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# Active thermal mass enhancement using phase change materials

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## **ABSTRACT**

Buildings account for around 40% of energy consumption in the UK. For over twenty years active thermal mass systems have been a feature in low-energy buildings in northern Europe. By passing ventilation air, and utilising night ventilation, through the hollow core structures efficient heating and cooling has been achieved. Despite the success, such systems suffer from space overheating and efficiency losses during extended hot periods. Control strategies have largely mitigated this effect however low cost retrofit solutions that enhance the system are of interest. This research therefore investigates the benefit of using innovative phase change material (PCM) solutions to enhance thermal comfort and reduced energy usage of traditional active thermal mass systems.

A prototype PCM enhancement was constructed, with energy saving and comfort benefits investigated under controlled laboratory conditions. In absolute terms the PCM solution offered an additional 12.5% energy storage capacity and a 29% increase in active surface area, coupled with the existing sensible thermal mass. Under laboratory conditions the PCM addition saved an additional 0.1 kWh per day, delayed AC onset by 1.2 hours and offered an average 1.0°C reduction in room temperature during eight hours of fixed cooling load, contrasted against the original active thermal mass system.

## **KEY WORDS**

Active thermal mass, phase change materials, thermal mass, free cooling, night cooling, energy-efficient cooling

# 1 Introduction

Worldwide, in 2011, 30EJ were consumed within service sector buildings, 26% of total built environment consumption [1]. Assessing the end-use energy demand in such buildings (Figure 1.1), 33% of end-use energy was consumed through space heating and cooling. The demand for energy supply continues to rise, putting extra stress on energy markets and the environment, yet the energy intensity (energy consumption divided by contribution to GDP) has not improved since the late 1980s, suggesting a lack of improvement in energy-efficiency [2]. To meet the UK government climate change targets (80% reduction by 2050), energy-efficient measures, throughout the service sector built environment, are essential [3]. Through activation of building thermal mass, space heating and cooling demands can be reduced and offset.

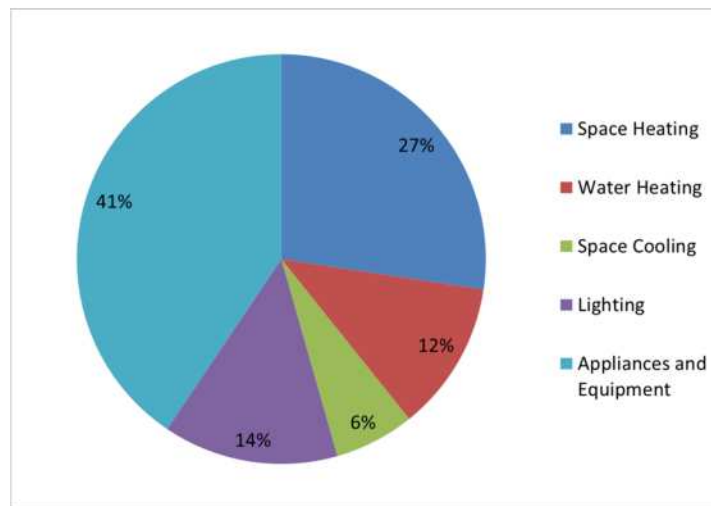
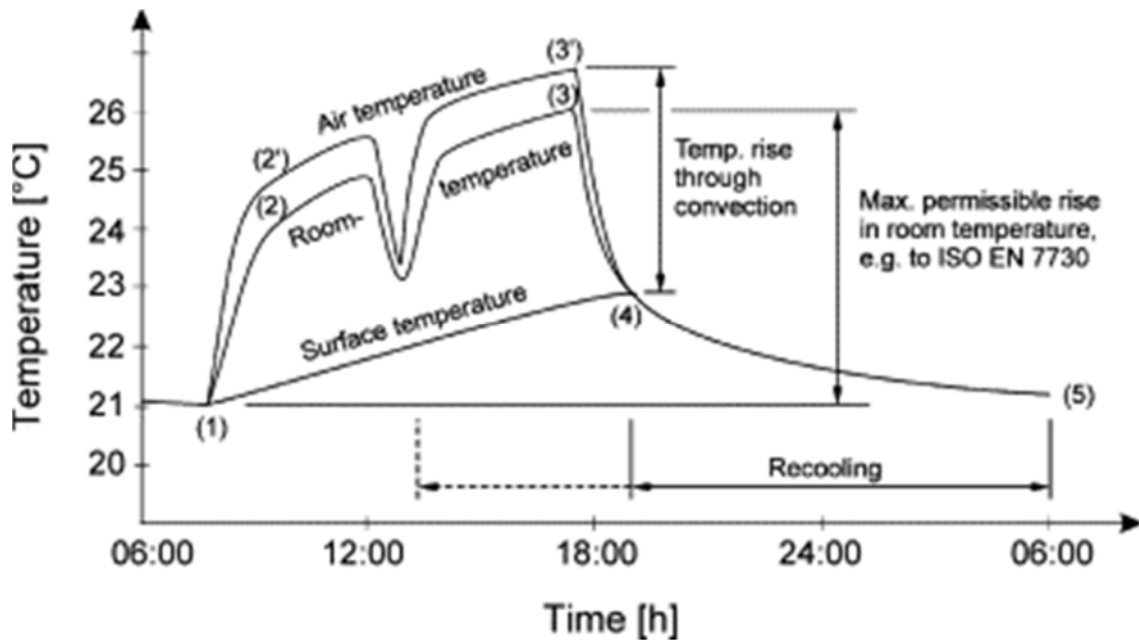


Figure 1.1 - Service-sector Built Environment End-use Energy Breakdown for 2011 [1]

Active thermal mass systems utilise the intrinsic thermal mass of a building by incorporating an air network into the mass. By embedding a fluid network into the thermal mass, the active heat transfer area is increased; improving performance. Further, the conditioning of the air, through connected plant equipment, enables temperature control of the thermal mass [4]. Heat recovery from the exhaust air through a thermal wheel further improves efficiency.

Figure 1.2 demonstrates the temperature fluctuation and operation of the thermal mass in an active thermal mass system. From point (1) to (3) internal gains from occupant behaviour and solar gains accumulate thermal energy in the room, and subsequently in the thermal mass. The thermal mass moderates the temperature by absorbing heat energy at the heat transfer surface. Once unoccupied, the room can be cooled by activating the

- 1 cooling system in the thermal mass until the required set point is reached ready for next day operation (points
- 2 (4) to (5)).



3

4 [Figure 1.2 - Diurnal temperature cycles for an active thermal mass application \[4\]](#)

- 5 The popular Actimass and Termodeck [5] systems utilise ventilation air as the thermal fluid passing through an
- 6 air network in the hollow core slabs. The concrete hollow core absorbs heat from the room, whilst pre-
- 7 conditioned ventilation air passes through the hollow core; before being released into the room, as
- 8 characterised in Figure 1.3. Invented in the late 1970's a steady stream of computational and experimental
- 9 research has been carried out on the industrial system [6].

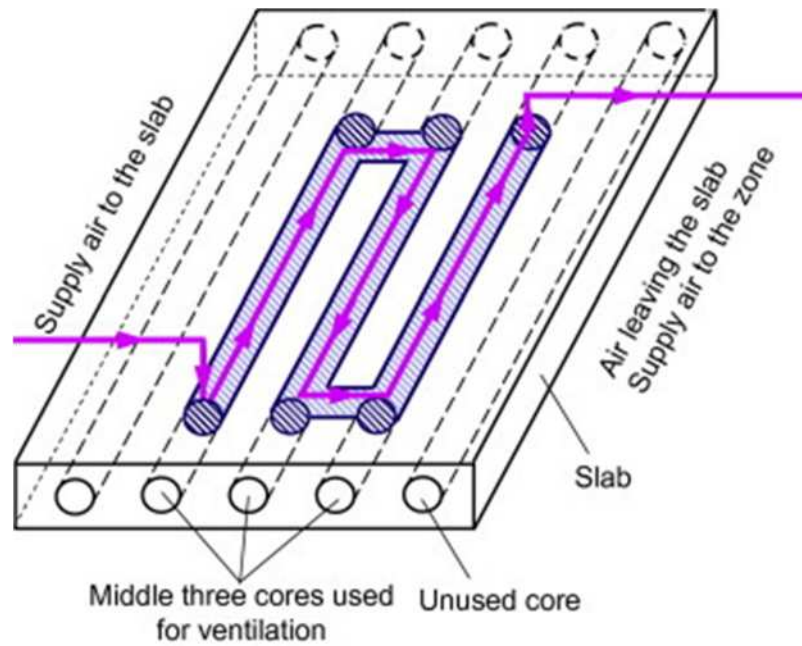


Figure 1.3 - Typical Hollow Core Air Network [6]

The ventilation air serves to maintain hollow core and room temperature. Air passing through the hollow core en-route to the room increases the heat exchange rate through an increase in heat transfer area and forced ventilation, whilst also enabling room temperature control through recycled heat. Heat transfer from the thermal mass to room is no longer limited by the ceiling surface area, since pre-treated ventilation air conditions the room also.

During hot spells night ventilation flushes the residual heat from the hollow core thermal mass. This extends the operation envelope and ensures room temperatures never exceed the national standard office temperature hours [7]. Night ventilation operation limits the applicability of the technology to buildings with intermittent occupancy patterns. The temperature profiles, in Figure 1.4, demonstrate the twenty-four hour operation the ventilated TES undertakes; maintaining comfort temperatures during occupied hours, and then discharging the thermal energy stored during night hours.

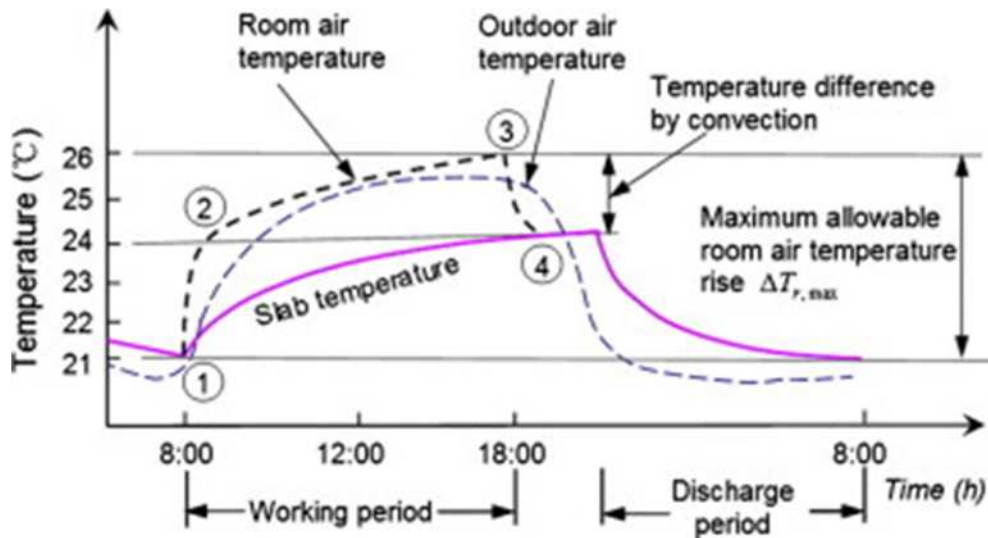


Figure 1.4 - 24hr Hollow Core Operation [6]

Commercial studies, to date, have focussed on building performance reviews; whilst most academic interest has sought to characterise performance through computational modelling. Ren [8] used a simplified-combined-resistance or lumped-parameter method to model the hollow core network. The work suggested that the heat transfer coefficient around the bend in the air network was fifty times greater than in the straight duct. However modelling by Barton [9], using a two-dimensional finite-difference model, found minimal effect on overall heat transfer due to the air network bends. Suggestion by Green [10] of additional internal baffles, to enhance heat transfer, was made following validated CFD and laboratory investigations on a two-core test slab.

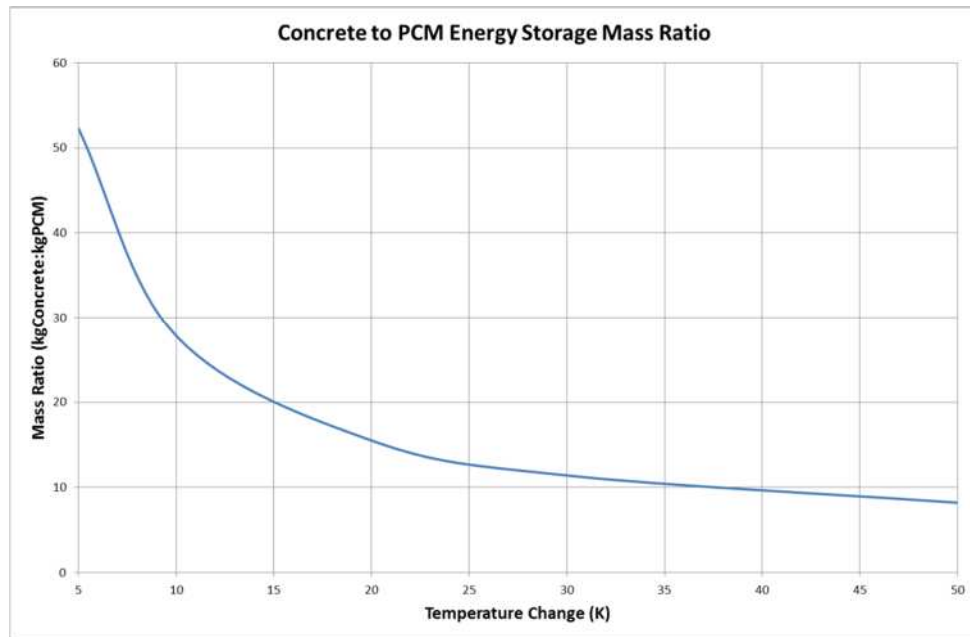
A three-year investigation by Winwood [5, 11, 12] used a CFD model of the hollow core to characterise the performance under ventilated conditions. The work culminated in a full building assessment offering suggestions to improve the building's poor annual operation [11]. The problems noted inappropriate control, which significantly hindered building energy reduction. To improve the active thermal mass system variable-speed fans with high efficiencies was suggested. Additionally, the monitoring also revealed that during hot periods, where ambient temperature was too high to achieve night cooling, little could be done except to switch off night ventilation and wait for the next cool night. This hindrance highlighted the need to maximise the energy storage capacity in the active thermal mass system and improve predictive control mechanisms that minimise daily temperature gain in the thermal mass.

Since Winwood's monitoring and analysis the control system and plant design of active thermal mass buildings have been developed, ensuring target temperatures are maintained through the majority of the year.

1 Traditionally additional chiller capacity in the plant is designed to satisfy peak cooling demand. The addition of  
2 further thermal mass may offer a low energy alternative to chiller reliance. Based on European climate data,  
3 free cooling via night ventilation is available through ninety-six percent of the year [13]. Although inhibiting to  
4 active thermal mass efficiencies the occurrence of peak periods without available night cooling are rare in the  
5 UK.

6 The work presented, in part, investigates whether additional latent thermal mass can mitigate saturation  
7 temperatures during peak cooling load periods. Phase change material (PCM) addition, with specific melt  
8 temperature, was used to increase the energy storage capacity of active thermal mass systems during  
9 temperature operating regions where overheating threatened. Further, since peak periods account for less than  
10 four percent of UK weather the work considered the benefit of PCM addition to a typical active thermal mass  
11 system under typical free cooling conditions. Expected energy savings were investigated as well as thermal  
12 comfort benefits.

13 PCM offers viable lightweight thermal energy storage (TES) solutions for energy savings within the built  
14 environment. Theoretically, PCM is capable of up to fifty times the energy storage density as concrete, assuming  
15 all mass is evenly heated over a five kelvin range that includes the PCM melt temperature (Figure 1.5).  
16 Applications of PCM as energy saving systems within the built environment vary from impregnated wall-board,  
17 insulation sheets and PCM incorporated concrete [14].



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Figure 1.5 - Variation of Concrete to A22H PCM mass ratio with respect to thermal mass temperature change

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PCM enhancement to concrete has long been studied. Three common methods for incorporating the PCM have been investigated [15]:

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1) Immersion [16, 17]: the solid concrete is immersed in liquid PCM causing PCM to solidify in the concrete pores.

6

7

2) Impregnation [18, 19]: prepared porous aggregates are immersed in PCM liquid and allowed to cool. Following saturation the PCM loaded aggregates are added to the concrete mix.

8

9

3) Direct mixing [18, 20]: the PCM is pre-encapsulated in microscopic capsules. The microencapsulated PCM is subsequently mixed directly into the concrete mix.

10

11

From these methods both immersion and impregnation suffer from PCM leakage, inhibiting applications. Direct mixing methods make use of microencapsulated PCM to avoid PCM leakage during their melt phase.

12

13

Additionally to the generic methods of PCM application to concrete outlined above, further research [21] sought to optimise use of PCM in a thermally active building system (TABS). In the TABS a layer of PCM-concrete was cast onto the ceiling soffit of prefabricated TABS. The investigation tested zero to six weight percent PCM-concrete mixes. Direct mixing using microencapsulated PCM was used. This method benefits from easy mixing and application whilst avoiding leakage. Total levels of PCM however are limited based on the percentage of PCM in the concrete to maintain strength in the concrete mix [20].

18



1 Further, the investigation found that PCM addition to the concrete soffit inhibited the heat transfer from the  
2 TABS since the PCM-concrete layer had a lower thermal conductivity than pure concrete. For effective use of  
3 active thermal mass systems exposure of the soffit is paramount. Any further development of a PCM-concrete  
4 active thermal mass system must consider the positioning of the PCM to maximise the latent benefits without  
5 inhibiting the sensible thermal mass aspects.

6 The PCM system investigated in this work has been designed with retrofit of active thermal mass systems in  
7 mind; therefore both a pilot-scale active thermal mass system and a prototype PCM system were designed, built  
8 and tested to maintain an exposed soffit and maximise the active heat transfer surface. The key outputs  
9 monitored are:

- 10 • temperature reduction,
- 11 • temperature offset,
- 12 • energy savings,
- 13 • and daily average cooling efficiency.

14

## 1 **2 Background work**

2 Prior to the laboratory investigation the pilot-scale active thermal mass system (hollow core) was modelled,  
3 designed, built and installed into the test chamber. Additionally the PCM system prototype was modelled,  
4 designed, built and installed.

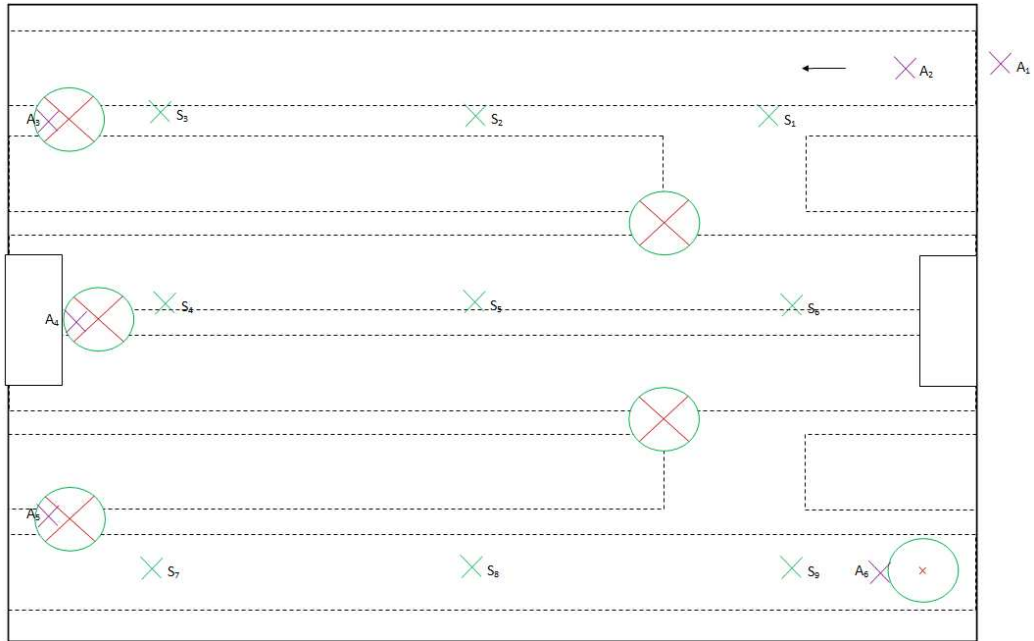
### 5 **2.1 Test facility**

6 The hollow core and PCM systems were installed into a purpose built test facility at the University of Nottingham.  
7 The facility consisted of two comparable chambers (Room A and B), with a floor area of 4.3m<sup>2</sup>. Each chamber  
8 was fitted with a heat pump air conditioning (AC) system providing mechanically ventilated air into the space.  
9 K-type thermocouples were installed into both chambers to monitor room temperature.

10 The thermal mass system was installed into Room A, in addition to the AC system. Room B featured no thermal  
11 mass and was conditioned using save for the AC system alone, and acted as a reference chamber to track  
12 performance of the thermal mass system against. Power meters were fitted to the variable speed fan and AC  
13 systems in both Room A and B to record energy consumption. Radiant heat mats were installed into both  
14 chambers to mimic internal cooling loads.

### 15 **2.2 Hollow core**

16 The pilot-scale hollow core system was constructed from an exhibition sample measuring 1.2 by 1.5 meters, with  
17 a depth of 0.325 meters. The sample was made up of six cores, four of which running the length of the sample  
18 and two running three quarters of the length. An air network was prepared by drilling 125 millimetre cross-overs  
19 and a 125 millimetre outlet as shown in Figure 2.1. The air network had an approximate active surface area of  
20 3.8m<sup>2</sup>, more than two hundred percent greater than the soffit surface area alone. K-type thermocouples were  
21 suspended throughout the air network and embedded in the slab, 40 to 70 millimetres from the soffit surface.  
22 Additional concrete (40 to 70 millimetres deep) was laid to embed the sensors giving a total sample depth of  
23 approximately 0.375 metres. The exposed cores were sealed using wooden boards and a variable-speed fan was  
24 fitted to the hollow core network inlet.



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**Figure 2.1 - Pilot scale hollow core thermocouple and air network arrangements**

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The sample, weighing 1.27 tonnes, was raised 2.1 metres above chamber floor level on a purpose built steel box

4

frame. The hollow core and frame were wheeled into the chamber. Subsequently the chamber floor and final

5

wall was constructed around the hollow core sample. The air network and thermocouples were connected.



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**Figure 2.2 - Pilot scale hollow core prior to modification and installation**



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Figure 2.3 - Installed hollow core sample

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### 2.3 PCM system

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The PCM system container was constructed from three millimetre aluminium sheeting and honeycomb. The system contained 7.5 kilograms of PCM with an average melt temperature of 24.5°C and laboratory measured latent heat of 164.6kJ/kg. The PCM was selected based on cost benefit analysis and to offer latent cooling benefits when slab overheating threatened. The PCM system was retrofitted as part of the hollow core sample, adding approximately 12.5 percent of thermal energy storage capacity and increasing the active surface area by twenty-nine percent.

10

### 3 Method

To determine the thermal (temperature reduction) and energy saving benefits (temperature offset, measured energy savings and daily average cooling efficiency) of the thermal mass technologies investigated combined chamber tests were designed and conducted. The hollow core and PCM system were fitted into the thermal mass chamber. Both the thermal mass and reference chambers were fitted with AC units, to thermo-regulate the chambers, and a heat mat, to mimic internal gains.

*Fixed occupancy, peak period* and *diurnal swing* tests were conducted in the chambers to determine the energy saving and thermal reduction benefits. Table 1 documents the *peak period* test conditions for each thermal mass system. Table 2 and Table 3 document the *diurnal swing* and *fixed occupancy* conditions respectively. *Peak period* tests were conducted over five consecutive days, the *diurnal swing* tests were conducted over three consecutive days and the *fixed occupancy* conditions were maintained for twelve hours. For each of the test conditions the ventilated hollow core (VHC) was tested initially, followed by the VHC retrofitted with the PCM system (VPHC) under the same conditions.

Table 1 – Peak Period Test Conditions

		Reference Chamber	Thermal Mass Chamber
a.	AC schedule	8hrs (9.30-5.30)	8hrs (9.30-5.30)
b.	AC Setup	Cool to 24°C	Cool to 24°C
c.	Fan Setup	N/A	32W Fan from 22°C
d.	Occupancy (Heater) Schedule	8hrs (9-5)on, off for 16hrs	8hrs (9-5)on, off for 16hrs
e.	Heater Load	50W/m <sup>2</sup>	50W/m <sup>2</sup>
f.	Night Ventilation Strategy	N/A	32W Fan from 22°C

1

Table 2 – Diurnal-swing Test Conditions

		Reference	Thermal Mass
	Scenario	Chamber	Chamber
a.	AC schedule	8hrs (9.30-5.30)	8hrs (9.30-5.30)
b.	AC Setup	Cool to 24°C	Cool to 24°C
c.	Fan Setup	N/A	32W Fan from 22°C for 8.5hrs
d.	Occupancy (Heater) Schedule	8hrs (9-5)on, off for 16hrs	8hrs (9-5)on, off for 16hrs
e.	Heater Load	50W/m <sup>2</sup>	50W/m <sup>2</sup>
f.	Night Ventilation Strategy	AC Cool to 18°C for 12hours (6pm – 6am)	AC Cool to 18°C for 12hours (6pm – 6am)

2

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Table 3 – Fixed-occupancy Test Conditions

		Reference	Thermal Mass
	Scenario	Chamber	Chamber
a.	AC schedule	Off	Off
b.	AC Setup	N/A	N/A
c.	Fan Setup	N/A	32W Fan
d.	Occupancy (Heater) Schedule	12hrs On	12hrs On
e.	Heater Load	50W/m <sup>2</sup>	50W/m <sup>2</sup>
f.	Night Ventilation Strategy	None	None

4 Throughout the chamber tests temperatures and energy consumption were monitored. The *peak period*  
5 conditions represent the times when no night cooling (free cooling) is available and active thermal mass typically  
6 overheats [11]. The *diurnal swing* conditions used an AC schedule to generate night cooling. Energy usage during

1 this period was not monitored to represent free night cooling. The *fixed occupancy* conditions were used to  
2 observe temperature savings achieved by the enhanced system. During the *diurnal swing* and *peak period* tests  
3 the AC cooling strategy was initiated when room temperatures exceeded 24°C. This strategy was used to  
4 maintain comfort temperatures within each space, however, due to the PCM melt temperature (24.5°C) it is  
5 understood that by initiating the AC unit before the majority of PCM has melted the associated energy savings  
6 available will not be maximised.

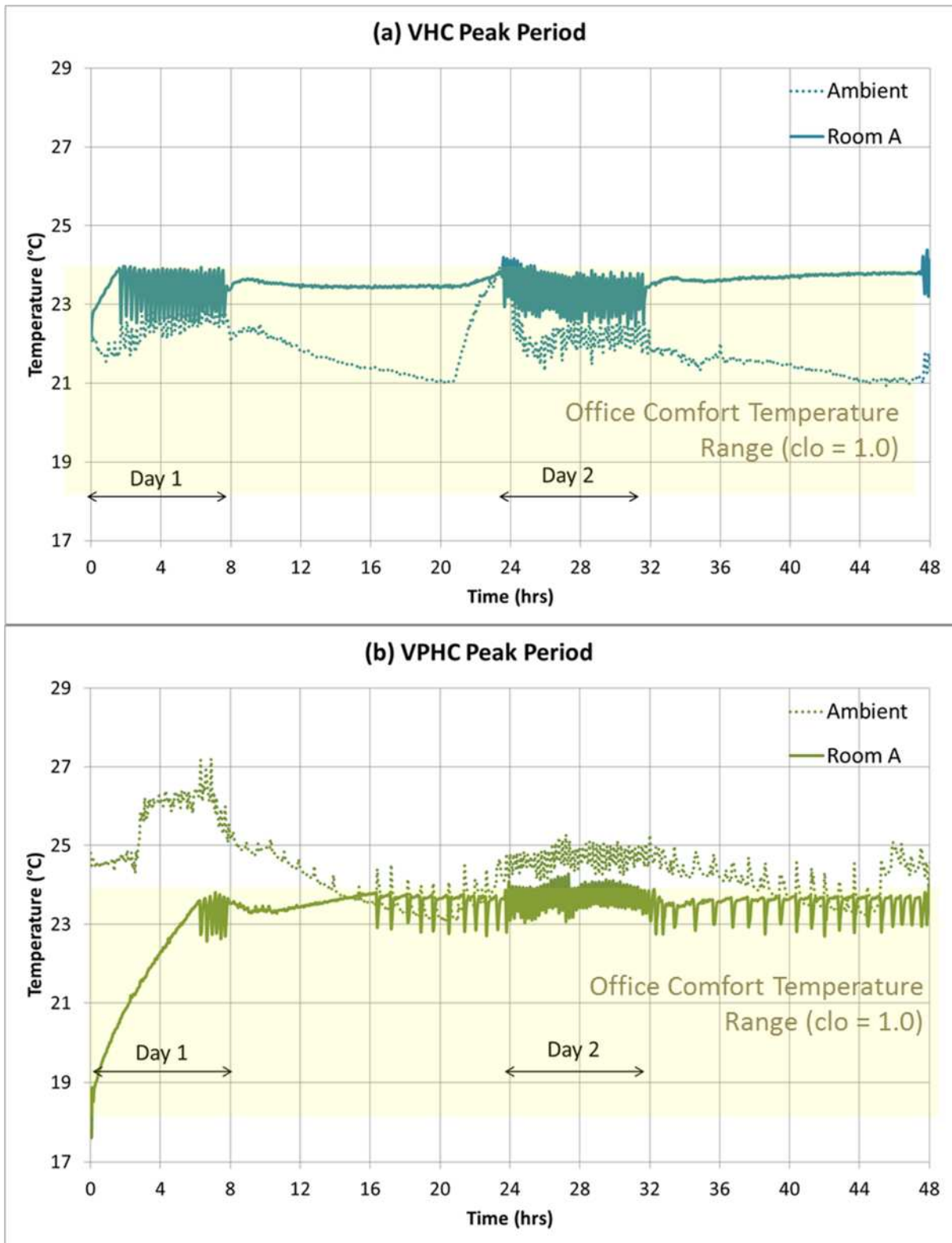
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## 1 **4 Results and Discussion**

2 Temperature profiles for the first forty-eight hours under *peak period* conditions are presented in Figure 4.1  
3 along with the office comfort temperatures, based on a clo figure of 1.0 [22]. The solid lines plot the average  
4 temperatures in Room A. AC usage can be identified through sudden peaks and troughs in the room temperature  
5 data. In both cases the VHC and VPHC systems failed to maintain comfort temperatures into the second day  
6 without assistance from the AC unit. Significant night time cooling was required for the VPHC system to maintain  
7 the room temperature at 24°C. This was due to the melt temperature of the PCM inhibiting the natural cooling  
8 of the room and the high ambient temperatures throughout the night.





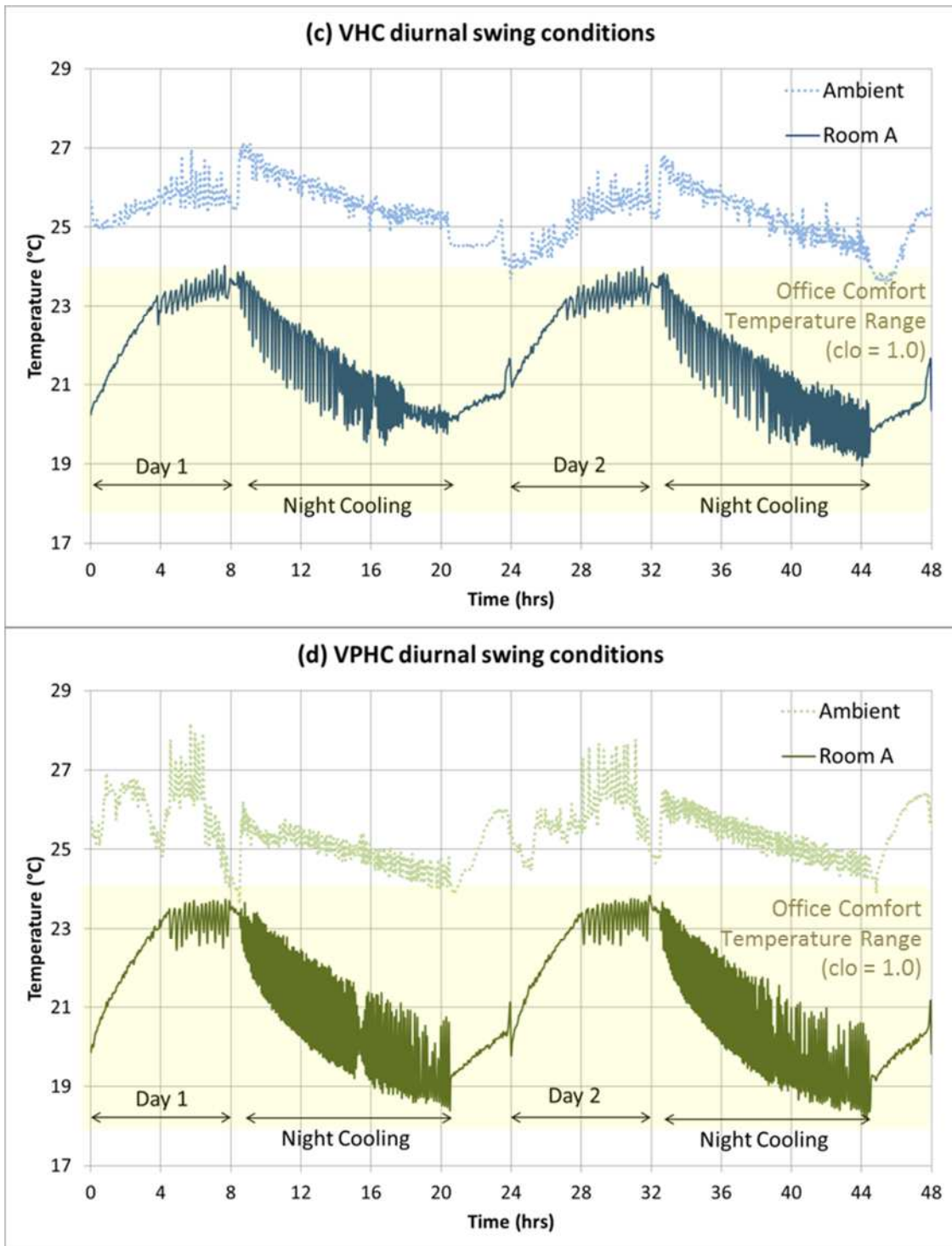
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2 [Figure 4.1 - 48 hour Ambient and Room A temperature profiles under peak period conditions](#)

3 *Diurnal swing* conditions were investigated to observe the benefits of the PCM addition when free cooling was  
 4 available. The temperature profile plots for the first forty-eight hours for both the VHC and VPHC systems are  
 5 displayed in Figure 4.2 along with the office comfort temperatures, based on a clo figure of 1.0 [22]. During the

1 night cooling period (between the hours of eight and twenty, and, thirty-three and forty-five) the AC system and  
2 fan power was not monitored by the power meter to represent free cooling. The energy usage per day (kWh) in  
3 Table 6 and daily average cooling efficiency figures (Table 8) accounted for operation during the heated period  
4 only.

5 Evidence from the Room A temperature profiles for both VHC and VPHC systems demonstrates peak  
6 temperature delay during both of the 'occupied' heated day periods (zero to eight hours and twenty-four to  
7 thirty-two hours). This demonstrates that the free night cooling was sufficient to cool the thermal mass.



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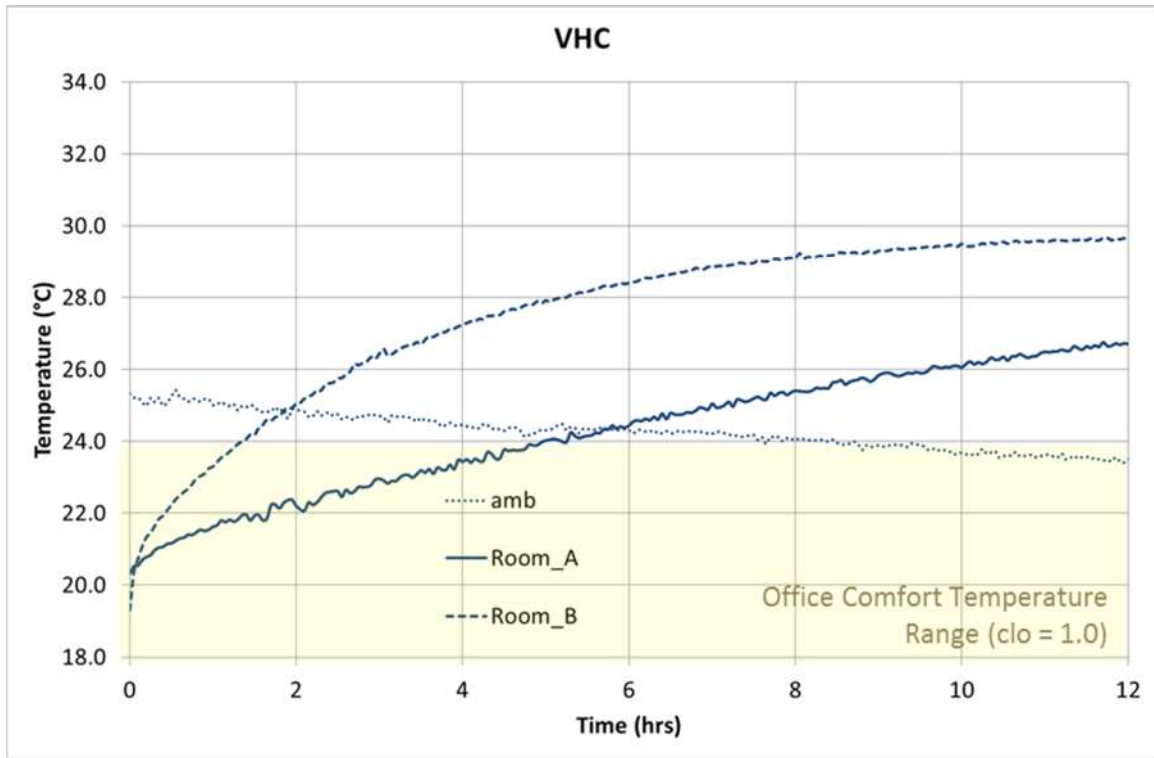
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Figure 4.2 - 48 hour Ambient and Room A temperature profiles under diurnal swing conditions

1 **4.1 Temperature reduction**

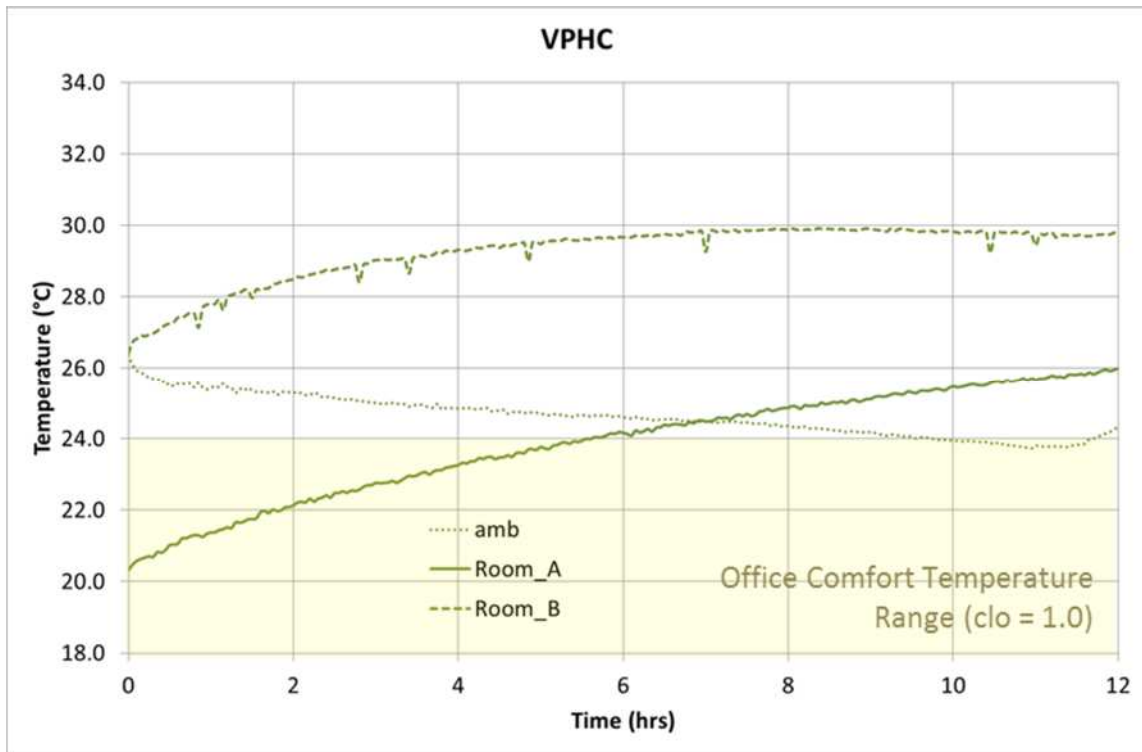
2 The temperature reduction represents the additional cooling achieved in the room due to the active thermal  
3 mass systems. To assess the temperature reduction benefits of the PCM active thermal mass system  
4 enhancement, the results from the *fixed occupancy* test were assessed.



5

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Figure 4.3 - VHC Temperature profiles after 12 hours under a 50W/m2 load



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Figure 4.4 – VPHC Temperature profiles after 12 hours under a 50W/m² load

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Table 4 - Temperatures after 8 hours under 50W/m² Load

	VHC	VPHC
	°C	°C
<b>Ambient</b>	24.1	24.3
<b>Room A</b>	25.4	24.9
<b>Room B</b>	29.1	29.9
<b>A – B</b>	-3.7	-5.0

4 The temperature against time plots (Figure 4.3 and Figure 4.4) plot the laboratory, the thermal mass chamber  
 5 (Room A) and reference chamber (Room B) temperatures for the twelve hour long tests. An internal load of  
 6 50W/m² was applied throughout the duration of the test. Figures in Table 4 were taken from the profiles in  
 7 Figure 4.3 and Figure 4.4 after eight hours of fixed occupancy heating. The VPHC system reduced Room A  
 8 temperature by 0.5°C against the VHC scenario. In commercial projects temperatures are designed to remain  
 9 below 25°C during peak periods.

1 In the Room A temperature curve the VPHC profile tracks the VHC trend for the first four hours. Once above  
 2 24°C the rate of temperature increase in Room A reduces in the VPHC case. At its most extreme this deflection,  
 3 caused by the melting PCM achieves a 0.9°C reduction in average room temperature. At the end of the twelve  
 4 hours the VPHC Room A temperature was 0.7°C below that of the VHC system.

5 To further assess the temperature savings the average occupied temperature difference was calculated. During  
 6 the *fixed occupancy* conditions the temperature saving benefit was observed. The differences in ambient and  
 7 reference temperatures were accounted for. Under these conditions the VPHC demonstrated an average 1.0°C  
 8 improvement respectively, against the VHC system. Therefore, where no backup AC system is present, VPHC  
 9 systems will offer greater comfort during hot periods.

## 10 4.2 Temperature delay

11 The temperature delay represents the additional time the taken for the thermal mass chamber (Room A) to  
 12 reach peak temperature compared to the reference chamber. A peak temperature of 24°C was used since once  
 13 this temperature was exceeded the AC was initiated to maintain comfort conditions. Any temperature delay  
 14 achieved by the VHC or VPHC systems would therefore constitute energy savings to the building user by reducing  
 15 the electrical demand from the AC system. To determine the temperature delay the temperature profiles from  
 16 the first day of the *peak period* tests were observed. In Table 5 the peak delay was calculated as the time taken  
 17 to go from 22°C to 24°C.

18 **Table 5 – Day 1 peak temperature delay under peak period conditions**

		VHC	VPHC
<b>A: Peak Temperature</b>			
<b>Delay</b>	<i>Hours</i>	1.7	2.7
<b>B: Peak Temperature</b>			
<b>Delay</b>	<i>Hours</i>	0.9	0.7
<b>A-B: Peak Temperature</b>			
<b>Delay Difference</b>	<i>Hours</i>	0.8	2.0

1 Based on the findings in Table 5 the VHC delayed AC onset and peak temperature delay by 0.8 hours over Room  
 2 B. Comparatively the VPHC system delayed AC onset by 2.0 hours against Room B, 1.2 hours longer than the  
 3 VHC system.

4 The temperature profile (Figure 4.1) demonstrates that overnight the slab remains at a high temperature,  
 5 therefore no cooling capacity is replenished in the slab. Consequentially no peak delay is evident in day two.  
 6 Contrastingly, the *diurnal swing* temperature profile (Figure 4.2) demonstrates that the cooling capacity of both  
 7 systems was replenished during the night and utilised during the first half of each day. The VPHC system  
 8 increases the peak temperature delay by up to one hour per day under *diurnal swing* conditions.

### 9 4.3 Energy Savings

10 The energy consumption figures for Room A and B in Table 6 were gathered from data collected by the power  
 11 meters in each chamber. The total energy consumption recorded has been converted into a kWh per day figure.  
 12 The energy savings achieved by in the thermal mass chamber were calculated from Room A energy consumption  
 13 (kWh) minus Room B energy consumption (kWh).

14 **Table 6 - Energy usage under peak and diurnal swing conditions**

	VHC	VPHC	VHC	VPHC
	Peak Period	Peak Period	Diurnal Swing	Diurnal swing
<b>A: Energy usage per day (kWh)</b>	3.2	4.2	1.5	1.4
<b>B: Energy usage per day (kWh)</b>	2.2	3.4	1.8	2.2
<b>A – B: Energy difference per day (kWh)</b>	1.0	0.8	-0.3	-0.8

15 During the *peak period* conditions the active thermal mass system, VHC, required an additional 1.0 kWh per day  
 16 more than the reference chamber (Room B). Although energy savings were observed in day one, subsequent  
 17 days, following nights without free cooling, required additional AC cooling during the occupied day. This was in  
 18 line with work conducted by Winwood [11]. In an effort to increase the thermal mass capacity, whilst reducing  
 19 energy consumption, and minimise room overheating the VPHC system, featuring additional PCM thermal mass

1 was tested under *peak period* conditions. As with the VHC system, the absence of free night cooling limited the  
2 thermal mass cooling effect to the first day. Following the first warm night additional cooling of 0.8 kWh were  
3 required to maintain comfort temperatures in Room A, compared to Room B. The VPHC system used 0.2 kWh  
4 per day less than the VHC system under *peak period* conditions.

5 The figures in Table 6 demonstrate that under synthesised free cooling *diurnal swing* conditions, 1.7 and 2.8  
6 kWh per day less energy was used to maintain comfort conditions than under the *peak period* conditions, for  
7 the VHC and VPHC systems respectively. The VPHC system saved 0.1 kWh per day, based on Room A energy  
8 usage, against the VHC usage; however saved 0.8 kWh per day, 0.5 kWh more than the VHC system's savings of  
9 0.3 kWh per day, based on the relative difference between Room A and B energy usage.

10 The lack of energy savings under *peak period* conditions was due to the thermal mass acting as a storage heater  
11 into the day two 'occupied' period and beyond. Without free night cooling during subsequent nights there was  
12 insufficient capacity in the system to provide cooling from the thermal mass. The additional PCM exacerbated  
13 the problem, adding further mass to act as a storage heater throughout night and day ('occupied') periods.

14 Under *diurnal swing* conditions the energy saving benefits of the VPHC system were demonstrated. The  
15 additional thermal mass increases the cooling capacity of the system, postponing AC usage and thus saving  
16 energy through 'occupied' hours. The VPHC system offered a 0.1 kWh per day improvement on the VHC  
17 performance (based on the VPHC to VHC Room A usage difference in Table 6). This translates to a 7% saving,  
18 from an additional 12.5% thermal capacity. This under performance is likely due to the PCM not fully melting  
19 during the 'occupied' period. With an average melt temperature of 24.5°C, above the AC onset temperature of  
20 24°C, the full capacity of the PCM system was not utilised.

#### 21 **4.4 Daily Average Cooling Efficiency**

22 The daily average cooling efficiency (DACE) was calculated to give a representation of the system's ongoing  
23 operational efficiency under the test conditions. It has been calculated following an equivalent method to the  
24 European Seasonal Energy Efficiency Ratio (ESEER) [23] which quantifies the effective energy out, divided by the  
25 input energy. The figure is akin to the coefficient of performance (COP) and energy efficiency ratio (EER) figures  
26 for heat pumps; which each quantify the ratio of useful output to energy input [24]. The greater the ESEER, the  
27 more efficient the system is. As a comparison the AC system alone operates an EER (for cooling) of 3.04, whilst



1 Monodraught's Cool-Phase system has an ESEER of 7.3. Due to the limited time period and conditions tested  
 2 there was insufficient data to calculate the ESEER, therefore the DACE was calculated.

### 3 4.4.1 DACE Calculation Method

$$DACE = \frac{\text{Useful Cooling}}{\text{Energy Consumed}} \quad (1)$$

4 The (DACE) was calculated based on equation (1) above. The measure of energy efficiency was calculated based  
 5 on the *useful cooling* (kWh) of the active thermal mass system, divided by *energy consumed* (kWh) during  
 6 occupied periods (eight hours per day) [24]. The *useful cooling* was calculated from the component equations  
 7 (2) to (3). *Energy consumed* was read daily from energy meters monitoring the AC and fan equipment.

$$DACE = \frac{\sum q_{slab} + \sum q_{PCM} + \sum q_{air} - \sum Q_{wall\ transfer}}{\sum Q_{AC} + \sum Q_{fan}} \quad (2)$$

$$q_{slab,j} = m_{slab} c_{p,slab} (\bar{T}_{slab,j} - \bar{T}_{slab,i}) \quad (3)$$

8 The slab *useful cooling* component ( $q_{slab}$ ) at time, j, was calculated from equation (3). The temperature of the  
 9 entire slab has been approximated equal to the average slab temperature, measured at a depth of 50mm from  
 10 the soffit surface by nine embedded type-K thermocouples.

$$q_{air,j} = \dot{m}_{air} c_{p,air} (\bar{T}_{room,j} - T_{air\ inlet,i}) \quad (4)$$

11 The air *useful cooling* component ( $q_{air}$ ) at time, j, was calculated from equation (4), where the mass flow rate  
 12 was calculated from equation (5). The temperature difference was based on the average room temperature, at  
 13 time j, and the slab inlet air temperature from the previous time step, i.

$$\dot{m}_{air} = \rho_{air} Dv \quad (5)$$

14 Where applicable, The PCM *useful cooling* component ( $q_{PCM}$ ) was a function of the PCM latent and sensible  
 15 cooling loads, the PCM mass and the PCM temperature between time j and i, as shown in equation (6).

$$q_{PCM,j} = m_{PCM} c_{eff} (T_{PCM,j} - T_{PCM,i}) \quad (6)$$

- 1 To approximate the combined cooling load, effective heat capacity ( $C_{eff}(T_{PCM,i})$ ) figures were calculated at half  
 2 degree increments, based on physical properties of the installed PCM using the Gaussian equation below [25].  
 3 Inputs for each physical property can be found in Table 7.

$$c_{eff}(T_{PCM,i}) = c_s + ae^{-0.5\left(\frac{T_{PCM,i}-T_m}{b}\right)^2} \quad (7)$$

- 4 To account for chamber heat loss, heat transfer through the chamber wall was calculated,  $Q_{wall\ transfer}$ , based on  
 5 the wall properties and ambient and average room temperature differentials (equation (8)).

$$Q_{wall\ transfer,i} = U_{wall}A_{wall}(T_{amb,i} - \bar{T}_{room,i}) \quad (8)$$

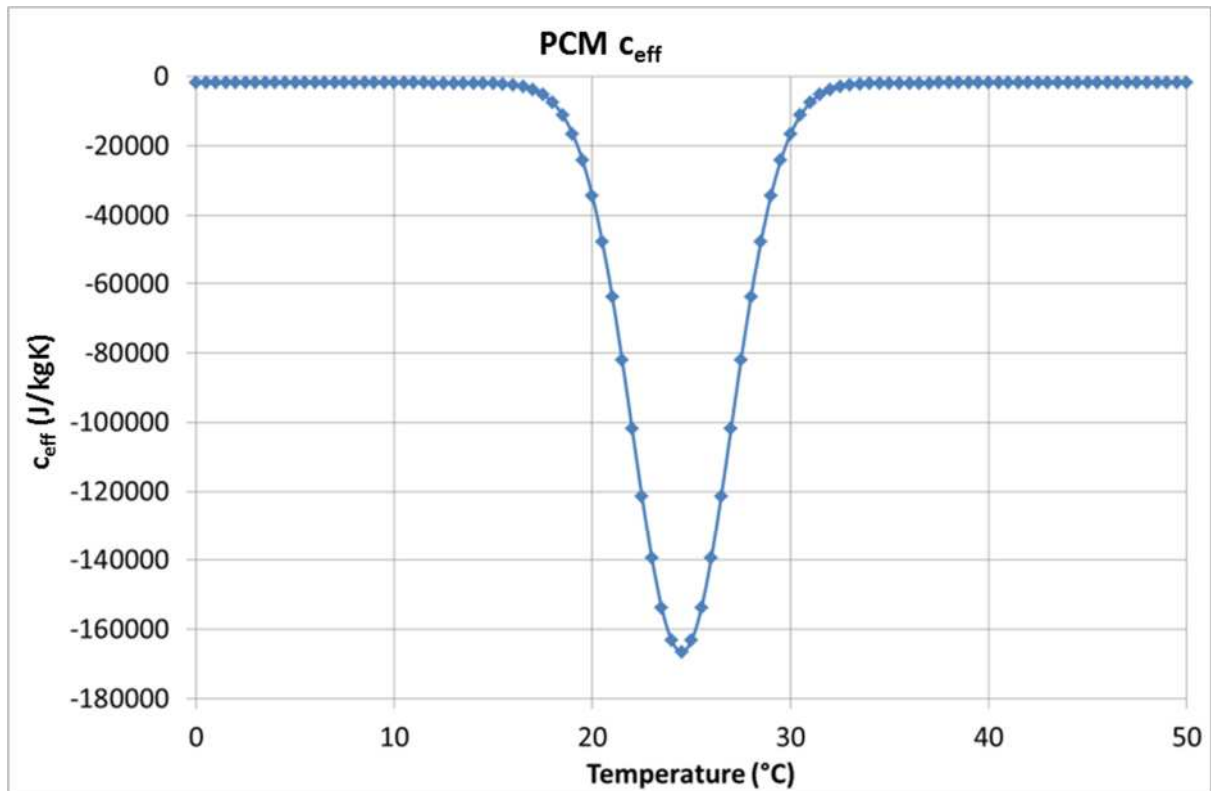
- 6 The figures,  $Q_{AC}$  and  $Q_{fan}$ , on the bottom of equation (2) were measured during the experiments using energy  
 7 meters. Each cooling component was summed throughout the occupied hours of the test; therefore the DACE  
 8 figure represents an occupied efficiency only. Table 7 details the inputs used throughout the analysis.

9 **Table 7 – Equation Inputs**

Symbol	Term		Units
$m_{slab}$	Mass of Slab	1269.8	kg
$c_{p,slab}$	Concrete Specific Heat	0.9	kJ/kgK
$\bar{T}_{slab,j}$	Average Slab Temperature	<i>time dependant, measured</i>	°C
$\bar{T}_{slab,i}$		<i>variable</i>	
$\rho_{air}$	Air density	1.2	kg/m <sup>3</sup>
$D$	Outlet Diameter	0.125	m
$v$	Air Velocity at Outlet	2.5	m/s
$c_{p,air}$	Air Specific Heat	1.005	kJ/kgK
$m_{PCM}$	Mass of PCM	7.5	kg
$c_{eff}(T_{PCM,i})$	Effective Heat Capacity	see Figure 4.5	J/kgK
$c_s$	Heat Capacity in Solid State	1.9	kJ/kgK
$a$	Latent Heat Factor	164.6	kJ/kg

$T_{PCM,i}$	PCM Temperature	<i>time dependant, measured variable</i>	°C
$T_m$	Melt Temperature	24.5	°C
$b$	Melting Width Factor (Standard Deviation, Function of Purity)	2.5	°C
$U_{wall}$	Heat transfer coefficient	0.65	$W/m^2K$
$A_{wall}$	Area of chamber wall	17.64	$m^2$
$T_{amb,i}$	Ambient Temperature	<i>time dependant, measured</i>	°C
$\bar{T}_{room,i}$	Average Room Temperature	<i>variable</i>	°C

1



2

Figure 4.5 - Effective Heat Capacity for the installed PCM over a 0 to 50°C temperature range

3

4

5

Table 8 - DACE figures under peak and diurnal swing conditions

Room	A	VHC	VPHC	VHC	VPHC	VHC	VPHC
Occupied		Peak	Peak	Diurnal	Diurnal	Fixed	Fixed
Totals	Units	Period	Period	Swing	swing	Occupancy	Occupancy

<b>Occ. Hours</b>	<i>Hours</i>	40	40	24	24	18	18
<b><math>q_{slab}</math></b>	<i>kWh</i>	-2.6	0.1	3.6	3.4	2.8	2.8
<b><math>q_{PCM}</math></b>	<i>kWh</i>	-	0.2	-	1.6	-	1.6
<b><math>q_{air}</math></b>	<i>kWh</i>	2.5	2.8	0.2	-0.04	-1.0	-1.0
<b><math>Q_{wall\ transfer}</math></b>	<i>kWh</i>	8.3	9.4	6	6.1	3.8	3.9
<b><math>Q_{AC+Q_{fan}}</math></b>	<i>kWh</i>	16.2	20.9	4.4	4.2	0.6	0.6
<b>A: DACE</b>		0.51	0.60	2.23	2.63	9.33	12.17
<b>A – B: DACE</b>		-2.79	-2.44	0.46	0.86	-	-

1 Table 8 displays the components and DACE figures for the thermal mass systems under *peak period, diurnal*  
2 *swing* and *fixed occupancy* conditions. The occupied totals have been converted into kWh from W based on the  
3 duration of occupied hours for each respective investigation. The DACE figures have been calculated using  
4 equation (2).

5 The VPHC system demonstrated greater DACE than the VHC system, an improvement of 0.4 under *diurnal swing*  
6 conditions. This was due to the additional thermal mass offering additional useful cooling during the daily  
7 ‘occupied’ period. This improvement represents an 18% increase in energy efficiency performance, from an  
8 addition of 12.5% thermal capacity.

9 Under *fixed occupancy* conditions the AC onset temperature of 24°C was removed, therefore the energy input  
10 ( $Q_{AC}+Q_{fan}$ ) was made up solely of the fan power. The great reduction in energy input resulted in a significant  
11 increase in DACE. The *fixed occupancy* figure represents the efficiency possible if the system follows a control  
12 strategy capable of alleviating backup AC usage. The VPHC performance improvement, over the VHC system  
13 under *fixed occupancy* conditions, represents a 30% gain. A DACE figure for Room B during the *fixed occupancy*  
14 test was not possible since there was no energy input, since no cooling system was in operation. The *fixed*  
15 *occupancy* figure does not take into account any pre-cooling or subsequent night cooling required to recharge  
16 for the next ‘occupied’ period.

17 In addition to minimising AC power, the *fixed occupancy* performance improvement can be attributed to  
18 increased usage of the PCM latent heat storage available. During the *diurnal swing* conditions the PCM A and

1 PCM B bulk temperatures reached a maximum of 25.4 and 22.9°C respectively. Under *fixed occupancy* conditions  
2 the same readings reached a maximum of 29.4 and 25.3°C respectively. This increase in temperature under the  
3 latter conditions demonstrates a complete usage of the latent capacity in PCM A, and greater usage of the latent  
4 capacity available in PCM B. Further optimisation to ensure year round usage of available latent capacity within  
5 the room operation temperature envelope will improve energy savings and the energy efficiency of the systems.

## 6 **5 Conclusions**

7 Thermal comfort and energy saving benefit of hollow core system was improved by the addition of active PCM  
8 thermal mass, avoiding the inhibiting effects of poor PCM thermal conductivity observed in [21]. Under *peak*  
9 *period* conditions where no free night cooling was available the active PCM addition was unable to reduce energy  
10 usage. AC backup was required to maintain comfort conditions.

11 After eight hours of *fixed occupancy* conditions the VPHC system saved 1.3°C more than the VHC system, against  
12 the reference chamber (Room B) temperatures. During *diurnal swing* testing the VHC and VPHC registered daily  
13 average cooling efficiency (DACE) figures of 2.2 and 2.6 respectively, suggesting an energy efficiency benefit  
14 when sufficient free night cooling is available. Under *fixed occupancy* conditions the VHC and VPHC DACE was  
15 9.3 and 12.2 respectively, highlighting the benefit of minimising AC and fan usage, whilst maximising latent  
16 thermal energy storage.

17 On an energy-saving level the VPHC system saved 0.1 kWh per day more than the VHC system under *diurnal*  
18 *swing* conditions. Under *peak period* conditions the VHC and VPHC systems used 1.0 and 0.8 kWh per day more  
19 than the reference chamber (Room B). The thermal mass chamber (Room A) used more energy under these  
20 conditions due to the absence of free night cooling. Thus the thermal mass no longer cooled the space, but  
21 heated it, requiring additional cooling from the backup AC system.

22 Overall the VPHC system offered energy savings in two out of the three conditions tested. The additional thermal  
23 mass did not mitigate AC usage during peak periods, however saved energy when free cooling was available  
24 (96% of the time in a European climate [13]). Further development of accompanying control strategies that  
25 minimise fan and AC energy use will improve savings further. Additionally, optimisation of the PCM system

1 design to ensure full latent thermal energy storage is utilised during a typical eight-hour occupied period would  
2 see improvements in energy efficiency.

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