

Monitoring of optimal cerebral perfusion pressure in traumatic brain injured patients using a multi-window weighting algorithm

Xiuyun Liu, MSc, Natasha M. Maurits, PhD, Marcel J.H. Aries, MD, PhD, Marek Czosnyka, PhD, Ari Ercole, PhD, Joseph Donnelly, MB ChB, Danilo Cardim, MSc, Dong-Joo Kim, PhD, Celeste Dias, PhD, Manuel Cabeleira, MSc, Peter Smielewski, PhD

Corresponding author: Xiuyun Liu

Brain Physics Laboratory, Division of Neurosurgery, Department of Clinical Neurosciences, Addenbrooke's Hospital, University of Cambridge, Hills Road, Cambridge CB2 0QQ, UK
Fax: +44 (0) 1223 216926, Tel: +44 (0) 1223 336946, e-mail: xl334@cam.ac.uk

Running Head: Optimal CPP monitoring in TBI patients

Word count: 3600

Tables & Figures: 6

Author Information

Xiuyun Liu

Brain Physics Laboratory, Division of Neurosurgery, Department of Clinical Neurosciences,
Addenbrooke's Hospital, University of Cambridge, Cambridge, UK,
Email: xl334@cam.ac.uk

Natasha M. Maurits

Department of Neurology, University Medical Center Groningen, Groningen, the Netherlands,
Email: n.m.maurits@umcg.nl

Marcel J.H. Aries

1. Brain Physics Laboratory, Division of Neurosurgery, Department of Clinical Neurosciences, Addenbrooke's Hospital, University of Cambridge, Cambridge, UK

2. Department of Intensive Care (MJHA), University of Maastricht, Maastricht University Medical Centre , Maastricht, The Netherlands

Email: mjharies@yahoo.com

Marek Czosnyka

1. Brain Physics Laboratory, Division of Neurosurgery, Department of Clinical Neurosciences, Addenbrooke's Hospital, University of Cambridge, Cambridge, UK,
2. Institute of Electronic Systems, Warsaw University of Technology, Poland,

Email: mc141@medschl.cam.ac.uk

Ari Ercole

Brain Physics Laboratory, Division of Neurosurgery, Department of Clinical Neurosciences,

Addenbrooke's Hospital, University of Cambridge, Cambridge, UK,

Email: ae105@cam.ac.uk

Joseph Donnelly,

Brain Physics Laboratory, Division of Neurosurgery, Department of Clinical Neurosciences,

Addenbrooke's Hospital, University of Cambridge, Cambridge, UK,

Email: donnellyj87@gmail.com

Danilo Cardim

Brain Physics Laboratory, Division of Neurosurgery, Department of Clinical Neurosciences,

Addenbrooke's Hospital, University of Cambridge, Cambridge, UK,

Email: danilo.cardim@gmail.com

Dong-Joo Kim

Department of Brain & Cognitive Engineering, Korea University, Seoul, South Korea

Email: dongjookim@korea.ac.kr

Celeste Dias

Department of Intensive Care, San Jao University Hospital, Porto

Email: mceleste.dias@gmail.com

Manuel Cabeleira

Brain Physics Laboratory, Division of Neurosurgery, Department of Clinical
Neurosciences,

Addenbrooke's Hospital, University of Cambridge, Cambridge, UK,

Email: cabeleira.t.m@gmail.com

Peter Smielewski

Brain Physics Laboratory, Division of Neurosurgery, Department of Clinical
Neurosciences,

Addenbrooke's Hospital, University of Cambridge, Cambridge, UK,

Email: ps10011@cam.ac.uk

Abstract

Methods to identify an autoregulation guided 'optimal' cerebral perfusion pressure (CPPopt) for traumatic brain injury patients (TBI) have been reported through several studies. An important drawback of existing methodology is that CPPopt can be calculated only in approximately 50-60% of the monitoring time. In this study, we hypothesized that the CPPopt yield and the continuity can be improved significantly through application of a multi-window and weighting calculation algorithm, without adversely affecting preservation of its prognostic value. Data of 526 severe TBI patients admitted between 2003 and 2015 were studied. The multi-window CPPopt calculation was based on automated curve fitting in pressure reactivity index (PRx)-CPP plots using data from 36 increasing length time windows (2 to 8 hours). The resulting matrix of CPPopts was then averaged in a weighted manner. The yield, continuity, and stability of CPPopt were studied. The difference between patients' actual CPP and CPPopt (Δ CPP) was calculated and the association with outcome was analyzed.

Overall, the multi-window method demonstrated more continuous and stable presentation of CPPopt in this cohort. The new method resulted in a mean (\pm SE) CPPopt yield of $94\% \pm 2.1\%$, as opposed to the previous single-window-based CPPopt yield of $51\% \pm 0.94\%$. The stability of CPPopt across the whole monitoring period was significantly improved by using the new algorithm ($p < 0.001$). The relation between Δ CPP according to the multi-window algorithm and outcome was similar to that for CPPopt calculated on the basis of a single window. In conclusion, this study validates the use of a new multi-window and weighting algorithm for significant improvement of CPPopt yield in TBI patients. This methodological improvement is essential for its clinical application in future CPPopt trials.

Key words: cerebral autoregulation, multi-window algorithm, optimal cerebral perfusion pressure, pressure reactivity index, traumatic brain injury

Introduction

Survival after traumatic brain injury (TBI) depends on the control of intracranial hypertension and the provision of haemodynamic support to achieve an “adequate” cerebral blood flow with cerebral perfusion pressure (CPP) being one of the main driving forces. Maintaining a CPP above 70 mm Hg was proposed as a method for preventing secondary injuries^{1,2}. However, a large randomized controlled trial could not demonstrate a benefit of a fixed CPP-targeted therapy³. Over the years, a dynamic patient-targeted CPP protocol, based on the cerebral autoregulation (CA) ability of cerebral vasculature has been proposed². Research to achieve this objective began over 20 years ago⁴, attempting to assess CA by relating changes in CPP to changes in flow velocity. Later, changes in intracranial pressure (ICP) in response to mean arterial blood pressure (ABP) were studied, leading to the creation of the pressure reactivity index (PRx), calculated as a moving correlation coefficient between ABP and ICP⁵. Negative PRx values indicate intact CA, whereas positive values imply impairment^{6,7}. As ICP and ABP are two commonly measured modalities in TBI, PRx has become widely accepted as a marker for CA status in many neurocritical care settings⁸. Moreover, plotting PRx against CPP will often generate a “U” shaped curve, the minimum of which represents the CPP corresponding to the smallest value of PRx, where the CA response is most active⁹⁻¹¹, the point termed CPPopt. Steiner et al. introduced the CPPopt concept in 2002⁹ and Aries et al. proposed and tested an automated CPPopt algorithm based on a moving 4 hour window¹¹. Over the years, studies have confirmed that patients with median CPP closer to their CPPopt seem to have better clinical outcomes^{10,12,13}.

Problematic for the design of such a study is the fact that CPPopt can only be generated during approximately 44% of the monitoring time¹⁴. Weersink et al. identified six factors independently associated with absence of the CPPopt curve¹⁵. Depreitere et al. introduced an innovative multi-window-based algorithm for CPPopt calculation using minute-by-minute monitoring data¹⁴. They used a low resolution version of PRx, and calculated a moving weighted-average value of CPPopts based on 7 windows of different length (1,2,4,6,8,12,24 hours), instead of a single 4 hour-long moving window. The weighting system was based on 2 criteria: the better a U-shaped curve could be fitted and the lower

the autoregulation index value corresponding to the plot-specific CPPopt, the higher the weight of that window.

The present study aimed to extend this mathematical approach further by increasing the window number and applying a weighting system which incorporates more characteristics of the PRx-CPP plot, and validate it in a much larger population of TBI patients using a dataset containing high resolution recordings.

Materials and Methods

Patients' demographics

This retrospective study includes 526 TBI patients (307 males) admitted in the neurocritical care unit of Addenbrooke's Hospital between 2003 and 2015. Mean age (SD) was 38.6 ± 16.5 years old. Continuous recordings of ABP and ICP were part of the local monitoring protocol in severe TBI patients¹⁶. The computerized and anonymized data storage protocol was approved by the ethics committee (REC 30 97/291).

All patients were sedated, intubated, and mechanically ventilated during the recording period. A CPP/ICP-oriented protocol for TBI management was used with CPP maintained > 60 mm Hg and ICP < 20 mm Hg¹⁶. The baseline neurological status of each patient was determined using the Glasgow Coma Scale (GCS). The post-resuscitation GCS was used in patients who had sedation discontinuation immediately following hospital admission. In patients who were deemed too unstable to undergo formal neurological assessment on admission, the GCS score collected on scene was used. The clinical outcome was assessed at 6 months after hospital admission using the Glasgow Outcome Scale (GOS)¹⁷.

Data acquisition

ABP was monitored invasively through the radial or femoral artery using a standard pressure monitoring kit (Baxter Healthcare, CardioVascular Group, Irvine, CA), and was zeroed at the level of the right atrium. ICP was monitored using an intraparenchymal probe (Codman ICP MicroSensor, Codman & Shurtleff, Raynham, MA) inserted into the frontal cortex. All signals were sampled at 30-240 Hz and recorded using ICM+[®] software (University of Cambridge, Cambridge Enterprise, Cambridge, UK, <http://www.neurosurg.cam.ac.uk/icmplus>) through an A/D converter (DT9801, Data Translation, Marlboro, MA) or digitally directly from GE Solar monitors. ICM+[®] was later used for the retrospective analysis. Artefacts introduced by tracheal suctioning, arterial line flushing or transducer malfunction were removed manually. Data were recorded and analyzed anonymously as part of a standard audit approved by the Neurocritical Care Users Group Committee.

Preprocessing

Time averaged values of ICP, ABP, and CPP (CPP = ABP-ICP) were calculated using waveform time integration over 60-sec intervals. Cerebrovascular PRx was calculated as a moving Pearson correlation coefficient between 30 consecutive, 10-sec averaged values of ABP and corresponding ICP signals⁵. Averages over 10 secs were used to suppress the influence of the pulse- and respiratory-frequency wave components.

Traditional CPPopt calculation

CPPopt was calculated according to a published curve-fitting algorithm using 4 hours of ABP and ICP recording¹⁸. In summary, a 5-min median CPP time trend was calculated alongside PRx. These PRx values were divided over and averaged within CPP bins spanning 5 mmHg. The upper limit and lower limit of CPP for CPPopt calculation were set at 40 and 120 mmHg, respectively. For each CPP bin, the corresponding values of PRx were assembled. The mean value and standard error (S.E.) of each bin were then plotted against the bin's mean CPP values in order to create the error bar chart representing the relationship between PRx and CPP. An automatic curve fitting method was applied to the error-bar plot to determine the CPPopt value automatically at the lowest associated PRx. The curve fitting error was calculated as the square root of average sum of the squared differences (SSE) between the 5 mmHg bin averaged PRx data and fitted values (Fig.1 B, left panel).

Theoretically this PRx-CPP relationship should form a smooth U-shaped curve, i.e., with the best CA at the lowest point (vertex). Importantly, before the curve fitting process, PRx data were first Fisher transformed, to achieve a normal distribution eliminating the ceiling effect of the maximum PRx value of 1.0¹⁹.

Multi-window CPPopt calculation with weighting

In this study, we applied a multi-window approach for CPPopt, with the length of the calculation window varying from 2 to 8 hours, increased in 10-minute steps. Hence, for each time point, 36 PRx-CPP plots were generated after 8 hours of monitoring. These plots were given a combined weight factor based on 3 rules (see below), and the final resulting

CPPopt value was computed as the weighted average of the 36 available CPP values (the minima of each curve). The weighting rules were as follows:

1. The longer the window duration, the lower the weight factor (Fig.1 A);
2. The smaller the curve 'fit error', the higher the weight factor (Fig.1 B, the thick black line); Here the full 'fit error' was calculated as the error between the original PRx data points and the fitted curve, instead of the 5 mm Hg bin average data and the fitted curve (Fig.1 B);
3. Fitted curves that doesnot include a vertex (the turning point with minimum value), i.e. non-parabolic curve, are given lower weight (Fig.1 C- Fig.1 D);

The weighting process can be described mathematically as:

$$= \frac{1}{\text{window duration}} \times \frac{1}{\text{fit error}} \times \dots \quad (\text{Equation 1})$$

Additional fit criteria

To try and improve the quality of individual curve fitting, the following two extra calculation options were investigated:

1. inclusion of error weighting (the S.E. of the error bars) in the process of curve fitting (Fig.1 F) and
2. a criterion enforcing the curve to be (at least partially) included in the range of PRx values [-0.3,0.6] (Fig.1 E), thus forcing the algorithm not to return any CPPopt value when PRx was always very high (>0.6, complete loss of CA), or very low (<-0.3, entirely intact CA).

CPPopt and outcome

Previous published papers from our group were able to demonstrate that patients with average CPP close to CPPopt tended to have more favorable outcome¹⁸. We repeated this same analysis on a larger number of patients using the new multi-window weighted CPPopt calculation method as well the original single window (4hr) CPPopt calculation approach. To investigate the influence of the newly introduced parameters/options for the CPPopt calculation, the analysis was repeated several times as detailed in Table 1. The following naming convention was adopted for the suffix of CPPopt parameters labels:

S – single window calculation;

M – multiple window calculation;

Y – enforcing the curve to overlap a specific range of PRx values (between -0.3 and 0.6; on the Y-axis);

E – (standard) error bar weighting as part of curve fitting;

W – using weighting algorithm; each plot was given a combined weight factor based on 3 rules (window length, full fit error and vertex presence; Equation 1);

A – average.

We calculated the difference between the median CPP (CPPmed) and each of the calculated CPPopt values every minute. Subsequently, for outcome analysis, these values were averaged over the whole monitoring period for each patient (Δ CPP). Outcome was dichotomized in two ways: favorable (good recovery and moderate disability) vs. unfavorable outcome (severe disability, persistent vegetative state, and death) and mortality vs. survival.

Statistical Analysis

Statistical analysis was performed using the IBM SPSS Statistics (version 21) software. The yield was calculated as the ratio between the count of CPPopt and the count of CPP across the whole monitoring period in every patient. The stability of CPPopt was calculated as the standard deviation of differences between two consecutive values of CPPopt over the whole monitoring period. Mortality and survival outcome groups were compared using the nonparametric Kruskal-Wallis test. ANOVA test was used to compare the yield of different CPPopt calculation methods (Table 1). We assumed $\alpha=0.05$. Receiver Operating Curves (ROC) were used to compare the ability of different CPPopts in distinguishing patient outcome, rendering an area under the ROC curve (AUC-ROC) for each parameter²⁰. Bland-Altman plots were used to investigate the agreement between CPPopt_S and CPPopt_MA for the pooled data of all TBI patients.

Results

Patient Demographics

The group of patients included 219 females and 307 males, with their characteristics described in Table 2. Their mean age was 38.6 ± 16.5 (mean \pm S.D.) years old, median GCS score was 7 (interquartile range [IQR]: 4-9). The GCS and GOS score were missing in 190 and 18 patients. The average ABP and ICP of this cohort was 93.6 ± 8.3 mmHg and 16.6 ± 9.9 mmHg, respectively. For the outcome analysis, patients with GOS score missing were excluded. The outcome was distributed as follows: good recovery, $n = 84$ (16.5%), moderate disability, $n = 136$ (26.8%), severe disability, $n = 165$ (32.5%); persistent vegetative state, $n = 12$ (2.3%); and death, $n = 111$ (21.9%). The mean recording time per patient after artefact removal was 142.0 hours (range from 1 hour to 697 hours).

CPPopt yield

Two examples of CPPopt trends in TBI patients with long-term recordings are shown in appendix (SFig.1). In both cases the single window CPPopt trend (CPPopt_S) contains many missing values while the multi-window one (CPPopt_MA) is entirely free of those gaps. Table 3 shows the mean (\pm SE) yield per patient for each of the different methods for calculating CPPopt. The yield increased significantly from $51\% \pm 0.94\%$ when using the single window method (CPPopt_S), to $94\% \pm 2.1\%$ ($p < 0.05$) when using the multi-window average approach (CPPopt_MA). There was no significant difference in CPPopt yield between different variants of the multi-window approach ($p > 0.05$).

Stability of CPPopt

The standard deviation of sample-to-sample differences (SDD) in CPPopt is shown in Table 3. The stability of CPPopt was improved significantly by using the multi-window algorithm, with SDD of CPPopt_S was 0.83 ± 0.015 , while SDD of CPPopt_MA was 0.58 ± 0.015 ($p < 0.05$). There was no significant difference in SDD between different variants of the multi-window approach ($p > 0.05$). CPPopt_MA was used as a representative of multi-window approach for the following study.

Relationship between CPPopt_S and CPPopt_MA

There was a linear relationship between CPPopt_MA and CPPopt_S (Fig.2 A, R=0.89). The Bland-Altman plot demonstrates high agreement between the two methods (Fig. 2 B). The faint, parallel lines in the charts are associated with CPPopt values obtained from 'incomplete' U shape curves (i.e. only descending or ascending curves), and represent lowest/highest values of the CPP bins (central point) contained within the curve (thus explaining granularity of 5mmHg).

Outcome analysis

Fig.3 demonstrates the relationship between patient outcome and Δ CPP. Both CPPopt_S and CPPopt_MA showed similar performance in relation with patients' outcome, with CPP values below CPPopt more likely to result in fatal outcome (Fig.3 A).

For both approaches, the highest incidence of favorable outcome was associated with averaged median CPP around CPPopt (Δ CPP=0) (Fig.3 C). A nearly linear relationship between median CPP values above the optimal CPP threshold (Δ CPP >0) and severe disability rate can be observed (Fig.3 B). The lowest unfavorable outcome existed at the median CPP close to CPPopt (Fig.3 D). A ROC test showed that Δ CPP based on both the single window (CPPopt_S) or multi-window method (CPPopt_MA) can distinguish the mortality and survival outcome groups ($p < 0.001$), where the AUC-ROC for Δ CPP based on CPPopt_S was 0.72, and the AUC-ROC for Δ CPP based on CPPopt_MA was 0.69.

Discussion

Although continuous assessment of CPPopt seems to have prognostic value, its potential for clinical use is limited in part because of its apparent instability and frequent discontinuities. We have built on concepts presented by Depreitere *et al*¹⁴ and implemented a new method of CPPopt calculation that improves the quality of the curve fit and yield as well as stability by taking advantage of multiple calculations from incrementally extended data windows.

Our method extended the number of windows that Depreitere *et al*¹⁴ used from 7 to 36, varying from 2 hours to 8 hours, and took more factors into account. The results showed a marked increase in CPPopt yield (>90%), and a significant improvement in the stability of CPPopt, compared with traditional single-window based CPPopt.

This was of course not unexpected, given the methodology involved. It is easy to see that the algorithm should be able to fit an acceptable curve from windows of increasing sizes, all the way up to 8 hours. The averaging operation is also likely to have a stabilizing effect on the CPPopt trend. The question is, however, whether by increasing the window length up to 8 hours, we are calculating values that may perhaps be less relevant to the current patient state. The first factor in our weighting system, the window length penalty, which was applied such that shorter windows were given higher weights to gauge the curve fitting, should help to address this problem. As the algorithm then favors shorter windows, which were more related with most recent changes in CA, leading to more local curve fits.

An ideal U-shape curve with a clear minimum in the middle gives more confidence in identification of the best vasoreactivity (CPPopt), while strictly descending and ascending curves, might introduce some underestimation or overestimation, although they also carry information about vasoreactivity¹⁹. In our weighting approach, higher weight was given to a perfectly U-shape curve, and lower weights were given to strictly increasing or decreasing curves. In this way, we believe the CPP point with best autoregulation can be estimated more reasonably.

Another criterion for the weighting approach was fit error. To take more data points into consideration, the full fit error was calculated between the individual PRx data points and the fitted curve, assigning larger weight to the curve with smaller fit error. Through this penalty, the curves which have better performance in curve fitting can have more influence on the final CPPopt calculation.

The comparison between current multi-window algorithm calculation and 4-hour window calculation already showed significant improvement in estimation of CPPopt using the new method. However, the weighting parameters of multi-window algorithm used in this study were decided roughly through a small sample study (appendix, SFig.2); further research and comparison need to be done to find out the best settings for these weighting factors. Moreover, in current weighting parameter settings, we did not find significant differences between various weighting average strategies (CPPopt_MA vs CPPopt_MW), further analysis needs to be done to explore the importance of different weighting parameters in the future.

Previous studies have indicated a relationship between patient outcome and ΔCPP^{21-23} . We did not expect the relationship with outcome to change using the multi-window algorithm, as this is executed on patient-averaged values. What we did want to achieve is better stability and availability of the curve, without introducing errors that make the relation of c with outcome worse. Therefore, the fact that no difference was found between the relation of ΔCPP with outcome for the multi-window or the single-window approach is reassuring.

The previous study already confirmed that treating patients with individualized optimal CPP has a better discriminative value than a fixed threshold of 60 or 70 mm Hg¹⁹. This, larger, study of 526 TBI patients, showed CPPopt varying from 40 mmHg to 120 mmHg (Fig.2), sustaining the notion that one fixed CPP target for all patients may not be appropriate^{2,24}, and that a dynamic CPP target based on CA is more likely to be recommended^{25,26}.

Lastly it must be stressed here that a fixed CPP threshold treatment approach is affected by the accuracy of measurement and the zeroing procedure of ABP. Overestimated or

underestimated values of CPP introduced by an inappropriate zeroing level might result in inappropriate clinical decisions, when compared to the fixed, recommended by guidelines, CPP target. On the other hand, our CPPopt diagnosis-therapeutic method is immune to these effects as it effectively provides an individualized CPP target that has the same zero reference as the current CPP itself.

Journal of Neurotrauma
Monitoring of optimal cerebral perfusion pressure in traumatic brain injured patients using a multi-window weighting algorithm (doi: 10.1089/neu.2017.5003)
This article has been peer-reviewed and accepted for publication, but has yet to undergo copyediting and proof correction. The final published version may differ from this proof.
Downloaded by Cambridge University-addenbrooke's Hospital from online.liebertpub.com at 07/04/17. For personal use only.

Journal of Neurotrauma
Monitoring of optimal cerebral perfusion pressure in traumatic brain injured patients using a multi-window weighting algorithm (DOI: 10.1089/neu.2017.5003)
This paper has been peer-reviewed and accepted for publication, but has yet to undergo copyediting and proof correction. The final published version may differ from this proof.

Conclusion

The new CPPopt methodology increased availability of valid CPPopt values during most of the monitoring time, with markedly reduced short term variability. The technique has the potential to make 'optimal CPP' management widely applicable in most ICUs.

Acknowledgements

Many thanks to all doctors and nursing staff of Neurocritical Care Unit of Addenbrooke's Hospital, Cambridge, UK for their professional help and support in computer-bedside ICP monitoring conducted by Brain Physics Laboratory team over past 14 years.

Author Disclosure Statement

ICM+ Software is licensed by Cambridge Enterprise, Cambridge, UK, <http://www.neurosurg.cam.ac.uk/icmplus/>. Peter Smielewski and Marek Czosnyka have a financial interest in a fraction of the licensing fee.

Xiuyun Liu is recipient of Bill Gates Scholarship (University of Cambridge).

Joseph Donnelly is funded by the Woolf Fisher Trust, NZ (Woolf Fisher Scholarship).

Danilo Cardim is supported by a Cambridge Commonwealth, European & International Trust Scholarship, University of Cambridge.

References

1. Rosner, M.J., and Daughton, S. (1990). Cerebral perfusion pressure management in head injury. *J. Trauma* 30, 933-940-941.
2. Carney N, Totten AM, O'Reilly C, Ullman JS, Hawryluk GW, Bell MJ, Bratton SL, Chesnut R, Harris OA, Kissoon N, Rubiano AM, Shutter L, Tasker RC, Vavilala MS, Wilberger J, Wright DW, G.J. (2016). Guidelines for the Management of Severe Traumatic Brain Injury, Fourth Edition. *Neurosurgery* .
3. Robertson, C.S., Valadka, A.B., Hannay, H.J., Contant, C.F., Gopinath, S.P., Cormio, M., Uzura, M., and Grossman, R.G. (1999). Prevention of secondary ischemic insults after severe head injury. *Crit. Care Med.* 27, 2086–2095.
4. Czosnyka, M., Smielewski, P., Kirkpatrick, P., Menon, D.K., and Pickard, J.D. (1996). Monitoring of cerebral autoregulation in head-injured patients. *Stroke.* 27, 1829–1834.
5. Czosnyka, M., Smielewski, P., Kirkpatrick, P., Laing, R.J., Menon, D., and Pickard, J.D. (1997). Continuous assessment of the cerebral vasomotor reactivity in head injury. *Neurosurgery* 41, 11–19.
6. Muizelaar, J.P., Ward, J.D., Marmarou, A., Newlon, P.G., and Wachi, A. (1989). Cerebral blood flow and metabolism in severely head-injured children Part 2: Autoregulation. *J Neurosurg* 71, 72–76.
7. Lang, E.W., and Chesnut, R.M. (1995). Intracranial pressure and cerebral perfusion pressure in severe head injury. *New Horiz.* 3, 400–409.
8. Lang, E.W., Kasprovicz, M., Smielewski, P., Santos, E., Pickard, J., and Czosnyka, M. (2015). Short pressure reactivity index versus long pressure reactivity index in the management of traumatic brain injury. *J. Neurosurg.* 122, 588–94.
9. Steiner, L., Czosnyka, M., and Piechnik, S. (2002). Continuous monitoring of cerebrovascular pressure reactivity allows determination of optimal cerebral perfusion pressure in patients with traumatic brain injury. *Crit. Care* .
10. Zweifel, C., Lavinio, A., Steiner, L.A., Radolovich, D., Smielewski, P., Timofeev, I., Hiler, M., Balestreri, M., Kirkpatrick, P.J., Pickard, J.D., Hutchinson, P., and Czosnyka, M. (2008). Continuous monitoring of cerebrovascular pressure reactivity in patients

- with head injury. *Neurosurg. Focus* 25, E2.
11. Sánchez-Porrás, R., Santos, E., Czosnyka, M., Zheng, Z., Unterberg, A.W., and Sakowitz, O.W. (2012). “Long” pressure reactivity index (L-PRx) as a measure of autoregulation correlates with outcome in traumatic brain injury patients. *Acta Neurochir. (Wien)*. 154, 1575–1581.
 12. Dias, C., Silva, M.J., Pereira, E., Monteiro, E., Maia, I., Barbosa, S., Silva, S., Honrado, T., Cerejo, A., Aries, M.J.H., Smielewski, P., Paiva, J.A., and Czosnyka, M. (2015). Optimal Cerebral Perfusion Pressure Management at Bedside: A Single-Center Pilot Study. *Neurocrit. Care* 23, 92–102.
 13. Lewis, P.M., Czosnyka, M., Carter, B.G., Rosenfeld, J. V., Paul, E., Singhal, N., and Butt, W. (2015). Cerebrovascular Pressure Reactivity in Children With Traumatic Brain Injury*. *Pediatr. Crit. Care Med.* 16, 739–749.
 14. Depreitere, B., Güiza, F., Van den Berghe, G., Schuhmann, M.U., Maier, G., Piper, I., and Meyfroidt, G. (2014). Pressure autoregulation monitoring and cerebral perfusion pressure target recommendation in patients with severe traumatic brain injury based on minute-by-minute monitoring data. *J. Neurosurg.* 120, 1451–7.
 15. Weersink, C.S.A., Aries, M.J.H., Dias, C., Liu, M.X., Kolia, A.G., Donnelly, J., Czosnyka, M., van Dijk, M.M.C., Regtien, J., Menon, D.K., Hutchinson, P.J., and Smielewski, P. (2015). Clinical and Physiological Events That Contribute to the Success Rate of Finding “Optimal” Cerebral Perfusion Pressure in Severe Brain Trauma Patients. *Crit. Care Med.* .
 16. Patel, H.C., Menon, D.K., Tebbs, S., Hawker, R., Hutchinson, P.J., and Kirkpatrick, P.J. (2002). Specialist neurocritical care and outcome from head injury. *Intensive Care Med.* 28, 547–553.
 17. Jennett, B., and Bond, M. (1975). Assessment of outcome after severe brain damage. *Lancet* 1, 480–484.
 18. Aries, M.J.H., Czosnyka, M., Budohoski, K.P., Steiner, L. a., Lavinio, A., Kolia, A.G., Hutchinson, P.J., Brady, K.M., Menon, D.K., Pickard, J.D., and Smielewski, P. (2012). Continuous determination of optimal cerebral perfusion pressure in traumatic brain injury. *Crit. Care Med.* 40, 2456–2463.
 19. Aries, M.J.H., Czosnyka, M., Budohoski, K.P., Kolia, A.G., Radolovich, D.K., Lavinio,

- A., Pickard, J.D., and Smielewski, P. (2012). Continuous monitoring of cerebrovascular reactivity using pulse waveform of intracranial pressure. *Neurocrit. Care* 17, 67–76.
20. Obuchowski, N. a. (2003). Receiver operating characteristic curves and their use in radiology. *Radiology* 229, 3–8.
 21. Brady, K.M., Lee, J.K., Kibler, K.K., Easley, R.B., Koehler, R.C., and Shaffner, D.H. (2008). Continuous measurement of autoregulation by spontaneous fluctuations in cerebral perfusion pressure: comparison of 3 methods. *Stroke*. 39, 2531–2537.
 22. Steiner, L.A., Czosnyka, M., and Piechnik, S.K. (2002). Continuous monitoring of cerebrovascular pressure reactivity allows determination of optimal cerebral perfusion pressure in patients with traumatic brain injury. *Crit. Care* 30, 733–738.
 23. Lee, J., Kibler, K., Benni, P., and Easley, R. (2009). Cerebrovascular reactivity measured by near-infrared spectroscopy. *Stroke* .
 24. Eker, C., Asgeirsson, B., Grände, P., Schalén, W., and Nordström, C. (1998). Improved outcome after severe head injury with a new therapy based on principles for brain volume regulation and preserved microcirculation. *Crit. Care Med*. 26, 1881–1886.
 25. Aries, M.J., Czosnyka, M., Budohoski, K.P., Steiner, L.A., Lavinio, A., Kolias, A.G., Hutchinson, P.J., Brady, K.M., Menon, D.K., Pickard, J.D., and Smielewski, P. (2012). Continuous determination of optimal cerebral perfusion pressure in traumatic brain injury. *Crit Care Med* 40, 2456–2463.
 26. Howells, T., Elf, K., Jones, P. a, Ronne-Engström, E., Piper, I., Nilsson, P., Andrews, P., and Enblad, P. (2005). Pressure reactivity as a guide in the treatment of cerebral perfusion pressure in patients with brain trauma. *J. Neurosurg*. 102, 311–317.

Tables

Table 1. Abbreviations of labels for optimal cerebral perfusion pressure (CPPopt) calculation

Label	Calculati on window	Use error bar weighting	Enforcing the curve to overlap a specific range of PRx values	Use multi- window weighting system	Description
CPPopt_S	Single	NA	NA	NA	Using 4 hour window
CPPopt_SYE	Single	Y	Y	NA	Using 4 hour window, with error bar weighting and enforcing the curve to overlap the range of PRx values [-0.3,0.6]
CPPopt_MA	Multiple	NA	NA	NA	Calculate the average CPPopt based on multi-window approach
CPPopt_MAYE	Multiple	Y	Y	NA	Calculate the average CPPopt based on multi-window approach, with error bar weighting and enforcing the curve to overlap the range of PRx values [-0.3,0.6]
CPPopt_MW	Multiple	NA	NA	Y	Calculate the weighted average CPPopt based on multi-window approach; the weighting factors include window length, full fit error and parabolic minima value
CPPopt_MWYE	Multiple	Y	Y	Y	Calculate the weighted average CPPopt based on multi-window approach, with error bar weighting and enforcing the curve to

22

overlap the range of PRx values [-0.3,0.6]; the weighting factors include window length, full fit error and parabolic minima value

Y: use this function, NA: not apply this function. S : single window calculation (a 4-hour window); M: multiple window calculation (36 windows); Y: enforcing the curve to overlap a specific range of PRx values (between -0.3 and 0.6; on the Y-axis); E: (standard) error bar weighting as part of curve fitting; W:using weighting algorithm; each plot was given a combined weight factor based on 3 rules (window length, full fit error and vertex presence; Equation 1); A: average.

Table 2 Patient demographics, clinical variables, and outcome

	N	Age	GCS	ABP	ICP	CPP	PRx
Death	111	44.4 ±17.8	6.0 (IQR:3-8)	94.2 ±14.0	20.4 ±12.3	75.0 ±13.6	0.16 ±0.20
Vegetative state	12	40.5 ±16.8	5.0 (IQR:3-9)	90.3 ±11.6	16.1 ±8.3	72.9 ±9.5	0.06 ±0.20
Severe disability	165	39.0 ±15.4	6.0 (IQR:4-8)	94.2 ±7.4	16.6 ±10.0	78.6 ±9.7	0.04 ± 0.16
Moderate disability	136	35.7 ±15.4	7.0 (IQR: 4-10)	92.8 ±8.3	15.3 ±8.8	77.8 ± 8.2	0.04 ± 0.16
Good recovery	84	34.9 ±16.6	8 (IQR:4- 10.5)	93.3 ±7.8	14.7 ± 7.6	79.1 ± 7.0	0.01 ± 0.13
Total	526 (18 GOS missing)	38.6 ± 16.5	7 (IQR: 4-9)	93.6 ± 8.3	16.6 ± 9.9	77.7 ± 9.9	0.07 ± 0.18

GOS, Glasgow Outcome Scale; M/F, males/females; GCS, Glasgow Coma Score; ABP, arterial blood pressure; ICP, intracranial pressure; CPP, cerebral perfusion pressure; PRx, pressure reactivity index. wPRx: wavelet pressure reactivity index; Values are shown as mean ± SD or median and interquartile region. SD: standard deviation; IQR: interquartile range. ABP, ICP, CPP, PRx and wPRx were averaged in each patient over the whole monitoring period.

Table 3. The yield and standard deviation of sample-to-sample differences (SDD) of optimal cerebral perfusion pressure (CPPopt) calculated using the single-window approach (CPPopt_S or CPPopt_SVE) and using the multi-window algorithm (CPPopt_M*).

Statistics	CPPopt_S	CPPopt_SVE	CPPopt_MA	CPPopt_MAYE	CPPopt_MW	CPPopt_MWYE
Yield (Mean ± S.E.)	50.5% ± 0.94%	46.1% ± 0.95%	94.2% ± 2.11%	92.3% ± 2.09%	94.2% ± 2.13%	92.3% ± 2.08%
SDD (Mean ± S.E.)	0.83 ± 0.015	0.74 ± 0.014	0.58 ± 0.015	0.61 ± 0.016	0.69 ± 0.016	0.72 ± 0.019

PRx: pressure reactivity index. CPPopt: optimal cerebral perfusion pressure. For the abbreviations of CPPopt in this form, please refer to Table 1.

Journal of Neurotrauma
 monitoring of optimal cerebral perfusion pressure in traumatic brain injured patients using a multi-window weighting algorithm (doi: 10.1089/neu.2017.5007) has been peer-reviewed and accepted for publication, but has yet to undergo copyediting and proof correction. The final published version may differ from this proof.
 Downloaded by Cambridge University Addenbrooke's Hospital from online.liebertpub.com at 07/04/17. For personal use only.
 This article has been peer-reviewed and accepted for publication, but has yet to undergo copyediting and proof correction. The final published version may differ from this proof.

Figure Legends

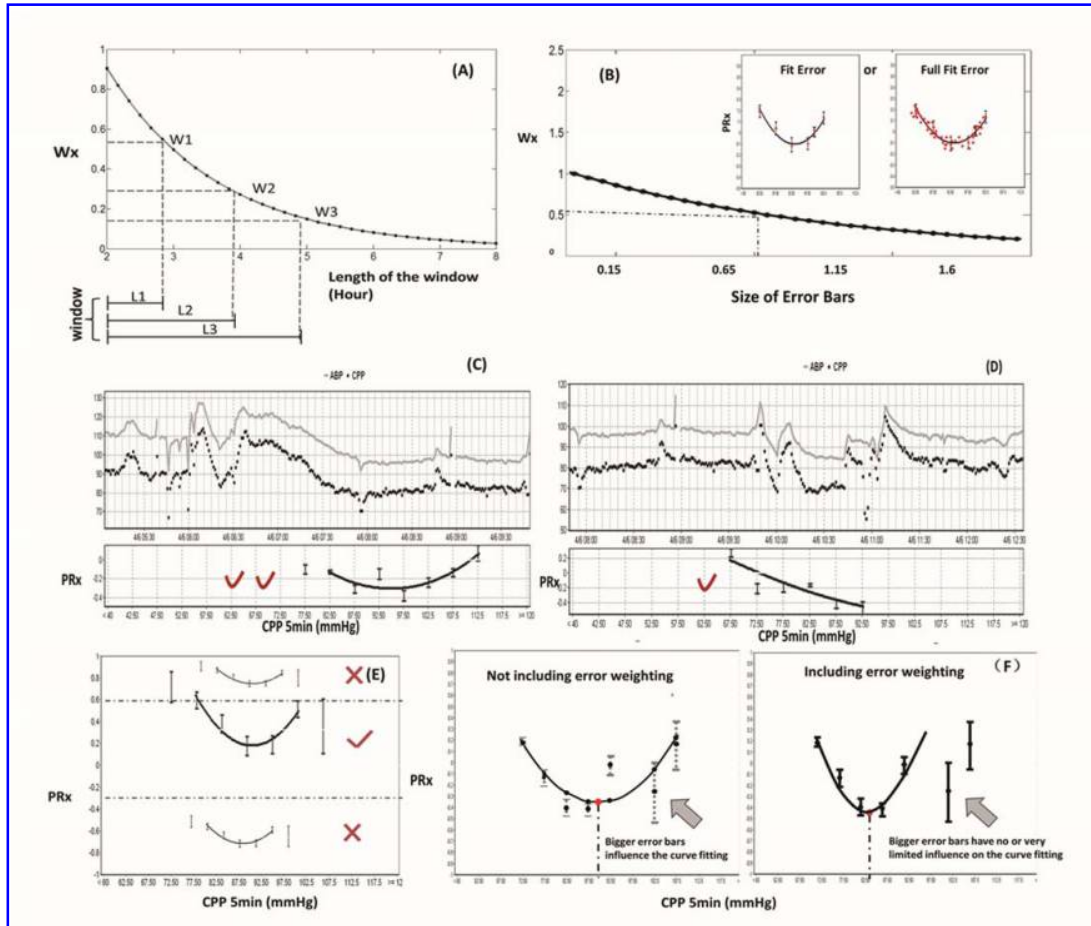


Figure.1 The three weighting rules (A-D) and two additional fit criteria (E-F) for optimal cerebral perfusion pressure (CPP_{opt}) calculation using multi-window approach. A, Longer window duration lowers the weight factor; B, the smaller the curve ‘fit error’, the higher the weight factor; the fit error was calculated as the error between the original data points and the fitted curve (right panel), instead of between bin average data and the fitted curve (left panel) ; C-D: curve that includes the turning point of minimum value receives higher weight factor (C) than the one that does not (D). E, CPP bins were excluded for CPP_{opt} calculation in the PRx regions of completely impaired (PRx > 0.6, upper panel) or completely working (PRx < -0.3, bottom panel) cerebral autoregulation. (F) inclusion of error weighting in the process of curve fitting (right panel) and exclusion of error weighting

in the process of curve fitting (left panel). PRx: pressure reactivity index; ABP: arterial blood pressure; CPP: cerebral perfusion pressure; CPP 5min: 5-minute mean value of CPP. Two red ticks implies higher weight, while one red tick means lower weight, red cross means the curve being excluded.

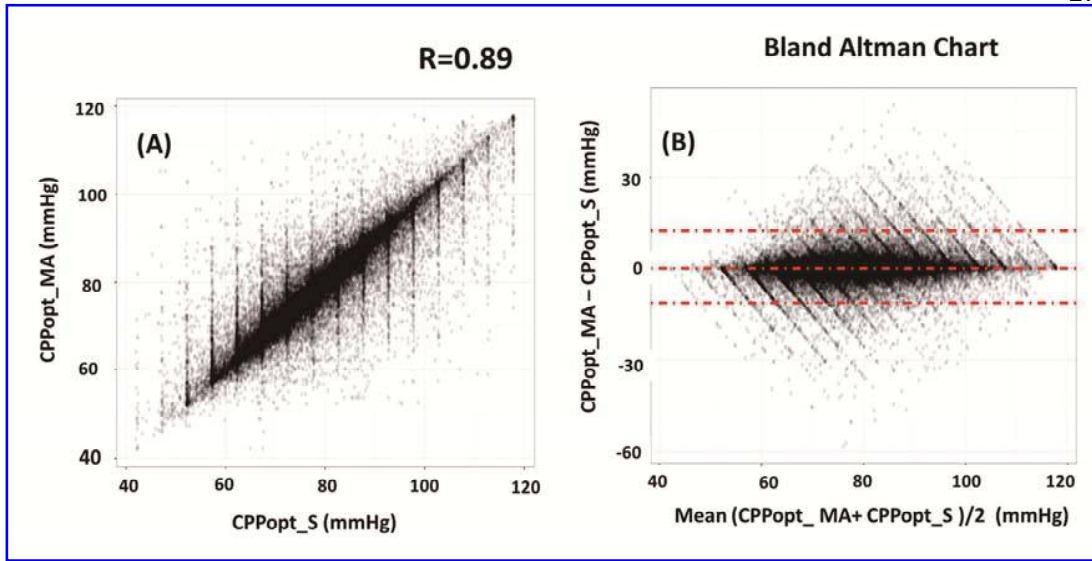


Figure 2. (A) Relationship between and (B) Bland Altman plot of CPPopt_S and CPPopt_MA. CPPopt_S refers to CPPopt calculated using a 4-hour window and CPPopt_MA refers to CPPopt calculated according to the multi-window approach (average CPPopt for window lengths varying from 2 to 8 hours, in steps of 10 minutes). Dot line: 95%-confidence interval and mean.

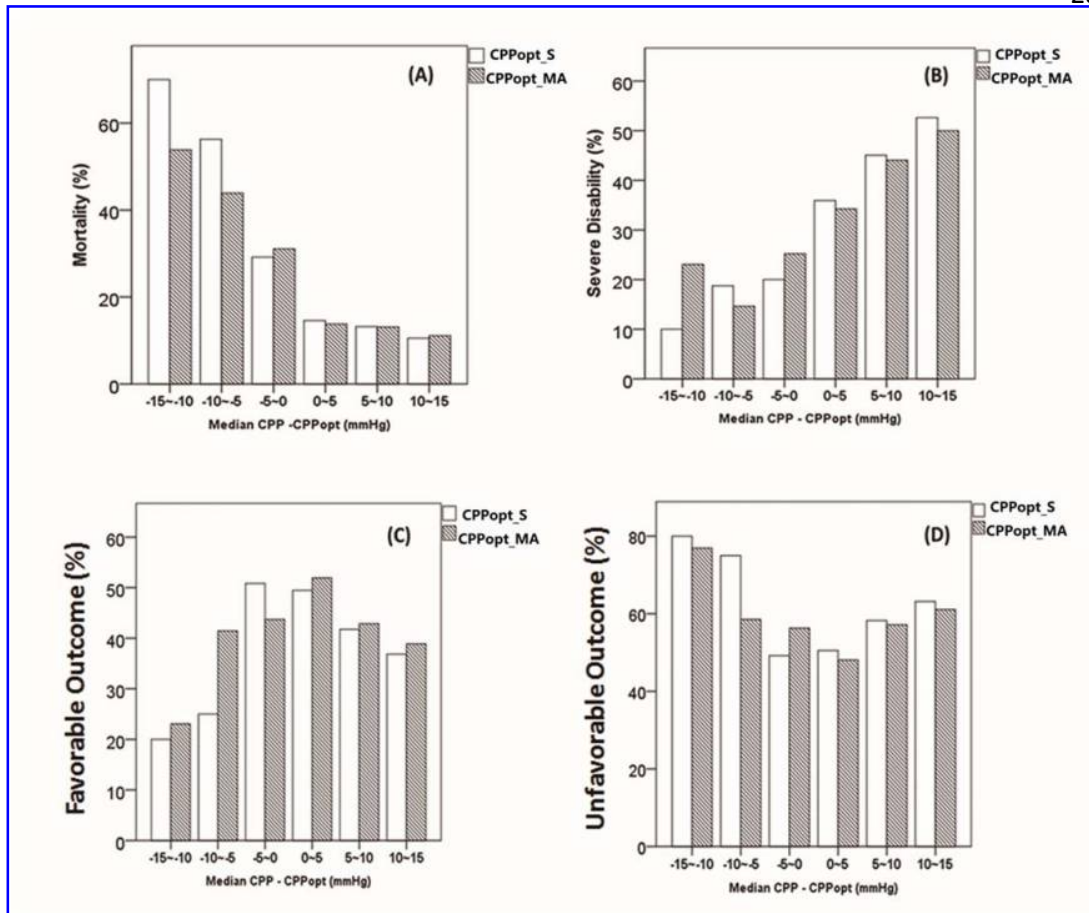
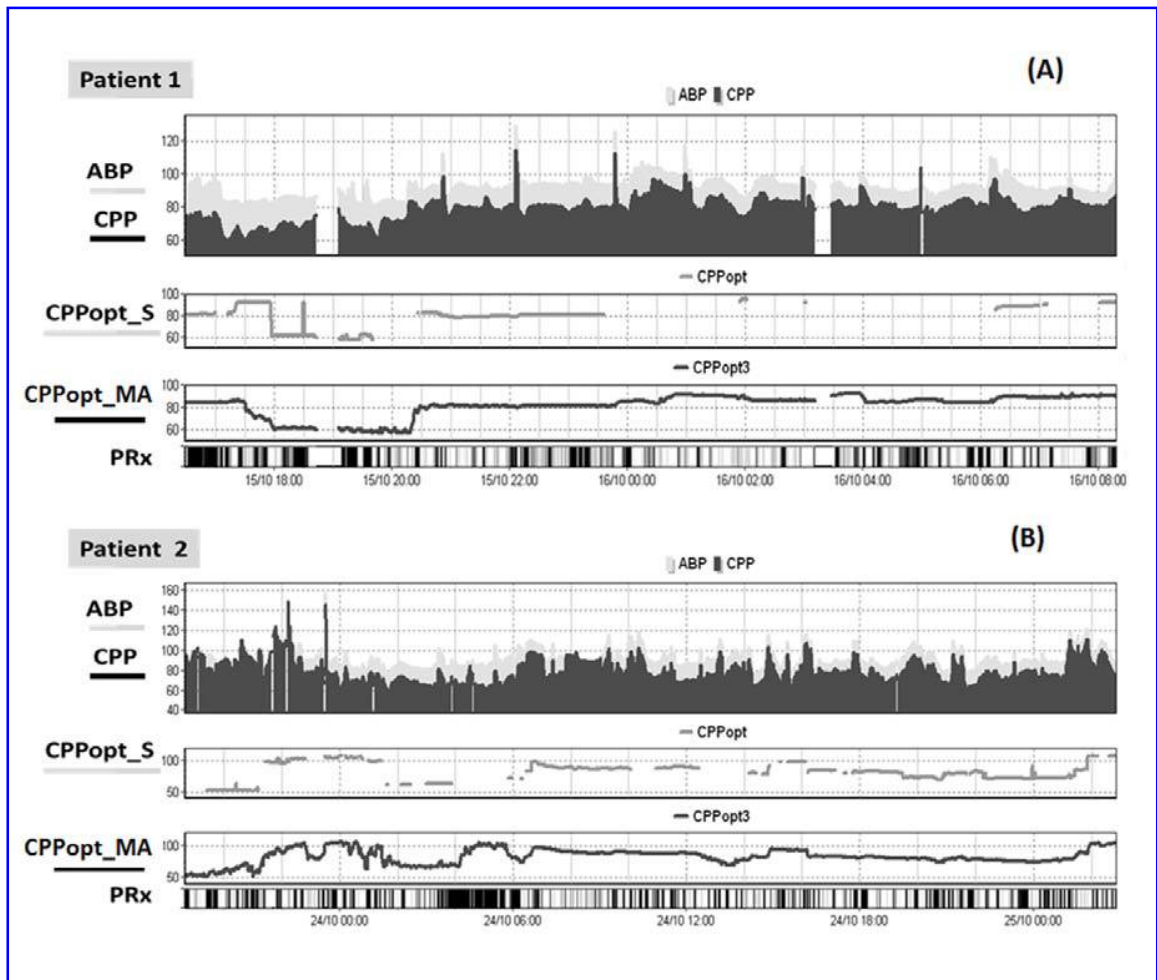


Figure 3. Relationship between Δ CPP and patient outcome: mortality(A), severe disability (B), favorable outcome(C), and unfavorable outcome (D). Δ CPP : the difference between averaged median cerebral perfusion pressure (CPP) and optimal cerebral perfusion pressure (CPP). The CPPopt was calculated using a single moving window of 4 hours (CPPopt_S, the white bar) and using a weighted multi-window average calculation (CPPopt_MA, the striped bar).

A. Appendix

SFigure 1 shows two examples of optimal cerebral perfusion pressure (CPPopt) trends during monitoring.



B.

SFigure 1. Examples of optimal cerebral perfusion pressure (CPPopt) trends. ABP: arterial blood pressure; CPP: cerebral perfusion pressure; CPPopt_S: CPPopt calculated according to PRx using a 4-hour moving window; CPPopt_MA: CPPopt calculated according to the multi-window approach (average CPPopt for window lengths varying from 2 to 8 hours, in steps of 10 minutes).

SFigure 2 showed settings of weighting parameters used in this study for optimal cerebral perfusion pressure calculation.

The screenshot shows the 'Function options' dialog box for the 'OptimalValueFlex' function. The settings are as follows:

Parameter	Value
Function	OptimalValueFlex
Missing Data Limit [%]	50
Number of bins	16
Minimum bin value	40
Maximum bin value	120
Minimum bin data count [%]	2
Minimum included data [%]	50.00
Minimum Y span	0.2
Concave	<input type="checkbox"/>
Need not include 'best'	<input type="checkbox"/>
Use error weighting	<input checked="" type="checkbox"/>
Enforce Y range	<input checked="" type="checkbox"/>
Enforce Y region - Min	-0.3
Enforce Y region - Max	0.6
Output value type	Optimal X
Min Calc Period	7200
Step	600
Multindow Treatment	Weighted Average
Window Weight Exp	0.5
Fit Error Weight Exp	1
Use full fit error	<input checked="" type="checkbox"/>
Non-parabolic window weight	0.1

The 'Enforce Y region - Min', 'Enforce Y region - Max', 'Min Calc Period', 'Step', 'Window Weight Exp', 'Fit Error Weight Exp', and 'Non-parabolic window weight' fields are highlighted with red boxes in the original image.

SFigure 2. Settings of weighting parameters used in this study for CPPopt calculation based on a multi window system. The window weight was set at 0.5, the fit error weight was 1, the non-parabolic window weight was 0.1. The curve was forced to be (at least partially) included in the range of PRx values [-0.3,0.6]. The minimum length of calculation window was 7200 seconds, i.e. 2 hours. Increasing step was 600 seconds, i.e. 10 minutes.