

1 **Testing random forest classification for identification** 2 **and aging of lava flows from a single Landsat 8 image**

3 Long LI ^{1,2}, Carmen SOLANA ³, Frank CANTERS ⁴, Longqian CHEN ¹, Matthieu KERVYN ²

4 1. School of Environmental Science and Spatial Informatics, China University of Mining
5 and Technology, Daxue Road 1, Xuzhou 221116, China (corresponding author:
6 long.li@vub.be)

7 2. Department of Geography, Earth System Science, Vrije Universiteit Brussel, Pleinlaan 2,
8 Brussels 1050, Belgium

9 3. School of Earth and Environmental Sciences, University of Portsmouth, Burnaby
10 Building, Burnaby Road, Portsmouth PO1 3QL, UK

11 4. Cartography and GIS Research Group, Department of Geography, Vrije Universiteit
12 Brussel, Pleinlaan 2, Brussels 1050, Belgium

13 **Abstract**

14 Mapping lava flows using satellite images is an important application of remote sensing in
15 volcanology. Several volcanoes have been mapped through remote sensing using a wide range of
16 data, from optical to thermal infrared and radar images, using techniques such as manual
17 mapping, supervised/unsupervised classification, and elevation subtraction. So far, spectral-
18 based mapping applications mainly focus on the use of traditional pixel-based classifiers, without
19 much investigation into the added value of object-based approaches and into advantages of using
20 machine learning algorithms. In this study, Nyamuragira, characterized by a series of more than
21 20 overlapping lava flows erupted over the last century, was used as a case study. The random
22 forest classifier was tested to map lava flows based on pixels and objects. Image classification
23 was conducted for the 20 individual flows and for 8 groups of flows of similar age using Landsat
24 8 imagery and a DEM of the volcano, both at 30-meter spatial resolution. Results show that
25 object-based classification produces maps with continuous and homogeneous lava surfaces, in
26 agreement with the physical characteristics of lava flows, while lava flows mapped through the
27 pixel-based classification are heterogeneous and fragmented including much “salt and pepper

28 noise". In terms of accuracy, both pixel-based and object-based classification performs well but
29 the former results in higher accuracies than the latter except for mapping lava flow age groups
30 without using topographic features. It is concluded that despite spectral similarity, lava flows of
31 contrasting age can be well discriminated and mapped by means of image classification. The
32 classification approach demonstrated in this study only requires easily accessible image data and
33 can be applied to other volcanoes as well if there is sufficient information to calibrate the
34 mapping.

35 **Keywords:** Lava flows; Nyamuragira; Pixel-based classification; Object-based classification;
36 Random forest;

37 1 Introduction

38 Lava flows, the dominant product of effusive eruptions, pose an important threat to the
39 inhabitants on the flanks of active volcanoes and can also reshape landscapes and ecosystems at
40 regional scale. Mapping lava flows enables the estimation of preferred vent locations, area
41 covered by flows and even their volumes. It can also contribute to constrain the return period of
42 effusive events, which is critical for lava flow hazard assessment and management (Kauahikaua
43 and Tilling, 2014). Lava flow mapping is an important application of remote sensing in
44 volcanology. In this study, it is defined exclusively as the delineation of lava flow boundaries
45 and the separation of flow units emitted by different eruptions at different times, although
46 volume estimation is occasionally included in its broader definition (Head et al., 2013; Lu et al.,
47 2004; Smets et al., 2010). Field mapping is frequently obstructed by difficulties in accessibility,
48 the scale of lava flow fields, logistics, topography, climate and politics and remote sensing has
49 become increasingly important in mapping volcanic terrains and specifically in mapping lava
50 flows. Mapping individual lava flows using satellite remote sensing is challenging for at least
51 three reasons: vegetation cover, spatial overlapping and spectral similarity. In a tropical
52 environment with high precipitation and insolation, vegetation grows remarkably fast and can
53 partially or fully cover lava surfaces only a few decades after eruptions (Li et al., 2015a), thus
54 disabling the discrimination of lava flows from the surrounding vegetation on satellite imagery.
55 Moreover, a high eruption frequency often leads to lava flows overlapping each other. If the
56 overlapping lava flows are erupted within a short time span and have similar chemical and
57 surface characteristics, discrimination will be further complicated by their similar spectral
58 signatures. **The problems listed might be partially overcome by using better remote sensing data**
59 **(e.g. with higher spatial (Mazzarini et al., 2007) and/or spectral resolutions),** by applying more
60 performant classifiers (e.g. machine learning algorithms) or by switching the classification unit
61 from pixel to object.

62 Satellite remote sensors allowing lava flow mapping have diversified over the years as a result of
63 advances in remote sensing. An inventory of potentially useful remotely sensed data and their
64 capabilities for mapping volcanic terrains has previously been compiled (Kervyn et al., 2007).
65 For the specific purpose of mapping lava flows, many studies have used optical imagery only
66 (Head et al., 2013; Servadio et al., 2012) because this type of imagery is often easily accessible,

67 mostly free of charge, yet cloud/snow cover greatly reduces its utility for volcanoes located in
68 tropical regions and/or at high elevations. The capabilities of operating at all times and of
69 penetrating through clouds have nevertheless made radar satellite data achieve a growing
70 prominence in the observation of volcanoes. Radar imagery has been used as single data source
71 in some studies (Favalli et al., 2009; Mahmood and Giugni, 2001; Zebker et al., 1996) but more
72 often in combination with optical imagery for better discrimination due to additional spectral
73 information (Lu et al., 2004; Rowland, 1996; Smets et al., 2010). Use of thermal infrared data
74 has also been examined because the difference in emissivity of lava flows allows them to be
75 discriminated from each another (Abrams et al., 1996, 1991).

76 Manual mapping through visual interpretation is a practical approach to obtain the extent of a
77 lava flow on remotely sensed data (Smets et al., 2010). It might be time-consuming, particularly
78 when used as the only means of mapping, however it is relatively easy. The resulting lava flow
79 boundaries are usually quite accurate when combining visual interpretation of remotely sensed
80 data with readily available geological maps and field work. Usually being in vector format, the
81 result of the mapping can be directly imported into a geographical information system (GIS) for
82 spatial analysis and for a geological map update.

83 In addition to the manual mapping approach, pixel-based classification is also an often used
84 technique for volcanic mapping. Nine Etna lava flows erupted in different centuries were
85 mapped through pixel-based classification to revise previously published geological maps
86 (Abrams et al., 1991). An unsupervised classification (ISODATA) was applied on Nyamuragira
87 for mapping 19 lava flows from multiple multispectral satellite images after supervised
88 classification failed (Head et al., 2013). Another unsupervised method (K-Means) was used to
89 identify lava flows of Sabancaya (Peru) with SPOT multispectral and panchromatic imagery
90 (Legeley-Padovani et al., 1997). Classification of Hyperion imagery based on spectral angle
91 mapping was performed for the Holocene Lava Field in Iceland (Afaristama et al., 2016). All
92 these types of classifiers are commonly used in lava flow mapping applications but no attempts
93 have been made so far to test more advanced classification approaches for mapping lava flows,
94 such as machine learning algorithms (e.g. support vector machines, decision trees, random
95 forest).

96 Pixel-based classification of lava flows is based on spectral differences between lava flows and
97 their surroundings, such as vegetation and urban infrastructure, and between lava surfaces of
98 various age. In many cases, low spatial resolution imagery contains a large number of mixed
99 pixels which may result in inaccurate classification (Li et al., 2015a). Pixels constituting a
100 mixture of lava flow and vegetation or a mixture of other lava flows of different age are indeed
101 more likely to be misclassified. In addition, spectral heterogeneity exists among pixels that are
102 part of single lava flows: the local environment will control the weathering and vegetation
103 evolution of a lava surface which may differ along a single lava flow (Li et al., 2015a, 2015b).
104 Thanks to the homogenous mixing, these challenges might be solved by using the geographical
105 object-based image analysis (GEOBIA) approach (Blaschke et al., 2014). Object-based
106 classification has been applied for mapping volcanic deposits from SPOT imagery in recent
107 studies focusing on lahars (Kassouk et al., 2014; Thouret et al., 2015), yet the potential of
108 GEOBIA for automated mapping of lava flow fields has so far not been specifically investigated.

109 In the case of Nyamuragira (DRC), the volcanological map produced by Belgian scientists
110 (Thonnard and Denaeyer, 1965) is probably the first one that delineates lava flows erupted until
111 1958 based on aerial photographs—with associated errors due to available techniques at the time.
112 The 1990s civil wars in this politically volatile African country have prevented field-based
113 observations and aerial photography acquisition for updating maps with recently emplaced lava
114 flows. Consequently, access to information on Nyamuragira has long relied largely on remote
115 sensing. Since then lava flows have been occasionally mapped, e.g. the 1996 flow from JERS-1
116 InSAR (Kitagawa et al., 2007) and the 1998 and 2001 flows from ERS InSAR (Colclough, 2006).
117 Recent studies (Head et al., 2013; Smets et al., 2010) have resulted in more comprehensive lava
118 flow maps from multi-temporal satellite imagery, optical or radar. The advantage of the multi-
119 temporal approach is that each lava flow can be accurately mapped from imagery before it
120 becomes vegetated. Discriminating multiple lava flows from a single image, particularly flows
121 overlapping each other, is more challenging but is very interesting, as for some volcanoes good
122 optical satellite data are scarce due to the high frequency of cloud cover. Li et al. (2015a) used
123 spectral mixture analysis to highlight the change in spectral properties and vegetation fraction of
124 lava flows of different age at Nyamuragira. But that study did not investigate whether these
125 contrasting spectral characteristics could be used for automatic mapping of lava flows of
126 different age.

127 This study aims at proposing strategy for mapping lava flows of tropical volcanoes from a single
128 image by comparing pixel-based versus object-based mapping approaches, using a machine
129 learning based framework for classification (random forest), and consequently at producing a
130 map distinguishing lava flows of different age for Nyamuragira. Depending on the classification
131 unit (pixel or object), the features used and the classification target (individual or grouped lava
132 flows), different classification scenarios are compared in terms of mapping accuracies obtained.

133 **2 Study area**

134 Nyamuragira (aka Nyamulagira) is an active shield volcano in the Virunga National Park in the
135 east of the Democratic Republic of the Congo (DRC). It is situated about 13 km northwest of
136 Nyiragongo volcano and about 25 km north of Lake Kivu (Figure 1). Its summit caldera has a
137 maximum diameter of ~2.3 km (NW-SE) and a maximum depth of ~200 m, partially collapsing
138 in 1938 and draining a previous lava lake (Smets et al., 2010). Characterized by a maximum
139 elevation of 3058 m a.s.l, this volcano has gently rising flanks which host a large number of
140 cones and fissures.

141 A high frequency of volcanic eruptions, once every 2-4 years, from vents located on or along the
142 flanks, has earned it a reputation as the most active volcano in Africa (Smets et al., 2015, 2010).
143 A total of 27 lava flows erupted from 1938 to 2011 have been currently dated and mapped
144 (Albino et al., 2015; Head et al., 2013; Smets et al., 2010). Most of these lava flows are located
145 on the flanks except for the 1956 flow constrained within the caldera. The 1958 flow advanced
146 farthest to the northeast while the 1938-40 and 1948 flows reached farthest southwest, ending in
147 Lake Kivu.

148 The recent lava flows are emplaced within the densely forested Virunga National Park.
149 Vegetation recovery after lava emplacement has largely benefited from the equatorial climate.
150 This has a dual effect on image classification: it creates a remarkable contrast between fresh lava
151 surfaces and dense forests but also makes that lava flows become increasingly obscured over
152 time, which is clearly the case for the old ones.

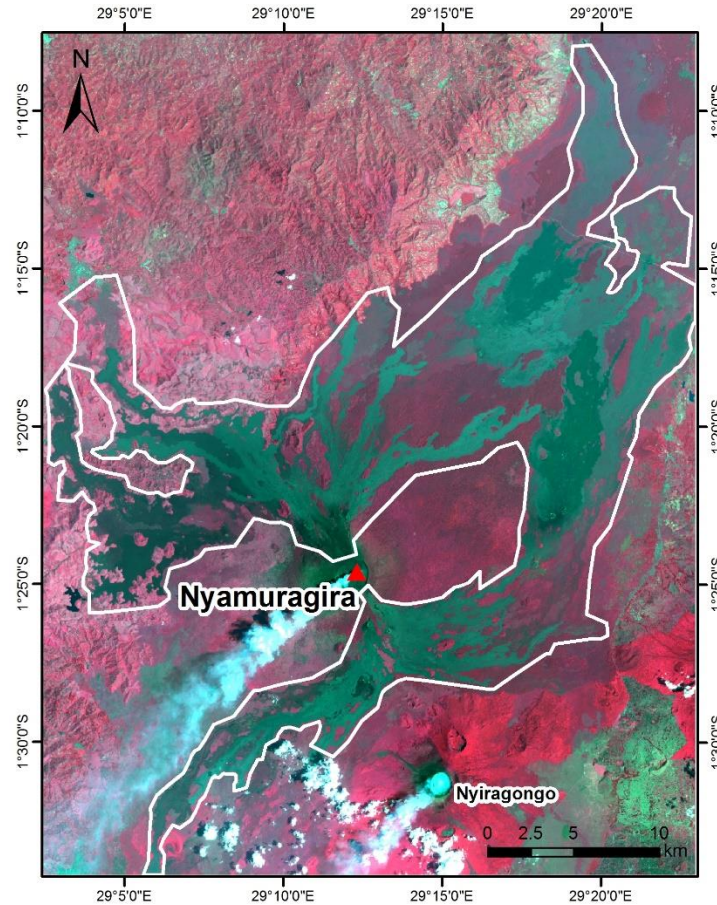
153 **3 Data and methods**

154 **3.1 Satellite image data**

155 A Landsat 8 Level-1 image of the study area, acquired on February 9, 2015, was used for lava
156 flow spectral classification in this study (Figure 1). Although the presence of gas and clouds
157 affects part of the image, this scene is the best one that could be obtained following the Landsat
158 ETM+ image acquired on January 31, 2003 (Li et al., 2015a). Digital numbers of the image were
159 converted to radiance values by radiometric calibration and NDVI was then calculated.
160 Atmospheric effect was not corrected for in the study—because atmospheric disturbance is
161 assumed to be homogenous over the area to be classified in the cloud-free part of the image.

162 Given that the focus of this study is on discriminating **different lava flow surfaces**, non-lava
163 surfaces should be excluded from the analysis as much as possible. The extent of the lava flow
164 field and the directly adjacent forest was therefore outlined on the image through visual
165 interpretation (Figure 1). As such, ash-, gas- and cloud-affected areas, bare-soil and built-up
166 areas, and more importantly, most of the vegetated areas of the volcanic region, are not taken
167 into account in the classification process. The delineated surfaces include 20 lava flows erupted
168 over the last 70 years excluding the 1938-40 (covered by gas and also fully vegetated), 1951-52
169 (too small), 1954 (too vegetated), 1956 (too small and also located within the caldera), 1957 and
170 1986-87 flows (too small on the image because of overlap).

171 Additionally, thermal information of the Landsat 8 imagery (TIRS) and 30-meter SRTM DEM
172 were also included as ancillary data in support of the image classification process. Brightness
173 temperature was derived from one of the two thermal bands (b11) for the volcanic region
174 following the method in Blackett (2014), as it might help discriminating between young lava and
175 old lava. Elevation and other DEM-derived topographic variables were found to impact
176 vegetation recovery on lava flows and hence topography was also included in the classification.



177

178 *Figure 1 Landsat 8 image (Feb-9-2015) of Nyamuragira's lava flows, showing the manually*
 179 *outlined area used for segmentation and classification.*

180 **3.2 Random forest**

181 In this study, all the image classification was conducted using random forest (RF). Random
 182 forest is a popular and efficient machine learning approach used for both classification and
 183 regression purposes. It has been used in a wide range of applications including remote sensing
 184 image classification with improved accuracies (Eisavi et al., 2015; Gislason et al., 2006). A
 185 review of random forest in remote sensing has been published recently (Belgiu and Drăguț,
 186 2016). A random forest classifier grows an ensemble of binary decision trees by selecting a
 187 fraction of bootstrap samples out of input data and choosing randomly a subset of explanatory
 188 variables for each split (Breiman, 2001; Genuer et al., 2010). Each decision tree, which is
 189 unpruned (i.e. no restriction is imposed to control its depth), returns a classification and random
 190 forest decides to which class each observation is attributed based on applying a majority rule: the

191 class that has the most votes across all trees is determined as the final class (Breiman, 2001). The
192 input data selected for training each of the trees are in-bag observations and the remaining are
193 out-of-bag (OOB) observations used for estimating OOB errors (misclassifications). When the
194 values of a feature (i.e. an explanatory variable) are randomly permuted, the increase in the mean
195 OOB error is defined as the feature importance (Boulesteix et al., 2012; Genuer et al., 2010). It is
196 noted that feature rankings can be misleading when there are high correlations between the
197 features (correlation coefficient $r > 0.8$ in this study) (Gregorutti et al., 2016; Strobl et al., 2008;
198 Tolosi and Lengauer, 2011). A simple strategy was therefore applied in this study to decorrelate
199 the features by excluding one of any of two highly correlated features.

200 There are three parameters to be tuned when applying random forest classification: the fraction
201 of observations used for training, the number of decision trees (*ntree*) and the number of features
202 randomly selected at each node (*mtry*). Random forest is usually not very sensitive to their values
203 (Liaw and Wiener, 2002). By default, random forest takes roughly two thirds of the samples with
204 replacement for growing decision trees and the OOB errors are estimated based on the remaining
205 one third. In general, with an increase in the number of grown trees, the OOB error decreases and
206 eventually levels off so a larger value of *ntree* does not always yield more reliable results than a
207 smaller one. The default and optimal value for *mtry* is \sqrt{p} for classification, where p is the
208 number of available features (Breiman, 2001). The default *mtry* is optimal with respect to
209 prediction accuracy but it is recommended to consider different values in the case of highly
210 correlated features (Strobl et al., 2008). In this study, different values for *ntree* and *mtry* are
211 tested and the pair that yields the lowest OOB error will be considered optimal. The candidate
212 values for *ntree* are from 500 to 5000 with increments of 500, which have been frequently used
213 in previous studies (Eisavi et al., 2015; Genuer et al., 2010; Gislason et al., 2006). Numbers
214 higher than 5000 were not tested because they are computationally expensive and usually do not
215 yield more reliable results than smaller values (Boulesteix et al., 2012). Regarding *mtry*, it is
216 recommended by Prof. Breiman, who developed random forest, to pick the best one from the
217 default value, half of the default value and twice the default value (Liaw and Wiener, 2002).

218 In this study, use was made of the random forest implementation available in Matlab. Both pixel-
219 based and object-based classification was conducted using the random forest classifier and
220 compared in terms of classification accuracy.

221 3.3 Pixel-based classification

222 For pixel-based random forest classification, candidate features include the radiance of the first
223 seven multispectral bands (b1-b7), NDVI, brightness temperature (BT) from band 11 and
224 topographic features. Among them, b2 (Blue) is highly correlated with b1 (Coastal aerosol; CA)
225 ($r > 0.98$) and with b4 (Red) ($r > 0.86$), and b5 (NIR) is highly correlated with NDVI ($r > 0.93$).
226 As a result, both b2 and b5 were excluded from classification. Topographic features, including
227 elevation, slope and aspect (categorical feature derived from the DEM resampled at 1 km) were
228 considered as optional (Table 1). In order to assess the usefulness of topographic data, pixel-
229 based classification was performed with 10 features including topography and with 7 features
230 excluding topography. For the pixel-based classification, a total of 2050 individual pixels were
231 manually sampled on the Landsat 8 image for vegetation and lava flows, with about 100 pixels
232 for vegetation and lava flows of contrasting age.

233 *Table 1 Input features for pixel-based random forest classification*

Landsat 8 data	b1 (CA), b3 (Green), b4 (Red), b6 (SWIR1), b7 (SWIR2), NDVI BT (brightness temperature)
Topographic data	Elevation, Slope, Aspect (Categorical)

234 3.4 Image segmentation

235 Satellite images show relatively sharp edges between different lava flows and between lava
236 flows and vegetated areas, which might enable the use of geographical object-based image
237 analysis (GEOBIA). In addition to spectral characteristics, this approach can also take spatial,
238 textural and contextual information into account. It has been extensively reported to outperform
239 both pixel-based supervised and unsupervised classification in different contexts (Blaschke, 2010;
240 Demarchi et al., 2016; Myint et al., 2011; Riggan and Weih, 2009; Weih and Riggan, 2010).

241 The first step of GEOBIA is image segmentation, where the image is segmented into objects of
242 different sizes, which will then be used as classification units. Image segmentation in the study
243 was performed in eCognition. Data used for image segmentation consisted of the multispectral
244 bands (b1 to b7) of the Landsat 8 OLI image with the near infrared band (b5) given a weight of

245 1.5, as this band is more effective in discriminating vegetated from less vegetated lava surfaces
246 (Head et al., 2013; Li et al., 2015a), and the other bands a weight of 1. As the scale parameter
247 proves to be the most important parameter (owing to its direct impact on classification accuracy
248 by determining the size of image objects (Duro et al., 2012; Kim et al., 2008; Myint et al., 2011;
249 Smith, 2010), it was decided to conduct tests with different values for the scale parameter in this
250 study. A large value (0.9) was given to the color parameter and a very low (0.1) to the shape
251 parameter, and equal values to the smoothness and compactness parameters. The reason for this
252 parameter choice is that the interest of the classification in this study lies on lava flows of
253 contrasting age, which show similarity in spectral behavior, so emphasis on the color parameter
254 should potentially enhance the ability of differentiating between lava flows based on their
255 spectral characteristics. In addition, lava flow are not expected to follow a systematic trend in
256 shape nor, more importantly, need to be separated from other land covers exhibiting more regular
257 shapes.

258 3.5 Object-based classification

259 A number of features can be extracted for each object after image segmentation and used as
260 criteria for classification. In this study, over 100 features, like those used in (Demarchi et al.,
261 2016), were selected for object-based random forest classification and grouped in different
262 categories (Table 2). As previously mentioned, geometric information is considered less essential
263 in the study of lava surfaces. Spectral and textural features, rather than geometric ones, were
264 therefore highlighted. Topographic data were included for potential usefulness. It was expected
265 that a large part of the features would be highly correlated, e.g. mean radiance in b1 (CA) and b2
266 (Blue), mean radiance in b5 (NIR) and mean NDVI. Using the previously mentioned approach,
267 the number of features was reduced to 43 among which four were topographic features. In order
268 to assess the usefulness of topographic data, object-based classification was performed with 43
269 features including topography and with 39 features excluding topography. Approximately 30%
270 of the segments from each lava flow were selected as training samples for object-based
271 classification.

272 *Table 2 Input features for object-based random forest classification. For explanation of and*
273 *calculation of the textural (on each layer of Landsat 8 OLI) and geometric features,*

274
275

the reader is referred to Haralick (1979) and Trimble (2011). Std stands for standard deviation.

1. Landsat 8 data	2. Topography	3. Geometry	4. Texture (after Haralick)
Mean.b1 (Green)	Mean.Elevation; Std.Elevation	Area	Homogeneity (GLCM) for b1, b3-b7
Mean.b4 (Red); Std.b4	Mean.Slope	Compactness	Contrast (GLCM) for b3- b7
Std.b5 (NIR)	Mean.Aspect (Categorical)	Density	Angular 2 nd moment (GLCM) for b5
Mean.b6 (SWIR1)			Mean (GLCM) for b3-b7
Mean.b7 (SWIR2); Std.b7			Std (GLCM) for b3-b7
Mean.NDVI; Std.NDVI			Angular 2 nd moment (GLDV) for b2
Mean.BT; Std.BT			
Max.Diff			

276 3.6 Classification scenarios

277 Since classification of individual lava flows is difficult in such a complex lava field as
278 Nyamuragira's, in this study classification was also performed for lava flows grouped by age.
279 Using an interval of 4-5 years, the 20 lava flows were categorized into 8 groups (Table 3). The
280 1991-93 lava flow was treated as a single one-member group due to its unique physical and
281 spectral characteristics (Li et al., 2015a).

282 Depending on the type of classification unit (pixel-based, object-based), the number of features
283 used and the level of classification, eight different scenarios were defined in this study. By
284 comparing them, it was expected to identify the best classification approach for mapping lava
285 flows.

286 *Table 3 Lava flow classes used in random forest classification.*

Individual lava flows	Grouped lava flows
1958	1958
1967, 1971	1967-71

1976-77, 1980, 1981-82	1976-82
1984, 1986, 1989	1984-89
1991-93	1991-93
1994, 1996, 1998	1994-98
2000, 2001, 2002, 2004	2000-04
2006, 2010, 2011-12	2006-12
vegetation	vegetation

287 *Table 4 Random forest classification scenarios defined in this study.*

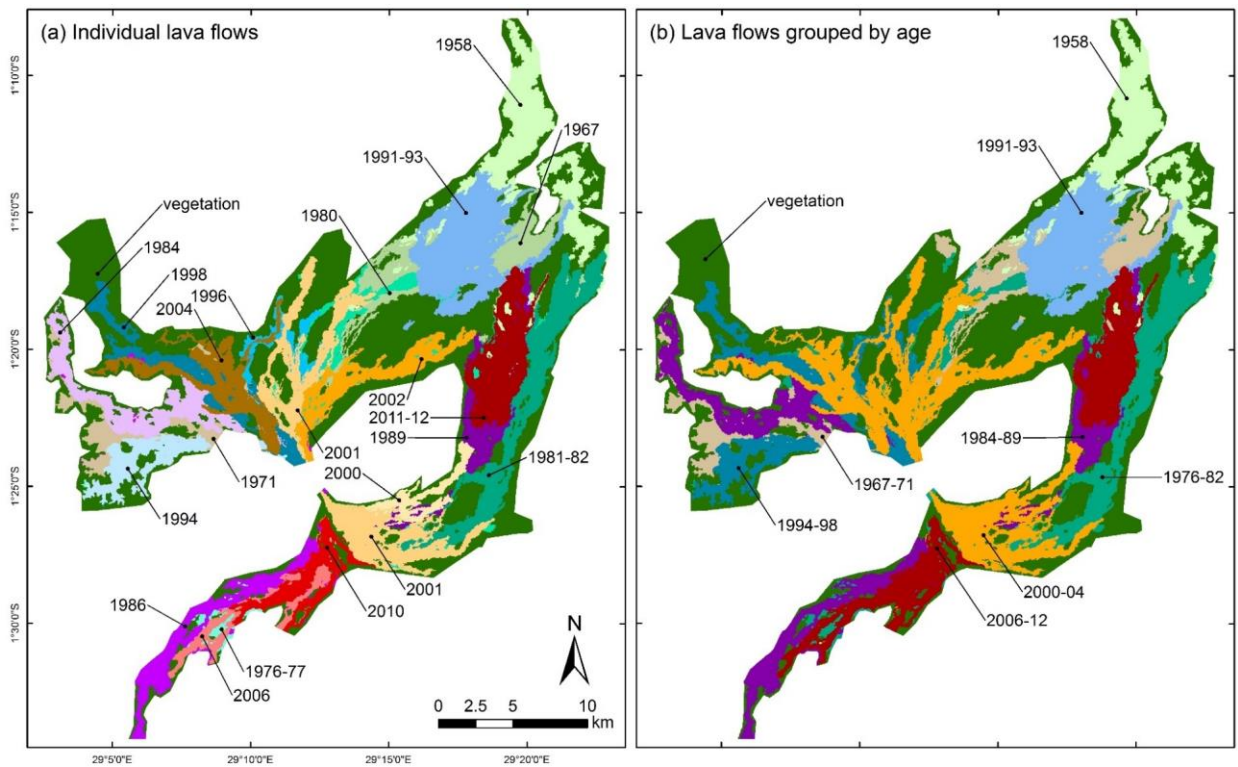
Scenario	Unit	Topographic features (Total number)	Level
1	Pixel-based	Yes (10)	Individual
2	Pixel-based	Yes (10)	Grouped
3	Pixel-based	No (7)	Individual
4	Pixel-based	No (7)	Grouped
5	Object-based	Yes (43)	Individual
6	Object-based	Yes (43)	Grouped
7	Object-based	No (39)	Individual
8	Object-based	No (39)	Grouped

288 3.7 Accuracy assessment

289 Reference data (Figure 2) used in the study were acquired in vector format (ArcGIS shapefile)
 290 from the Belgium’s Royal Museum for Central Africa (Smets et al., 2010). Errors in the
 291 localization of lava flow edges due to vegetation recovery and manual drawing were estimated at
 292 less than one pixel of optical imagery and errors related to localizing vents and SAR data were
 293 not expected to significantly affect the results (Smets et al., 2010). Classification results of the
 294 study were compared with the reference data for accuracy assessment.

295 The accuracy assessment of both pixel- and object-based classification was conducted using a
 296 pixel-based approach (Whiteside et al., 2011). The advantages of the pixel-based approach are
 297 that it makes object-based and pixel-based classification results comparable and that quality of
 298 image segmentation is also indirectly considered. In order to better assess the accuracy of the
 299 classification results obtained, no post-classification processing was performed on the obtained
 300 classification maps. A total of 1840 pixels on the lava and vegetated surfaces, independent from

301 those used as training samples, were randomly selected from each lava flow and vegetated
302 surface, based on the reference data. Accuracy assessment of classification was done by
303 constructing confusion matrices and calculating commonly used accuracy assessment measures
304 such as the overall accuracy (OA), producer's (PA) and user's accuracy (UA) for each class and
305 Kappa coefficient (Congalton and Green, 2009). In order to determine whether the results of
306 different classification scenarios are significantly different, a Z-test (Congalton and Green, 2009)
307 was also applied in this study.



308
309 *Figure 2 Reference data showing individual and grouped lava flows. The lava flows were*
310 *obtained from Smets et al. (2010) and clipped in accordance with the area used for*
311 *classification.*

312 4 Results

313 4.1 Image segmentation

314 A range of scale parameter values were tested, yielding different numbers of segments. Smaller
315 scale parameter values produced more lava segments (e.g. 2286 for SP = 10) and lava flows were

316 represented by many small segments. For larger scale parameter values, less segments were
317 created (e.g. 516 for $SP = 25$), as lava flows were divided into bigger segments. A good scale
318 parameter value should characterize lava flows with large segments but also capture smaller lava
319 flows. Of the potential scale parameter values tested, 15 was considered most suitable in the
320 study as it captures narrow lava flows and results in a total of 1157 segments (Figure 3).

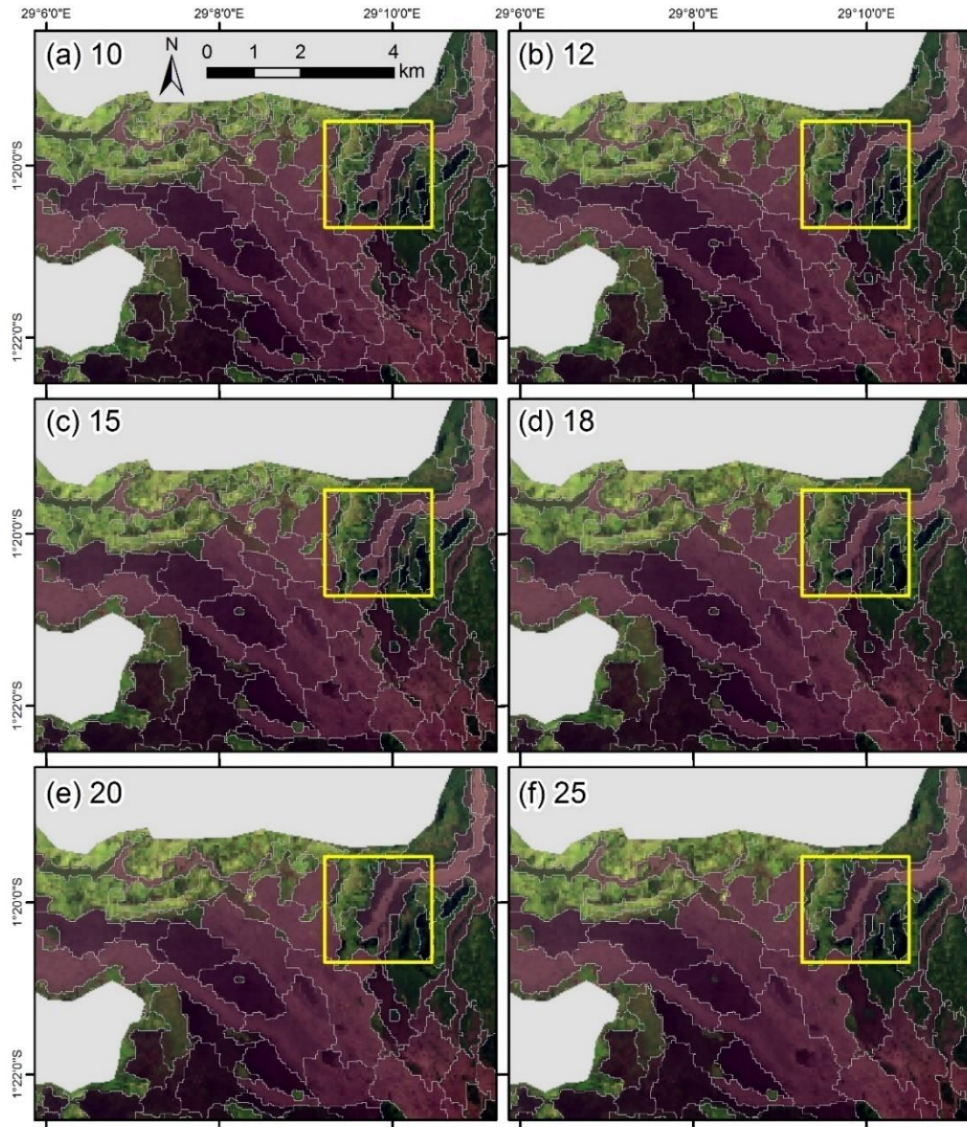
321 4.2 Optimizing random forest parameters

322 Different values of the *ntree* and *mtry* parameters were tested to optimize random forest for each
323 classification scenario. The candidate *ntree* values were varied from 500 to 5000 with increments
324 of 500, while the candidate *mtry* values were 2 (half the default), 3 (the default) and 6 (twice the
325 default) for the pixel-based classification and 4, 7 and 14 for the object-based classification,
326 respectively. Figure 4 shows that with *ntree* = 2000 and *mtry* = 3, the lowest OOB error was
327 obtained for Scenario 1. The OOB errors do not vary much for the parameter value pairs
328 considered. OOB error seems to be more sensitive to *mtry* than to *ntree*. Optimal *ntree* and *mtry*
329 parameter values for the other classification scenarios are listed in Table 5. Regarding the
330 number of trees, the optimal value varies between 1000 and 4000. For the pixel-based
331 classification, the default or half of the default value were selected as optimal for *mtry* while for
332 the object-based classification the optimal *mtry* value was always equal to twice the default value.

333 Feature importance for each random forest was also assessed after the construction of random
334 forests. For the pixel-based classification scenarios, NDVI proves to be the most important
335 feature except for Scenario 2 (Figure 5a-d). The most significant difference between Scenarios 1
336 and 2 lies in the ranking of topographic feature importance: Aspect and Elevation are ranked
337 immediately after NDVI in the former but are ranked before NDVI in the latter. After removing
338 topographic features (Scenarios 3 and 4), the CA band and BT move to the first few positions.

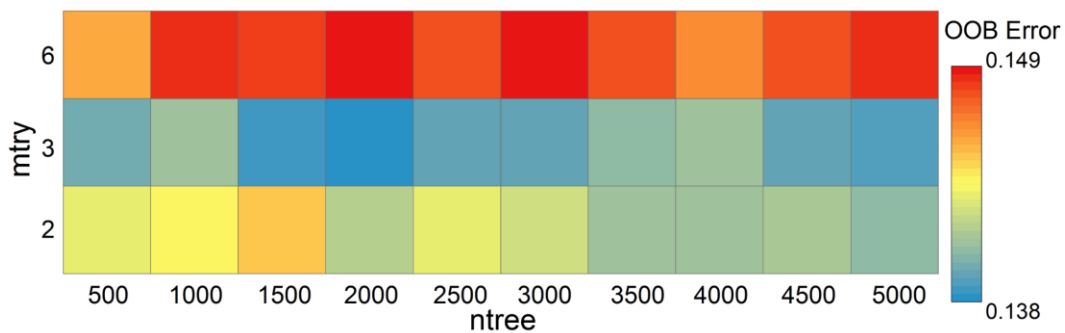
339 Regarding the object-based classification scenarios (Table 2e-h), NDVI is the only feature that
340 has an importance value >2 . The classification scenarios using topographic features (Scenarios 5
341 and 6) have the same 12 features, the first nine of which are exactly in the same order of
342 importance. In particular, both Elevation and Aspect features immediately follow NDVI in the
343 importance rankings, similar to Scenarios 1 and 2. Without topographic features, BT has a higher
344 importance rank in Scenarios 7 and 8 than in Scenarios 5 and 6. It is noted that textural features

345 are less dominant than spectral features. Geometric features were found to rank lowest among
 346 the features used for classification.



347

348 *Figure 3 Image segmentation (partly shown for visibility) using various scale parameter*
 349 *values (SPs). The yellow squares highlight the major differences.*



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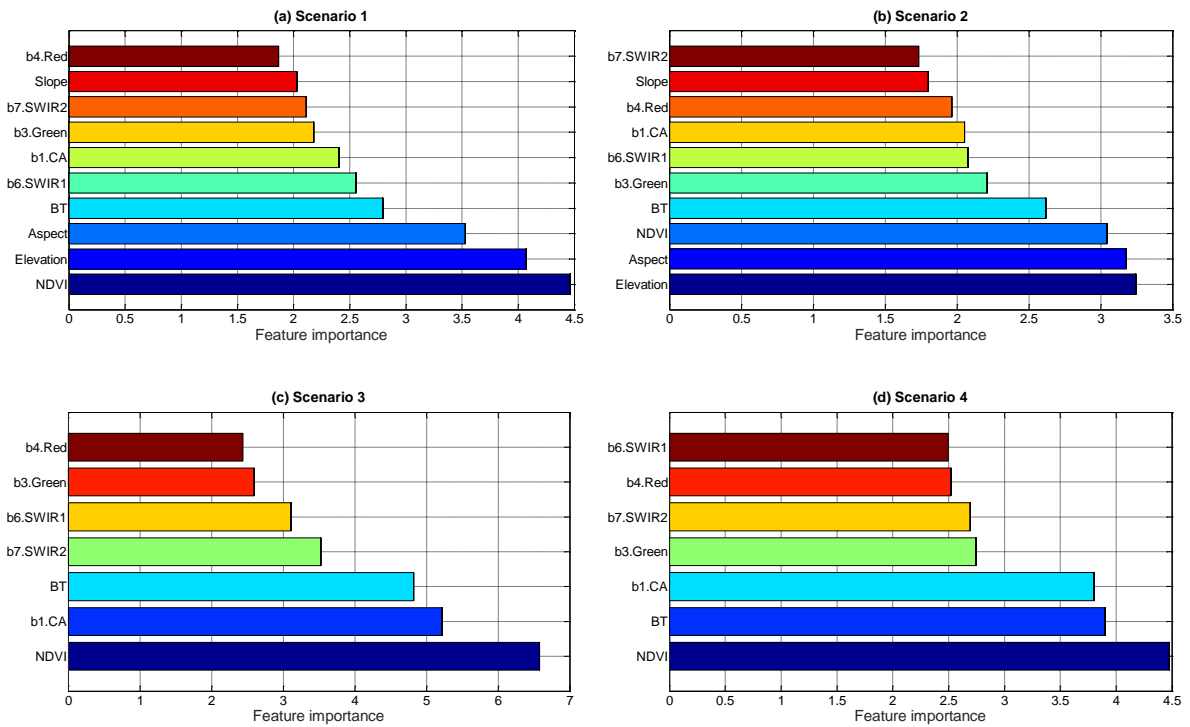
Figure 4 Matrix of OOB error for different parameters values of ntree and mtry for Scenario 1.

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Table 5 Optimal ntree and mtry parameter values for all classification scenarios.

Scenario	ntree	mtry	Minimum OOB error
1	2000	3	0.1376
2	4000	2	0.0917
3	2000	3	0.2376
4	2500	2	0.1600
5	3000	14	0.2331
6	2500	14	0.1798
7	2000	14	0.3146
8	1000	14	0.2360

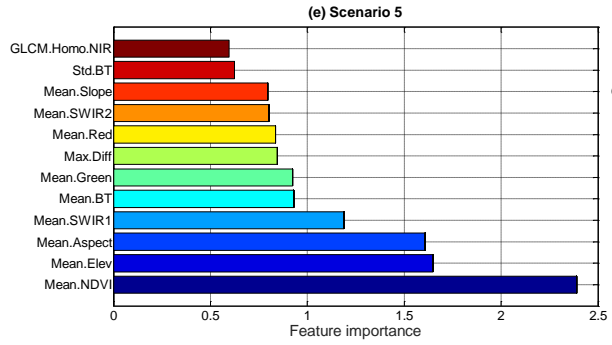
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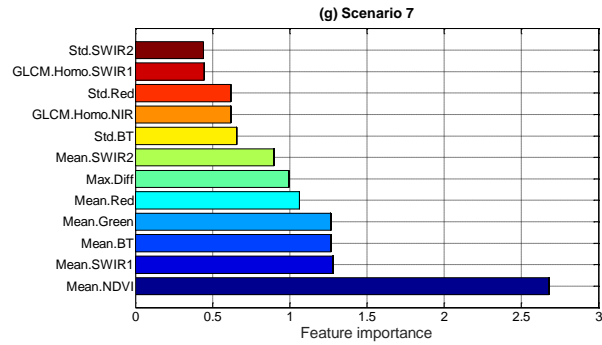
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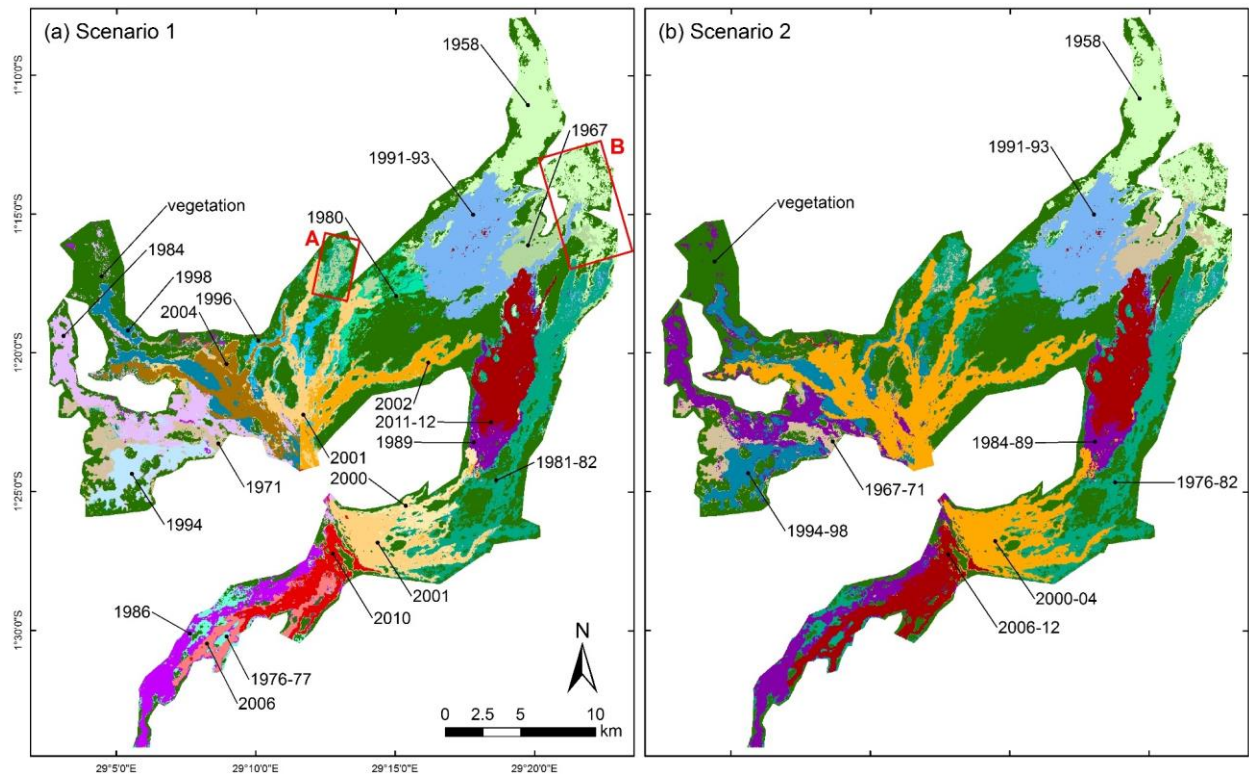
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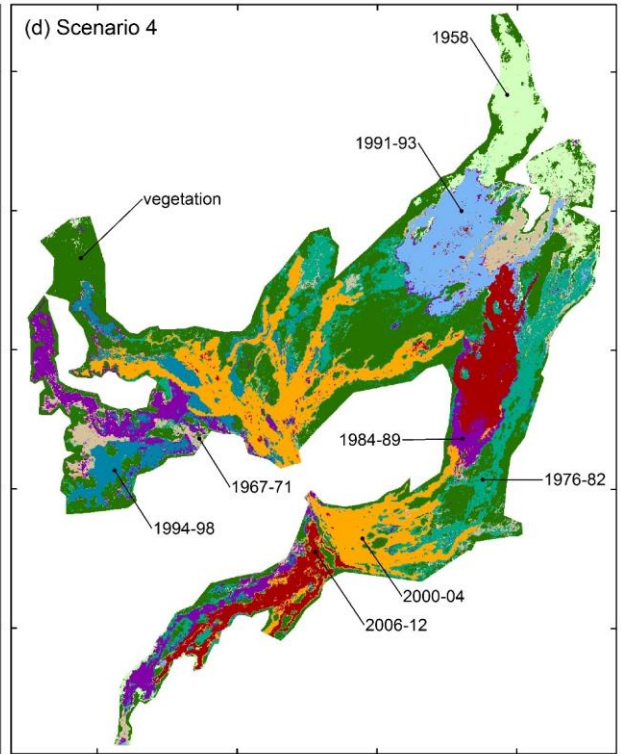
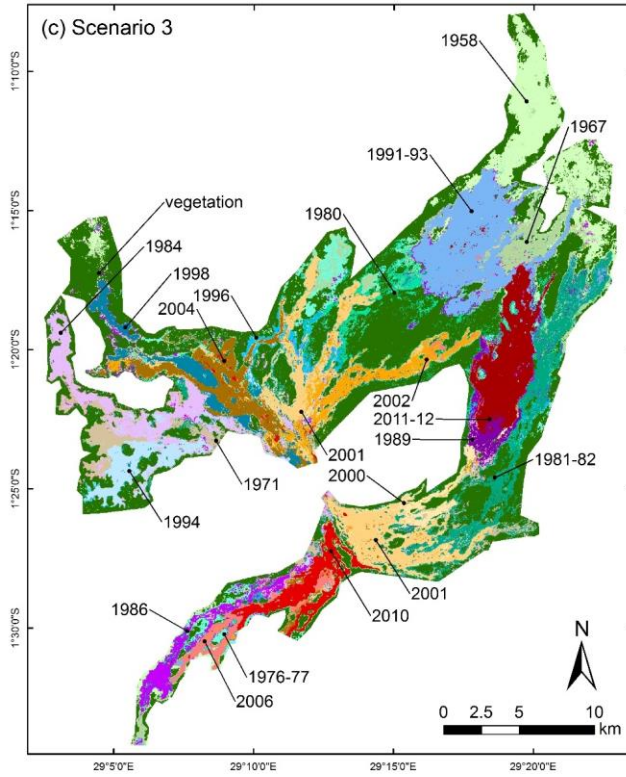
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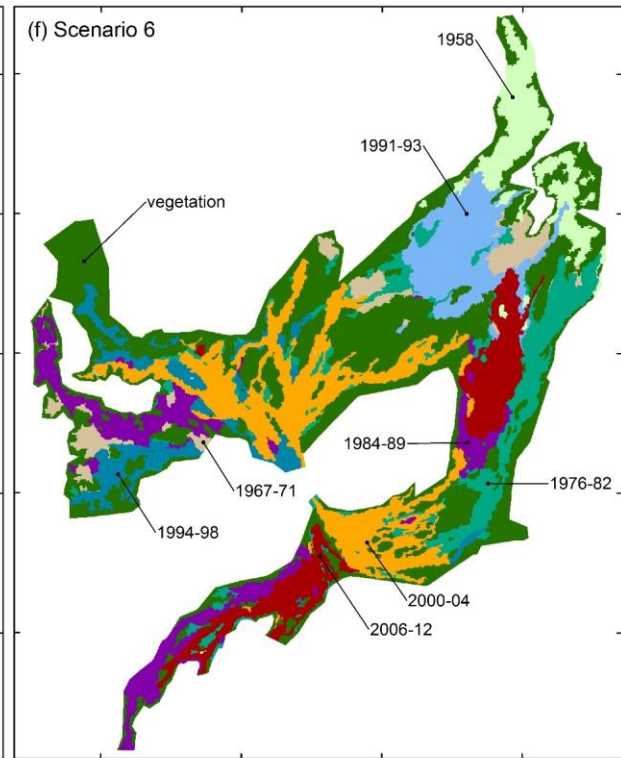
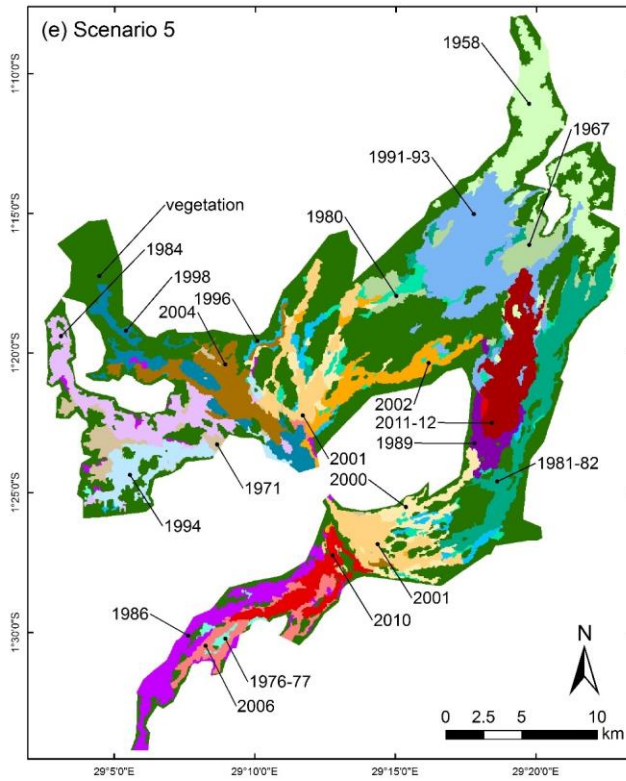
Figure 5 Normalized feature importance for different random forest classification scenarios. For the object-based random forest classification scenarios (e-h), only first 12 most important features are shown.

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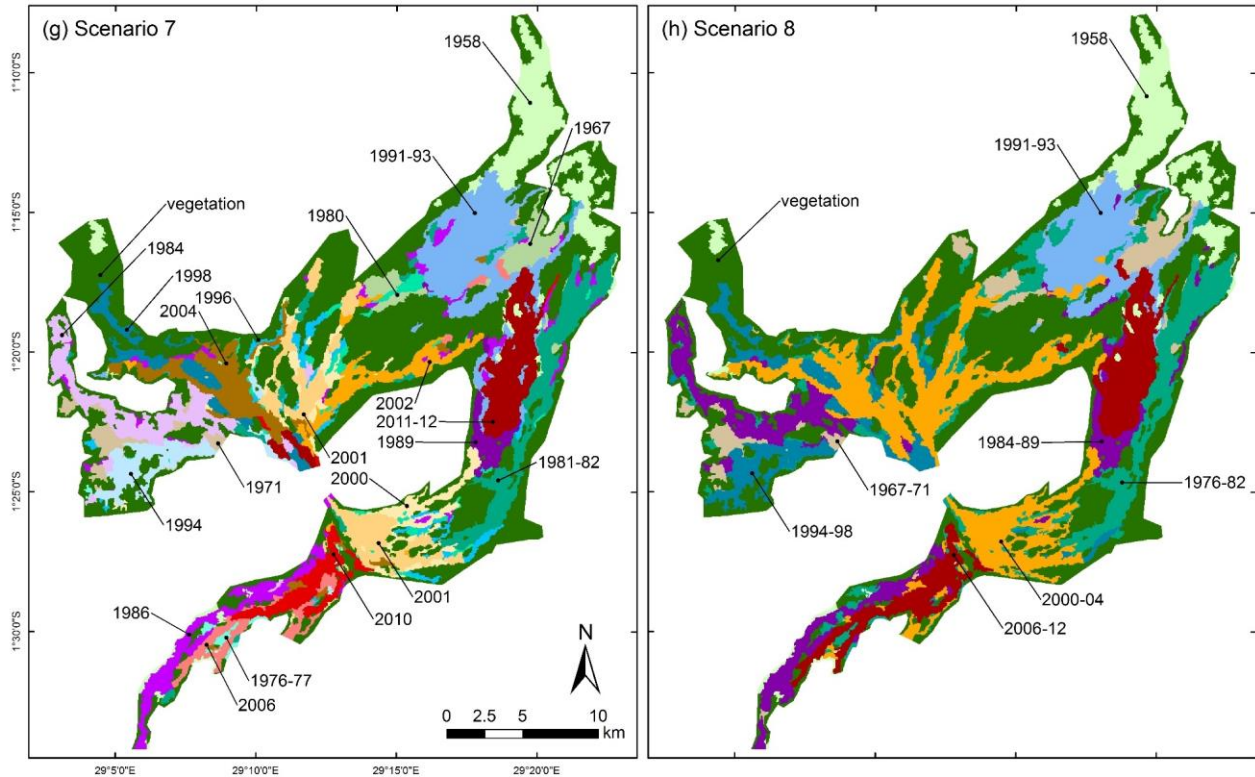




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Figure 6 Classification results obtained through random forest for the different scenarios. The two red rectangles in (a) show the unknown lava surfaces mapped by the classification process.

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4.3 Classification results

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Through the random forests created above, classification was conducted for each scenario and the resultant maps are given in Figure 6. It is observed that pixel-based classification (Scenarios 1-4) produces lava surfaces that are more fragmented and heterogeneous with often ambiguous boundaries while object-based classification (Scenarios 5-8) results in more continuous and homogeneous lava units with clear boundaries. A good example is the 1986 lava flow (on the SW of the maps in Figure 6): it appears very fragmented on the pixel-based classification maps but is well discriminated and more complete on the object-based classification maps. The outcome of the classification of individual lava flows (Scenarios 1, 3, 5 and 7) is less homogenous than that of the classification of grouped lava flows (Scenarios 2, 4, 6 and 8). Removal of topographic features leads to some differences in the maps when comparing the classification scenarios with or without topographic features (i.e. Scenarios 1 vs 3, Scenarios 2 vs 4, Scenarios 5 vs 7, and Scenarios 6 vs 8). It is also noted that the pixel-based classification is

382 more sensitive to unmapped lava surfaces than the object-based classification. These areas are
383 not identified as recent lava flows in the reference map (Figure 2), e.g. the areas in the two red
384 rectangles in Figure 6a, but are classified as part of the 1958 flow by the pixel-based scenarios.

385 4.4 Accuracy assessment

386 Producer's accuracies, user's accuracies, overall accuracies and Kappa coefficients for all
387 classification scenarios are listed in Table 6 and Table 7. In general, classification accuracies for
388 all scenarios are very good. It is clear that the classification of grouped lava flows has higher
389 overall accuracies and higher Kappa coefficients than classification of individual lava flows
390 regardless of the classification unit, as could have been expected. It is also interesting to note that
391 pixel-based classification obtains higher overall accuracies and higher Kappa coefficients than
392 object-based classification except for Scenarios 4 and 8. The difference in accuracy is most
393 substantial between Scenarios 1 and 5 where individual lava flows are mapped considering
394 topographic features. For pixel-based classification of both individual and grouped lava flows,
395 removing topographic features leads to a decrease of >6% in overall accuracy while this decrease
396 is however smaller for object-based classification (~2.5%).

397 The Z-tests (Table 8) were performed on different pairs of classification scenarios: comparison
398 within the pixel-based (or object-based) classification can help to understand differences in
399 accuracy between classification using topographic features or not. Comparison between pixel-
400 based and object-based classification using the Z-tests can reveal which approach is best for
401 mapping lava flows. Using topographic features significantly improves classification results
402 except for object-based classification of individual lava flows. Pixel-based classification
403 performs significantly better than its object-based counterpart except for classifying grouped lava
404 flows without using topographic features.

405 For the pixel-based classification Scenarios 1 and 3 (Table 6), both producer's and user's
406 accuracies are high for all the flows except for the 1989 and 1976-77 flows. The 1989 flow (PA
407 = 0.48 for Scenario 1 and PA = 0.33 for Scenario 3 respectively) is largely misclassified as the
408 neighboring 1981-82 flow (Figure 6a and c). The 1976-77 flow has a very high producer's
409 accuracy but a very low user's accuracy (UA = 0.47 for Scenario 1 and UA = 0.31 for Scenario 3
410 respectively) because part of the neighboring 1989 flow is misclassified as the 1976-77 flow.

411 For classification Scenario 5 and 7 (Table 6), it is observed that the 1980, 1989, 1996 and 2001
 412 flows have low producer's accuracies (<0.6) and that the 1980, 1996 and 2000 flows have low
 413 user's accuracies (<0.6). The 1980 flow is mostly confused with vegetation, while the 2001 flow
 414 is mostly confused with the 2000 flow (Figure 6e and Figure 6g).

415 Regarding the pixel-based random forest classification of grouped lava flows (Scenarios 2 and 4
 416 in Table 7), all the producer's and user's accuracies are high (at least >0.76) for Scenario 2. For
 417 Scenario 4, the 1967-71 group (PA = 0.59) is mostly misclassified as the 1976-82 and 1984-89
 418 groups (Figure 6d).

419 For classification Scenarios 6 and 8 (Table 7) show that all the flows have very similar
 420 accuracies in the two scenarios except for the 1958 flow group (increase by 12% in UA) and for
 421 the 1976-82 flow group (decrease by 11% in UA). It is noticed that the 1967-71 group is the
 422 most misclassified: it is confused with the 1984-89 group in Scenario 6 (Figure 6f) and with the
 423 1976-82 and 1984-89 groups in Scenario 8 (Figure 6h).

424 *Table 6 Accuracy assessment for classification of individual lava flows.*

	Scenario 1		Scenario 3		Scenario 5		Scenario 7	
	PA	UA	PA	UA	PA	UA	PA	UA
1958	0.87	0.91	0.85	0.89	0.85	0.87	0.85	0.77
1967	0.71	0.88	0.62	0.76	0.60	0.71	0.64	0.97
1971	0.84	0.79	0.7	0.60	0.70	0.94	0.66	0.89
1976-77	1.00	0.47	0.89	0.31	0.67	0.86	0.89	0.47
1980	0.70	0.78	0.63	0.58	0.5	0.49	0.45	0.74
1981-82	0.88	0.78	0.75	0.67	0.83	0.89	0.79	0.82
1984	0.86	0.84	0.81	0.71	0.94	0.84	0.94	0.76
1986	0.85	0.97	0.68	0.86	0.99	0.87	0.89	0.92
1989	0.48	0.88	0.33	0.79	0.50	0.92	0.48	0.84
1991-93	0.98	0.93	0.94	0.85	1.00	0.86	0.90	0.84
1994	0.91	0.89	0.84	0.82	0.9	0.86	0.90	0.44
1996	0.89	0.84	0.77	0.73	0.53	0.53	0.55	0.91
1998	0.90	0.91	0.75	0.89	0.84	0.73	0.75	0.43
2000	0.79	0.71	0.67	0.70	0.90	0.39	0.89	0.89
2001	0.85	0.86	0.83	0.81	0.56	0.88	0.55	0.85

2002	0.88	0.88	0.73	0.71	0.77	0.85	0.73	0.83
2004	0.93	0.97	0.88	0.92	0.86	0.94	0.84	0.77
2006	0.88	0.93	0.64	0.82	0.88	0.79	0.75	0.90
2010	0.97	0.94	0.96	0.88	0.87	0.92	0.86	0.75
2011-12	0.99	1.00	0.96	0.97	0.91	0.99	0.91	0.66
vegetation	0.95	0.85	0.90	0.85	0.90	0.66	0.91	0.89
OA	87.66%		79.51%		78.86%		76.36%	
Kappa	0.8679		0.7804		0.7750		0.7484	

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Table 7 Accuracy assessment for classification of grouped lava flows. Scenarios 4b and 8b are new scenarios introduced in Section 5.2.2.

	Scenario 2		Scenario 4		Scenario 6		Scenario 8		Scenario 4b		Scenario 8b	
	PA	UA	PA	UA	PA	UA	PA	UA	PA	UA	PA	UA
1958	0.83	0.93	0.87	0.93	0.85	0.87	0.85	0.87	0.85	0.89	0.85	1
1967-71	0.77	0.84	0.59	0.71	0.60	0.84	0.60	0.84	0.65	0.6	0.64	0.74
1976-82	0.91	0.78	0.82	0.63	0.80	0.72	0.80	0.72	0.8	0.48	0.75	0.44
1984-89	0.76	0.88	0.69	0.80	0.85	0.88	0.85	0.88	0.52	0.77	0.63	0.85
1991-93	0.97	0.95	0.93	0.88	0.97	0.94	0.97	0.94	0.95	0.63	0.95	0.73
1994-98	0.86	0.90	0.78	0.87	0.80	0.90	0.80	0.90	0.69	0.76	0.67	0.88
2000-04	0.97	0.95	0.94	0.89	0.95	0.95	0.95	0.95	0.8	0.83	0.79	0.76
2006-12	0.98	0.98	0.84	0.93	0.97	0.98	0.97	0.98	0.65	0.85	0.53	0.78
vegetation	0.95	0.87	0.89	0.86	0.90	0.68	0.90	0.68	0.93	0.8	0.91	0.6
OA	91.25%		84.57%		88.97%		86.47%		74.29%		72.45%	
Kappa	0.8924		0.8098		0.8647		0.8334		0.6878		0.6632	

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Table 8 Kappa analysis of significance (at the 95% confidence level) for comparing accuracies for different classification scenarios.

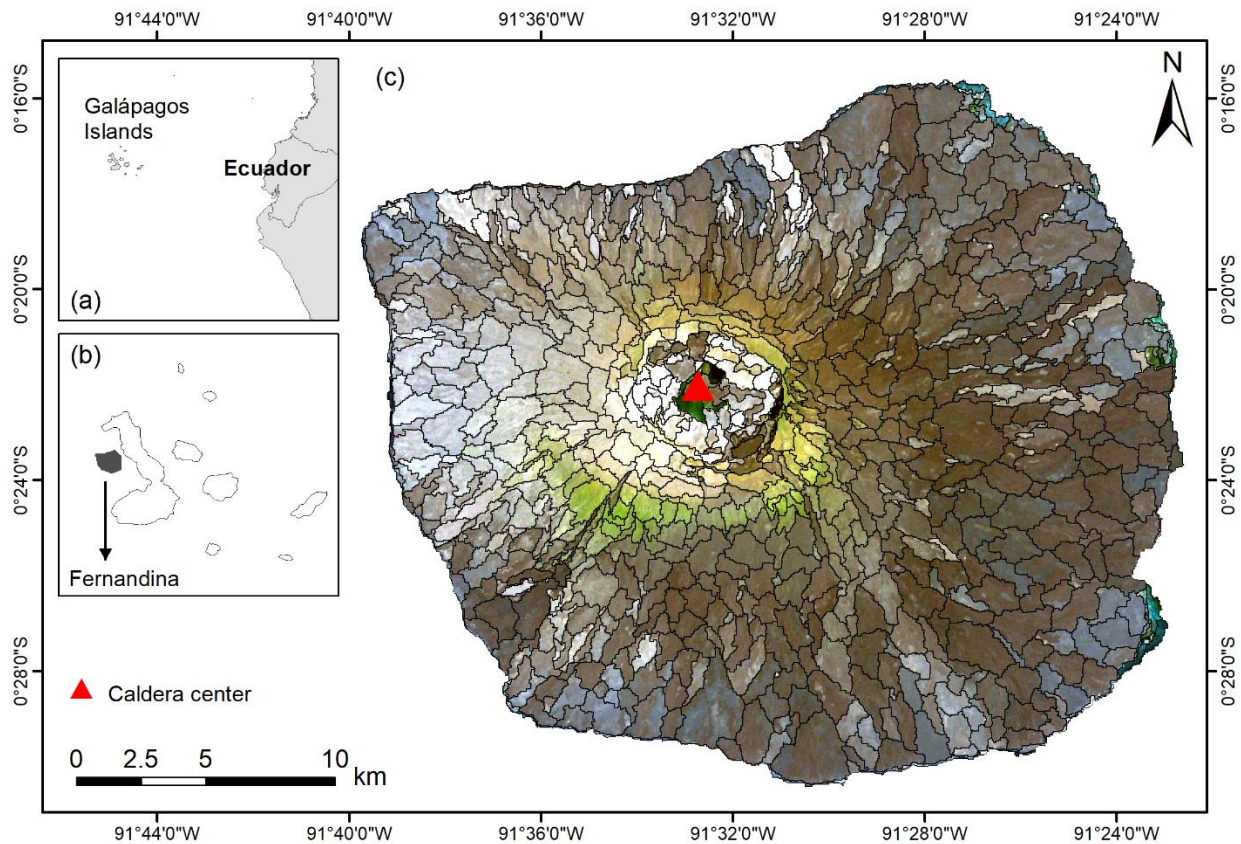
	Comparison	Z statistic	p value	Result
A: Pixel-based	Scenarios 1 vs 3	6.7373	<0.001	Significantly different
	Scenarios 2 vs 4	6.3411	<0.001	Significantly different
B: Object-based	Scenarios 5 vs 7	1.8213	0.0686	Not significantly different
	Scenarios 6 vs 8	2.3788	0.0174	Significantly different
C: Pixel-based vs Object-based	Scenarios 1 vs 5	7.1288	<0.001	Significantly different
	Scenarios 2 vs 6	2.3213	0.0203	Significantly different
	Scenarios 3 vs 7	2.2006	0.0278	Significantly different

429 5 Discussion

430 In this study, pixel-based and object-based random forest classification was carried out in order
431 to classify both individual and grouped lava flows from Nyamuragira using Landsat 8 imagery
432 with topographic variables being used as optional ancillary data. As a result, eight different
433 classification scenarios were defined (Table 4). The lava flow map by Smets et al. (2010) was
434 used to assess the quality of classification results produced by the method presented in the study.
435 The classification results show a good level of accuracy in discriminating individual and grouped
436 lava flows, with pixel-based classification performing mostly significantly better than its object-
437 based counterpart.

438 However, the object-based image segmentation can serve as an interesting tool to separate lava
439 flows of contrasting spectral and textural characteristics and such potential has been
440 demonstrated in previous studies of mapping volcanic deposits including lava flows (Kassouk et
441 al., 2014; Thouret et al., 2015). In order to illustrate its applicability, image segmentation is also
442 tested here on Fernandina Island, which is an active shield volcano of Ecuador's Galápagos
443 Islands in the Pacific Ocean (Figure 7) with a summit elevation of 1476 meters and multiple
444 eruptions recorded in the last century (Smithsonian Institution, 2013). Result of image
445 segmentation which is based on the datasets of Fernandina, similar to those of Nyamuragira,
446 reveals that lava flow surfaces of contrasting colors are separated (Figure 7). Due to a lack of
447 lava age information used for calibration, we are not able to run random forest classification in
448 the case of Fernandina. As such, we highlight that supervised random forest classification of lava
449 flow surfaces into homogenous (age) groups does require the availability of sufficient field
450 knowledge to train the classifier—this is a prerequisite of supervised classification and
451 particularly a need of discriminating of many spatially overlapping and spectrally similar lava
452 flows. However, the reference data—which is a good lava flow map produced by Smets et al.
453 (2010) in our study—does not need to be a complete lava flow map to provide training and
454 validation samples. It is noted that this study does not intend to focus on dating lava flows
455 through remote sensing image classification while how spectral information of lava flows can be

456 used to assess the age of lava flows is presented in other complementary articles (Li et al., 2015a,
457 2015b, 2017 (in prep.)).



458

459 *Figure 7 Image segmentation for Fernandina Island (Ecuador) based on datasets including*
460 *a Landsat 7 ETM+ image (acquired on Oct-26-2001) and 30-meter SRTM DEM*
461 *data. With the scale parameter being set at 15, lava flow surfaces of contrasting*
462 *colors are discriminated through image segmentation.*

463 5.1 Interpretation of feature importance

464 Following previous research (Eisavi et al., 2015; Gislason et al., 2006; Vaglio Laurin et al.,
465 2014), this study paid attention to parameterization of the random forest algorithm used in this
466 study. In fact, it is shown that changing in *n*tree and *m*try parameter values does not result in a
467 substantial differences in OOB error, agreeing with the findings from other studies (Gislason et
468 al., 2006; Liaw and Wiener, 2002). A smaller optimal *m*try value for the pixel-based
469 classification scenarios, but a larger *m*try value for the object-based ones suggests that a larger

470 *mtry* is more suitable if there is a larger number of features, a point already noted by other
471 researchers (Liaw and Wiener, 2002).

472 Random forest grows a number of decision trees by means of bagging (bootstrap aggregating), a
473 type of ensemble classification methods which are generally considered to be black-box
474 classifiers (Gislason et al., 2006). This makes interpretation of random forest quite complex but
475 the feature importance returned by the random forest classifier is very useful for a better
476 understanding of the classification outcome. For most of the classification runs in this study,
477 NDVI is ranked first among the features, which highlights its important role in discriminating
478 lava flows of different age. This is consistent with the observation of reflectance in NIR for
479 Nyamuragira's lava flows (Head et al., 2013), and with the relationships of lava flow age with
480 vegetation fraction (Li et al., 2015a).

481 From the ranking of feature importance for the four object-based classification scenarios, textural
482 features prove to be less important than spectral features, which can be explained by textural
483 similarity between different lava flows. It suggests that at the Landsat 30 m resolution textural
484 features are not that useful for mapping lava flows. Given the ranking of geometric features, they
485 do not seem to play an essential role in lava flow classification. More discussion about the
486 texture and geometry of objects will be provided in the next section.

487 For classification scenarios including topography, Elevation and Aspect are also found to be
488 highly ranked, particularly for Scenario 2. Concerning the role of topography in classification of
489 lava flows, coupled with its effect on classification accuracy, a detailed analysis is given in
490 Section 5.2. When topographic features are removed, BT is higher ranked. Lava flows
491 completely cool down in days to years (<5 years) even if they are thick. The increased ranking of
492 BT after the removal of topographic features is therefore not attributed to actual difference in
493 temperature related to the heat from lava emplacement, but is rather to the variability in lava
494 emissivity. Lava surface emissivity is controlled by multiple factors which can be broadly
495 categorized into two groups: those explaining an initial difference in emissivity between (or
496 within) lava flow fields (e.g. lava surface texture) and those responsible for later emissivity
497 differences as time elapses (i.e. aging factors such as weathering and vegetation) (Byrnes et al.,
498 2004; Kahle et al., 1988; Li et al., 2015b). Particularly, initial lava surface textures have been
499 shown to vary within individual lava flows although this cannot be revealed in a DEM dataset at

500 30 meters, and the textural contrast can be partly correlated to change in slope or elevation (i.e.
501 distance from the vent) (Byrnes and Crown, 2001; Murcia et al., 2014). Despite rough a'a being
502 the main lava morphology type at Nyamuragira, surface roughness of lava flows is modified to
503 some extent as a result of ash fall from later eruptions and weathering products. Chemical
504 weathering changes the color of lava surfaces from dark to yellow/red (Li et al., 2015b) and thus
505 changes lava emissivity (Kahle et al., 1988). In addition, the coverage of lichens and vegetation
506 on the lava flow surfaces also contributes to the variations in lava emissivity.

507 5.2 Comparison of classification scenarios

508 5.2.1 Role of topographic features

509 In terms of feature importance, topographic features, notably Elevation and Aspect, are identified
510 as highly important. In most cases, they help to improve classification accuracies significantly,
511 except for object-based classification of individual lava flows. The initial purpose of taking
512 topography into account was the expectation that topographic features would correct for the
513 variation in spectra observed within single lava flows due to elevation (as elevation impacts
514 vegetation recovery on lava surfaces), but it seems that random forest just groups pixels or
515 objects of similar elevation and aspect together.

516 In general, elevation is used to support classification because it is known that certain classes have
517 certain heights or exist at certain elevations, e.g. contrasting heights between buildings and roads
518 (Li and Shao, 2014) and altitudinal zonation of vegetation on mountains (Ma et al., 2015). In our
519 case study, there is no definite relationship between elevation and lava flow age. A single lava
520 flow however tends to occur on a specific flank and within a limited elevation range in the case
521 of Nyamuragira. Random forest treats all the input features equally—whether or not they are
522 meaningful—selects part of them at random for growing trees, and only considers the features
523 that return lower OOB errors as more important features. As a consequence, to some extent
524 including topographic features in random forest classification for mapping lava flows is
525 appropriate as it increases the chance of pixel/objects located on the same flank orientation and
526 at similar elevation to be attributed to the same class, which is consistent with the emplacement
527 of lava flows. This explains the higher accuracies returned by the classification scenarios
528 including these topographic features. For the classification of lava flow age groups, this might be

529 less valid as different lava flows within a given time period are emitted from different vents.
530 Nevertheless, it is reported that due to the evolution of the magmatic system, the location of
531 eruption evolved in a non-random way over time at Nyamuragira (Smets et al., 2015): eruptions
532 often occurred at the foot of the central edifice between 1938 and 1994 but in the caldera or
533 along the upper flanks afterwards. This might explain why elevation is a significant factor for
534 classifying lava age groups as well.

535 In order to further understand the role that topographic features play in the classification carried
536 out in this study, a consequent future line of investigation would be to explore if topographic
537 features remain important when applying the same classification approach on other volcanoes
538 where lava flows have similar elevation ranges and branches of the same lava flow are
539 distributed on different flanks of the volcano.

540 5.2.2 Individual vs grouped lava flows

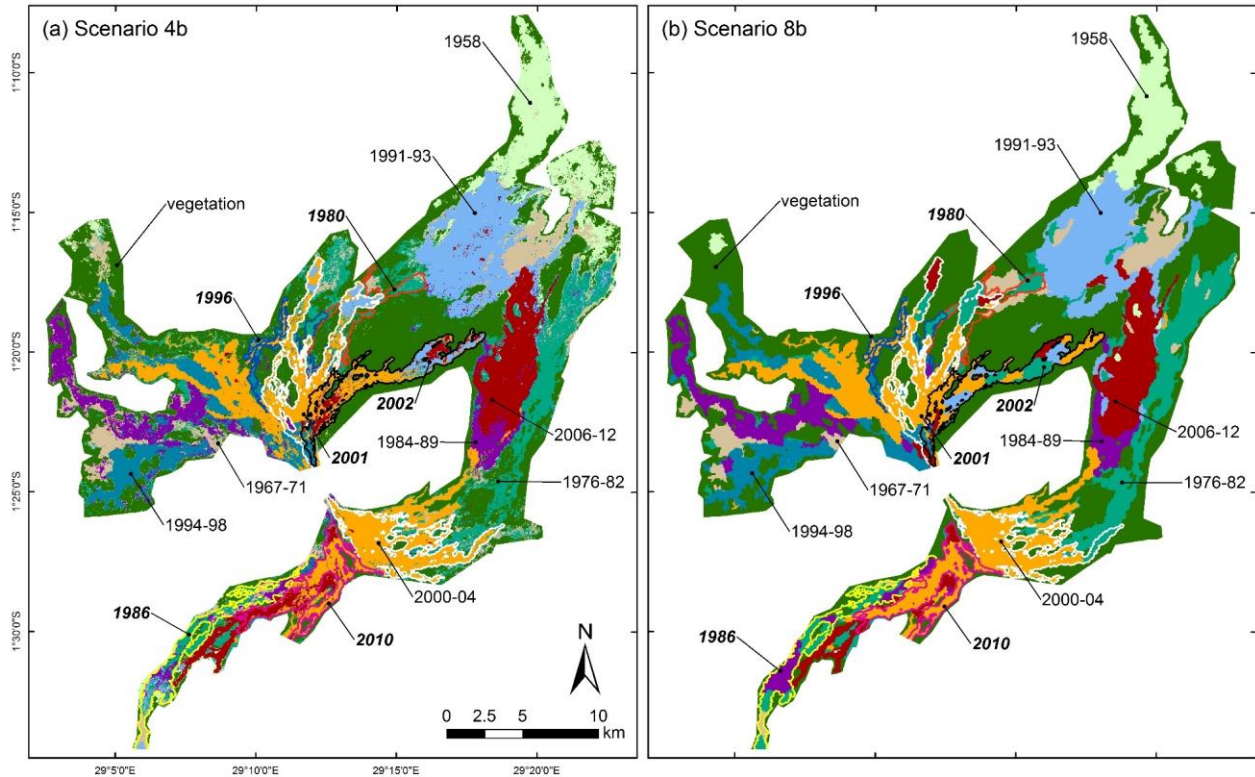
541 Classification of grouped lava flows results in higher classification accuracies than classification
542 of individual lava flows. By combining samples for 20 individual lava flow classes to create
543 samples for eight grouped lava flow classes, in fact we aimed at decreasing the spectral
544 confusion between lava flows of similar age, e. g. the 2000, 2001 and 2002 lava flows. As such,
545 it is logical that overall classification accuracies are improved.

546 It is acknowledged that from a volcanological point of view it is more interesting to map
547 individual lava flows for a volcano, but this is very often problematic as lava flows may be
548 erupted within a short period of time, some eruptions consist of several phases of activity,
549 separated by short repose phases, and can be more complicated to map if flows overlap. This
550 means that mapping lava flows grouped by similar age is a good compromise. Such an approach
551 using age groups is also relevant for volcanoes for which no, or a limited number of, lava flows
552 have been dated. Flows can then be labelled as broad age groups and less detailed training data
553 will be required to perform the mapping. To test this capability, new classification scenarios
554 based on Scenarios 4 and 8—only scenarios without using topography are tested as a
555 demonstration case here—were defined as Scenarios 4b and 8b, for mapping grouped lava flows
556 based on limited ground truth, assuming the existence of some undated lava flows. For the
557 groups consisting of at least three main flows, one lava flow (or two) was not sampled for

558 classification: the 1980 flow in the 1976-82 group, the 1986 in the 1984-89, the 1996 in the
559 1994-98, the 2001 and 2002 in the 2000-04 and the 2010 in the 2006-12.

560 After determination of optimal values for parameters *ntree* and *mtry*, random forest classification
561 was carried out for classifying grouped lava flows at both pixel and object scales. Accuracy
562 assessment (based on the same validation datasets as the other scenarios) shows that
563 classification of grouped lava flows with some of the individual flows omitted for sampling
564 performs acceptably (see accuracy assessment in Table 7), although the accuracy measures are
565 significantly lower than for Scenarios 4 and 8 with all lava flows used in the calibration. Similar
566 to Scenarios 4 and 8, the overall accuracies and Kappa coefficients of the two new scenarios are
567 very close and the Z test further confirms that the two new classification scenarios are not
568 significantly different from each other (Table 9). The classification maps (Figure 8) show that
569 the flow groups with one or two lava flows not sampled are generally more misclassified than the
570 groups with all flows used for training. It is clear that in the pixel-based Scenario 4b and object-
571 based Scenario 8b, the 1986 flow in the 1984-1989 group is largely misclassified as being part of
572 the 1976-82 group, the 1996 flow in the 1994-98 group as being part of the 2000-04 group, and
573 the 2010 flow in the 2006-12 group as being part of the 2000-04 group. This first test suggests
574 that it is possible, to a certain extent, to map grouped lava flows by allocating unknown/undated
575 flow surfaces to a lava flow group and that somehow this approach can be used as an alternative
576 age estimation technique. However, the results presented need to be interpreted with caution as
577 accuracies are reduced for undated flows and confusion arise, especially for fresh flows.

578 *Although the performance of the new classification scenarios is slightly lower than the fully*
579 *calibrated ones, this test demonstrates the possibility of associating a lava surface of unknown*
580 *age to a group of flow of known age. However, it is noted that for the presented approach to be*
581 *used, field knowledge or expert judgment is required to start grouping lava flows of similar age.*



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Figure 8 Classification results for pixel-based Scenario 4b and object-based Scenario 8b, defined to evaluate the accuracy of mapping grouped lava flows without sampling every individual lava flow.

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Table 9 Accuracy assessment for the outcome of the pixel-based Scenario 4b and object-based Scenario 8b for mapping grouped lava flows

New scenario	Parameters for random forest	Overall accuracy	Kappa coefficient	Z static (p)
4b	<i>ntree</i> = 2000	0.7429	0.6878	$Z = 1.3922$
(pixel-based)	<i>mtry</i> = 3			$p = 0.1639$
8b	<i>ntree</i> = 1000	0.7245	0.6632	Not significantly different
(object-based)	<i>mtry</i> = 7			

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5.2.3 Pixel-based vs object-based classification

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No researchers so far have attempted to map Nyamuragira's lava flows using image classification except for [Head et al. \(2013\)](#) who claimed that pixel-based supervised classification was less successful and used pixel-based unsupervised classification (ISODATA) followed by manual editing. As these authors did not report classification accuracies, it is impossible to compare our results with their study.

594 Kappa analysis in this study shows that pixel-based and object-based random forest classification
595 produces significantly different results in terms of classification accuracy. Pixel-based
596 classification of lava flows returns higher accuracy values than object-based classification,
597 except for mapping lava flows age groups without using topographic features. A number of
598 earlier studies (Blaschke, 2010; Ouyang et al., 2011; Whiteside et al., 2011) have shown that
599 object-based classification outperforms pixel-based classification, but it is noted that most of
600 these studies are not comparing “like by like” and often use different classifiers, e.g. pixel-based
601 Maximum Likelihood classifier vs object-based Nearest Neighbor classifier (Ouyang et al.,
602 2011). This makes it difficult to draw a conclusion such as that object-based classification is
603 superior to pixel-based classification. This study suggests that object-based classification is not
604 necessarily better than pixel-based classification when random forest classifier is used. This
605 agrees with Duro et al. (2012) who reach the conclusion that no statistical difference exists
606 between pixel-based and object-based classification when using machine learning classifiers
607 such as support vector machines, decision tree and random forest.

608 It has been widely recognized that one of the advantages of object-based classification compared
609 to pixel-based classification is the possibility of including geometric and textural information
610 related to objects in the classification process. In this study, geometric features and many textural
611 features were extracted for random forest classification but it was found that they are not really
612 important in comparison with spectral features for classifying lava flows (Figure 5). As
613 mentioned earlier in Section 3.4, this is because lava flow objects corresponding to different lava
614 flows do not have discriminating shapes. With respect to textural features, lava flows of different
615 age show limited variance between each other. As a result, the typical advantages of object-based
616 classification do not effectively contribute to mapping lava flows. This may explain why object-
617 based classification is not superior to pixel-based classification in this study.

618 Also image segmentation may strongly affect the accuracy of object-classification. When the
619 pixel-based accuracy assessment approach is used for object-based classification, it actually
620 takes two aspects of object-based classification accuracy into account: the accuracy of the image
621 classification process itself and the accuracy of image segmentation (e.g. two validation pixels
622 within one object might belong to two different lava flows). In this study, the scale parameter
623 used is definitely appropriate, as it partitioned objects along the lava flow edges, but it would

624 also be worth experimenting with smaller object sizes (rather than larger one as they tend to
625 decrease the segmentation accuracies (Liu and Xia, 2010)). This might be a possible step to
626 further improve the accuracy of object-based classification.

627 Although in this study object-based classification does not seem to have clear advantages over
628 the pixel-based approach in terms of classification accuracy, it is clear that the pixel-based
629 classification output suffers from the so-called “salt and pepper noise” while object-based
630 classification output is more homogenous and therefore also more visually appealing. As the
631 segmentation process is driven by the identification of spectral contrasts at the boundaries
632 between flows, object-based classification results in maps more clearly delineating the actual
633 boundary of flow units, even though some objects are misclassified. The results of object-based
634 classification are also in vector format and hence readily available for spatial analysis on hazard
635 assessment in vector-based GIS. Moreover, manual post-classification processing is quite easy
636 for the object-based classification and this has the potential to improve the results significantly
637 (Barraud, 2006; Tarquini and Favalli, 2010).

638 **6 Conclusions**

639 This study reviews previous studies on remote sensing mapping of lava flows in terms of data
640 and methods and also gives a brief introduction to the mapping history of Nyamuragira volcano.
641 Using pixel-based and object-based random forest classification, this study aims at **identifying**
642 individual and grouped lava flows from a **single** Landsat 8 scene supported by DEM data. From
643 the results, it is concluded that:

- 644 • Feature importance analysis shows that, in most cases, NDVI is the most important
645 feature to distinguish lava flows of different age, immediately followed by topographic
646 features if they are included in the classification. For object-based classification, textural
647 features are ranked low while geometric features proved the least useful.
- 648 • Using topographic features of lava flows in random forest classification tend to improve
649 classification significantly.
- 650 • Mapping lava flows grouped by age improves the accuracies of both pixel-based and
651 object-based classification compared to mapping individual lava flows.

652 • Both pixel- and object-based classification performs well for mapping lava flows. Pixel-
653 based classification results in significantly improved accuracy compared with object-
654 based classification, indicating object-based classification does not necessarily
655 outperform pixel-based classification when a machine learning classifier is used. Pixel-
656 based classification results in heterogeneous and fragmental lava surfaces with “salt and
657 pepper noise” while object-based classification produces homogeneous and continuous
658 lava flows, which is more visually appealing. This is because that image segmentation
659 can partition the satellite image, through spectral characteristics, into physically
660 meaningful objects which in agreement with the shape of lava flows.

661 This study applies for the first time a machine learning classification approach to mapping lava
662 flows, with both pixel-based and object-based classification being tested. Lava flows on a
663 tropical volcano are successfully classified and discriminated from one single satellite image, in
664 spite of spatial overlap, vegetation cover and similar age, and thus similar spectral characteristics.
665 It is very likely that accuracy will be improved further if multiple-temporal images are used. This
666 study also highlights that random forest classification can be used for mapping unmapped or
667 poorly mapped volcanoes whose lava flows are not fully vegetated, but it requires certain
668 spectral contrast between lava flows and their surrounding and between overlapping lava flows,
669 as well as background knowledge for calibration.

670 For developing countries, particularly in Africa, radar images and archived documents might not
671 be frequently freely available, but optical multispectral satellite images such as TM, ETM+, OLI,
672 ALI and, more recently, Sentinel 2 are freely accessible through the Internet. As such, this study
673 provides local researchers with low-cost, fast mapping approaches that require relatively minimal
674 resources, for rapid update of maps after new lava flows, for accuracy assessment of existing
675 lava flow maps and for lava flow hazard assessment.

676 **Acknowledgements**

677 Long Li wishes to thank the China Scholarship Council for supporting his research work at the
678 Vrije Universiteit Brussel. This study contributes to a project funded by the Priority Academic
679 Program Development of Jiangsu Higher Education Institutions (Surveying and Mapping
680 Science and Technology discipline).

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