

1           **Evaluation of analgesic effect and absorption of**  
2           **buprenorphine after buccal administration in cats**  
3                           **with oral disease**

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24

25 **Abstract**

26 **Objectives:** To evaluate the analgesic effect and the absorption of buprenorphine after buccal  
27 administration in cats with oral disease.

28 **Methods:** Six adult client-owned cats with chronic gingivostomatitis (weighing 5.1kg +/- 1.1kg) were  
29 recruited for a randomised, prospective, blinded, saline controlled crossover study. Pain scores, dental  
30 examination, stomatitis score and buccal pH measurement were conducted on day 1 under sedation in  
31 all cats. On day 2, animals were randomized in two groups and administered one of the two treatments  
32 buccally (group A received buprenorphine 0.02mg/ kg and group B received 0.9% saline) and vice versa  
33 on day 3. Pain scores and food consumption were measured at 30, 90 and 360 mins after the  
34 administration of buprenorphine. Blood samples were taken at the same time and plasma  
35 buprenorphine concentration was measured by liquid chromatography- mass spectrometry. Data were  
36 statistically analysed as non-parametric and level of significance was set as  $P < 0.05$ .

37 **Results:** There were no major side effects after buprenorphine administration. Buccal pH values ranged  
38 between 8.5-9.1 and stomatitis disease activity index 10-22 (17.8 +/- 4.5) with the scale ranging from 0-  
39 30. The maximum buprenorphine plasma concentration (14.8 ng/ ml) was observed 30 minutes after  
40 administration and there was low interindividual variability. There was a significant difference  
41 between baseline pain scores compared to pain scores after buprenorphine ( $P < 0.05$ ) and between the  
42 saline and buprenorphine group at 30 mins ( $p = 0.04$ ) and 90 mins ( $P = 0.04$ ). There was also a significant  
43 effect of stomatitis index on pain score. Regarding the pharmacokinetic parameters, cats with stomatitis  
44 showed lower bioavailability and shorter absorption half-life after buccal administration of  
45 buprenorphine compared to normal cats in previous studies.

46 **Conclusion and clinical relevance:** Buccal administration of buprenorphine in cats with  
47 gingivostomatitis produces an analgesic effect and low interindividual variability in plasma

48 concentration and it can be incorporated in the multimodal analgesia plan of cats with  
49 gingivostomatitis.

## 50 **Introduction**

51 Pain management is the cornerstone of veterinary practice and constitutes not only a professional  
52 obligation but also a way to enhance animals' quality of life. In the recent years, there has been increased  
53 interest into pain assessment and management in cats that have been historically undertreated for pain  
54 compared to other species.<sup>1-3</sup>

55 Opioids play an important role in the multimodal approach to pain management in cats with  
56 buprenorphine being one of the drugs most widely used.<sup>4</sup> Buprenorphine, a highly lipophilic semi  
57 synthetic partial agonist at  $\mu$  (mu) opioid receptors, is considered a unique drug with complex  
58 pharmacology.<sup>5</sup> It is the most commonly used opioid in small animal practice in the UK,<sup>1</sup> being also  
59 widely used in the vast majority of continental Europe, Australia and South Africa.<sup>2, 6</sup> Common  
60 morphine and hydromorphone side effects such as nausea, vomiting and salivation are rarely seen after  
61 buprenorphine<sup>7</sup>. This advantage, alongside with its efficacy and long duration of action<sup>8, 9</sup> justifying its  
62 popularity.

63 In feline patients, studies have proven that the buccal route of administration (OTM) of buprenorphine  
64 shows a bioavailability similar to the intravenous (IV) and intramuscular (IM) routes.<sup>10-12</sup> According to  
65 Robertson et al (2005),<sup>10</sup> the analgesia provided by the buccal administration is comparable to the one  
66 of alternative routes. However, among others the study from Giordano et al. (2010)<sup>13</sup> demonstrated  
67 inferior analgesic effect of the buccal route compared to IV and IM after ovariectomy and Santos et al<sup>14</sup>  
68 found less sedative effect after buccal administration of dexmedetomidine and buprenorphine  
69 compared to IM route.

70 The systemic absorption of buprenorphine after buccal administration depends on the mucosal pH.  
71 Buprenorphine is a weak base pKa (8.24) and therefore an alkaline environment, such as the cat's oral

72 cavity with pH between 8 and 9, favours its unionised form and enhances its bioavailability by avoiding  
73 the first pass elimination.<sup>10, 15</sup>

74 The blood-sampling site has also an impact on buprenorphine concentration–time profile. Following  
75 buccal administration in cats, venous blood sampling from a jugular site is not an acceptable substitute  
76 for arterial blood sampling,<sup>16</sup> as the perfusion of the oral mucosa drains from the same vein resulting  
77 in overestimation of drug's systemic availability. The above can explain the high bioavailability of  
78 buprenorphine (116%) found in previous studies<sup>10</sup> following buccal administration as external jugular  
79 was used for sampling.

80 Severe inflammation of the oral cavity, described with the term gingivostomatitis,<sup>17</sup> is a multifactorial  
81 disease often seen in feline patients and it can be a chronic, devastating and painful condition. The exact  
82 aetiology of the condition is unknown, with environmental factors, bacterial and viral infection being  
83 most often implicated,<sup>18</sup> though neoplastic, autoimmune, developmental and congenital conditions can  
84 be recognised as co-factors as well. Clinical signs include oral pain, halitosis, dysphagia, anorexia and  
85 weight loss, while some cats are euthanized because of poor quality of life.<sup>19</sup> Treatment of  
86 gingivostomatitis is mainly symptomatic and involves antibiotics, corticosteroids, opioids, non-  
87 steroidal anti- inflammatory agents (NSAIDs), laser thermoablation, cyclosporine, oral surgery and  
88 tonsillectomy. Plasmapheresis, human immunoglobulin and feline interferon omega have also been  
89 used.<sup>20</sup> It is not known whether the presence of gingivostomatitis affects the saliva pH and thereby the  
90 absorption and the bioavailability of buprenorphine after buccal administration.

91 We designed a saline-controlled crossover efficacy and pharmacokinetic study in cats with  
92 gingivostomatitis to assess whether the presence of oral inflammation in the oral cavity affected the  
93 rate of oral transmucosal absorption, the overall systemic uptake and the analgesic efficacy of  
94 buprenorphine. Our alternative hypothesis was that there would be a difference in analgesia between  
95 the buprenorphine and saline groups after buccal administration, with buprenorphine providing  
96 superior analgesia. The prevalence of feline gingivostomatitis in the UK is 0.7%, but appears to be much

97 higher (13.1%) in studies in United States and Southern Europe.<sup>18</sup> Due to the higher prevalence of oral  
98 diseases in Southern Europe we recruited patients at the Aristotle University (Greece).<sup>21</sup>

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## 100 **Materials and methods**

101 The study was designed as a randomised, prospective, saline-controlled, blinded crossover study. The  
102 design is summarised in Figure 1. Ethics approval was granted by the Aristotle University of  
103 Thessaloniki, Greece and written owner consent was obtained for this clinical trial.

104 Six client owned adult cats, ASA (American Society of Anaesthesiologists) physical status I or II, with  
105 evidence of oral inflammation were included in the study. No abnormal finding other than signs of  
106 gingivostomatitis was detected during physical examination. The cats had not received any opioids  
107 five days prior their arrival. Concurrent NSAIDs and/or antibiotics course were not exclusion criteria.

108 Allocation of the first treatment was randomised by the means of sealed envelopes containing the  
109 number of each cat. The first three chosen by a blinded investigator were assigned to group A and the  
110 rest to group B.

111 On day 1, physical examination was performed and baseline pain scores were recorded, according to a  
112 modified BOTUCATU pain scale<sup>22</sup> (range from 0 to 27, Appendix 1). All cats were, subsequently,  
113 sedated with 0.02mg/kg medetomidine intramuscularly (IM) (Sedastart, Animalcare). During sedation,  
114 oral pH was measured with pH stripes (Simplex Health), oral lesions were staged and mapped using a  
115 dental examination form and stomatitis disease activity index<sup>23</sup> (Appendix 2, 3). An intravenous  
116 peripheral catheter (22G, 25mm. Jelco, Smiths Medical) was placed in a cephalic vein to facilitate blood  
117 sampling and to decrease any additional discomfort for the patients. Sedation was reversed with 0.05  
118 mg/kg of atipamesole (Sedastop, Animalcare) IM. The catheters were flushed every 4 hours with 2ml  
119 of heparinised saline to secure their patency and a light bandage was placed for protection.

120 On day 2, the cats from group A received 0.02 mg/kg of buprenorphine (group BUP, Buprecare,  
121 Animalcare) by buccal route and group B received equal volume of 0.9% saline (group SAL, Vetivex1,  
122 Dechra Animal Products) by the same route. Both treatments were administered with a 1 ml syringe  
123 (B. Braun medical) in the right cheek pouch by the principal investigator (TS) that was blinded to  
124 treatment allocation. Cats were assessed for the presence of hypersalivation, mydriasis, grooming  
125 activity and food consumption (yes/no) 30, 90 and 360 minutes following the treatment administration.  
126 Pain assessments were performed by the same investigator at the same times using the same scale  
127 (Modified BOTUCATU pain scale) as for baseline and for day 1.

128 Blood samples were collected by the assessor (MK), who was aware of treatment allocation, 30, 90 and  
129 360 mins after buprenorphine buccal administration, but not after saline administration. Following pain  
130 scoring, samples were taken from the cephalic catheter after 2 ml of blood were aspirated to ensure a  
131 non-diluted blood sample. One ml of blood was collected in potassium EDTA blood tubes (Vetlab). The  
132 samples were centrifuged (Centrifuge Heraeus -Christ GmbH Osterode, Harz Simplex, GE) for eight  
133 minutes at 4039g within 30minutes after collection. The plasma (0.5 to 0.7 mL) was separated and stored  
134 in -80 °C (Model 725, Thermo-Forma) in labelled Eppendorf tubes.

135 On day 3, the alternative treatment was administered, with group A receiving the 0.9% saline treatment  
136 and group B receiving 0.02 mg /kg buprenorphine buccally, and the same procedure as on day 2 was  
137 followed.

138 Plasma samples were shipped to the UK on dry ice and analysed by St Georges University in London.  
139 Plasma buprenorphine was measured using a validated liquid chromatography – tandem mass  
140 spectrometry method (LC/MS/MS),<sup>24</sup> initially validated in man. The method was revalidated for feline  
141 plasma and met standards for sensitivity, linearity, precision, accuracy and stability generally accepted  
142 in bioanalytical chemistry.<sup>25</sup> The lower limit of quantification of the assay was 0.025 ng/mL.

143 Population pharmacokinetic modelling was performed with Phoenix NMLE®, version 1.3, Certara  
144 (Princeton, NJ, USA). Briefly, a two-compartmental model was built to be simultaneously fitted to the

145 plasma buprenorphine concentration-time data from the present study (sparse sampling) and those  
146 from a previously published study performed in healthy cats administered the same dose of  
147 buprenorphine intravenously and by the buccal route (rich sampling).<sup>26</sup> Full description of the joint  
148 population PK model is provided in Appendix 4. The goal of including external IV and buccal route  
149 data in the PK model was to leverage information (clearances and volumes of distribution assumed to  
150 be distributed similarly in stomatitis and healthy cats) and increase the number of degree of freedom,  
151 as done in Pelligand et al.<sup>27</sup> This allowed the fitting of the most likely plasma concentration time-curve  
152 in sparsely sampled cats and the estimation of bioavailability and absorption rate constant in the study  
153 with stomatitis cats.

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#### 156 **Statistical analysis**

157 A commercially available programme was used for the statistical analysis (IBM SPSS Statistics 22). Data  
158 distribution was assessed for normality graphically and by the results of Kolmogorov -Smirnov  
159 statistic. Due to violation of the assumption of normality, the Wilcoxon matched -pairs signed rank test  
160 was used was used to compare pain scores obtained as baseline, after saline and after buprenorphine  
161 administration and at 30, 90 and 360 mins. The level of significance was set as  $P < 0.05$ . Pharmacokinetic  
162 parameters distributions were compared between cats with gingivostomatitis and normal cats from a  
163 previous study<sup>26</sup> using the Mann-Whitney U-test.

164 Correlation analysis was used to describe the strength and the direction of the linear relationship  
165 between variables. Spearman Rank Order Correlation was used for non-parametric data testing of  
166 correlation between stomatitis activity index score and both pH and pain scores. Food consumption  
167 (yes/no) was tested at each time point with a Fisher's exact test.

168

169 **Results**

170 Six, client owned, adult cats were included in this clinical study, four male neutered and two female  
171 neutered. Their age ranged from 7 to 10 years (mean 9.1years) and their body weight ranged from 4 to  
172 7 kg (mean 5.1kg). Two of the cats were receiving antibiotics, one of them was also receiving meloxicam  
173 for their stomatitis, and the last dose was given 48 h before presentation.

174 No adverse effects were noted in this study except hypersalivation in two of the cats after the  
175 administration of buprenorphine that resolved within minutes. All cats developed mydriasis within 5  
176 minutes after the administration of buprenorphine, except in one cat in which this could not be  
177 evaluated due to bilateral enucleation. Mydriasis persisted for several hours after buprenorphine  
178 administration. Mydriasis does not correlate with analgesia or antinociception.<sup>9</sup>

179 The oral pH values ranged from 8.5 to 9 and the stomatitis disease activity index ranged from 10 to 22  
180 (mean 17.8+/- 4.5). Three of the cats had partial mouth extractions of the premolar and molar teeth and  
181 three had previously full mouth extractions. However, that was completed at least a year before  
182 presentation. The positive correlation between the variables of pH and stomatitis disease index and pH  
183 was not significant (P= 0.152).

184 Food consumption evaluation was part of the total pain scores. Small amount of wet and dry food was  
185 offered repeatedly at these timepoints Overall at 30 mins, all cats in the buprenorphine groups ate  
186 some wet food compared to 2 in the saline groups (P= 0.061). At 90 minutes, cats treated with  
187 buprenorphine had a significantly higher chance to eat than the ones with saline (6 cats for  
188 buprenorphine vs 1 saline, P= 0.0152). There was no difference at 360 minutes (2 cats for buprenorphine  
189 vs 3 cats for saline, P = 0.54). None of the cats started eating dry food at any time point.

190 Pain scores (figure 2) decreased significantly with buprenorphine (BUP) and saline (SAL)  
191 administration compared to baseline (BSL, P = 0<.001). When testing each time point, the pain scores  
192 for the BUP group were significantly lower than BSL at 30 mins (P = 0.0007) and 90 mins (P=0.011) and



193 were significantly lower than SAL at 30 mins (P=0.04) and at 90mins (P=0.04), but not at 360 mins  
194 (P=0.09). Linear mixed model also revealed a significant effect of stomatitis index score on pain score  
195 (P=0.001).

196 The time of maximum buprenorphine plasma concentrations in cats with gingivostomatitis was at the  
197 30-mins blood sample when concentrations ranged from 274 to 1 621 ng/ mL. One cat (10-year female  
198 neutered 4.2kg cat treated with clindamycin, meloxicam, dental score 18) had a very high plasma  
199 concentration (84 979 ng/mL). This data point was excluded from the analysis on the basis that such high  
200 plasma concentrations were not reached even in early 1 and 3-minute samples after IV administration<sup>22</sup>  
201 and is likely to result from contamination of the sample. The most likely buprenorphine plasma  
202 concentration-time plot for the cats with gingivostomatitis is shown in figure 3. For all parameters  
203 listed below, the inter-individual variability (IIV %) is reported immediately following each estimate  
204 where appropriate. Pharmacokinetic parameter (Table 1) estimates for clearance, intercompartmental  
205 clearance, volume of distribution of the central and peripheral compartment displayed low inter-  
206 individual variability even in a mixed group and were close to values previously reported.<sup>26</sup>

207 The pharmacokinetic parameters are presented in Table 1 and described in Appendix 4 (figure 4).

208

## 209 **Discussion**

210 During this study, no side effects were identified, except hypersalivation in two cats. All cats, except  
211 the one that had bilateral enucleation, developed mydriasis.

212 There is a lack of evidence in veterinary literature on whether oral inflammation affects buccal pH  
213 values. The values of buccal pH in our study ranged between 8.5 and 9.1 and are relatively lower  
214 compared to Robertson's study<sup>10</sup> (p H =9.0) but higher compared to Hedges's<sup>26</sup> (pH =8.0). A correlation  
215 between the buccal pH and the stomatitis disease activity index was not identified. An increase in pH

216 is associated with increased salivation in humans<sup>28</sup> due to an increase of sodium and bicarbonate.<sup>29</sup> In  
217 cats, stomatitis is often related with signs of hypersalivation.<sup>17</sup>

218 Cats showed increased appetite at 30 and 90 mins after buprenorphine administration, which could be  
219 due to additional analgesia or euphoria. An increase in food consumption is a rare manifestation of  
220 pain in cats.<sup>30</sup> None of the cats ate dry food which could be due to insufficient pain relief or to preference  
221 as cats were offered simultaneously wet and dry food. The influence of a hospital environment should  
222 also be considered. Some cats remain unresponsive and passive in new environments or can be  
223 hyperactive.<sup>31, 32</sup> Increased food intake would be an important benefit, considering that compromised  
224 nutrition is one of the most important problems encountered with gingivostomatitis.<sup>33</sup>

225 Pain scores following buprenorphine administration were lower than at baseline and following saline  
226 administration. This can be attributed to pain relief as well as the euphoria produced by opioids. In  
227 addition, local effect of buprenorphine needs to be considered since a study in humans found that  
228 buprenorphine decreased the postoperative pain and increased the duration of analgesia when added  
229 to the inferior alveolar nerve block for dental surgery, compared to intramuscular administration.<sup>34</sup> The  
230 fact that the pain scores were lower after saline administration compared to baseline, could be  
231 attributed to acclimatisation in the new environment, as well familiarisation with the pain scoring  
232 process and the evaluator. The effect of stomatitis index on pain score was expected, as cats with more  
233 severe stomatitis are expected to be more painful. Our alternative hypothesis that pain scores would be  
234 lower following buprenorphine than following saline was confirmed, as there was a significant  
235 difference at 30 and 90 mins. The plasma buprenorphine concentration at 360 mins may have been  
236 inadequate to provide analgesia. In any case, the results may suggest that the duration of effect of  
237 buprenorphine at the dose used may be shorter than previously reported.

238 The time of maximum plasma buprenorphine concentration was 30 minutes following administration  
239 and pharmacokinetic analysis showed low interindividual variability with values close to those  
240 obtained by Hedges et al<sup>26</sup> in cats with normal oral mucosa. Transmucosal drug absorption, though,

241 depends on many different factors like its concentration and the mucosal contact time.<sup>35</sup> Buprenorphine  
242 was administered in the cheek pouch but the degree of inflammation on the specific area could not be  
243 determined. Inflammation-induced vasodilation could have led to an earlier maximum concentration  
244 that we were unable to detect as our first blood sample was at 30 min. In addition, cats might have  
245 swallowed or spat out a portion of the drug, as they were sensitive in handling of the head and did not  
246 tolerate their mouth to be held closed after treatment. The formulation used in this study was a multi-  
247 dose vial (Buprecare, Animalcare,) containing 0.135% chlorocresol as a preservative and it is possible  
248 that the preservative free buprenorphine could be better tolerated, while there is no difference  
249 regarding their pH among the formulations.<sup>36</sup> The multi-dose vials are commonly used in practice due  
250 to cost effectiveness and easy usage and storage.

251 In our study, the mean absorption half-life of buprenorphine was longer compared to Hedges et al.,<sup>26</sup>  
252 which included normal cats. However, there was no significant difference in bioavailability, although  
253 the present study may have been underpowered to detect a difference. The difference in absorption  
254 rate could be due either to the different formulations of buprenorphine that were used in the two  
255 studies, to the actual modalities of administration or an effect of the higher pH and the presence of  
256 gingivostomatitis.

257 The study had several limitations. The lack of a sensitive and validated pain scale for oral pain is a  
258 major limitation. UNESP-Botucatu scale is the only pain scoring system for cats with published data on  
259 reliability, validity and sensitivity<sup>30</sup> and we modified it for oral pain using the oral cavity as the painful  
260 reference point and the head and neck area as the surrounding tissues. We omitted the blood pressure  
261 measurement because it could be stressful and unreliable when repeated in frequent intervals. The  
262 maximum point of our pain scale was 27 instead of 30 in the original scale. The small sample size is  
263 another limitation that could have affected our statistical analysis. Furthermore, the use of historical  
264 data for modelling in lieu constitutes one more limitation, as is the use of data from another study that  
265 were obtained under different conditions and analysed using a different assay, despite that they were

266 remodelled using the study population model. The fact that one of the cats was receiving meloxicam  
267 constitutes another limitation. However, the last dose was given 48h before presentation and the  
268 baseline pain score of this cat that could have been potentially affected was similar to the rest of the  
269 cats. In addition, there is no possibility that co-administration of NSAIDs interferes with the  
270 quantitative analysis of buprenorphine by liquid chromatography mass spectrometry because of the  
271 high specificity of the method. Finally, the values of buccal pH were also obtained on day 1 after the  
272 administration of medetomidine that could have also affected the value, so we are not aware of the  
273 actual pH value on the time of buprenorphine administration.

274

275

## 276 **Conclusion**

277 Buccal administration of buprenorphine in cats with gingivostomatitis produces an analgesic effect and  
278 has low interindividual variability regarding plasma concentration. Further studies are needed to  
279 elucidate the role of oral inflammation on buccal drug absorption in cats as well as the potential benefit  
280 and appropriateness of opioids compared to the current analgesia alternatives such as NSAIDs.  
281 Furthermore, considering that sublingual buprenorphine constitutes an effective treatment of chronic  
282 pain in humans <sup>37</sup> and that subcutaneous buprenorphine prevented hyperalgesia in cats,<sup>38</sup> studies on  
283 the long-term use of buprenorphine by the buccal route in cats with chronic gingivostomatitis and the  
284 evaluation of the potential benefits and side effects would be of clinical interest.

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289 **Supplementary material**

290 **Appendix 1:** UNESP-Botucatu Multidimensional Composite Pain Scale for assessing postoperative  
 291 pain in cats, modified to assess oral pain.

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<b>Subscale 1: PAIN EXPRESSION (0 – 12)</b>		
Miscellaneous behaviour	Observe and mark the presence of the behaviours listed below <b>A</b> - The cat is laying down and quiet, but moving its tail <b>B</b> - The cat contracts and extends its thoracic limbs and/or contracts its neck muscles <b>C</b> - The cat's eyes are partially closed (eyes half closed) <b>D</b> - The cat licks and/or bites the surgical wound  <ul style="list-style-type: none"> <li>• All above behaviours are absent</li> <li>• Presence of one of the above behaviours</li> <li>□ Presence of two of the above behaviours</li> <li>□ Presence of three or all of the above behaviours</li> </ul>	A B C D  0 1 2 3
Reaction to palpation of the area around the mouth cavity	<ul style="list-style-type: none"> <li>• The cat does not react when the mouth is touched or pressed;</li> <li>• The cat does not react when the area around the mouth is touched, but does react when it is pressed. It may vocalize and/or try to bite</li> <li>• The cat reacts when the mouth is touched and when pressed. It may vocalize and/or try to bite</li> <li>• The cat reacts when the observer approaches the mouth. It may vocalize and/or try to bite</li> </ul> The cat does not allow palpation around mouth cavity	0 1 2 3
Reaction to palpation of the head	<ul style="list-style-type: none"> <li>• The cat does not react when the head is touched</li> <li>• The cat does not react when the head and neck are touched, but does react when it is pressed. The neck is tense</li> <li>• The cat reacts when the head and neck are touched and when pressed. The neck is tense</li> <li>• The cat reacts when the observer approaches the head It may vocalize and/or try to bite</li> </ul> The cat does not allow palpation of the head and neck	0 1 2 3
vocalisation	<ul style="list-style-type: none"> <li>• The cat is quiet, purring when stimulated, or miaows interacting with the observer, but does not growl, groan, or hiss</li> <li>• The cat purrs spontaneously (without being stimulated or handled by the observer)</li> <li>• The cat growls, howls, or hisses when handled by the observer (when its body position is changed by the observer)</li> <li>• The cat growls, howls, hisses spontaneously (without being stimulated or handled by the observer)</li> </ul>	0 1 2 3

293

<b>Subscale 2: PSYCHOMOTOR CHANGE (0 – 12)</b>		
<b>posture</b>	• The cat is in a natural posture with relaxed muscles (it moves normally)	0
	• The cat is in a natural posture but is tense (it moves little or is reluctant to move)	1
	• The cat is sitting or in sternal recumbency with its back arched and head down; or The cat is in dorso-lateral recumbency with its pelvic limbs extended or contracted	2
	<input type="checkbox"/> The cat frequently alters its body position in an attempt to find a comfortable posture	3
<b>comfort</b>	• The cat is comfortable, awake or asleep, and interacts when stimulated (it interacts with the observer and/or is interested in its surroundings)	0
	• The cat is quiet and slightly receptive when stimulated (it interacts little with the observer and/or is not very interested in its surroundings)	1
	• The cat is quiet and “dissociated from the environment” (even when stimulated it does not interact with the observer and/or has no interest in its surroundings) The cat may be facing the back of the cage	2
	<input type="checkbox"/> The cat is uncomfortable, restless (frequently changes its body position), and slightly receptive when stimulated or “dissociated from the environment” The cat may be facing the back of the cage	3
<b>activity</b>	• The cat moves normally (it immediately moves when the cage is opened; outside the cage it moves spontaneously when stimulated or handled)	0
	• The cat moves more than normal (inside the cage it moves continuously from side to side)	1
	• The cat is quieter than normal (it may hesitate to leave the cage and if removed from the cage tends to return, outside the cage it moves a little after stimulation or handling)	2
	<input type="checkbox"/> The cat is reluctant to move (it may hesitate to leave the cage and if removed from the cage tends to return, outside the cage it does not move even when stimulated or handled)	3
<b>attitude</b>	Observe and mark the presence of the mental states listed below	
	<b>A - Satisfied:</b> The cat is alert and interested in its surroundings (explores its surroundings), friendly and interactive with the observer (plays and/or responds to stimuli) *The cat may initially interact with the observer through games to distract it from the pain. Carefully observe to distinguish between distraction and satisfaction games	A
	<b>B - Uninterested:</b> The cat does not interact with the observer (not interested by toys or plays a little; does not respond to calls or strokes from the observer) * In cats, which don't like to play, evaluate interaction with the observer by its response to calls and strokes	B
	<b>C - Indifferent:</b> The cat is not interested in its surroundings (it is not curious; it does not explore its surroundings) * The cat can initially be afraid to explore its surroundings. The observer needs to handle the cat and encourage it to move itself (take it out of the cage and/or change its body position)	C
	<b>D - Anxious:</b> The cat is frightened (it tries to hide or escape) or nervous (demonstrating impatience and growling, howling, or hissing when stroked and/or handled)	D
	<b>E - Aggressive:</b> The cat is aggressive (tries to bite or scratch when stroked or handled)	E
	<input type="checkbox"/> Presence of the mental state A	0
<input type="checkbox"/> Presence of one of the mental states B, C, D, or E	1	
<input type="checkbox"/> Presence of two of the mental states B, C, D, or E	2	
<input type="checkbox"/> Presence of three or all of the mental states B, C, D, or E	3	

<b>Subscale 3: PHYSIOLOGICAL VARIABLES (0 – 3)</b>		
Appetite	<ul style="list-style-type: none"> <li>• The cat is eating normally</li> <li>• The cat is eating more than normal</li> <li>• The cat is eating less than normal</li> <li>• The cat is not interested in food</li> </ul>	0 1 2 3
<b>TOTAL SCORE (0 – 27)</b>		

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308 **Appendix 2:** feline dental chart (Holmstrom S, Frost P and Eisner E. *Veterinary dental techniques: for*  
 309 *the small animal practitioner.* 2<sup>nd</sup> ed. W. B. Saunders Company, 1998, pp17-18)

**Pet Clinic  
Feline Dental Treatment Chart**

		M1	P4	P3	P2	C1	I3	I2	I1	I1	I1	2I	3I	1C	2P	3P	4P	1M		
		109	108	107	106	104	103	102	101	201	202	203	204	206	207	208	209			
<b>Right Side</b>	Buccal																	<b>Left Side</b>		
	Occlusal																			
	Palatal																			
	Lingual																			
	Occlusal																			
	Buccal																			
		M1	P4	P3	C1	I3	I2	I1	I1	I1	2I	3I	1C	3P	4P	1M				
		409	408	407	404	403	402	401	301	302	303	304	307	308	309					

Remarks and Diagnosis: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Radiographic Evaluation and Assessment: \_\_\_\_\_

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Treatment Summary and Plan: \_\_\_\_\_

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Client Instructions: \_\_\_\_\_

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315 **Appendix 3: Stomatitis disease activity index score.** <sup>23</sup>

<b>STOMATTIS DISEASE ACTIVITY INDEX</b>	<b>0</b>	<b>1</b>	<b>2</b>	<b>3</b>
<b>Owner's evaluation( average appetite/activity/grooming)</b>				
<b>Owner's evaluation perceived comfort</b>				
<b>Maxillary buccal mucosal inflammation</b>				
<b>Mandibular buccal mucosal inflammation</b>				
<b>Maxillary attached gingival inflammation</b>				
<b>Mandibular attached gingival inflammation</b>				
<b>Inflammation lateral to palatoglossal folds</b>				
<b>Molar salivary gland inflammation</b>				
<b>Oropharyngeal inflammation</b>				
<b>Lingual and/or sublingual inflammation</b>				
<b>Total score( maximum 30)</b>				

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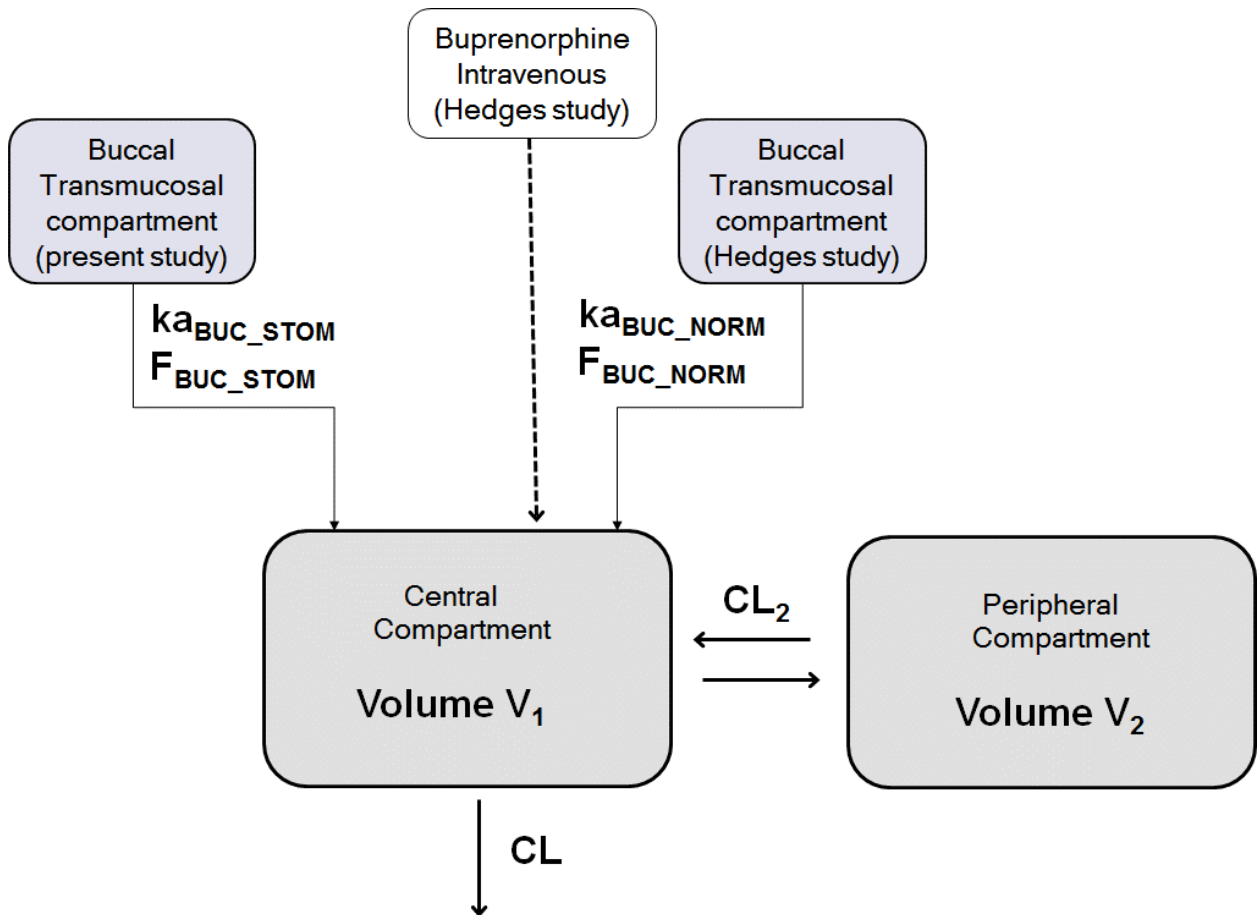
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330 **Appendix 4: Population pharmacokinetic-pharmacodynamic modelling**

331 A classic two-compartment model with first order absorption was the starting point for compartmental  
332 modelling of the buccal route. We used the raw data from a previous publication (Hedges et al. 2013 with 6  
333 healthy cats receiving buprenorphine IV and buccally) to support the PK modelling in clinical cats from which  
334 only 3 blood samples were taken.



335

336 Parameters: CL: body clearance,  $CL_2$ : inter-compartmental clearance,  $V_1$ : central volume of  
337 distribution,  $V_2$ : peripheral volume of distribution,  $k_{a_{BUC\_STOM}}$ : absorption rate constant in cats with  
338 stomatitis,  $k_{a_{BUC\_NORM}}$ : absorption rate constant in normal cats,  $F_{BUC\_STOM}$ : bioavailability in cats with  
339 stomatitis,  $F_{BUC\_NORM}$ : bioavailability in normal cats.

340 **Goodness of fit:**

341 For each Phoenix NMLE run, plots of goodness of fit were prepared <sup>39</sup>. The nested candidate models  
342 were compared on the basis of their biological plausibility, prediction based diagnostics (PRED,  
343 IPRED), residual-type diagnostics (RES and IRES) and numerical diagnostics (minimisation of the  
344 Objective Function Value (OVF) statistically tested with the Likelihood Test Ratio (was LRT  
345 performed,  $\Delta OVF > 6.64$ ;  $P < 0.01$ ,  $df = 1$ , or alternatively use the Akaike Information Criterion, AIC)

346 as well as measures of model stability and adequacy (convergence, precision of the parameters  
347 estimates).

348

### 349 **Statistical description of the model:**

350 Inter-animal variability was characterised assuming that individual parameters were log-normally  
351 distributed around the population typical value (Eq. 1):

$$352 \quad P_{ij} = \theta_j \times \exp(\eta_{ij}) \quad (1)$$

353 Where  $P_{ij}$  is the  $j$ -th parameter value for individual  $i$ ,  $\theta_j$  is the typical value for the  $j$ -th parameter for  
354 the population and  $\eta_{ij}$  is normally distributed around 0 with a variance of  $\omega^2$ . To minimise the  
355 residual variability (difference between predicted and observed values), additive and proportional  
356 error models were compared.

357 Parameters bounded between 0 and 1 (typically bioavailabilities, noted F) were expressed and  
358 estimated in the model after a logit transform and the typical value of F ( $\theta_F$ ) was back-converted as  
359 in equation 2 to yield final estimate.

$$360 \quad F_i = \text{inv logit} (\theta_F + \eta F_i) \quad (2)$$

361 Where  $F_i$  is the inverse logit of  $\theta F$ , the typical value of the bioavailability, and  $\eta F_i$  is the residual for  
362 the  $i^{\text{th}}$  individual.

363 The coefficient of variation of the PK parameter was approximated as follows (Eq. 3):

$$364 \quad CV(\%) = \sqrt{\exp(\omega^2) - 1} \times 100\% \quad (3)$$

365 Visual predictive checks were built to evaluate the performance of the final model by comparing the  
366 median of the simulated (n=5000) plasma concentrations with the observed data (+/- 5<sup>th</sup> and 95<sup>th</sup>  
367 percentiles).

### 368 **PK modelling**

#### 369 **Base model development for the buccal administration**

370 First, a 2 compartment model was written to fit simultaneously the IV and the buccal route to allow  
371 estimation of the physiological PK parameters common to the three routes of administration (namely  
372 CL, the total body clearance; V, the volume of the central compartment; CL<sub>2</sub>, the intercompartmental  
373 clearance and V<sub>2</sub>, the volume of the peripheral compartment), as well as the buccal absorption rate  
374 constants ( $k_{\text{abuc}}$ ) and the absolute buccal bioavailabilities ( $F_{\text{BUC}}$ ). The typical value  $\theta_j$  and individual  $\eta_{ij}$

375 were fixed to reduce the number of parameters to estimate in the modelling of the complex SC  
376 absorption.

377 **Table1:** Comparison of rival models for joint IV and buccal buprenorphine model and selection of  
378 best model

Joint model	OFV (-2LL)	AIC	Comment
Combined IV and buccal, proportional error	221	255	Best model
Combined IV and buccal, additional error	443	477	

379

380 **PK parameters estimates (see also Table 1 in manuscript):**

381 The two routes of administration shared four central PK parameters; clearance (CL = 1.26 L/ kg / hour,  
382 1.1%), volume of distribution of the central compartment ( $V_1 = 0.65$  L/kg, 0.9%), intercompartmental  
383 clearance (CL<sub>2</sub> = 1.19 L /kg/hour, 2.3%) and peripheral volume of distribution ( $V_2 = 6.96$  L/ kg, 7.8%)  
384 with a common proportional residual error term.

385 For PK parameters specific to the buccal treatment, the mean bioavailability in the cats with  
386 gingivostomatitis with the current formulation (Buprecare®, animalcare) was 19.5% (IIV 65.7%)  
387 compared to 28.8% (IIV 19.6%) in the normal cats in the study by Hedges et al<sup>26</sup>, in which another  
388 formulation was used (Buprenex® Injectable; Reckitt Beckiser Pharmaceuticals). This difference was not  
389 significant (P = 0.31). The absorption rate constant in cats with gingivostomatitis was 0.57/hour, yielding  
390 an absorption half-life of 1.2 hours. For the normal cats in the study by Hedges et al. <sup>26</sup>, the absorption  
391 rate constant was 1.39/hour, yielding a significantly shorter absorption half-life of 0.49 hours.

392 **Results and goodness of fit plots:**

393 The goodness of fit figures for the final PK model fitting (buprenorphine and metabolite) are included  
394 thereafter:

- 395 - Fig suppl. 1: observed values vs population prediction,
- 396 - Fig suppl. 2: observed values vs individual predictions,
- 397 - Fig suppl. 3: conditional weighted residuals vs time after dose,

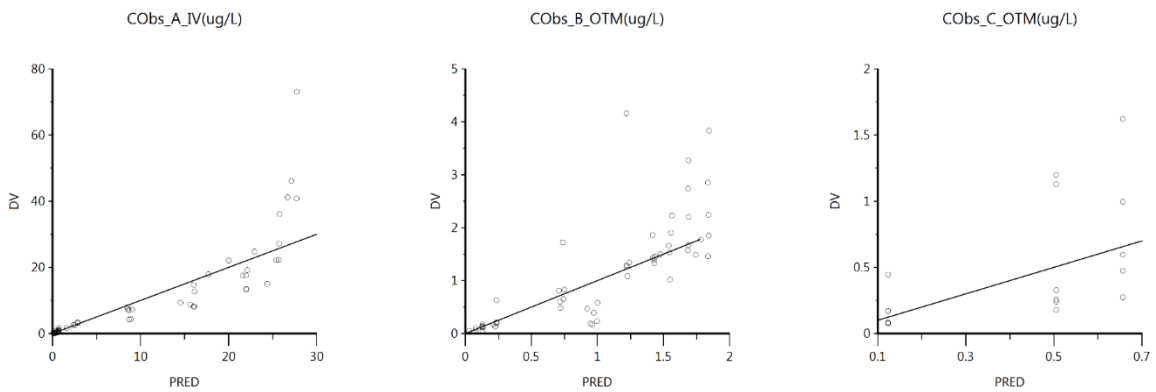
- 398 - Fig suppl. 4: conditional weighted residuals vs population prediction,
- 399 - Fig suppl. 5: individual observed concentrations and model predictions vs time,

400

401 Fig suppl. 1 (observed values vs population predictions PRED)

402 Legends: CObs\_A\_IV: buprenorphine after IV administration (Hedges et al, 2013), CObs\_B\_OTM:  
403 buprenorphine after buccal administration (Hedges et al, 2013), CObs\_C\_OTM: buprenorphine after  
404 buccal administration (present study), DV = dependent variable (observed value), PRED = population  
405 predictions, IPRED = individual predictions

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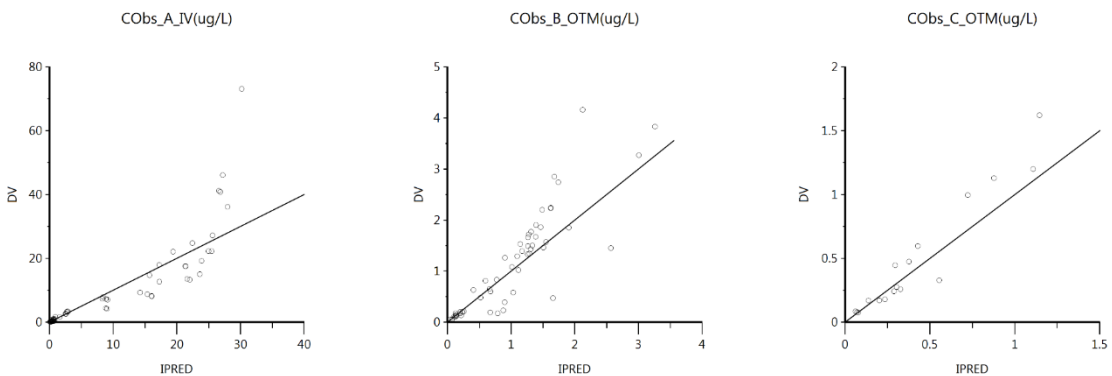
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411 Fig suppl. 2 (observed values vs individual predictions IPRED)

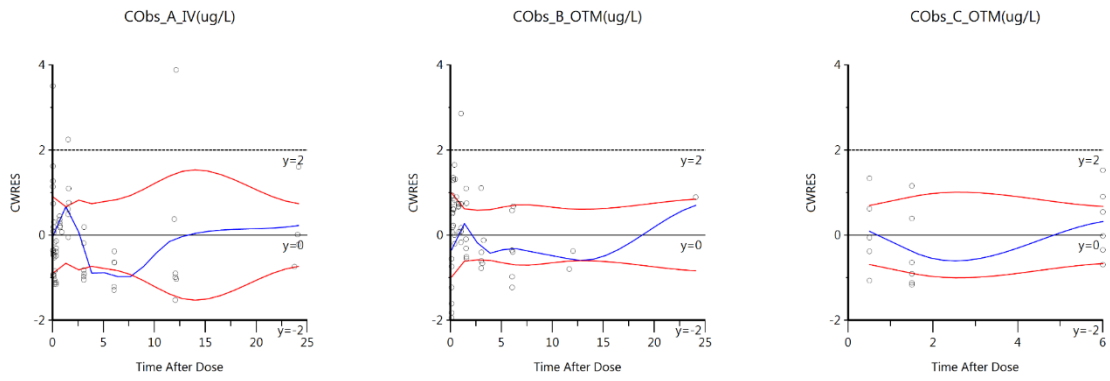
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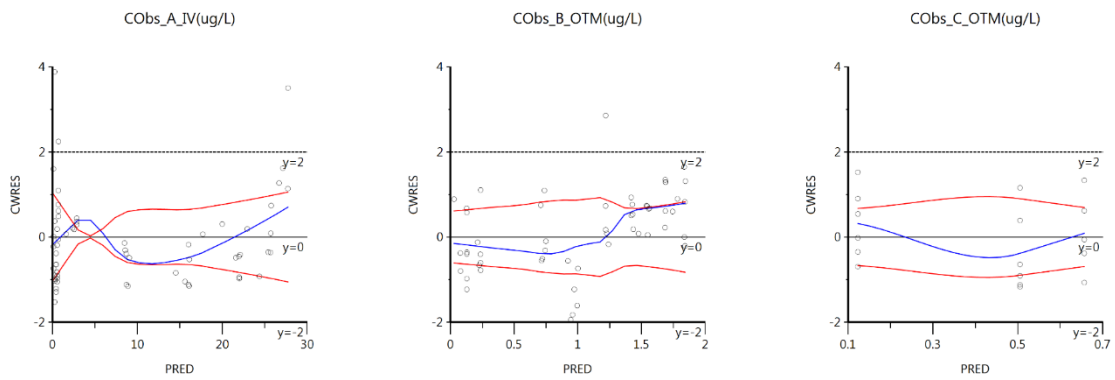
415 Fig suppl. 3 (conditional weighted residuals vs time after dose)



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418 Fig suppl. 4 (conditional weighted residuals vs population prediction)



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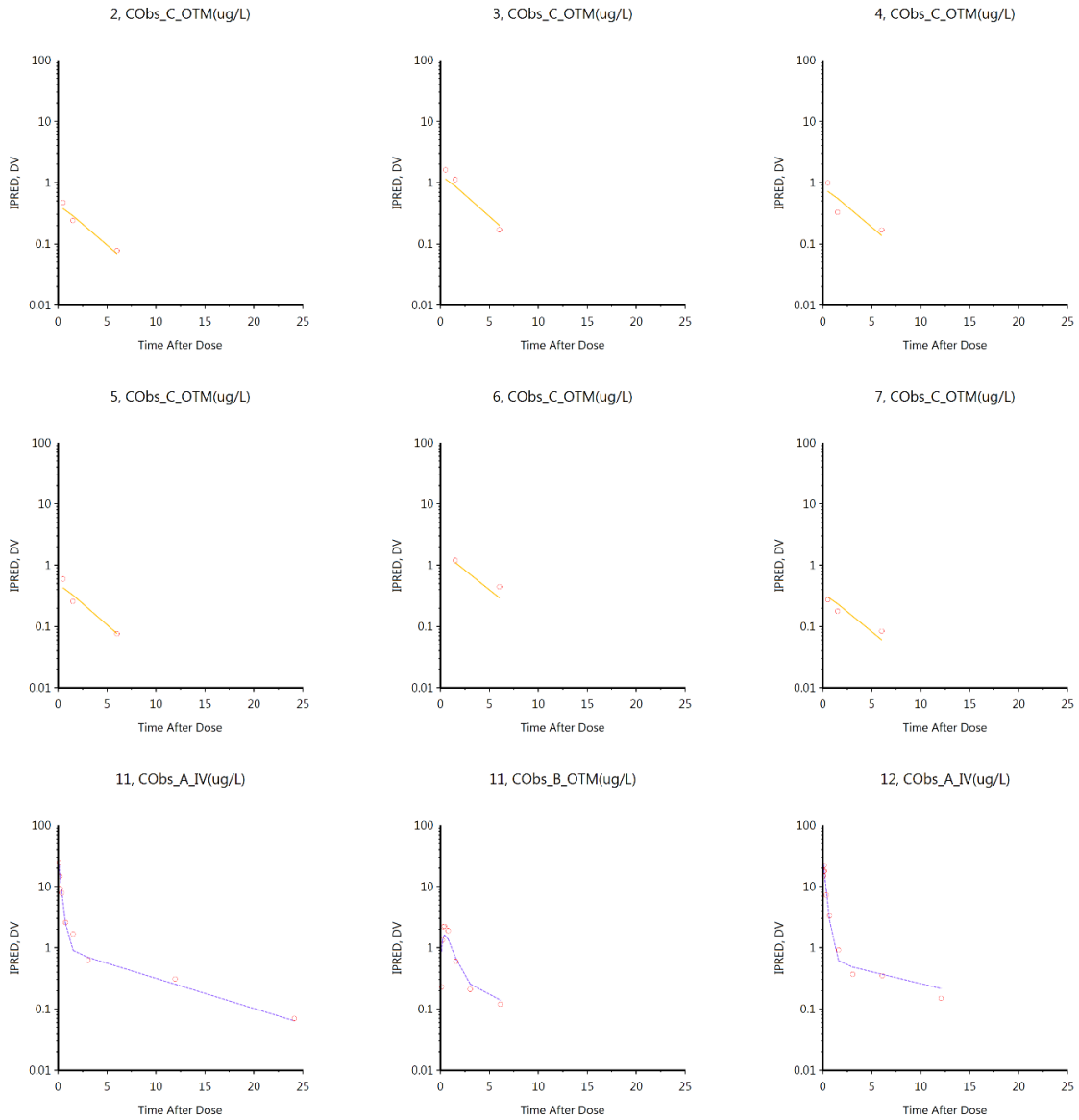
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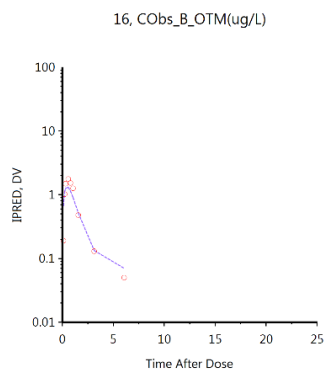
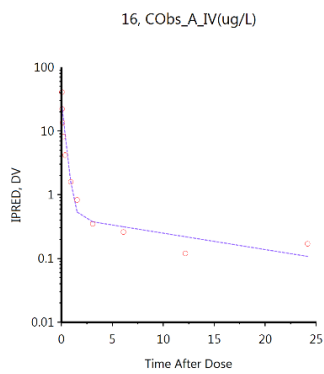
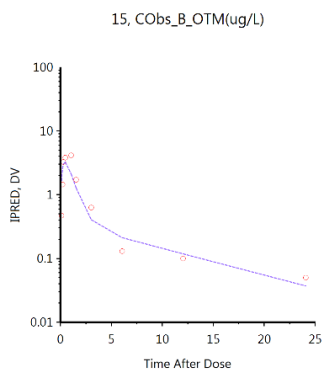
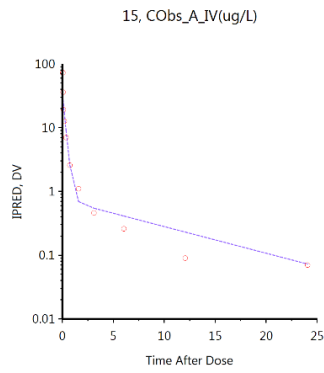
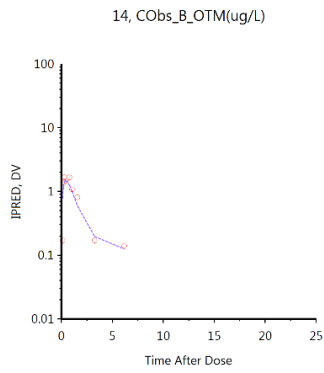
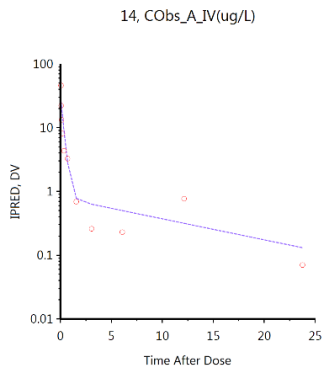
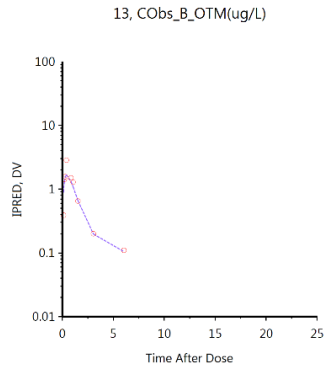
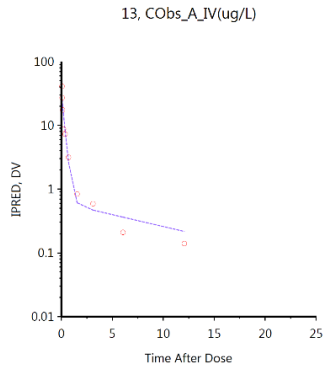
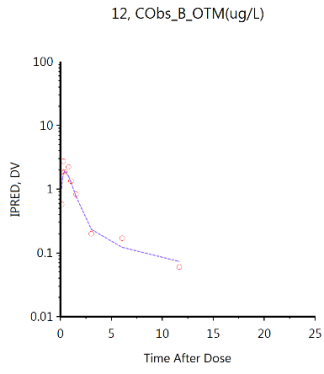
426 Fig suppl. 5: Individual observed concentrations and model predictions vs time. Cats 2 to 7 has  
427 gingivostomatitis and were sparsely sampled after administration of buprenorphine 0.02 mg/kg  
428 buccally (Formulation: Buprecare, Animalcare). Cats 11 to 16 were normal cats and were densely  
429 sampled after administration of 0.02 mg/kg buprenorphine IV (CObs\_A) and buccally (Cobs\_B)  
430 (Formulation: Buprenex, Reckitt Beckiser Pharmaceuticals)



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442 **Conflict of interest**

443 The authors declared no potential conflict of interest for the completion of this study.

444

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448

449

450 **References**

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