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1 **TITLE CHANGE:**

Rumination Moderates the Association between Resting High-Frequency Heart Rate 2 3 Variability and Perceived Ethnic Discrimination 4 RUNNING HEAD: Resting Heart Rate Variability, Rumination, and Ethnic Discrimination 5 DeWayne P. Williams, M.A.^{1*} 6 Kinjal D. Pandya, B.S.^{1,2} 7 LaBarron K. Hill, PhD^{3,4} 8 9 Andrew H. Kemp, PhD^{5,6} 10 Baldwin M. Way, PhD¹ Julian F. Thayer, PhD¹ 11 12 Julian Koenig, Dr. sc. hum.¹ 13 14 15 ¹Department of Psychology, The Ohio State University, Columbus, OH, USA 16 ²Department of Psychology, University of South Carolina, Columbia, SC, USA 17 ³Center for the Study of Aging and Human Development, Duke University Medical Center, 18 Durham, NC 19 ⁴Department of Psychiatry, Duke University Medical Center, Durham, NC 20 ⁵University Hospital, University of São Paulo, São Paulo Brazil 21 ⁶School of Psychology & Discipline of Psychiatry, University of Sydney, Sydney, Australia 22 23 24 *Corresponding author: Department of Psychology, The Ohio State University, 1835 Neil 25 Avenue, Columbus, OH, 43210, USA: Tel: + 1 614 688 5793. E-mail address: 26 williams.2917@buckeyemail.osu.edu (D. Williams)

28 29

Abstract

30 Ethnic discrimination (ED) is both an unfortunate and uncontrollable phenomenon that uniquely 31 impacts African Americans (AAs) and other individuals of ethnic minority status. Perceived 32 ethnic discrimination (PED), defined as the degree to which an individual consciously perceives a negative event as discriminatory and threatening, largely determines the impact that ED can 33 34 have on target individuals. However, research has not yet considered how individual differences 35 in both emotion regulation abilities, as indexed by resting high frequency heart rate variability 36 (HF-HRV), and rumination, a maladaptive emotion regulation strategy, may predict PED in 37 AAs. The following investigation examined this relationship in a sample of 101 college-aged 38 students (45 AAs and 56 Caucasian Americans). Resting HF-HRV was assessed via 39 electrocardiogram during a 5-minute-resting period. Rumination was assessed using the 40 ruminative responses scale and everyday PED was assessed using the perceived ethnic 41 discrimination questionnaire. Results showed a significant negative relationship between resting 42 HF-HRV and PED in AAs only. Rumination significantly moderated this relationship, such that 43 lower HF-HRV was related to higher PED only in AAs who reporter moderate to higher (β =.417 44 (.125), p < .01) levels of trait rumination. These results suggest that greater HF-HRV and lesser ruminative tendencies are key factors in reducing PED and therefore possibly, negative 45 consequences associated with ED. 46

47 *Keywords:* heart rate variability, perceived ethnic discrimination, rumination, emotion
48 regulation

50

Introduction

51 Ethnic discrimination (ED), defined as the negative treatment of an individual based on 52 their ethnic background, remains a major societal concern and can produce negative outcomes 53 for health in the target group. For example, converging evidence links ED with physiological outcomes such as poorer autonomic function (e.g., blood pressure (BP); Merritt, Bennett, 54 55 Williams, Edwards, & Sollers, 2006), psychological outcomes such as depression (e.g., Noh & 56 Kasper, 2003) and self-esteem (Major, Quinton, & Schmader, 2003), and health status such as 57 cardiovascular disease (see Williams & Mohammad, 2009, for review). As ED is both an 58 unfortunate and uncontrollable phenomenon that uniquely impacts African Americans (AAs) and 59 other individuals of ethnic minority status (Kessler, Mickelson, & Williams, 1999; Landrine & 60 Klonoff, 1996), converging evidence suggests that ED is associated with poorer health in these 61 individuals particularly (Pascoe & Richman, 2009; Todorova, Falcón, Lincoln, & Price, 2010; 62 Williams & Mohammed, 2009; Sellers & Shelton, 2003). In comparison to Caucasian American (CAs), AAs are at elevated risk for morbidity and mortality from the leading causes of death in 63 64 America, including cardiovascular and other diseases (Karlamangla, Merkin, Crimmins, & Seeman, 2006; Mozaffarian et al., 2016). Given the aformentioned negative impact ED can have 65 on health and well-being in AAs, many propose that ED contributes to such health disparities 66 67 (see Williams & Mohammad, 2009, for review).

68 *Perceived* ED (PED) is defined as the degree to which an individual consciously 69 perceives a negative event as discriminatory and threatening (Sellers & Shelton, 2003). It is 70 important to note that ED can be considered detrimental to the target, even if it is not *consciously* 71 perceived (Allison, 1998; Clark, Anderson, Clark, & Williams, 1999; Landrine & Klonoff, 1996; 72 Sellers & Shelton, 2003). For example, one study demonstrated increased blood pressure in AAs

following manipulated ED under both blatant (explicit and conscious) and subtle (ambiguous and *unconscious*) experimental conditions (Merritt et al., 2006). Nevertheless, *everyday* PED may be characterized by individual differences independent of ED, that is, the same ED event may be perceived as either threatening or non-threatening depending on the individual (i.e., more or less PED; Sellers & Shelton, 2003). In this regard, research has primarily focused on social psychological factors as individual differences in PED, such as racial identity and stigma sensitivity (see Major et al., 2002).

80 Interestingly, Berger and Sarnyai (2014) reviewed articles that provided both direct and 81 indirect evidence that chronic exposure to ED may impair executive brain region (e.g., the 82 prefrontal cortex; PFC) function. Executive brain regions, particularly the PFC, is responsible 83 for proper emotion regulation (ER), defined as a process by which individuals can modify their 84 emotional experiences and expressions (for review, see both Etkin, Egner, & Kalisch, 2011; and 85 Lane, McRae, Reiman, Chen, Ahern, & Thayer, 2009). Thus, the researchers proposed that the negative impact ED can have on executive brain region function may lead to a subsequent 86 87 heightened stress response for additional ED (i.e., PED) or other general threat (Berger & 88 Sarnyai, 2014). Therefore, given the role of executive function in regulating emotions, it would 89 be important to consider how ER abilities, as determined by executive brain function, may serve 90 as an individual difference factor in PED.

91 Vagally Mediated Heart Rate Variability as a Psychophysiological Indicator of Emotion 92 Regulation Abilities

A key mechanism for successful ER is inhibitory control – individuals must inhibit inappropriate emotional responses and instead encourage more acceptable, appropriate, and desirable ones (Lane et al., 2009; Thayer, Ahs, Fredrikson, Sollers, & Wager, 2012). Executive

brain regions including the PFC exert an inhibitory influence on subcortical brain structures such 96 97 as the amygdala, allowing the individual to adaptively respond to demands from the 98 environment, and organize their emotional and behavioral responses effectively (Etkin et al., 99 2011; Lane et al., 2009). These core set of brain structures are also structurally and functionally 100 linked with autonomic nervous system (ANS) regulation. The ANS dually innervates peripheral 101 organs including the heart, and in a resting state, ANS influence is characterized by a relative 102 dominance of the parasympathetic nervous system (PNS) over influences of the sympathetic 103 nervous system (SNS; Thayer et al., 2012; Thayer & Lane, 2009). PNS activity is thought to 104 reflect executive brain activity, whereas SNS activity is thought to reflect amygdala activity (see 105 Thayer et al., 2012, for review). The vagus nerve is the primary nerve of the PNS responsible for 106 regulating physiological functions (e.g., immune, inflammatory, and cardiac function; Thaver & 107 Sternberg, 2006; Weber et al., 2010) via inhibitory control. Therefore, resting high frequency 108 heart rate variability (HF-HRV), defined as variability between heartbeats mediated by the 109 vagus, is considered an index of both (cardiac) PNS activity and executive brain function 110 (Thayer et al., 2012), in addition to overall ER abilities. This idea is not without behavioral 111 evidence, as many studies have linked decreased resting HF-HRV with poorer ER (e.g., 112 Appelhans & Luecken, 2006; Melzig, Weike, Hamm, & Thayer, 2009; for review, see Thayer & 113 Lane, 2009; Williams, Cash, Rankin, Bernardi, Koenig, & Thayer, 2015). Overall, resting HF-HRV is a measure of the degree to which the brain's "integrative" system for adaptive regulation 114 115 provides flexible control over both the periphery (Thayer et al., 2012) and self-regulatory 116 processes (e.g., ER; Kemp & Quintana, 2013).

117 Understanding the relationship between ED/PED and resting HF-HRV is both warranted118 and important, but this relationship has not been studied extensively. A handful of investigations

have shown the impact of experimentally manipulated ED on phasic changes in HF-HRV. 119 120 having shown decreased HF-HRV in individuals following the experience of ED (e.g., Hoggard, 121 Hill, Gray, & Sellers, 2015; Neblett & Roberts, 2013). However to our knowledge, only one 122 study has examined the direct relationship between *resting* HF-HRV and everyday PED (Hill, Hoggard, Richmond, Gray, Williams, & Thayer, 2017). This study showed higher self-reported 123 124 PED was associated with lower resting HF-HRV, concluding that repeated exposure of ED may 125 lead to decreased PNS activity overtime (Hill et al., 2017). However, research has not yet 126 considered how resting HF-HRV, as an index of ER abilities, potentially influences the 127 likelihood that an individual perceives everyday negative events as both discriminatory and 128 threatening (i.e., PED).

129 Vagally Mediated Heart Rate Variability, Perceived Ethnic Discrimination, and 130 Rumination

131 Rumination is a factor thought to prolong the negative impact ED can have on 132 physiological arousal and psychological distress, particularly in AAs (Bennett, Merritt, Edwards, 133 & Sollers, 2004; Merritt et al., 2006). Rumination can be defined as the perseverative thinking 134 over stressors, and often predicts negative mental states such as depression and anxiety – making 135 rumination a largely maladaptive coping strategy (Nolen-Hoeksema, Wisco, & Lyubomirsky, 136 2008). However, little research has considered how the tendency to employ particular ER 137 strategies such as rumination may influence determine everyday PED. Given the definition of 138 PED (i.e., a *past* perception of ED), it is possible that individuals with a general tendency to 139 ruminate, may create a "running dialogue" associated with their experiences of ED and thus, the 140 negative threat ED can remain subjectively active/present (i.e., increased PED). Additionally, 141 individuals with lower resting HF-HRV typically employ poorer ER strategies when regulating

142 negative emotions compared to those with higher resting HF-HRV (see Brosschot, Gerin, & 143 Thayer, 2006, for review); indeed, rumination is considered a poor ER strategy characteristic of 144 individuals with lower ER abilities, as indexed by lower resting HF-HRV (Brosschot, et al., 145 2006). However, ER abilities and strategies are conceptually different; ER strategies are thought 146 to be context dependent, that is, some strategies may be more or less adaptive depending on both 147 the individual (e.g., abilities) and the environment (e.g., motivations; Aldao & Nolen-Hoeksema, 148 2012). In contrast, ER ability is a more stable factor across situations (Thayer & Lane, 2000), 149 and thus it would be helpful to understand how both ER abilities and strategies interact to 150 determine PED. However to date, no study has examined how an individual's trait rumination 151 can alter or *moderate* the association between resting HF-HRV and everyday PED.

152 **The Present Study**

153 Research on the relationship between resting HF-HRV and PED is warranted as to our 154 knowledge, only one other study has investigated this link (Hill et al., 2017). From an ER 155 perspective, research has yet to consider resting HF-HRV as an individual difference factor and 156 in everyday PED. Furthermore, it would be important to investigate if trait rumination, a 157 maladaptive ER strategy, moderates the link between ER abilities, as index by resting HF-HRV, 158 and everyday PED. Thus, the present study sought to both (i) replicate previous findings that 159 showed a negative association between resting HF-HRV and everyday self-reported PED (Hill et 160 al., 2017) and (ii) assess how trait rumination may moderate this relationship. We hypothesized 161 that (i) resting HF-HRV would be inversely related to PED, such that AAs with lower HF-HRV 162 would report higher PED and that (ii) this relationship would be moderated by rumination, such 163 that this relationship should be strongest in AAs who report greater trait rumination. We 164 expected to observe no meaningful relationships in CAs. These hypothesized results would

175	General Procedure
172 173 174	Methods
171	outcome variable.
170	the independent variable, rumination as the moderating variable, and resting HF-HRV as the
169	2017). Therefore, we also test the reverse of our proposed moderation model above, with PED as
168	independent variable rather than an outcome (dependent) variable as in previous work (Hill et al.,
167	actual ED. Finally, directionality is important, as we conceptualize resting HF-HRV as the
166	individual difference factors in reducing PED and thereby potentially minimizing the impact of
165	suggest that both ER abilities (i.e., HF-HRV) and ER strategies (i.e., rumination) are important

176 We recruited 101 college-aged individuals (45 AAs, 72 female, mean age = 19.48 (SD: 177 2.26). The experiment was conducted at the Emotions and Quantitative Psychophysiology Lab 178 (EQP) at the Ohio State University. Subjects were recruited from the Research Experience 179 Program (REP) pool at The Ohio State University, allowing students to participate in research 180 for partial class credit in an introductory level psychology course. Participants outside of the 181 REP pool were also recruited and paid for their participation. We asked all participants not to 182 smoke, undergo vigorous physical activity, or drink caffeine six hours prior to the experiment. 183 The study was approved by the institutional review board, and all participants signed written 184 informed consent.

185 All participants were placed in a soundproof experimental room, equipped with a camera 186 and a microphone for safety and instructional reasons, and a high definition TV for stimuli 187 presentation. Participants were given a detailed explanation of the procedures that would take 188 place without indicating the specific hypothesis under study or manipulations applied. 189 Electrocardiogram (ECG) leads were attached to the subjects and while in a separate control

190 room, the experimenter led the subjects to the initial phases of the experiment. First, participants 191 completed a 5-minute resting baseline period, where participants sat in a resting (spontaneous 192 breathing) position, and viewed a blank grey screen. Following this period, participants 193 completed a series of self-report questionnaires.

194

Vagally Mediated Heart Rate Variability

195 Cardiac data was recorded continuously throughout each experiment via a 3-lead 196 electrocardiogram (ECG) at a 1,000 Hz sampling rate using a MindwareTM 2000D (MW2000D) 197 Impedance Cardiograph package. Electrodes were placed (1) below the right clavicle, (2) on the 198 left side of the abdomen (below the heart), and (3) on the right side of the abdomen. Variability 199 between R-spikes in milliseconds was collected to calculate baseline HF-HRV for the full 5-200 minute rest period. Participants' successive IBIs (in milliseconds) were extracted using 201 Mindware[™] HRV Analysis software. IBIs were written in a text file and analyzed using Kubios 202 HRV analysis package 2.0 (Tarvainen, Niskanen, Lipponen, Ranta-aho, & Karialainen 2014), 203 allowing for the calculation of frequency-domain indices of resting HF-HRV. Artifacts within 204 the R-to-R series were visually detected, and we applied an artifact correction level that would 205 differentiate and remove artifacts (differing abnormal IBIs from the mean IBI; smoothing priors 206 as a detrend method; see Tarvainen et al., 2014, for review) using a piecewise cubic spline 207 interpolation method. Autoregressive estimates were calculated, yielding high-frequency power HRV (HF-HRV, 0.15-0.4 Hz) (Thayer et al., 2010; Task Force of the European Society of 208 209 Cardiology, 1996). HF-HRV is a reliable and valid measure of cardiac vagal activity (i.e., HF-210 HRV; Thayer, Hansen & Johnsen, 2010). HF-HRV values were natural log transformed (ln) to 211 fit assumptions of linear analyses. Additionally, high-frequency peak values (HF peak) were

- obtained from the autoregressive analysis as a measure of respiration rate to control for potential
- 213 bias (Thayer, Sollers, Ruiz-padial, & Vila, 2002).
- 214 Self-report questionnaires

215 Perceived Ethnic Discrimination: PED was assessed using the Perceived Ethnic Discrimination Questionnaire (PEDQ). The PEDQ is a 17-item questionnaire designed to assess 216 subjective feelings of everyday ED (i.e., PED) and contains four subscales, including 217 218 discrimination via exclusion and rejection (PED-Exclusion; $\alpha = .70$; source of reliability from the 219 current data), discrimination via stigmatization and/or devaluation (PED-Stigma: $\alpha = .74$). 220 discrimination at work and/or school (PED-Work; $\alpha = .69$), and discrimination via threat and/or 221 aggression (PED-Threat; $\alpha = .80$) (Brondolo et al., 2005). Participants rate the frequency with which they experienced particular situations (sample item: "Because of your ethnicity, have 222 223 others threatened to hurt you") from 1 (never) to 7 (very often). Within the current sample, the 224 PEDQ showed good overall internal consistency ($\alpha = .87$).

225 *Trait Rumination:* Rumination was assessed using the 22-item Ruminative Responses 226 Scale (RRS; Treynor, Gonzalez, Nolen-Hoeksema, 2003). Participants answered on a scale from 227 1 (*almost never*) to 4 (*almost always*), (sample item: *How often do you think* about how alone 228 you feel), with higher values representing higher trait rumination (Cronbach's $\alpha = .92$).

229 Statistics

All statistical tests were conducted using SPSS (ver. 19, IBM Chicago, IL, USA). Independent sample t-tests were also used to examine differences between CAs and AAs on all psychological and physiological variables. Split by ethnicity, Pearson's r zero-order correlation coefficients were calculated in order to illustrate the relationships between all variables.

234 An SPSS macro PROCESS was used (Hayes, 2012) to test if rumination moderated the 235 relationship between resting HF-HRV and PEDQ scores in AAs only, as we did not expect to observe a significant relationship between in CAs. In the program PROCESS, "Model 1" was 236 237 used to test a main effect of the independent variable (IV; resting HF-HRV), a main effect of the 238 moderator (M; RRS scores), and an interaction effect of the two on the dependent variable (DV; 239 PEDO scores). We also tested an alternative version of this model that includes PEDO scores as 240 the IV, RRS scores as the M, and resting HF-HRV as the DV (see Figure 1A for hypothesized 241 moderation model, and Figure 1B for alternative moderation model).

If the 2-way interaction is significant, it suggests that the relationship between the IV and DV changes at different levels of M, (see Hayes, 2012, for review). The nature of the interaction was determined using PROCESS' conditional effects, that is, *how* the IV-DV relationship changes at different levels of M and W. High and low values for the predictor variables are derived using +/- 1SD from the mean, allowing the program to yield predicted values of the DV at varying levels of the predictor variables via regions of significance and simple slope analyses (Hayes, 2012).

249 250

>Insert Figure 1 Here<

Statistics reported include, unstandardized beta (B) coefficients, standard errors (SE; in brackets), 95% confidence intervals, partial correlation coefficients (for interactions), and *p* values. Lastly, potential covariates of resting HF-HRV were controlled for in each model. These variables included respiration rate (HF peak values; Thayer et al., 2002), sex (Koenig & Thayer, 2016), body mass index (BMI; Koenig et al., 2014), and age (Jensen-Urstad, Storck, Bouvier, Ericson, Lindbland, Jensen-Urstad, 1997). All tests were two-tailed and significance levels were evaluated using an alpha of .05. 258

Results

Descriptive statistics, including age, BMI, baseline HR, baseline HF-HRV, PED, and rumination split by ethnicity are given in Table 1. The AA sample showed significantly higher PED in comparison to CAs (t (99) = -8.44, p < .001), and greater resting HR (t (99) = -2.28, p< .05) but did not differ significantly on any other variable (Table 1).

Table 1. Means and Standard Deviations of all Variables Split by Ethnicity
 264

	п	Age	BMI	HR	Respiration	HF-HRV	PED	Rumination
AA	45	19.82 (2.48)	25.16 (5.42)	76.21 (8.16)	.27 (.04)	6.74 (.85)	30.02 (7.29)	41.82 (11.63)
CA	56	19.20 (2.05)	23.92 (3.16)	72.03 (9.85)	.26 (.05)	6.57 (1.05)	20.36 (4.05)	41.61 (10.65)
p		.168	.155	.024	.112	.370	.001	.924

265

Note: This table shows mean (standard deviation in brackets) values on baseline measures split between CAs and AAs. Age was calculated in years, heart rate (HR) in beats per minute, Body mass index (BMI) was calculated in kg/m2, and natural log high frequency heart rate variability (HF-HRV) was calculated in ms². Perceived ethnic discrimination PED was indexed using the perceived ethnic discrimination questionnaire (PEDQ) with higher scores reflect higher PED. Trait Rumination was indexed using the ruminative response scales (RRS), with higher reflecting higher trait rumination (significant p values bolded).

273 274

275 Within the AA group, results showed a significant negative association between resting 276 HF-HRV and total PED scores (r = -.303, p = .041). Subscale results revealed a significant 277 negative relationship between HF-HRV and PED-Stigma (r = -.402, p < .01) while the other 278 subscales were not significant, but trending in the same direction (PED-Exclusion (r = -.241, p) 279 = .107); PED-Work (r = -.197, p = .190); PED-Threat (r = -.142, p = .246)). Total rumination 280 was significantly positively associated with total PED (r = .299, p = .025). Total rumination was also significantly positively associated with PED-Threat (r = .442, p = .002). In CAs, no 281 282 significant relationship between HF-HRV and PED (including all subscales) was found.

- 283 Additionally, no relationship between PED and total rumination was found in CAs (refer to
- 284 Table 2 for correlations between all variables in both AAs and CAs).
- 285
- 286

African Americans	1	2	3	4	5	6	7
1. HF-HRV					-		-
2. Rumination	.188						
3. PED-Total	342*	.299*					
4. PED-Exclusion	258	.018	.711**				
5. PED-Stigma	419**	.095	.745**	.549**			
6. PED-Work	213	.291	.849**	.507**	.443**		
7. PED-Threat	193	.442**	.713**	.151	.391**	.536**	
Caucasian						2	288
Americans	1	2	3	4	5	6 2 2	.89 290
Americans 1. HF-HRV	1	2	3	4	5	6 2	289 2 <u>90</u>
Americans 1. HF-HRV 2. Rumination	1 045	2	3	4	5	6 2	289 2 <u>90</u>
Americans 1. HF-HRV 2. Rumination 3. PED-Total	1 045 173	2 .084	3	4	5	6 2	289 290
Americans 1. HF-HRV 2. Rumination 3. PED-Total 4. PED-Exclusion	1 045 173 057	2 .084 .217	3 .605**		5	6 2	289 290
Americans 1. HF-HRV 2. Rumination 3. PED-Total 4. PED-Exclusion 5. PED-Stigma	1 045 173 057 203	2 .084 .217 .299 *	3 .605** .670**	 .595**		6 2	289 290
Americans 1. HF-HRV 2. Rumination 3. PED-Total 4. PED-Exclusion 5. PED-Stigma 6. PED-Work	1 045 173 057 203 048	2 .084 .217 .299* 090	3 .605** .670** .598**	4 .595** 101	 .177	6 2 2	289 29 <u>0</u>

Table 2. Correlations Coefficients between Variables Split by Ethnicity 287

Note: HF-HRV: high frequency heart rate variability (natural log transformed); Rumination: 301 indexed using the ruminative responses scale; PED-Total: Perceived Ethnic Discrimination total 302 scores – PED-Exclusion: discrimination via exclusion subscale; PED-Stigma: discrimination via 303 304 stigma subscale; PED-Work: discrimination at work/school subscale; PED-Threat: 305 discrimination via threat/aggression subscale. *p<.05 **p<.01

306

307 Controlling for aforementioned covariates, moderation results showed that rumination 308 significantly moderated the relationship between resting HF-HRV and PED in the hypothesized 309 model (Figure 1A; B= -.26 (.12), $r_{partial} = -.350$, p = .028). Conditional effects analyses showed a

significant relationship between resting HF-HRV and PED in AAs with higher (B= -5.16 (1.61), p = .003) to moderate (B= -2.37 (1.14), p = .04) levels of trait rumination, but not in those with lower trait rumination (B= 0.42 (1.74), p = .813) suggesting that AA individuals with lower trait rumination report similar levels of PED despite levels of resting HF-HRV. Likewise, AA individuals with higher resting HF-HRV report similar levels of PED despite levels of trait rumination (B= -0.03 (0.14), p = .835). Moderation tests was not significant using the alternative model presented in Figure 1B (B= -.002 (.001), $r_{partial} = -.228$, p = .164).

- 317
- 318

>Insert Figure 3 Here<

Discussion

319 The current investigation sought to examine the relationship between resting HF-HRV, a 320 psychophysiological index of ER abilities, and PED in AAs. Additionally, we sought to 321 investigate how ruminative tendencies may moderate this association. In line with an earlier 322 report (Hill et al., 2017), there was a significant and negative association between resting HF-HRV and PED in AAs but not CAs. Results also showed a significant negative association 323 324 between trait rumination and PED in AAs only. Subscale analyses showed resting HF-HRV to be 325 most related to the perception of discrimination via stigmatization as indicated by the respective 326 subscale (PED-Stigma), however all subscales' correlations trended (although not significant) in 327 a negative direction. Importantly, trait rumination significantly moderated the association 328 between resting HF-HRV and PED, such that this relationship was only significant in AA 329 individuals with moderate to higher levels of trait rumination. AAs with both lower resting HF-330 HRV and higher trait rumination showed higher PED compared to all other AAs. Overall, these 331 data both (i) support the link between resting HF-HRV and PED in AAs, and (ii) presents trait 332 rumination as an important moderating factor in this association.

333 Implications

334 It is important to note that moderation tests were only significant with resting HF-HRV as 335 the independent variable and PED as the dependent variable (hypothesized model; Figure 1A), 336 and not vice versa (alternative model; Figure 1B). This lends direct support for our novel idea 337 that ER abilities, as indexed by resting HF-HRV, may differentiate AA individuals in everyday 338 PED. Nevertheless, evidence has shown that following experimentally manipulated ED, AAs can 339 also show decreased HF-HRV (e.g., Neblett & Roberts, 2013). Therefore, it is plausible to 340 consider that in an environment where ED often occurs (Sellers & Shelton, 2003), repeated 341 exposure may diminish the integrity of executive brain regions necessary to inhibit the effects of 342 further ED or threat more generally (Berger & Sarnyai, 2014). Such decrements may lead to 343 lower resting HF-HRV in AAs over time (Hoggard et al., 2013, Hill et al., 2017; Neblett & 344 Roberts, 2013). Finally, as we suggest in the current report, chronic lower resting HF-HRV, especially when coupled with rumination, may further exaggerate PED in AAs thereby 345 346 perpetuating a detrimental cycle of stress and disease. Therefore, we propose that resting HF-347 HRV and/or trait rumination are potential "first-steps" in minimizing both the impact of PED on 348 psychophysiological processes, and psychophysiological processes on PED.

349 From a health standpoint, it is interesting to consider research showing that in comparison 350 to CAs, AAs often show greater total peripheral resistance (TPR) and decreased BP at rest. 351 However, a recent meta-analysis by our group showed that AAs have higher resting HF-HRV 352 compared to CAs (Hill et al., 2015) – a paradoxical pattern that we named the "cardiovascular" 353 conundrum". Here, we proposed that greater HF-HRV in AAs serves as a compensatory 354 mechanism, such that AAs may need more ER abilities, and thus higher HF-HRV, to compensate 355 for unique day-to-day stressors such as ED. In support of this idea a recent investigation showed 356 that in 11,989 individuals, Black Brazilians showed greater resting HF-HRV in comparison to

357 both White Brazilians and mixed (Brown Brazilians) individuals, and this relationship was 358 mediated by experiences of ED (i.e., darker skin tone associated with greater experiences of ED 359 associated with higher resting HF-HRV; Kemp et al., 2016). Whereas Kemp et al. (2016) showed 360 ED to be associated with higher resting HF-HRV between ethnic groups, the current results 361 showed that *within* AAs only, greater PED was associated with lower resting HF-HRV but only 362 in those AAs with a ruminative coping strategy. Overall, prior work suggests that ED serves as a 363 mechanism underlying differences in resting HF-HRV between, and the current study suggests 364 that higher resting HF-HRV within the AA group is especially important in minimizing PED.

365 Limitations and Future Directions

366 One major limitation of the current investigation is that it is correlational and thus, 367 causation cannot be determined. Future research should use longitudinal techniques in an attempt 368 to better understand causality. A second limitation of the current study is that socioeconomic 369 status (SES) information was not collected. SES is proposed to be an influential variable in the 370 experience of ED and thus, future studies should examine the current relationship while 371 considering SES. A third limitation of the current study is that the sample consists of apparently 372 healthy, young students. While we were able to provide evidence for an association of PED and 373 HRV in this sample, future studies should examine this relationship on those with preexisting 374 health problems and older subjects in general. Finally, although we required participants not to 375 smoke, undergo vigorous physical activity, or drink caffeine six hours prior to the experiment, 376 we did not verify that participants complied, and future investigations should ensure that this 377 information is collected and considered.

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- 379

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Conclusions

The present study is the first to suggest that lower resting HF-HRV and trait rumination interact to negatively influence PED in AAs. We do not propose that higher resting HF-HRV and/or lower trait rumination can solve the core issues associated with ED. We are, however, proposing that these factors are of particular importance in AAs, as lower PED in a society where ED often occurs may potentially buffer the negative consequences of ED on health and well-being (Pascoe and Richman, 2009; Sellers and Shelton, 2003).

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541 Figure Ledgeds

542

543 Figure 1. Conceptual Proposed Moderation Model

Note: This figure represents the moderation models presented in the current investigation. Figure 1A – Hypothesized model: The independent variable is regarded as resting high frequency heart rate variability (HF-HRV; natural log transformed), the moderator as rumination (ruminative responses scale (RRS) scores), and the dependent variable as perceived ethnic discrimination (PED; indexed by perceived ethnic discrimination questionnaire (PEDQ) scores). Figure 2B – Alternative model: The independent variable is regarded as resting PED, the moderator as rumination, and the dependent variable as HF-HRV.

551

552 Figure 2. Scatterplot of Resting HF-HRV and Perceived Ethnic Discrimination

553 *Note:* figure A represents a scatterplot between resting natural log transformed (ln) high

554 frequency heart rate variability (HF-HRV) and Perceived Ethnic Discrimination Questionnaire

555 (PEDQ). This correlation was significant in African American participants only (r = -.303, p

556 < .05). Figure B shows the correlation between PEDQ and Ruminative Response Scale (RRS)

557 scores (r = .299, p < .05).

558

559 Figure 3. Conditional Effects of Rumination as a Moderation Variable

560

561 *Note:* This figure represents the conditional effects of rumination on the association between HF-562 HRV and Perceived Ethnic Discrimination Questionnaire (PEDQ) scores. Higher and lower 563 estimates of resting natural log transformed (ln) high frequency heart rate variability derived 564 from +/- 1SD from the mean (see Methods for details). Those who scored lower on the 565 Ruminative Responses Scale (RRS) showed no association between resting HF-HRV and PEDQ 566 scores. However, resting HF-HRV was significantly associated with PEDQ scores in those with 567 higher trait rumination, such that lower resting HF-HRV was associated with greater PEDO 568 scores. AAs with lower HF-HRV and higher trait rumination reported the highest PED scores. 569