

1 **Physical Activity Levels in Locally Advanced Rectal Cancer Patients Following**  
2 **Neoadjuvant Chemoradiotherapy and An Exercise Training Programme Before Surgery:**  
3 **A Pilot Study**

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49 **ABSTRACT**

50 **Background:** The aim of this pilot study was to measure changes in physical activity levels  
51 (PAL) variables, as well as sleep duration and efficiency in people with locally advanced rectal  
52 cancer (1) before and after neoadjuvant chemoradiotherapy (CRT) and (2) after participating  
53 in a preoperative 6-week in-hospital exercise training programme, following neoadjuvant CRT  
54 prior to major surgery, compared to a usual care control group.

55 **Methods:** We prospectively studied 39 consecutive participants (27 males). All participants  
56 completed standardised neoadjuvant CRT: 23 undertook a 6-week in-hospital exercise training  
57 programme following neoadjuvant CRT. These were compared to 16 contemporaneous non-  
58 randomised participants (usual care control group). All participants underwent a continuous 72  
59 h period of PA monitoring by Sensewear biaxial accelerometer at baseline, immediately  
60 following neoadjuvant CRT (week 0), and at week 6 (following the exercise training  
61 programme).

62 **Results:** Of 39 recruited participants, 23 out of 23 (exercise) and 10 out of 16 (usual care  
63 control) completed the study. In all participants (n=33), there was a significant reduction from  
64 baseline (pre-CRT) to week 0 (post-CRT) in daily step-count: Median (IQR) 4966 (4435) vs.  
65 3044 (3265);  $p < 0.0001$ , active energy expenditure (EE) (kcal): 264 (471) vs. 154 (164);  
66  $p = 0.003$ , and metabolic equivalent (MET) (1.3 (0.6) vs. 1.2 (0.3);  $p = 0.010$ ). There was a  
67 significant improvement in sleep efficiency (%) between week 0 and week 6 in the exercise  
68 group compared to the usual care control group (80 (13) vs. 78 (15) compared to (69 ((24) vs.  
69 76 (20);  $P = 0.022$ ), as well as in sleep duration and lying down time ( $p < 0.05$ ) while those in  
70 active EE (kcal) (152 (154) vs. 434 (658) compared to (244 (198) vs. 392 (701) or in MET (1.3  
71 (0.4) vs. 1.5 (0.5) compared to (1.1 (0.2) vs. 1.5 (0.5) were also of importance but did not reach  
72 statistical significance ( $p > 0.05$ ). An apparent improvement in daily step-count and overall PAL  
73 in the exercise group was not statistically significant.

74 **Conclusions:** PAL variables, daily step-count, EE and MET significantly reduced following  
75 neoadjuvant CRT in all participants. A 6-week pre-operative in-hospital exercise-training  
76 programme improved sleep efficiency, sleep duration and lying down time when compared to  
77 participants receiving usual-care.

78 **Clinical trial registration:** NCT: 01325909

79 **KEYWORDS:** Rectal cancer, neoadjuvant cancer treatment, physical activity, exercise,  
80 prehabilitation, surgery

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96 **Background**

97 Cancer treatment reduces physical fitness, which appears to be worse in those receiving surgery  
98 and radiotherapy in combination with chemotherapy than in those receiving radiotherapy or  
99 surgery alone [1]. Changes in fitness are clinically important: neoadjuvant chemo- and  
100 chemoradio-therapy reduce physical fitness, objectively measured using cardiopulmonary  
101 exercise testing (CPET), which is associated with increased in-hospital morbidity following  
102 advanced rectal cancer resection [2] and decreased 1-year overall survival following upper  
103 gastrointestinal cancer resection [3].

104 Physical fitness is closely connected with physical activity (PA), although relationships of  
105 cause and effect are complex. Remaining physically active during and after cancer treatment  
106 improves cancer-related fatigue, psychological distress, quality of life, as well as overall  
107 survival and reduces the probability of cancer recurrence [4]. Increasing PA following cancer  
108 diagnosis may reduce the risk of cancer-specific death in people with breast and non-metastatic  
109 colorectal cancer [5-6] or death from any cause in non-metastatic colorectal cancer [6].  
110 Exercise training during chemotherapy has a significant beneficial effect on tumour  
111 progression and chemotherapy efficacy in solid tumours [7].

112 For people diagnosed with locally advanced rectal cancer [Tumour, Node, Metastasis (TNM)  
113 stage >T3N+ magnetic resonance imaging (MRI) identified circumferential resection margin  
114 threatened cancer], the standard treatment is neoadjuvant chemoradiotherapy (CRT) followed  
115 by surgery [8-9]. The aim of this pilot study was to measure changes in daily PAL in people  
116 with locally advanced rectal cancer scheduled to undergo neoadjuvant CRT followed by  
117 surgical resection with a curative intent. We aimed to evaluate changes in daily step-count and  
118 overall PAL pre- and post- neoadjuvant CRT in all participants in an attempt to quantify the  
119 impact of neoadjuvant CRT on PAL. We also aimed to evaluate changes in daily step-count  
120 (number of steps taken) and overall PAL at the start and end of a pre-operative 6-week in-

121 hospital exercise training programme, commenced after completion of neoadjuvant CRT,  
122 comparing changes with those observed in a usual care control group (no formal exercise  
123 intervention). Exploratory aims included observing changes in other PAL variables such as:  
124 total (EE for daily-living) and active EE (PA-induced EE)PA duration; lying down time; sleep  
125 duration and efficiency; and metabolic equivalent (MET) (intensity of PA) in all participants  
126 following neoadjuvant CRT and compare changes in the exercise group compared to the usual  
127 care control group.

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## 143 **Methods**

### 144 **Participants and study design**

145 This prospective pilot, non-randomised, parallel group, interventional controlled trial was a  
146 nested study of a clinical trial [10]. This pilot study was approved by the North West Liverpool  
147 East Research and Ethics Committee (11/H1002/12) and registered with clinicaltrials.gov  
148 (NCT01325909). Written informed consent was obtained from all participants. We recruited  
149 consecutive participants between March 2011 and February 2014 referred to the Colorectal  
150 Multi-Disciplinary Team, aged  $\geq 18$  year, with locally advanced (MRI-defined) circumferential  
151 resection margin threatened, operable rectal cancer, undergoing standardised neoadjuvant CRT  
152 with no distant metastasis and with WHO performance status  $< 2$  [11] (categorised between 0  
153 (fully active) to 4 (completely disabled, cannot carry out self-care: totally confined to bed or  
154 chair). Exclusion criteria were: inability to give informed consent; non-resectable disease;  
155 inability to perform CPET or bicycle exercise due to lower limb dysfunction; and participants  
156 who declined surgery or neoadjuvant CRT or who received non-standard neoadjuvant CRT.  
157 After completing neoadjuvant CRT, participants were allocated to the exercise training group  
158 by default. If unable to commit to the exercise schedule (or living  $> 15$  miles from the hospital),  
159 they were asked to act as contemporaneously-recruited controls (no formal exercise  
160 intervention) with the same PA monitoring follow-up. Participant characteristics such as age;  
161 gender; past medical history; ASA score (the ASA score is a subjective assessment of patients  
162 overall health, categorised into 5 classes: I (healthy fit patient) to V (patient who is not expected  
163 to live 24 hours without surgery); and WHO status were collected at baseline visit.

164 All participants underwent a continuous 72 h period of PA monitoring using Sensewear biaxial  
165 accelerometer (Figure 1). PAL was measured during weekdays at baseline (2 weeks before  
166 neoadjuvant CRT), immediately following neoadjuvant CRT (week 0) and at week 3 and week  
167 6. Participants in the exercise training group undertook a 6-week supervised in-hospital

168 exercise training programme (3 sessions per week). The exercise training intensities were  
169 responsive to each individual CPET at week 0 and week 3 (informed and altered according to  
170 measured work rates at oxygen uptake at lactate threshold and at peak exercise). Exercise  
171 training consisted of 40 min (including 5 min warm-up and 5 min cool-down) of interval  
172 training on an electromagnetically braked cycle ergometer (Optibike Ergoline GmbH,  
173 Germany). The interval-training programme consisted of alternating moderate (80% of work  
174 rate at oxygen uptake at lactate threshold – 4 by 3 min intervals) to severe (50% of the  
175 difference in work rates between oxygen uptake at peak and lactate threshold- 4 by 2 min  
176 intervals) intensities (total 20 min) for the first two sessions. This was then increased to 40 min  
177 (6×3 min intervals at moderate intensity and 6×2 min intervals at severe intensity). The exercise  
178 training protocol and procedures are described elsewhere [10].

179 TNM staging involved flexible sigmoidoscopy for histological diagnosis, colonoscopy, chest,  
180 abdomen, and pelvis computer-aided tomography (CT), and 1.5 T pelvic magnetic resonance  
181 imaging (MRI). All participants underwent 5 weeks neoadjuvant CRT. Standardised  
182 radiotherapy consisted of 45 Gy in 25 fractions on weekdays using a 3D conformal technique  
183 with CT guidance. Oral capecitabine (825 mg m<sup>-2</sup>) was given twice daily on radiotherapy days.  
184 No participants received brachytherapy. At 9 weeks post-neoadjuvant CRT, participants were  
185 restaged using chest, abdomen, and pelvic CT and pelvic MRI. The colorectal Multi-  
186 Disciplinary Team was blind to PAL results and participant allocation.

## 187 **Measurements**

188 Daily PAL was measured in all participants using a multi-sensor accelerometer (SenseWear  
189 Pro® armband; BodyMedia, Inc., Pittsburgh, PADL, USA). The SenseWear Armband Pro is a  
190 reliable estimation of resting EE and provides useful information on daily EE when compared  
191 to indirect calorimetry (cancer patients) [12] and reasonable agreement on daily EE when  
192 compared with doubly labelled water (free living-adults) [13]. The PA accelerometer was worn



193 on the upper right arm continuously for 3 consecutive weekdays (except when bathing).  
194 Participants in the exercise-training group removed the PA monitor during in-hospital exercise  
195 training sessions.

196 The armband estimates energy expenditure (EE) using measurements from a biaxial  
197 accelerometer and sensors that quantify galvanic skin response, heat flux and skin temperature  
198 The device records and reports daily movement: total and active EE; PA duration; number of  
199 steps; lying down time; average MET; sleep duration and efficiency (number of minutes of  
200 sleep divided by number of minutes in bed). The Sensewear Pro can distinguish between lying  
201 down and sleep time by using algorithms that detect the characteristic combination of  
202 orientation, motion, temperature and skin conductivity with each state.

### 203 **Statistical methods**

204 This was a nested study of a clinical trial, which was powered to detect changes in objectively  
205 measured physical fitness [10]. Therefore no a priori formal power calculation was undertaken  
206 for such a PA pilot study.

207 Continuous variables are reported as mean (range), mean (SD) or median and inter-quartile  
208 range (IQR), depending on distribution, and categorical variables as frequency (%). The  
209 Shapiro-Wilk test for normality of distributions was applied. Descriptive statistics and  
210 univariate statistical comparisons of patient characteristics between the groups were  
211 undertaken: for continuous variables, a two- sample t-test when relevant distributional  
212 assumptions were met and the Mann–Whitney U-test otherwise; for categorical variables,  $\chi^2$   
213 tests or, when cell counts were insufficient, Fisher’s exact test.

214 Generalized linear mixed models, with a repeated effect for the comparison between the  
215 consecutive visits, were used to obtain restricted maximum likelihood (REML) solutions with  
216 an unstructured type of the covariance matrix for all or selected measurements in the two

217 groups. Least square means with 95% CIs were obtained.  $P < 0.05$  was taken as statistically  
218 significant. All analyses were performed with the statistical software IBM SPSS Statistics  
219 Ver.22 (IBM Corporation, Armonk, NY, USA).

## 220 **Results**

221 A total of 39 participants were recruited of whom 23 (exercise group) and 10 (usual care control  
222 group) completed the study (6 participants dropped out of the usual care control group: 4 before  
223 baseline measurements and 2 during the study). There were significant baseline differences  
224 between groups in age, ASA and WHO performance status: the usual care control group were  
225 older with poorer subjective performance (Table 1). Further details of participant  
226 characteristics are reported elsewhere [10].

227 There was a significant reduction in daily step-count between pre neoadjuvant CRT (baseline)  
228 and post neoadjuvant CRT (week 0) in all participants (4966 (4435) vs. 3044 (3265);  $P < 0.0001$ ),  
229 active EE (kcal) (264 (471) vs. 154 (164);  $P < 0.005$ ), and MET (1.3 (0.6) vs. 1.2 (0.3)  $P < 0.05$ ;  
230 table 2)(Supplementary Table 1 shows overall PAL as mean (SD) and median and inter-quartile  
231 range (IQR). Following the 6-week exercise intervention, the exercise group compared to the  
232 usual care control group showed significant improvements in sleep efficiency (%) (78 (13) vs.  
233 80 (15) compared to (69 (24) vs. 76 (20);  $P = 0.022$ ), sleep duration (min) (190 (269) vs. 369 (81)  
234 compared to (265 (315) vs. 299 (39);  $P = 0.028$ ) and lying down time (min) (360 (352) vs. 47  
235 (476) compared to (541 (360) vs. 341 (372);  $P = 0.029$ , table 3) (Supplementary Table 2 shows  
236 overall PAL data as mean (SD) and median and inter-quartile range (IQR). Note: (1) the  
237 exercise training group took the PA monitors off for the duration of each in-hospital exercise  
238 session (120 min/week x 6 weeks): (2) sleep efficiency data is presented in only seven  
239 participants in the exercise intervention and usual care control group: this is due to an upgrade  
240 in software during data collection.

241 **Table 1** Characteristics of patients scheduled for neoadjuvant cancer treatment and surgery

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	<b>Exercise (n=23)</b>	<b>Control (n=10)</b>	<b>P value</b>
<b>Age (yr)*</b>	64 (45-82)	72 (62-84)	0.015
<b>Gender M:F (%)</b>	15 (65): 8 (35)	8 (80): 2(20)	0.710
<b>Past medical history<sup>+</sup></b>	10 (44)	5 (50)	0.617
Heart failure	3 (13)	1 (10)	
Diabetes	2 (9)	1 (10)	
Ischaemic heart disease	5 (22)	3 (30)	
Cerebrovascular disease	0	0	
<b>ASA<sup>±</sup></b>			0.003
I	11 (48)	0	
II	10 (44)	9 (90)	
III	2 (9)	1 (10)	
<b>WHO performance status<sup>±</sup></b>			0.035
0	18 (78)	0	
1	5 (22)	9 (90)	
2	0	1 (10)	

243 Values presented as mean (range). \* P<0.05 was taken as statistically significant.

244 <sup>+</sup>Frequencies with percentages in parentheses, smoking status assessed as currently smoking: yes (1) vs no (0);

245 <sup>±</sup>number of patients (%) WHO performance status and ASA physical status. Participants who dropped out of the

246 study are not included in participant characteristics.

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**Table 2** Pre- and Post- Neoadjuvant CRT physical activity variables

<b>Physical Activity Variables</b>	<b>Pre neoadjuvant CRT</b>	<b>Post neoadjuvant CRT</b>	<b>Change, % Change</b>	<b>P value</b>
<b>Step count (steps/day) *</b>				
<b>Exercise (n=23)</b>	5705.3 (3746)	3723 (2867)	-2755 (4152), -44 (20)	
<b>Usual care control (n=10)</b>	3701.5 (3569)	2274 (3690)	-4 (2600), -0.1 (78)	
<b>Overall (n=33)</b>	4966 (4435)	3044.2 (3265)		<0.0001
<b>MET*</b>				
<b>Exercise (n=23)</b>	1.4 (0.5)	1.3 (0.4)	-0.03 (0.3), -2.3 (15)	
<b>Usual care control (n=10)</b>	1.3 (0.9)	1.1 (0.2)	-0.1 (0.3), -8 (14)	
<b>Overall (n=33)</b>	1.3 (0.6)	1.2 (0.3)		0.010
<b>Active EE (kcal/day) *</b>				
<b>Exercise (n=23)</b>	229 (482.3)	152 (153.7)	-115 (499), -30 (93)	
<b>Usual care control (n=10)</b>	354 (443.5)	244.3 (198.3)	-223 (861), -47 (70)	
<b>Overall (n=33)</b>	264.3 (471.3)	154 (163.9)		0.003
<b>PA duration (min/day)</b>				
<b>Exercise (n=23)</b>	61 (97.3)	38 (68)	31 (105), 8 (140)	

<b>Physical Activity Variables</b>	<b>Pre neoadjuvant CRT</b>	<b>Post neoadjuvant CRT</b>	<b>Change, % Change</b>	<b>P value</b>
<b>Usual care control (n=10)</b>	69 (83)	50 (4)	-34 (151), -41 (52)	
<b>Overall (n=33)</b>	64 (80.3)	39 (46)		0.45
<b>Lying down (min/day)</b>				
<b>Exercise (n=23)</b>	250 (367.3)	360 (351.7)	6 (211), 2 (40)	
<b>Usual care control (n=10)</b>	351.4 (432.4)	541.3 (360.4)	119 (263), 28 (71)	
<b>Overall (n=33)</b>	363 (423.9)	483.5 (416.5)		0.443
<b>Sleep efficiency (%)</b>				
<b>Exercise (n=7)</b>	78 (9.1)	78 (13)	0.2 (15), 0.3 (21)	
<b>Usual care control (n=7)</b>	69 (20)	69 (24)	-4 (23), -5 (30)	
<b>Overall (n=14)</b>	75 (11)	73 (22)		0.917
<b>Sleep duration (min/day)</b>				
<b>Exercise (n=23)</b>	220 (330)	190 (269)	0 (141), 0 (35)	
<b>Usual care control (n=10)</b>	264.5 (284)	265 (315)	143 (235), 56 (85)	
<b>Overall (n=33)</b>	260 (285)	44 (318)		0.847

Physical Activity Variables	Pre neoadjuvant CRT	Post neoadjuvant CRT	Change, % Change	P value
<b>Total EE (kcal/day)</b>				
<b>Exercise (n=23)</b>	1668 (932)	1701 (921)	-234 (1013), -0.1 (63)	
<b>Usual care control (n=10)</b>	1867 (833)	1741 (416)	-241 (1019), 7 (147)	
<b>Overall (n=33)</b>	1668 (846)	1707 (722)		0.33

249 Values presented as median (IQR) for: pre neoadjuvant CRT; post neoadjuvant CRT; change; and % change.

250 \* P<0.05 was taken as statistically significant based on paired Wilcoxon test

251 All data is averaged over the 72 h period of PA monitoring. Abbreviations: EE – energy expenditure, PA – physical activity. Note: due to an upgrade in software during data  
252 collection, PAL and sleep efficiency is reported in 7/23 (exercise) and 7/10 (usual care control).

253 Note: Absolute change (with no brackets) and relative percentage change(in brackets)is reported between pre neoadjuvant CRT (baseline) to post neoadjuvant CRT (week 0)  
254 within the groups.

255 **Table 3** Changes in physical activity variables (Week 0 - Week 6)

	Exercise				Usual care control				P-value
	Week 0	Week 3	Week 6	Change, % Change	Week 0	Week 3	Week 6	Change, % Change	
<b>Step-count (steps/day)</b>	3723 (2867)	6333 (5291)	5401 (3869)	-1544 (5800), -22 (52)	2274 (3690)	6422 (7158)	4792 (4370)	1580 (1732), 57 (70)	0.728
<b>MET</b>	1.3 (0.4)	1.5 (0.4)	1.5 (0.5)	-0.1 (0.6), -7 (38)	1.1 (0.2)	1.2 (0.3)	1.5 (0.5)	0.2 (2), 17 (174)	0.440
<b>Active EE (kcal/day)</b>	152 (154)	355 (486)	434 (658)	-181 (1228), -46 (92)	244 (198)	322 (517)	392 (701)	320 (1368), 110 (284)	0.743
<b>PA duration (min/day)</b>	38 (68)	76 (70)	84 (110)	-35 (185), 41 (105)	39 (46)	66 (89)	89 (132)	85 (243), 100 (276)	0.992
<b>Lying down time (min/day)*</b>	360 (352)	95 (438)	47 (476)	18 (332), 4 (82)	541 (360)	321 (352)	341 (372)	10 (292), 2 (82)	0.029

	Exercise				Usual care control				P-value
	Week 0	Week 3	Week 6	Change, % Change	Week 0	Week 3	Week 6	Change, % Change	
<b>Sleep efficiency (%)*</b>	78 (13)	78 (14)	80 (15)	-6 (28), -6 (39)	69 (24)	66 (14)	76 (20)	6 (11), 7 (17)	0.022
<b>Sleep duration (min/day)*</b>	190 (265)	405 (70)	369 (81)	0.0 (141), 1.0 -1.2 (52)	265 (315)	197 (244)	299 (39)	143 (235), 3 (112)	0.028
<b>Total EE (kcal/day)</b>	1707 (921)	1949 (769)	1869 (924)	-2 (1177), -0.1 (63)	1741 (416)	1962 (730)	1673 (1169)	147 (2705), 7 (147)	0.701

256 Values presented as median (IQR) for: pre neoadjuvant CRT; post neoadjuvant CRT; change; and % change.

257 \*P<0.05 is taken as statistically significant. All data is averaged over the 72 h period of PA monitoring. Data for each group at each time point is presented.

258 Note: due to an upgrade in software at the time of data collection, PAL and sleep efficiency is reported in 7/23 (exercise) and 7/10 (usual care control).

259 Note: Absolute change (no brackets) and relative percentage change (with brackets) at week 6 from baseline ((pre-CRT), presented in table 2)) within the groups.



## 260 **Discussion**

261 This pilot study shows that neoadjuvant CRT significantly reduced daily step-count, active EE  
262 and MET in people with newly-diagnosed locally advanced rectal cancer. Furthermore,  
263 neoadjuvant CRT had a generally negative effect on the other exploratory PA variables,  
264 although findings were not statistically significant. People who participated in the 6-week in-  
265 hospital exercise training programme, in the time interval following neoadjuvant CRT and prior  
266 to surgery, showed significant improvements in sleep efficiency, sleep duration and lying down  
267 time compared to the usual care control group. Furthermore, the exercise group showed an  
268 improvement in daily step-count and active EE, although these findings did not reach statistical  
269 significance.

270 It has been previously been reported that neoadjuvant chemo- and chemoradio-therapy  
271 significantly reduce physical fitness and this change is associated with post-operative  
272 complications and reduced 1-year survival in locally advanced rectal and upper gastrointestinal  
273 cancer [2-3]. However, little is known about its effect on PAL and to our knowledge, we are  
274 the first to report daily PAL in people with locally advanced rectal cancer scheduled for  
275 neoadjuvant cancer treatment and surgery. PAL is commonly quantified by using metabolic  
276 equivalent (MET) which is scored as follows:  $\geq 1.70$  (active person); 1.40 – 1.69  
277 (predominantly sedentary);  $< 1.40$  (very inactive); and 1.2 (chair- or bed-bound) [14]. We  
278 reported a MET score at cancer diagnosis 1.3 (0.6) which significantly reduced to 1.2 (0.3)  
279 following neoadjuvant CRT. This MET score suggests that people in our study were sedentary  
280 following neoadjuvant CRT. Although findings were not statistically significant, we reported  
281 lying down time at cancer diagnosis 363 (424) minutes compared to 484 (417). We also  
282 reported, at cancer diagnosis prior to commencing cancer treatment, people in our study had a  
283 lower than recommended daily step-count (7,000 - 10,000) of 4966 steps (4435) which further  
284 reduced to 3044 steps (3265) following neoadjuvant CRT. Daily step count reported following

285 CRT in our study is comparable to daily step count reported in people living with Chronic  
286 Obstructive Pulmonary Disease (COPD) [15]. Although little is known about low levels of PA  
287 in people with cancer, low levels of PA in people with COPD is associated with development  
288 of systemic consequences such as skeletal muscle weakness, osteoporosis, cardiovascular  
289 disease PAL [16] and with hospital admission and mortality [17].

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291 Participation in the exercise programme had a positive influence on PAL outside the  
292 programme similar to findings reported in other studies in people with breast cancer who  
293 participated in an exercise programme during adjuvant cancer treatment [18-19]. Although  
294 findings were not significant, we reported an improvement in active EE and MET following  
295 participation in the exercise programme initiated following neoadjuvant CRT and before  
296 surgery. We also showed that daily step-count at week 3 following neoadjuvant CRT almost  
297 doubled in both groups compared to week 0 but further reduced at week 6, more so in the usual  
298 care control group (it must be noted, there were no statistical changes in daily step-count  
299 following participation in the exercise programme therefore caution is required while  
300 interpreting our findings). Additionally, following participation in the exercise programme,  
301 there was a significant improvement in sleep efficiency (as well as sleep duration and lying  
302 down time) which may be clinically important: sleep disturbance in people with cancer is the  
303 second most common reported symptom [20]. Sixty-one percent of people with breast cancer  
304 undergoing chemotherapy and radiotherapy report having significant sleep problems  
305 (measured using Pittsburgh Sleep Quality Index) which is related to poor Health Related  
306 Quality of Life (HRQoL) [21]. To our knowledge, only one other study in people with breast  
307 cancer scheduled for multimodal treatment (surgery and adjuvant cancer treatment) has  
308 assessed sleep disturbance in the context of exercise training during cancer treatment  
309 (measured using General Sleep Disturbance response scale) [22]. Although findings from this

310 study did not reach statistical significance, there was a decline in sleep disturbance following  
311 a 12-week exercise programme.

312 To date, measures assessing PAL in people with cancer mainly include subjective self-reported  
313 measures such as: Short Form Health Survey (SF-36) [18, 23-24]; Physical Activity  
314 Questionnaire (PAQ) [23]; Scottish Physical Activity Questionnaire (SPAQ) [18]; and leisure  
315 time physical activity [19], all of which provide a patient's personal perception of their daily  
316 activities. Such questionnaires have been found to be of limited validity and reliability [25].  
317 Patients' estimations of time spent on activities has been shown to be inconsistent when  
318 compared to values recorded using PA monitors [24]. PA monitors have been validated as a  
319 measure of PAL in several patient cohorts such as in people with physical disabilities, COPD  
320 [26-28] and spinal cord injury [29]. PA monitors provide direct measures of specific behaviours  
321 such as steps per day [30] as well as the time spent being active (intensity of activity), standing,  
322 sitting and lying [28]. One recent study reported that cancer patients participating in a lifestyle  
323 intervention during chemotherapy reported 366% higher Moderate-to-Vigorous Intensity PA  
324 (MPVA) using the International PA questionnaire compared to measures collected using  
325 SenseWear accelerometers [31]. Our study highlights that objective measures of PAL  
326 throughout the cancer care journey are worthy of attention: they are relatively simple to  
327 undertake and to date have not been used in the perioperative setting.

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329 Strengths of this study include its prospective design, the homogenous study population (only  
330 operable locally advanced rectal cancer patients), the clearly defined exercise intervention and  
331 the standardised neoadjuvant CRT regime. PA was averaged over a 72 hour period, measured  
332 in an objective manner using validated Sensewear activity monitors. Furthermore participants  
333 in the exercise group did not wear the PA monitors during exercise sessions. Potential  
334 weaknesses of this study include its design as a relatively small pilot study, which was powered

335 to detect changes in objectively measured physical fitness [10], and the limitation of  
336 recruitment to one single centre, which may limit generalisability of results. This was a non-  
337 randomised design study (i.e. participants in the usual care control group were people who  
338 were living >15 miles from the hospital) and there was significant baseline differences between  
339 groups in age, ASA and WHO performance status: the usual care control group were older with  
340 poorer subjective performance. Furthermore, differences exist in group sample size, 23/23  
341 (exercise) and 10/16 (usual care control) completed the study. Sleep efficiency data were only  
342 available for 7 in each group: this was due to an upgrade in software during data collection.

343

#### 344 **Conclusion**

345 Our study shows that neoadjuvant CRT significantly reduces MET score, active EE and daily  
346 step-count in people with locally advanced rectal. People who participated in a 6-week in-  
347 hospital exercise training programme following neoadjuvant CRT showed a significant  
348 improvement in sleep efficiency, sleep duration and lying down time and an apparent  
349 improvement in daily step-count and overall PAL compared to the usual care control group.

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358 **LIST OF ABBREVIATIONS**

359 PAL, Physical activity levels; CRT, Chemoradiotherapy; EE, Energy expenditure; MET,  
360 Metabolic equivalent threshold; CPET, Cardiopulmonary exercise test; PA, Physical activity;  
361 TNM, Tumour, Nodes, Metastasis; MRI, Magnetic Resonance Imaging; CT, computer-aided  
362 tomography; SD, standard deviation; IQR, Inter-Quartile Range; REML, restricted maximum  
363 likelihood; COPD, Chronic Obstructive Pulmonary Disease; HRQoL, Health Related Quality  
364 of Life;. SF-36, Short Form Health Survey; PAQ, Physical Activity Questionnaire; SPAQ,  
365 Scottish Physical Activity Questionnaire.

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379 **DECLARATIONS**

380 **Ethics approval and consent to participate**

381 This study was approved by the North West Liverpool East Research and Ethics Committee  
382 (11/H1002/12) and registered with clinicaltrials.gov (NCT01325909). Written informed  
383 consent was obtained from all participants.

384 **Consent for publication**

385 Not applicable

386 **Availability of data and material**

387 The datasets from the current study can be made available from the corresponding author on  
388 reasonable request

389 **Competing interests**

390 The authors declare that they have no competing interests.

391 **Funding**

392 Not applicable

393 **Authors' contributions**

394 MAW, GJK, MPWG and SJ conceived the study. LL, MAW, GJK, MPWG and SJ contributed  
395 to study design. BDD undertook the statistical analysis. LL drafted the manuscript which  
396 underwent revision by all other authors. All authors read and approved the final manuscript.

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496 **FIGURE LEGENDS**

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498 Figure 1. The patient pathway and the time points of assessments.

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