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1 **Nzinga, J., McGivern, G. & English, M. ‘Examining clinical leadership in Kenyan**  
2 **public hospitals through the distributed leadership lens. *Forthcoming in Health Policy***  
3 ***and Planning.***

#### 4 **Abstract**

5 Clinical leadership is recognised as a crucial element in health system strengthening and health  
6 policy globally yet it has received relatively little attention in low and middle income countries  
7 (LMICs). Moreover, analyses of clinical leadership tend to focus on senior-level individual  
8 leaders, overlooking a wider constellation of middle-level leaders delivering health care in  
9 practice in a way affected by their health care context. Using the theoretical lens of ‘distributed  
10 leadership’, this paper examines how middle-level leadership is practised and affected by context  
11 in Kenyan county hospitals, providing insights relevant to health care in other LMICs.

12 The paper is based on empirical qualitative case studies of clinical departmental leadership in two  
13 Kenyan public hospitals, drawing on data gathered through ethnographic observation, interviews  
14 and focus groups. We inductively and iteratively coded, analysed and theorised our findings.

15 We found the distributed leadership lens useful for the purpose of analysing middle-level  
16 leadership in Kenyan hospitals, although clinical departmental leadership was understood locally  
17 in more individualised terms. Our distributed lens revealed medical and nursing leadership  
18 occurring in parallel and how only doctors in leadership roles were able to directly influence  
19 behaviour among their medical colleagues, using interpersonal skills, power and professional  
20 expertise. Finally, we found that Kenyan hospital contexts were characterized by cultures, norms  
21 and structures that constrained the way leadership was practiced. We make a theoretical  
22 contribution by demonstrating the utility of using distributed leadership as a lens for analysing  
23 leadership in LMIC health care contexts, revealing how context, power and interprofessional  
24 relationships moderate individual leaders’ ability to bring about change. Our findings, have  
25 important implications for how leadership is conceptualised and the way leadership development  
26 and training are provided in LMICs health systems.

27

#### 28 **Introduction**

29 Leadership plays a key role in improving care quality, performance and outcomes in health  
30 systems globally (WHO, 2008, Gilson and Daire, 2011, Alliance for health policy and systems,  
31 2016) and having doctors and nurses in leadership roles has been found to be important in  
32 driving health service improvement (Ferlie and Shortell, 2001, Ham, 2003, Fitzgerald et al., 2013,  
33 McGivern et al., 2015). However, there is relatively little empirical research on clinical leadership

34 in LMICs (Van Lerberghe, 2008), despite weak leadership and managerial capacities contributing  
35 to problems facing health systems in these settings (Egger and Ollier, 2007, Puoane et al., 2008,  
36 Marchal et al., 2010, Moyo et al., 2013).

37 Moreover, leadership in health systems improvement and strengthening is rarely discussed in a  
38 way informed either by leadership theory or an understanding of the ‘messy’ practice of  
39 leadership (Denis et al., 2010). Furthermore, leadership is usually conceptualised as a top-down  
40 and individualised phenomenon, including LMIC health systems. Yet health care delivery  
41 involves multiple actors (Denis et al., 2010), particularly powerful medical professionals  
42 (Freidson, 1988), who often make operational clinical decisions at ward level, in ways influenced  
43 more by collegial mechanisms than line management structures (Ham and Dickinson, 2008).  
44 Accordingly, researchers have shown that leadership in health care usually involves multiple  
45 leaders from different professional groups, at the top and middle-levels of organisations, whose  
46 actions are enabled and constrained by their organisational contexts (Denis et al., 2001, Currie  
47 and Lockett, 2011, Denis et al., 2012, Fulop and Mark, 2013, Ferlie et al., 2013, Nzinga et al.,  
48 2013, Daire and Gilson, 2014, Fitzgerald et al., 2013). Addressing this oversight, we use the lens  
49 of ‘distributed leadership’ (Gronn, 2002) to examine the messy day-to-day practice of middle-  
50 level leadership in Kenyan district hospitals.

51 District hospitals are an important part of health systems in LMICs, delivering essential health  
52 care services in resource poor settings (Hugo et al., 2010), although their functioning is not well  
53 understood (Van Lerberghe, 2008, English et al., 2004). The limited literature on district  
54 hospitals in LMICs tends to focus on performance outcomes (Puoane et al., 2008, Hugo et al.,  
55 2010) and quality improvement in a decontextualized way (Elwyn et al., 2007). Yet hospitals are  
56 complex organizations, whose functioning and performance are determined by both formal and  
57 informal rules, regulations, cultures and norms (Kuhlmann et al., 2016). We focus on day-to-day  
58 leadership of middle level leaders during routine delivery of health care in Kenyan county  
59 (formerly district) hospitals.,.

60 The structure of the paper is as follows. First, we outline theory underpinning our study and  
61 explain why distributed leadership is a useful lens for examining health care. We then describe  
62 the Kenyan county hospital context where our study was situated. We explain the methods we  
63 used to gather and analyse our qualitative data, before presenting our empirical findings and  
64 discussing their implication for health policy and practice.

## 65 Distributed and socially constructed leadership

66 In health care, there is a complex interrelationship between leadership, health professions,  
67 contexts and organizational performance (Ferlie and Shortell, 2001, Goodall, 2011), so leadership  
68 cannot be conceptualized as a top-down and individualized construct. We therefore need a  
69 broader conceptualization of health care leadership, which encapsulates interactions between  
70 leaders, followers and contexts (Edmonstone, 2009, Chreim et al., 2010).

71 Distributed leadership therefore provides a useful framework for understanding how leaders and  
72 followers co-create a shared understanding of their daily interactions (Gronn, 2002, Spillane et  
73 al., 2004) in health care. Distributed leadership is defined as a constellation in which individual  
74 members plays distinct roles and all members work together. It provides a holistic sense of  
75 leadership as a product of leaders and followers co-constructing performance in collective and  
76 group context, and provides a dynamic, non-linear frame on how people and events interact in  
77 organizations (Denis et al., 2001, Gronn, 2002). We use distributed leadership to frame the  
78 process of leadership as a co-construction of shared meaning and action to accomplish common  
79 objectives (Bolden, 2011).

80 Moreover, leadership includes a relational aspect involving power, relationships between actors  
81 involved and the context within which they operate. Thus, through social processes, such as  
82 building interpersonal relationships, influencing and motivating others, we shift from a  
83 perspective of 'who is leading' to 'how leadership is created and accomplished' (Uhl-Bien, 2006,  
84 Martin et al., 2009). Distributed leadership can also therefore be thought of a form of 'relational  
85 leadership'; a process of social influence through which emergent coordination and change are  
86 constructed and produced (Uhl-Bien, 2006). Put simply, distributed leadership conceptualizes  
87 leadership as a collective practice embedded within a wider constellation of relations between  
88 leaders, followers and context (Gronn, 2002, Denis et al., 2012).

89 For Gronn (2002) there are two main dimensions of distributed leadership. *Concertive action* is about  
90 aligning the direction of leadership across different individuals, facilitating collaboration and  
91 sharing of leadership within work groups. *Conjoint agency* is about the nature and quality of  
92 interactions among leaders and followers; how leaders synchronize leadership acts through their  
93 individual plans, those of peers and a willingness to engage in mutual influence with one another  
94 (Gronn, 2002, Currie and Lockett, 2011). Therefore, distributed leadership can be thought of as 'a  
95 process involving multiple agents, including those who might enact leadership and those who  
96 might enact followership depending on context (Gordon et al., 2015, Mehra et al., 2006), involving

97 the ‘influence-ship’ of both leaders on followers and followers on leaders. This reciprocal influence  
98 affects leadership actions whilst contingent on the context in which the interactions happen.

99 Context, including organizational structures, routines, socio-cultural, political and historical  
100 elements, is an important element in the conceptualisation of the dynamics between leadership  
101 and followership (Spillane et al., 2004). Context enables and constrains leadership practice and, as  
102 such, leadership can be thought of as an emergent, on-going negotiation between social actors in  
103 co-constructing meaning, trust and cohesion and better practice (Bolden, 2011).

104 While there has been increasing use of distributed leadership as theoretical ‘unit of analysis’  
105 (Gronn, 2002) in analysing health care leadership particularly in HIC settings (Currie and Lockett,  
106 2011, Fitzgerald et al., 2013, Ferlie et al., 2013), distributed leadership has not been applied in  
107 LMICs. Yet using the distributed leadership lens is critical in LMIC health system contexts,  
108 because, in the frequent absence of effective standardised processes and accountability  
109 mechanisms, its governance is affected by plural and contextually situated modes of professional  
110 organization. Thus, we use the distributed leadership lens to examine clinical leadership in Kenyan  
111 county hospitals, which are similarly embedded in wider complex healthcare contexts. By focusing  
112 on county hospitals in one LMIC, we show how distributed leadership provides a useful lens for  
113 understanding clinical leadership and, in doing so, provide lessons for others analysing leadership  
114 in other LMIC health care contexts.

115

### 116 **The Kenyan health care context**

117 In Kenya, county hospitals serve critical roles as the first level of referral care, while also providing  
118 support to peripheral health facilities such as health centres, dispensaries and the community.  
119 Training of physicians, clinical officers, nurses and on-going medical education are all provided by  
120 the county hospitals. County hospitals consume about 50% of all funding allocated to the Kenyan  
121 health sector (Mills, 1990, Barasa et al., 2015) and employ half of all public health care staff.  
122 Improving the way Kenyan district hospitals are led and managed could therefore have a significant  
123 impact on the country’s health system. Unfortunately, the performance and quality of Kenyan  
124 public sector hospitals is often poor (English et al., 2004, Irimu et al., 2012) due to resource and  
125 structural limitations, inadequate leadership and poor communication between senior and frontline  
126 workers (Nzinga et al., 2009, English, 2013).

127 County hospital heads of departments, including those clinically and non-clinically trained, form  
128 the middle level leadership of these hospitals and play a key role in making improvements in Kenya  
129 county hospitals. Our focus is on these middle level leaders running clinical departments and

130 supervising front-line workers (principally doctors and nurses) (Nzinga et al., 2009, Nzinga et al.,  
131 2013). All middle level leaders report to a senior leadership team, comprising a medical  
132 superintendent (a doctor) and a hospital matron (the head of nursing), supported by a health  
133 administrative officer (without clinical training) (*See Figure 1 below*), who are in charge of translating  
134 health policies into practice. Senior district hospital leaders may also have regulatory roles at county  
135 and national levels (English et al., 2004)

136

137 ***Fig 1: Generic organogram of county hospitals in Kenya with the circle representing the***  
138 ***mid-level leaders of interest for this study***

139 Clinical departments in Kenyan district hospitals (for example medicine, paediatrics, obstetrics and  
140 gynaecology, and surgery) are jointly managed by doctors and nurses (*See Figure 1 above*). Doctors  
141 heading these departments may have a higher degree in an appropriate specialty or, especially in  
142 smaller rural hospitals, a general medical qualification. Nurses ‘in charge’ of inpatient wards and  
143 outpatient departments tend to have more work experience than junior doctors, although few have  
144 higher training in a specific clinical specialty (Nzinga et al., 2013). Senior managers and frontline  
145 workers alike expect doctors running departments to implement policy, lead and motivate staff to  
146 improved service delivery, despite few such doctors having leadership or management training  
147 (Nzinga et al., 2009, English et al., 2011).

148 The poor performance of hospitals in Kenya and other LMICs is often attributed to poor  
149 leadership at operational level (Nzinga et al., 2009, English et al., 2011), yet such leadership is often  
150 situated in a complex healthcare context that undermines leaders’ abilities to act. For example,  
151 decentralization of governance of health services in Kenya and increasing accountability demands  
152 on clinicians taking on leadership and managerial roles (KPMG, 2013) make the enactment of  
153 leadership roles difficult. Consequently, our research question is: ‘how are leadership micro-  
154 practices at the middle level of hospitals (clinical departments) negotiated and enacted?’

## 155 **Methodology**

156 This paper is based upon qualitative case studies of two Kenyan public county (district) hospitals,  
157 focusing on eight mid-level departmental leaders (four in each hospital) running front-line clinical  
158 departments (four medical consultants (three male and one female) and four nurses ‘in charge’ of  
159 inpatient wards (all female). Between February and September 2014, the lead author spent 480  
160 hours shadowing and observing these leaders’ routine hospital work, including during clinical ward  
161 rounds, departmental meetings, hospital management meetings, and continuous learning

162 (continuing professional development) sessions running clinics run (*see interview and observation guides*  
 163 *in appendix*).

164 The lead author interviewed each of the clinical departmental leaders three times, asking questions  
 165 about what influenced them to pursue clinical training, how they came to be appointed as heads  
 166 of departments, day-to day leadership in terms of how they interpreted behaviours acts and  
 167 experiences, their roles and achievements as departmental leaders. She also interviewed three  
 168 senior managers, four mid-level leaders and 21 frontline workers in Hospital A and three senior  
 169 managers, four mid-level leaders and 16 frontline workers in Hospital B during one-to-one  
 170 interviews and focus groups. She asked questions about perceptions of leadership in the  
 171 departments run by the eight departmental leaders. Thus, in total, 61 people were interviewed  
 172 across the two hospitals.

173 We managed and coded data using NVIVO 10 Qualitative data software. We then theorised data  
 174 drawing on Gioia and colleagues' (2013) inductive and Corbin and Strauss' (2014) grounded  
 175 research methods. We started with open coding, looking for inductive concepts and themes (also  
 176 informed by relevant literature), then axially coded these data, allowing concepts to emerge, while  
 177 developing relationships and patterns among categories and themes. We then compared  
 178 concepts emerging from data with leadership literature, taking an iterative approach to  
 179 theorisation (Eisenhardt, 1989, Golden-Biddle and Locke, 2007) to explain the social  
 180 mechanisms and processes through which leadership is enacted in the empirical sites we studied.

181

## 182 **Results**

183 We now describe and explain our empirical findings.

### 184 **Perceptions of leadership as an individualised phenomenon**

185 While we used a distributed leadership lens to analyse mid-level leadership, interviewees  
 186 perceived leadership as individualized, top-down phenomenon, in which clinical departmental  
 187 heads were expected to tell clinical staff what to do. As a result, followers demonstrated little  
 188 personal agency. As a consultant paediatrician leading a department noted:

189 *“When I left, some of my staff felt lost because I was not there to give them direction... I felt like I had*  
 190 *not build structures to support things. I felt like I was the one man show but I said that has to change...  
 191 they should not think that I should always be there for things to go on.”* **Paediatric consultant,**

192 **Hospital A**



193 Most respondents also conflated leadership with being a departmental “figure head”,  
 194 “spokesperson” and “role model”, as noted below:

195 *“Our consultant is hilarious and so, so good. He knows his stuff also and... is not [just]... focused on*  
 196 *medicine and the patient... he brings some social aspects, cultural aspect.”* **Medical officer intern,**  
 197 **obstetrics/gynaecology rotation, Hospital B**

198 *“They expect you to be the role model in everything, even just coming on duty, putting on proper uniform,*  
 199 *even the language. Even in the working... they expect you to show them. You teach them OK, mostly*  
 200 *they always act like we do.”* **Nurse manager, Maternity ward, Hospital A**

201 Heads of departments’ formal responsibilities and accountability within the departments  
 202 underpinned the individualized view of leadership. As a medical consultant running a department  
 203 noted:

204 *“My role as head of the department is to make sure that everything in the pediatric department is*  
 205 *running. Doing daily ward rounds, outpatient clinics and specialist clinics... academic mentorship to*  
 206 *clinical officers to medical officers and interns.”* **Pediatric consultant, Hospital B**

207

#### 208 Leadership along professional hierarchies

209 A key feature of the context in which middle-level leadership occurred in district Kenyan  
 210 hospitals was inter-professional stratification, particularly between doctors and nurses, producing  
 211 parallel lines of leadership. Nurse ‘in charges’ supervised nurses in departments, whose work  
 212 plans were developed separately from those of medical officers, medical and clinical officer (non-  
 213 physician clinicians) interns, who were supervised by medical consultants, as described below:

214 *“When it comes to the CO [clinical officer] interns, there’s a bit of interference from their in-charge. For*  
 215 *example, you might have a number of CO interns in your rotation, and then you come on a random day*  
 216 *and you find the CO in-charge has actually deployed them somewhere else to do some work, and a Head*  
 217 *of Department, you really have no powers to contest that. The nurses, we have always worked as parallel*  
 218 *systems, so the nurses have their own way of reporting and the Medical Officers also have their own way*  
 219 *of reporting but we’ve never had that clash, somehow we’ve been able to accommodate each other. But that*  
 220 *doesn’t seem to happen with the CO interns because there will be some decision from their in-charge and*  
 221 *somehow that decision will be ... there’ll be very little that you can do to influence that decision when it’s*  
 222 *made. So yeah, that again is quite a challenge I would say from the admin side.”*

223 **Obstetrics/gynaecology consultant, Hospital B**



224 Relationships in clinical department also developed around professional specializations, with  
 225 limited opportunities for different professional groups to meet and discuss departmental issues  
 226 as a team. From observations, meetings were cadre specific and nurses and doctors rarely  
 227 interacted. Even where standard operating procedures were designed to be multi-disciplinary,  
 228 they were not always enacted in multi-disciplinary ways, as the following interview extract  
 229 indicates:

230 *“The collaboration between us and nurses... could be better. For example, when we hold mortality*  
 231 *meetings, the nurses should be there but often... they are not and also we rarely see them (nurses) join*  
 232 *ward rounds.” Paediatric medical officer, Hospital A*

233 *“We even have Continuous Medical Education (CME) every two weeks but we can’t attend, we have so*  
 234 *much work, so you don’t really have time for CME’s.” Paediatric nurse, Hospital A*

235 Doctors usually made departmental decisions individually, without involving their teams or nurse  
 236 managers within the same department. Nurses also made decisions on ward operations  
 237 independently, without involving their nursing teams or medical consultants.

238 Despite hospital administrators recognising problems resulting from parallel lines of leadership,  
 239 it was accepted as a cultural norm and remained unaddressed, undermining the possibility of  
 240 team or distributed leadership, as indicated by the interview extract below:

241 *“Well we have work plans per departments and the nursing staff, they do their work with the nursing*  
 242 *manager based on their profession. The doctors will do their work with their consultant in their*  
 243 *department but the only challenge that we have had is marrying the work plan of the nurses and that of*  
 244 *the clinicians. So that gap is there and we are still thinking of another way to address this.” Medical*  
 245 *Superintendent, Hospital A*

246 Respondents described medical dominance within the interprofessional hierarchy affecting  
 247 leadership in these hospitals. As a medical head of department noted:

248 *“As consultants, we are the top leadership of the department, so we make the decisions on everything.”*  
 249 *Obstetrics and Gynaecology Consultant, Hospital A*

250 Clinical heads of departments’ senior medical professional identity, presumed clinical knowledge  
 251 and expertise appeared to provide taken-for-granted authority in leadership roles. For example, a  
 252 medical officer described the consultant leading their department as:

253 *“Someone who wasn’t just given a head of department position, that it is someone who is very*  
 254 *knowledgeable.” Medical Officer Intern, Obstetrics and Gynaecology, Hospital B*

255 Our observations suggested that even inexperienced medical doctors had authority over nurses.  
 256 So, nurse managers with more technical experience struggled to exercise authority over the  
 257 medical interns. A nurse noted:

258 *“When the clinical interns come, they look down upon you. But you see, I’ve worked in paediatrics for*  
 259 *long, so I know what the consultant expects. So, when you are trying to tell that intern, he’s like ‘who are*  
 260 *you?’” **Paediatric Nurse Manager, Hospital B***

261 Nurses’ experiential knowledge was also less valued within the clinical departments and nurse  
 262 leaders were expected to play supportive roles to doctors. As a consultant noted:

263 *“We (medical doctors) are the main decision-makers in the ward... but for the supplies and resources*  
 264 *generally... you have an efficient nurse who makes sure all of that is delivered.” **Paediatrics***  
 265 ***consultant, Hospital B***

266 Few nurse managers appeared empowered by their leadership role. For example, even a nurse in-  
 267 charge of paediatrics, who interviewees considered charismatic, motivating and inspiring did not  
 268 consider herself a leader. As she commented:

269 *“I am someone who minds my own business and I don’t see it as a short coming and I like seeing things*  
 270 *organized... that is just my initiative... another person without that character... will do the bare*  
 271 *necessity.” **Nurse Manager, Hospital A***

272 Nurse managers often appeared approachable, empathetic and understanding towards team  
 273 members, using informal interpersonal relationships to influence change, as the following  
 274 interview extracts suggest:

275 *“[Nurse manager] really tries his best to balance being an administrator, a teacher and also a friend. He*  
 276 *tries to know what’s going on in people’s lives, so he tries to reach out and he is outgoing... he is very*  
 277 *good with the nurses.” **Maternal and child health nurse, Hospital A***

278 *“As a departmental head... first of all you listen to them [nurses] and understand that each one of us*  
 279 *has got problems and you are dealing with adults... if you don’t solve their problem, then you are even*  
 280 *creating problems for yourself.” **Maternity nurse Manager, Hospital B***

281 During observations of hospital management team meetings nurse managers played silent and  
 282 supportive roles, unable to challenge the perceived expertise and authority of medical  
 283 professional colleagues. A nurse reported:

284 *“Our nurse manager is supportive, a team player but with the hospital administration he feels*  
 285 *intimidated. He cannot report to the administration the needs of the department because he is afraid that*

286 *he may be pinned down there, so when he comes back to us, he will just be silent.” Paediatric nurse,*  
 287 ***Hospital B***

288 Only a few clinical departmental leaders, particularly those with social skills and knowledge of  
 289 the local hospital context, had the authority and credibility to actively solve problems, as a  
 290 consultant explained:

291 *“[I] solve problems rather than blaming others or shifting problems to others. Like if there is no oxygen*  
 292 *for patients who need it, I won’t start saying that the administration is not giving them oxygen, I will*  
 293 *look, talk to the maintenance; ‘what is your problem?’ Maintenance will tell me it is procurement.*  
 294 *Procurement will tell me we have a debt. So, I know the whole side of things. I actually went to see what*  
 295 *the problem is, so I think that is what has helped me.” Paediatric consultant, Hospital A*

296 More commonly, however, we observed medical consultants using coercive power and  
 297 intimidating junior staff to make things happen, as a medical officer describes below:

298 *“The way she [departmental leader] talked to us! She would tell us sometimes: ‘I don’t trust your*  
 299 *decisions; see the way you make poor decisions’ ... all those bad things. She was not encouraging, she was*  
 300 *finding fault at your decisions, and doing it in front of the patients. She was not encouraging.” Medical*  
 301 ***officer intern, Paediatrics rotation, Hospital B***

302 Clinical departmental leaders rarely recognised effort or praised their teams and were more likely  
 303 to point out inadequacies and failures. This created a blame culture and poor interpersonal  
 304 relationships, which subsequently became accepted as the norm. Another medical officer intern  
 305 noted:

306 *“Nobody will applaud you for the good things, the bad things will be detected.” Medical officer*  
 307 ***intern, Paediatrics rotation, Hospital B***

308 Intimidation was also seen to characterize senior management:

309 *“[Senior managers] play the intimidation game. They tell you, if you do this we will not pay you.”*  
 310 ***Medical officer, Paediatric rotation, Hospital A***

311 Top-down communication was seen to be problematic too:

312 *“As a team leader, communication downwards or upwards it is a challenge... communication from the*  
 313 *topmost administration... is tricky”. Nurse ‘in charge’, Paediatrics ward, Hospital B*

314 In sum, interprofessional hierarchies and boundaries significantly affected mid-level leadership  
 315 practices, with doctors ‘naturally’ assuming leadership roles, due to their perceived credibility and  
 316 expert medical knowledge, while nurse leaders played quieter supportive roles.

317

318 [How context shapes and is shaped by leadership](#)

319 Interestingly, we found little difference between patterns of leadership in the two hospital we  
 320 studied. In both hospitals, departments usually lacked standardized ways of working, clear goals,  
 321 aims, job descriptions, accountability and supervision. Without these procedures, mid-level  
 322 leaders were, in effect, often unaccountable for their own and their teams' conduct.  
 323 Simultaneously, inertia was deeply embedded within the hospital cultures, meaning that clinical  
 324 staff simply ignored problems, as described below:

325 *“There are conflicts or disagreements in this ward... We don't bring it up. You keep quiet and it goes*  
 326 *away... The victimization is really a lot in this hospital. You don't go and report because if you do it*  
 327 *will come back to you.” **Medical officer, Gynaecology, Hospital A***

328 We also observed the way conflicts, poor practices, negative work climates and health worker  
 329 norms were both accepted and taken-for-granted, and leaders' ignorance (or ignoring) of such  
 330 issues only reinforced this. Thus, negligent practices, even those resulting in fatalities, simply  
 331 went unreported, as the interview extract below describes:

332 *“You have called the anaesthetist at 2pm, the guy shows up at 6pm. You go in and remove the dead*  
 333 *baby, who was alive from 2pm to 5pm, and you are removing the foetus at around 5.30-6pm. I am*  
 334 *afraid of going to report this guy, because it will come back to me and they will say I am the one who*  
 335 *reported him. So, you just keep quiet and maybe when the case is taken upstairs and when the matron*  
 336 *looks at the file then she will summon him.” **Medical Officer, Obstetrics and Gynaecology,***  
 337 ***Hospital A***

338 While nurse managers were continuously present in the hospitals, clinical consultants were often  
 339 absent, some spending only a few hours in the public county hospitals per week. However, the  
 340 few middle-level medical leaders who were physically present in their clinical departments had  
 341 made significant effort and progress in improving service delivery. For instance, one ward,  
 342 which stood out in terms of cleanliness, staff punctuality and high quality, team-based patient  
 343 care, was led by a consultant paediatrician who, from our observations, role-modelled good  
 344 clinical practice, interpersonal relationships and behavior expected of staff. The consultant  
 345 noted:

346 *“You can drive the agenda... people used to start ward rounds at 9am... continue to 1p.m visiting*  
 347 *hours. But now we have been starting our rounds at 8a.m. And we have been having a feedback-like*

348 *report in the morning. So that the person on night duty tells us what happened at night. As a head of*  
 349 *department actually you... can bring in such changes.” Paediatrics Consultant, Hospital A*

350 So, while ineffective managerial procedures, inert organizational culture and poor practices were  
 351 accepted as the norm, where doctors in leadership roles were motivated to do so, they could  
 352 bring about improvements to health care delivery.

353

## 354 Discussion

355 Using distributed leadership as the unit of analysis (Gronn, 2002), we examined leadership in  
 356 Kenyan hospital departments at micro-level, focusing on individual leaders (clinical heads of  
 357 department and nurse ‘in charges’) situated within organizational context and social processes,  
 358 involving interactions between multiple professional actors. Four key themes emerged from our  
 359 analysis.

360 First, we found clinical departmental leadership was heavily affected by taken-for-granted  
 361 individualised concepts of leadership, top-down authority and medical professional dominance,  
 362 reflecting other research on leadership in Kenyan health care (Nzinga et al., 2009), other LMIC  
 363 health care systems and global health care more generally (Freidson, 1988, Denis et al., 2001,  
 364 Ferlie et al., 2013). Thus, leadership in such settings cannot be explained in individual terms but  
 365 ought to be considered in relation to organizational structures and wider (inter)professional  
 366 norms.

367 Second, our research shows how power is fully implicated in leadership, reflecting existing  
 368 research (Smircich and Morgan, 1982, Pfeffer, 2010). Indeed, Kenyan hospital managers have  
 369 been shown to be powerful actors expressing ‘power over, power with, power to and power  
 370 within’ (VeneKlasen et al., 2002) routine hospital priority setting activities (Barasa et al., 2016).  
 371 Likewise, we found that professional ‘expert power’ (Raven, 1992) to be a crucial component of  
 372 leadership in LMIC healthcare, anchored particularly in clinicians’ specialized knowledge, which  
 373 was often uncontested in Kenyan hospitals. Indeed, most mid-level leaders in our study relied on  
 374 their expert power to lead departments and influence colleagues and juniors. Moreover, because  
 375 of their dominance within the professional hierarchy, and greater representation in hospital  
 376 management meetings, doctors were able enact leadership roles in the Kenyan county hospitals  
 377 in ways that could potentially influence how health care was delivered. Such professional power  
 378 is so deeply embedded and taken for granted in health care, that the associated problems it also  
 379 propagates appear to be accepted. Thus, professional power and politics may also undermine the

380 development of distributed leadership, where it requires power to be exercised at all  
381 organizational levels and by different professional cadres (Gordon et al., 2015).

382 Third, leader-follower relations occurred along cadre-specific lines, affected by professional  
383 power and social identities, with little multi-disciplinary interactions or conjoint agency (Gronn,  
384 2002). Within their profession, medical consultants and nurse leaders were seen as  
385 knowledgeable experts, expected to provide coaching and mentorship to junior professional  
386 colleagues. Yet there was little inter-professional collaboration, multi-professional teamwork or  
387 diffusion of knowledge and experience across professional cadres, which distributed leadership  
388 requires. This may require leadership building trust, respect and inspiring common goals across  
389 professions (Mehra et al., 2006).

390 An emerging and related observation is that hospital leaders require leadership training and  
391 development to understand and address the contextual, (inter)professional and political factors  
392 affecting their ability to change and improve health care systems. Such software skills, including  
393 understanding how to use different sources of power, engage in local politics and cultivate  
394 facilitative relationships, are vital leadership skills.

395 Finally, we found a general pattern of inertia in the hospitals we studied. However, mid-level  
396 leaders with intimate knowledge of their organizations and informal social networks can  
397 negotiate and influence change in ways that senior leaders cannot (Huy, 2001, Dopson and  
398 Fitzgerald, 2006). Moreover, middle level leaders spend significant amounts of time  
399 communicating information, providing a useful resource in connecting with others (Nzinga et al.,  
400 2013) and developing shared meanings (Rouleau and Balogun, 2011). However, in our study  
401 poor communication structures between senior and middle-level leaders and between mid-level  
402 leaders and their teams resulted in individualised, professionally dominated models of leadership,  
403 which often perpetuated apathy and inertia among followers. Yet, in rare cases, departmental  
404 medical leaders, who were physically present in their hospital departments, motivated improved  
405 work practices, role-modelled good professional practice and behaviours, and developed inter-  
406 personal and interprofessional team work, did make some changes.

#### 407 **Implications for policy and practice and future research**

408 Our study has implications for health care policy and practice in Kenya and other LMIC  
409 contexts. Firstly, our findings highlight the critical importance of reconceptualising leadership in  
410 distributed rather than individual terms; as a collective social process situated in context and  
411 affected by (inter)professional politics. Second, leadership training accordingly needs to focus on  
412 developing conceptual, analytical and political skills to resolve the complex problems leaders face

413 in practice, rather than concentrating only on technical skills and competencies, as is currently  
414 the case in Kenya and other LMICs. Such training needs to be contextually rich, to help leaders  
415 diagnose organisational contexts, understand the political consequences of their actions,  
416 particularly for professional hierarchies, to develop relationships and learn to use power to bring  
417 about constructive and sustainable change.

418 Moreover, where effective hospital departmental leaders are spotted, they need to be nurtured  
419 and brought together with other like-minded and talented leaders (Lehmann and L. 2013,  
420 Lehmann and Gilson, 2014). Leadership that ignores contexts, professional authority, relations  
421 and power will do little in strengthening health systems and remedying the many significant  
422 problems facing health care systems in LMICs.

423 Future research might attempt to explore the development and implementation of leadership  
424 training programmes providing contextually embedded software skills and test their impact on  
425 leadership and hospital performance.

426

## 427 **Conclusion**

428 This paper explains mid-level leadership on the front line of health services in Kenyan district  
429 hospitals from a distributed perspective. It provides contextually situated lessons for those  
430 seeking to understand and develop leadership in other LMIC health care settings, where such  
431 research remains underdeveloped. Indeed, to the best of our knowledge, our study is the one of  
432 the first using the distributed leadership lens to understand healthcare leadership in LMICs.

433 We argued that using a distributed leadership lens to analyse leadership in LMIC health care,  
434 rather than individual 'leader' oriented perspectives, is crucial because of (inter)professional  
435 power, politics and parallel leadership between nurses and doctors. Indeed, these are also likely  
436 to undermine the development of distributed modes of leadership in practice. By focusing on  
437 everyday leadership practices, we provide descriptions of complex and relational distributed  
438 leadership processes in which the exercise of power is critical to influencing change. Our  
439 findings have implications for health leadership and managerial development programmes, which  
440 tend to focus on technical skills but ignore software skills and the way power, politics and  
441 context influence leadership practices and outcomes.

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