

1 **A comparison of the efficacy of three intervention trial types: postal, group,**
2 **and one-to-one facilitation, prior management and the impact of message**
3 **framing and repeat messages on the flock prevalence of lameness in sheep**

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14

15 **Abstract**

16 The aim of this study was to evaluate the effectiveness of three knowledge-transfer
17 intervention trial types (postal, group, one-to-one) to promote best practice to treat sheep with
18 footrot. Further aims were to investigate whether farmer behaviour (i.e. management of
19 lameness) before the trial was associated with uptake of best practice and whether the
20 benefits of best practice framed positively or negatively influenced change in behaviour. The
21 intervention was a message developed from evidence and expert opinion. It was entitled “Six

22 steps to sound sheep” and promoted (1) catch sheep within three days of becoming lame, (2)
23 inspect feet without foot trimming, (3) correctly diagnose the cause, (4) treat sheep lame with
24 footrot or interdigital dermatitis with antibiotic injection and spray without foot trimming, (5)
25 record the identity of treated sheep, (6) cull repeatedly lame sheep. In 2013, 4000 randomly-
26 selected English sheep farmers were sent a questionnaire, those who responded were
27 recruited to the postal (1081 farmers) or one-to-one intervention (32 farmers) trials. A
28 random sample of 400 farmers were invited to join the group trial; 78 farmers participated. A
29 follow-up questionnaire was sent to all participants in summer 2014. There were 72%, 65%
30 and 91% useable responses for the postal, group and one-to-one trials respectively. Between
31 2013 and 2014, the reduction in geometric mean (95% CI) period prevalence of lameness,
32 proportional between flock reduction in lameness and within flock reduction in lameness was
33 greatest in the one-to-one (7.6% (7.1 – 8.2%) to 4.3% (3.6 – 5.0%), 35%, 72%) followed by
34 the group (4.5% (3.9 – 5.0%) to 3.1% (2.4 – 3.7%), 27%, 55%) and then the postal trial (from
35 3.5% (3.3 – 3.7%) to 3.2% (3.1 – 3.4%), 21%, 43%). There was a marginally greater
36 reduction in lameness in farmers using most of Six steps but slow to treat lame sheep pre-trial
37 than those not using Six steps at all. There was no significant effect of message framing. The
38 greatest behavioural change was a reduction in therapeutic and routine foot trimming and the
39 greatest attitude change was an increase in negative attitudes towards foot trimming. We
40 conclude that all three intervention trial approaches were effective to promote best practice to
41 treat sheep with footrot with one-to-one facilitation more effective than group and postal
42 intervention trials. Results suggest that farmers’ behaviour change was greater among those
43 practising aspects of the intervention message before the trial began than those not practising
44 any aspect.

45

46 **Keywords:** sheep; footrot; intervention study types; message framing; farmer behaviour

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49 **1. Introduction**

50 Sheep farmers consider lameness an important welfare problem (Goddard et al., 2006).

51 Footrot causes the majority of lameness in sheep in England (Grogono-Thomas et al., 1997;

52 Kaler and Green 2008; Winter et al., 2015). Treating sheep lame with footrot (both

53 interdigital dermatitis (ID) and under-running severe footrot (SFR)) within 3 days of onset of

54 lameness (Kaler and Green 2008) with antibacterials by injection and topical treatment and

55 without trimming hoof horn, leads to recovery of >95% sheep within 2 – 10 days (Kaler et

56 al., 2010). In a recent study, Winter et al. (2015) concluded that routine foot trimming was

57 unnecessary. Avoiding trimming and rapid appropriate treatment can reduce the flock

58 prevalence of lameness to <2% (Wassink et al., 2010a) and is current “best practice” for

59 management of footrot.

60 In 2013, a postal questionnaire was sent to a random sample of 4000 sheep farmers in

61 England in 2013. Farmers reported on management of footrot, the prevalence of lameness in

62 their flock and their opinions, knowledge and attitudes towards footrot. There were three

63 classes of farmer management of lameness identified by latent class (LC) analysis: 11%

64 (LC1) used best practice, 57% (LC2) followed best practice but treated sheep within a week

65 rather than 3 days and 32% (LC3) of farmers were more likely to use traditional

66 managements. O’Kane et al. (2016) hypothesised that farmers in LC2 and LC3 might respond

67 differently to intervention messages promoting best practice.

68 Traditionally, intervention messages have consisted of generic, mass-produced printed

69 material distributed to the population by mail (Kreuter et al., 1999). These reach many people

70 at little expense but might not be effective (McCaul and Wold, 2002). One method of
71 improving the persuasiveness of an intervention message is through message framing
72 (Kahneman and Tversky, 1979), in the current example of footrot, focusing on losses
73 incurred by not adopting best practice (e.g. 10% of sheep will be lame) or the gains that
74 would be received by doing so (e.g. 98% of sheep will be sound). In human health, loss
75 framed messages are more effective at promoting increased levels of detection behaviours
76 especially when the procedural risk and uncertainty about the outcome of the behaviour is
77 high (e.g. screening for HIV: Apanovitch et al., 2003). Conversely, gain framed messages
78 encourage increased levels of prevention behaviour (e.g. sunscreen use: Detweiler et al.,
79 1999) and are more effective when the procedural risk and uncertainty about the outcome is
80 low. For footrot, farmers open to new ideas or already using some or all of best practice to
81 treat sheep lame with footrot (i.e. LC2) might consider the risk and uncertainty about the
82 outcome of adopting best practice as low and thus respond to gain framed messages whilst
83 farmers resistant to change, using traditional techniques to manage lameness (i.e. LC3) might
84 consider the risk and uncertainty high and thus respond better to loss framed messages
85 (Ferguson et al., 2005; Ferguson et al., 2007; Rothman and Salovey, 1997, Rothman et al.,
86 1999).

87 Group meetings, where farmers are addressed by a credible and trustworthy (Blackstock et
88 al., 2010; Henriksen et al., 2015) “expert”, are often used in agricultural knowledge
89 exchange. They are considered to be more effective than mass produced literature. The
90 ultimate tailoring of messages is one-to-one communication because it is personal and
91 interactive, but due to cost and time constraints its use is limited (Kreuter et al., 1999).

92 In the current study, we tested the efficacy of three intervention trial types (postal, group and
93 one-to-one) on farmer uptake of an intervention message for best practice to treat lame sheep.

94 It was predicted that the one-to-one trial would be more effective than the group trial
95 (Figueiras et al., 2001), with the postal trial the least effective (Hawkins et al., 2008; Noar et
96 al., 2007). In the postal intervention trial we also investigated the impact of message framing
97 and the number of repeat or seasonally framed messages by farmer LC.

98

99 **2. Materials and Methods**

100 Consent for the study was obtained from University of Warwick ethical committees for
101 studies on humans and animals and Defra survey control liaison unit. All trials assessed
102 change in the flock prevalence of lameness between 2013 and 2014. The intervention trials
103 were three within flock trials comparing one-to-one, group and postal routes to provide a
104 message on best practice to manage lameness in sheep. In addition, the postal trial was used
105 as a between flock trial to compare framing the intervention message as a gain or a loss and
106 to compare repeated and seasonally targeted messages and farmer management of lame sheep
107 before the start of the trial.

108 *2.1 Development and testing of the intervention message and documents*

109 The intervention was a message to encourage farmers to adopt best practice to minimise
110 lameness in sheep. In 2012, data from one-to-one interviews with 15 experts, 7 focus groups
111 with 46 English and Welsh sheep farmers and a telephone survey of 46 randomly selected
112 English sheep farmers were used to identify barriers and motivators to treat lame sheep. The
113 research team facilitated by two clinical psychologists created the intervention message ‘Six
114 steps to sound sheep’, which was summarised in six key words: catch, inspect, diagnose,
115 treat, mark and cull (Table 1). Leaflets and posters were developed. One version emphasised
116 the gains of adopting best practice, while the other emphasised the losses of not adopting best

117 practice. There was a frequently asked questions section and an email address for farmer
118 queries. Quotes, with a photograph, from a specialist sheep veterinarian and a sheep farmer
119 were included in the leaflet (Supplementary material). Two seasonally focused leaflets were
120 also written for weaning – mating and pregnancy – lambing (Supplementary material).
121 Design options were discussed with 38 farmers at Welsh Sheep 2013 and then with 30
122 farmers at North Sheep 2013. The finalised documents were pilot tested on 20 farmers
123 involved in previous stages of the study, and were received positively.

124

125 *2.2 Roll-out of intervention messages*

126 *2.2.1 One-to-one intervention trial*

127 Sample size calculations indicated that a 3% change in within flock mean prevalence of
128 lameness could be detected in 18 flocks with a variance of 10 with 80% power and 95%
129 confidence and a two-tailed test. Thirty-two farmers (Table 2) were convenience selected into
130 the one-to-one intervention trial from respondents to the 2013 postal questionnaire. The
131 criteria for selection were willingness to participate, flocks with >300 sheep, with $\geq 5\%$ flock
132 lameness, with <3% lameness due to contagious ovine digital dermatitis (CODD), and
133 farmers who either did not treat individual sheep within three days of becoming lame or did
134 not treat individual sheep until >5 were lame in a group. Two – four farmers were visited per
135 day between June and September 2013. Laura Green (LG) interviewed all 32 farmers,
136 Jasmeet Kaler was present at the first 18 visits to ensure between observer agreement on the
137 causes of lameness. At the visit, the farmer discussed their current management of footrot.
138 Following this, the researcher(s) and farmer examined some lame sheep that the farmer had
139 gathered. Throughout, LG and the farmer discussed best practice and whether a strategy
140 could be identified so that the farmer could adopt the Six steps. The visits lasted 1 - 2.5 hours.

141 The farmer was sent a letter summarising the discussion and detailing flock specific advice
142 within two weeks of the visit. All farmers in the one-to-one trial received the gain framed
143 intervention message. In 2014, follow-up visits were used to discuss changes in the
144 management of footrot on these farms. Holly O’Kane, who was blind to the discussions at the
145 first visits, conducted follow-up visits following a semi structured interview script.

146

147 *2.2.2 Group intervention trial*

148 Sample size calculations indicated that a 2% change in within flock mean prevalence of
149 lameness could be detected in 40 flocks with a variance of 10 with 80% power and 95%
150 confidence and a two-tailed test. A population of 400 members of the National Sheep
151 Association in Wales, South-West England and the English Marches regions were invited to
152 attend one of six group meetings. The meetings were held, two per region, in August and
153 September 2013. One meeting per region was randomly allocated to the gain framed
154 intervention message and the other as the loss framed message by tossing a coin. A total of 78
155 farmers attended the meetings (Table 2). On arrival, farmers were asked to complete the 2013
156 questionnaire. They were then given a gain / loss framed twenty-minute presentation (by
157 Laura Green, LEG) on the “Six steps to sound sheep”. Discussion and questions from the
158 floor were encouraged at the end of the presentation for approximately one hour. At the end
159 of the meeting farmers were given the relevant framed intervention message documents.

160 *2.2.3 Postal intervention trial*

161 Sample size calculations indicated that a 2% change in between flock mean prevalence of
162 lameness could be detected in 40 flocks with a variance of 10 with 80% power and 95%
163 confidence and a two-tailed test. Participants in the postal trial were 1081 respondents from
164 the questionnaire sent to 4000 randomly selected sheep farmers in 2013 (Winter et al., 2015),

165 and excluding the 32 selected for the one-to-one trial. Participants were assigned to one of
166 seven trial arms (TA) by random number allocation using stratified random allocation by
167 geographical region (North, Midlands and South of England) and $\geq 5\%$ or $< 5\%$ flock
168 prevalence of lameness. TA1 was a control arm that received intervention messages after the
169 end of the study. TA2 – 7 received loss or gain framed messages, once or three times, or
170 seasonal messages (Table 3). Messages were sent out in August and October 2013 and
171 January 2014. Participants were blind to their TA.

172 *2.3 Follow-up 2014 postal questionnaire design and administration*

173 A second questionnaire (available on request) was sent to postal and group trial participants
174 in June 2014 and to one-to-one participants immediately after their follow-up visit. The
175 questions were identical to the 2013 questionnaire (O’Kane et al., 2016; Winter et al., 2015)
176 but questions where responses were stable over time or redundant were removed. The
177 questionnaire was nine pages long and captured information from August 2013 – June 2014.
178 There were 33 questions. One question was open text, all the others were closed or semi-
179 closed with an ‘other, please state’ option. In 2013 and 2014 the prevalence of lameness was
180 estimated from the question ‘Between (start month) and (end month) what was the average
181 level of lameness in ewes in your flock?’. This question has been tested and is reliable and
182 repeatable (King and Green 2011).

183

184 *2.4 Data storage, preparation and analysis*

185 Data entry and cleaning of the 2014 questionnaire was as for 2013 (Winter et al., 2015). The
186 2013 and 2014 datasets were merged in Microsoft Access 2010 (Microsoft Corp., Redmond,
187 WA). Flocks were excluded from analysis if flock size or prevalence of lameness was not
188 reported.

189 *2.4.1 Change in prevalence of lameness and participants' behaviour between 2013 and 2014*

190 The number of flocks with a mean period prevalence of lameness between 5% and 15% in
191 2013, indicating that these sheep were not being managed using best practice (lameness $\geq 5\%$)
192 and also that there was not an epidemic of lameness in the flocks (lameness $\leq 15\%$) was
193 calculated.

194 For all respondents, respondents with 5 – 15% prevalence of lameness, one to one, group and
195 postal trials and postal by LC, TA and gain and loss the following were calculated for 2013
196 and 2014

197 (1) Global mean prevalence of lameness = Σ (all lame sheep) / Σ (flock size)*100

198 (2) Log₁₀ geometric mean (GM) and 95% confidence interval (95%CI) of the prevalence of
199 lameness within a subset

200 Then the mean reduction and proportional reduction within flock prevalence of lameness in
201 2014 was calculated by

202 (3) Σ (2014-2013 within flock prevalence of lameness)/number in subset

203 and from this the mean within flock proportional reduction in percentage lameness was
204 calculated by

205 (4) (mean reduction in prevalence of lameness in 2014)/(prevalence of lameness in 2013)

206 Finally, the reliable change index (RCI) (Jacobson and Truax, 1991) was calculated using the
207 formula:

208 (5) $RCI = (2014 \text{ lameness prevalence} - 2013 \text{ lameness prevalence}) / (SE_{diff})$

209 Where $S_{\text{diff}} = \sqrt{2} (S_E)^2$ and S_E = standard deviation of the lameness prevalence ($\sqrt{1}$ - test-retest
210 reliability of the scale) (Zahra, 2010). A test-retest reliability value of 0.999 was assumed for
211 2013 and 2014 because it has been demonstrated that sheep farmers accurately estimate the
212 prevalence of lameness in their flocks (King and Green, 2011). A chi-square test was then
213 used to investigate whether frequencies of decrease / increase / no change in RCI were
214 statistically different from chance.

215 *2.4.2 Investigation of changes in managements and opinions about lameness between 2013* 216 *and 2014*

217 For all flocks and subsets, related-samples Wilcoxon signed rank tests (Petrie and Watson,
218 2013) were used to investigate differences between 2013 and 2014 questionnaire responses to
219 managements and opinions (IBM SPSS Statistics version 22, 2013).

220 *2.4.3 Over dispersed Poisson regression model of the postal trial*

221 An over dispersed Poisson regression model was used to investigate the impact of postal trial
222 arm on the between flock period prevalence of lameness in 2014 which had had a period
223 prevalence of lameness between 5 and 15% in 2013. The model took the form:

$$224 \quad y_i \sim \alpha + \text{offset} + \beta_i X_i + e_i$$

225 where y_i = number of lame ewes in the flock, \sim is a log link function, α is the intercept, offset
226 is the natural logarithm of the number of expected lame ewes in the flock, β_i are the
227 coefficients for a vector of X_i explanatory variables which were, GM period prevalence of
228 lameness in 2013, trial arm and latent class, which varied by farm i and e_i is the residual
229 random error.

230 The models were developed using a manual forward stepwise approach in MLwiN version
231 2.35 (Rasbash et al., 2015). Variables were considered significant when the 95% confidence

232 intervals did not include one (Wald's test). Log10 flock size was forced into models. The
233 model fits were assessed using the Hosmer - Lemeshow test.

234 *2.4.3 Attributable fraction and population attributable fraction of risks for lameness*

235 The attributable fraction in exposed (i.e. those farmers practising a management) farms (AF_e)
236 and the population attributable fraction (AF_p) for the risks for lameness were calculated from
237 the 2013 (Winter et al., 2015) and 2014 questionnaire respondents across all trials using the
238 formulas:

$$239 \quad AF_e = (RR - 1)/RR$$

240 and

$$241 \quad AF_p = AF_e (a_1/m_1)$$

242 where RR is the risk ratio, a_1 is the total number of farmers using the management practice
243 and m_1 is the total number of flocks (Dohoo et al., 2003).

244

245 **3. Results**

246 *3.1 Response proportions by trial and summary statistics*

247 In total 30 (94%), 53 (68%) and 801 (74%) in the one-to-one, group and postal trials
248 respectively responded to the 2014 questionnaire with 29 (91%), 51 (65%) and 779 (72%)
249 usable responses respectively (Table 2). There was no difference in response proportions for
250 LC1, 2 and 3 farmers to the postal questionnaire with 73%, 73% and 76% responses
251 respectively. The median (IQR) flock size was 650 (440 – 898), 120 (55 – 325) and 330 (225
252 – 510) in the one-to-one, group and postal trial respectively. Not all farmers answered all
253 questions.

254 *3.2 Change in prevalence of lameness and participants' behaviours*

255 The global mean prevalence of lameness across all flocks in all trials was 4.3% (compared
256 with 4.9% in 2013, Winter et al., 2015), with a geometric mean flock prevalence of 3.3%
257 (95% CI: 3.1% - 3.4%), compared with 3.5% (95% CI: 3.3% - 3.7%) in 2013). Between 2013
258 and 2014, the reduction in geometric mean period prevalence of lameness, proportional
259 reduction in lameness and within flock reduction in lameness was greatest in the one-to-one
260 intervention trial (7.6% (7.1 – 8.2%) to 4.3% (3.6 – 5.0%), 35%, 72%) followed by the group
261 trial (4.5% (3.9 – 5.0%) to 3.1% (2.4-3.7%), 27%, 55%) and then the postal trial (from 3.5%
262 (3.3 – 3.7%) to 3.2% (3.1 – 3.4%), 21%, 43%). Flocks in the one-to-one trial had the greatest
263 absolute and relative reduction in prevalence of lameness, followed by the group, and then
264 the postal intervention trials (Tables 3 and 4).

265 *3.3 Participants management and opinions in the 2014 questionnaire, all trials*

266 Only 24% of farmers in the control TA1 reported that they had had no written information
267 from elsewhere during the trial. Overall, participants had received written information on
268 lameness from their veterinarian (28% of farmers), AHDB (55%) and other sources (8%),
269 and 17.6% also reported receiving a visit with advice on lameness from someone not part of
270 the current study.

271 Significant changes in management and attitudes occurred across the trials between 2013 and
272 2014 (Table 5). Overall, farmers caught sheep more promptly and when fewer in a group
273 were lame than in 2013 and, possibly as a consequence, they were more likely to report that
274 catching lame sheep was difficult. The proportion of farmers who practised therapeutic and
275 routine foot trimming decreased significantly between 2013 and 2014 and opinions reflecting
276 that foot trimming was a negative behaviour increased significantly. Significantly more

277 farmers used parenteral antibiotics to treat footrot. A greater proportion of farmers were
278 angry / miserable about having footrot in their flock.

279 *3.4 Over dispersed Poisson regression model of flocks in the postal trial with lameness*
280 *prevalence of 5% – 15% in 2013*

281 After adjusting for each flock's prevalence of lameness in 2013, TAs 2 - 7 had a lower mean
282 period prevalence of lameness in 2014 than the control TA1 (Table 6). For all but TA7 the
283 confidence intervals (CI) did not include unity (Table 6). Both loss and gain framed messages
284 were associated with a reduction in the prevalence of lameness and 95% CI excluded unity.
285 When flocks were grouped by loss (TA 2-4) and gain (TA5-7) framed messages compared
286 with the control group TA1 but there was no difference in prevalence of lameness by framing
287 of messages (data not shown). There was a marginally greater reduction in prevalence of
288 lameness in flocks of LC2 farmers compared with LC3 with a lower coefficient but
289 confidence intervals were that they included unity. The model fit was good (Figure S1).
290 There was insufficient power in the group trial to investigate loss and gain framed messages.

291 *3.5 Attributable fractions of risks for lameness between 2013 and 2014*

292 The attributable fraction and the population attributable fraction of the risks for lameness
293 from all respondents in 2013 and 2014 are presented in Table 7. Using the PAF from 2013, if
294 farmers followed the 'Six steps to sound sheep' and stopped routine foot trimming, the
295 expected reduction in lameness from 2013 to 2014 would be 33.6%. The actual proportional
296 reduction in prevalence of lameness was 22% across all flocks and 30% in flocks with 5 – 5%
297 lameness in 2013 (Table 3).

298

299 **4. Discussion**

300 This is the first study to compare the efficacy of postal, group and one-to-one intervention
301 trial types on one behaviour, treatment of sheep lame with footrot. There was a difference in
302 behavioural change by route of intervention message. This behaviour was selected because
303 there is robust evidence from several studies (Kaler and Green, 2008; Kaler et al., 2010;
304 Wassink et al., 2010a) that ‘best practice’ could be defined and recommended. In addition,
305 whilst there have been several studies hypothesising that attitude and personality influence
306 the likelihood of changing behaviour, this had not been evaluated in an intervention trial.

307 All three intervention trial types led to a significant reduction in prevalence of lameness. The
308 increased reduction in prevalence of lameness followed a “dose-response” effect, with
309 farmers who received greatest exposure to the intervention message in the one-to-one trial
310 having the greatest change in prevalence of lameness, followed by the group, and then the
311 postal trial. Hjort et al. (2003) also reported that personal dialogue and close contact with an
312 advisor was more motivating to farmers than printed information in a study that promoted
313 farm health and safety in Denmark. Such trials are expensive and typically with only a small
314 sample of farms, consequently where the rate of disease is already low a significant effect
315 might not be observed e.g. Tschopp et al. (2015). In the current study, flocks were recruited
316 for the one-to-one intervention trial with a high prevalence of lameness and not managed
317 using best practice so that there was sufficient power to investigate change in prevalence of
318 lameness. This does mean that the greater reduction in prevalence of lameness in the one-to-
319 one intervention trial could be an artefact. However, this group also had the greatest
320 proportional reduction in lameness and largest percentage of flocks with a reduction in the
321 prevalence of lameness (Table 4), indicating that the larger reduction in lameness was
322 probably a real effect. Change in behaviour is most likely because farmers had the
323 opportunity to discuss the recommendations with a veterinarian with expert research and
324 practical knowledge of sheep lameness who used facilitation to help farmers find solutions to

325 adopt the recommendations in their systems. English sheep farmers have reported that
326 specialist veterinarians are a preferred source of new information on treating lameness (Kaler
327 and Green, 2013; Wassink et al., 2010b). Farmers also received a letter that summarised the
328 discussion and advice given and they knew they would receive a follow-up visit in 2014; all
329 of these personal links might have made farmers feel a responsibility to follow at least some
330 of the advice. This is consistent with health literature, which attributes the effectiveness of
331 one-to-one intervention messages to greater focus, effort and emotional investment by
332 participants, helped by the bond formed with the researcher (Figueiras et al., 2001; Hawkins
333 et al., 2008).

334 Resources were greatest for the one-to-one trial and the benefits were greatest. This
335 intervention might be best replicated in farmer-vet one-to-one facilitation. Farmers have
336 stated that it is expensive to use veterinarians and recently ‘health clubs’, where small groups
337 of farmers work with a vet, have been proposed (Kaler and Green, 2013; Lovett, 2015). If our
338 results are transferrable then one might hypothesise that ‘health clubs’ might be less effective
339 than one-to-one facilitation, at least initially, because they are more like the group trial, but
340 the benefit might accrue with repeated meetings.

341 The success of the group trial adds weight to the popularity of this approach for knowledge
342 transfer in agriculture. Led by (LEG) and with each meeting including approximately one
343 hour of discussion where farmers shared experiences, uptake of best practice might have
344 occurred because of a trusted lead and because farmers trust other farmers as reliable sources
345 of information (Blackstock et al., 2010; Dodunski, 2014; Garforth and Usher, 1997;
346 Thompson et al., 1999; Wood et al., 2014; Wassink et al., 2010b). To avoid selecting farmers
347 enrolled in the postal trial, farmers in the group trial were sourced from membership of the
348 NSA, a political organisation with about 10% of sheep farmers as members. Whilst flock

349 sizes were smaller than flocks in the other intervention trial types, there was no significant
350 difference in the prevalence of lameness or managements in 2013 between group and postal
351 trial farmers and so we believe the samples are comparable. However, the small sample size
352 meant that gain and loss framed messages could not be investigated.

353 All TAs in the postal trial had lower mean prevalence of lameness in 2014 than 2013,
354 including TA1, the control arm (Table 3). There are several explanations for this. The climate
355 in the period targeted by the 2014 questionnaire was colder and dryer and so less conducive
356 to the occurrence of footrot than the period for the 2013 questionnaire and so the national
357 prevalence of footrot was likely to be lower. Additionally, for TA1, a questionnaire-
358 behaviour effect (Wilding et al., 2016) may have been operating, where the act of completing
359 a questionnaire and agreeing to participate in a trial might have stimulated TA1 farmers to act
360 more to treat lame sheep. Finally, the range of information in circulation on the treatment of
361 lame sheep might have influenced all sheep farmers, including TA1. Whatever the reason for
362 the decrease in lameness in TA1, these results highlight the importance of control groups in
363 intervention studies.

364 To test the impact of postal trial arm allocation (Table 6) we excluded flocks with prevalence
365 <5% because these farmers were likely to be in LC1 and already follow best practice
366 (O’Kane et al., 2016) and so the interventions could not lead to further change in behaviour
367 or reduction in prevalence of lameness. Flocks with prevalence of lameness >15% in 2013
368 were also excluded because such a high prevalence of lameness is indicative of an outbreak
369 of infectious lameness which would not be resolved by adopting the intervention message
370 e.g. an outbreak of CODD (Dickins et al., 2016). Flock size was forced into models because
371 it is negatively associated with prevalence of lameness (Winter et al., 2013).

372 Overall there was a 20-29% reduction in prevalence of lameness in the postal trial (Table 3).
373 Gain and loss framed intervention messages had similar influence. Possibly because
374 individual farmers varied in their perception of the procedural risk and uncertainty of
375 adopting the Six steps. Where message framing has been important it has often consisted of a
376 one-dimensional message, promoting disease prevention behaviour (Detweiler et al., 1999;
377 Ferguson and Gallagher, 2007) or disease detection behaviour (Apanovitch et al., 2003). The
378 Six steps message is not characterisable as promoting a single detection or prevention
379 behaviour. As our results do not favour either gain or loss framed messages very strongly,
380 they suggest that message framing was not important. There was also no further reduction in
381 lameness in groups receiving repeated or seasonal messages. Possibly because farmers were
382 receiving messages from other sources diluting this effect or because there is fatigue in
383 receiving repeated messages.

384 LC2 had a marginally lower prevalence of lameness (Table 6) than LC3. LC3 farmers had the
385 greatest scope for improvement, but it was hypothesised that they might be difficult to
386 influence because of negative attitudes and may need specially designed intervention
387 messages (O’Kane et al., 2016). The results from the current study indicate that this was the
388 case, after adjusting for 2013 prevalence of lameness, LC2 farmers, who maybe needed
389 nudging to treat sheep more promptly, changed their behaviour more than LC3 farmers.
390 According to the theory of planned behaviour one could argue that LC2 farmers were more
391 ready to change than LC3.

392 Farmers were selected from the whole population of English sheep farmers, however, those
393 who participated had indicated that they were interested in taking part in research into
394 lameness in sheep. This might mean that the farmers in all trials were more receptive to the
395 intervention message and not representative of the population as a whole. The reduction in

396 prevalence of lameness across all trials and flocks was 22% and 30% in flocks with 5-15%
397 lameness (Table 3). This was lower than the maximum predicted (Table 7) because there was
398 not complete uptake of the recommendations. This reduction is still considerable; if these
399 flocks are generalizable and the intervention was as effective across all flocks with lameness
400 prevalence 5 – 15%, this would be a reduction in global mean prevalence of lameness from
401 the 2014 value of 5% to 3.5%.

402 The biggest behavioural change was in relation to foot trimming (Table 5). In 2006, farmers
403 ranked foot trimming as their top current and ideal method for treating footrot (Wassink et
404 al., 2010b) but they also reported that they would like to stop routine foot trimming. Research
405 suggests that if new recommendations appear to go against current beliefs or knowledge,
406 farmers are resistant to change and intensive knowledge transfer is required, whereas if they
407 consider them easy to implement, appropriate and beneficial they will adopt them readily
408 with little or no evidence (Garforth and Usher, 1997; Garforth et al., 2013; Harvey and
409 Kitson, 2015). The change in behaviour regarding foot trimming over time maps this, with an
410 initial reluctance to stop foot trimming and a demand for more evidence that this was correct
411 advice (Abbott et al., 2003), to the situation in the last few years where there has been a rapid
412 reduction in the percentage of farmers practising routine and therapeutic foot trimming.

413 Uptake of antibiotic treatment was low. Antibiotic resistance is a concern in human and
414 animal health and so farmers might have been less keen to treat all sheep with footrot with
415 antibiotic injection, despite antibiotics being an appropriate treatment for this bacterial
416 disease. In addition, many farmers consider antibiotics an expensive treatment (LEG,
417 personal communication).

418 **5. Conclusions**

419 All three intervention trials, one-to-one, group and postal, significantly reduced the
420 prevalence of lameness in sheep. There was a dose-response effect with an increasing
421 reduction in prevalence of lameness measured as an absolute, proportional or percentage of
422 flocks with significantly lower prevalence of lameness. Farmer behavioural change was
423 greatest for activities that led to stopping the practice of foot trimming and less great for
424 uptake of use of antimicrobial therapy. There is evidence that farmers' management of
425 lameness in 2013 influenced likelihood of adopting the new recommendations in 2014,
426 indicating that some farmer types received intervention messages differently from others.

427

428 **Conflict of interest**

429 The authors have no conflicts of interest to declare.

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435

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