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# Ear and Hearing

## Relations between self-reported daily-life fatigue, hearing status and pupil dilation during a speech perception in noise task

--Manuscript Draft--

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<b>Abstract:</b>	<p>Objective: people with hearing impairment are likely to experience higher levels of fatigue due to effortful listening in daily communication. This hearing-related fatigue might not only constrain their work performance, but also result in withdrawal from major social roles. Therefore, it is important to understand the relationships between fatigue, listening effort, and hearing impairment, by examining the evidence from both subjective and objective measurements. The aim of the present study was to investigate these relationships by assessing subjectively measured daily-life fatigue (self-report questionnaires) and objectively measured listening effort (pupillometry) in both normally-hearing and hearing-impaired participants.</p> <p>Design: twenty-seven normally-hearing and 19 age-matched participants with hearing impairment were included in this study. Two self-report fatigue questionnaires: Need For Recovery and Checklist Individual Strength were given to the participants before the test session to evaluate the subjectively measured daily fatigue. Participants were asked to perform a speech reception threshold test with single-talker masker targeting a 50% correct response criterion. The pupil diameter was recorded during the speech processing, and we used peak pupil dilation as the main outcome measure of the pupillometry.</p> <p>Results: No correlation was found between subjectively measured fatigue and hearing acuity, nor was a group difference found between the normally-hearing and the hearing-impaired participants on the fatigue scores. A significant negative correlation was found between self-reported fatigue and peak pupil dilation. A similar correlation was also found between Speech Intelligibility Index required for 50% correct and peak</p>

	<p>pupil dilation. Multiple regression analysis showed that factors representing 'hearing acuity' and 'self-reported fatigue' had equal and independent associations with the peak pupil dilation during the speech in noise test. Less fatigue and better hearing acuity were associated with a larger pupil dilation.</p> <p>Conclusions: To the best of our knowledge, this is the first study to investigate the relationship between a subjective measure of daily-life fatigue and an objective measure of pupil dilation, as an indicator of listening effort. These findings help to provide an empirical link between pupil responses, as observed in the laboratory, and daily life fatigue.</p>
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21 required for 50% correct and peak pupil dilation. Multiple regression analysis showed that factors  
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5 indicator of listening effort. These findings help to provide an empirical link between pupil  
6 responses, as observed in the laboratory, and daily life fatigue.

7

8 **Key words:** listening effort, fatigue, hearing impairment, pupillometry, speech, self-report

9

1 **Abbreviations**

2 NH: Normally-hearing

3 HI: Hearing-impaired

4 FUEL: Framework for Understanding Effortful Listening

5 SNR: Signal-to-Noise Ratio

6 NFR: Need For Recovery score

7 CIS: Checklist Individual Strength score

8 SRT: Speech Reception Threshold

9 PPD: Peak Pupil Dilation

10 BPD: Baseline Pupil Diameter

11 PTA: Pure Tone Average hearing threshold

12 SII@SRT: Speech Intelligibility Index at SRT

13 NAL-R: National Acoustic Laboratories' linear fitting procedure, revised version

14 LC-NE: Locus Coeruleus - Norepinephrine

15

## 1 **Introduction**

2 There is mounting evidence showing that for listeners with hearing impairment (HI) , listening is  
3 more effortful than for normally-hearing (NH) listeners (Dwyer et al. 2014). Associations between  
4 HI and increased levels of physical or mental stress are also frequently reported (Hasson et al. 2009;  
5 Nachtegaal et al. 2009). Repeated exposure to stress on a daily basis may lead to health issues and  
6 mood change, including fatigue (DeLongis et al. 1988). It is therefore suggested that people with  
7 hearing impairment might experience higher levels of fatigue as compared to normally-hearing  
8 peers due to relatively high levels of listening effort in daily communication caused by their hearing  
9 problems (Edwards 2007; McGarrigle et al. 2014; Hornsby et al. 2016). The experience of daily-life  
10 fatigue among HI adults might not only constrain their work performance, but also result in  
11 withdrawal from major social roles (Kramer et al. 2006; Nachtegaal et al. 2009). Research  
12 examining the relationships between hearing impairment, listening effort and fatigue is limited  
13 (Hornsby 2013; McGarrigle et al. 2014; Hornsby et al. 2016; Alhanbali et al. 2017), although a  
14 growing body of research focusses on testing listening effort objectively in laboratory settings  
15 (Ohlenforst et al. 2017a). Therefore, it is important to examine the association between daily-life  
16 fatigue and objectively measured listening effort. As such, the current study aims to provide insight  
17 into the associations between hearing impairment, listening effort and daily-life fatigue, as well as  
18 the underlying mechanisms of potential interactions between them.

19

## 20 **Listening Effort and Pupil Dilation**

21 In an attempt to come to a consensus on what is known about the topic of listening effort, what  
22 terms to use and to set priorities for further research, an Eriksholm Workshop was organized on  
23 ‘Hearing impairment and cognitive energy’ (Pichora-Fuller & Kramer 2016). The workshop laid the



1 groundwork for listening effort related research by providing definitions and a theoretical  
2 framework. The workshop's consensus definition of listening effort was "*The deliberate allocation*  
3 *of mental resources to overcome obstacles in goal pursuit when carrying out a task that involves*  
4 *listening*" (Pichora-Fuller et al. 2016, p.10s) . Adapted from Kahneman's capacity model of  
5 attention (Kahneman 1973), a new *Framework for Understanding Effortful Listening (FUEL)* was  
6 proposed. The detailed description of the FUEL framework is available in Pichora-Fuller et al.  
7 (2016). In short, the FUEL proposes that listening effort is modulated independently by task  
8 demands, capacity, and motivation/arousal. Listening effort can be measured subjectively and  
9 objectively. Most subjective assessments of listening effort have employed self-report  
10 questionnaires (Gatehouse & Noble 2004; Dawes et al. 2014). On the other hand, various  
11 approaches have been adopted in attempts to measure listening effort objectively, including the  
12 application of dual-task paradigms (Anderson Gosselin & Gagne 2011; Hornsby 2013; Wu et al.  
13 2016) and the measurement of skin conductance responses (Mackersie & Calderon-Moultrie 2016).  
14 The task-evoked pupil dilation response is associated with both the sympathetic and  
15 parasympathetic nervous systems. Its measurement ('pupillometry') has a long history of  
16 application as a measure of cognitive processing load (Kahneman 1973; Beatty 1982; Steinhauer et  
17 al. 2004). Within the field of hearing related research, pupillometry has been used successfully as an  
18 index of effortful listening during speech recognition (Kramer et al. 1997; Zekveld et al. 2011;  
19 Koelewijn et al. 2014a; Koelewijn et al. 2014b). Pupil dilation has most often been measured while  
20 participants perform speech reception threshold (SRT) tests, usually conducted in a background of  
21 noise (Zekveld et al. 2010, 2011; Koelewijn et al. 2014a; Koelewijn et al. 2014b; Kramer et al.  
22 2016; Wendt et al. 2016). Multiple parameters of the dilation response can be derived from a  
23 pupillometry measurement. The peak pupil dilation (PPD) is one of the parameters that has proven  
24 to be an effective index of changes in cognitive processing load (Zekveld et al. 2010, 2011;

1 Koelewijn et al. 2014b; Kramer et al. 2016). To date, pupil dilation responses have been found to be  
2 sensitive to speech intelligibility level (Zekveld et al. 2010, 2011; Zekveld & Kramer 2014), type of  
3 masking noise (Koelewijn et al. 2014b), syntactic complexity (Piquado et al. 2010; Wendt et al.  
4 2016) and divided attention (Koelewijn et al. 2014a). Research indicates that the relationship  
5 between PPD and intelligibility level (when ranging from 0% to 100% correct) has an inverted U-  
6 shape, with the largest PPD usually being observed for sentence intelligibility levels around 50%  
7 correct (Zekveld & Kramer 2014; Ohlenforst et al. 2017b). Ohlenforst and colleagues observed an  
8 inverted U-shaped curve in both NH and HI listeners across a wide range of Signal-to-Noise Ratios  
9 (SNRs). One may intuitively assume that listeners with hearing impairment would experience more  
10 effort than NH listeners when intelligibility levels are similar for both groups. Consequently, we  
11 should then expect HI listeners to show a larger PPD than NH listeners. Interestingly, two previous  
12 studies have found that in challenging listening conditions the PPD was significantly smaller in HI  
13 participants compared with age-matched NH control groups (Zekveld et al. 2011; Kramer et al.  
14 2016). One of the potential explanations for this apparent contradiction between hypothesized and  
15 observed effects of hearing impairment involves interactions with fatigue effects.

16 According to the FUEL framework, the influence of fatigue on listening effort is mainly confined to  
17 the motivation dimension, such that a fatigued individual may likely be less motivated to apply  
18 effort to the task. So far, only a few studies have attempted to explore the relationships between  
19 daily-life/task-evoked fatigue and listening effort. Participants in the study of Hornsby (2013)  
20 performed a dual-task paradigm over time, and both listening effort and subjective rating of fatigue  
21 were assessed in aided and unaided conditions. Listening effort was indexed by visual reaction  
22 times during word a recognition and recall task; subjective rating of the current level of fatigue was  
23 obtained by asking participants the following question: “*How mentally/ physically drained are you*  
24 *right now?*”. The results did not indicate any associations between subjective ratings of fatigue and

1 objectively measured (visual reaction time) listening effort. Note that Hornsby assessed transitory  
2 or task-evoked fatigue. The association between listening effort and daily-life or long-term levels of  
3 fatigue is currently still unknown. It seems plausible to expect that fatigue as experienced in daily  
4 life situations may be associated with the motivation or the energy available to exert high levels of  
5 listening effort in any listening condition, including laboratory settings. To test this assumption, it is  
6 worthwhile to assess how the pupil response observed in laboratory tests relates to an individual's  
7 experience of perceived fatigue in daily life, and their need to recover from fatigue on a daily basis.  
8 Insight into the nature of this relationship may inform to what extent inter-individual differences in  
9 pupil dilation relate to listening effort and fatigue in daily life settings.

10 The baseline pupil diameter (BPD, measured prior to stimulus presentation) is another pupil size  
11 parameter which is related to task engagement (Aston-Jones & Cohen 2005). Although no group  
12 effect (NH vs. HI) on BPD has so far been found during the SRT test (Zekveld et al. 2011; Kramer  
13 et al. 2016), some studies have observed decreasing BPD with increasing time-on-task fatigue  
14 (Zekveld et al. 2010; Hopstaken et al. 2015a). Therefore, it is worthwhile to include measurements  
15 of BPD in the array of data to be collected.

16

## 17 **Daily-life Fatigue**

18 Most people have experienced feelings of fatigue in their life. Research indicates that almost half of  
19 the adult population has complaints of fatigue (Pawlikowska et al. 1994). Anecdotal reports and  
20 qualitative studies suggest that adults with hearing impairment are more likely than NH adults to  
21 experience fatigue and lack of energy on a daily basis (Hétu et al. 1988; Kramer et al. 2006;  
22 Nachtegaal et al. 2009; Hornsby 2013). Long-term fatigue may emerge if an individual frequently  
23 experiences tiredness without adequate recovery, and this long-term fatigue may have negative

1 impact on their quality of life and working performance. For example, Kramer and colleagues  
2 (2006) reported that adults with hearing impairment were more likely to report sick leave due to  
3 fatigue or burnout.

4 The most intuitive way to assess daily-life fatigue is through self-report questionnaires (Héту et al.  
5 1988; Kramer et al. 2006; Nachtegaal et al. 2009; Hornsby et al. 2016). For instance, The Profile Of  
6 Mood States is a 65-item questionnaire that measures six mood states, including fatigue and vigor  
7 (Lorr et al. 1971). In a recent study by Hornsby and Kipp (2016) this questionnaire was  
8 administered to 149 adults seeking help for their hearing difficulties and compared the results to  
9 normative data. They did not find significant differences in mean fatigue ratings between their  
10 experimental group and normative data. However, significant between-group differences in vigor  
11 ratings were found, and the prevalences of both severe fatigue problems and severe vigor deficits  
12 were higher in the adults with hearing problems. The Fatigue Assessment Scale is another scale  
13 addressing both physical and mental fatigue (Michielsen et al. 2004). It is a unidimensional  
14 instrument with 10-items. Alhanbali et al. (2017) applied this instrument in both HI (including  
15 hearing aid, cochlear implant users and people with single sided deafness) and NH groups, and  
16 reported increased levels of fatigue in the HI groups. However, like Hornsby and Kipp (2016), they  
17 found no significant correlation between severity of hearing loss and the Fatigue Assessment Scale  
18 within the group of hearing aid users. Other questionnaires focus on the impact of fatigue on daily  
19 activities or during work. An example is the Checklist Individual Strength (CIS). It is a  
20 multidimensional questionnaire intended to measure chronic fatigue (Vercoulen et al. 1994). It has  
21 been widely used in clinical settings in patient groups suffering chronic disease (Repping-Wuts et  
22 al. 2007; Rietberg et al. 2011). Similarly, Need For Recovery (NFR) is an 11-item scale measuring  
23 work-related fatigue (van Veldhoven & Broersen 2003). The concept of need for recovery after  
24 work reflects the ability to cope and recover from fatigue and distress at work. This factor acts as a

1 predictor of long-term health complaints (Sluiter et al. 2003). Previous studies that used the NFR  
2 scale showed that people with hearing impairment have increased need for recovery after work  
3 compared to NH peers (Nachtegaal et al. 2009). In addition, poorer outcomes on a speech-in-noise  
4 screening measure have been shown to be associated with higher NFR (Nachtegaal et al. 2009). The  
5 lack of consistency in the association between subjective ratings of daily-life fatigue and hearing  
6 impairment indicates that more research is needed in this area. In the current study, we used both  
7 CIS and NFR questionnaires to evaluate the daily-life fatigue experienced by NH and HI listeners.

8 To summarize, previous research has separately examined associations between listening effort and  
9 hearing impairment (see Ohlenforst et al. (2017a)), and between self-reported daily-life fatigue and  
10 hearing impairment (Nachtegaal et al. 2009; Hornsby 2013; Hornsby & Kipp 2016; Hornsby et al.  
11 2016). We may reasonably expect associations between all three factors, but no studies so far have  
12 addressed all of these factors together. Hence, the aims of the present study were (1) to investigate  
13 the relationship between hearing impairment and self-reported fatigue, (2) to examine the  
14 relationship between self-reported fatigue and objectively measured listening effort as indexed by  
15 the task-evoked pupil dilation response during speech recognition in noise, and (3) to estimate the  
16 separate contributions of hearing acuity and self-reported fatigue to the pupil dilation during a  
17 speech in noise task. Given these goals and the findings of previous research surveyed above, the  
18 hypotheses tested in the present study are summarized as follows:

- 19 - H1A: As a group, HI listeners report higher levels of daily-life fatigue than NH listeners,
- 20 - H1B: Within a group including both HI and NH listeners, poorer hearing thresholds are  
21 associated with higher levels of self-reported daily-life fatigue.
- 22 - H2: Higher levels of self-reported daily-life fatigue are associated with smaller PPDs during  
23 speech recognition in noise,

- 1 - H3: Hearing acuity and self-reported daily-life fatigue contribute separately to the PPD
- 2 during speech recognition in noise.

3

## 1 **Methods**

### 2 **Participants**

3 Participants were recruited from the VU University Medical Center, local community centers and  
4 hearing aid dispensers in Amsterdam. In total, 19 (13 females) HI participants and 27 (17 females)  
5 NH participants were included in this study. The HI participants were recruited first, followed by  
6 age-matched NH individuals. We allowed a +/- 5 years age difference between the two groups. The  
7 mean age of the NH participants was 46.3 years (SD = 12.4), while the mean age for HI participants  
8 was 47.2 years (SD = 10.9). All participants were native Dutch speakers. Candidates with a history  
9 of neurological, psychiatric or eye diseases that might alter the pupil response were excluded. The  
10 audiometric inclusion criterion for the NH participants was a Pure Tone Average (PTA)  $\leq$  20 dB  
11 HL across 250, 500, 1000, 2000 and 4000 Hz. For the HI group, the PTA had to be between 35 dB  
12 HL and 65 dB HL. Also the hearing loss had to be sensorineural (air-bone gap less than 10 dB  
13 between 500 Hz and 4000 Hz) and symmetrical (the difference between left and right ears had to be  
14 less than 20 dB HL at one frequency or 15 dB HL at two frequencies or 10 dB HL at three  
15 frequencies across 250, 500, 1000, 2000 and 4000 Hz). The mean PTA for the NH group was 8.8  
16 dB HL (SD = 4.6 dB HL) and it was 42.1 dB HL (SD = 9.3 dB HL) for the HI group. Participants  
17 provided informed consent for the study. The study was approved by the VU University Medical  
18 Center Ethical Committee.

19

## 1 **Self-report Daily-life Fatigue Questionnaires**

2 The questionnaires included in this study were the NFR scale (van Veldhoven & Broersen 2003)  
3 and CIS (Vercoulen et al. 1994). Both questionnaires were originally designed and validated in  
4 Dutch.

5 The NFR scale is an eleven-item scale assessing the effects of fatigue caused by work and the need  
6 for recovery afterwards. It is a subscale from the Questionnaire on the Experience and Evaluation of  
7 Work questionnaire, which is focused on the experience and assessment of work (van Veldhoven &  
8 Broersen 2003; de Croon et al. 2006). Examples of items included in the scale are: ‘In general, it  
9 takes me over an hour to feel fully recovered after work’, or ‘At the end of the day I really feel worn  
10 out’. Possible responses are ‘yes’ or ‘no’. The total NFR score is the number of ‘yes’ responses  
11 divided by the total number of items, presented as a percentage (i.e. range 0-100). The higher the  
12 score, the greater the need for recovery felt by the respondent.

13 The multidimensional CIS questionnaire was designed to evaluate chronic fatigue, and proved to be  
14 an effective questionnaire to measure fatigue in the working population (Beurskens et al. 2000). The  
15 CIS includes four dimensions: the dimension *Subjective Fatigue* is covered by eight items like “I  
16 feel tired”, and the dimension *Reduction in Motivation* includes four items like “I feel no desire to  
17 do anything”. The dimension *Reduction in Activity* has three items, like “I don’t do much during the  
18 day” and *Reduction in Concentration*, as the final dimension, has five items, for example, “My  
19 thoughts easily wander”. Each item is evaluated on a seven-point scale indexing the extent to which  
20 the particular statement applies to the participant. We used the total score of the twenty items in this  
21 study (i.e. range 20-140). Higher scores indicate a higher degree of fatigue, more concentration  
22 problems, reduced motivation, and less activity.

23



## 1 **Speech Reception Threshold Test**

2 For the speech reception threshold (SRT) test, one set of 25 female-talker sentences was selected  
3 from the Versfeld daily Dutch sentences (Versfeld et al. 2000) and used as the target speech. The  
4 noise signal was a stream of single sentences of a male talker from the same sentence database, and  
5 the long-term averaged spectrum of the interfering talker was matched to the target speech signal.  
6 For each sentence, noise onset was two seconds before the speech signal and continued until three  
7 seconds after the speech offset. An adaptive procedure was used to estimate the SNR required for  
8 50% sentence intelligibility, applying a simple one-up-one-down procedure with SNR adjusted in 2-  
9 dB steps (Plomp & Mimpen 1979). The level of the noise signal was calibrated to 65 dB SPL for  
10 both left and right ears, and the speech signal was varied. The SNR was initially set to -10 dB.  
11 Participants were asked to repeat the target sentence after noise offset. The subsequent sentence was  
12 presented after the experimenter scored whether or not the sentence was correctly reproduced, and a  
13 sentence was only scored as correct if the participant reproduced the sentence completely without  
14 any errors. The first target sentence was repeatedly presented with increasing SNRs in 4-dB steps  
15 until the participant gave a correct response for that sentence. This provided the starting SNR for  
16 the remaining adaptive procedure that continued until all 25 sentences were presented. Each of  
17 these remaining sentences were presented only once and a step size of 2-dB SNR was used in the  
18 remaining adaptive procedure. The SRT was determined as the mean SNR of sentences 5 to 25. HI  
19 participants were tested without their hearing aids. However, the speech and noise signals were  
20 amplified in accordance with their pure tone thresholds and the NAL-R (Byrne & Dillon 1986) rule.  
21 The NAL-R gain was applied to each ear separately.

22

## 1 **Pupillometry**

2 Pupil diameters of both left and right eyes (only data from the left eye were used in the analysis)  
3 were recorded by SMI RED 500 (SensoMotoric Instruments, Berlin, Germany) eye tracking system  
4 with a sampling rate of 60 Hz and a spatial resolution of  $0.03^\circ$ . Pupil recording continued  
5 throughout the whole test session, but only the pupil data between noise onset (2 seconds before  
6 speech onset) and noise offset (3 seconds after speech offset) of each sentence was retained for later  
7 processing. The experimenter observed the quality of the collected pupil data during testing. In  
8 some cases, participants started to blink more often or lower their eyelid after a certain time of  
9 testing. The experimenter intervened when necessary to remind the participants to refrain from  
10 allowing their eyelids to close and/or blinking continuously, if possible.

11

## 12 **Procedure**

13 Participants were asked to visit the VU University Medical Center twice as part of a larger study,  
14 and the data collected during the second visit are presented in this paper. A set of six questionnaires  
15 including the CIS and NFR was given to the participants during their first visit, to be completed at  
16 home and returned at the second visit.

17 Participants were asked not to wear eye-make-up, and corrective glasses were removed during the  
18 pupillometry measurements. Participants were also instructed not to drink coffee prior to testing,  
19 even though recent evidence suggests that the pupil dilation response is not highly sensitive to  
20 caffeine consumption (Bardak et al. 2016). The test session took place in a sound-treated room,  
21 where auditory stimuli were presented diotically over headphones (Sennheiser, HD 280). The room  
22 illumination was controlled by an array of LEDs and had an approximate light intensity level of 360

1 lx. Participants were seated in a comfortable chair with the distance between the midpoint of their  
2 eyes and the center of the computer screen adjusted to approximately 55 cm. A small white dot  
3 appeared at the center of the black screen (luminance less than 0.1 lx) as the eye fixation mark. We  
4 asked the participants to relax for five minutes before the SRT test, to rest their eyes and get used to  
5 the room illumination.

6

### 7 **Pupil Data Processing**

8 In accordance with the SRT test procedure in which the responses to the first four (out of 25)  
9 sentences were discarded, the pupil data from the first four sentences were discarded as well. Pupil  
10 diameters more than 3 standard deviations smaller than the mean diameter during each sentence,  
11 together with zero diameter values, were characterized as blinks. Trials were rejected if the data  
12 contained more than 20% of blinks. This resulted in the rejection of 16 out of 966 (1.6%) trials.  
13 Linear interpolations were applied to the blink periods of the remaining traces. Then a five-point  
14 moving average filter was applied to smooth the de-blinked pupil traces. For each adaptive SRT test  
15 track, the smoothed traces (maximum 21, minimum 16 traces) were time-aligned relative to the  
16 sentence onset, and then averaged. Pupil parameters were derived from the averaged trace,  
17 including the baseline pupil diameter (BPD) and the peak pupil dilation amplitude (PPD). The BPD  
18 was determined as the average pupil size in the one-second period of noise-alone presentation  
19 immediately preceding the sentence onset. The PPD is defined as the maximum pupil dilation  
20 between sentence onset and noise offset, relative to the BPD. Readers may refer to (Zekveld et al.  
21 2010) for a more detailed description of this procedure.

22

## 1 **Speech Intelligibility Index (SII)**

2 We calculated the Speech Intelligibility Index corresponding to the signal and noise levels at each  
3 participant's SRT. This is henceforth termed SII@SRT. SII@SRT provides extra information about  
4 speech understanding by quantifying the proportion of speech information that is both audible and  
5 usable for a listener (Hornsby 2004). The calculation was performed according to the ANSI S3.5-  
6 1997 standard. The equivalent noise spectrum level, the equivalent speech spectrum level  
7 (corresponding to average SNR), and each individuals' hearing thresholds were used as the input  
8 variables to calculate the SII@SRT.

9

## 10 **Statistical Analyses**

11 We first examined the descriptive statistics of the two questionnaires (NFR and CIS), SRT,  
12 SII@SRT scores, and pupil parameters (BPD and PPD). One-way analyses of variance (ANOVA)  
13 were performed on the NFR and CIS scores with hearing status (NH vs. HI) as the categorical factor  
14 to test hypothesis H1A. Then we calculated the Pearson correlation coefficients between age,  
15 hearing acuity (PTA, SII@SRT), fatigue (NFR, CIS) and pupil parameters (BPD, PPD) in order to  
16 test hypothesis H1B, and H2. Finally, to investigate hypothesis H3, a factor analysis and regression  
17 analyses were performed to break down the contributions of PTA, SII@SRT, NFR and CIS scores  
18 to explaining the PPD.

19 We must note that an incident occurred in the middle of the data collection period, whereby the  
20 noise level was shifted from 65 dB SPL to 54 dB SPL. This raised the starting SNR from -10 dB  
21 SNR to +1 dB SNR. In total, this incident influenced the data of 19 NH participants and 8 HI  
22 participants. We investigated the potential effect of this shift in noise level, and concluded that it did

1 not affect our laboratory outcomes (SRT, PPD and SII@SRT). The detailed description of this  
2 investigation can be found in the appendix.

3

## 1 **Results**

2 Table 1 shows the descriptive statistics of age, PTA, the SRT for 50% correct performance, the  
3 questionnaire results, the pupil parameters, and the Speech Intelligibility Index, all grouped by  
4 hearing status (NH vs. HI).

5

6 *Table 1. Descriptive statistics for age, PTA, SRT, SII@SRT, questionnaires and pupil parameters*

7

## 8 **Behavioral Data**

9 A one-way ANOVA showed a main effect of hearing status ( $F(1, 44) = 41.46, p < 0.001$ ) on the  
10 SRTs, indicating that NH participants had a significantly lower (better) SRT than the HI  
11 participants.

12

## 13 **Questionnaires**

14 Both NFR and CIS scores followed Normal distributions according to the frequency histograms and  
15 Q-Q plots (observed values vs. theoretical quantile of normal distribution fitting) of the scores.  
16 When comparing the NH and HI groups using a one-way ANOVA, there was no significant  
17 difference in NFR score ( $F(1, 44) = 2.18, p = 0.15$ ) or CIS score ( $F(1, 44) = 0.78, p = 0.38$ )  
18 between the groups, although there was a non-significant tendency among the HI participants to  
19 have higher self-reported fatigue in comparison with the NH participants. A MANOVA analysis  
20 combining the scores on the two questionnaires also failed to find a significant group effect ( $F(2,$   
21  $43) = 1.07, p = 0.35$ ).

1

## 2 **Pupil Parameters**

3 No significant group effect (NH, HI) was observed when performing a one-way ANOVA on the  
4 BPD ( $F(1, 44) = 0.25, p = 0.62$ ). The one-way ANOVA of the PPD showed a significant group  
5 effect, indicating that the PPD was significantly larger in the NH group than in the HI group ( $F(1,$   
6  $44) = 4.34, p < 0.05$ ). Figure 1 illustrates the averaged pupil dilation response relative to the  
7 baseline pupil diameter during the SRT test.

8

9 *Figure 1. The averaged pupil dilation response relative to the baseline pupil diameter during the*  
10 *SRT test. Sentence perception performance was 50% correct*

11

## 12 **Speech Intelligibility Index (SII@SRT)**

13 The mean SII@SRT score for the NH participants was 0.14 (SD = 0.02), and it was 0.28 (SD =  
14 0.02) for the HI group. The SII@SRT of the HI group was significantly higher than that of the NH  
15 group ( $F(1, 44) = 28.97, p < 0.001$ ), indicating that audibility of the speech signal had to be higher  
16 for the HI participants for a performance of 50% correct during the SRT task

17

## 18 **Correlation between age, hearing acuity, questionnaires and pupil parameters**

19 Table 2 shows the Pearson correlation coefficients between hearing acuity, the fatigue  
20 questionnaires, and the pupil parameters (BPD and PPD) for the total sample (NH + HI). The

1 significance of each correlation coefficient was evaluated using a Bonferroni-adjusted alpha level of  
2 0.0071 (0.05/7). There was a significant relationship between NFR and PPD ( $r(44) = -0.39$ ,  $p <$   
3  $0.0071$ ) such that higher NFR was associated with a smaller PPD. Figure 2 shows the scatterplot of  
4 the association between NFR and PPD. The correlation between CIS and PPD was not significant ( $r$   
5  $(44) = -0.35$ ,  $p = 0.018$ ), although there was a moderate positive association between NFR and CIS  
6 ( $r(44) = 0.57$ ,  $p < 0.001$ ).

7 Moreover, we found that there were significant associations between SII@SRT and PPD ( $r(44) = -$   
8  $0.39$ ,  $p < 0.0071$ ), SRT and PPD ( $r(44) = -0.41$ ,  $p < 0.0071$ ), and a marginally non-significant  
9 correlation between PTA and PPD ( $r(44) = -0.37$ ,  $p = 0.013$ ), such that larger PPD was associated  
10 with lower SII@SRT, lower (better) SRT, and (possibly) lower PTA. We failed to find any  
11 correlation between the BPD and any of the parameters mentioned above.

12 *Table 2 Pearson correlation coefficients between age, PTA, scores from SII@SRT, NFR, CIS, BPD*  
13 *and PPD during the SRT test*

14

15 *Figure 2. Scatterplot of PPD against NFR score. The solid blue dots represent NH participants.*  
16 *The solid red triangles represent the HI participants*

17

## 18 **Multiple Regression**

19 In order to further investigate how fatigue and hearing acuity contributed to the PPD (see H3 in the  
20 Introduction), we performed a multiple regression analysis on the data acquired from all participants  
21 (NH + HI). Beforehand, we sought the opportunity to reduce the number of variables that would be



1 included in the multiple regression. From our correlation analysis, we found that SII@SRT and  
2 PTA were highly correlated to each other, as were NFR and CIS. Thus, we ran a factor analysis on  
3 the data of these four variables for all the participants to examine the underlying latent factor  
4 structure. The correlation matrix of the four variables was taken as the input of the factor analysis,  
5 so that the variables were standardized before the factor analysis.

6

7 *Table 3. Rotated factor loadings (Varimax normalized) for each of the four variables along with*  
8 *their groupings within the two emergent factors.*

9

10 Factor 1, which was mainly composed of PTA and SII@SRT values, had an eigenvalue of 1.94 and  
11 accounted for 48.4% of the variance. The second factor, which was the combination of NFR and  
12 CIS scores, had an eigenvalue of 1.31 and accounted for 32.8% of the variance. According to the  
13 results presented in Table 3, the varimax rotated loadings of PTA and SII@SRT to Factor 1 were  
14 both 0.91, suggesting that PTA and SII@SRT were similarly strongly associated with the factor.  
15 We interpreted Factor 1 as reflecting *Hearing acuity*. The varimax rotated loadings of NFR and CIS  
16 on factor 2 were 0.88 and 0.89 respectively, indicating similar associations for these two  
17 questionnaires with Factor 2. Thus, factor 2 could be interpreted as the *Fatigue factor*: the lower the  
18 value, the less fatigue was experienced by the participants.

19

20 *Table 4 Multiple regression result with PPD ( $R^2 = 0.29$ , adjusted  $R^2 = 0.26$ ,  $p < 0.001$ ) as the*  
21 *dependent variable, and the Hearing acuity and Fatigue factors as the independent variables*

22

1 Next, we performed a multiple regression analysis with the Hearing acuity and Fatigue factors as  
2 predictors and PPD as dependent variable. The results indicated that the two predictors explained  
3 26% of the variance in PPD ( $R^2 = 0.29$ , adjusted  $R^2 = 0.26$ ,  $F(2, 43) = 8.91$ ,  $p < 0.001$ ). Hearing  
4 acuity and Fatigue factors contributed equally and independently to PPD ( $\beta = -0.38$ ,  $p < 0.005$ ).

5

## 1 **Discussion**

2 In the present study, we acquired self-reported ratings of daily-life fatigue (NFR and CIS), measures  
3 of hearing acuity (PTA and SII@SRT), and the pupil dilation response (Baseline Pupil Diameter,  
4 BPD; and Peak Pupil Diameter, PPD) during an SRT test targeting 50% performance level in both  
5 NH and HI participants. The first aim of the study was to examine the difference in self-reported  
6 daily-life fatigue between NH and HI participants, as well as the relationship between fatigue and  
7 hearing acuity. The second purpose of the present study was to investigate the relationship between  
8 self-reported daily-life fatigue and objectively measured listening effort (as indexed by PPD). The  
9 third aim was to further investigate the latter relationship by examining the individual associations  
10 of self-reported daily-life fatigue and hearing acuity with the PPD. The results showed that  
11 individuals with higher levels of self-reported daily-life fatigue have smaller PPD. Hearing acuity  
12 and self-reported fatigue are independently associated with the PPD, such that poorer hearing acuity  
13 and higher levels of fatigue are associated with smaller pupil dilations.

14

## 15 **Self-reported fatigue and hearing impairment**

16 The results revealed no significant differences in NFR and CIS scores between the NH and HI  
17 groups, although there was a non-significant tendency of the HI listeners to have higher (worse)  
18 NFR and CIS scores than the NH listeners. Thus, H1A was not supported. Similarly, we did not  
19 find any associations between self-reported fatigue (NFR, CIS) and hearing acuity indices (PTA,  
20 SRT, SII@SRT). Hence, H1B was not supported either. The lack of association between fatigue  
21 and hearing acuity is not in line with the previous findings of Nachtegaal et al. (2009), but does  
22 accord with Hornsby and Kipp (2016). Hornsby and Kipp (2016) concluded that the absence of this  
23 relationship in their data was probably due to the individual variance in other abilities such as

1 speech processing ability, which might also affect subjective ratings of fatigue. Other factors such  
2 as personal traits and anxiety may act as better predictors of self-reported fatigue than hearing  
3 acuity. For instance, Jiang et al. (2003) found that self-reported fatigue was strongly associated with  
4 trait anxiety and harm avoidance (derived from a psychobiological model of personality). The  
5 nature of the current dataset did not allow us to test this type of explanation. To the best of our  
6 knowledge, the CIS questionnaire has not been used to evaluate differences in fatigue between NH  
7 and HI groups before. The close correlation between CIS and NFR may indicate that they were  
8 tapping into the same dimension of fatigue.

9

#### 10 **Self-reported fatigue and pupil dilation response during listening task**

11 As far as we know, this is the first study to examine the correlation between subjectively assessed  
12 daily-life fatigue and objectively measured peak pupil dilation during speech perception. We found  
13 a moderate negative correlation between the NFR score and PPD during the SRT test targeting 50%  
14 performance (higher levels of fatigue were associated with smaller PPDs), supporting H2.  
15 According to the hitherto dominant interpretation of larger PPD as reflecting greater cognitive  
16 processing effort (Zekveld et al. 2010; Koelewijn et al. 2014a), our result seems to indicate that a  
17 more fatigued individual will expend less – not more – resources to achieve the same intelligibility  
18 level. These results can be reconciled if the modulation of motivation by fatigue, as posited in the  
19 FUEL framework (Pichora-Fuller et al. (2016), is considered. Using this interpretation, fatigued  
20 individuals may be less motivated to perform well in the SRT test, and will exert less effort to  
21 perform the task, resulting in a reduction of the PPD (Zekveld & Kramer 2014; Ohlenforst et al.  
22 2017a).

1 However, we failed to observe any significant correlation between fatigue and task performance, as  
2 indicated by the SRT score. The relatively strong associations observed between SRT and  
3 PTA/SII@SRT suggest that the SRT is predominantly reflecting hearing acuity in the present data  
4 set. It is not implausible to think that motivation might also be associated with the task  
5 performance. As such, an independent assessment of motivation might be helpful in future studies.  
6 Previous studies have observed a decline in the baseline pupil diameter after a certain testing time,  
7 and have ascribed this to the onset and progression of task-related fatigue (Zekveld et al. 2010;  
8 Hopstaken et al. 2015a). The current study showed no relationship between self-reported daily-life  
9 fatigue and baseline pupil diameter (averaged across 21 sentences). Given that the average testing  
10 time in the current study was around half an hour, which is relatively short compared to  
11 experiments designed to induce task-related fatigue, we do not expect our BPD data to be strongly  
12 influenced by task-related fatigue effects. The contrast between these two types of results tends to  
13 reinforce the idea that daily-life fatigue and task-related fatigue are qualitatively different  
14 phenomena.

15

### 16 **The contributions of self-reported fatigue and hearing acuity to pupil dilation response**

17 In the current study, we found that HI participants had a smaller PPD than NH participants when  
18 performing an SRT task targeting 50% correct. This result is in line with previous research (Zekveld  
19 et al. 2010; Kramer et al. 2016; Ohlenforst et al. 2017b). In order to gain a better understanding of  
20 this result, we further examined the relationship between hearing acuity, self-reported fatigue and  
21 PPD. Alongside the significant correlation between self-reported fatigue and PPD, we also found  
22 that poorer hearing as reflected by the PTA and SII@SRT was associated with smaller PPD. Taken  
23 together, both fatigue and hearing acuity were related to smaller PPDs, while there was no direct

1 association between fatigue and hearing acuity. Therefore, the current findings might indicate  
2 independent associations of hearing acuity and self-reported fatigue with PPD. The results from the  
3 factor analysis and multiple regression analysis further confirmed these independent associations.  
4 We found that both fatigue (CIS + NFR) and the hearing acuity factor (PTA + SII@SRT) showed  
5 significant, and almost equal, negative associations with the PPD during listening, and the two  
6 factors accounted for 26% of the variance in PPD. Less fatigue and better auditory sensitivity were  
7 associated with larger PPDs during the SRT test. The associations we found do not establish  
8 causality, but the most plausible direction of causality would seem to be that daily-life fatigue and  
9 auditory acuity are precursors of PPD, rather than vice versa. Meanwhile, an as-yet unidentified  
10 common cause behind all three cannot be excluded.

11 The reason why the SRT was not included in the multiple regression analysis was that the SII@SRT  
12 provides more information about hearing acuity than the SRT in itself. We observed a moderate  
13 correlation coefficient between SRT and PTA in this study, which typically means that the provided  
14 audibility was insufficient, although the loss of hearing sensitivity was partly compensated for by  
15 the application of gain according to the NAL-R prescription. Humes (2007) has shown that the gain  
16 prescribed by NAL-R above 4 kHz does not fully compensate for the loss of audibility. If audibility  
17 had been fully compensated, we would expect to observe a weaker correlation between SRT and  
18 PTA. The SII calculation takes audibility into account whereas the SRT does not directly.  
19 Therefore, we used the SII in the analysis.

20 Probably the most important finding of the current study is the demonstration of significant  
21 associations between the PPD and both self-reported daily-life fatigue and hearing acuity, without a  
22 significant association between fatigue and hearing acuity. Given the important role of cognition in  
23 speech recognition tasks, it is possible that the ability to distinguish the target talker from the

1 competing talker plays a role in the association between hearing acuity and PPD. For instance,  
2 Petersen et al. (2017) found that individuals with worse hearing showed a weaker neural tracking  
3 when differentiating an attended talker from a competing one, while Kuchinsky et al. (2014) found  
4 that training of speech perception in older adults with hearing loss could result in an increased pupil  
5 dilation during a word recognition in noise task. Thus, it is possible that the larger pupil dilation we  
6 observed in the NH group actually reflects a more salient perception of the target speaker compared  
7 to the HI group.

8 Meanwhile, the independent contribution of fatigue to the PPD may stem from the autonomic  
9 nervous system, which controls the pupil dilation response. Recent findings from Hopstaken and  
10 colleagues (2015a) suggest a possible link between mental fatigue and task disengagement  
11 associated with the locus coeruleus norepinephrine (LC-NE) system. The LC-NE system is known  
12 to be related to task engagement and sympathetic arousal (Aston-Jones & Cohen 2005). Aston-  
13 Jones and Cohen (2005, p.431) proposed that “*descending regulation of LC suggests a mechanism*  
14 *for volitional control of waking in the face of fatigue*”. Hopstaken et al. (2015b) observed that  
15 increasing task-related mental fatigue coincided with a diminished pupil dilation response, which  
16 suggested the possible involvement of the LC-NE system during task disengagement caused by  
17 mental fatigue. Speculatively, the present findings may indicate that daily-life fatigue may also  
18 affect the pupil dilation response through the LC-NE system. Importantly, this appears not to be  
19 strongly dependent on hearing acuity. The data collected in the present study do not provide further  
20 elucidation on these potential explanations.

21

## 1 **Limitations**

2 There are several limitations of the current study that need to be mentioned. Firstly, we measured  
3 objective listening effort and subjective daily-fatigue in the current study. We might have gained  
4 more insight into the associations between listening effort and fatigue if we had also included both  
5 subjective measurement of listening effort (self-rating of perceived listening effort) and objective  
6 measurement of fatigue (task-induced fatigue). Secondly, the current study tested SRT only at 50%  
7 performance level. Inclusion of more intelligibility levels (84%, 100%) would certainly be helpful  
8 to gain more insight into how the pupil dilation response related to fatigue. Thirdly, the NH and HI  
9 groups by themselves were too small to establish reliable correlational findings within each group,  
10 so correlation analyses are only valid for the total NH+HI sample. Finally, for the SII calculation,  
11 we used the long-term RMS level of the noise signal, i.e. assumed a steady noise, whereas the  
12 actual noise signal was a single talker. The SII@SRT estimates might have been improved if we  
13 had used a time-varying SII approach as proposed by Rhebergen and colleagues (Rhebergen &  
14 Versfeld 2005).

15

## 16 **Conclusion**

17 The most important and novel finding of this study is the demonstration of significant associations  
18 between the PPD and both self-reported daily-life fatigue and hearing acuity, without a significant  
19 association between fatigue and hearing acuity. Daily-life fatigue may thus be one of the factors  
20 explaining inter-individual differences in PPD such as are often observed in studies using PPD as an  
21 index of listening effort. The detailed interactions between listening effort, fatigue and hearing loss  
22 remain to be clarified.



1

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6

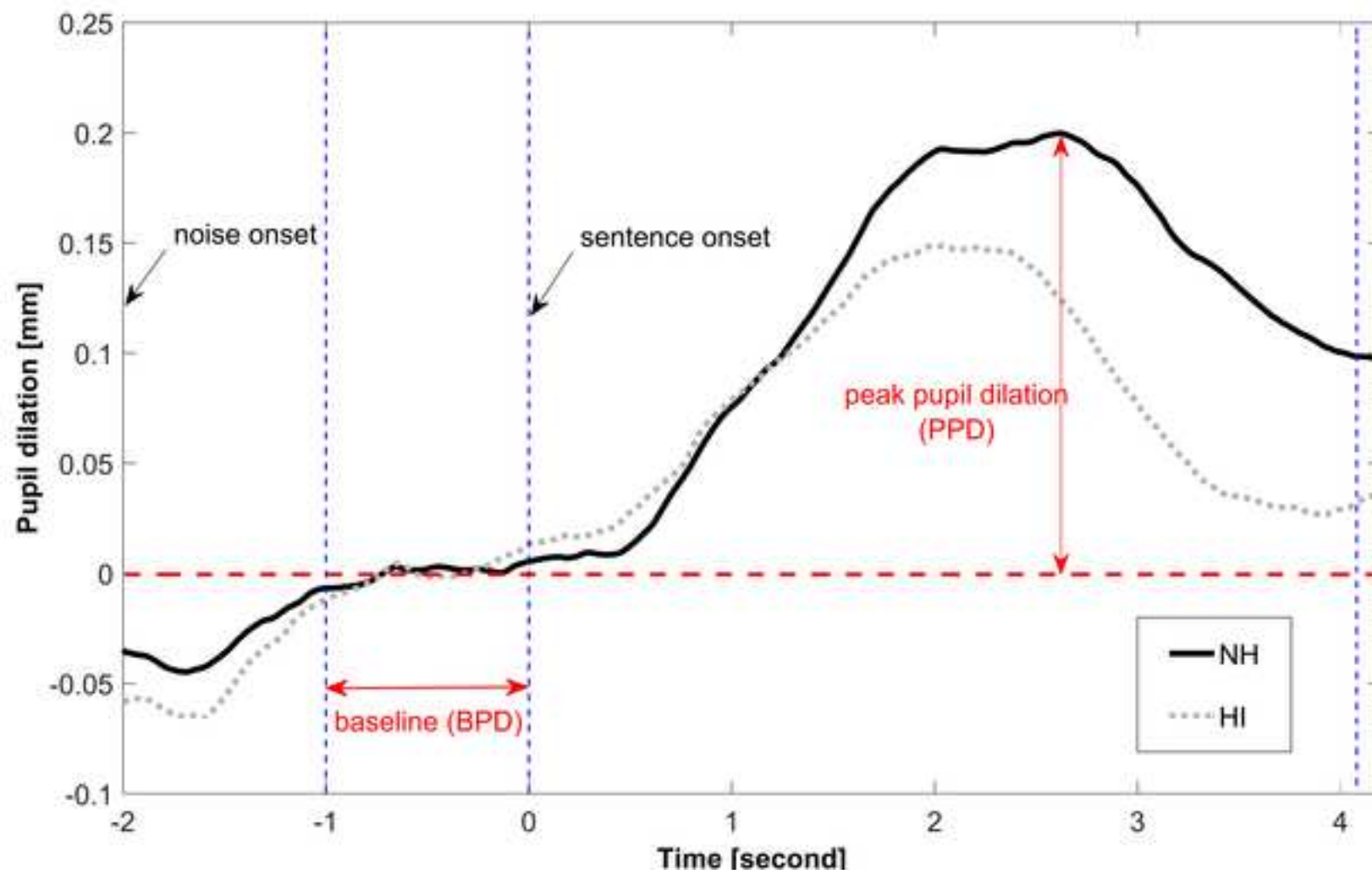
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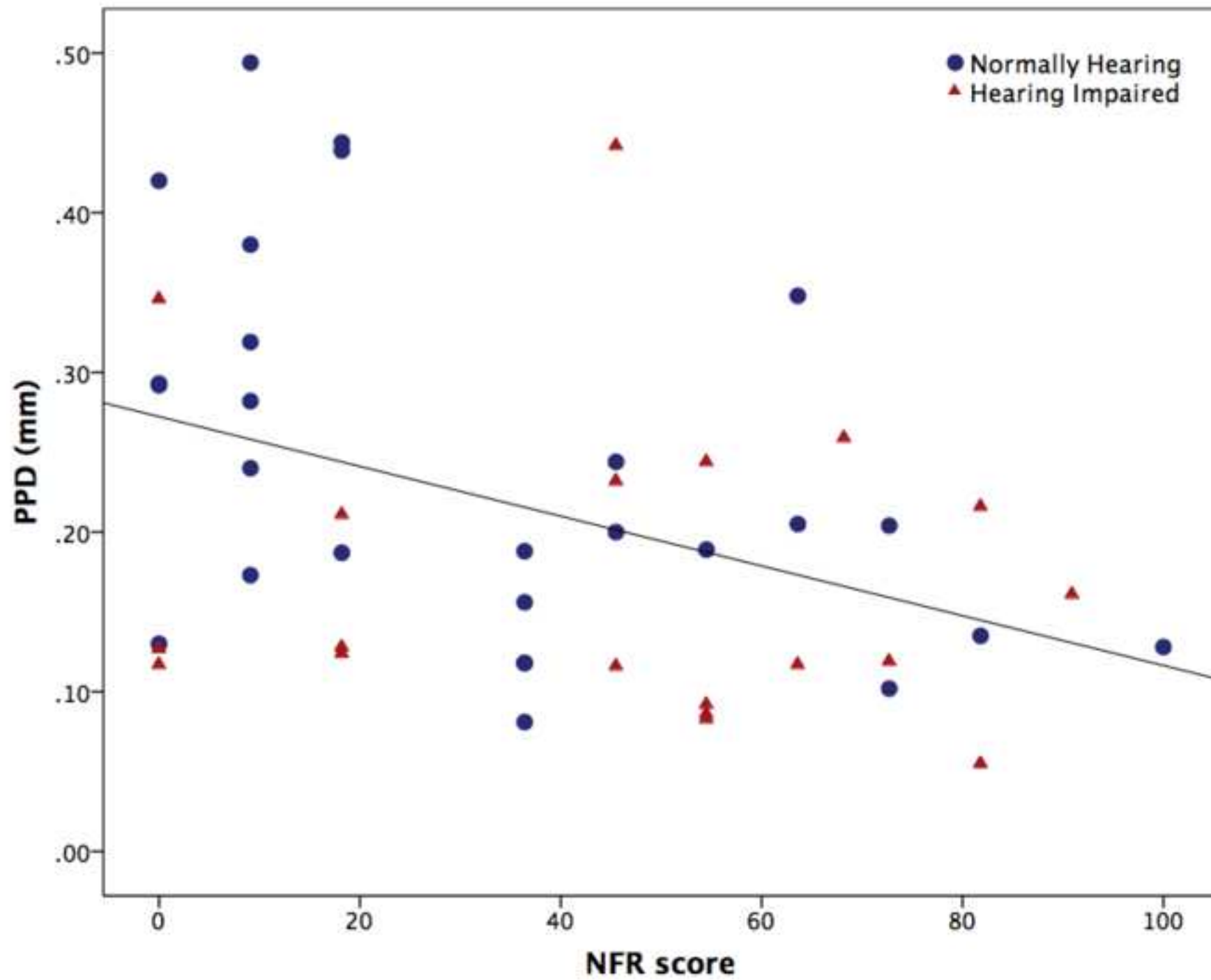
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**Table 1. Descriptive statistics for age, PTA, SRT, SII@SRT, questionnaires and pupil parameters**

	NH		HI	
	Mean	SD	Mean	SD
Age (yr)	46.3	12.4	47.2	10.9
PTA (dB HL)	8.8	4.6	42.1	9.3
SRT (dB SNR)**	-9.3	1.4	-3.2	4.7
SII@SRT**	0.14	0.02	0.28	0.02
NFR	33.0	28.5	45.7	28.9
CIS	55.7	23.3	61.6	20.7
BPD (mm)	4.88	1.03	5.02	0.83
PPD* (mm relative to BPD)	0.24	0.12	0.17	0.10

NH: normally hearing group; HI: hearing-impaired group; PTA, pure-tone average at 250, 500, 1000, 2000 and 4000 Hz across both ears; SII@SRT: Speech Intelligibility Index corresponding to the signal and noise levels at each participant's SRT; NFR: Need for Recovery scale (range 0-100); CIS: Checklist Individual Strength questionnaire (range 20-140); BPD: baseline pupil diameter; PPD: peak pupil dilation relative to BPD;

\* :  $p < 0.05$

\*\*  $p < 0.001$

**Table 2 Pearson correlation coefficients between age, PTA, scores from SII@SRT, NFR, CIS, BPD and PPD during the SRT test**

	Auditory Test			Questionnaires		Pupil Parameters	
	PTA	SRT	SII@SRT	NFR	CIS	BPD	PPD
ALL (n = 46)							
PTA		0.79*	0.68*	0.19	0.14	0.01	-0.37
SRT			0.90*	0.11	0.18	0.12	-0.41*
SII@SRT				0.14	0.14	0.12	-0.39*
NFR					0.57*	0.11	-0.39*
CIS						0.24	-0.35
BPD							0.00

PTA, pure-tone average at 250, 500, 1000, 2000 and 4000 Hz; SII@SRT, Speech Intelligibility Index score at SRT; NFR, Need For Recovery; CIS, Checklist Individual Strength;

BPD, baseline pupil diameter; PPD, peak pupil dilation relative to BPD

\* significant at bonferonni-corrected criterion alpha level ( $p < 0.0071$ )



**Table 3. Rotated factor loadings (Varimax normalized) for each of the four variables along with their groupings within the two emergent factors.**

	Factor 1	Factor 2
NFR	0.11	0.88*
CIS	0.01	0.89*
PTA	0.91*	0.10
SII@SRT	0.91*	0.07

PTA, pure-tone average at 250, 500, 1000, 2000 and 4000 Hz; SII@SRT, Speech Intelligibility Index score at SRT; NFR, Need For Recovery; CIS, Checklist Individual Strength;

\*:  $p < 0.05$

**Table 4 Multiple regression result with PPD ( $R^2 = 0.29$ , adjusted  $R^2 = 0.26$ ,  $p < 0.001$ ) as the dependent variable, and the factors Hearing acuity and Fatigue as the independent variables**

Dependent variable	Independent variable	B (regression coefficients)	$\beta$ (standardized regression coefficients)	P
PPD	Hearing acuity factor	-0.04	-0.38	$p < 0.005$
	Fatigue factor	-0.04	-0.38	$p < 0.005$

PPD: peak pupil dilation relative to BPD

### **Appendix: data acquired before and after the noise level shift**

One-way ANOVA on PPD showed that the effects of noise level shifting were not significant on all participants (NH + HI) ( $F(1, 44) = 0.24, p = 0.63$ ), the NH group ( $F(1, 27) = 0.59, p = 0.45$ ) and the HI group ( $F(1, 17) = 0.86, p = 0.37$ ). We also ran one-way ANOVA on the SII score, using participants before and after SNR shifting as the between group factor. Again, there were no significant between group differences on SII score for all participants ( $F(1, 44) = 2.07, p = 0.63$ ), the NH group ( $F(1, 27) = 1.15, p = 0.29$ ) and the HI group ( $F(1, 17) = 0.77, p = 0.39$ ). The same results were obtained from similar ANOVAs with SRT as dependent variable. Thus, we conclude that the unintended change of initial SNR part way through the data collection period had no significant effect on the end results in terms of availability of auditory information (SII) or pupil dilation (PPD).