proportionally larger coarse fraction.

The lower deposits in section 4 (4026, 4027, and 4028) have higher levels of coarse sands in comparison with the upper samples of this section (4025 and 4015) and also with section 11 and the controls. Increased levels of coarse sand in the lower deposits of section 4 are indicative of higher water velocity, enabling mainly the deposition of the coarser sand particles, as evidenced particularly in deposit 4026. In contrast, the upper deposits (4025 and 4015) of section 4 contain

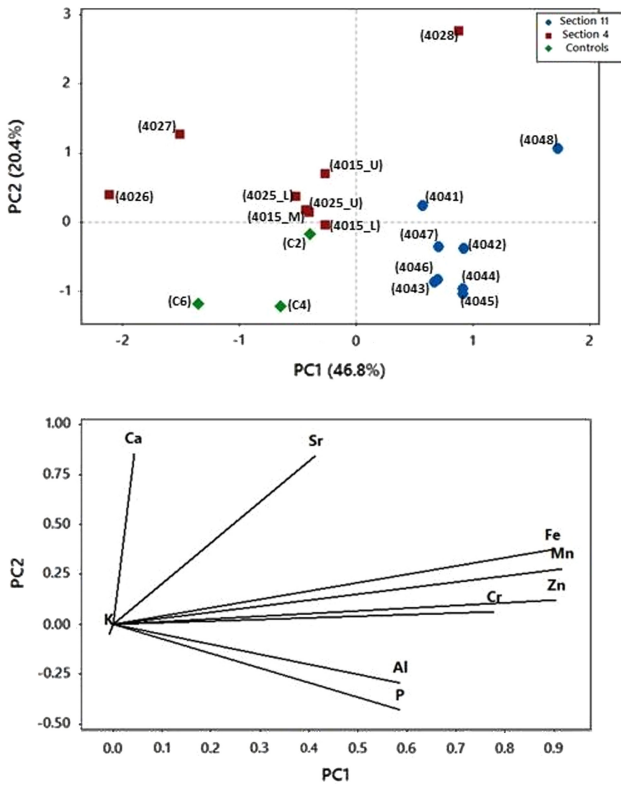


Figure 9. (colour online) Principal components analysis accounting for 67.1% of the variability in the data shown in score plot (top) and loadings plot displaying the correlations between variables (bottom).

669 the highest levels of clays (Fig. 8), which express a reduction
 670 of water-carrying capacity. Given the location of section 4
 671 towards the centre of a very large group of fields formed by
 672 sediment accumulation, it is doubtful that the reduction in
 673 flow inferred in the upper layers reflects reduced availability
 674 of water. It is therefore conjectured here that it reflects an
 675 improved ability to capture only the fine sediments that are
 676 most desirable for agricultural production.

677 A positive correlation was found between the fine-sized
 678 fractions and Fe content in section 4 (fine sand, $r^2 = 0.90$;
 679 silts, $r^2 = 0.95$; and clay, $r^2 = 0.88$). These correlations have
 680 been calculated excluding sample 4028 because this deposits
 681 predates the construction of the check-dam wall and has
 682 a much higher Fe content than the rest of the deposits in
 683 this section. This relationship between Fe and fine material
 684 indicates that the fine fraction is probably composed mainly
 685 of secondary Fe oxides, implying a high degree of
 686 weathering.

687 The average of the MS values is similar for section 4
 688 and section 11 (SI 536.8 ± 582.1 for section 4 and SI
 689 525.6 ± 122.3 for section 11), and slightly higher than for the
 690 controls (SI 504.6 ± 55.0). From these results, it is noticeable
 691 that section 4 has a large standard deviation, the higher
 692 variability being almost exclusively attributable to sample
 693 4026. In fact, if this sample is removed from the calculations,
 694 the average for section 4 is reduced to SI 335.3 ± 249.8 . This
 695 result also shows that the minerals of section 4 are generally

much less magnetic than those in section 11 and the controls.
 In relation to this, MS correlates negatively with Fe in section
 4 ($r^2 = 0.90$, again excluding sample 4028), and positively
 with the proportion of sand ($r^2 = 0.58$). If these results are
 considered together with the higher Fe content in finer
 fractions, it is deduced that (1) section 4 is generally more
 weathered than the sand-rich deposits from section 11; (2) the
 majority of Fe released upon weathering is forming
 secondary oxides with low magnetism (see Grison et al.,
 2015), such as goethite or hematite; and (3) the high MS in
 deposit 4026 in section 4 would be attributable to inherited
 fresh material, most likely magnetite, which is a ferrimagnetic
 mineral, and previous studies have demonstrated that
 magnetite is usually more abundant in the sand fractions
 (Viana et al., 2006).

Despite MS being frequently used as a proxy for wetter soil
 conditions (Balsam et al., 2011), the results of this work show
 no evidence of a relationship between MS and inundation
 features, as identified by micromorphological observations. It
 could, however, be considered a proxy for water management
 in this case study, as it was found to be related with sediment
 particle size and therefore with changes in the flow of
 irrigation water.

Soil geochemistry

Concentrations of Si are low compared with mean
 continental crust concentrations (Schlesinger, 1997), but
 similarly low levels are recorded from the Crater Highlands
 (McHenry et al., 2008). These low levels result from
 chemical weathering leading to the dissolution of silica
 (see Alexander et al., 1954; Xu, 2009; Taboada et al.,
 2016) allowing Si in solution to leach out from the soil
 profile.

The geochemistry results (ST 1) recorded high concentra-
 tions of Fe in the Engaruka and control samples consistent
 with the basaltic lithology (Schaetzl and Anderson, 2009).
 The soil Fe concentrations probably result from high levels of
 ferromagnesian minerals (e.g., pyroxene and olivine) from
 the volcanoclastic parent material.

The results of the PCA (Figs. 8 and 9) show that the
 loadings in PC1 are positive for all variables except for K,
 which has a slightly negative loading (with most of its
 variability related to PC3). However, only Fe, Mn, Zn, and
 Cr, all of them metallic elements typical of ferromagnesian
 minerals, have loadings above 0.7 (Fig. 9). As the mineralogy
 of the parent material is quite homogeneous for all samples
 (as observed in micromorphological analysis), the higher
 abundance of these elements seems to result from sedimentary
 (chemical differentiation derived from grain-size selection)
 or pedogenetic processes. Main soil formation
 processes in this environment would comprise weathering
 leading to the release of large amounts of Fe into soil solu-
 tion. The released Fe would form secondary oxides as Fe is
 largely immobile at the soil pH values recorded here (Jansen
 et al., 2003, 2005), whereas alkaline cations are highly
 mobile at these pHs (Martínez Cortizas et al., 2003;

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Chesworth et al., 2008). Repeated cycles of wetting and drying and the consequent development of redoximorphic features (Lindbo et al., 2010) are probably also contributing to this process. All these mechanisms may or may not occur concurrently and could affect the samples within the same section to varying degrees.

Because samples from section 11 have the highest scores in PC1 (Figs. 8 and 9), it follows that they are also the more Fe (and Mn, Zn, and Cr) rich. It has been demonstrated previously that particle size in section 11 is coarser than in section 4 with a larger proportion of sand, and that sand-sized materials would be composed of inherited fresh minerals or rock detritus (i.e., ferromagnesian silicates, feldspars, basalt, and crystalline Fe oxides) (Table 2). A higher frequency of the most Fe-rich minerals would account for larger amounts of Fe. In contrast, the controls and section 4 (except its bottommost sample) have negative scores in PC1. It is tempting to conclude that these results are explained by differences in degree of weathering given that section 4 has more clay, and the controls are almost certainly significantly older than both sections at Engaruka. However, this tentative interpretation has to be treated with caution because different sedimentation rates could contribute to this effect.

The assemblage of variables loading in PC2 (Ca and Sr have high positive loadings, whereas Al loads negatively) is interpreted as a proxy for postdepositional calcification processes. The Ca would be dissolved in upper soil layers, translocated, and precipitated in the lower deposits. Support for this is provided by the relationship between PC2 sample scores and Ca/Al and Ca/Sr molar ratios ($r^2 = 0.71$ and $r^2 = 0.56$, respectively; ST 1). The lack of micromorphological evidence for an upwards movement of Ca from the layers below by capillarity would further corroborate this. Samples from section 4 vary from close to zero to positive scores in PC2, indicating different degrees of calcification. Concentrations of Ca are higher in the lower deposits, with the highest scores in samples 4027 and 4028. The samples from section 11 are affected to a lesser degree by calcification processes, with positive PC2 scores only in the samples that are deliberately captured sediments for agriculture (4041 and 4048) rather than the canal fill deposits (Fig. 8). In comparison, the control samples have negative scores in both PC1 and PC2 and are therefore less affected by Fe enrichment and calcification when compared with section 11 and section 4.

From the analysis of variance of PC1 and PC2 scores, it can be asserted that the geochemical differences between the three sampling locations is significant ($P < 0.05$). Because the differences in parent material and climate are negligible, the different pedogenic trends are most likely related to different land uses and/or management in the three locations: an uncultivated area, a terrace field, and an irrigation canal.

Phosphorus contents are generally higher in section 11 than in both section 4 and the control samples. The variations within each soil could be attributed to three different processes: (1) original levels of P in the source sediment were higher, perhaps because of differences in the parent material;

(2) the irrigation water had large amounts of dissolved phosphate that would precipitate in soils, thus leading to P enrichment in some samples; or (3) irrigation has produced the dissolution and leaching of P in some samples, therefore producing P depletion. Evidence from micromorphological observation indicates no significant differences in the mineralogical composition of the parent material, as all the samples have basaltic lithology (Table 2). However, the micromorphological study also rules out the second and third hypotheses, as no soluble P salts have been observed. Further work would be necessary to understand the processes leading to the higher content of P in section 11, for example by comparing changes in the concentration of P with results of P speciation, total organic carbon, nitrogen isotopes, or with proxies for the sources of organic matter (e.g., Leinweber and Schulten, 1999; Jardé et al., 2007; Shahack-Gross et al., 2008).

Implications for the resilience and sustainability of agriculture at ancient Engaruka

Faced with evidence of an irrigated agricultural landscape that was abandoned relatively recently, it is tempting to conclude that this landscape was always agriculturally marginal, and that even a slight reduction in water availability would be sufficient to force its abandonment (see, e.g., Sutton, 2004). The data presented here force a reassessment of this view, demonstrating that evidence for the use of irrigation in what is now a semiarid environment does not mean that farming would have always required supplementary irrigation. In contrast, it is now clear that water was formerly available in sufficient quantities not only to irrigate fields but also to build them. Moreover, having constructed fields through sediment capture, there was evidently sufficient water to keep some plots inundated (as demonstrated by the presence of micromorphological pedofeatures characteristic of paddy fields) while apparently avoiding the salinization of soils (as evidenced by the lack of salts more soluble than calcium carbonate within the micromorphological observations).

Given that an ability to adapt to changing conditions without fundamentally changing the manner in which a system functions is in essence the definition offered for resilience within socioecological systems (e.g., Walker et al., 2004), the ability of farmers at Engaruka to manage water and sediments on a massive scale while attempting to maintain soil fertility and avoid salinization could be seen as evidence that the system was resilient. However, as highlighted in the introduction, temporal and spatial scales matter in questions of resilience or sustainability: it is possible to enact procedures that improve sustainability at a decadal scale but which cannot be maintained over centuries, and it is possible to prioritise economic sustainability and resilience in one part of a landscape to the detriment of ecological sustainability and resilience here or elsewhere.

Research undertaken at Konso in Ethiopia offers an example of this, because here the construction of very similar

862 sediment traps to those at Engaruka was facilitated by the loss
 863 of all of the topsoil and most of the subsoil from adjacent
 864 hillsides (Ferro-Vázquez et al., 2017). The improvement in
 865 economic productivity gained by the deliberate capture of
 866 fine clays and silts in irrigable sediment traps located next to
 867 rivers was thus achieved at the expense of wide-scale soil
 868 erosion nearby. The evidence here suggests a similar process
 869 took place at Engaruka, with the importation of fresh sedi-
 870 ments providing benefits within the field system, whereas the
 871 loss of soil upslope is likely to have caused detrimental
 872 impacts in the areas from which these sediments were
 873 derived. Within the fields themselves, sustainability was
 874 enhanced because the repeated capture of fine material
 875 created fields that were easier to till, and the replenishment of
 876 fields with fresh sediments derived from fertile Andosols may
 877 have acted both to avoid salinization from irrigation and
 878 mitigate the effects of prolonged cultivation on soil fertility.
 879 Actions that sustained the irrigated cultivation of crops for
 880 several centuries at Engaruka may, therefore, have ultimately
 881 proved unsustainable because they relied on soil erosion
 882 within the catchments of the rivers that supplied both water
 883 and sediments to the site.

884 For the moment, this scenario must remain a hypothesis
 885 pending further work. Of the essential sources of additional
 886 data, the need for better dating is the most pressing. This is
 887 because without direct dating of the fields themselves it is
 888 impossible to relate episodes of field construction and culti-
 889 vation with palaeoclimatic data (e.g., Ryner et al., 2008).
 890 Given that the capture of vast quantities of sediment is not
 891 possible without erosion elsewhere, relating periods of
 892 check-dam construction to climatic fluctuations would also
 893 be necessary to discern whether climatic changes triggered or
 894 exacerbated this erosion.

895 Nevertheless, even without absolute dates it is clear that
 896 the majority of the total field area at Engaruka was built
 897 during periods of high water availability, because most of the
 898 fields north of the Engaruka (Stump, 2006), and much of the
 899 field area south of the Olemelepo, are now known to have
 900 been built from captured alluvium. This lends support to the
 901 assertion by Westerberg et al. (2010) that much of the irri-
 902 gation infrastructure at Engaruka was built during a period of
 903 wetter than modern conditions after ca. AD 1670. This
 904 having been said, the argument that Engaruka was highly
 905 resilient on the grounds that it appears to have survived a
 906 period of drier conditions prior to AD 1670 (Westerberg
 907 et al., 2010) remains difficult to support until we understand
 908 how the community responded to this dry period.

909 **Potential archaeological contributions to resilience** 910 **and sustainability studies**

911 The geoarchaeological results presented here emphasise that
 912 abandonment of a system is not synonymous with failure, and
 913 that defining the reasons why a system failed or was aban-
 914 doned is rarely straightforward. This study has focussed on a
 915 site that has been described as unsustainable by some writers
 916 and as resilient by others. In doing so, it has illustrated that

assessments of sustainability require details of how a system
 functioned, the resources available, the environmental con-
 text, and how all these factors changed through time. Indeed,
 a full assessment of sustainability would also require con-
 sideration of social interactions and trade networks, none of
 which have been discussed here for the current case study.
 Gathering and assessing these details requires a highly
 interdisciplinary approach, and this is true both of assess-
 ments of sustainability in the past and of assessments of
 modern practices. Without these details it is impossible to
 assess how systems can be maintained over long periods (i.e.,
 remain sustainable) or how communities respond to changing
 conditions (i.e., display resilience).

930 **CONCLUSIONS**

The abandoned site of Engaruka in northeastern Tanzania is
 used here to illustrate how a detailed knowledge of the con-
 struction and operation of an agricultural landscape is essential
 to understand the sustainability of the practices employed.

Most of the fields at Engaruka were built by capturing vast
 amounts of alluvial sediments behind thousands of drystone
 check dams. Stratigraphic data clearly identify successive
 construction phases of the sediment trap walls, repeated
 capture of alluvial sediments, and utilisation of artificial
 channels and canals for crop irrigation and sediment
 transport.

With some check dams eventually accumulating sediments
 more than 2 m deep, it is clear that water availability was high
 at the time these sediments were mobilised and captured (at
 least seasonally), and this demonstrates that the fields were
 built in a period or periods when the local rivers carried flows
 significantly higher than seen today.

Micromorphological pedofeatures preserved within these
 sediments indicate that some fields were kept permanently
 inundated, meaning that water remained available after the
 episodes of sediment deposition. However, not all fields were
 kept inundated, with some showing evidence of repeated
 wetting and drying.

There is no evidence of salinization of soils, a known
 problem in areas irrigated for prolonged periods. It is hypo-
 thesised that repeatedly accumulating new sediments onto
 agricultural plots avoided this problem.

PSA and MS demonstrate that the rate of water flow also
 varied through time and provide evidence for a managed
 water regime both in field locations and within irrigation
 canals.

The causes and dates of the mobilisation of sediment are
 unknown. Without these data, it is premature to conclude—as
 had been prevalent in the past—that the inhabitants of
 Engaruka mismanaged local resources to the point where
 abandonment of the site was inevitable.

It has been demonstrated that archaeological investigations
 as part of broader interdisciplinary analyses can provide data
 essential for understanding historical sustainability.

Deep accumulations of agriculturally favourable sedi-
 ments like those identified here are not unique to Engaruka.

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972 By highlighting the existence and function of these sedi-
973 ments, studies of historical practices can contribute to an
974 understanding of their role and their potential in existing
975 agricultural systems.

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991 SUPPLEMENTARY MATERIAL

992 For supplementary material/s referred to in this article, please
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